CONNECTICUT STATE DEPARTMENT OF EDUCATION Academic Office

Career and Technical Education



CONNECTICUT STATE DEPARTMENT OF EDUCATION

CARL D. PERKINS CAREER AND TECHNICAL EDUCATION IMPROVEMENT ACT OF 2006 20 U.S.C. §2301 <u>et seq</u>.

CAREER AND TECHNICAL EDUCATION SECONDARY SUPPLEMENTAL ENHANCEMENT GRANT

2020

Purpose: To prepare the next generation of students with the knowledge and skills necessary to compete in the global economy.

Applications Due: March 3, 2020

Published: January 2020

RFP # 818

DISTRICT NAME _____

CONNECTICUT STATE DEPARTMENT OF EDUCATION

Dr. Miguel A. Cardona Commissioner of Education

The Connecticut State Department of Education is committed to a policy of equal opportunity/affirmative action for all qualified persons. The Connecticut Department of Education does not discriminate in any employment practice, education program, or educational activity on the basis of age, ancestry, color, criminal record (in state employment and licensing), gender identity or expression, genetic information, intellectual disability, learning disability, marital status, mental disability (past or present), national origin, physical disability (including blindness), race, religious creed, retaliation for previously opposed discrimination or coercion, sex (pregnancy or sexual harassment), sexual orientation, veteran status or workplace hazards to reproductive systems, unless there is a bona fide occupational qualification excluding persons in any of the aforementioned protected classes. Inquiries regarding the Connecticut State Department of Education's nondiscrimination policies should be directed to: Levy Gillespie, Equal Employment Opportunity Director/Americans with Disabilities Act Coordinator, Connecticut State Department of Education, 450 Columbus Boulevard, Hartford, CT 06103-1841, 860-807-2071, Levy.Gillespie@ct.gov.

AN EQUAL OPPORTUNITY/AFFIRMATIVE ACTION EMPLOYER

CAREER AND TECHICAL EDUCATION SECONDARY WORK-BASED LEARNING ENHANCEMENT GRANT APPLICATION 2020 TABLE OF CONTENTS

GRANT	BACKGROUND INFORMATION	Page
I.	Overview	1
II.	Purpose of the Act	1
III.	Purpose of the Secondary Supplemental Enhancement Grant	2
IV.	Career and Technology Education Secondary Supplemental Enhancement Grant Eligible Participants	2
V.	Available Competitive Funding	3
VI.	Eligibility Requirements	3
VII.	Management Control of the Program and Grant Consultation Role of the State	3
VIII.	Grant Awards	3
IX.	Grant Period	4
Х.	Technical Assistance by Cluster/Pathway	4
XI.	Obligation of Grant Recipients	4
XII.	End-of-Year Reports	4
XIII.	Application Submission Requirements	4
SECOND	ARY SUPPLEMENTAL ENHANCEMENT GRANT APPLICATION PACKET	5
	Secondary Supplemental Enhancement Grant 2020 Overview	6
	Secondary Supplemental Enhancement Grant Application Checklist	8
	Grant Cover Page	9
	Plan Summary	10
	Local Plan Narrative	11
	Supplemental Enhancement Grant Sustainability Plan	12
	Instructions for ED114 Budget Form	13
	ED114 Budget Form	14
	Budget Narrative Instructions	15
	Budget Narrative	16
	Instructions for Equipment Request Form	24
	Secondary Equipment Request Form	25
	Local Uses of Perkins IV Funds	26
	Carl D. Perkins Career and Technical Education Improvement Act Assurances	29
	Statement of Assurances	30
	Certification Regarding Debarment, Suspension, Ineligibility and Voluntary	34
	Exclusion – Lower Tier Covered Transactions	
	APPENDIX A: 2020 Secondary Supplemental Enhancement Grant Proposal Scoring Guide	35
	APPENDIX B: Annie E. Casey Foundation	37

CARL D. PERKINS CAREER AND TECHNICAL EDUCATION IMPROVEMENT ACT OF 2006 20 U.S.C. §2301 et seq.

CAREER AND TECHICAL EDUCATION SECONDARY SUPPLEMENTAL ENHANCEMENT APPLICATION

I. Overview

Signed into law on August 12, 2006, the Carl D. Perkins Career and Technical Education Improvement Act (Perkins IV) sets a new vision of career and technical education (CTE) for the 21st century. Perkins IV promotes reform, innovation, and continuous improvement in CTE to ensure that students acquire the skills and knowledge they need to meet challenging state academic standards and industry-recognized skill standards. It prepares students for postsecondary education, further learning and a wide range of opportunities in high-skill, high-wage, or high-demand occupations in emerging professions.

Perkins IV supports the alignment of CTE with state and local efforts to reform secondary schools and improve postsecondary education. The implementation of Perkins IV promises to make CTE programs an integral part of these efforts.

II. Purpose of the Act

The purpose of Perkins IV is to further develop the academic, career and technical skills of secondary students and postsecondary students who elect to enroll in CTE programs by:

- building on the efforts of states and localities to develop challenging academic and technical standards within career pathways aligned with state economic projections;
- assisting students in meeting standards, including preparation for high-skill, high-wage or highdemand occupations in current or emerging professions;
- promoting the development of services and activities that integrate rigorous and challenging academic and career and technical instruction and link secondary and postsecondary education for participating students;
- increasing state and local flexibility in providing services and activities designed to develop, implement and improve linkages between CTE and postsecondary options, including College Career Pathways;
- conducting and disseminating national research, providing professional development and disseminating information on best practices that improve CTE programs, services and activities;
- supporting partnerships among secondary schools, postsecondary institutions, baccalaureatedegree-granting institutions and business and industry;
- providing technical assistance that promotes leadership, initial preparation and professional development and improves the quality of CTE teachers, administrators and counselors; and
- providing individuals with opportunities throughout their lifetimes to develop, in conjunction with other education and training programs, the knowledge and skills needed to keep the United States competitive.

In accordance with this purpose, Perkins IV funding will be utilized for students in secondary and postsecondary programs only.

