

OSE NEWS

September 2021

Enforcement Action Update

Docket No. 2021-04

In the Matter of a Complaint against Erik Johnson.

On September 21, 2021, the OSE and the Respondent entered into a Consent Order, in which the Respondent admitted to violating Section 1-84b (g), which prohibits members of a quasi-public agency from seeking, accepting, or holding employment with a party to a contract valued at \$50,000 or more for a period of one year after the signing of the contract, where the member participated substantially in the negotiation or award of the contract. The Respondent violated Section 1-84b (g) when he entered into an agreement within one year with one of the parties to the contract.

Under the terms of the Stipulation and Consent Order, Respondent agreed to pay a civil penalty of \$2,500.

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Post-State Employment (Revolving Door)

Ethics Liaisons! Remind *former* or soon to be former employees of the Ethics Code's revolving door provisions:

Lifetime Bans

- **Confidential Information:** You may **never** disclose any confidential information you learned during the course of your state service for anyone's financial gain. General Statutes § 1-84a. "Confidential information" is defined by Regs., Conn. State Agencies § 1-81-15.
- **Side-Switching:** You may **never** represent anyone other than the state regarding a particular matter in which you were personally or substantially involved while in state service and in which the state has a substantial interest. This prevents side-switching in the midst of on-going state proceedings. General Statutes § 1-84b (a).

One-year Bans

- **Cooling-Off:** You may not represent anyone, other than the state, for compensation before your former agency for a period of **one year** after leaving state service. General Statutes § 1-84b (b).
- **State Contracts:** You are prohibited from being hired for a period of **one year** after you leave state service by a party to a state contract valued at \$50,000 or more if you were substantially involved in, or supervised, the negotiation or award of that contract and it was signed within your last year of state service. General Statutes § 1-84b (f).

[Public Officials and State Employees Guide](#)

Our Number One Rule: Always Get Advice!



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