



Office of Health Care Access Certificate of Need Application

Final Decision

Applicant: Community Prevention and Addiction Services

Docket Number: 07-31011-CON

Project Title: Termination of Psychiatric Outpatient Services in Manchester, Danielson and Willimantic

Statutory Reference: Section 19a-638 of the Connecticut General Statutes

Filing Date: October 29, 2007

Decision Date: December 5, 2007

Default Date: January 27, 2008

Staff Assigned: Paolo Fiducia

Project Description: Community Prevention and Addiction Services ("Applicant") is proposing to terminate its Psychiatric Outpatient Services in Manchester, Danielson and Willimantic, with no associated capital expenditure.

Nature of Proceedings: On October 29, 2007, the Office of Health Care Access ("OHCA") received the Certificate of Need ("CON") application of Community Prevention and Addiction Services seeking authorization to terminate its Psychiatric Outpatient Services in Manchester, Danielson and Willimantic, with no capital expenditure. The Applicant is a health care facility or institution as defined by Section 19a-630 of the Connecticut General Statutes ("C.G.S.").

Pursuant to Section 19a-638, C.G.S., a notice to the public concerning OHCA's receipt of the Applicant's Letter of Intent was published in the *Journal Inquirer*, Manchester, on August 19, 2007. OHCA received no responses from the public concerning the Applicant's proposal. Pursuant to Public Act 05-75, three individuals or an individual representing an entity with five or more people had until November 19, 2007, the twenty-first calendar day following the filing of the Applicant's CON Application, to request that OHCA hold a public hearing on the

Applicant's proposal. OHCA received no hearing requests from the public by November 19, 2007.

OHCA's authority to review and approve, modify or deny the CON application is established by Section 19a-638, C.G.S. The provisions of this section as well as the principles and guidelines set forth in Section 19a-637, C.G.S., were fully considered by OHCA in its review.

Findings of Fact

Clear Public Need

Impact of the Proposal on the Applicant's Current Utilization Statistics Proposal's Contribution to the Quality of Health Care Delivery in the Region Proposal's Contribution to the Accessibility of Health Care Delivery in the Region

1. Community Prevention and Addiction Services ("Applicant" or "CPAS") is a health care provider that provides substance abuse outpatient services for adults at 87B Oak Street, Manchester, 37 Commerce Avenue, Danielson and 1491 West Main Street, Willimantic, Connecticut. *(October 11, 2007 Initial CON Application Submission, page 3)*
2. The Applicant provides ambulatory treatment services for chemically dependent/substance abusing clients (ages 18 and older) who are in need of treatment but who have the skills and support to recover without the need of a structured residential setting. *(October 11, 2007 Initial CON Application Submission, page 3)*
3. The services provided include: orientation, intake and assessment, individualized treatment plan, individual counseling, family counseling, counseling groups, urinalysis/breathalyzer testing and continuing care planning. *(October 11, 2007 Initial CON Application Submission, page 3)*
4. The Applicant is proposing to terminate its Psychiatric Outpatient Clinics for Adults in Manchester, Danielson and Willimantic, with no associated capital expenditure. *(October 11, 2007 Initial CON Application Submission, page 2)*
5. The Applicant states that as a result of a bi-annual inspection, the Department of Public Health ("DPH") determined that psychiatric services were not being provided and therefore DPH asked CPAS to surrender the licenses to provide psychiatric outpatient services for all three locations. *(October 11, 2007 Initial CON Application Submission, page 2)*
6. The Applicant states that on June 11, 2007 returned its Psychiatric Outpatient Clinic for Adults licenses to DPH. *(July 31, 2007 CON Determination Letter, page 2)*
7. The Applicant states that no psychiatric outpatient services have been provided at the three locations since November 2002. The psychiatric outpatient license was to serve only those substance abuse clients with a co-occurring mental health disorder. The three programs have never accepted referrals for primary psychiatric services. *(October 11, 2007 Initial CON Application Submission, page 5)*

8. The Applicant indicates that it continues to serve outpatient substance abuse clients and refer any clients with mental health issues to an appropriate provider. *(October 11, 2007 Initial CON Application Submission, page 6)*
9. The Applicant states that clients with co-occurring mental illness are being referred to the following mental health providers: Genesis Center, Manchester; Stonington Institute, Danielson and Willimantic; United Services, Dayville and Willimantic; and Perception Programs, Willimantic. *(October 11, 2007 Initial CON Application Submission, page 6)*
10. The following shows the annual volume of patients with co-occurring substance abuse and psychiatric diagnoses for FYs 2002-2007 and to what providers for each service location:
 - Manchester Clinic: 4 patients/3 not referred; 1 referred to Genesis Center,
 - Danielson Clinic: 2 patients/1 not referred: 1 referred to a local physician, and
 - Willimantic Clinic: 8 patients/5 not referred: 1 referred to United Services, 1 to Windham Hospital Mental Health Clinic, 1 to a local physician.*(October 29, 2007 Additional Information Submitted, page 1)*

**Financial Feasibility of the Proposal and its Impact on the Applicant's
Rates and Financial Condition
Impact of the Proposal on the Interests of Consumers of Health Care
Services and Payers for Such Services
Consideration of Other Section 19a-637, C.G.S. Principles and Guidelines**

