

**CAPITAL PARTNERSHIP AGREEMENT BETWEEN
THE STATE OF CONNECTICUT DEPARTMENT OF TRANSPORTATION
AND
THE CITY OF NEW HAVEN
FOR THE
NEW HAVEN UNION STATION PARTNERSHIP**

This Agreement for the New Haven Union Station Partnership is entered into as of the Effective Date (as hereinafter defined) by and between the State of Connecticut Department of Transportation (“CTDOT”) acting herein through Richard W. Andreski, Bureau Chief, Bureau of Public Transportation, duly authorized and the City of New Haven, a municipal corporation with a mailing address at 165 Church Street, New Haven, Connecticut (“City”), acting through the Hon. Justin Elicker, Mayor, duly authorized.

WHEREAS, Commissioner Joseph Giulietti and New Haven Mayor Justin Elicker executed the New Haven Union Station Partnership Letter of Intent, dated September 20, 2020, outlining vision of the New Haven Union Station Partnership for the development and improvement of the Historic New Haven Union Station (“Union Station”), and setting goals for the planning and funding of capital improvement for Union Station (the “NHUSP LOI”); and

WHEREAS, CTDOT and the City are parties to that certain Lease, Operating and Funding Agreement (“LOF Agreement”) signed concurrently with this Agreement, for the Campus, as defined and described in the LOF Agreement (the “Campus”);

WHEREAS, the NHUSP LOI recognizes the important role the Union Station Transportation Center and nearby State Street Station play in the New Haven and regional economies and is intended to open a new era in the management of State of Connecticut owned railroad stations in New Haven and the revitalization of the areas surrounding the New Haven stations; and

WHEREAS, the NHUSP LOI recognizes that vibrant and well managed transportation hubs serve as a catalyst for transit oriented development and greater utilization of the State’s transportation systems; and

WHEREAS, CTDOT and the City wish to enter into this Agreement to outline the capital improvement goals for the continued revitalization of Union Station and the Campus;

WHEREAS, the Connecticut General Assembly passed Public Act 15-1, Section 233(b)(12) which among its provisions authorizes a state capital bond for the design and construction of certain improvements within the Campus, subject to approval by the State Bond Commission; and

WHEREAS, the CTDOT and the City are committed to identifying and pursuing available and potential sources of funding to fund the continued revitalization of Union Station and the Campus; and

WHEREAS, CTDOT has the authority, pursuant to Section 13b-4 of the Connecticut General Statutes, as revised, to enter into this Agreement.

WHEREAS, the City has the authority to enter into this Agreement pursuant to an Order of the New Haven Board of Alders, dated November 15, 2021, and made effective upon signing by Mayor Justin Elicker.

NOW THEREFORE, in consideration of the mutual covenants and agreements herein contained, the parties agree as follows:

A. Capital Planning.

1. The Operations Committee, as established and defined in the LOF Agreement, shall recommend projects for inclusion in a plan of capital investment at the Campus (the "Campus Capital Program") which shall correspond to the goals to make strategic capital investments at the Campus.
2. The projects in the Campus Capital Program shall be reviewed and approved by the Executive Oversight Panel, as established and defined in the LOF Agreement and, where CTDOT funding is proposed for such project, be submitted to CTDOT as a recommended project for inclusion in the CTDOT 5-year Capital Program.
3. Inclusion in the CTDOT 5-year Capital Program is dependent on the availability of funding and nothing in this Agreement obligates CTDOT to include projects recommended by the Operations Committee and/or approved by the Executive Oversight Panel.

B. Capital Program Funding.

The Campus Capital Program may be funded from the following sources:

1. The Capital Improvement Account as established by and defined in the LOF Agreement for the reinvestment into the Campus; and
2. Other funding sources as may become available to implement the Campus Capital Plan, which may include, but are not limited to:
 - a. Federal 2021 earmark, infrastructure funding, or other federal discretionary funding as available;
 - b. Federal Railway Administration financing;
 - c. Federal Transit Administration financing;
 - d. Railroad Rehabilitation and Improvement financing;
 - e. Other transportation or infrastructure related funding; and
 - f. State capital bonding, revenue bonding provided by Campus assets or both.

C. Campus Improvement Goals:

1. The Parties agree that the following items are goals to be included in the Campus Capital Program:
 - a. Improve the basement, first and second floors of Union Station to allow for and attract new retail options;
 - b. Improve vertical access (stairs, elevators, and escalators) to enable future adaptive reuse of the upper floors of Union Station;

- c. Improve public restrooms at the Campus;
 - d. Provide for potential new connections to mixed use intermodal center on West surface lot;
 - e. Pursue Public-Private Partnership (P3) opportunities for transit-oriented development on the east and/or west lots of Union Station that also incorporates the following requirements:
 - i. Increased access for CT *transit* buses;
 - ii. Increased access for intercity buses;
 - iii. Accommodations for shuttle buses;
 - iv. Accommodations for taxi and ridesharing access;
 - v. 600 additional commuter parking spaces; and
 - vi. Improved passenger pick-up and drop-off.
 - f. Engage in planning to improve access from the Long Wharf area and the Hill Neighborhood to Union Station;
 - g. Reconnect and rebuild Columbus Avenue from Church Street South to Union Avenue on right-of-way owned or controlled by the City;
 - h. Refresh and enhance streetscape and wayfinding experiences connecting existing and planned parking and transportation capacity with Union Station and State Street Station;
 - i. Engage in planning to distribute future additional parking on the southern side of the rail yard to allow for potential growth of the Long Wharf area; and
 - j. Enhance and improve experience for passenger pick up and drop off for both regulated vehicles and personal vehicles.
2. The annual facility condition survey prepared by the New Haven Parking Authority, dated April 2021 (the “Annual Condition Survey”) is attached as Exhibit A to this Agreement and incorporated herein. The Operations Committee shall consider the Annual Condition Survey, as updated by the City with review and approval by the Operations Committee on an annual basis, in recommending projects for inclusion in the Campus Capital Program.

D. Effective Date & Term.

1. The Agreement shall be effective upon the date it is approved as to form by the Attorney General of the State of Connecticut, as evidenced by the signature provided below.
2. The Agreement shall commence on its Effective Date and remain in effect for the duration of the LOF Agreement. Upon expiration or earlier termination of the LOF Agreement, this Agreement shall likewise terminate.
3. CITY shall comply with the requirements set forth in the “CTDOT Administrative and Statutory Requirements” attached as Exhibit B to this Agreement.

[Signature page follows]

The Parties have executed this Agreement by their duly authorized representatives on the day and year indicated, with full knowledge of and agreement with its terms and conditions.

AS TO THE CITY OF NEW HAVEN,
APPROVED AS TO FORM AND CORRECTNESS:

By: _____ Date: _____
Michael J. Pinto
Assistant Corporation Counsel

CITY OF NEW HAVEN

By: _____ Date: _____
Hon. Justin Elicker
Its Mayor

**STATE OF CONNECTICUT
DEPARTMENT OF TRANSPORTATION**

By: _____ Date: _____
Joseph J. Giulietti, Commissioner

Exhibit A

**CONDITION APPRAISAL
(FY 2021)**

**UNION STATION BUILDING
NEW HAVEN, CONNECTICUT**



**PREPARED FOR:
NEW HAVEN PARKING AUTHORITY
232 GEORGE STREET
NEW HAVEN CONNECTICUT 06510**

PREPARED BY:



**175 CAPITAL BOULEVARD, SUITE 402
ROCKY HILL, CONNECTICUT 06067**

**NHPA PROJECT No. 21-001
DESMAN PROJECT No. 20-20149.00-2**

APRIL 2021



CONDITION APPRAISAL UNION STATION BUILDING

NEW HAVEN PARKING AUTHORITY FACILITIES
NEW HAVEN, CONNECTICUT

APRIL 2021

TABLE OF CONTENTS

1:	INTRODUCTION:	1
2.	EXECUTIVE SUMMARY:	2
3:	DESCRIPTION OF THE FACILITY:	6
	▪ <i>SITE PLANS, courtesy of "Union Station Bus Parking Plan," prepared by TIGHE & BOND, 7/27/2015</i>	(PAGE 14)
	▪ <i>LOCATOR MAP</i>	(PAGE 16)
	▪ <i>STRUCTURAL DATA</i>	(PAGE 17)
4:	VISUAL OBSERVATIONS & REPAIR RECOMMENDATIONS:	18
5:	PRIORITIZED REPAIR PROGRAMS & OPINION OF COSTS:	48
	▪ <i>TABLE 1 - FIVE YEAR PRIORITIZED REPAIR PROGRAM COSTS</i>	(PAGE 50)
6.	APPENDIX A - SCHEMATIC FLOOR PLANS	

1. INTRODUCTION

The Condition Appraisal of the Union Station Building was performed by DESMAN in accordance with the executed agreement by and between the New Haven Parking Authority and DESMAN (NHPA Project No. 21-001).

The primary objectives of this appraisal are as follows:

- A. Perform a detailed, on-site inspection and observation of the Union Station Building in concert with DESMAN's applicable sub-consultants.
- B. Compare the results of the inspection with those addressed in the 2020 Condition Appraisal Report prepared previously by DESMAN.
- C. Prepare a report detailing the findings of the survey including, but not limited to, an update of the estimated construction costs, along with priorities for the various repairs, and recommended capital reserves (future repair and maintenance), to allow the New Haven Parking Authority flexibility in the implementation of structural repairs, mechanical and electrical modifications, and architectural improvements.

2. EXECUTIVE SUMMARY

The Union Station Building, consisting of 5 floors and 107,400 gross square footage (with an additional 12,000 gross square footage of underground passageway), was originally opened 1920, closed in the early 70's, then renovated by the New Haven Parking Authority and reopened in 1985. A busy transportation center, the Union Station is also a historic building listed on the National Register of Historic Structures.

This 101 year old Building (Union Station Transportation Center), located in New Haven, Connecticut (**Photos #1 & #2**), was found to be in good condition. The Building is currently programmed to receive various repairs and modifications to various components of the facility as outlined later in this report.

This year's assessment report (FY 2020) indicates a total expenditure of approximately **\$7,550,150.00** needed to be expended to properly maintain this facility over the next five years.

This year's figure incorporates adjustments in estimated expenditures for changes in the scope of future repairs currently found to be necessary or as is currently being recommended by DESMAN based upon observed conditions.

As appropriate DESMAN continues to document and budget for the cost of implementing various items of repair or improvements we feel are necessary within Union Station. Continued communication between NHPA, CDOT, and DESMAN is necessary to assure that all required work is documented and implemented according to specific need and availability of funds.

Multiple projects at Union Station are currently in design or about to commence construction:

- Various architectural repairs and improvements, such as common area re-finishing and painting, stairwell re-finishing and plaster repairs, miscellaneous floor tile repairs, and cleaning,
- Roof system repair, replacement & improvements study



Photo #1



Photo #2

- Internal signage assessment and conceptual study
- Terracotta cornice coating/cleaning/repair (initial assessment & investigation)

Continued funding for the repair, replacement, and enhancement of various architectural and structural components is strongly recommended. Additionally the continued maintenance, repair, and replacement of various mechanical, electrical, and plumbing components are required. Budgeting for all the recommended capital improvements will help assure the continued trouble-free operation of this facility and will help to keep this facility in good and aesthetically pleasing condition.

The costs associated with the implementation of future repairs and preventative maintenance for this facility is presented in more detail later in this report.

The Capital Projects currently in progress consist of the following:

PROJECT NUMBER	PROJECT TITLE	OPINION OF COST*	STATUS
15-003A	Architectural Repairs & Improvements	\$1,200,000	In Design
19-013	Terracotta Cornice Coating/Cleaning/Repair; initial assessment & investigation	TBD	Study
19-014	Roof System Repair, Replacement & Improvements Study	TBD	Study
19-032	Internal Signage Assessment and Conceptual Study	TBD	Study

* Rounded, Inclusive of Contingencies, Engineering and Program Management costs.



Photo #3



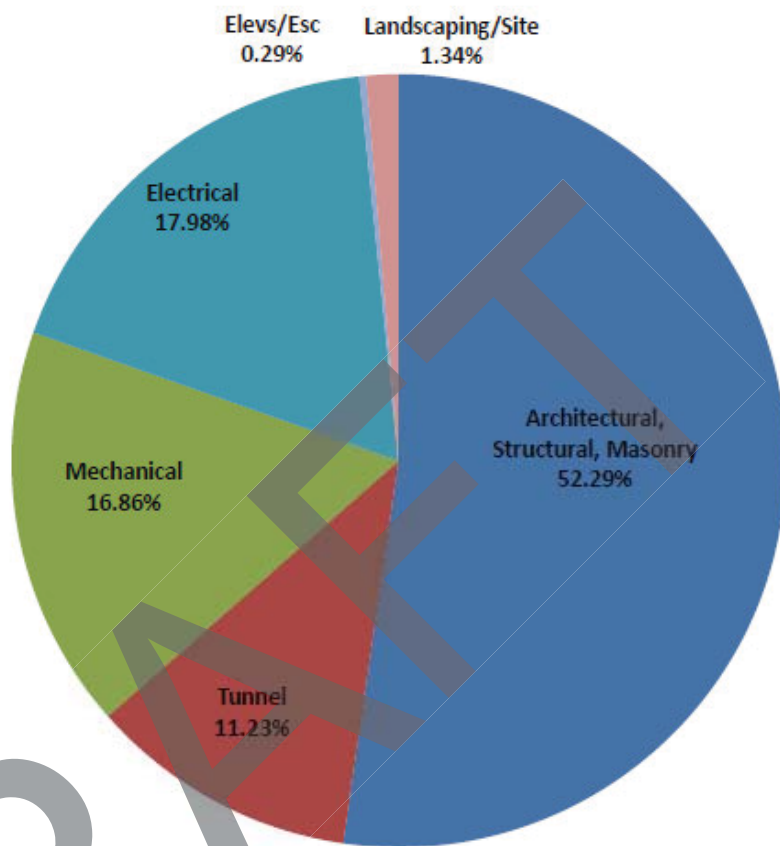
Photo #4

The repairs recommended to be performed over the next five years have been prioritized into three courses of action: Prioritized Repairs (FY 2022), Early Repairs (FY 2023), Programmed Repairs (FY 2024), and Long-Term Repairs (FY 2025 - 2026). The table below is a summary of DESMAN's opinion of construction cost for each category of work.

RECOMMENDED REPAIR PROGRAM	OPINION OF CONSTRUCTION COST
Prioritized Repairs (FY 2022)	\$522,000.00
Early Repairs (FY 2023)	\$439,350.00
Programmed Repairs (FY 2024)	\$3,952,700.00
Long-Term Repairs (FY 2025 – 2026)	\$2,636,100.00
TOTAL OPINION OF COST	\$7,550,150.00

To further summarize, the projected costs may be split into the following discipline categories, in accordance with the associated percentages, as represented by the following pie chart:

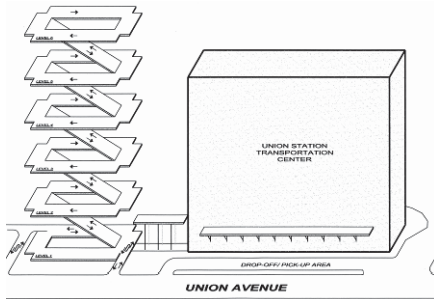
Architectural, Structural, Masonry:	52.29%
Tunnel Improvements:	11.23%
Mechanical:	16.86%
Electrical:	17.98%
Elevators/Escalators:	0.29%
<u>Landscaping/Site Improvements:</u>	<u>1.34%</u>
	100.00%



Recommended Repairs & Improvements split into Disciplines

DR





Isometric #1

3. DESCRIPTION OF THE STRUCTURE

The Union Station Transportation Center Building is a historic four-story (with basement level) railroad station, designed by architect Cass Gilbert. Construction was completed circa 1920. The Station features brick and a faux granite water table (a produced stone), exterior walls with terra cotta accents, painted wood and metal trim, double paned/insulated windows and a 35-foot high main waiting room ceiling.

The Union Station Building is owned by the State of Connecticut, and operated by the New Haven Parking Authority under a lease agreement. The Parking Authority has had operational control of the facility since the building's reopening in 1985.

The main pedestrian entries are located off of Union Avenue on the north side of the Station, and pedestrian egress to an adjoining parking garage is provided to at the eastern end of the building (**Isometric #1, Photos #5 & #6**). At the western end of the facility there is a bus station/depot and car rental area. The station has an underground pedestrian tunnel, accessible by stairs, escalators and an elevator from the first level which leads to the railroad platforms located to the south of the Station.

The facility's main lobby and waiting room is on the first level, along with the ticket office and other passenger services, and several vendors. In addition to serving as access to platforms, the lower level of the Station also includes some commercial space, as well as various storage areas and mechanical rooms. Office space is found on the second, third, and fourth levels of the building.

During the 1950's through the early 1970's, the Station fell into disrepair and was eventually closed in 1973. Threatened with demolition, New Haven Union Station was placed on the National Register of Historic Structures and saved. In the early 1980's, City, State and Federal funds were pledged to renovate the Station and to construct the adjacent parking garage. July 25, 1985, marked the day that the New Haven Parking Authority officially re-opened the newly refurbished and restored Union Station Transportation Center Building.



Photo #5



Photo #6



Photo #7

Since the facility's reopening in 1985, various renovation projects have been undertaken, including, but not limited to the following projects:

- 1997 The pre-existing roofing system was removed and a new four-ply built-up roof, with a 20-year warranty by Johns Manville, was installed (**Photo #7**).
- 1998 A project was implemented to upgrade the Station to comply with the Americans with Disabilities Act (ADA). The scope of work included improvements to public spaces, train platforms, restrooms and tenant entries and new signage.

At this time there were also various mechanical and electrical improvements made to the facility including roof top HVAC equipment modifications and sprinkler system alterations.