III. Purpose of the Secondary Supplemental Enhancement Act

The Perkins IV legislation allows states to distribute a portion of the local funds (legislative reference P.L. 109-270 Sec. 112) to achieve an equitable balance of funding in the state. The Supplemental Enhancement Grant has been created to balance the needs of districts statewide through supplemental Perkins IV funding.

IV. Career and Technology Education Secondary Supplemental Enhancement Grant Eligible Participants

Only those districts having received Perkins IV funds during the 2018-19 school year may apply for the Career and Technology Education Secondary Supplemental Enhancement Grant.

Eligible participants must reside in a rural area* or have a high percentage and high number of career and technical students (at least 40 percent, unduplicated CTE enrollment for the 2018-19 school year). This funding source should assist in the advancement of goals established by the Connecticut State Board of Education and Perkins IV legislation to close the achievement gap for CTE students in eligible districts by offering an opportunity for program development.

This grant is being provided to develop or improve Work-Based Learning programs in CTE.

Table of Rural Towns in Connecticut*				
Andover	Deep River	Lyme	Salisbury	
Ashford	Durham	Marlborough	Scotland	
Barkhamsted	Eastford	Middlebury	Sharon	
Bethany	East Granby	Middlefield	Sherman	
Bethlehem	East Haddam	Morris	Sprague	
Bolton	Easton	New Hartford	Sterling	
Bozrah	Franklin	Norfolk	Thompson	
Bridgewater	Goshen	North Canaan	Union	
Brooklyn	Haddam	North Stonington	Voluntown	
Burlington	Hampton	Old Lyme	Warren	
Canaan	Hartland	Pomfret	Washington	
Canterbury	Harwinton	Portland	Westbrook	
Chaplin	Hebron	Preston	Willington	
Chester	Kent	Putnam	Woodbridge	
Colebrook	Lebanon	Redding	Woodbury	
Columbia	Lisbon	Roxbury	Woodstock	
Cornwall	Litchfield	Salem		

Table of Rural Towns in Connecticut*

*(2014, November 14). Retrieved July 7, 2017, from http://www.ruralhealthct.org/towns.htm

The district must hold an approved local five-year Perkins Secondary Plan to be eligible to apply for the 2020 Career and Technology Education Secondary Supplemental Learning Enhancement Grant.

* In Connecticut rural areas are rural towns.

V. Available Competitive Funding

Selected districts will be awarded <u>a minimum of \$15,000 up to a maximum of \$50,000 to be</u> <u>encumbered by June 30, 2020</u>. See obligation and liquidation dates on page 4, Section IX. This funding will be made available contingent upon the availability of Carl D. Perkins grant funding from the U.S. Department of Education. A sustainability plan must be developed and submitted with the grant application showing how grant initiatives will continue with other than local funding. Also, please note that funding can be used for students participating in CTE courses in **Grades 9–12 only**.

VI. Eligibility Requirements

This is a competitive grant and is not an entitlement. The following must be met in order for a district to be eligible to apply for funding:

- size, scope and quality consistent with the approved local five-year Perkins Secondary Plan must be adhered to and administered under all projects funded by the Supplemental Enhancement Grant;
- programs are taught by certified CTE teachers or are interdisciplinary/team curriculum projects involving both CTE and academic certified staff; and
- career pathway(s) must be established or improved in the following career clusters:
 - o Agriculture, Food and Natural Resources;
 - o Architecture and Construction;
 - o Business Management and Administration;
 - Education and Training;
 - \circ Finance;
 - \circ Health Science;
 - o Hospitality and Tourism;
 - Information Technology;
 - o Manufacturing;
 - Marketing;
 - o Science, Technology, Engineering and Mathematics (STEM); and
 - o Transportation, Distribution, and Logistics.

VII. Management Control of the Program and Grant Consultation Role of the State

The grantee has overall management control of the grant. While state agency staff may be consulted for their expertise, they will not be directly responsible for the selection of subgrantees or vendors, nor will they be directly involved in the expenditure and payment of funds obligated by the grantee or subgrantee.

VIII. Grant Awards

The Connecticut State Department of Education (CSDE) reserves the right to make grant and contract awards under this program without discussion with the applicants; therefore, proposals should represent the applicant's best effort to ensure a quality proposal from both a technical and cost standpoint. All awards are subject to the availability of federal funds. Grants are not final until the award letter is executed. The manager of the CTE unit will issue notification of the grant award. The level of funding and effective dates of the project will be set forth in the notification of the grant award.

IX. Grant Period

Grants will be awarded on or after March 3, 2020. All funds must be expended or obligated by June 30, 2020, and liquidated by August 31, 2020. There are no exceptions or waivers to this requirement.

X. Technical Assistance by Cluster/Pathway

For content-specific information on career pathways and the application to the specific project area, contact the CSDE cluster leader below.

Harold Mackin Education Consultant	 Agriculture, Food and Natural Resources Architecture and Construction Manufacturing Science, Technology, Engineering and Mathematics (STEM) Transportation, Distribution, and Logistics 	860-713-6779 <u>Harold.Mackin@ct.gov</u>
Suzanne Loud Education Consultant	 Business Management and Administration Finance Marketing Health Science 	860-713-6746 Suzanne.Loud@ct.gov
Kyllie Freeman Associate Education Consultant	 Education and Training Hospitality and Tourism Information Technology 	860-713-6592 Kyllie.Freeman@ct.gov

XI. Obligation of Grant Recipients

Recipients of this competitive grant will be required to provide technical assistance and/or participate in statewide workshops or conferences for other local school districts requesting help in developing replicable programs.

XII. End-of-Year Reports

Grant recipients will be required to complete an end-of-year report. An evaluation of progress may include on-site reviews.

