# Performance Monitoring & Evaluation Capacity Building Grantees

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Community Transformation Grant Kick-Off Meeting

October 26, 2011

## OBJECTIVE:

Provide an overview of the CTG Capacity Building recipient  requirements for performance monitoring and evaluation describe key components of the CTG National Evaluation Plan

# Agenda

- CTG Guiding Principles
- Purpose and Importance of Evaluation
- CTG Performance Monitoring & Evaluation Requirements
- Key Components of CTG National Evaluation Plan
- Evaluation Technical Assistance (TA) & Resources
- Activity: Sharing of Evaluation Lessons Learned & Best Practices
- Next Steps

# CTG Guiding Principles

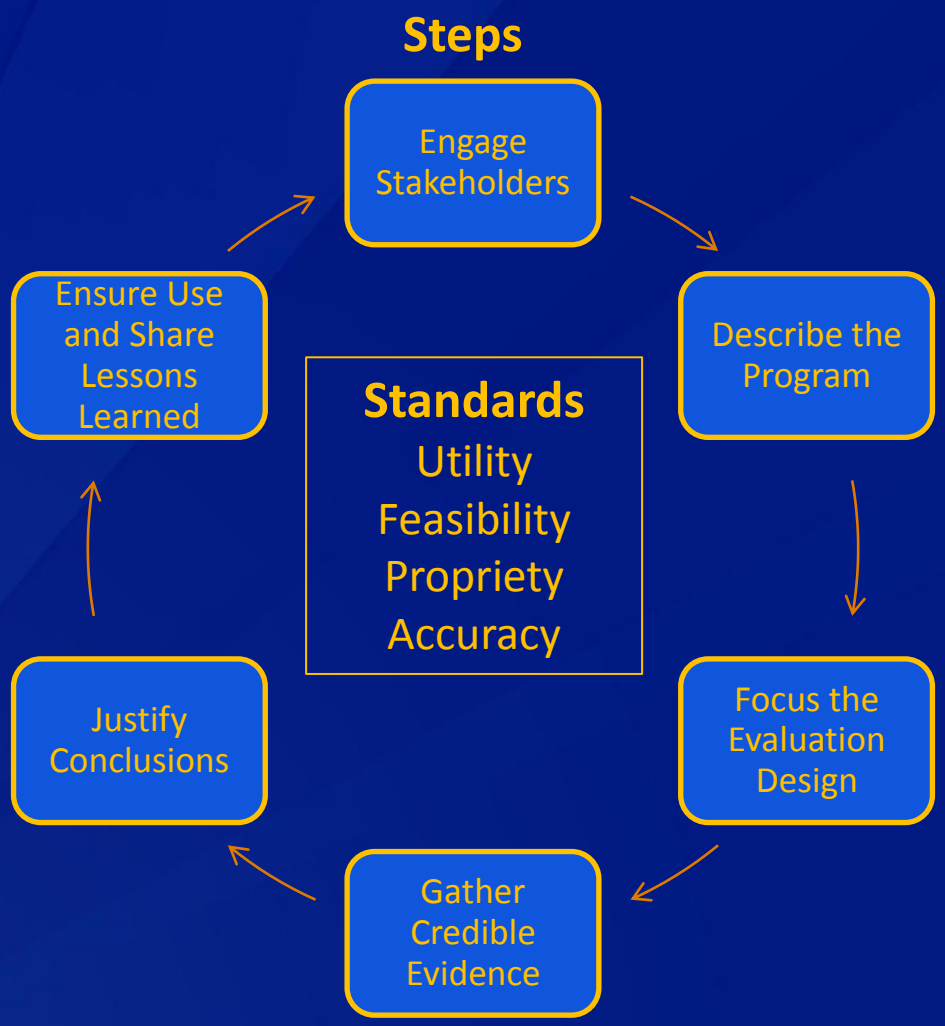
- Maximize health impact through prevention
- Improve health equity and eliminate health disparities
- Use and expand the evidence base for local policy, environmental, and infrastructure changes that improve health

# Purpose & Importance of Evaluation

- Assess impact of CTG interventions
- Expand the evidence base for high-impact, community public health interventions
- Fulfill the requirements and goals in the Affordable Care Act, Section 4201
- Provide a comprehensive assessment of the CTG investment

**Approximately 10% of overall CTG funding is for evaluation**

# What is Evaluation?




Framework for Program Evaluation in Public Health (CDC, 1999)

# Performance Monitoring Requirements

- Input CBP's annual and project period SMART objectives and milestones into Chronic Disease Management Information System (CDMIS) regularly; performance measured quarterly
- Submit semi-annual Interim Progress Report that documents progress toward meeting programmatic objectives and includes success stories.
- Ensure health disparities are addressed in all aspects of monitoring

# CDMIS

- Unified framework for standardizing descriptions of program objectives, activities, outcomes, and resources
- Ability to record activities and changes in real time
- Automated reporting capability
- Repository for partner information
- Query within and across funded partners, or even programs

# Capacity Building Performance Monitoring and Evaluation: Requirements

- Capacity Building recipients are required to (FOA pages 22-24):
  - Engage coalition(s) in a community health assessment.
  - Monitor overall /disparity-specific activity performance.
  - Develop an evaluation plan to inform midcourse corrections.
  - Use CDC Simulation Model for planning as appropriate.
  - Develop and distribute 2+ unique dissemination documents.

# Capacity Building Performance Monitoring and Evaluation: Requirements (Continued…)

- Capacity Building recipients are required to (FOA pages 22-24):
  - Participate in national evaluation activities if selected.
  - Collaborate with CDC to measure program outcomes.
  - If funds, and "ready", apply for Implementation award.
  - Full FTE for evaluation activities.
  - Use existing data.