- 1999 An architectural restoration project was started in 1999, and subsequently completed in 2000 which was inclusive of refinishing various interior and exterior finishes throughout the Station. This work included, but was not limited to, window/door and trim refinishing, masonry re-pointing and cast stone repair, painting of exterior metals, and brass and interior metals refinishing.

- 2002 New vehicle access control equipment was installed at the back of the station (south side) to restrict access to this limited parking area to CDOT and Metro North staff.

- 2003 Two projects were performed. One project was related solely to architectural repairs, and the second was associated with mechanical, electrical, and plumbing improvements.

The architectural project consisted of the following work:

- Reworking of the facility's roof level parapet coping stones to eliminate water infiltration into the exterior masonry wall construction. This work was coordinated with the application of an architectural waterproof coating on interior surfaces of the parapet following miscellaneous brick removal/replacement and re-pointing of brick mortar (**Photo #8**).



Photo #8



Photo #9



Photo #10

- Addressing various concerns to the facility's southwest stairwell, inclusive of the application of an architectural waterproof coating on the exterior surfaces of the penthouse, repair to the plaster finishes of the upper reaches of the interior of the stairwell, the replacement of the access door and its associated framing.
- Installation of rubber treads, risers, and landings on the stairs leading to the train platforms (**Photo #9**).
- Repair of the lightning arrestor system (**Photo #10**).

The mechanical, electrical, and plumbing improvements project consisted of, but is not limited to, the following items of work:

- Removal of window A/C units from within first floor tenant spaces and the upgrade and/or modification of HVAC equipment with the installation of split systems.
- Installation of new A/C and exhausts within the women's and men's public restrooms on the first floor.
- Performing of modifications to the facility's fire alarm system for improved monitoring of the Station's fire pump equipment, smoke detection and smoke purge.
- Performing of modifications to the fourth floor HVAC distribution, monitoring and control in keeping with the interior modifications made to the interior space of this portion of the Station.
- Removal and replacement of various roof top HVAC equipment for improved operating efficiency and maintenance of the system and system components.
- The condensing unit serving the basement level Amtrak crew room was replaced during 2004.

2005 Various site improvements were performed and throughout the exterior of the Union Station Building in 2005, work included, but was not limited to:

- The repair and/or replacement of miscellaneous metal items on the roof. These items include the repair of doors and frames to the hoist-way enclosures and the smoke vents over the stairs. These items were sandblasted to remove rust, scale and old paint, and repainted to protect the metals. The insulation jacket on the exhaust ducts on the roof was repaired as well, as well as replacing associated sealant materials.
- The canopy between the Station and the parking garage was renovated to be more in keeping with the style and historic nature of the Station. A new plaster ceiling was installed, along with new architectural lighting (**Photo #11**).
- The front passenger drop-off area and sidewalks were replaced. The previous construction of the sidewalk areas consisted of brick pavers set in sand beds, and over time the pavers settled due to moisture and cyclical freeze-thaw. Additionally, because the driveway area was originally constructed of bituminous concrete pavement in combination with brick and granite cross-walk and sidewalk areas, the pavement had become increasingly deteriorated due to contamination with motor oil from the taxis and other vehicles. The front passenger drop-off area now consists of a combination of stenciled and stamped concrete, providing an aesthetic treatment, as well as a more forgiving surface considerate to the level of usage this area receives (**Photo #12**).



Photo #11



Photo #12

In addition to the exterior renovations which were performed in 2005, a second project entailed additional mechanical, electrical, and plumbing repairs and improvements throughout the Building. This work included, but is not limited to:

- Correction of water damage to various electrical components within the pedestrian tunnel access to the train platforms. Repairs to the fire alarm system, and programmed repairs to replace sections of damaged conduit.
- Various exterior lighting fixtures were replaced or otherwise added around the perimeter of the station building to increase lighting levels as an enhancement to security (**Photo #13**).
- The facility's HVAC duct system was cleaned.
- One cabinet unit heater located at the stairs to the train platforms was replaced due to its having been badly damaged due to ground water infiltration through tunnel walls. The remaining cabinets were salvagable and were repaired and re-finished.
- The balanced doors located at the top of the stairs to the on the train platforms were replaced.
- Repair and replacement of the domed skylights within the pedestrian tunnel, and extensive cleaning was performed to areas which are not typically easily accessible.
- The B-1 boiler was replaced in its entirety. This work was inclusive of all associated plumbing work and the installation of updated digital boiler controls. The pressure relief valves for all the boilers were also replaced.



Photo #13



Photo #14



Photo #15



Photo #16



Photo #17

Also in 2005, CDOT worked with DMJM to identify various code compliance issues at Union Station which needed to be addressed. CDOT has now addressed the various repairs, updates, and improvements to Union Station, and the work included, but was not limited to, the following (including various items previously documented by DESMAN in prior appraisals):

- Elevator Modernization and Improvements
- Installation of a new emergency generator.
- Replacement of the fire alarm system with new fire suppression components
- Installation of a New Public Address and Video Message System. **(Photo #14)**
- Installation of a New Security System **(Photo #15)**
- Installation of a New Sand/Oil Separator **(Photo #16)**
- Improvements to the Basement Level Corridors and Spaces **(Photo #17)**
- Various architectural, electrical, and mechanical Improvements to the Pedestrian Tunnel **(Photo #18)**.
- Mechanical, Electrical and Plumbing Improvements: CDOT performed various mechanical, electrical, and plumbing improvements within the Union Station Building started in 2010.

2008 A 10,000-gallon underground fuel storage tank and a 1,000-gallon underground diesel fuel storage tank located at the rear of the Union Station was removed and a new above ground diesel fuel storage tank to service the emergency generator was installed within the emergency generator enclosure.

2010 Subsequent to the replacement of Boiler B-1 in 2005, the other two boilers were replaced in 2009/2010.



Photo #18



Photo #19



Photo #20

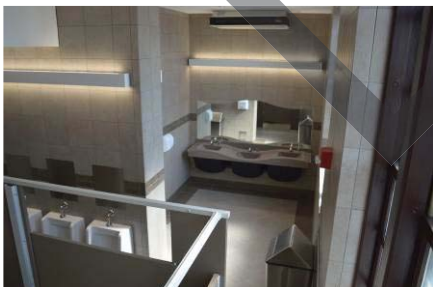


Photo #21

2016 Various repairs and improvements were addressed as part of the General Repairs and Improvements project (combining work at both the Building and the adjacent Union Station Parking Garage). The decorative stenciled and stamped concrete finishes in front of Union Station received a protective penetrating sealer. This work was performed in concert with some limited reapplication of colorant on certain areas of the decorative concrete and repairs to some of the sealant/caulking materials which need replacement. This work was addressed as part of Project no. 08-016 B.

2016 Work related to the Building includes extensive renovation of the facility's public restrooms (**Photos #19, #20 & #21**). The two restrooms were gutted and entirely reworked with new floor and wall tiles. All bathroom fixtures (sinks, toilets, urinals, partitions, etc.) were replaced with new components and all existing plaster finishes were repaired and repainted. New lighting was also installed. Implementation of this work was part of Project No. 08-016 E.

2016 The (4) elevators serving the train platforms, as well as the escalators providing access to the tunnel, have all been modernized. This work was addressed as part of NHPA Project no. 13-012 B.

2017 All of the Station's entry/exit doors have been either replaced or repaired, with all hardware and automatic controls (**Photos #22 & #23**). This work was addressed as part of NHPA Project no. 10-005 A.

2017 Various mechanical and electrical repairs were addressed consisting of, but not limited to:

1. Replace Pneumatic HVAC Controls w/ Electronic Controls (**Photo #24**)
2. Replace 3 Packaged Rooftop Units (**Photo #25**)
3. Replace Eight (8) Gate Valves @ Control Valves
4. Replace two (2) Existing Recessed Wall Heaters in Main Entry



Photo #22



Photo #23



Photo #24



Photo #25

Vestibules & Repair Cabinet Unit Heater Coil

5. Perform Repairs & Preventative Maintenance on Main Lobby Air Handlers
6. Misc. Mechanical/Plumbing Repair
7. Miscellaneous Electrical Work

This work was addressed as part of NHPA Project no. 10-005 B.

2019 Several projects at Union Station were recently substantially competed or closed out for NHPA:

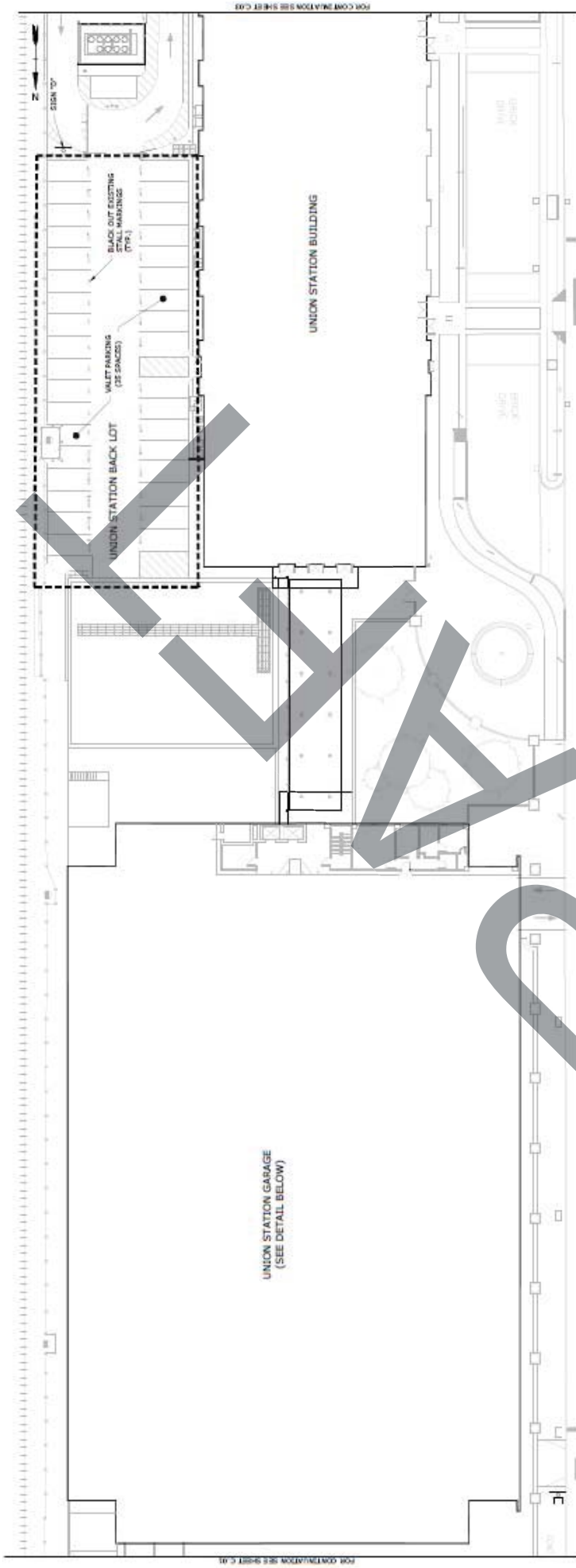
- Miscellaneous sidewalk & driveway repairs as part of NHPA Project No. 18-012.
- Replacement of the final one of the three boilers, as well as replacement of the main chiller, as part of NHPA Project No. 18-014
- Replacement of various interior light fixtures, as well as related electrical improvements throughout the Building, as part of NHPA Project No. 15-003 B.
- Underground passageway waterproofing improvements throughout the tunnel (to the train platforms) as part of NHPA Project No. 18-013 (*Photos #26 & #27*)



Photo #26

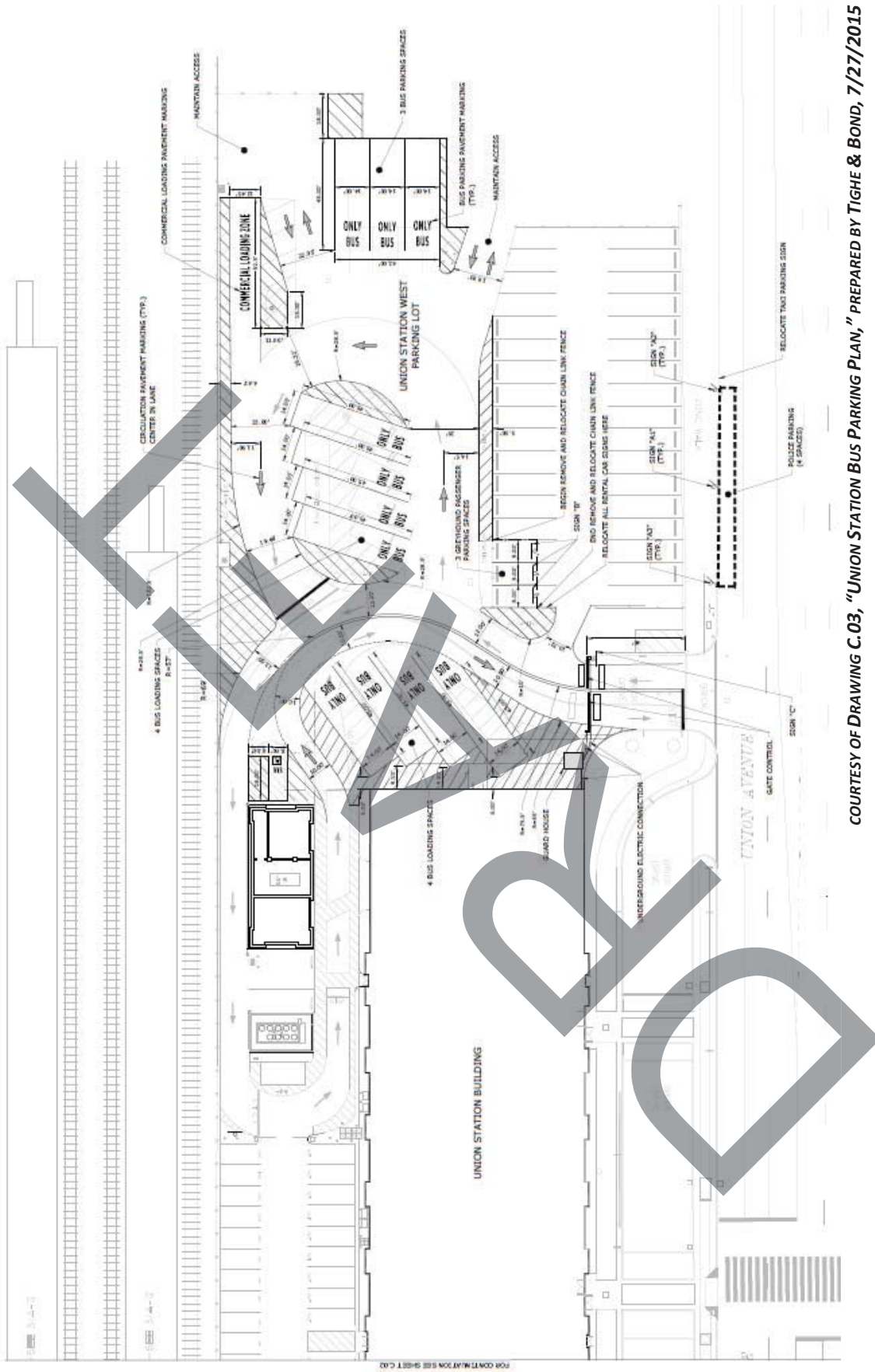


Photo #27



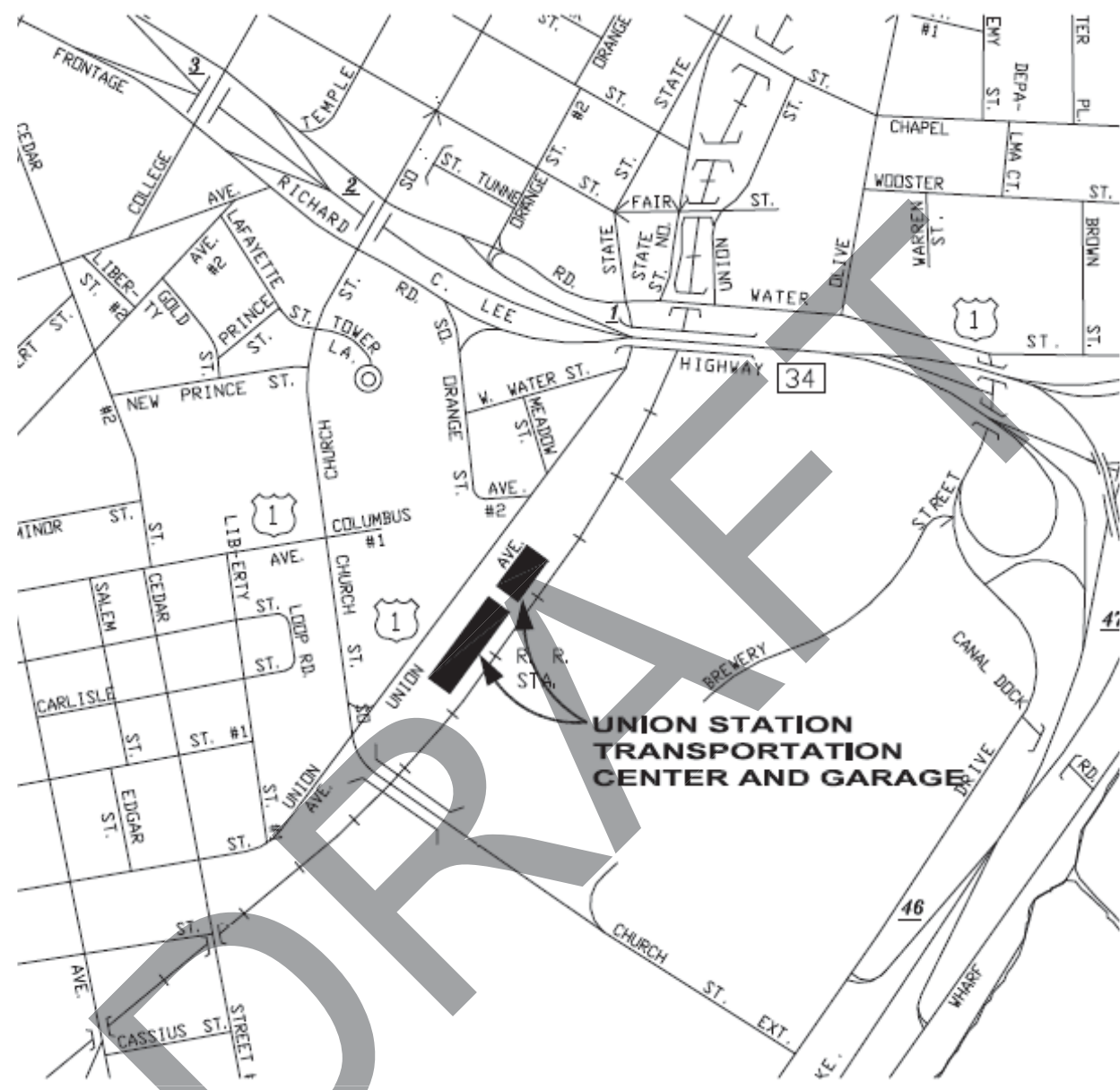
COURTESY OF DRAWING C.02, "UNION STATION BUS PARKING PLAN," PREPARED BY TIGHE & BOND, 7/27/2015





COURTESY OF DRAWING C.03, "UNION STATION BUS PARKING PLAN," PREPARED BY TIGHE & BOND, 7/27/2015





Site Plan



STRUCTURAL DATA

**UNION STATION BUILDING
NEW HAVEN, CONNECTICUT**

Legend:	Square Feet	SF
	Pounds Per Square Inch	PSI
	Pounds Per Square Foot	PSF

Date of Completion:	circa 1920 (original completion) 1985 (renovated and re-opened)
Age of Structure:	101 Years
Plan Dimensions:	±300 FT x ±90 FT

Note: All values listed above are approximations of actual values

Design Loads:	Platforms and Public Areas	150 PSF
	Basic Snow Load for Roof Canopies	40 PSF
	Live Load for Stairs: Uniform Load of, plus a concentrated load of 300 pounds on the center line of stair treads	100 PSF



4. VISUAL OBSERVATIONS & REPAIR RECOMMENDATIONS

A visual examination of the facility's structural, mechanical, and electrical components was performed as part of DESMAN's review of the Union Station Building (Union Station Transportation Center) again this year.