XIII. Application Submission Requirements

Proposals, *irrespective of means of transmittal or postmark date*, must be received by 3 p.m. on Tuesday, March 3, 2020. The application is available on the CSDE Web site under Quick Links "Request for Proposals." Submitted proposals become the property of the CSDE and a part of the public domain. **One** grant application with original signatures and **one** hard copy of all sections of the grant, including the ED114 and budget narrative, must be sent to:

Mailing Address

Harold Mackin Connecticut State Department of Education Academic Office P.O. Box 2219 Hartford, CT 06145-2219

Delivery Address

Harold Mackin Connecticut State Department of Education Academic Office 450 Columbus Blvd., Suite 603 Hartford, CT 06103-1841

Career and Technical Education Secondary Supplemental Enhancement Grant 2020 Grant Application Packet

Career and Technical Education Secondary Supplemental Enhancement Grant 2020 Overview

Program Title:

Career and Technical Education Secondary Supplemental Enhancement Grant

Program Area:

The following Career Clusters:

- Agriculture, Food and Natural Resources;
- Architecture and Construction;
- Business Management and Administration;
- Education and Training;
- o Finance;
- Health Science;
- Hospitality and Tourism;
- Information Technology;
- Manufacturing;
- Marketing;
- o Science, Technology, Engineering and Mathematics (STEM); and
- Transportation, Distribution, and Logistics.

Funding Available:

Grant awards minimum of \$15,000 to a maximum of \$50,000

Purpose:

The purpose of the Career and Technical Supplemental Enhancement Grant is to further develop the academic, career and technical skills of secondary students who elect to enroll in CTE programs.

All grant expenditures must meet the criteria set forth in the Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV).

Examples of fundable Supplemental Enhancement options are listed below. *This list is not meant to be exhaustive nor is it meant to limit funding options.*

Examples:

- create or improve dual concurrent enrollment programming;
- embed competencies toward industry recognized credential attainment in curricula;
- provide professional learning for teachers to become certified to train students to earn industry-recognized credentials;
- improve technology across the career clusters;
- create or expand partnerships between high schools and businesses or not-for-profit organizations, to give students access to internships, pre-apprenticeships, Cooperative Work Education experiences, or other Work-Based Learning experiences;
- establish or expand a school-based enterprise such as an online or brick and mortar school store or banking institution;

- launch a Simulated Workplace Environment that fosters in-depth, first-hand engagement with the tasks required in a given career field;
- design a One-Credit Mastery-Based Diploma Assessment in Work-Based Learning;
- create a new Career and Technical Student Organization (CTSO);
- provide professional learning for CTSO advisor;
- purchase instructional supplies and materials (e.g., chapter handbooks, leadership development materials, and official recordkeeping handbooks);
- purchase equipment that is appropriate to the CTSO program and benefits all students in a program;
- develop of new courses in alignment with Perkins V; and
- improve the CTE programming through contextualized projects.

Secondary Supplemental Enhancement Grant Application Checklist

Town/Agency: ____

After completing the grant application, fill out the checklist to ensure that all necessary information has been provided and submit with the application.

Place a check where information has been completed:

- □ All pages are sequentially numbered
- Grant Application Cover Sheet is completed and signed
- □ Plan Summary
- □ Local Plan Narrative (use template on page 11)
- □ Sustainability Plan, including local board of education minutes*
- □ ED114 Budget Form
- □ Budget Narrative
- □ Secondary Equipment Request Form

The following forms are completed and signed by the superintendent:

- □ Statement of Assurances (original signature)
- □ Certifications

*If you are unable to obtain the local board of education minutes before the application deadline, you may submit the minutes after the next local board of education meeting.

CONNECTICUT STATE DEPARTMENT OF EDUCATION

Academic Office



CARL D. PERKINS - SECONDARY SUPPLEMENTAL ENHANCEMENT GRANT **APPLICATION RFP # 818**

GRANT PERIOD

March 3, 2020, to August 31, 2020

GRANT COVER PAGE To Be Completed and Submitted with the Grant Application

Applicant (Fiscal Agent)	Program Funding Dates:
(Name, Address, Telephone,	March 3, 2020, to August 31, 2020
Fax, E-Mail)	
	Amount requested: \$
Contact Person	
(Name, Address, Telephone,	
Fax, E-Mail)	

To be eligible for this grant the school must have at least 40% unduplicated CTE enrollment and/or reside in a rural area in the 2018-19 school year (see page two of the grant announcement). Check the appropriate box(es) below.

40% unduplicated enrollment: Total high school enrollment _____ Unduplicated CTE enrollment _____

School resides in a rural town as listed on page 2 of the application.

I,, the undersigned authorized
chief administrative official, submit this proposal on behalf of the applicant agency, attest to the
appropriateness and accuracy of the information contained herein, and certify that this proposal, if funded,
will comply with all relevant requirements of the state and federal laws and regulations.

In addition, funds obtained through this source will be used solely to support the purpose, goals, and objectives as stated herein.

Signature of Authorized Administrative Official:

Name (typed): _____ Date: _____

Town/Agency: _____

Local Plan Summary

Describe the proposed project goals, objectives, and strategies. Include outcomes which are clearly specified and measurable. Clearly defined responsibilities, timelines, and milestones for accomplishing project tasks should also be listed.

Local Plan Narrative Secondary Supplemental Enhancement Grant Application

Describe how the Secondary Supplemental Enhancement Grant implementation will:		
1. Improve and enhance CTE programs.		
2. Enhance, improve, and/or implement a career pathway(s).		
3. Improve students' academic and technical skill development through integration.		
4. Provide students with strong experience in, and understanding of, all aspects of an industry.		
 Encourage CTE students at the secondary level to enroll in rigorous and challenging courses in core academic subjects. 		
6. Provide opportunity for professional learning in this initiative.		
 Ensure that the supplemental enhancement plan is of sufficient size, scope, and quality to bring about improvement in CTE. 		
8. Develop a process to be used to evaluate the effectiveness of the initiative.		
 Ensure that individuals who are members of special populations will not be discriminated against based on this status. 		

10. Promote preparation for nontraditional training and employment.

11. Provide career guidance and academic counseling to CTE students, including linkages to future education and training opportunities.

Secondary Supplemental Enhancement Grant Sustainability Plan

Each school district should include minutes from a meeting of the local board of education that signal support for the initiatives put forward in the Request For Proposal.*

In the space below, please describe how the eligible recipient will demonstrate their commitment to continue the work of this project beyond the work of this grant.

*If you are unable to obtain the board of education minutes before the application deadline, you may submit the minutes after the next local board of education meeting.