# Capacity Building Performance Monitoring and Evaluation: Recommendations

- It is recommended that recipients:
  - Consider and incorporate evaluation from the start
  - Target evaluation on subpopulations most likely reached
  - Focus on outcomes; limit process evaluation
  - Ensure health disparities are addressed throughout
  - Use existing data

**INTENTION: To expand the evidence base for local policy, and environmental changes that improve health**

# Key Components of National Evaluation Plan

- Technical Assistance & Training
- Performance Monitoring
- Context Scan
- Population-Level Surveillance
- Enhanced Evaluation Studies
- Cost Studies
- Simulation Modeling
- Literature Review

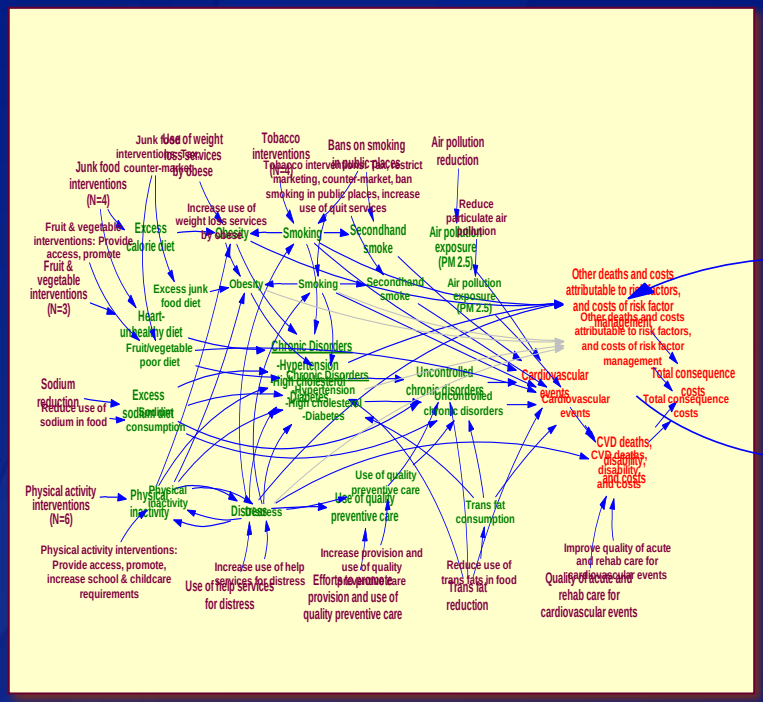
# Evaluation Technical Assistance & Resources

- CDC and its contractors will provide significant TA to assist communities in their evaluations through:
  - Individualized TA & Training
  - Webinars, Conference Calls, Toolkits, etc.
  - Data analysis and writing workshops
- Evaluation Liaison and Project Officer will work with communities to ensure evaluation questions inform evidence base and address health disparities

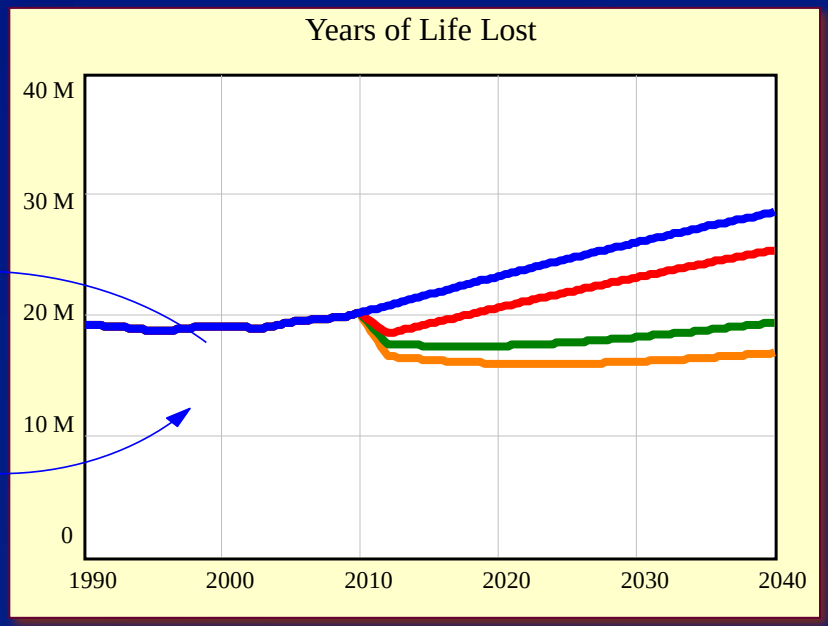
# Prevention Impacts Simulation Model (PRISM)

**Opportunities:** Evidence-based tool to support planning and evaluation

Dynamic Hypothesis (Causal Structure)




Plausible Futures (Policy Experiments)




*Dynamics*

# Activity: Sharing of Evaluation Lessons Learned & Best Practices

1. Break into groups of 5-7 communities; Record responses to the following questions on Post-Its: (10 Minutes)
   - What challenges and lessons learned can you share with others regarding effective evaluation planning that may be considered when:
     - revising their Capacity Building Plan?
     - drafting an evaluation plan to accompany the CTIP?
2. Nominate a team member to share collective themes in group discussion for purposes of peer-to-peer learning (15 Minutes)

# Next Steps

- Meet with Project Officer and Evaluation Liaison
- Submit revised Capacity Building Plan (CBP) (90 days post-award; p 20)
- Final CBP with approved evaluation plan (150 days post award; p 23)

# Questions/Comments:

## Feel free to email Charlotte Kent at: ckent@cdc.gov

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