In general, the overall condition and appearance of the New Haven Union Station can be described as being in good condition. There are a number of items that have been observed this year that require attention; these are explained in detail in the following pages.

A. ARCHITECTURAL, STRUCTURAL & MASONRY REPAIR:

1. Plaster Ceiling Repair & Painting:

CDOT had addressed certain miscellaneous plaster repair as part of CDOT's code and upgrade project circa 2014. DESMAN recommends that NHPA continue to program repair and re-painting of the plaster surfaces of the main waiting room and anterior lobbies located at the east and west ends of the main waiting room accordingly; DESMAN observed recent damage subsequent to CDOT's project (**Photo #28**). Although this work is currently planned as part of NHPA Project no. 15-003 A, now in design, DESMAN recommends that a nominal extent of repair continue to be programmed to address preventative maintenance needs accordingly as they arise.

Lobby Area Interior Refinishing:

Various components of the building's interior, mainly concentrated to those areas occupied by the general public, need to be periodically maintained. This maintenance will entail periodic refinishing.

Items to be re-finished include, but are not limited to interior wood trim (i.e. doors and storefront, waiting room benches, stair handrails, etc.), and various brass finishes and components (**Photo #29**). Although this work is currently included as part of NHPA Project no. 15-003 A, now in design, DESMAN recommends that a nominal extent of repair continue to be programmed to address preventative maintenance needs accordingly as they arise.

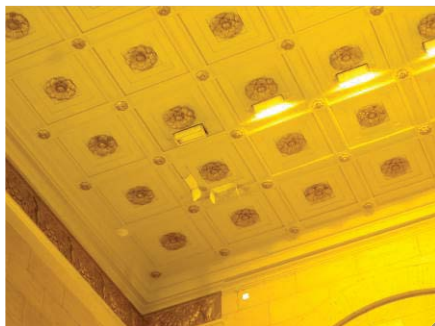


Photo #28



Photo #29



Photo #30

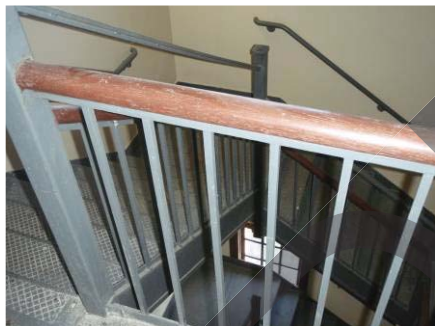


Photo #31

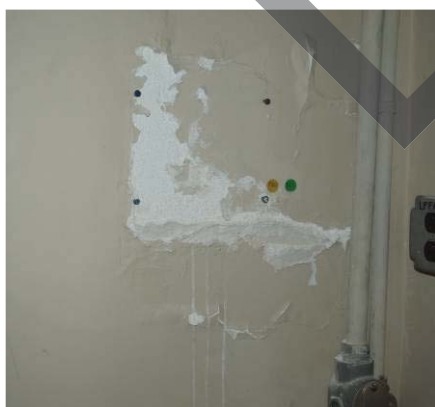


Photo #32

CDOT had addressed miscellaneous repair to various plaster finishes throughout the facility circa 2014. Plaster damage, though, is the result of various issues including, but not limited to ambient humidity within the building, but is also the result of moisture migration through the building's masonry construction and through deteriorated exterior caulking around various doors and windows. DESMAN recommends that a nominal amount be programmed for miscellaneous repair in the future. This work is currently included as part of NHPA Project no. 15-003 A, now in design.

2. Upper Floor Common Area Interior Repairs & Improvements:

A review of upper level common spaces and tenant spaces reveals that there is a need to refinish interior window trim. Similarly, the entry doors to the tenant spaces on all levels would benefit from refinishing.

A limited amount of plaster and sheetrock repair is required within the common areas on all levels of the station. This work would be performed in combination with a repainting of these areas.

Refinishing and/or repairs within tenant space is generally considered a tenant responsibility to address but some items serve to protect the integrity of the building and may be included as a building capital cost.

Although this work is currently included as part of NHPA Project no. 15-003 A, now in design, DESMAN recommends that a nominal extent of repair continue to be programmed to address preventative maintenance needs accordingly as they arise.

3. Stairwell Repairs & Improvements:

A review of the Station's stairwells indicates that each stair well access door on all levels would benefit from being refinished (**Photo #30**), as well as the handrails throughout the stairwells (**Photo #31**). In combination with this work, each stairwell has been identified as requiring a limited amount of plaster/sheetrock repair in combination with a fresh coat of paint (**Photo #32**).



Photo #33

In addition to repainting the stairwell walls, it was noted that the stair treads and risers need to be properly prepped, primed, and repainted (**Photo #33**) along with associated balusters, railings and handrails.

Although this work is currently included as part of NHPA Project no. 15-003 A, now in design, DESMAN recommends that a nominal extent of repair continue to be programmed to address preventative maintenance needs accordingly as they arise.



Photo #34

Recently, the Office of the State Fire Marshal informed NHPA that certain railings, associated with the upper landings of the stairs (**Photo #34**), previously understood to be acceptable are now no longer considered to be in compliance due to their lower height (the referenced code requires a minimum of 42 inches in height of applicable guards). DESMAN recommends that these sections of railing be modified so that a minimum height of 42 inches is achieved, but due to the potential historic preservation implications, that the SHPO be consulted so as to minimize the potential aesthetic impact.

4. Miscellaneous Floor Repair:

Though the floors are well maintained as evidenced by the high shine, cracked tiles should be maintained in accordance with Historic Preservation Guidelines. Quarry tile repair was identified as being required in the main lobby entry vestibule, the east entry vestibule and in limited areas of the main waiting room (**Photo #35**).



Photo #35

Though it does not create a trip hazard, there is a limited area of cracked terrazzo floor within the southwest stairway which should eventually be repaired.

Although this work is currently included as part of NHPA Project no. 15-003 A, now in design, DESMAN recommends that a nominal extent of repair continue to be programmed to address preventative maintenance needs accordingly as they arise, as well as a more substantial allowance to address the tile replacement in a more comprehensive manner as appropriate.



Photo #36



Photo #37



Photo #38



Photo #39

5. Cleaning Limestone Wall Finishes:

Various components of the building's interior, mainly concentrated to those areas occupied by the general public, need to be periodically maintained; this maintenance will entail periodic cleaning. These items include the cleaning of various limestone and travertine wall finishes within the main lobby, the lobby mezzanine area, the east and west end lobbies and the lower east end lobby at the entry to the pedestrian tunnel (**Photo #36**).

Cleaning of all lobby area walls and floor surfaces is needed, as beverages and other contaminants have stained the finishes. Coffee, in particular, has been observed discoloring the Station's walls in multiple locations.

The second level lobby balcony carpet exhibits multiple localized stains.

Although this work is currently included as part of NHPA Project no. 15-003 A, now in design, DESMAN recommends that a nominal extent of repair continue to be programmed to address preventative maintenance needs accordingly as they arise.

6. Station Door Repair/Replacement:

The main waiting room entry doors, west & east end entry doors (**Photos #37 & #38**), as well as the doors along the south side have all either been repaired or replaced as part of NHPA Project No. 10-005.

Due to the extremely heavy use of the doors on a daily basis, however, DESMAN recommends that the door systems, specifically including their seals and weatherstripping, be monitored and repaired as needed in order to reduce energy losses and maintain the critical aesthetic finishes.

The brass doors located at the base of the escalators, leading to the pedestrian tunnel to the train platforms, are in need of repair (**Photo #39**). While the doors themselves are in acceptable condition to remain but be cleaned and refinished, all hardware and automatic controls should be replaced.

The brass doors located at the base of the escalators are included for repair as part of NHPA Project no. 15-003 A, now in design

7. Misc. Exterior Repairs & Improvements:

The exterior painted finishes on wood and metal trim located around the perimeter of the Station's windows, had been repainted as part of NHPA Project no. 08-016 B. **(Photo #40)**. However, the exterior finishes are continuously exposed to the weather and exterior environment, and therefore, DESMAN recommends that re-painting be programmed accordingly.

The periodic repair of exterior sealant installed around the windows and doors will alleviate some of the interior plaster damage which is found around some of the facility's windows on all levels.

Miscellaneous windows were identified as needing to be reworked to replace damaged double paned windows which have lost their vacuum seal and are fogged. Although miscellaneous and limited repairs were recently intended to be performed as part of Project no. 08-016B, it was realized that repair work would need to be more extensive and need to be more comprehensive than originally anticipated; therefore, Desman recommends that PNH program a more comprehensive window repair program accordingly.

A nominal amount of distress continues to be seen on the exterior of the building. Although periodic masonry repairs inclusive of brick replacement and re-pointing have been accomplished since the facility reopened, this type of repair work is not unexpected for a building of this vintage. Care in repair must be used for this historic structure.

The exterior stone elements of the building facade exhibits isolated areas of organic growth which will eventually need to be cleaned off and minor, miscellaneous damage is observed.

Exterior wood trim around doors and windows would benefit from refinishing inclusive of proper preparation, priming, and painting. This work was last addressed as part of NHPA Project no. 10-005 A.



Photo #40



Photo #41



Photo #42



Photo #43



Photo #44



Photo #45

The entire building exterior was cleaned as part of NHPA Project no. 08-016 B. Stains, dirt and other contaminants were noted throughout the exterior. The terra cotta accents along the roof edge appear to be specifically stained, perhaps with the staining embedded in the terra cotta due to exposure over time (**Photo #42**). Not all stains are removable and may need to remain as a natural element of this historic building's age.

The terra cotta cornice is exhibiting its age (**Photos #43 & #44**). Although NHPA and DESMAN have been hesitant to program extensive repairs to this cornice due to the historic nature of the building, the time is approaching that repairs should be considered more inevitably due to concerns of waterproofing and maintain the building envelop. Acknowledging that respecting the historic nature of the building is critical, there are various options for coatings that may be applied and faux terra cotta that may be installed that would be minimally visible. DESMAN recommends that NHPA continue to monitor the condition of the cornice and program repairs accordingly. That said, an assessment and initial investigation is currently in progress as part of Project No. 19-013.

9. Roof Level Repairs:

In 1997, a new four-ply, built-up roof was installed with a 20-year NDL warranty. DESMAN notes that miscellaneous leaking has been observed by NHPA and repairs have been addressd where a leak could be located. DESMAN recommends and has included an allowance to budget for costs associated with miscellaneous water testing to identify leaking in obscure locations.

DESMAN also recommends that the condition of the roof system be monitored, including the various secondary canopy roofs as well (**Photo #45**). Even though the warranty expired in 2017, Desman does not necessarily recommend that NHPA plan to replace the roof in the immediate future. However, miscellaneous repairs are now a maintenance cost, and eventually, the miscellaneous maintenance costs would outweigh the cost of installing a new roof system and obtaining a new 20-year warranty. That said, a study of system options is currently in progress as part of Project No. 19-014.



Photo #46



Photo #47

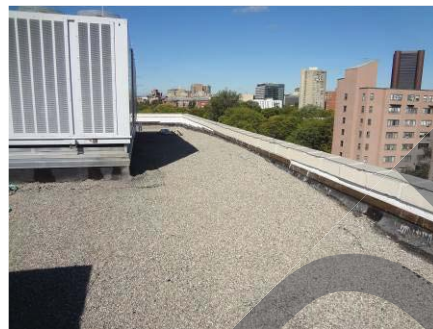


Photo #48



Photo #49

In the meantime, other roof level repairs were addressed to maintain the waterproofing integrity of the roof:

- a. Existing caulking was nearing the end of its useful life. The caulking has all been replaced as part of NHPA Project no. 08-016 B.
- b. Cracking in the coping stones, as a result of miscellaneous distress and the mounting of the lightning arrestor system air terminals was also contributing to water penetration. The coping stones have been coated with an elastomeric coating to waterproof and span the cracking as part of NHPA Project no. 08-016 B (**Photo #46**).
- c. Miscellaneous brick removal and re-pointing, on the interior face of the parapet, has been addressed as required, to maintain the waterproofing integrity and prevent leaking (**Photo #47**). Following the masonry repairs, the architectural waterproofing coating has been repaired and re-coated as part of NHPA Project no. 08-016 B.
- d. Miscellaneous flashing has been repaired or replaced as required as part of NHPA Project no. 08-016 B (**Photo #48**).

At the time of roof replacement, DESMAN recommends that NHPA consider installation of limited railings and/or fall-arrest equipment. Considering the on-going need for maintenance of the various equipment and materials located on the roof level, along with the lower height of certain parapets along the perimeter of the Building (**Photo #49**), the installation of certain safety equipment is recommended. Since the various divits and hardware may need to be anchored to the structural framing, the work would be more cost-effectively installed if coordinated with the replacement of the actual roofing system.

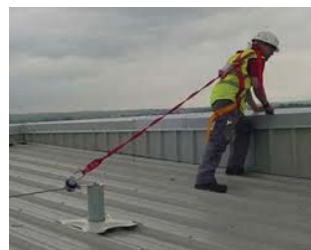




Photo #50



Photo #51



Photo #52

10. Clean Main Waiting Room Lights and Repaint Wall Sconces:

Cleaning of the globe lights in the main waiting area should enhance the aesthetics of the lobby area (**Photo #50**). The wall sconces above the galleries in the main waiting room require a fresh coat of paint. This work is currently included as part of NHPA Project no. 15-003 A, now entering design.

11. Emergency Generator Enclosure Repair:

Miscellaneous re-pointing of the brick enclosure was recommended to remove organic growth (**Photo #51**), and repair of miscellaneous units was recommended throughout.

This work was addressed as part of Project no. 08-016 B.

12. Miscellaneous Exterior Sidewalk Repair:

Even though miscellaneous sidewalk repairs were addressed as part of NHPA project no. 08-016 B, ongoing maintenance and repair can be expected in future years and this has been accounted for in the five year construction cost projections. These repairs will be inclusive of, but not limited to, concrete repairs, sealant work and periodic reapplication of penetrating concrete sealers and colored pigments to keep the decorative sidewalks looking appealing. Miscellaneous repairs have recently been addressed as part of NHPA Project No. 18-012.

13. Miscellaneous Rear & West Parking Lot Repair:

- Cracking has been observed in the bituminous concrete pavement throughout the west lot. These cracks should be properly cleaned and sealed to prevent further deterioration of the pavement.
- Miscellaneous pavement repair is required within the west surface parking lot (**Photo #52**) to address differential settlement issues. This is especially important for those defects that are several inches deep and present a potential trip hazard.



Photo #53



Photo #54



Photo #55

- The barrier fence along the railroad tracks along the west end parking lot should be inspected periodically and repaired as required.

It is DESMAN's opinion that the above repairs associated with the West End Surface Lot are in reality operating and maintenance expenses, and are therefore not included as separate and distinct items within our projected capital budget costs

14. West Entrance Enhancements:

Originally, a canopy structure was intended to be installed at the West Entrance (**Photos #53 & #54**) as part of the comprehensive renovation project of the early 1980s; however, it was never installed. Since then, this entrance has become a challenge since it serves patrons waiting for buses as well as other related needs.