Instructions for ED114 Budget Form

GRANTEE NAME:	Enter grantee name.
TOWN CODE:	Enter three-digit local education agency code assigned by the Connecticut State Department of Education.
AUTHORIZED AMOUNT:	Enter total amount of grant allotment.
BUDGET:	Enter amount of proposed expenditures on appropriate object code lines. Note: Round all amounts to the nearest whole dollar.
TOTAL:	Enter the total of proposed expenditures. Note: This figure should equal the AUTHORIZED AMOUNT.
EQUIPMENT NOTE:	Funds expended for Code 700. Single items under \$5,000 should not be charged to equipment unless they are an integral part of a larger piece of equipment. Items under \$5,000 should be listed as instructional supplies. COMPUTERS REGARDLESS OF COST ARE CONSIDERED EQUIPMENT.
PROGRAM CATEGORIES:	List the amount of the grant that is being expended for each of the program areas. The total amount should equal the authorized amount and the amount listed on the total line above.

ED114	FISCAL YEAR 2020	BUDGET FORM	FUNDING STATUS:
GRANT	EE NAME:		TOWN CODE:
GRANT	TITLE: CARL D PERKINS CA	REER AND TECHNICAL EDU	JCATION IMPROVEMENT ACT
PROJEC	T TITLE: SUPPLEMENTAL EN	NHANCEMENT GRANT	
CORE-C	T CLASSIFICATION: FUN	D: 12060 SPID: 20742	PROGRAM: 84010
BU	DGET REFERENCE: 2019	CHARTFIELD1: 170003	CHARTFIELD2: SDE00006
GRANT	PERIOD: <u>3/3/2020-8/31/2020</u>	AUTHORIZED AMOUNT: <u>\$</u>	
AUTHO	RIZED AMOUNT BY SOURCE	CURRENT	DUE: \$
	DESCRIPTIONS		BUDGET AMOUNT
111A	NON-INSTRUCTIONAL		
111B	INSTRUCTIONAL		
200	PERSONAL SERVICES-EMPLO	YEE BENEFITS	
320	PROFESSIONAL EDUCATION S	ERVICES	
322	IN-SERVICE		
330	EMPLOYEE TRAINING AND DE	EVELOPMENT SERVICES	
510	STUDENT TRANSPORTATION	SERVICES	
580	TRAVEL		
600	SUPPLIES		
700	PROPERTY		
917	INDIRECT COSTS		
	TOTAL		

__ORIGINAL REQUEST DATE

___ REVISED REQUEST DATE

STATE DEPARTMENT OF EDUCATION PROGRAM MANAGER AUTHORIZATION DATE OF APPROVAL

Budget Narrative Instructions

In preparing the budget narrative, provide a complete description of the expenditure for each of the codes being used and identify the program area and course(s) of the required use of funds to which the expenditure applies. Please refer to the Perkins Budget Buddy Guide found at <u>Budget Buddy Guide.pdf</u> preparing the budget narrative.

- 1) Each line item in the budget narrative must identify the school(s) that will receive funds.
- 2) Program improvement line items in the budget narrative must stipulate the CTE program area and the course(s) being funded.
- 3) Each line item in the budget narrative must give a detailed description of the item(s) that will be purchased, including quantity and unit cost. Personnel costs should be shown by the number of positions, time involved, and hourly rate.
- 4) No more than 5 percent of the grant may be used for administrative purposes including approved indirect costs. Only school districts and regional educational service centers that have submitted indirect cost proposals for fiscal year 2017-18 may apply indirect costs.
- 5) Compute all expenditures to the nearest dollar by line item. Do not include cents.

Budget Narrative

Code	Object	Amount of			
		Code Line			
111A	Non-Instructional				
Amount	Amounts paid to administrative employees of the grantee not involved in providing direct services to				

pupils/clients. Include all gross salary payments for these individuals while they are on the grantee payroll including overtime salaries or salaries paid to employees of a temporary nature.

No more than 5% of the total grant may be used for administrative purposes including indirect costs (917). Line item 111A is considered an administrative cost, and administrative expenses in other budget code lines including (200), (322), and (580) must be calculated into the 5% administrative cap.

Position Name/Name of Individual	Description of Duties	Hourly Rate x Total Hours	How will this improve the CTE program?	Total
Position Name: Individual Name:				
Position Name: Individual Name:				
Position Name: Individual Name:				

Code	Object	Amount of Code Line
111B	Instructional	

Salaries for employees providing direct instruction/counseling to pupils/clients. This category is used for both counselors and teachers. Include all salaries for these individuals <u>while they are on the grantee payroll</u> including overtime salaries or salaries of temporary employees. Substitute teachers or teachers hired on a temporary basis to perform work in positions of either a temporary or permanent nature are also reported here. Individuals whose services are acquired through a contract are <u>not included</u> in the category. A person for whom the grantee is paying employee benefits and who is on the grantee payroll is included in this budget code; a person who is paid a fee (such as a private consultant) with no grantee obligation for benefits is not.

Name of Staff and Name of Position	Description of Duties	Compensation Formula: Hourly Rate x Total Hours or Set Stipend	How will this improve the CTE program?	Total
Name of Staff: Name of Position:				
Name of Staff: Name of Position:				

Code	Object						
200 Personal Services - Employee Benefits Amounts paid by the grantee on behalf of the employees whose salaries are reported in objects 111A and 111B. These amounts are not included in the gross salary, but are in addition to that amount. Such payments are fringe benefit payments and while not paid directly to employees, these payments are nevertheless part of the cost of personnel services. Included are the employer's cost of group insurance, social security contribution, retirement contribution, tuition reimbursement, unemployment compensation and workmen's compensation insurance. Benefits may not exceed 25% of the total salary/stipend paid.							
Position Name/Name of Individual	Career Cluster/ CTE Program Area	Type of Benefits	Compensation Formula	Total			
Position Name: Individual Name:							
Position Name: Individual Name:							
Position Name: Individual Name:							

Code	Object							
assessment, co	Service supporting the instructional program and its administration. Included are curriculum improvement services, assessment, counseling and guidance services, library and media support, contracted instructional services and substitute services. Code Line							
Individual and Organization H Service		Description of Service	How will this improve the CTE program?	Total Cost				
Individual/Orga	nization Name:							
Individual/Orga	nnization Name:							
Individual/Orga	nization Name:							
Individual/Orga	nization Name:							
Individual/Orga	nization Name:							

