

Subject: Affirmative Action and Pre-Award DBE Review

Contract Number: 0301-0195

Contract Amount: \$31,972,395.49

Funding Source: State

Bid Opening Date: June 7, 2023

OJT Requirement: Yes

Requirement: DBE SBE GFE Prime is a DBE: Yes No

Required % (Dollar Amount): 10% (\$31,972.40)

Contractor: Banton Construction Company

Date: June 13, 2023

To: Debra A. Goss-Manager
Office of Equity

From: Sydney Ormsby
Contracts Section

Ext. 2309

This Contractor has met the 5 day federal guideline for DBE submittals.

In accordance with the provisions, the contractor has submitted the following to meet the pre-award requirements for this contract.

Subcontractor	Race/Gender	Amount Nominated (\$)	Amount Credited (\$)
Erection & Welding Contractors, LLC		3,600,000.00	3,600,000.00
Total			

Please review and indicate if you find this submittal acceptable by signing below. If you find any nominated subcontractor is not to receive credit for any line item but the prime still meets the minimum requirement, please indicate your exception below.

Date

Comments: Contractor is reminded they are responsible to monitor and comply with the DBE Program requirements related to Pre-Award Commitment Modification, documentation of Good Faith Efforts, Commercial Useful Function and others.

CONNECTICUT DEPARTMENT OF TRANSPORTATION (CTDOT)
PRE-AWARD DBE COMMITMENT APPROVAL REQUEST

TO BE SUBMITTED WITHIN THE TIME FRAME INDICATED IN THE BID DOCUMENTS

Only certified DBE firms and only for work which they have been certified for will be approved by CDOT toward the goal. Department's DBE directory is available on CTDOT's website or by calling 860-594-2171

Sheet 1 of 1

CDOT Project Number (s): 0301-0195
Town(s) of: Darien
Submitted By (Prime): Banton Construction Company
Original Bid (\$): 31972395.49

DBE Subcontractor: Erection & Welding Contractors, LLC
NAICS Code (s) associated with this submission: 332000
Address: 190 New Park Ave Berlin, CT 06037
Is this DBE firm a 1st or 2nd tier subcontractor? 1st 2nd*

Dollar amount subcontracted to this DBE firm (\$): 3,600,000.00
Dollar amount requested for CREDIT for this DBE Firm (\$): 3,600,000.00 **

** Please be advised that by submitting this form you (the prime) agree that the total Credited amount will be the amount of commitment and will be measured by the Commercially Useful Function the Subcontractor performs.

* The CDOT prefers 1st tier subcontractors; however, credit for 2nd tier DBE firms will be approved provided this page is signed by both the prime and the DBE firm, the 1st tier subcontractor is identified, the extent of the 2nd tier work is clearly identified, and the prime makes the assertion that regardless of its arrangement with the 1st tier subcontractor, this DBE firm will be used and its replacement is subject to the conditions of the DBE specification and contract requirements.

Item Number & Description	Is This item Partial		Unit of Item	Quantity bid by Prime	Contract Unit Price	Quantity Subcontracted	Subcontract Unit Price	Total Item price subcontracted	Total item prices credited to the subcontractor
	Yes	No							
0063510 Rail Facility - Structural Steel, Railings, and Misc. Metals	Y		LS	1	28,625,353.00	1	3,600,000.00	3,600,000.00	3600000
	N							0.00	
	N							0.00	
	N							0.00	
	N							0.00	
	N							0.00	
	N							0.00	

If any of the items above are checked **Yes** as to **Partial**, please use the space provided or use an attachment to offer an explanation of the work involved. Also please identify who is responsible for the remainder of the partial items.

*** Firm Type Code: **S** (subcontractor), **M** (manufacturer), **P** (supplier), **T** (trucking), **V** (services). **For items leased or rented please use code L under Firm Type Code instead of P.**

Any DBE Trucking firm (**T**) nominated on this form must self-perform not less than 30% of their contract value.


**** In instances where the Prime is paying the Subcontractor a higher unit price than the bid, by submitting this form the Prime agrees to the higher subcontracted price without additional costs to the Department.

**** Is this DBE Purchasing any Material or Leasing any Equipment from the Prime or any of the Prime's Affiliates? YES NO

If YES, state the amount and DO NOT include the amount in the amount toward the goal.