11. The project has no associated capital expenditure. *(October 11, 2007 Initial CON Application Submission, page 1)*
12. No services have been provided since November 2002 so there are no incremental gains or losses as a result of the termination of the services. *(October 11, 2007 Initial CON Application Submission, page 11)*
13. The Applicant's current payer mix for the Manchester, Danielson and Willimantic locations is as follows: *(October 11, 2007, Initial CON Application Submission, Pages 11 & 12)*

Table 1: Payer Mix for the Manchester location

Payer Mix	Percentage
Medicaid	6.9%
State Funds	81.7%
Total Government Payers	88.6%
Self - Pay	11.3%
Total Non-Government Payers	11.3%
Uncompensated Care	0.1%
Total Payer Mix	100.00%

Table 2: Payer Mix for the Danielson location

Payer Mix	Percentage
Medicaid	9.6%
State Funds	76.0%
Total Government Payers	86.6%
Commercial Insures	0.5%
Self - Pay	13.7%
Total Non-Government Payers	14.2%
Uncompensated Care	0.2%
Total Payer Mix	100.00%

Table 3: Payer Mix for the Willimantic location

Payer Mix	Percentage
Medicaid	4.3%
State Funds	84.8%
Total Government Payers	89.1%
Self - Pay	10.3%
Total Non-Government Payers	10.3%
Uncompensated Care	0.6%
Total Payer Mix	100.00%

14. There is no State Health Plan in existence at this time. *(October 11, 2007 Initial CON Application Submission, page 2)*
15. The Applicant has adduced evidence that the proposal is consistent with the Applicant's long-range plan. *(October 11, 2007 Initial CON Application Submission, page 2)*
16. The Applicant has improved productivity and contained costs through the application of technology. *(October 11, 2007 Initial CON Application Submission, page 9)*
17. The proposal will not result in any change to the Applicant's teaching and research responsibilities. *(October 11, 2007 Initial CON Application Submission, page 9)*
18. The Applicant states that the current patient/physician mix will not change. *(October 11, 2007 Initial CON Application Submission, page 9)*
19. The Applicant has sufficient technical and managerial competence and expertise to provide efficient and adequate service to the public. *(October 11, 2007 Initial CON Application Submission, page 9)*

Rationale

The Office of Health Care Access (“OHCA”) approaches community and regional need for Certificate of Need (“CON”) proposals on a case by case basis. CON applications do not lend themselves to general applicability due to a variety of factors, which may affect any given proposal; e.g. the characteristics of the population to be served, the nature of the existing services, the specific types of services proposed to be offered, the current utilization of services and the financial feasibility of the proposal.

Community Prevention and Addiction Services (“Applicant” or “CPAS”) provides substance abuse outpatient services for adults at 87B Oak Street, Manchester; 37 Commerce Avenue, Danielson; and 1491 West Main Street, Willimantic, Connecticut. The Applicant is proposing to terminate its Psychiatric Outpatient Services for Adults in Manchester, Danielson and Willimantic due to the fact that it has not provided psychiatric outpatient services at the three locations since November 2002.

As a result of a bi-annual inspection, the Department of Public Health (“DPH”) determined that psychiatric outpatient services were not being provided, and therefore, DPH asked CPAS to surrender its licenses to provide psychiatric outpatient services for all three locations. On June 11, 2007, CPAS returned its Psychiatric Outpatient Clinic for Adults licenses to DPH. The Applicant currently provides ambulatory treatment services for chemically dependent or substance abusing clients (ages 18 and older) who are in need of treatment but who have the skills and support to recover without the need of a structured residential setting. The psychiatric outpatient license was to serve only those substance abuse clients with a co-occurring mental health disorder. However, the three locations have never accepted referrals for primary psychiatric outpatient services. The Applicant indicates that clients with co-occurring mental illness are being referred to local mental health providers.

CPAS has not treated any clients for psychiatric outpatient services, and has not had any displaced patients since November 2002. The termination of the psychiatric outpatient clinic services for adults has not created any barriers to access in the region; therefore, OHCA determines that patient access was not impacted.

The proposal has no associated capital expenditure. Since no psychiatric outpatient clinic services for adults have been provided for the past five years, there are no incremental gains or losses from operations as a result of the termination of the services.

Order

The proposal of Community Prevention and Addiction Services to terminate its Psychiatric Outpatient Clinic Services for Adults in Manchester, Danielson and Willimantic, with no associated capital expenditure is hereby GRANTED.

Community Prevention and Addiction Services shall hereafter notify OHCA of any and all proposed termination of services prior to finalizing any decision to terminate any services or programs. Failure to notify OHCA in advance of any proposed termination of services may be considered as not filing required information and subject Community Prevention and Addiction Services to civil penalties pursuant to Section 19a-653, C.G.S.

All of the foregoing constitutes the final order of the Office of Health Care Access in this matter.

By Order of the
Office of Health Care Access

Signed by Commissioner Vogel on December 5, 2007

Date

Cristine A. Vogel
Commissioner

CAV:pf