Code		Object							
the teaching	for services performing process. This cate	e (Instructional Progra ed by persons qualified gory includes curriculur ch contractor separate	to assist teachers and s n consultants, in-servi	supervisors to enhan	· ·				
	/Organization	Name of Staff Receiving In-Service	Title of Event, Location and Date	How will this improve the CTE program?	Per Person x Cost=Total				
Individual/	Organization Name:	Staff Name(s):	Title of Event: Location:						
			Date:						
Individual/	Organization Name:	Staff Name(s):	Title of Event: Location:						
Individual/	Organization Name:	Staff Name(s):	Date: Title of Event:						
indi vidual/	organization (value).	Sur Mulo(3).	Location:						
Code		Ot	Date: ject		Amount of Code Line				
administra charges fr expenditu	supporting the profest ative, and service em rom external vendors rres associated with tr I/Organization	ployee Training and Dsional and technical devployees. Included are coto conduct training courraining or professional dName of StaffReceiving Training	elopment of school dis ourse registration fees rses (at either school d evelopment by third-p Title of Event, Location and	(that are not tuition r istrict facilities or of arty vendors. How will this improve the CTE	eimbursement),				
			Date	program?					
	Organization Name:	Staff Name(s):	Date Title of Event: Location: Date:	program?					

Code		Object		Amount of Code Line
	g pupils to and from s	portation Services chool and other activiti ting handicapped child	ies. Included are such ite ren.	ms as bus rentals
Name of Facul Supervisor(s)	Courses Utilizing Student Transportation & Estimated Number of Students	Title of Event, Date and Location	How will this improve the CTE program?	Transportation Company Cost/per unit =Total
Name:	Course:	Title of Event:		
	Number of Students:	Location:		
		Date:		
Name:	Course:	Title of Event:		
	Number of Students:	Location:		
		Date:		
Name:	Course:	Title of Event:		
	Number of Students:	Location:		
		Date:		
Name:	Course:	Title of Event:		
	Number of Students:	Location:		
		Date:		
Name:	Course:	Title of Event:		
	Number of Students:	Location:		
		Date:		
Name:	Course:	Title of Event:		
	Number of Students:	Location:		
		Date:		

Code		Object		Amount of Code
Coue		Object		Line
580		Travel		
Expenditures for tr	ansportation, hotel a	nd other expenses asso	ociated with staff travel.	
• Travel must be	for instructional purp	ooses, otherwise it is a	n administrative cost subject to the	5% cap.
• Travel for CTS	O advisors to Nation	al CTSO Conferences	cannot utilize Perkins funds.	
		al cars, boat slips or do	ocking fees.	
	indable expenses.			
Staff Name	Courses to be	Title of Event,	How will this improve the CTE	Cost per unit- (list
Receiving Travel Funds	Improved by Travel	Date and Location	program?	hotel,
runus	Travel	Location		transportation, shuttles, etc.) x
				pp =Total
Name:	Course:	Title of Event:		
r tunic.	course.	The of Event.		
		Location:		
		Location.		
		Date:		
Name:	Course:	Title of Event:		
		Location:		
		Date:		
Name:	Course:	Title of Event:		
		Location:		
		Date:		
Name:	Course:	Title of Event:		
		Location:		
		Date:		
Name:	Course:	Title of Event:		
		Location:		
		Date:		

Code	Object					
600 Expenditures for nor	n-consumable items	Supplies	onal use. List each item separatel	Line		
Career Pathway or Program Area	Name of Course	List each item, including description of item and vendor	How will this improve the CTE program?	Quantity x Cost per Unit = Total		
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:				
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:				
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:				
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:				
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:				
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:				

Code		Object		Amount of Code Line
items of equipment peripherals (regardle purchased with Perki	with a value of or ss of unit cost) sh ins funds.	ver $\frac{$5,000}{100}$ and the us ould be listed in this c	nition of equipment, included in eful life of more than one year category. No vehicles or drivabl for requested property <u>by pathw</u>	this category are all All computers and e equipment may be
Career Pathway or Program Area	Name of Course	List each item, including description of item and vendor	How will this improve the CTE program?	Quantity x Cost per Unit = Total
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:		
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:		
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:		
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:		
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:		

Code	Object	Amount of Code Line					
	 917 Indirect Costs Only grantees that have received indirect costs in 2017-2018 may use this line item. Indirect costs are an administrative cost subject to the 5% cap. 						

Instructions for Secondary Equipment Request Form

Equipment purchases must be part of an overall program improvement proposal for the CTE program. Equipment requests must be accompanied by a description of curriculum improvement/development within the program area where the equipment will be used.

In the budget narrative, indicate the amount of funds to be expended on property, Code 700. Single items under \$5,000 should not be charged to equipment in this grant unless they are an integral part of a larger piece of equipment, such as a computer system. These items should be listed as instructional supplies.

COMPUTERS REGARDLESS OF COST ARE CONSIDERED EQUIPMENT.

Complete the Equipment Request Form as follows:

- A. GRANTEE Enter grantee's name.
- B. ADDRESS Enter grantee's address.
- C. DATE SUBMITTED Enter date submitted.
- D. PERSON COMPLETING FORM Indicate the name, title and telephone number of person completing the form.
- E. PROGRAM CLUSTER Submit a *SEPARATE* equipment form for each program area. Indicate the program cluster for which equipment is being requested.
- F. REQUEST FOR EQUIPMENT The state program area consultant will review the equipment for appropriateness. Freight and installation costs and instructor training are permissible under this section. Leasing of equipment is allowable under Perkins IV. Equipment requiring accessories to become operational is to be grouped with other equipment that requires accessories and listed as a single unit.
- G. List only one type of equipment on each line. Describe the equipment, give the manufacturer's number, model number, quantity, unit cost, freight, installation (if any), and total cost for each item. State the intended location of equipment and indicate the number of such existing items on hand and give the estimated number of students who will use the equipment per day.
- H. Add the total cost for all line items and enter the total on the Equipment Request Form.

