Community Transformation Grants

Clarifying Deliverables for Implementation Grantees

William (Bill) Potts-Datema and Paul Hunting
Program Implementation and Development Branch
Division of Community Health (proposed)

Tuesday, October 25, 2011

What We'll Do Today

- Welcome and Introductions
- General Requirements
- Recipient Activities for Implementation Grantees
- CDC's Role
- Next Steps and Key Dates
- Questions and Technical Assistance Needs

What We'll Do Today

- Welcome and Introductions
- General Requirements
- Recipient Activities for Implementation Grantees
- CDC's Role
- Next Steps and Key Dates
- Questions and Technical Assistance Needs

What We'll Do Today

- Welcome and Introductions
- General Requirements
- Recipient Activities for Implementation Grantees
- CDC's Role
- Next Steps and Key Dates
- Questions and Technical Assistance Needs

Policy, Environment, Programmatic, and Infrastructure Change

- **Policy** – Educate the public and stakeholders about policy interventions to improve population health
- **Environment** – Create social and physical environments that support healthy living
- **Programmatic** – Increase access to prevention programs to support healthy living
- **Infrastructure** – Changes systems, procedures, and protocols within communities and institutions that support healthy behavior

Five CTG Strategic Directions

- Tobacco–Free Living
- Active Living and Healthy Eating
- High Impact Quality Clinical and Other Preventive Services (High Blood Pressure and Cholesterol)
- Social and Emotional Wellness
- Healthy and Safe Physical Environment

Core Principles of CTGs

Maximize health impact through prevention

Advance health equity and reduce disparities

Use and expand the evidence base

What We'll Do Today

- Welcome and Introductions
- General Requirements
- Recipient Activities for Implementation Grantees
- CDC's Role
- Next Steps and Key Dates
- Questions and Technical Assistance Needs

Key Recipient Activities

1. Program Infrastructure
2. Fiscal Management
3. Leadership Team and Coalition
4. Selection of Strategies
5. Community Transformation Implementation Plan
6. Performance Monitoring and Evaluation
7. Participation in Programmatic Support Activities

1) Program Infrastructure

- Maintain minimum staffing requirements
- 90 days post-award: Identify key staff
- 120 days post-award: Establish or retain required additional staff
- Throughout: Establish and maintain sufficient staff
- Participate in CDC-convened meetings

2) Fiscal Management

- County recipients: Must provide at least 50 pct of the total grant funding to local entities
- State recipients: Must award at least 50 pct of the total grant funding to local areas. Of this 50 pct, rural areas must receive at least 20 pct of the total grant award or an amount consistent with their proportion of the state population, whichever is higher. (NJ, RI, and DC exempt)

2) Fiscal Management

- County recipients: Must provide at least 50 pct of the total grant funding to local entities
- State recipients: Must award at least 50 pct of the total grant funding to local areas. Of this 50 pct, rural areas must receive at least 20 pct of the total grant award or an amount consistent with their proportion of the state population, whichever is higher. (NJ, RI, and DC exempt)

2) Fiscal Management

- County recipients: Must provide at least 50 pct of the total grant funding to local entities
- State recipients: Must award at least 50 pct of the total grant funding to local areas. Of this 50 pct, rural areas must receive at least 20 pct of the total grant award or an amount consistent with their proportion of the state population, whichever is higher. (NJ, RI, and DC exempt)

2) Fiscal Management (cont.)

- All recipients, including sub-recipients, must support and align with the goals of the initiative, assist in conducting area health needs assessment and policy scan; and document gaps and opportunities in existing policies, environments, programs, and infrastructure
- Use fiscal management procedures
- Implement reporting systems to meet the online reporting criteria and timelines as stated in the "Reporting Requirements" section of the FOA

3) Leadership Team and Coalition

- Provide evidence of an existing multi-sectoral Leadership Team
  - Oversee strategic direction
  - Be responsible for ensuring adoption of appropriate changes
  - Maintain an organizational structure and governance
  - Participate in meetings and trainings
- Provide evidence of an existing coalition(s) committed to participating actively
- Include representatives from population subgroups experiencing health disparities and from rural and frontier areas, if applicable

3) Leadership Team and Coalition (cont.)

- Link with other efforts and foundation activities and funding streams
- Coordinate with multiple sectors, such as transportation, planning, education, health care delivery, agriculture, and others
- Identify future resources
- Develop a sustainability plan

4) Selection of Strategies

- Use a mix of intervention strategies consistent with the evidence base and examples included in the CTG Strategic Directions List (>50 pct of resources toward three required strategic directions)
- Comply with required strategies (bold in Apx C)
- May also choose specific strategies from the other strategic directions (Social and Emotional Wellness, Healthy and Safe Physical Environments)
- Ensure that the focus of changes is area-wide, including intensive strategies directed toward population subgroups to reduce health disparities

4) Selection of Strategies (cont.)

