

Connecticut Department of Energy & Environmental Protection Boating Division P.O. Box 280, 333 Ferry Road Old Lyme, CT 06371-0280 W: (860) 434-8638

APPLICATION FOR MARINE DEALER
CERTIFICATE OF NUMBER
Marine Dealer
Yacht Broker
Marine Engine Manufacturer

REQUESTING: New Additional Renewal CT. Sales Tax Number: **Business Name: Business Phone No.:** Business Address (No. and Street): E-mail Address: Town: State: Zip Code: Marine Engine Manufacturer Yacht Broker Marine Dealer Retail New Retail Used ☐ MFG ☐ Repairs ☐ Sales ☐ MFG ☐ Wholesale Distributor If renewing, list the numbers to be renewed here **MDRNs** QTY Total ☐ NEW ☐ ADDL \$100 Number Number Number **RENEW** \$100 Number Number Number **EXAMINATION FEE*** \$140 *First-time applicants only Number Number TOTAL: Payable to "DEEP" Notes: Yacht Brokers may apply for only one CON/MDRN in their first year Print Name (Last, First, M.I.) ☐ Owner Residence Address City/Town Zip Code Date of Birth Citizenship Marine Marine Engine Yacht Please complete the lower form and sign in the presence of a Notary Dealer Manufacturer **Broker** I declare that I am a Marine Dealer, Marine Engine Manufacturer, or Yacht Broker engaged in the business of manufacturing, selling or repairing new or used vessels or of manufacturing, selling or repairing or marine engines and that I have an established place of business for the sale, trade, display or repair of such vessels or repair of such engines, unless exempted by law from the requirement to have an established place of business. I have complied with the requirements and criteria for the issuance of marine dealer registration numbers, and I agree to use and display marine dealer registration number(s) solely as specified in the Connecticut General Statutes and the Regulations of the Connecticut State Agencies, as amended from time to time. I also declare under the penalties for false statement provided in Connecticut General Statutes that the statements made herein by me are true and correct. Authorized Signature (Owner) Sign in the presence of a Notary Sworn Before (Notary Public, Justice of the Peace, Officer of the Court)

APPLICATION FOR A MARINE DEALER CERTIFICATE OF NUMBER and MARINE DEALER REGISTRATION NUMBERS

Marine Dealer Registration Numbers (MDRNs) are portable vessel registration numbers that allow a Marine Dealer to operate an unregistered vessel on the waters of the state. They consist of two parts: a Certificate of Number (CON) issued by DEEP and identifying a unique Marine Dealer Registration Number, and portable placards constructed by the applicant that bear their unique MDRN.

MARINE DEALERS, YACHT BROKERS, MARINE ENGINE MANUFACTURERS

PREREQUISITES TO RECEIVING MARINE MDRNS (SEE CGS SECTION 15-145 AND RCSA SECTION 15-121-B5)

- 1. The applicant must have an established place of business facility with at least 144 square feet of office space, plus on-site or remote vessel display space, and must be open to the public.
 - 2. There must be signage of at least 250 square inches identifying the business facility and visible to the public.
 - 3. There must be a phone number in the business name listed with the phone company.
 - 4. The applicant must maintain a records retention system to allow retention and inspection of sales records for at least four years.
 - 5. The applicant must apply on this DEEP form, and must sign the form attesting to the fact that these conditions have been met.
- 6. YACHT BROKERS ONLY Items No. 1 and No. 2 do not apply. Yacht Brokers are required to display on any vessel that bears the broker's MDRN a "For Sale By Broker" sign identifying the broker. The sign must be at least 144 square inches in size and legible from not less than fifty feet.

PREREQUISITES TO RENEWING MDRNS (SEE CGS SECTION 15-145 AND RCSA SECTION 15-121-B5)

The Commissioner may renew a MDRN if satisfied that the Marine Dealer continues to meet the prerequisites for receiving MDRNs and at least one of the following criteria during the year prior to the application for renewal:

- 1. Manufacturer or sale of more than five vessels required to be numbered or registered;
- 2. Manufacturer or sale of two to five vessels required to be numbered or registered pursuant to Section 15-152 of the general statutes, of not less than \$50,000 total gross value where the gross value credited by any vessel towards the \$50,000 minimum is no more than \$25,000;
 - 3. Not less than fifty percent of the adjusted gross income of a Marine Dealer is derived from vessel sales;
 - 4. The manufacturer, sale or repair of more than five marine engines by a Marine Engine Manufacturer.

ALL APPLICANTS

INSTRUCTION FOR PREPARATION OF APPLICATION

Please type or print application. This application may be used to order a CON/MDRN for the first time, renew a CON/MDRN, or request additional CON/MDRNs. Please check the appropriate box at the top of the form accordingly.

- 1. **Business name and address** These will be printed on certificate(s).
- 2. Connecticut sales tax no. Number under which sales tax collected on vessels will be submitted.
- 3. **Enter** the quantity of new or additional certificates requested in the boxes provided and multiply these numbers by the **\$100.00 fee**. All first-time applicants (except Marine Surveyors) must include a **\$140.00 examination fee**. Existing Marine Dealers that have changed ownership or business name must reapply as a first-time applicant. All fees are to be made payable to "DEEP".
- 4. **Name of Owner, Partner**, or **Authorized Officer** signing application The name of the individual signing the application **Must** appear here. **RESIDENCE ADDRESS IS REQUIRED**. For corporate officers also give titles.
- 5. **Signature and title DO NOT TYPE**. An applicant must <u>sign</u> his/her usual signature. An officer <u>must</u> sign for a firm, company or corporation and indicate his/her title. This application is an oath-hearing form and <u>MUST BE NOTARIZED</u>.

REQUIREMENTS FOR DISPLAYING MDRNS (SEE RCSA SECTIONS 15-121-B4 AND 15-121-B5a)

MDRNs must be painted on or attached to a removable sign, and displayed in a manner similar to other registered vessels. The MDRN sign must be <u>temporarily</u> attached to the vessel. If such a temporary display is unsafe or impracticable, the dealer may request the Commissioner's approval of an alternative way to display the numbers. Whenever a MDRN is displayed on a vessel that is on the water, the operator must carry the CON.

All applicants are responsible for knowing the laws and regulations applicable to their MDRN/Certificate of Number. See:

<u>Connecticut General Statutes (CGS) Section 15-145</u>: Marine dealer's, marine engine manufacturer's and marine surveyor's registration numbers. Regulations. Fees. Availability and renewaal of certificates. Use of vessels. Restrictions.

<u>Regulations of the Connecticut State Agencies (RCSA) Sec. 15-121-B4</u> Display of a number and validation decal, <u>Sec. 15-121-B5</u> Criteria for the issuance of marine dealer registration numbers, <u>Sec. 15-121-B5a</u> Marine dealer registration numbers: application and display.