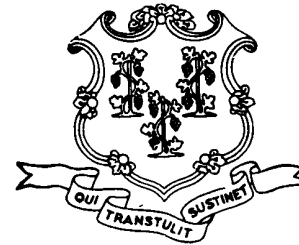


STATE OF CONNECTICUT  
DEPARTMENT OF CONSUMER PROTECTION  
Liquor Control Division

Phone: (860) 713-6210

Email: [dcp.liquorcontrol@ct.gov](mailto:dcp.liquorcontrol@ct.gov)

Website: <https://portal.ct.gov/DCP/Agency-Administration/Division-Home-Pages/Liquor-Control-Division>



**INSTRUCTIONS AND INFORMATION:**

**Manufacturer of Spirits; or  
Manufacturer of Beer; or  
Manufacturer Farm Winery; or  
Manufacturer of Wine, Cider & Mead  
Liquor Permit Application**

**PLEASE READ ALL INSTRUCTIONS AND INFORMATION BEFORE COMPLETING APPLICATION.  
APPLICATION WILL NOT BE ACCEPTED IF INCOMPLETE OR IF ANY REQUIRED DOCUMENT IS  
MISSING.**

**Fees and Form of Payment:**

The “*MANUFACTURER LIQUOR PERMIT FEE SCHEDULE*” is included in the application package. Checks and/or money orders should be made payable to “*Treasurer, State of Connecticut*” and must accompany this application. **The application filing fee of \$100.00 is included in the total fees and is not refundable.**

**The Application Process**

\*\*Once we are in receipt of your complete and correctly executed application and filing fee, a Liquor Placard will be emailed to you with additional instructions for public notification. **The placarding process is critical to application approval. A placarding error can delay a permit approval at least two additional months.** A Liquor Control Agent will then be assigned to your file and will contact you to begin the remainder of the new application process. If for any reason a Final Liquor Permit is not issued within a period of one year, you will be required to file another application.\*\*

**Definitions**

**Permittee** – The permittee is the applicant for the liquor permit. The permittee is a person designated as the representative of the backer for the permit premises. The permittee must be able to read and understand English. The permittee can be the owner/backer of the business that holds the permit.

**Backer** – An individual or legal business entity that owns the business to which the liquor permit is issued.

**Authorized Backer Representative** – An individual who is legally authorized by the nature of the position held (i.e. corporate officer) in the business, or through a power of attorney to sign documents and make decisions related to the liquor permit.

**\*\*Section 30-45** of the Connecticut General Statutes prohibits the following individuals and officer holders from obtaining a liquor permit. Section 30-45 appears below and the prohibited individuals are noted. If you are a prohibited individual, you may not apply for a liquor permit.

CT General Statutes, Section 30-45: The department of consumer protection shall refuse permits for the sale of alcoholic liquor to the following persons (1) Any sheriff, deputy sheriff, judge of any court, prosecuting officer or member of any police force, (2) a minor, and (4) any constable who performs criminal law enforcement duties and is considered a peace officer by town ordinance pursuant to the provisions of subsection (a) of section 54-1f, any constable who is certified under the provisions of sections 7-294a to 7-294e, inclusive, who performs criminal law enforcement duties pursuant to the provisions of subsection (c) of section 54-1f, or any special constable appointed pursuant to section 7-92. This section shall not apply to out-of-state shippers', boat and airline permits. As used in this section, "minor" means a minor as defined in section 1-1d or as defined in section 30-1, whichever age is older.

# APPLICATION INSTRUCTIONS AND DOCUMENTS REQUIRED FOR MANUFACTURER OF SPIRITS, MANUFACTURER OF BEER OR MANUFACTURER FARM WINERY OR MANUFACTURER OF WINE, CIDER & MEAD PERMIT APPLICATION TO BE ACCEPTED

## APPLICATION FOR MANUFACTURER OF SPIRITS OR MANUFACTURER OF BEER OR MANUFACTURER FARM WINERY OR MANUFACTURER WINE, CIDER & MEAD

Complete all four pages of the application. Every question must be answered, and all necessary approvals obtained (zoning, fire marshal, town clerk) If left blank, the application will not be accepted. If the question does not apply, enter the word “none”.

### Completing the Application

#### Section A: Business Information

**Item #1; #3 through #9** – Complete this section with type of permit selected, trade name and business information. Include any entertainment you may have at your premises. After your permit is issued, entertainment cannot be changed until your yearly permit renewal.

