



**CAES**  
The Connecticut Agricultural Experiment Station  
Putting Science to Work for Society since 1875

**COMPLIANCE AGREEMENT  
SPOTTED LANTERNFLY  
NURSERY and PLANT  
MATERIAL**

1. NAME AND MAILING ADDRESS OF PERSON OR FIRM:

2. LOCATION:

3. REGULATED ARTICLE(S):

Any living stage of the spotted lanternfly, *Lycorma delicatula*, and any article, material, or means of conveyance that is infested, has the potential to be infested, or presents a risk of dissemination of any life stage of the spotted lanternfly.

4. APPLICABLE QUARANTINE(S) OR REGULATIONS:

State of Connecticut Spotted Lanternfly Notice of Order of Quarantine.

5. I/WE AGREE TO THE FOLLOWING:

See Attachment: Agreement number CT-SLF-\_\_\_\_\_.

Certificate No. \_\_\_\_\_.

6. SIGNATURE:	7. TITLE:	8. DATE SIGNED:
THE AFFIXING OF THE SIGNATURES BELOW WILL VALIDATE THIS AGREEMENT WHICH SHALL REMAIN IN EFFECT FOR TWO (2) YEARS AFTER SIGNING, BUT MAY BE REVISED AS NECESSARY OR REVOKED FOR NONCOMPLIANCE.		9. AGREEMENT NUMBER: CT-SLF-_____
		10. DATE OF AGREEMENT:
11. CAES OFFICIAL (NAME AND TITLE):	12. ADDRESS: CT Agricultural Experiment Station 123 Huntington Street New Haven, CT 06511	
13. SIGNATURE:		

Requirements for Spotted Lanternfly Compliance Agreement

1. Regulated articles defined by the State of Connecticut, but are not limited to:
  - a. Any living life stage of the spotted lanternfly;
  - b. All plants and plant parts. This shall include, but is not limited to, all live or dead trees, nursery stock, budwood, green lumber, firewood, logs, perennial plants, garden plants and produce, stumps, roots, branches, mulch, and composted and un-composted chips, bark, and yard waste;
  - c. Any other article, material, or means of conveyance determined by an authorized person to be a “regulated article” that is infested, has the potential to be infested, or present a risk of dissemination of any life stage of spotted lanternfly.
  - d. This compliance agreement covers business operations that include shipping of nursery stock, plants and plant parts as noted in (b) above.
2. The State of Connecticut is quarantined and areas with established infestations of spotted lanternfly are designated as regulated areas. In addition, the Director of the Connecticut Agricultural Experiment Station (CAES) or authorized persons may designate as regulated any area where an infestation of spotted lanternfly is confirmed in the future by supplementary notice made available on the CAES website (<https://portal.ct.gov/caes>). Information on additional regulated areas will be posted on this website. The movement of any regulated article from an area designated as regulated will be subject to guidelines established for the movement of regulated articles. A current printed listing will also be available upon request at The Connecticut Agricultural Experiment Station, 123 Huntington Street, New Haven, CT 06511, or the CAES website at [www.portal.ct.gov/caes](http://www.portal.ct.gov/caes).
3. Movement of the regulated articles from any regulated area to any destination outside the regulated area is prohibited, except under the following conditions:
  - a. No person shall transport any regulated article within the State of Connecticut that is:
    1. Infested with spotted lanternfly; or
    2. Originates from a regulated area without determination it is free of spotted lanternfly.
  - b. Upon discovery of spotted lanternfly, authorized persons may cooperate with federal, state, and local officials and survey public and private areas surrounding the initial point of infestation to determine the extent of the insect pest's geographic distribution, establish the regulated areas in the state or any portion thereof, and stop sale of regulated articles. Approved methods of treatment of regulated areas and regulated articles will be solely at property owner's expense. Movement of regulated articles in a regulated area is restricted and shall comply with provisions established pursuant to subsections (d-f) of this section and applicable requirements of quarantine orders in other states;