Secondary Equipment Request Form 3/3/2020 – 8/31/2020 **Supplemental Enhancement Grant**

1. Prepare a separate Equipment Request Form for each cluster.

2. Single component items under \$5,000 and all computers and computer peripherals should not be coded as equipment unless all the component items comprise a larger piece of equipment and have a useful life of one year or more. Items that do not meet the definition of equipment are to be coded as instructional supplies.

Grantee:			Address:			Date Submitted:
Name of Person Completing Form:			Title:		Telephone:	
(Check the Career Cluster for which equipment is being re-	equested. Check or	ne program cluster only.	For	shared resources, indicate th	e primary cluster.
	Agriculture, Food and Natural Resources Architecture and Construction Business Management and Administration	Finance Health Scienc Hospitality an			Manufacturing Marketing Science, Technology, Engi	neering and Mathematics (STEM)

Information Technology

REQUEST FOR EQUIPMENT: Enter only one type of equipment on a line.

Item No.	Description	Qty	Unit Cost	Freight/Install/ Training Charge	Total Cost	Location of Equipment (What CTE area/room)

Education and Training

TOTAL EQUIPMENT REQUEST: \$_____ TOTAL EQUIPMENT APPROVED: \$_____

Approved by CSDE Consultant

Date

Original Requested Amount

Transportation, Distribution and Logistics

Amended Requested Amount

Local Uses of Perkins IV Funds

Required Uses of Perkins IV Funds to (section 135):

- 1. Strengthen the academic and career and technical skills of students participating in career and technical education programs, by strengthening the academic and career and technical education components of such programs through the integration of academics with career and technical education programs through a coherent sequence of courses, such as career and technical programs of study described in section 122(c)(1)(A), to ensure learning in
 - a. the core academic subjects (as defined in section 9101 of the Elementary and Secondary Education Act of 1965); and
 - b. career and technical education subjects;
- 2. link career and technical education at the secondary level and career and technical education at the postsecondary level, including by offering the relevant elements of not less than 1 career and technical program of study described in section 122(c)(1)(A);
- 3. provide students with strong experience in and understanding of all aspects of an industry, which may include work based learning experiences;
- 4. develop, improve, or expand the use of technology in career and technical education, which may include
 - a. training of career and technical education teachers, faculty, and administrators to use technology, which may include distance learning;
 - b. providing career and technical education students with the academic and career and technical skills (including the mathematics and science knowledge that provides a strong basis for such skills) that lead to entry into the technology fields; or
 - c. encouraging schools to collaborate with technology industries to offer voluntary internships and mentoring programs, including programs that improve the mathematics and science knowledge of students;
- 5. provide professional development programs that are consistent with section 122 to secondary and postsecondary teachers, faculty, administrators, and career guidance and academic counselors who are involved in integrated career and technical education programs, including
 - a. in-service and preservice training on
 - i. effective integration and use of challenging academic and career and technical education provided jointly with academic teachers to the extent practicable;
 - ii. effective teaching skills based on research that includes promising practices;
 - iii. effective practices to improve parental and community involvement; and
 - iv. effective use of scientifically based research and data to improve instruction;
 - b. support of education programs for teachers of career and technical education in public schools and other public school personnel who are involved in the direct delivery of educational services to career and technical education students, to ensure that such teachers and personnel stay current with all aspects of an industry;
 - c. internship programs that provide relevant business experience; and
 - d. programs designed to train teachers specifically in the effective use and application of technology to improve instruction;

- 6. develop and implement evaluations of the career and technical education programs carried out with funds under this title, including an assessment of how the needs of special populations are being met;
- 7. initiate, improve, expand, and modernize quality career and technical education programs, including relevant technology;
- 8. provide services and activities that are of sufficient size, scope, and quality to be effective; and
- 9. provide activities to prepare special populations, including single parents and displaced homemakers who are enrolled in career and technical education programs, for high skill, high wage, or high demand occupations that will lead to self-sufficiency.

Permissible Uses of Funds to:

- to involve parents, businesses, and labor organizations as appropriate, in the design, implementation, and evaluation of career and technical education programs authorized under this title, including establishing effective programs and procedures to enable informed and effective participation in such programs;
- 2. to provide career guidance and academic counseling, which may include information described in section 118, for students participating in career and technical education programs, that
 - a. improves graduation rates and provides information on postsecondary and career options, including baccalaureate degree programs, for secondary students, which activities may include the use of graduation and career plans; and
 - b. provides assistance for postsecondary students, including for adult students who are changing careers or updating skills;
- 3. for local education and business (including small business) partnerships, including for
 - a. work-related experiences for students, such as internships, cooperative education, schoolbased enterprises, entrepreneurship, and job shadowing that are related to career and technical education programs;
 - b. adjunct faculty arrangements for qualified industry professionals; and
 - c. industry experience for teachers and faculty;
- 4. to provide programs for special populations;
- 5. to assist career and technical student organizations;
- 6. for mentoring and support services;
- 7. for leasing, purchasing, upgrading or adapting equipment, including instructional aids and publications (including support for library resources) designed to strengthen and support academic and technical skill achievement;
- 8. for teacher preparation programs that address the integration of academic and career and technical education and that assist individuals who are interested in becoming career and technical education teachers and faculty, including individuals with experience in business and industry;
- 9. to develop and expand postsecondary program offerings at times and in formats that are accessible for students, including working students, including through the use of distance education;
- 10. to develop initiatives that facilitate the transition of sub-baccalaureate career and technical education students into baccalaureate degree programs, including