There may be opportunities which could make this area more inviting (**Photo #55**). Various enhancements could include installing the canopy as originally intended, perhaps similar to the East Entrance and the Main Entrance or some such variation, but also updating the signage and lighting to better identify the entrance, provide bench seating, or replacing the bollards with illuminated bollards, all of which could assist in transforming the area (various concepts as follows)





Photo #56

15. Construction of New Access Hatch into Basement:

Due to the recent deliveries and installations of larger equipment, such as a new boiler, concern has risen due to the need for specialized rigging, shoring and materials to protect the sensitive floor elements with their limited loading capacity. PNH has therefore requested that DESMAN consider the installation of a hatchway with direct access into the basement of the Building, in order to accommodate the delivery and installation of larger mechanical equipment.



16. Cleaning of Furred Ceiling Space; Fall-Protection Improvements

Recently, PNH has had the desire and need to address certain lighting and electrical improvements in the furred ceiling space (Level 3 ½) as part of PNH Project #15-003B. In the course of addressing the work, we have become aware of the dirt and debris currently there (due to the lack of a finished floor and confined spaces with low ceiling heights, it has been impractical and quite challenging to regularly clean the space as part of the regular maintenance operations) (**Photo #57**). Therefore, PNH requests that a formal cleaning be performed as a capital project; at that time, DESMAN will consider additional measures that can be implemented to assist in making the access more practical for future cleanings.



Photo #57





Photo #58

17. Construction of Family Restroom.

Pursuant to recent discussions, **DESMAN** understands that **PNH** desires to review and study various issues that may be involved in the construction of a potential family restroom (**Photo #58**), in addition to the existing restrooms currently located at the Union Station Building. This study has been in progress as part of NHPA Project No. 18-022; plans however for a single toilet family restroom have been deferred and instead will be considered as part of a comprehensive plan to increase public restrooms.

18. Replacement of Stone Thresholds at East Entry/Exit Doors:

Cracking in the stone thresholds has apparently allowed moisture through, and so nominal leaking has been observed into the tunnel below (**Photo #59**). **DESMAN** recommends that these thresholds be properly sealed and any cracking be repaired.

19. Replacement of Brass Rails at Exterior Doors:

The brass railings by the exterior doors have been susceptible to moisture and thus have corroded, becoming loose (**Photos #60, #61 & #62**). **DESMAN** recommends that these railing systems be replaced, perhaps mounted onto a raised curb of some form so as to provide further protection.



Photo #59

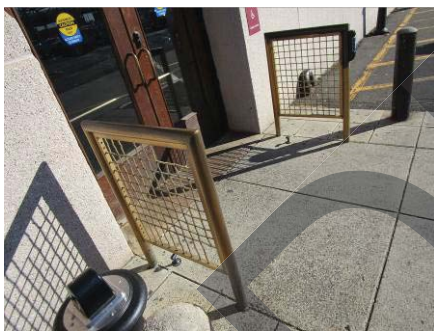


Photo #60



Photo #61



Photo #62



Photo #63



Photo #66

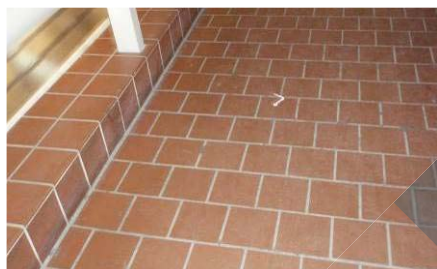


Photo #67



Photo #68

20. Miscellaneous Wall Repair, in tenant spaces:

Apparently due to moisture penetrating through the windows or roofing system above, various extents of damage have been observed throughout the now-vacant tenant spaces (**Photos #63, #64 & #65**). Since the source of moisture is not exactly confirmed, DESMAN recommends that the envelop be monitored along with other planned improvements, and this wall damage be repaired as required.



Photo #64

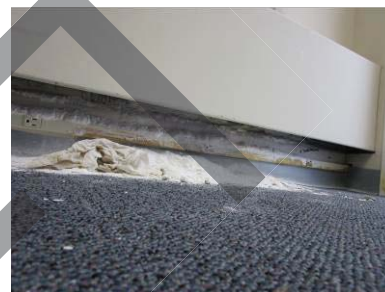


Photo #65

B. TUNNEL WORK:

CDOT has addressed repair work within the pedestrian tunnel; as part of the scheduled repairs implemented by CDOT, the tunnel's original stainless steel liner was removed and replaced in its entirety. Additionally, a limited amount of repairs was necessary to the interior surfaces of the tunnel's concrete construction and aforementioned corrugated metal decking.

Even though various drainage improvements were undertaken by CDOT as well, additional drainage improvements were undertaken by NHPA in summer/fall 2019 (**Photos #66 & #67**) as part of NHPA Project No. 18-013; a limited amount of reserve is recommended to be budgeted each year for additional seepage crack injection which may be required.

The stair treads and stair landings within the tunnel which access the station's railroad platforms had exhibited some limited wear due to heavy usage and were addressed and replaced in the CDOT project's scope of work (**Photo #68**). NHPA, however, has requested that DESMAN consider a future replacement program in which DESMAN considers other options besides rubber flooring that may be more durable for the long-term, such as supplemental metal treads that may be installed as a retrofit.

Cleaning of the limestone walls and wall tile is required along the perimeter of the pedestrian tunnel and up the stairs to the individual platforms.

Door and Frame Replacement: Over time, excessive use of ice-melt materials on the train platforms has corroded the various doors and frames to the stairs, to the degree that certain doors cannot easily be repaired but now must be fully replaced (**Photos #69, #70, #71, #72, #73, #74 & #75**). DESMAN therefore recommends that replacement of the door systems be programmed accordingly. At the time of work, parties will need to coordinate with the trains accordingly for appropriate Force Account requirements.

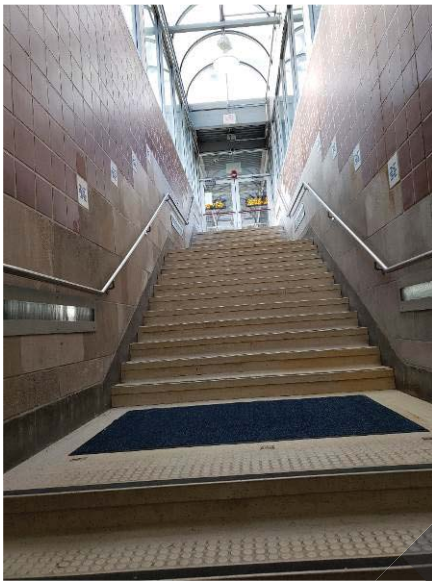


Photo #69



Photo #70



Photo #71

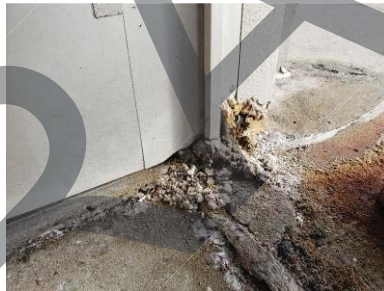


Photo #72

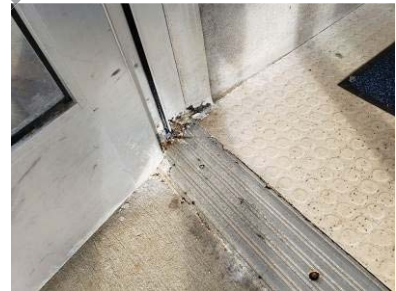


Photo #73

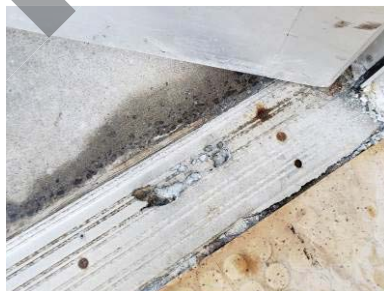


Photo #74



Photo #75



Photo #76

C. ELEVATOR/ESCALATOR WORK:

The tunnel elevators and escalators were modernized as part of NHPA Project no. 13-012 B.

In order to assist PNH in the ongoing execution of its Maintenance Agreement with Schindler Elevator Corp., it is recommended that PNH program the services of DESMAN and its elevator sub-consultant, Sterling Elevator Consultants, to oversee an elevator maintenance audit on a regular basis.

As recently discussed, DESMAN and Sterling recommended that the escalators be shut down for a “rest period” during the early morning hours; a period of downtime each day would be expected to reduce the long-term wear and tear on the escalator systems as a means of saving energy and costs. DESMAN and Sterling note that proper signage would need to be placed so as to prohibit use of the escalators in a static position; use of an escalator as a static stairwell is prohibited and not compliant with Code due to the height of the steps; this rest period is implemented every day from 1:00 AM to 4:00 AM.

D. MECHANICAL WORK:

1. Replace Pneumatic HVAC Controls w/ Electronic Controls:

A pneumatic automatic temperature control system was furnished as part of this facility's original renovations in the 1980s. The pneumatic control system air compressor required frequent maintenance and the control components often required adjustment by a control system service contractor.

The Building has a DDC (Direct Digital Control) building management system which was installed as part of the 2003 HVAC improvements. This system is capable of supervision and control of all building HVAC systems from the operator’s workstation.

This work has been addressed as part of NHPA Project No. 10-005 B. The upgrades included installation of a new web based Head End Controller for the Building Management System (**Photos #78 & #79**) and replacement of all remaining



Photo #77



Photo #78

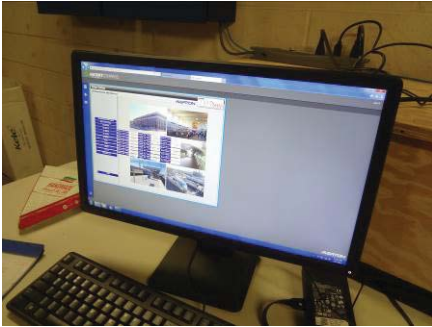


Photo #79



Photo #80



Photo #81

pneumatic controls with new DDC controls. This work eliminated the need for the pneumatic compressor which were removed.

HVAC equipment that was furnished or replaced as part of the 2003 HVAC improvements is controlled by the existing DDC system. Designated other existing pneumatic controls serving other systems were replaced with new electronic components in order to have a more comprehensive supervision of the building HVAC systems through the new DDC system. These modifications will reduce maintenance cost.

As other HVAC systems throughout the facility near the end of their useful life, they should be replaced with newer components equipped with DDC system interface.

2. Packaged Rooftop Unit Repairs:

Three of the six packaged rooftop air conditioning units were replaced in 2003, and the second set of three were replaced (**Photos #80 & #81**) as part of NHPA Project No. 10-005 B. However, due to recent mechanical failures that have been difficult to maintain, we are now recommending that replacement be accelerated and therefore consider replacement of the initial three. DESMAN recommends that they be monitored and planned for accordingly.

3. Tunnel Exhaust Fan Work:

- i. Replace Tunnel Exhaust Fans: There was a need to replace the tunnel exhaust fans, as this equipment is in operation almost continuously and was showing increased signs of problems.
- ii. Install Manual Override Switch for Tunnel Exhaust Fans at Fire Alarm Control Panel: Tunnel exhaust fans should have a manual override switch installed near the fire alarm control panel to allow fans to be turned on or off by local fire department depending on specific needs.

This work has been performed by CDOT, as part of the CDOT's code and upgrade project.

At the time of our visit, the fire alarm control panel was indicating a trouble signal from Tunnel Exhaust Fan #10. We understand that Maintenance is aware of this, so we therefore recommend that PNH monitor this issue accordingly.

4. Replace Sump Pump Cover w/ Grating & Add Sump Water Level Monitoring (Boiler Room):

The sump pump cover located within the basement boiler room was corroded and replaced with an open grating/cover. The sump is wired to be monitored by the BMS system, in the event that the pumps fail to function. Replacement and installation of new monitoring has been addressed as part of NHPA Project No. 10-005 B.

5. Replace Gate Valves @ Control Valves:

The gate valves that isolate the hot water coil control valves did not close properly. Since closure of the gate valves is required in order to service the control valves, the gate valves needed replacement. Shutdown and partial draining of the hot water system was required for gate valve or control valve repairs. Replacement has been addressed as part of NHPA Project No. 10-005 B.

6. Fire Pump Repairs:

The following repairs were performed by CDOT, as part of the CDOT's code and upgrade project:

- i. The battery rack for the fire pump was cleaned and painted to prevent further corrosion.
- ii. A fuel level monitoring system was installed to make sure that adequate fuel supply is available for weekly tests and emergency operation.

7. Fire Pump Replacement:

The 30-year old fire pump was replaced (**Photo #82**) as part of NHPA Project No. 10-005 B.



Photo #82



Photo #83

8. Replace two (2) Existing Recessed Wall Heaters in Main Entry Vestibules & Repair Cabinet Unit Heater Coil:

In addition to the need to replace the two (2) recessed wall heaters, it is necessary for the heating registers and returns to be cleared of trash and debris by maintenance staff periodically. Replacement has been addressed as part of NHPA Project No. 10-005 B.

9. Perform Repairs & Preventative Maintenance on Main Lobby Air Handlers:

The main lobby air handlers have been re-balanced and bearings to be greased or replaced. These repairs and maintenance have been addressed as part of NHPA Project No. 10-005 B.

10. Boiler Replacement:

There are three (3) boilers serving the Station (**Photo #83**). Two boilers were replaced circa 2010 and the third boiler was replaced in 2019 as part of NHPA Project No. 18-014.

11. Replacement of Chilled Water Pump:

The previous chilled water pump, installed during the 1980's, has now been replaced (**Photo #84**) as part of NHPA Project No. 18-014 now complete.

12. Mechanical Preventative Maintenance:

DESMAN recommends the periodic maintenance and repair of various components of the buildings mechanical systems; the costs are considered operational costs and are therefore not included as separate and distinct items within our projected repair and preventive maintenance costs. Periodic maintenance and service of the mechanical systems should be in accordance with the O&M requirements for the individual systems and include but are not limited to the following:



Photo #84

- Sump Pumps (located in basement)
- Heating Plant including boilers, hot water pumps, combustion air fan, and Boiler Room controller
- Chilled Water Plant including, air cooled chiller, chilled water pump, system controller, and chiller heat trace
- Mechanical Room Unit Heater
- Air Handling Units and Return/Exhaust Fans (Located in basement)
- Packaged Rooftop Air Conditioning Units
- Roof Exhaust Fans
- Split System Air Conditioning including Air Handling Units and Condensing Units serving miscellaneous 1st floor spaces
- Variable Air Volume Terminals located throughout the facility
- Automatic Temperature Controls including Controllers, Control Dampers and Control Valves
- Elevator Machine Room HVAC Equipment
- Equipment Located in Mechanical Furred Ceiling Space, exhaust fans, air handling units, and unit heaters
- Exhaust fans, cabinet unit heater and dewatering pumps serving Pedestrian Tunnel



Photo #85





Photo #86



Photo #87

CDOT completed the installation of a new sand/grit separator as part of its code compliance project, and subsequently, NHPA received a permit for the discharge of industrial wastewater from the underground passageway through the discharge locations into the Greater New Haven Water Pollution Control Authority (GNHWPCA) sewer system; the permit also dictates that the oil/grit separator be cleaned once per year. The permit expired on March 31, 2018 and was renewed by NHPA; the next renewal is due by April 1, 2023.

14. HVAC Improvements in Designated Tenant Spaces:

- The Amtrak, Avis & Greyhound spaces (**Photos #85 & #86**), currently served by AHU-6, had been experiencing overheating issues; after review, we found that the unit was originally set up for free economizer cooling but that there was excess restriction in the outside air duct so economizer was ineffective. We addressed the overheating by adding a booster fan in the basement to supply the correct amount of outside air for economizer. Further improvements to AHU-6 controls were included in NHPA Project #10-005B, so additional improvement should be noted. We would subsequently recommend continued monitoring of these spaces to confirm.

The MetroNorth Police Department's space, however, is served by AHU-1 which provides heating and ventilating only to the basement. A new split system with ducted fan coil unit and outdoor condensing unit was installed, which supplements the existing system and provide AC to the space.

Although certain improvements and repairs within tenant spaces are generally considered a tenant responsibility to address, some items serve to enhance the efficiency and integrity of the building and may be included as a building capital cost. Therefore, this work was accomplished as part of Project no. 10-005B now completed.



Photo #88

- The electrical room near the Amtrak office in the basement required certain HVAC improvements to enhance cooling and ventilation. This work was accomplished in 2019 are part of NHPA Project No. 18-014.
- PNH had observed multiple minor air leaks at several of the air handling systems in the basement (**Photos #87**); these leaks represented an inefficiency in operation of the systems and could limit their ability to properly heat or cool the space. The leaks occurred in a number of different areas, including worn flexible connections. DESMAN recommended that testing be performed on each of the units to identify the leaks and an allowance programmed for associated repairs. This work was addressed in 2019 as part of Project No. 18-014.

15. The prior 90 ton chilled water plant has been replaced with a new 100 ton chiller in 2019 as part of NHPA Project No. 18-014 (**Photos #88**). DESMAN recommends that regular preventative maintenance be scheduled and provided for every 3 years approximately.

16. Cleaning of Interior Ductwork:

DESMAN recommends cleaning the interior of all existing supply and return ductwork. Ductwork shall be cleaned in accordance with National Air Duct Cleaners Association (NADCA) Standard ACR 2013. Work shall be performed by a contractor certified in duct cleaning by NADCA or other nationally recognized industry organization; Provide duct openings as required to perform duct cleaning. Close and seal openings upon completion of work. DESMAN anticipates that most of this work will need to be performed during off hours and during a season when the heating and/or cooling systems can be shut down for several hours at a time.

17. Miscellaneous Capital Repair/Replacement: DESMAN recommends that a certain allowance be set-aside for unexpected costs that are too significant for maintenance and thus may be considered capital expenditures.



Photo #89





Photo #90

18. Replacement of Hot Water Pump: We understand that one of the two hot water pumps recently failed. While these pumps were both within the normal expected operating lifespan of such equipment, failure of one pump does lead to concern that the second identical pump could be reaching the end of its life (**Photo #90**). We therefore recommend that preemptive replacement of the second pump be considered and programmed accordingly.

