

# OSE NEWS

## January 2020

### Connecticut General Assembly 2020 Regular Session

The 2020 Regular Session convenes February 5<sup>th</sup>, 2020 and adjourns May 6<sup>th</sup>, 2020.

### Administrative Lobbying – Badge Required

*Administrative Lobbying* is any lobbying to affect the rules or regulations of an executive agency or any other matter within the official cognizance of that agency, also including lobbying to affect the actions of an executive or quasi-public agency regarding a contract, grant, award, purchasing agreement, etc.

Each individual who is a lobbyist shall, while engaged in lobbying, wear a distinguishing badge which shall identify them as a lobbyist. General Statutes § 1-101.



Exceptions to the requirement to register as an administrative lobbyist  
Regulations of Connecticut State Agencies [§ 1-92-42a](#)

### Revised Publications for Public Officials and State Employees

- [Connecticut State Legislators Guide to the Code of Ethics](#) (Rev.1/2020)
- [Public Officials and State Employees Guide to the Code of Ethics](#) (Rev.1/2020)
- [Necessary Expenses and Gifts to the State Guide for Public Officials and State Employees](#) (Rev.1/2020)
- [Citizen's Guide to Filing a Complaint](#) (Rev. 1/2020)

### Ethics Liaison Action Items

#### REMINDER: Review Ethics Liaison List for Accuracy

##### Designation of Filers of Statements of Financial Interests

As we continue to prepare for the May 1, 2020 Statements of Financial Interests filing deadline; recent retirements, and employee transfers may require changes to the list of required SFI filers.

Please take some time during the upcoming weeks to update the list of SFI filers using the online filing system. Please complete updates by **Monday, March 2, 2020**.

##### [Governor's Standard and Designation Guidelines](#) (Rev. 1/2020)

The current standard requires "filing of Annual Statements of Financial Interests by all persons in the Executive Branch and Quasi-Public Agencies who exercise (i) significant policy-making, regulatory or contractual authority; (ii) significant decision-making and/or supervisory responsibility for the review



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and/or award of State contracts; or (iii) significant decision-making and/or supervisory responsibility over staff that monitor State contracts.”

The designation list for each agency must be reviewed and updated by the Ethics Liaison.

**For New Employees:** Within ten days, the ethics liaison should add the new employee to the designation list and enter them into the filing system.

New employees who assume their designated positions after March 31 must file SFIs for the preceding year within 30 days of assuming such positions. New employees who assume their designated positions between January 1 and March 31 must file SFIs for the preceding year by May 1.

**For Departing Employees:** Within ten days, the ethics liaison must update the designation list and enter the departure date of the individual, this action prompts the Office of State Ethics to notice these individuals of their requirement to file an SFI within 60 days of their departure.

Current employees who are designated filers must file annually by May 1.

## Our Number One Rule: Always Get Advice!

*Nancy S. Nicolescu*  
*Director of Education & Communications*  
**Ethics Matters!**



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