- a. articulation agreements between sub-baccalaureate degree granting career and technical education postsecondary educational institutions and baccalaureate degree granting postsecondary educational institutions;
- b. postsecondary dual and concurrent enrollment programs;
- c. academic and financial aid counseling for sub-baccalaureate career and technical education students that informs the students of the opportunities for pursuing a baccalaureate degree and advises the students on how to meet any transfer requirements; and
- d. other initiatives
 - i. to encourage the pursuit of a baccalaureate degree; and
 - ii. to overcome barriers to enrollment in and completion of baccalaureate degree programs, including geographic and other barriers affecting rural students and special populations;
- 11. to provide activities to support entrepreneurship education and training;
- 12. for improving or developing new career and technical education courses, including the development of new proposed career and technical programs of study for consideration by the eligible agency and courses that prepare individuals academically and technically for high skill, high wage, or high demand occupations and dual or concurrent enrollment opportunities by which career and technical education students at the secondary level could obtain postsecondary credit to count towards an associate or baccalaureate degree;
- 13. to develop and support small, personalized career themed learning communities;
- 14. to provide support for family and consumer sciences programs;
- 15. to provide career and technical education programs for adults and school dropouts to complete the secondary school education, or upgrade the technical skills, of the adults and school dropouts;
- 16. to provide assistance to individuals who have participated in services and activities under this Act in continuing their education or training or finding an appropriate job, such as through referral to the system established under section 121 of Public Law 105–220 (29 U.S.C. 2801 et seq.);
- 17. to support training and activities (such as mentoring and outreach) in non-traditional fields;
- 18. to provide support for training programs in automotive technologies;
- 19. to pool a portion of such funds with a portion of funds available to not less than 1 other eligible recipient for innovative initiatives, which may include
 - a. improving the initial preparation and professional development of career and technical education teachers, faculty, administrators, and counselors
 - b. establishing, enhancing, or supporting systems for
 - i. accountability data collection under this Act; or
 - ii. reporting data under this Act;
 - c. implementing career and technical programs of study described in section 122(c)(1)(A); or
 - d. implementing technical assessments; and
- 20. to support other career and technical education activities that are consistent with the purpose of this Act.

CARL D. PERKINS CAREER AND TECHNICAL EDUCATION IMPROVEMENT ACT ASSURANCES

The Grant recipient will

- 1. improve the academic and technical skills of students participating in career and technical education programs by strengthening the academic and career and technical education (CTE) components of such programs through the integration of coherent and rigorous content aligned with challenging academic standards and relevant career and technical education programs to ensure learning in the subjects that constitute a well-rounded education [Section 2354 (B)(4)];
- 2. provide activities to prepare special populations for high-skill, high-wage, or in-demand industry sectors or occupations that will lead to self-sufficiency;[Section 2354 (B)(5)(A)];
- 3. prepare CTE participants for non-traditional fields [Section 2354 (5)(B)];
- 4. provide equal access for special populations to career and technical education courses, programs, and programs of study [Section 2354 (B)(5)(C)];
- 5. ensure that members of special populations will not be discriminated against on the basis of their status as members of special populations [Section 2354 (B)(5)(C)];
- 6. provide a CTE program sufficient in size, scope, and quality to meet the needs of all students served by the eligible recipient [Section 2354 (C)(2)(B)(i)];
- 7. provide to students participating in career and technical education work based learning programs and will work with representatives from employers to develop or expand work based learning opportunities for career and technical education students, as applicable [Section 2354 (B)(6)];
- 8. ensure all equipment purchased under the Act complies with Education Department General Administrative Regulations [34 CFR Sec. 74.34];
- 9. provide sufficient information to the State Department of Education to enable them to comply with the provisions of the Carl D. Perkins Career and Technical Education Act (Perkins Act) [20 U.S.C. §2301 et. seq.];
- 10. will utilize the available CTE standards and curriculum from the State Board of Education [C.G.S. Section 10-18€]; and
- 11. guarantee the funds made available under the Perkins Act shall be used to supplement not supplant non-federal funds used to provide CTE activities.
- I, the undersigned authorized official hereby certify that these assurances shall be fully implemented.

Signature:	
Name (typed):	
Title (typed):	
Date:	

STATEMENT OF ASSURANCES

CONNECTICUT STATE DEPARTMENT OF EDUCATION STANDARD STATEMENT OF ASSURANCES GRANT PROGRAMS

PROJECT TITLE:	
-	
THE APPLICANT:	HEREBY ASSURES THAT:

(insert Agency/School/CBO Name)

- A. The applicant has the necessary legal authority to apply for and receive the proposed grant;
- B. The filing of this application has been authorized by the applicant's governing body, and the undersigned official has been duly authorized to file this application for and on behalf of said applicant, and otherwise to act as the authorized representative of the applicant in connection with this application;
- C. The activities and services for which assistance is sought under this grant will be administered by or under the supervision and control of the applicant;
- D. The project will be operated in compliance with all applicable state and federal laws and in compliance with regulations and other policies and administrative directives of the State Board of Education and the Connecticut State Department of Education;
- E. Grant funds shall not be used to supplant funds normally budgeted by the agency;
- F. Fiscal control and accounting procedures will be used to ensure proper disbursement of all funds awarded;
- G. The applicant will submit a final project report (within 60 days of the project completion) and such other reports, as specified, to the Connecticut State Department of Education, including information relating to the project records and access thereto as the Connecticut State Department of Education may find necessary;
- H. The Connecticut State Department of Education reserves the exclusive right to use and grant the right to use and/or publish any part or parts of any summary, abstract, reports, publications, records and materials resulting from this project and this grant;
- I. If the project achieves the specified objectives, every reasonable effort will be made to continue the project and/or implement the results after the termination of state/federal funding;
- J. The applicant will protect and save harmless the State Board of Education from financial loss and expense, including legal fees and costs, if any, arising out of any breach of the duties, in whole or part, described in the application for the grant;

K. At the conclusion of each grant period, the applicant will provide for an independent audit report acceptable to the grantor in accordance with Sections 7-394a and 7-396a of the Connecticut General Statutes, and the applicant shall return to the Connecticut State Department of Education any moneys not expended in accordance with the approved program/operation budget as determined by the audit;

L. REQUIRED LANGUAGE (NON-DISCRIMINATION)

References in this section to "contract" shall mean this grant agreement and to "contractor" shall mean the Grantee.