Company Representative Initials: \_\_\_\_\_

Date: \_\_\_\_\_

- c. Nursery staff and other relevant employees are trained to recognize all stages of the spotted lanternfly.
- d. Regulated articles may be moved outside of the regulated area when they are determined to be free of all viable spotted lanternfly life stages. Determination of freedom will be based upon visual survey and treatment, if necessary, of the article. Surveys and treatments are to be accomplished by nursery staff, trained to look for all stages of spotted lanternfly. Recordkeeping of training, inspection of vehicles, and control measures (i.e., destruction of living lanternfly) must be maintained for duration of the compliance agreement. Cash and carry and local Connecticut sales are exempt from the recordkeeping requirement but record keeping applies to any out of state sales.
- e. Yearly training in visual survey and treatment will be provided and employee training PowerPoints are available on the CAES and Penn State Extension spotted lanternfly websites. The nursery is responsible for providing required treatment. Although apparently free of infestation, it is recommended that a thorough inspection either in a holding area or before loading be given by nursery personnel to all material before being moved outside of the spotted lanternfly regulated area. All regulated articles destined for movement outside of the regulated area must be safeguarded to prevent reinfestation. If receiving regulated articles from other establishments within the spotted lanternfly regulated area, the nursery will thoroughly inspect the articles to establish their freedom from spotted lanternfly infestation. Only such regulated articles are to be shipped to areas outside the spotted lanternfly regulated area.
- f. Spotted lanternfly free plant products may be exported from a regulated area out of state in compliance with a written agreement between the exporter and the CAES that includes all of the following:
  - 1. The name and address of the exporter;
  - 2. The type and volume of material that may be exported under the agreement;
  - 3. The names and addresses of the persons to whom, and the locations to which the materials may be imported under the agreement;
  - 4. The locations from which the material may be shipped under the agreement;
  - 5. The method by which the material may be shipped;
  - 6. The time-period covered by the agreement;
  - 7. The compliance agreement holder's commitment to keep complete records of each export shipment and to submit those records to the CAES for inspection and copying upon request;

Company Representative Initials: \_\_\_\_\_

Date: \_\_\_\_\_

8. Specific export terms and conditions approved in writing by CAES that will effectively ensure that materials exported pursuant to the agreement will not introduce the spotted lanternfly into destination states;
  9. A provision authorizing the CAES to terminate the agreement, without prior notice, for any reason;
  10. A shipping certificate must accompany each shipment indicating that the product is under a compliance agreement.
4. Regulated articles from regulated areas may only leave this state under compliance agreement or if they are inspected by an authorized person and a phytosanitary certificate is issued for the article. The phytosanitary certificate must state that no spotted lanternfly was found on the regulated articles and the certificate must accompany the regulated article from origin to destination. The phytosanitary certificate does not exempt the means of conveyance or vehicle. A business is responsible for meeting applicable spotted lanternfly orders in other states.
  5. CAES inspectors will inspect yearly the regulated articles that are grown by the nursery.
  6. The establishment will provide records on request as to the methods of production of the regulated articles, including cultural practices, crop protection chemical applications, and any other pertinent information.

Requirements for all quarantines:

1. CAES inspectors retain the right to 1) Make spot checks of shipments, 2) Monitor procedures, and 3) Examine records of shipments to other locations.
2. CAES will furnish the service of CAES inspectors without cost. Other than the service of CAES inspectors, CAES will not be responsible for any costs or charges incident to inspections or compliance with this or any other quarantine.
3. The establishment will notify CAES of any personnel changes which may affect the handling of regulated articles.
4. Failure to comply with the spotted lanternfly quarantine and/or the provisions of this compliance agreement may result in the cancellation of this compliance agreement.
5. Any other recommendations of CAES inspectors to eliminate the hazard of shipping infested regulated articles will be followed.

This compliance agreement has been explained thoroughly to me and I have been given the opportunity to have all my questions answered.

Company Representative Initials: \_\_\_\_\_

Date: \_\_\_\_\_