**Item #2** - If you are applying for a provisional permit, please complete the Provisional Permit Agreement form included in this application package (see attached instructions and explanation)

**Item #7** – The department will use the email address listed here as the preferred method to send most communications regarding your liquor permit application and file. **It’s very important to provide a working email address that will be checked regularly.**

#### Section B: Approval of Local Officials

**Items #10 through #12** need to be signed and completed by your local public officials. No applications will be accepted without local zoning approval and town clerk certification.

#### Section C: Permittee Applicant Information

**Item #13 through #17** Please enter name, address and contact information for permittee.

#### Section D: Preferred Mailing Address

**Item #18 and #19** Indicate mailing address for all correspondence. If you would like correspondence mailed to an address other than the business or permittee home address, specify in #19 and #20. **Please Note: A majority of department correspondence will now be emailed to the address listed in Section A, Item #7.**

#### Section E: Backer Information

**Item #20 through #26** – Provide correct backer name in #21. Backer name is the **name** of the Limited Liability Company or Corporation that is registered with the Secretary of the State or the individual name of the Sole Owner that has not formed a LLC or Corporation or the individual names of a Partnership that has not formed a LLC or Corporation, etc.

#### Section F: Current or Previous Liquor Permits Held By Permittee or Backer

**Item #27 through #29** – List current or previously held liquor permits. Include any permits held by permittee, backer; sole proprietor, partner or a member of a partnership organization, corporation, limited liability company etc. If there are none, check “NO” in #27a and #28b.

#### Section G: Certifications Required from Federal and State Agencies

Provide a copy of the approval as a manufacturer obtained from the Alcohol and Tobacco Tax and Trade Bureau (TTB). Also, provide a copy of the Alcoholic Beverages Distributor License from the Connecticut Department of Revenue Services.

**Section H: Certification of Permittee Applicant and Backer or Authorized Representative of Backer**

**Items #30 and #31** - The permittee listed in Section C #13 of the application must sign #30. The backer/owner listed in Section E or authorized backer representative must sign #31.

1. **FEE AND FORM OF PAYMENT:**

The total filing fee of \$1950.00 for Manufacturer of Spirits or \$1500.00 for Manufacturer of Beer or \$400.00 for Manufacturer Farm Winery, or \$300 for Manufacturer Wine, Cider & Mead is required for successful submission of this application. Checks and/or money orders should be made payable to “*Treasurer, State of Connecticut*” and must accompany this application. **The application filing fee of \$100.00 is included in the total filing fee and is not refundable.** If you are filing the application in person with the department, cash may be accepted. You may not submit cash via mail.

2. **PROVISIONAL PERMIT AGREEMENT Form (If Applicable)**

If you check ‘Yes’ to #2 in the initial application, please complete all sections of this form. This form will need to be submitted and completed before a provisional permit can be approved and authorized by the Liquor Control Commission. (Please see “Provisional Permit explanation” form included in this application packet for questions regarding the provisional permit.

3. **SKETCH**

A diagram, sketch, plan or blueprint of the layout of the premises, including patios, **must be 8 ½” x 11”** in size showing all dimensions, height of separations, outside measurements of bars, measurement of doorways separating the barroom from the other rooms, the manufacturing room, all rooms labeled (e.g., dining room, lockable storage area, barroom and kitchen), and any other areas considered to be part of your permit premises. **A diagram, sketch, plan or blueprint larger than 8 ½” x 11” will not be accepted. If needed, you may submit additional 8 ½” x 11” pages.**

4. **SALES TAX NUMBER**

Submit a copy of the Connecticut Sales and Use Tax Permit, or copy of receipt as proof of filing from the Connecticut Department of Revenue Services.

5. **FEDERAL PERMIT APPROVAL (TTB)**

Provide a copy of federal approval as a Manufacturer by the Alcohol and Tobacco Tax and Trade Bureau (TTB).

6. **ALCOHOL BEVERAGES DISTRIBUTOR LICENSE**

Submit a copy of your Alcohol Beverages Distributor License from the Connecticut Department of Revenue Services as proof that a proper tax bond has been posted.

7. **LEASE / DEED / EVIDENCE OF RIGHT TO OCCUPY**

Provide a copy of the lease. The tenant listed on the lease needs to be the backer entity indicated on the application. Any assignment needs to be the backer entity indicated on the application with written consent of the landlord. If the backer entity is the owner of the property, provide a copy of the deed or a town property record card for the property. If you cannot provide a lease or deed at the time of application, the Department would accept other documentation showing evidence of the intention of the right to occupy the property.