(a) For purposes of this Section, the following terms are defined as follows:

- i. "Commission" means the Commission on Human Rights and Opportunities;
- ii. "Contract" and "contract" include any extension or modification of the Contract or contract;
- iii. "Contractor" and "contractor" include any successors or assigns of the Contractor or contractor;
- iv. "Gender identity or expression" means a person's gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person's physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the genderrelated identity is sincerely held, part of a person's core identity or not being asserted for an improper purpose.
- v. "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;
- vi. "good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;
- vii. "marital status" means being single, married as recognized by the State of Connecticut, widowed, separated or divorced;
- viii. "mental disability" means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", or a record of or regarding a person as having one or more such disorders;
- ix. "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) who are active in the daily affairs of the enterprise, (2) who have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of C.G.S. § 32-9n; and
- x. "public works contract" means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

For purposes of this Section, the terms "Contract" and "contract" do not include a contract where each contractor is (1) a political subdivision of the state, including, but not limited to, a municipality, unless the contract is a municipal public works contract or quasi-public agency project contract, (2) any other state, including but not limited to any federally recognized Indian tribal governments, as defined in C.G.S. § 1-267, (3) the federal government, (4) a foreign government, or (5) an agency of a subdivision, state or government described in the immediately preceding enumerated items (1), (2), (3),or (4).

- (b) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status as a veteran, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status as a veteran, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved; (2) the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Commission; (3) the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers' representative of the Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Contractor agrees to comply with each provision of this Section and C.G.S. §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to C.G.S. §§ 46a-56, 46a-68e, 46a-68f and 46a-86; and (5) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and C.G.S. § 46a-56. If the contract is a public works contract, municipal public works contract or contract for a quasi-public agency project, the Contractor agrees and warrants that he or she will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works or quasi-public agency projects.
- (c) Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.
- (d) The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.
- (e) The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and in every subcontract entered into in order to fulfill any obligation of a municipal public works contract for a quasi-public agency project, and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with C.G.S. § 46a-56, as amended; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission regarding a State contract, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

- (f) The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.
- (g) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (2) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (3) the Contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to C.G.S. § 46a-56; and (4) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and C.G.S. § 46a-56.
- (h) The Contractor shall include the provisions of the foregoing paragraph in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with C.G.S. § 46a-56 as amended; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission regarding a State contract, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.
- M. The grant award is subject to approval of the Connecticut State Department of Education and availability of state or federal funds.
- N. The applicant agrees and warrants that Sections 4-190 to 4-197, inclusive, of the Connecticut General Statutes concerning the Personal Data Act and Sections 10-4-8 to 10-4-10, inclusive, of the Regulations of Connecticut State Agencies promulgated there under are hereby incorporated by reference.

I, the undersigned authorized official, hereby certify that these assurances shall be fully implemented.

Superintendent Signature:	
Name: (typed)	
Title: (<i>typed</i>)	
Date:	

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transactions

This certification is required by the Department of Education regulations implementing Executive Order 12549, Debarment and Suspension, 34 CFR Part 85, for all lower tier transactions meeting the threshold and tier requirements stated at Section 85.110.

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to whom this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

4. The terms "covered transaction,' 'debarred,' 'suspended,' 'ineligible,' lower tier covered transaction,' 'participant,' 'person,' 'primary takeover~ transaction,' 'principal,' 'proposal,' and 'voluntarily excluded,' as used in this clause, have the meanings set out in the Definitions and Coverage sections of roles implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated. 6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion-Lower Tier Covered Transactions,' without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Non-procurement List.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by *this* clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Name of Applicant

PR/AWARD Number and/or Project Name

Printed Name and Title of Authorized Representative

Signature

Date

APPENDIX A

2020 Secondary Supplemental Enhancement Grant Proposal Scoring Guide

Connecticut State Department of Education Academic Office	Total Score:/18
District Name:	
Address:	
Contact:	
Evaluator:	Date Reviewed:

Essential Elements	Dimension	Points Circle One
Plan summary/abstract provides a clear comprehensive description of plan.	Plan summary provides a clear, concise synopsis of the plan objectives.	3
	Plan summary is somewhat clear and provides satisfactory supporting details.	2
	Plan summary lacks clarity and the supporting details.	1
	Plan summary is missing or completely unclear.	0
Local Plan Narrative addresses all required activities with measurable objectives.	All 11 elements in the Local Plan Narrative are clear, concise, measurable, and meet legislative requirements.	3
	The 11 elements in the Local Plan Narrative are satisfactory, measurable, and meet legislative requirements.	2
	The 11 elements in the Local Plan Narrative are unclear, not measurable or do not meet legislative requirements.	1
	The 11 elements in the Local Plan Narrative are not provided, completely unclear, not measurable or do not meet legislative requirements.	0
Proposal reflects innovation directly improving the CTE programs in the district.	Proposal describes a new initiative, which strives to raise the bar for CTE students.	3
	Proposal reflects an innovative, insightful initiative developed for purposes of enhancing the current CTE district program in closing the achievement gap for CTE innovation students.	2
	Proposal is vague, lacks vision in addressing ongoing future needs of CTE students.	1
	Proposal is missing, completely unclear or does not offer improved opportunities for CTE students.	0

Essential Elements	Dimension	Points Circle One
Acceptability of the sustainability plan.	District has provided a concise, acceptable sustainability plan.	3
	District has provided a sustainability plan.	2
	District's plan does not support sustainability or plan objectives.	1
	A sustainability plan is missing.	0
ED114 Budget Form is correct and complete and where appropriate Secondary Equipment Request Form.	ED114 Budget Form is correct and complete.	3
	ED114 Budget Form is missing some information or calculated incorrectly.	2
	ED114 Budget Form is incomplete or incorrect.	1
	ED114 Budget Form is missing.	0
Budget Narrative Form follows state procedures.	The Budget Narrative Form is allowable by law and supports the project's goals and objectives and matches the accounting structure.	3
	The Budget Narrative Form is allowable by law and supports the project's goals and objectives but there are inaccuracies.	2
	Some, not all, of the Budget Narrative Form supports the project's goals and objectives.	1
	The Budget Narrative Form does not match the project goals and objectives or is incomplete.	0

COMMENTS:

_

Total Points: __/18

APPENDIX B

ANNIE E. CASEY FOUNDATION

All Request for Proposals for competitive grants related to at risk youth must contain the uniform language that follows:

Applicants that are part of a collaborative effort funded in whole or in part by the Annie E. Casey Foundation must submit documentation that:

- The collaborative oversight entity has been provided the opportunity to review and comment on the grant application or proposal prior to submission to the CSDE.
- The proposal or application submitted provides information detailing the activities, which assure priority access to services to children, youth, and families referred by the collaborative oversight entity.
- The application shall designate someone to act as liaison for the referral process.