Amount: \$ N/A

**** The credited amount includes adjustments for supply items **including leased or rented** at 60%.

 CEO
Signature of Prime Contractor, Title
Frank Pollano

6/12/2023
Date

After this submittal is approved by the Department, any proposed changes to it must be submitted to the Department for approval.

Managing Member
Dale Applegreen
Signature of Subcontractor, Title

Digitally signed by Dale Applegreen
DN: C=US, E=dapplegreen@erectionwelding.com, O=Erection & Welding Contractors, LLC, CN=Dale Applegreen
Date: 2023.06.12 11:25:04-0400

06/12/2023
Date



Erection & Welding Contractors, LLC

AISC Certified Fabricator and Erector

*MBE Certified in CT, NY, NJ, MA

*Bondable

*DBE Certified in CT, NY

190 New Park Drive, Berlin CT

Phone: 860-828-9353 Fax: 860-828 9354

www.erectionwelding.com

Email: abarve@erectionwelding.com

06/12/2023

PROPOSAL: Prepared for **DARIEN RAILROAD STATION IMPROVEMENTS** project located at **Darien CT.**

The work entailed in this proposal is intended to conform to the fabrication, erection and welding requirements and standards for structural steel as defined by the "AISC Code of Standard Practice", standard industry practice for miscellaneous metals as defined by NAAMM and as shown and identified on the Architectural and Structural Drawings dated 05.24.2023, Addendum 1 (04.24.2023), Addendum 2 (05.19.2023), Addendum 3 (06.01.2023), Addendum 4 (06.05.2023), any exclusions listed below for the said **Darien Railroad Station Improvements** project using a Prevailing Wage workforce.

STRUCTURAL STEEL & MISCELLANEOUS METALS (ITEM NUMBER 0063510): \$ 3,600,000.00 (Excludes Tax)

STEEL BOLLARDS (ITEM NUMBER 0901003): \$ 12,000.00 (Excludes Tax)
Furnish Only

Furnished Items:

- Shop Drawings
- Anchor Bolts
- Engineering
- (24) Steel Bollards

Furnished and Installed Items:

- Wide Flange Beams at North Platform
- Channels as Intermediate Diaphragm at North Platform
- Wide Flange Beams in Prefabricated Shelter at North Platform
- HSS Tube Posts in Prefabricated Shelter at North Platform
- Wide Flange Beams at South Platform
- Channels as Intermediate Diaphragm at South Platform
- Wide Flange Beams in Prefabricated Shelter at South Platform
- HSS Tube Posts in Prefabricated Shelter at South Platform
- Painted Guardrail on North Platform
- Painted Guardrail on South Platform
- Aluminum Rails on Guardrail
- Aluminum Rail on Wall

Notes and Qualifications:

- Proposal based on EWC Standard Insurance and Limits.
- Qualifying for (24) 8" Schedule 40 Bollards.
- Qualifying for Elastomeric Bearings Steel Laminates as A1011 GR50 Material and 5/16" weld for shear blocker/spacer.
- Proposal Limited to above referenced scope only.

EXCLUSIONS:

- **As Built Survey**
- Anchor Bolt Survey
- All concrete related work.
- LGMF
- Catenary Steel
- Canopy roof repairs (STR-400)
- Elevator guiderails (STR-405)
- Messenger support assemblies
- Tail wire support assemblies
- Contact wire registration assemblies
- Hangers, knuckles, and cross contact assemblies
- Feeder and jumper assemblies
- Grounding Assemblies
- Contact wire assembly
- Miscellaneous assemblies
- All Gage Material
- Floor hatches
- Demolition of any kind
- Temporary Shoring and Bracing
- Removal of Safety Cable
- Costs for, & associated with, third party in-shop & field inspections.
- Grouting, deck shoring, Finish painting, Aluminum trim of any kind
- Intumescent Paint
- Liquidated damages
- Items not shown on drawings.
- Demolition and Shoring
- MTA Insurance
- Builders Risk Insurance
- Pollution Liability Insurance
- AESS Fabrication
- All concrete work (Concrete work associated with Posts for Rails, gates etc.)
- Field Applied Paint
- Painting of Steel Connections

Terms and Conditions: This proposal is good for 15 days from the date above, after such time we reserve the right to re-evaluate any of the conditions of this proposal. We also reserve the right, after 15 days, to withdraw said proposal if not accepted prior to written withdrawal.

Proposal based on current market value for steel material. Due to highly volatile pricing, we reserve the right to make changes to this quote should prices substantially change.

Amit Barve
Estimator/Project Manager