E. ELECTRICAL WORK

1. Emergency Generator Maintenance:

The emergency generator was replaced as part of the CDOT's code and upgrade project currently completed circa 2014 (**Photo #91**). DESMAN notes that additional loads have been added to the generator system. Maintenance is an operating expense and therefore is not included within the projected repair and preventative maintenance costs.

2. First Floor Lighting Replacement:

Interior lighting fixtures in several areas adjacent to the main waiting room were recently upgraded in 2019 as part of Project No. 15-003 B.

Also, as recommended by DESMAN, modifications were made to the light fixtures over the escalators (**Photos #92**). Due to their height and positioning over the escalators, access for maintenance was challenging and scaffolding was necessary. This work was accomplished as part of NHPA Project No. 15-003 B in 2019, now substantially complete.

Due to the challenging access experienced with this work, we recommend that maintenance and future replacement be considered accordingly via the services of a specialized contractor.



Photo #91



Photo #92



Photo #93

3. Miscellaneous Electrical Repair:

Miscellaneous electrical repairs, such as exposed wiring, corroded conduits, and boxes, and damaged light fixtures should all be addressed as may be required. This work is considered as operating expenses and therefore are not included within the projected repair and preventative maintenance costs.

As noted at the time of our visit, the fire alarm control panel was indicating a trouble signal from Tunnel Exhaust Fan #10 (**Photo #93**). We understand that Maintenance is aware of this, so we therefore recommend that PNH monitor this issue accordingly.

4. Elimination of T12 Fluorescent Lamps:

Production of many replacement ballasts for T12 fluorescent systems has already been discontinued. As a result, replacement T12 lamps and ballasts will become increasingly expensive and difficult to obtain. Replacement of any remaining T12 fluorescent systems in the facility should be considered in the near future. There were existing T12 fixtures serving the back stairwells, first floor wall-wash fixtures (**Photo #94**), and first and second floor restrooms.

This work was completed in 2019 as part of NHPA Project No. 15-003 B.

5. Thermal Scanning:

Thermal imaging, or scanning of electrical equipment, is a tool used to identify heating problems before they result in a failure or outage. Overloading, high contact resistance and material degradation can all cause excessive heating of equipment and terminations. Thermal scanning can detect these problem areas and allow corrective action to be taken on a scheduled basis. This procedure is common for industrial facilities and is typically performed prior to a scheduled shut-down of the equipment so that repairs can be done during that outage. While the electrical characteristics of this facility will not tend to cause this type of failure at the same rate as an industrial facility, the age of



Photo #94



Photo #95



Photo #96



Photo #97



Photo #98



Photo #99

the equipment, the environment in which it is installed, and the potential impact of a significant failure are all indications that periodic thermal scanning would be beneficial. This work was completed in 2019 as part of NHPA Project No. 15-003 B.

6. Emergency Lighting:

Existing battery-powered twin-head emergency lighting units are installed throughout the second, third and fourth floor corridors (**Photo #95**). DESMAN recommends that PNH Maintenance monitor them and repair/replace them as may be required.

7. Replacement of Tunnel Pendant Fixtures:

16 foot pendant mounted fixtures in each of two high ceiling areas of the tunnel were replaced in 2019 as part of NHPA Project No. 15-003 B (**Photo #96**).

8. Mobile Device Charging Stations have been installed at various locations throughout the Union Station Building (**Photo #97**). DESMAN recommends that PNH monitor the stations for volume of usage and prepare a preventative maintenance program accordingly.

9. PNH had requested that service lights be installed on the roof in designated locations (**Photos #98 & #99**) to provide enhanced lighting when performing maintenance on the various roof level mechanical units and equipment. This work was completed in 2019 as part of NHPA Project No. 15-003 B.



Photo #100

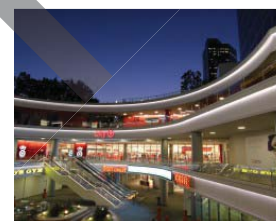


Photo #101



Photo #102

10. Decorative lighting: Outdoor rated, linear, colored LED fixtures are available from a number of reliable manufacturers. These fixtures could be used for aesthetic appeal and level identification. Color changing effects can be included to provide season-appropriate lighting. The scope and cost for this type of lighting can vary greatly (**Photos #100 & #101**). A study of acceptable effects, potential installed locations and associated costs should be performed prior to implementation.



Regardless, the existing exterior lighting on and around the Union Station Building is currently all high pressure sodium, which includes wall-mounted wallpack fixtures, decorative gooseneck fixtures at the main entry doors and area lights on/in the pylon signs and bus enclosures. Although we are not specifically aware of any maintenance difficulties with these fixtures, reduced maintenance and energy savings could be realized if they were to be changed to LED; warm LED lamp temperatures could be used to minimize the departure from the aesthetic of the high-pressure sodium fixtures. At the time, we recommend that the SHPO be consulted as well so as to minimize the potential aesthetic impact.

11. Surge Protection: Recent events in several of the facilities have raised concerns about surge protection for the electrical distribution system. Such protection can help prevent damage to equipment connected to the system and limit power outages. Protection can be provided at any point in the distribution system and is typically designed based on the level of protection desired at any point. Multiple levels of protection are often implemented with devices installed at the incoming service, at select subpanels and at the sensitive equipment. This work was completed in 2019 as part of NHPA Project No. 15-003 B.



Photo #103



Photo #104



Photo #105

12. Transformer Replacement: The basic cause of transformer noise is magnetostriction: the expansion and contraction of the iron core (laminations) due to the magnetic effect of alternating current flowing through the transformer coils; this produces an audible hum. Magnetostriction may be partially controlled by the transformer design, but it cannot be totally eliminated. Thus all transformers will have some audible noise and will also transfer some vibration into the surfaces they are mounted to. Reducing the noise and vibration could be accomplished by replacing the transformer with one having a more modern construction or providing some form of vibration isolation. Sound deadening materials could also be placed between the transformer and any location where the noise is problematic. The humming is not typically an indication of impending failure or overloading and unless the noise is creating a disturbance to patrons or employees, DESMAN recommends that it simply be monitored for the time being (**Photo #102**). Various units located in the furred ceiling space are also showing signs of age; DESMAN recommends that these units be monitored as well and programmed for replacement as may be appropriate.

We understand that the existing 112.5 kVA transformer, in the main electrical room serving the Concession Distribution Panel, has been reported to get very hot during times of high loading and is showing visual signs of overheating (**Photos #103 & #104**). We recommend that replacement of the transformer be considered in order to eliminate the overheating condition and lessen the risk of an unanticipated failure. We note however that a modern transformer of this rating may have a considerably larger footprint which may require relocation within the main electrical room.

13. Replacement of the Tunnel Light Rail: In order to enhance the tunnel leading to the train platforms, consideration has been made to enhance the rail light on either side of the tunnel (**Photos #105 & #106**). A simple but decorative enhancement may be to retrofit or replace the rail with a color-changing lighting system that may be programmed for additional aesthetics. At that time, DESMAN recommends that the mounting system be modified, as well, so as to avoid the rail system being exposed to moisture and subsequent corrosion.



Photo #106



Photo #107



Photo #110

14. Lights around Flagpole: the embedded light fixtures surrounding the flagpole in the front of the Station are aged and damaged due to water (**Photos #107, #108 & #109**). DESMAN recommends that these fixtures be replaced.



Photo #108

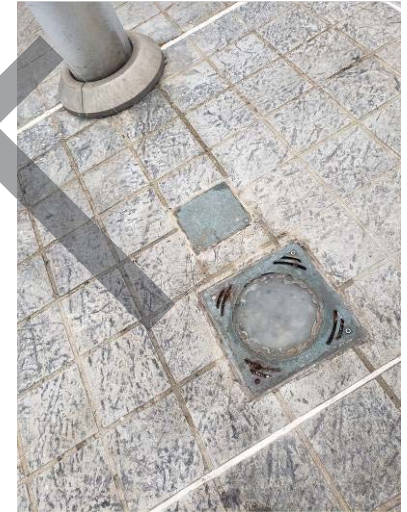


Photo #109

15. The lights within the walls of the stairs leading to the train platforms are aged and difficult to maintain due to their exposure to moisture (**Photo #110**). Currently, one set of lights at a stair are not working; DESMAN is reviewing this issue further for recommended course of action.
16. There have been multiple issues related to the building's heating hot water pumps recently, which have included failure of one pump, failure of at least one motor and tripping of an upstream breaker in the pump power feed. While we are not aware of a certain correlation between these failures, the situation warrants monitoring. While we understand that replacement of the motor and pump have been resolved as part of maintenance, we suspect that the 40 year-old breaker may be need of replacement, and so we therefore recommend that replacement be programmed accordingly.



Photo #111



Photo #112



Photo #113

F. SECURITY ENHANCEMENTS:

DESMAN recommended that a study be performed to review security needs and to provide appropriate recommendations; design and installation of the security system would follow and be programmed for implementation accordingly. The draft study is currently complete as part of NHPA Project No. 15-002.

DESMAN understands that this work would be subject to funding, and so DESMAN recommends that NHPA program this work accordingly. Since these security enhancements would be an optional consideration, the related expenses are not included as an item within our project capital budget costs.

G. LANDSCAPING & SITE IMPROVEMENTS

Rear-placed Mechanical Equipment:

Over the recent years, additional mechanical equipment has been installed in the rear of the building along the curbing. In the past, effort had been given to enclose the various piping so as to minimize the aesthetic impact to the building, but the recent piping remains exposed.

A combination of housekeeping pads also exists, consisting of raised concrete, surface-flush concrete, as well as no pad but for the pre-existing asphalt curbing.

DESMAN recommends that this curbing area be reviewed and the equipment re-organized, appropriate pads be placed or adjusted, and the various piping be enclosed. **(Photos #111, #112 & #113)**

1. Miscellaneous Site Repairs:

Various site elements have been damaged, such as moisture damage and corrosion to fencing. All elements and materials should be repaired as may be required. **(Photos #114 & #115).**



Photo #114



Photo #115

2. Miscellaneous Signage Improvements:

Recently, PNH authorized DESMAN to review, identify and study the program elements for wayfinding needs of the interior common spaces of the Union Station Building. The goal of the Assessment & Study is to provide PNH with a clear understanding of the complexity and/or simplicity of implementing a new signage program; this study is in progress in accordance with PNH Project #19-032 and subsequent recommendations will be programmed accordingly.

In the meantime, any existing signage which have been damaged should be replaced. DESMAN also recommends that the signage program be reviewed for any potential updating as may be appropriate for patron requirements. **(Photo #116)**

3. Streetscape Improvements:

PNH has determined the need to modify the existing traffic and transit operations along Union Avenue and in front of New Haven Union Station **(Photo #117)** to better organize and delineate the various functions for all types of travel including shuttle bus, city bus, taxi, Uber, limo, pickup/drop off by car, bicycling and walking. Improvements include, but are not limited to, installing new vehicular signage and pedestrian wayfinding signage, installing new bus shelters, installing a new bicycle parking area, and other streetscape and site improvements at New Haven Union Station (the "Project"). Although this work is currently being considered as part of Project no. 15-023, progress is on hold per discussion with the City of New Haven and State of Connecticut.



Photo #116



Photo #117

H. MISCELLANEOUS WORK:

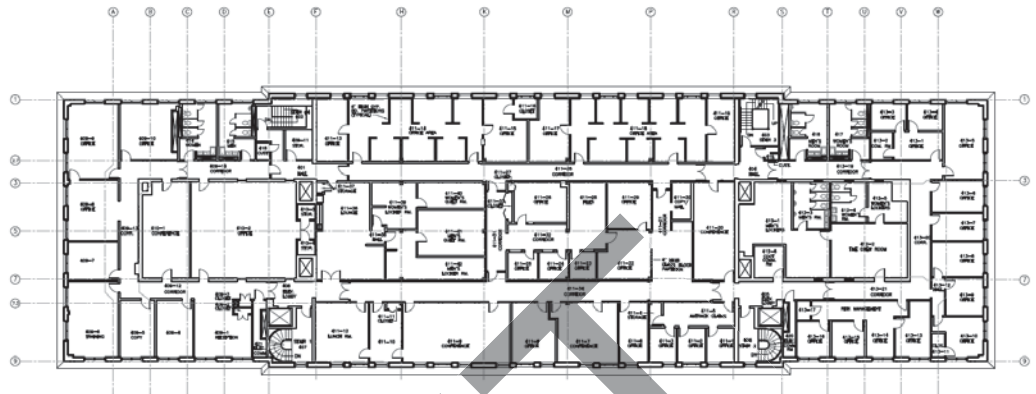
1. Some of the coated skylights on the fourth floor offices are peeling. Should privacy or heat/light protection still be required, a new coating should be applied, or the glass replaced with opaque glass or insulated panels. DESMAN recommends that these be monitored as required. **(Photo #118)**
2. Periodic inspection of the perimeter fencing is recommended to assure this fence remains secure and properly maintained.

I. SPACE PLANNING FOR 4TH FLOOR

Due to the recent departure of CDOT and Metro-North from the 4th floor (circa 2017/2018), designated tenant space has become available for new leasing. While other current tenants have expressed interest in the space, this scenario creates the need to review the floor in its entirety due to CDOT's and Metro-North's current layout and the possible desire to subdivide the floor to accommodate multiple tenants, and to provide appropriate corridors, access to restrooms, access to the stairs and elevators, etc. DESMAN recommended that PNH perform a space planning study to consider possible configurations, access requirements and other code-related improvements. This study was performed as NHPA Project No. 17-009.



Photo #118



J. UPDATING OF RECORD DOCUMENTS:

Given the size of this facility, and its associated building systems, and the need to perform regular maintenance, as well as the need to correctly oversee future repair and preventative maintenance projects, NHPA will benefit from the continuous updating of a set of as-built drawings. Such drawings will identify the locations and ratings of all electrical distribution components, locations and manufacturers of fire alarm and security systems, and the location and circuiting of all regular lighting, emergency lighting, and exit signs. Mechanical systems (boilers, fans, HVAC equipment, pumps and sprinkler systems) would also be documented. DESMAN recommends that the record documents be updated as required.

In conjunction with the benefit of preparing Record Drawings, it is becoming more cumbersome and inefficient for NHPA to maintain a hard-copy set of the original/past documents from the garage's original construction. The documents are becoming more aged and the paper more susceptible to damage. Considering the valuable nature of the historic documentation with regards to future repair work, DESMAN recommends that NHPA arrange for the scanning of all documentation into electronic (PDF) format; converting the documents into electronic format would allow for easier sharing of documents, as well, which can then easily be transmitted via e-mail as required.

This work is currently in progress as part of NHPA Project No. 18-015.

5. PRIORITIZED REPAIR PROGRAMS & OPINION OF COSTS

A revised repair and preventive maintenance program has been developed to assure the long-term durability of the Union Station Building. The repairs required have been prioritized into three courses of action:

- Prioritized Repairs (FY 2022)
- Early Repairs (FY 2023)
- Programmed Repairs (FY 2024)
- Long-Term Repairs (FY 2025 - 2026)

Below is a summary of the opinion of construction cost for each category.

RECOMMENDED REPAIR PROGRAM	OPINION OF CONSTRUCTION COST
Prioritized Repairs (FY 2022)	\$522,000.00
Early Repairs (FY 2023)	\$439,350.00
Programmed Repairs (FY 2024)	\$3,952,700.00
Long-Term Repairs (FY 2025 – 2026)	\$2,636,100.00
TOTAL OPINION OF COST	\$7,550,150.00

A detailed cost estimate is provided in the table on the following page, entitled “Projected Five Year Construction Costs.”

The construction costs are based on current prices in the New Haven area for labor, equipment and materials. The estimated construction costs also include a 20% contingency factor to account for uncertainties in the restoration market at the time of bidding, and a preliminary design, construction management fee and program management fee estimated at 25% of total construction cost has been provided for budgeting purpose.