- May select from among the full range of evidence-based interventions; may propose an “innovative” strategy
- If proposing another strategy: Justification and demonstration of broad reach and potential impact
- Incorporate strategies into a comprehensive and robust strategic plan and identify opportunities for integration
- Demonstrate area wide change and progress toward meeting outcomes

4) Selection of Strategies (cont.)

- Propose goals and activities that are informed by and link to a variety of criteria
- Anticipate updates in the strategy table
- See FOA p. 43-46 for Community Transformation Strategic Directions and a list of example evidence- and practice-based strategies

5) Community Transformation Implementation Plan (CTIP)

- Submit a five-year CTIP (revision due January 30, 2012)
- Ensure integration across objectives within the CTIP, demonstrate how outcomes are connected, and ensure connections between clinical and other preventive services and risk factor prevention work

6) Performance Monitoring and Evaluation

- If selected, participate in nationally coordinated evaluation activities
- Identify area- and program-specific data sources and collaborate with CDC to assess program outcomes
- Establish activities to measure changes in the prevalence of CD risk factors
- Identify the relationship between available or proposed outcome measures and proposed activities in the CTIP

6) Performance Monitoring and Evaluation (cont.)

- Use ongoing performance monitoring data, etc. for ongoing program improvement and midcourse corrections
- Track overall progress on outcome objectives and specific progress on activities designed to address health disparities
- Over the five-year period, develop and distribute at least four unique dissemination documents and develop and disseminate at least one manuscript

6) Performance Monitoring and Evaluation (cont.)

- Regularly assess impact on reducing health disparities
- Allocate resources and implement a balanced process and outcome evaluation plan of innovative strategies (if selected)
- Submit an evaluation plan by February 29, 2012
- Participate in CDC-designated evaluation capacity building workshops and webinars

7) Participation in Programmatic Support Activities

- Identify and work with currently funded CDC programs to eliminate health disparities
- If applicable, invite national experts and health-related foundations to provide programmatic support
- Provide information on successful initiatives for wide dissemination
- Communicate, at least quarterly, with elected local, state, and federal representatives to update them on progress

What We'll Do Today

- Welcome and Introductions
- General Requirements
- Recipient Activities for Implementation Grantees
- **CDC's Role**
- Next Steps and Key Dates
- Questions and Technical Assistance Needs

CDC's Role

- **Technical Assistance** (Strategic Directions, Core Principles, Health Equity, Strategy and Sub-Recipient Selection, etc.)
- **Budget and Budget Justification Revision Assistance**
- **Performance Monitoring**
- **Action Institutes**
- **Site Visits**
- **Resources and Connections to CDC and Other Stakeholders**
- **Linkages with CDC contracts: Training, Technical Assistance, Communications, and Evaluation**

Project Officers

- The primary contact within DCH(p) for funded projects
- Provide leadership, consultation, support, and guidance to DCH(p) funded partners and strategic non-funded partners (as needed)
- Skilled guides to CDC, NCCDPHP, and DCH(p) resources

Project Officers Provide

- Guidance on **strengthening programs,** including capacity building and implementation, dissemination, and acceleration of evidence-based strategies
- Expertise related to community health programs and health equity strategies
- Content expertise related to programs
- Grants management assistance

Project Officers Provide

- Connections to people and resources inside and outside of DCH(p)
- Troubleshooting
- Compassion and support
- Assistance for other related needs
- And much more!

CTG Impact Teams

- A key strategy to connect implementation programs with expertise from throughout NCCDPHP and CDC at large
- Provide guidance and support on specific evidence-based strategies and health equity initiatives
- Will be designed to meet your program's needs and flexible to capitalize on opportunities

What We'll Do Today

- Welcome and Introductions
- General Requirements
- Recipient Activities for Implementation Grantees
- CDC's Role
- **Next Steps and Key Dates**
- Questions and Technical Assistance Needs

Next Steps and Key Dates

- **One-on-One Meetings and Information Sessions**
  - During Kick-off Meeting
- **Revised Budget Justification, Budget, and Summary Statement Response**
  - Due November 14, 2011
- **Action Institutes**
  - Large Counties: December 5-8, 2011
  - States, Tribes, and Territory: December 12-15, 2011

Next Steps and Key Dates

- **Final Implementation Plan**
  - Due January 30, 2012
- **Final Evaluation Plan**
  - Due February 29, 2012
- **Site Visits**
  - Likely spring and summer, 2012

What We'll Do Today

- Welcome and Introductions
- General Requirements
- Recipient Activities for Implementation Grantees
- CDC's Role
- Next Steps and Key Dates
- Questions and Technical Assistance Needs

We look forward to working with you!

Community Transformation Grants

Clarifying Deliverables for Implementation Grantees

William (Bill) Potts-Datema and Paul Hunting
Program Implementation and Development Branch
Division of Community Health (proposed)

Tuesday, October 25, 2011