8. **AGGREGATE ACREAGE REQUIREMENT (FARM WINERY ONLY)**

To qualify for the farm winery permit, you must be able to show proof of a minimum of having an aggregate acreage of not less than five (5) acres in this state. Provide a copy of a plot map of the property that the farm winery will be located on, with the areas that will be used to grow crops for the production of wine highlighted, and the total amount of acres used for growing the crops indicated on the plot map. In the event that the farm winery will consist of more than one property for growing crops, then a plot map of all properties being farmed should be included.

9. **AUTHORIZATION FOR RELEASE OF FINANCIAL INFORMATION AND STATEMENT OF PERSONAL HISTORY**

The applicant/permittee and backers (individuals, partners, general partner and limited partners in a limited partnership, officers, directors and limited liability company (LLC) manager/members in a LLC, corporate officers and stockholders) must complete an authorization for release of financial information and statement of personal history.

10. **CRIMINAL CONVICTION WORKSHEET**

If the permittee or any member of the backer has a felony conviction, complete the Criminal Conviction Worksheet, pursuant to Section 46a-80(b) of Connecticut General Statutes. (*DCPLC-CHRO*)

11. **AUTHORIZATION OF THE BACKER LEGAL ENTITY FOR RELEASE OF FINANCIAL INFORMATION**

Only authorized individuals of the backer may sign on behalf of the entity.

12. **BACKER'S FINANCIAL STATEMENT**

Complete this form which is attached to the application.

13. **CORPORATIONS & L.L.C.**

Provide proof of filing of organization papers with the Connecticut Secretary of State.

14. **PARTNERSHIP**

Provide partnership agreement if backer/owner is a formal partnership or limited partnership. If no agreement exists, provide a letter to that effect that there is no such agreement.

15. **FRANCHISE OR MANAGEMENT AGREEMENT**

Provide any franchise or management agreement if applicable.

16. **PHOTOGRAPHS**

Provide 8"x 10" in size (any photos smaller than this required size **will not be accepted**).

a) 8" x 10" Photos of the completed and furnished interior rooms

b) One 8" x 10" photo taken from a position directly across the street or highway

Applicants must furnish photographs showing the full interior of the barroom, manufacturing room, lockable liquor storage and kitchen, where applicable.

**\*\*Please Note\*\***

- **Photos must be 8"x 10". The applicant's name, business address and date photo taken shall be on the back of all photographs.**
- **For premises which has not been constructed, the submission of photographs are not required for the acceptance of a new application. (see #19)**

17. **MANUFACTURING EQUIPMENT LIST**

Provide a list of all equipment to be used in the manufacturing process of the wine, beer or liquor. (i.e.- fruit crushers, presses, fermentation tanks, stills and/or bottling equipment, etc.)

18. **ADDITIONAL CONSUMER BAR(S)**

If the premises will have more than one consumer bar, complete the Patio/Extension of Use/Additional Consumer Bar application.

19. **EXTENSION OF USE FORM**

Complete Patio/Extension of Use/Additional Consumer Bar application if you plan to serve or allow alcohol at any outside areas.

**20. SIGNED STIPULATION FOR NEW CONSTRUCTION**

If you are applying for a permit for a building that has not been constructed, you shall provide to the Department a signed stipulation setting forth a time limit for the construction and occupancy for the proposed permit premises. Please note that the date of filing an application, as defined in section 30-39(a) CGS, to the date of the issuance of a final permit shall not exceed one year, regardless of whether a provisional permit has been applied for, approved, or issued. After one year, a new liquor application will be required.

**ONCE THE APPLICATION IS RECEIVED AND ACCEPTED BY THE DEPARTMENT OF CONSUMER PROTECTION, ADDITIONAL DOCUMENTS AND/OR INFORMATION MAY BE REQUIRED OF YOU BY A LIQUOR CONTROL AGENT AS PART OF THE REVIEW AND INVESTIGATION PROCESS.**

**21. AFFIDAVIT OF SELLER’S UNPAID OBLIGATIONS**

If a business or equipment was purchased from the previous permit holder, this form must be signed at the closing. This form can be found on our website <https://portal.ct.gov/DCP/Agency-Administration/Division-Home-Pages/Liquor-Control-Division>

**22. ABANDONMENT AFFIDAVIT**

If the premises were abandoned by the previous permit holder/backer and did not receive any consideration from you, whether direct or indirect, this form will need to be completed. This form can be found on our website <https://portal.ct.gov/DCP/Agency-Administration/Division-Home-Pages/Liquor-Control-Division>

**23. FIRE MARSHAL APPROVAL**

This form can be found on our website <https://portal.ct.gov/DCP/Agency-Administration/Division-Home-Pages/Liquor-Control-Division>