



# **SOCIAL EQUITY PLAN DEVELOPMENT TECHNICAL ASSISTANCE**

# SEC MISSION

**Promote and encourage full participation in the adult-use cannabis industry by people disproportionately harmed by cannabis prohibition and enforcement; and to support broad-based economic development in those communities.**

## ▶ SEC

- ▶ Oversees the verification of social equity applicants
- ▶ Creates new programs to support both cannabis businesses and businesses in other industries
- ▶ Manages community investments derived from cannabis tax revenue

# WHO MUST SUBMIT A SEP

- ▶ **DIA CULTIVATORS**
- ▶ **ALL BUSINESSES SELECTED THROUGH THE LOTTERY**
- ▶ **EQUITY JOINT VENTURES**

# DISCLOSURE

Social equity plans are subject to public disclosure pursuant to Connecticut General Statutes section 1-210(a). The Social Equity Council may post all final copies of approved social equity plans on its website for easy access to the public.

# SEP REVIEW PROCESS

- ▶ SEP SUBMITTED TO DCP
- ▶ DCP FORWARDS SEP TO SEC
- ▶ SEC INITIAL SEP REVIEW
- ▶ DEFICIENT PLAN - DOES NOT MEET CRITERIA
  - ▶ DEFICIENCY NOTICE SENT TO APPLICANT
- ▶ APPLICANT REVISES AND RESUBMITS PLAN
- ▶ SEC CONDUCTS 2<sup>ND</sup> REVIEW
  - ▶ APPROVED PLAN
    - ▶ APPROVAL NOTICE SENT TO APPLICANT
  - ▶ 2<sup>ND</sup> DEFICIENCY NOTICE SENT
    - ▶ SEC OFFERS TA ASSISTANCE
- ▶ DCP NOTIFIED OF PLAN APPROVAL

# **SOCIAL EQUITY PLAN**

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# STATEMENT OF PURPOSE

## COMPANY'S COMMITMENT TO PROMOTING

- ▶ **SOCIAL IMPACT**
- ▶ **INCLUSIVITY**
  - ▶ **WITHIN THE DIA COMMUNITY (geographic location)**
- ▶ **150 WORDS or LESS**

# GOALS

How will these goals have a positive effect on social equity issues in the specific community?

- ▶ **Minimum of 2**
  - ▶ **Derived from Community Needs Assessment**
  - ▶ **Supports needs of persons/communities effected by war on drugs**
  - ▶ **Check Regulations for restriction related to Youth**



# Community Feedback

**How will the company receive comments on its plan?**

**Outline internal plan for communicating the SEP to employees and investors**

**Outline the external plan for communicating the SEP to the Community**

# COMMUNITY NEEDS ASSESSMENTS (COMMUNITY CONVERSATIONS)

- ▶ Dates of Community Sessions
- ▶ Meeting Agenda
- ▶ Type of Community Sessions
  - ▶ Faith-based, social service organizations, non-profits, elected officials, community stakeholders
- ▶ List of Attendees with Community Affiliations
- ▶ Assessment Outcomes/Results (programs, facility)

# LETTERS OF SUPPORT

**3 Letters of Support**

**Community Stakeholders**

**faith-based, social service organizations and/or  
town elected officials**

**In Support of the Outlined Plan**

# Program Timeline

- ▶ **SEP Strategy Outline/Timeline**
  - ▶ **Implementation/Roll Out**
  - ▶ **Targeted Audience for each Goal (low-mod income, DIA, elderly, youth, public facility)**
- ▶ **Start Date, End Date,**
- ▶ **Outlines a strategy for SEP implementation.**

# Metrics/Assessment

- ▶ Each Licensee is required to provide the SEC with:
- ▶ 6 months progress report
- ▶ Annual Report (required for license renewal)
  - ▶ SEP must include method of metrics for measuring success

# COMPLIANCE TEAM

**Name And Title of Person/S Responsible for Monitoring  
Tracking Plan Progress and Compliance.**

**Method for Monitoring Progress**

**Dates reports will be submitted**

# COMMUNITY STAKEHOLDERS CONTACT LIST

## List of Stakeholders:

Name, Title

Email

Names of Organizations

Organization Website(if applicable)

Date of Interview or Community Need Assessment Session

Interview/Assessment Highlights/Results

# COMMITMENT TO BUY LOCAL MBE

Plan Outlines the Commitment to Purchase of Goods and Services from Local, State Certified MBE's

(Construction, IT, Accounting, Web Design, Office Equipment, Apparel, etc.)

**SUPPLY CHAIN RESOURCES:**

[About the Social Equity Council \(ct.gov\)](#)



# COMMITMENT TO BUY LOCAL

## MBE (continued)

### Certified MBEs

Meg Yetishefsky, Program Manager

Supplier Diversity Program (Set Aside Program)

[Meg.Yetishefsky@CT.Gov](mailto:Meg.Yetishefsky@CT.Gov)

[SBE/MBE Program Certification Application \(Small or Minority Business Enterprise\) \(ct.gov\)](http://ct.gov)

**Social Equity Plan Approved by the SEC**

**Workforce Development Plan Approved by the SEC**

**Approval from the municipal zoning officials where the business will be located**

**Proof of Facility Ownership or the Right to Occupy**

**Labor Peace Agreement with a bona fide labor organization as required in Connecticut General Statutes Section 21a-421d**

**Security requirements based on the specific license type, established in the DCP's policies and procedures**

# Steps to Full Licensure

This list is not in order of priority



**Contract with an entity for an approved electronic seed-to-sale tracking system**

**A certification that a Project Labor Agreement was entered into by the cannabis establishment prior to construction of any facility**

**Policies for preventing misuse and diversion of cannabis and sale to underage individuals**

**Complete applications and paid license fees for all key employees and employees**

**Secretary of State Registration Number and Certificate of Good Standing (not required for sole proprietors)**

**Final Inspection (DCP)**

**Final License Fee(DCP)**

# **Steps to Full Licensure**

**This list is not in order of priority**



**THANK YOU**

**Q & A**

**[SEC@CT.GOV](mailto:SEC@CT.GOV): EMAILBOX**

**[WWW.CT.GOV/SOCIALEQUITYCOUNCIL](http://WWW.CT.GOV/SOCIALEQUITYCOUNCIL)**

The logo for the Social Equity Council is located in the bottom right corner. It features the text "CT CANNABIS" in a small, light green font at the top. Below it, the words "SOCIAL" and "EQUITY" are stacked in a large, bold, dark blue font. A small green bar with three vertical bars of increasing height is positioned to the left of the word "EQUITY". At the bottom, the word "COUNCIL" is written in a smaller, light green font.

**CT CANNABIS**  
**SOCIAL**  
**EQUITY**  
**COUNCIL**