DRAFT



Table 1
Union Station Building
Projected Five Year Construction Costs
(FY 2021)



Work Description	Prioritized Repairs (FY 2022)	Early Repairs (FY 2023)	Programmed Repairs (FY 2024)	Long-Term Repairs (FY 2025 - 2026)
A. Architectural, Structural & Masonry Repair				
1 Plaster Ceiling Repairs & Painting (miscellaneous locations throughout Facility):	\$ -	\$ -	\$ -	\$ -
2 Main Lobby & Anterior Lobby Area Interior Refinishing/Painting	\$ -	\$ -	\$ -	\$ -
3 Upper Floor Common Area Interior Repairs & Improvements/Painting:	\$ -	\$ -	\$ -	\$ -
4 Stairwell Repairs & Improvements/Painting:	\$ -	\$ -	\$ -	\$ -
5 Stair Railing Landing Modifications	\$ 37,000.00	\$ -	\$ -	\$ -
6 Miscellaneous Floor and Tile Repair.	\$ -	\$ -	\$ -	\$ -
Allowance for Tile Replacement	\$ -	\$ -	\$ -	\$ -
7 Clean Limestone Walls in Main Lobby & Anterior Lobby Areas:	\$ -	\$ -	\$ -	\$ -
8 Misc. Exterior Repair:				
a. Rework/Repair Double Pane Exterior Window Glass	\$ -	\$ -	\$ -	\$ 630,000.00
b. Misc. Terracotta & Masonry Repair - Cornice Coating/Cleaning	\$ -	\$ -	\$ -	\$ -
c. Misc. Exterior Sealant/Waterproofing Work - Decorative Stone/Concrete/Cove Joint	\$ -	\$ -	\$ -	\$ -
d. Exterior Refinishing/Repainting	\$ -	\$ 191,000.00	\$ -	\$ -
9 Clean Main Waiting Room Lights & Paint Wall Sconces	\$ -	\$ -	\$ -	\$ -
10 Misc. Exterior Sidewalk Repair				
a. Concrete Sidewalk Repair	\$ -	\$ -	\$ -	\$ -
b. Sidewalk Sealant/Caulking Work	\$ -	\$ -	\$ -	\$ -
c. Decorative Concrete Sidewalk Sealant and Pigment Repair	\$ -	\$ -	\$ -	\$ -
11 Misc. Rear Parking Lot Repairs:				
a. Misc. Bituminous Concrete Curb Repair	\$ -	\$ -	\$ -	\$ -
b. Misc. Pavement Repair	\$ -	\$ -	\$ -	\$ -
c. Re-Striping Work	\$ -	\$ -	\$ -	\$ -
12 West Entrance Enhancements (New Canopy, related improvements)	\$ -	\$ -	\$ -	\$ 91,000.00
13 Roofing Repairs, Replacement and Improvements:				
a. Study of Roof System Options	\$ -	\$ -	\$ -	\$ -
b. Replacement of Roofing System	\$ -	\$ -	\$ 1,332,000.00	\$ -
c. Installation of Railings and Fall-Arrest Devices	\$ -	\$ -	\$ 134,000.00	\$ -
14 Installation of Access Hatch into Basement	\$ -	\$ -	\$ -	\$ 70,000.00
15 Cleaning of Furred Ceiling Space; installation of fall arrest equipment	\$ -	\$ -	\$ 100,000.00	\$ -
16 Construction of Family Restroom	\$ -	\$ -	\$ -	\$ -
17 Replacement of Stone Thresholds at Eastern Entry/Exit Doors	\$ -	\$ -	\$ 34,000.00	\$ -
18 Replacement of Brass Rails at Exterior Doors	\$ -	\$ -	\$ 40,000.00	\$ -
19 Misc. Wall Repair in Tenant Spaces (Amtrak, 4th floor, etc.)	\$ -	\$ 64,000.00	\$ -	\$ -
B. Tunnel Work				
1 Misc. Limestone Wall Repair	\$ -	\$ -	\$ -	\$ -
2 Misc. Plaster Repair & Repainting	\$ -	\$ -	\$ -	\$ -
3 Limestone Wall Cleaning	\$ -	\$ -	\$ -	\$ -
4 Replace Stair Treads and Stair Landing Flooring (Platform Stair Access)	\$ -	\$ -	\$ -	\$ 126,000.00
5 Repair Floor Tiles & Cove Base	\$ -	\$ -	\$ -	\$ 21,000.00
6 Negative-Waterproofing	\$ -	\$ 32,000.00	\$ 34,000.00	\$ 70,000.00
7 Replacement of Train Platform Doors & Frames (including Force Account Coordination)	\$ 302,000.00	\$ -	\$ -	\$ -
C. Mechanical Work				
1 Water Chiller:				
a. Preventative Maintenance (and pump replacement as required)	\$ -	\$ -	\$ -	\$ 70,000.00
b. Replacement of Water Chiller	\$ -	\$ -	\$ -	\$ -
2 AHU Testing & PM Repairs				
a. Testing for Leaks	\$ -	\$ -	\$ -	\$ -
b. Allowance for Repairs	\$ -	\$ -	\$ -	\$ -
3 Miscellaneous Mechanical/Plumbing Repairs	\$ -	\$ -	\$ -	\$ -
4 Boiler Replacement	\$ -	\$ -	\$ -	\$ -
5 HVAC Modifications to Electrical Room	\$ -	\$ -	\$ -	\$ -
6 Cleaning of Ductwork System	\$ -	\$ -	\$ 67,000.00	\$ -
7 Rooftop Unit Replacement (3 units)	\$ -	\$ -	\$ -	\$ 630,000.00
8 Miscellaneous HVAC Repair/Replacement	\$ 16,000.00	\$ 16,000.00	\$ 17,000.00	\$ 35,000.00
9 Replacement of Hot Water Pump	\$ -	\$ -	\$ 27,000.00	\$ -



Table 1
Union Station Building
Projected Five Year Construction Costs
(FY 2021)



Work Description		Prioritized Repairs (FY 2022)	Early Repairs (FY 2023)	Programmed Repairs (FY 2024)	Long-Term Repairs (FY 2025 - 2026)
D. Electrical Work					
1	First Floor Lighting Replacements				
	a. Replacment of Fixtures over Escalators	\$ -	\$ -	\$ -	\$ -
2	Miscellaneous Electrical Repairs	\$ -	\$ -	\$ -	\$ -
3	Thermal Scanning (every 3 to 5)	\$ -	\$ -	\$ 5,000.00	\$ -
4	Replacement of Decorative Light Rails, along Tunnel	\$ -	\$ -	\$ 267,000.00	\$ -
5	Replacement of Light Fixtures around Flagpole (including replacement of decorative concrete)	\$ -	\$ -	\$ 40,000.00	\$ -
6	Replacement/Modifications of Accent Lights at Stairs in Tunnel	\$ -	\$ -	\$ 510,000.00	\$ -
7	Replacement of Exterior Lighting (including study of options)	\$ -	\$ -	\$ 67,000.00	\$ -
8	Replacement of Hot Water Pump Breaker	\$ -	\$ -	\$ 20,000.00	\$ -
9	Replacement of Transformer serving Concession Distribution Panel	\$ -	\$ -	\$ 27,000.00	\$ -
E. Security Improvements					
1	Study of Security Needs	\$ -	\$ -	\$ -	\$ -
2	Installation of Security System (i.e. Cameras, Access Control, and other components)	\$ -	\$ -	\$ -	\$ -
F. Elevator/Escalator Upgrades and Improvements					
1	Maintenance Audit (Bi-Ennial)	\$ 5,000.00	\$ -	\$ 5,000.00	\$ 5,000.00
2	Installation of Enhanced Escalator Handrails	\$ -	\$ -	\$ -	\$ -
3	Procurement & Placement of Fire-Rated Rag Cans	\$ -	\$ -	\$ -	\$ -
G. Landscaping & Site Improvement Work					
1	Streetscape Improvements	\$ -	\$ -	\$ -	\$ -
2	Precast Concrete Planter Wall Repair	\$ -	\$ -	\$ -	\$ 7,000.00
3	Rear-place Mechanical Equipment/Curbing Improvements	\$ -	\$ -	\$ -	\$ 49,000.00
4	Signage Repairs and Improvements (Exterior)	\$ -	\$ -	\$ -	\$ -
5	Signage Replacement and Improvements (Interior)	\$ -	\$ -	\$ -	\$ -
6	Misc. Fencing Repairs (caulking at bases, paint touch-up)	\$ -	\$ -	\$ -	\$ 14,000.00
H. Space Planning for 4th Floor					
		\$ -	\$ -	\$ -	\$ -
I. File Management					
1	Preparation of Record Drawings	\$ -	\$ -	\$ -	\$ -
2	Scanning of Original Drawings	\$ -	\$ -	\$ -	\$ -
	Sub-Total	\$360,000.00	\$303,000.00	\$2,726,000.00	\$1,818,000.00
	20% Contingencies (Unless depicted Otherwise)	\$72,000.00	\$60,600.00	\$545,200.00	\$363,600.00
	25% Engr. & Construction Management, incl. Program Management (Unless depicted Otherwise)	\$90,000.00	\$75,750.00	\$681,500.00	\$454,500.00
	Total Phased Construction Costs with Contingencies	\$522,000.00	\$439,350.00	\$3,952,700.00	\$2,636,100.00
TOTAL Construction Cost with Contingencies:					\$7,550,150.00

Note 1: Costs Presented do not Include Typical Operational & Maintenance Costs Except as Noted

Note 2: Costs include a 15% allowance for General & Special Conditions.

Note 3: Future costs incorporate a cumulative 5% inflation for all costs, to be adjusted annually



6. APPENDIX A – SCHEMATIC FLOOR PLANS

DRAFT



**CONDITION APPRAISAL
(FY 2021)**

**UNION STATION PARKING GARAGE
NEW HAVEN, CONNECTICUT**



**PREPARED FOR:
NEW HAVEN PARKING AUTHORITY
232 GEORGE STREET
NEW HAVEN CONNECTICUT 06510**

PREPARED BY:



**175 CAPITAL BOULEVARD, SUITE 402
ROCKY HILL, CONNECTICUT 06067**

**NHPA PROJECT No. 21-001
DESMAN PROJECT No. 20-20149.00-2**

APRIL 2021



CONDITION APPRAISAL
UNION STATION PARKING GARAGE
NEW HAVEN PARKING AUTHORITY FACILITIES
NEW HAVEN, CONNECTICUT

APRIL 2021

TABLE OF CONTENTS

1:	INTRODUCTION:	1
2.	EXECUTIVE SUMMARY:	2
3:	DESCRIPTION OF THE FACILITY:	6
	▪ <i>LOCATOR MAP</i>	<i>(PAGE 10)</i>
	▪ <i>STRUCTURAL DATA</i>	<i>(PAGE 11)</i>
4:	VISUAL OBSERVATIONS & REPAIR RECOMMENDATIONS:	12
5:	PRIORITIZED REPAIR PROGRAMS & OPINION OF COSTS:	33
	▪ <i>TABLE 1 - FIVE YEAR PRIORITIZED REPAIR PROGRAM COSTS</i>	<i>(PAGE 35)</i>
6.	DETERIORATION MECHANISMS	36
7.	APPENDIX A - SCHEMATIC FLOOR PLANS	
8.	APPENDIX B - MAINTENANCE SCHEDULE AND CHECKLISTS/ SEASONAL WASHDOWN CHECKLIST	

1. INTRODUCTION

The Condition Appraisal of the Union Station Parking Garage was performed by DESMAN in accordance with the executed agreement by and between the New Haven Parking Authority and DESMAN (NHPA Project No. 21-001).

The primary objectives of this appraisal are as follows:

- A. Perform a detailed, on-site inspection and observation of the Union Station Parking Garage in concert with DESMAN's applicable sub-consultants.
- B. Compare the results of the inspection with those addressed in the 2020 Condition Appraisal Report prepared previously by DESMAN.
- C. Prepare a report detailing the findings of the survey including, but not limited to, an update of the estimated construction costs, along with priorities for the various repairs, and recommended capital reserves (future repair and maintenance), to allow the New Haven Parking Authority flexibility in the implementation of structural repairs, mechanical and electrical modifications, and architectural improvements.



2. EXECUTIVE SUMMARY

The Union Station Garage consists of 887 parking spaces. Opened on January 27, 1988, this garage consists of six (6) levels of cast-in-place concrete beams and columns with post-tensioned concrete parking decks, in excess of 289,000 gross square footage of parking area. This 32 year old facility is in generally good condition.

Currently there are three (3) projects in design which represent a combined project cost of approximately \$2,448,000 (including contingencies and design/management fees). Between 2022 and 2026, an additional expenditure of approximately \$4,415,927.88 can be expected to properly repair and maintain the Union Station Garage over the next five years.

This report also includes costs associated with the repair and maintenance of a supplemental surface parking lot located to the east of the parking facility.

The revised cost projections account for work planned to be performed this year (2020) also account for revisions in repair priorities and additional repairs and improvements to the facility as identified during this year's survey or which were otherwise requested by NHPA for implementation over the next five years.

This facility continues to perform well, but some critical concerns will need to be addressed to allow the continued safe usage of the facility. While limited areas of the supported concrete deck of this parking facility have been repaired, concrete deck deterioration will be an on-going matter to monitor. Application of a corrosion inhibitor on all supported concrete decks has been applied as well.

Although no problems have been identified with the facility's P/T pockets, continued observation is recommended as part of each year's assessment work.

Miscellaneous waterproofing repair, inclusive of crack and construction joint repair, and repairs to existing areas of traffic bearing waterproofing membrane will also be required, especially considering the post-tensioned concrete deck construction of this garage.



Photo #1



The storefront associated with this facility’s roof level has been replaced (**Photo #1**), while the lower level storefront construction remained essentially unchanged.

The costs associated with the implementation of future repairs and preventative maintenance for this garage is presented in more detail later in this report. The majority of the future repairs consist largely of ongoing preventative maintenance issues which would need to be performed on any parking facility of similar size and construction.

The Capital Projects currently in progress consist of the following:

PROJECT NUMBER	PROJECT TITLE	OPINION OF COST*	STATUS
16-006	Exterior Architectural Coating	\$600,000	In Design
18-016	Miscellaneous Concrete Repairs , Waterproofing & Drainage Repairs	\$130,000	In Const.
19-016	Decorative Precast Façade Repairs	\$1,750,000	In Design

* Rounded, Inclusive of Contingencies, Engineering and Program Management costs.

The costs associated with the implementation of future repairs and preventative maintenance for this garage is presented in more detail later in this report.

The repairs recommended to be performed over the next five years have been prioritized into three courses of action: Prioritized Repairs (FY 2021), Early Repairs (FY 2022), Programmed Repairs (FY 2023), and Long-Term Repairs (FY 2024 - 2025). The table below is a summary of DESMAN's estimated construction cost for each category of work.

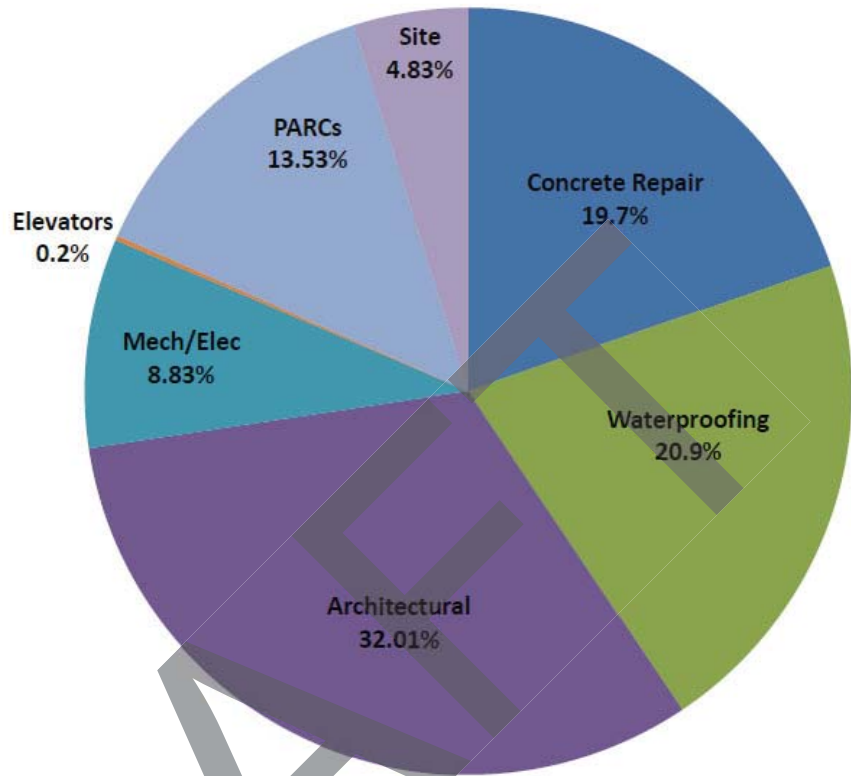


RECOMMENDED REPAIR PROGRAM	OPINION OF CONSTRUCTION COST
Prioritized Repairs (FY 2022)	\$1,383,300.00
Early Repairs (FY 2023)	\$655,400.00
Programmed Repairs (FY 2024)	\$582,900.00
Long-Term Repairs (FY 2025– 2026)	\$1,794,327.88
TOTAL OPINION OF COST	\$4,415,927.88

To further summarize, the projected costs may be split into the following discipline categories, in accordance with the associated percentages, as represented by the following pie chart:

Concrete Repair:	19.70%
Waterproofing:	20.9%
Architectural:	32.01%
Mechanical/Electrical:	8.83%
Elevators:	0.20%
PARCs:	13.53%
<u>Site:</u>	<u>4.83%</u>
	100.00%





Recommended Repairs & Improvements split into Disciplines

DRY



3. DESCRIPTION OF THE STRUCTURE



Photo #2



Photo #3

The six level, free standing parking facility (**Photo #2**) is located on Union Avenue adjacent to the Union Station Transportation Center Building (Railroad Station) in New Haven, Connecticut. This facility remains in relatively good structural condition, but conditions exist which should be addressed to preserve and protect it.

The Union Station Garage primarily services railroad patrons (both daily and monthly patrons), but also services employees working within the Union Station Center building itself.

The facility is rectangular in shape with a longitudinal dimension of 277 feet and a transverse dimension of 174 feet with five supported levels (i.e., structural slabs) and one slab-on-grade. The facility's structural configuration consists of two non-sloping exterior parking bays (**Photo #3**) and one sloping interior parking bay providing vehicle access between parking levels. Traffic flow within the facility is clockwise with one-way traffic on the exterior parking bays and two-way traffic flow on the interior bay.

The parking facility's entrance/exit lanes are located at the east end and north side (side facing Union Avenue) of the structure. Both entrances/exits are accessed from Union Avenue.

The east-end entry/exit lane is the primary access point for transient customers and provides a reservoir for off-street queuing of vehicles. During the evening rush hour, the east-end entry/exit lanes are operated as exit lanes exclusively with entry provided at the Northwest corner of the facility.

The facility's structural system typically consists of 7½" thick post-tensioned concrete slabs spanning 27 feet between 57-foot long post-tensioned concrete beams.

Two expansion joints are provided at opposite ends of the facility running in the North-South direction. Each supported level of the structure contains these expansion joints at column line "4" and "9" respectively. The structure on either side of these expansion joints is supported at a double column. The post-tensioning anchors and stressing pockets are located at either side of the expansion joints to allow sequencing of the original construction.

The facility has two stair towers located at either end of the structure; two elevators are positioned at the end of the garage closest to the Union Station Transportation Center Building.

The parking facility's façade, along Union Avenue, features architectural pre-cast concrete elements supported by the facility's structural frame (**Photo #4**).

The storm water collection system consists of floor drains on flat parking bays and trench drains at the base of the sloping bays.

The main lighting system was replaced in 2017 and consists of surface mounted LED lighting fixtures wired through embedded electrical conduit, and features an automatic lighting control system capable of being programmed to adjust lighting levels throughout the facility. The facility's perimeter lighting may be shut down in response to exterior ambient lighting conditions. The emergency lighting system installed subsequent to the facility's original construction is wired through surface mounted electrical conduit.

The facility is equipped with an emergency call box (blue light) intercom system that operates via radio transmission allowing for increased operating flexibility and easy monitoring of the facility security.

The existing fire-protection system is a dry system consisting of standpipes with a Siamese connection at the street (Union Avenue).



Photo #4

The facility is equipped with a dedicated pressurized garage wash-down system which provides sufficient water pressure and volume on all levels of the garage to allow NHPA operations and maintenance staff to periodically wash-down the facility and to flush away accumulated chlorides of the deck, thus helping to prevent further chloride contamination of the concrete decks.

The facility has had periodic repairs and maintenance performed on traffic bearing waterproofing membrane installed in several areas of the garage (i.e., above the maintenance area, garage manager's office) The traffic bearing waterproofing membrane previously installed on the garage's roof deck was eventually removed.

Floor plans, displaying the basic grid and architectural layout of the garage are included in Appendix A – Schematic Floor Plans.

1998 The facility's expansion joints were replaced with more durable winged expansion joint glands. This work involved the removal of preexisting pre-molded elastomeric expansion joint glands and rebuilding or otherwise modifying the existing expansion joint block-outs to accept the new expansion joints glands.

1999 Various improvements were made to the facility's signage and graphics, particularly as it relates to vehicular traffic and how it is directed through the garage to the various vehicle exits. The signage is controlled via computer and has various software interlocks to prevent sign directions from conflicting with one another.

2002 The Garage's entire revenue control system and lane control equipment (i.e., ticket machines, traffic gates, card readers, loop detectors, cashiering equipment etc.) were replaced.





Photo #5



Photo #6



Photo #7

- 2003 Various concrete repairs were performed to address post-tensioned concrete deck deterioration and to address vertical and overhead concrete deterioration. The traffic bearing waterproofing membrane installed on the facility's roof level was removed due to difficulties with adhesion. The facility's concrete roof deck and exterior architectural precast façade was treated with a clear penetrating concrete sealer.
- 2004 A limited amount of expansion joint repair work was performed to address leaking joints and to repair the elastomeric expansion joint nosing material. The balance of the facility's supported concrete deck was treated with a clear penetrating concrete sealer. This sealer application was a supplement to a similar sealer applied to the facility's roof level previously.
- 2010 The construction and installation of a bicycle parking area, at the west end of the Union Station Garage (**Photo #5**), was started late 2010 and was completed Spring 2011. This work incorporated the construction of a concrete paved area, entry/exit aprons, individual bicycle shelters, and installation of bike racks, site lighting, fencing, landscaping, and various signage improvements.
- 2015 A comprehensive repair and improvement project was started in Spring 2014 and was completed Fall 2015. Work consisted of concrete repair, waterproofing repairs, masonry repairs (**Photo #6**), plumbing repairs, storefront repairs and replacement, painting and re-stripping.
- 2017 A comprehensive electrical and lighting project was completed in 2017. Work consisted of lighting fixture replacement, conduit & wire replacement, installation of new elevator lobby lighting, lighting control system installation and modifications, and miscellaneous electrical repairs.



2019 Miscellaneous concrete sidewalk repairs were addressed, as well as the installation of additional electric vehicle charging stations (*Photos #8 & #9*).

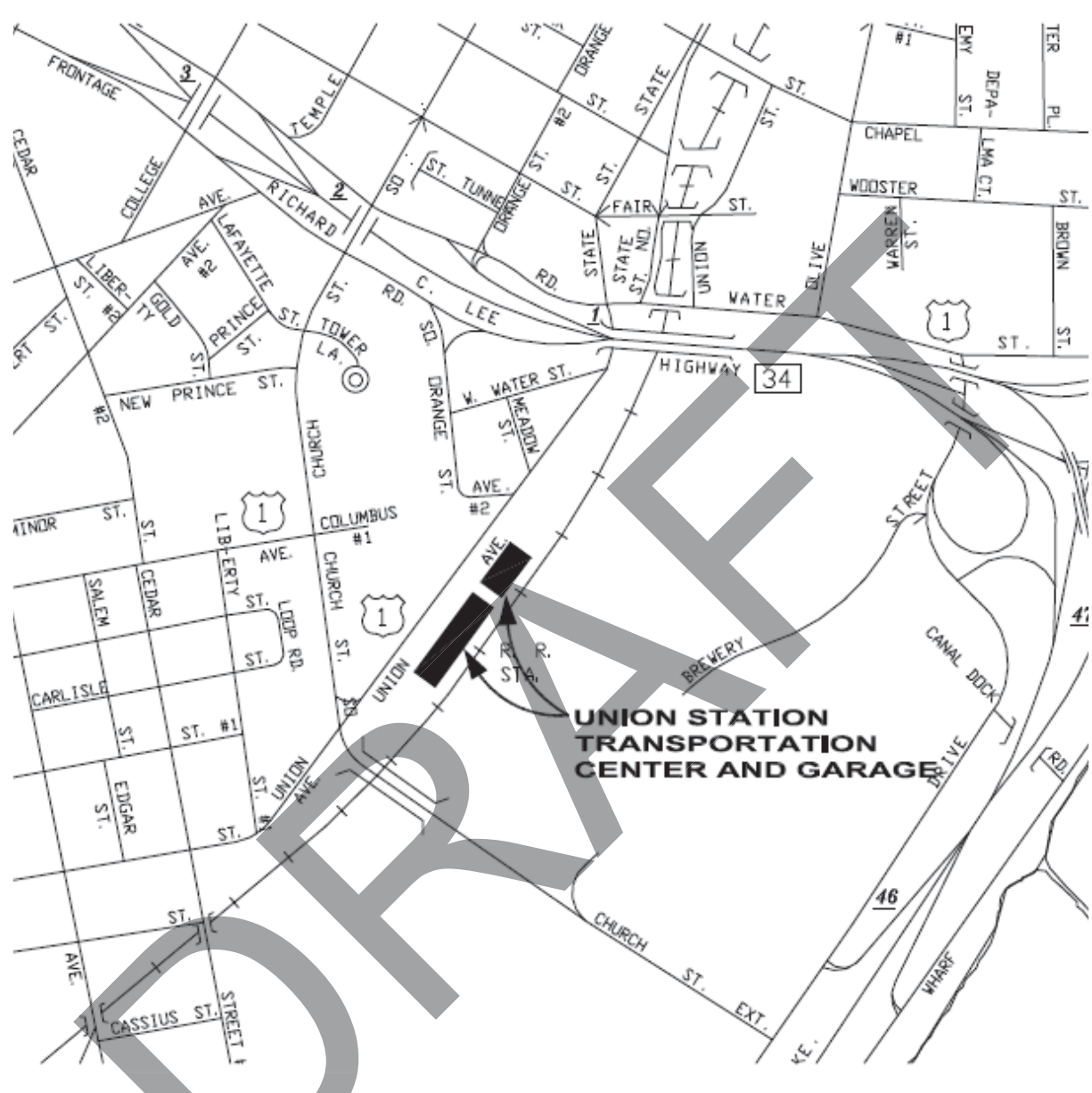


Photo #8



Photo #9

DRAFT



Site Plan



STRUCTURAL DATA

UNION STATION PARKING GARAGE NEW HAVEN, CONNECTICUT

Legend:	Square Feet	SF
	Pounds Per Square Inch	PSI
	Pounds Per Square Foot	PSF
Date of Completion:		1988
Age of Structure:		32 Years
Plan Dimensions:		174 FT x 277 FT
Typical Bay Size:		27 FT x 58 FT
Typical Floor to Floor Height:		10'-6"
Floor Area:	Slab-on-Grade:	42,000 SF
	Supported Slab:	211,000 SF
	Total:	253,000 SF
Parking Capacity:		887 Vehicles
Parking Efficiency:		285 SF/Vehicle
<i>Note: All values listed above are approximations of actual values</i>		
Structural System:	Cast-in-place post tensioned concrete slab and beam construction with pre-cast concrete architectural façade.	
Foundation System:	Piles	
Design Loads:	Parking & Driving Areas	50 PSF
	Parking & Driving Areas (Roof)	70 PSF
Material Strengths:	Slab-on-Grade	f'c = 4,000 PSI
	Supported Slabs & Beams	f'c = 5,000 PSI
	Reinforcing Steel	fy = 60,000 PSI
	Pre-stressing Steel	fpu = 270,000 PSI
Concrete Cover:	Beams (Main Bars)	1-1/2"
	Slabs	Top Bars 1-1/2"
		Bottom Bars 1"



4. VISUAL OBSERVATIONS & REPAIR RECOMMENDATIONS



Photo #10

A visual examination of the facility's structural, mechanical, and electrical components was performed as part of DESMAN's review of the Union Station Parking Garage again this year.

CONCRETE REPAIR:

Partial Depth Concrete Repair: Miscellaneous concrete spalling had been located throughout the garage (**Photo #10**). Repair of concrete deck deterioration and vertical and overhead concrete deterioration, throughout the interior of the garage, has been addressed as part of Project no. 08-016 B, and additional miscellaneous repair will be addressed as part of Project No. 18-016 currently in design. However, DESMAN has observed additional shallow deterioration occurring throughout the garage and so recommends that continued repair be programmed accordingly, consisting of a combination of shallow-depth and partial-depth repair approaches.

The facility's exterior has shown only a nominal amount of visible concrete deterioration, mainly limited to delamination of the thinly applied concrete repair mortar which was utilized during the facility's original construction to cover surface blemishes in the concrete surface exposed after removal of the original formwork. The condition has been cosmetic-in-nature, and repairs costs are still considered as nominal in comparison to the cost of the recommended application of an approved architectural coating which is outlined later in this report.

Overhead Concrete Repair: Significant deterioration has now been observed, specifically along the underside of the beams on either side of the expansion joints (**Photos #11 & #12**); the deterioration appears to be shallow and related to the chairs that support the bottom layer of reinforcing steel. DESMAN recommends that continued repair be programmed accordingly.



Photo #11



Photo #12

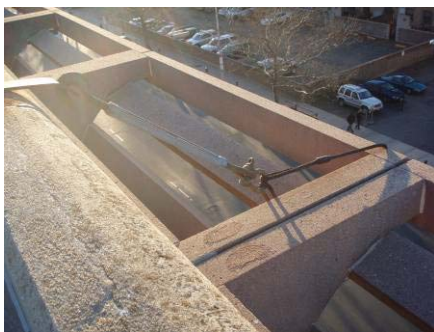


Photo #13



Photo #14



Photo #15

Pre-cast Concrete Facade: The original design of the architectural facade panels, while aesthetically pleasing, has hard attachments to the garage structure on either side of the facility's expansion joints, and so stranded cable supports have been installed to provide an additional level of safety. Thermal movement of the structure tends to rack the face; fortunately, the facade seems to be flexible enough to withstand this movement successfully. The condition should continue to be monitored periodically (**Photos #13 & #14**). All connections and hardware should be cleaned and cold-galvanized to maintain their structural integrity (**Photo #15**); cold-galvanization of the connections and hardware is planned to be addressed as part of NHPA Project No. 16-006, and at that time, DESMAN recommends that the surrounding concrete be repaired as well (**Photos #15 & #17**).



Photo #16



Photo #17

The surface of the precast concrete façade, however, is now demonstrating its age; significant cracking has become visible recently, along with other miscellaneous concrete repair (**Photos #18, #19 & #20**). While some cracking appears narrow enough that it could simply be coated over with a waterproofing coating, other

cracking appears wider, thus prompting epoxy injection. Since maintaining the aesthetics of the façade is critical, limited replacement with a new precast piece may be desired if the crack repair is too unsightly. Ultimately, a repair program may consist of a combination of epoxy injection with a subsequent coating, as well as replacement of selected pieces of precast. This work is part of Project #19-016, currently in design.



Photo #18



Photo #19



Photo #20

WATERPROOFING ISSUES:

Expansion Joint Repairs: The expansion joints throughout the garage have all been replaced (**Photo #21**) as part of Project no. 08-016 B. At that time, however, the associated vertical glands were not replaced (**Photos #22 & #23**); DESMAN recommends that the vertical glands now be replaced as well, prioritizing the roof level accordingly.



Photo #21



Photo #22

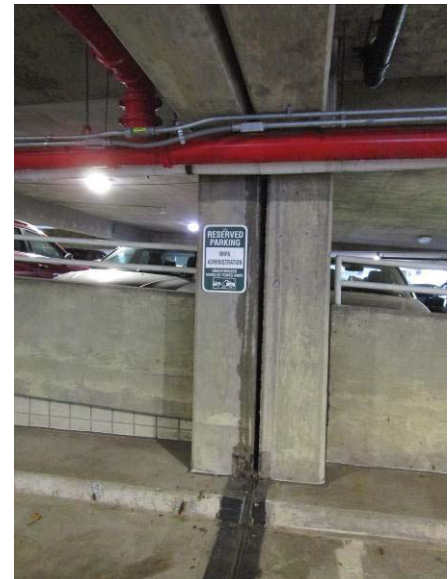


Photo #23





Photo #24

Crack Repair/Control/Construction Joint/Cove Joint Repair: A significant amount of polyurethane sealant material has been installed historically throughout the garage to seal leaking cracks, and control/construction joints. Some of this sealant material had been showing signs of deterioration consistent with exposure to higher volumes of vehicular traffic, or in certain instances, was worn or damaged due to exposure to direct sunlight (UV degradation) or because of damage caused during snow removal operations (**Photo #24**).

Although miscellaneous replacement of sealant material had been addressed as part of Project no. 08-016 B, further sealant repair work should be anticipated as being required over the next five years as well (including additional cove joint repair), and additional miscellaneous repair will be addressed as part of Project No. 18-016 now in construction.

Topically Applied Corrosion Inhibitor: There has been a program in place for the periodic application or re-application of clear penetrating concrete sealers on exposed concrete deck surfaces of this parking facility, but due to the age of the structure and the measurable levels of chloride contamination, it had been determined that the utilization of topically applied corrosion inhibitors would be recommended in order to raise the chloride threshold levels necessary to support active corrosion of embedded reinforcing steel within the supported decks.

Not only do these materials inhibit corrosion, they also offer the additional benefit of penetrating concrete sealers, acting as moisture and chloride screens. Because these materials are unable to bridge cracks in concrete, similar to the inability of penetrating sealers to bridge cracks, the application of corrosion inhibitors needs to be done in conjunction with a program of crack and control/construction joint repair and quite possibly combined with the application of an elastomeric traffic bearing waterproofing membrane in certain areas.

The facility's roof level waterproofing membrane was removed and a penetrating concrete sealer was applied in 2004. Besides the fact that traffic bearing waterproofing membranes are costly to maintain, and are sometime problematic when installed on exposed roof



Photo #25



Photo #26



Photo #27



Photo #28



Photo #29



Photo #30

decks, re-application of a membrane is not recommended. Application of a corrosion inhibitor was advisable instead. Application of a corrosion inhibitor was last applied as part of Project no. 08-016 B, so DESMAN not recommends that renewal be planned again accordingly.

A waterproofing membrane system had been in place over the garage office and over the mechanical room on the ground level, and the system should remain in these locations. The membrane systems had reached the end of their useful lives and have now been repaired and re-coated (**Photo #25**). This work was addressed as part of Project no. 08-016 B.

ARCHITECTURAL IMPROVEMENTS:

Miscellaneous Storefront Repair: This facility's storefront located at the stairwell and elevator lobbies had been in relatively poor condition, particularly those installed on the facility's roof level. The roof level store front systems were replaced as part of Project no. 08-016 B (**Photos #26 & #27**).

Although miscellaneous repair was addressed as part of Project no. 08-016 B, continued moisture has further deteriorated the storefront systems (**Photos #28, #29 & #30**). DESMAN therefore now recommends that full replacement be considered, incorporating a raised concrete curbing similar to the storefront systems installed at the roof level, or perhaps the existing storefronts be removed and replaced with a typical guardrail system mounted on a raised curb (since the stairs are no longer required to be enclosed), all to avoid exposure to moisture and continued deterioration.



Photo #31



Photo #32



Photo #33



Photo #34

Miscellaneous Door Repair: This work relates to the need to repair damaged doors and door hardware periodically. The majority of these repairs are typically required almost exclusively due to corrosion of the doors, door frames, normal wear and tear, or to address damage due to vandalism. Miscellaneous door repair/replacement has been addressed as part of Project no. 08-016 B. As noted above, however, the stairs are no longer required to be enclosed; therefore, DESMAN recommends that consideration be given to removal of the doors, in conjunction with replacement and/or removal of the storefront systems.

It is DESMAN’s opinion that door repair is in reality an operating expense and doors and associated door hardware should be replaced on an as-needed basis, this is particularly true for those doors serving primary means of egress.

Bicycle & Motorcycle Parking Improvements: A bicycle parking area was created and installed at the west end of the Union Station Garage (**Photo #31**); a motorcycle parking area was planned to be constructed within the East End Surface Lot (Reference East End Surface Lot section), but in discussions with the JAC, it was decided not to move forward due to plans at that time for construction of a second garage on the site.

East Façade Enhancements/ Exterior Architectural Coating: As mentioned previously, this facility as originally constructed had significant areas of the exposed concrete façade repaired with a thin concrete repair mortar to cover surface blemishes in the concrete surface left after removal of the original formwork. Minor reworking of the surface repairs is required (**Photos #32, #33 & #34**) and application of an approved architectural coating is recommended. Utilization of the correct coating will not only enhance the appearance of the garage, it will also protect the repaired surfaces and the balance of the facility's concrete façade. This work is currently included in NHPA Project No. 16-006 now in design.

Recently, with NHPA’s re-branding efforts fully underway, additional opportunities, as well, have developed that could assist in revitalizing the garage. Enhancements, such as signage and lighting, combined with rebranding graphics as well as installation of a sculptural screen cladding that could break up the monolithic scale of the blank

concrete façade (on the east elevation as a minimum), could assist in transforming the garage (various concepts as follows). Such enhancements would, of course, require proper review and coordination with the State Historic Preservation Office.



Main Elevator Lobby Improvements: As an effort to enhance the pedestrian experience throughout the garage, Desman suggests that the main pedestrian route be considered.

Desman recommends that certain aesthetic improvements be considered at the main lobby area, specifically the first level lobby which is the most visible public area of the garage (**Photo #35**). Various options include:

1. Removal of the existing pavers and placement of a decorative stamped/textured concrete,
2. Painting of the walls and ceiling with a reflective coating,
3. Enhancement with new and/or supplemental decorative lighting, illuminated bollards could provide additional lighting as well as an architectural enhancement
4. Installation of additional informational signage and graphics.
5. Replacement of the glazing and storefront system for the office that is more consistent with the historic character of the Station. (**Photo #36**)
6. Various concepts as follows.



Photo #35



Photo #36





Photo #37



Photo #38



Photo #39

In addition to the various options for architectural enhancements, NHPA has requested that DESMAN consider incorporation of a storefront system that would provide a protective vestibule (from the weather) for the elevators [due to the modernization work recently completed]. A supplemental vestibule could be constructed that would blend and respect the overall architectural enhancements accordingly.

Pedestrian Route Enhancements (southern walkway): The pedestrian pathway provided from the East Lot to the main lobby may be improved as well (**Photos #37 & #38**). In conjunction with the installation of decorative lighting already programmed, the existing asphalt may be removed and a decorative stamped/stenciled concrete may be placed, providing an aesthetically enhanced pathway highlighting the route to the Station.

Additional enhancements could include limited landscape of the zone between the train tracks and the garage with local plantings, tall grasses, and flowering trees, installation of a low architectural screen or fencing along the bays to divide the plantings and landscaped space from the vehicles in the garage. (various concepts as follows)



Vehicular Entrance Enhancements: As an effort to enhance the visitor's experience upon entering the garage, cost-effective improvements may be considered as well (**Photo #39**). Application of a high-reflective coating across the ceiling and surrounding vertical surfaces, in conjunction with improved lighting (already programmed) and supplemental signage and graphics, may be programmed accordingly.

Manager's Office: The existing space being used as a Manager's Office would benefit from certain aesthetic improvements (**Photo #40**). Enhancements, such as new flooring, ceiling with new lighting, and painting, as well as renovations of the bathroom, could be programmed accordingly.



Photo #40

Epoxy Flooring/Supplemental Treads within West Stair: Aesthetic improvements may be considered throughout the stair towers, as well (**Photo #41**). Besides the re-painting of the handrail/guardrail system already programmed, Desman suggests that decorative flooring, such as supplemental treads or an epoxy coating system, be considered that could provide an aesthetic enhancement as well as non-slip resistance.



Photo #41

In the meantime, DESMAN recommends that the condition of the stairs be monitored and repaired as may be required. Some stair repair has been included for the upcoming budget year, as part of Project No. 18-016 now in construction.

Garage Cleaning: As mentioned previously, it is an important that this facility be cleaned periodically to remove accumulations of sand and other debris that is not only unsightly, but is also a hindrance to proper deck drainage and the durability and longevity of the various waterproofing components (i.e. expansion joint glands). The garage has a washdown system in place that provides a generous quantity of water at a relatively high pressure. It is DESMAN's opinion that facility cleaning is in reality an operating expense which should be performed on an as-needed basis. The costs associated with this work are not included within our projected repair costs.

However, it can become appropriate for a comprehensive cleaning (with industrial-strength cleaning products) to be necessary which may not be readily performed with in-house staff; it may be necessary to program it as a capital improvement. Therefore, DESMAN recommends that a comprehensive cleaning be programmed accordingly; depending on scheduling of other programmed work, it may be appropriate for cost-savings if the cleaning can be scheduled simultaneously as other work that requires cleaning of the related substrates.



Photo #42





Photo #43

ELEVATOR IMPROVEMENTS:

Elevator Modernization: The elevators have been renovated and modernized as part of Project no. 13-012 B (**Photo #42**). New controllers, power units, and door upgrades were among the improvements as well as improvements to the elevator machine room HVAC, inclusive of the installation of an air conditioning system and supplemental heating. Illuminated level annunciators, call buttons, and other miscellaneous design features were installed to enhance the visual appearance of the elevators (**Photo #43**) and to meet changing building and ADA code requirements.

In order to assist PNH in the ongoing execution of its Maintenance Agreement with Schindler Elevator Corp., DESMAN recommends that PNH program the services of DESMAN and its elevator sub-consultant, Sterling Elevator Consultants, to oversee an elevator maintenance audit on a regular basis.



Photo #44

PAINTING:

Painting Facility Doors: This work relates to the need to periodically re-paint the facility doors surfaces as an enhancement to general aesthetics and to protect ferrous materials from rust and corrosion. Previously painted surfaces can degrade over time depending on environmental exposure, vandalism and abuse, and normal wear and tear. This work was last comprehensively addressed as part of Project no. 08-016 B.

Painting Storefront & Stairwell Handrails (Stairwell & Elevator Lobbies): This work relates to the need to periodically re-paint the stairwell and elevator lobby storefronts (**Photo #44**) and stairwell handrails as an enhancement to general aesthetics and to protect otherwise exposed metal surfaces prone to the effects of corrosion. Previously painted surfaces can degrade over time depending on environmental exposure, vandalism and abuse, and normal wear and tear. This work was last comprehensively addressed as part of Project no. 08-016 B.

Painting Interior & Exterior Handrail: This work relates to the need to periodically repaint the interior column line protective handrails as well as the exterior perimeter handrails as an enhancement to



Photo #45





Photo #46

general aesthetics and to protect otherwise exposed metal surfaces prone to the effects of corrosion (**Photos #45 & #46**). Previously painted surfaces can degrade over time depending on environmental exposure, vandalism and abuse, and normal wear and tear. This work was last comprehensively addressed as part of Project no. 08-016 B.

Cleaning and Painting of Standpipe and Storm Drainage Piping: This work relates to the need to periodically repaint facility piping as an enhancement to general aesthetics and to protect otherwise exposed metal surfaces prone to the effects of corrosion.

- All horizontal fire protection piping should be cleaned and painted.
- All exposed drain piping should be cleaned and painted

Previously painted surfaces can degrade over time depending on environmental exposure, vandalism and abuse, and normal wear and tear. This work was last comprehensively addressed as part of Project no. 08-016 B.

Parking Stall & Lane Striping: As with any parking facility periodic re-striping is required, the cost of this periodic re-striping has been included within our projected repair costs for this facility.

MECHANICAL/PLUMBING WORK:

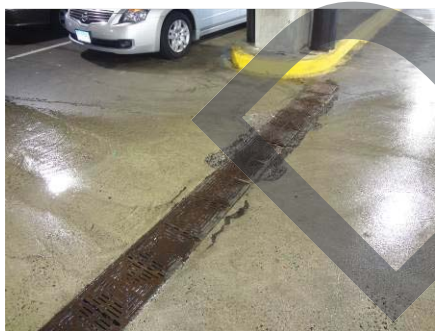


Photo #47

The trench drain at the base of the ramp (grade level) has deteriorated beyond the ability to clean and repair and thus is now in need of full replacement (**Photo #47**). DESMAN recommends that the existing cast iron frame body be removed in its entirety and a new hot-dipped galvanized body and frame be installed with all new grates. At this time, consideration can also be made for the removal of the trench drain at the northwest entry/exit portal; since the concrete slab has a positive slope regardless, the trench drain system has proven to be an unnecessary maintenance expense and can be removed, repairing the concrete slab afterwards (DESMAN notes that the trench drain system at the southeast entry/exit portal has already been removed). This work will be addressed as part of Project No. 18-016 now in construction.



Photo #48

Cleaning & Flush Floor Drains: Accumulation of sand and debris continues to be noted in and around drains, or deposited in unused corners of various parking decks, particularly the roof where sand has been used during the winter months to address icing conditions. It is important that accumulated sand be removed from the garage decks each spring as they hold concentration of chloride (road salt) and moisture in direct contact with the concrete deck; causing an increase in the amount of deterioration which could take place.

(Photo #48)

Sand carried into the storm drains can clog drains and associated drain lines. In combination with periodic garage wash down, it is imperative that the facility's drainage system be kept clean and operational. The costs associated with the flushing of the facility's drainage system has been included within our estimated repair and preventative maintenance costs scheduled to be performed in the future as associated with related construction, but the drains should also be cleaned periodically as an operating expense. The costs associated with cleaning and flushing down the deck surfaces as an operational cost is not included within projected repair and preventative maintenance costs.



Photo #49

Drainage System Repairs: The floor drains and piping are exhibiting more significant deterioration, the drains more so than the piping but the piping is still showing miscellaneous repair **(Photos #49, #50 & #51)**. DESMAN recommends that a comprehensive replacement program, including various supplemental drains, be planned accordingly.

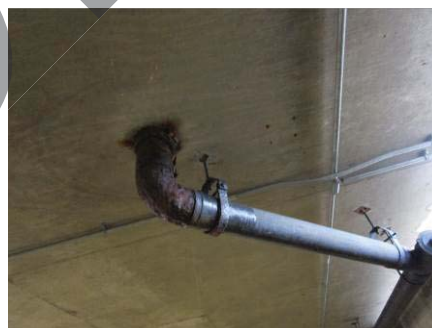


Photo #50



Photo #51



Photo #52

Fire Protection Standpipe System:

The standpipe system was replaced as part of Project no. 08-016 B (Photo #52).

The State Fire Safety Code requires periodic maintenance and testing of Fire Protection Systems in accordance with the provisions of NFPA 25 – “Standard for the Inspection, Testing and Maintenance of Water Based Fire Protection Systems”. At a minimum, owners’ maintenance personnel should conduct a quarterly visual inspection of piping, fire department connections and hose valves to ensure that systems have not been damaged. A more thorough detailed inspection should be conducted annually to verify that all system piping and components are in proper working order which should include testing and inspection of all valves and components. Flow tests should be conducted every five years at a minimum. Additional requirements for inspection testing and maintenance of Standpipe Systems are outlined in NFPA 25.

Miscellaneous Mechanical/Plumbing Repairs:

- Damaged and deteriorated piping insulation was also noted to varying degrees throughout the garage; Desman recommends that this remaining insulation be removed since it is no longer necessary.

Elevator Machine Room HVAC: The installation of new electronic elevator controls, as mentioned previously, required modifications and improvements to the elevator machine room HVAC, inclusive of the installation of an air conditioning system and supplemental heating, as part of NHPA Project No. 13-012, as well as additional controls to manage the exhaust fan as it relates to cooling, as part of NHPA Project No. 15-003B.

Mechanical Preventative Maintenance: DESMAN recommends the periodic maintenance and repair of various components of the buildings mechanical systems; the costs are considered operational costs and are therefore not included as separate and distinct items within our projected repair and preventive maintenance costs. Periodic maintenance and service of the mechanical systems should be in accordance with the O&M requirements for the individual systems and include but are not limited to the following:



- Facility Washdown System
- Collection Booth HVAC Systems.
- Split System Air Conditioning Serving Maintenance Area and Security Offices
- Elevator Machine Room HVAC Equipment

ELECTRICAL WORK:

The work described in this electrical work section is scheduled to be addressed in the near future.

Lighting Fixture Replacement: The garage light fixtures were replaced as part of NHPA Project No. 08-016 C (**Photos #53 & #54**). Many of the facility's existing light fixture lenses became yellowed, and high voltage ballasts were starting to malfunction, indicative of a need to replace all of the facility's lighting fixtures.

LED lighting was chosen as there are now a reasonable number of good quality LED garage lighting fixtures available at this time. Many of these fixtures have a proven track record of reliability and good photometric performance. Use of LED lighting will reduce energy use and maintenance expenses.

As noted, replacement of the existing light fixtures with new LED fixtures was performed as part of Project no. 08-016 C now complete (**Photos #55 & #56**).



Photo #53

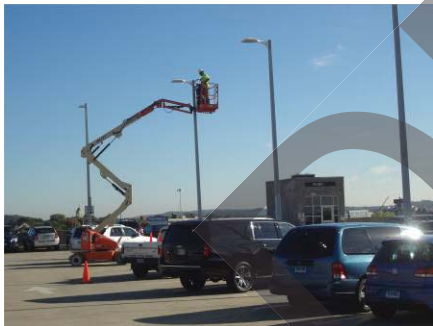


Photo #54

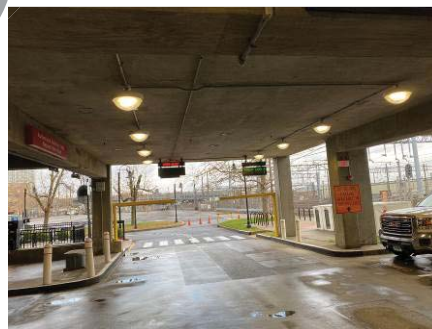


Photo #55



Photo #56

Lighting Control System Replacement: The Leviton lighting control relays in the Maintenance Area were functionally obsolete and it was difficult to find replacement components when failures occur. The control system and relays were with a new system.

Lighting/Signage Control System Programming & Adjustments with Related Training: A new control system was installed and updated as part of NHPA Project No. 08-016C. Given the significant operational costs that can go along with their proper operation, DESMAN recommends that PNH coordinate with LC&D for regularly scheduled updating, oversight and training.

New Elevator Lobby Lighting: The pre-existing fluorescent fixtures serving the elevator landings have now been replaced as part of the lighting replacement project (**Photo #57**).

Should NHPA wish to consider the aesthetic enhancements noted previously, select locations and fixtures, such as the ground level, can be replaced with more decorative fixtures.

Vehicular Entrance Enhancements: The existing light fixtures at the vehicular entrances have been replaced. However, should NHPA wish to consider the aesthetic enhancements noted previously, more decorative and/or supplemental light fixtures could be installed to highlight the entry areas as well as accent certain designated signage, such as the “Union Station Parking Garage” text above the entrance.

Miscellaneous Electrical Repairs: Several of the light fixture lenses, on the Bike Parking shelters, were observed to be aging and failing (**Photo #58**); DESMAN recommends that the fixtures be replaced, as well as damaged and/or missing exit signage, all as required (**Photos #59 & #60**).



Photo #57



Photo #58



Photo #50

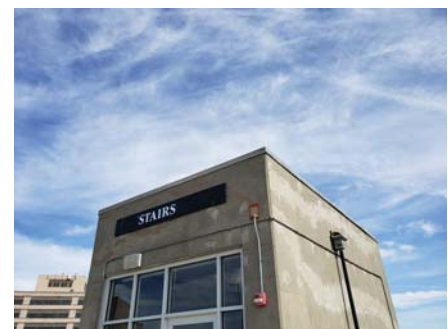


Photo #51

Installation of Emergency Electrical Generator: The Union Station Garage currently does not have an emergency generator, and therefore NHPA has questioned the feasibility and practicality of installing a generator to serve minimum garage lighting, elevator access and revenue and access control at the vehicle entry/exit areas. Since the load requirements are currently unknown and thus the size of the generator and subsequent cost of installation cannot yet be determined, DESMAN recommends that a study first be performed to determine the specification requirements of the generator, followed by subsequent installation, programmed as required. If a new generator is being supplied for the new proposed garage, consideration should be given to also powering the existing garage.



Photo #52

Fire Alarm Panel Replacement: In conjunction with the replacement of the fire standpipe system, current code requirements have prompted the installation of new addressable modules for monitoring the new tamper switches. Therefore, installation of a new fire alarm panel had become warranted, and was performed as part of Project no. 08-016 B.

Transformer Replacement: The “voltage regulation” transformer in the electrical room adjacent to the Garage Office was at its end of useful life. The unit was noisy and had a low efficiency compared to currently available transformers. This transformer has been replaced as part of NHPA Project no. 08-016 C.

Surge protection: Concern about surge protection for the electrical distribution system had been raised. Such protection can help prevent damage to equipment connected to the system and limit power outages. Protection can be provided at any point in the distribution system and is typically designed based on the level of protection desired at any point. Multiple levels of protection are often implemented with devices installed at the incoming service, at select subpanels and at the sensitive equipment. Additional surge protection was provided as part of NHPA Project No. 15-003B (**Photo #52**).



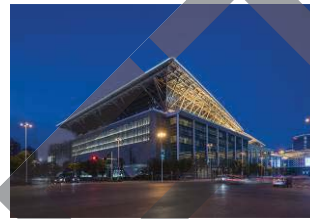
Photo #53



Photo #54



Photo #57



Although the light fixtures throughout the parking garage were recently replaced with new LED fixtures, certain miscellaneous fixtures were not replaced; these include the lights in the bike shelter to the east of the garage, as well as the lights in the paystation kiosk (**Photos #55 & #56**). DESMAN recommends that these fixtures be monitored and replaced when appropriate.

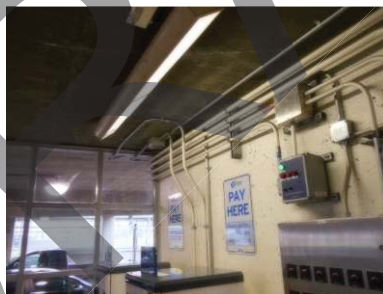


Photo #55



Photo #56



Photo #58

SECURITY ENHANCEMENTS:

NHPA has requested that DESMAN review the opportunities for enhancing security at the Union Station Garage, including opportunities for video surveillance, access control and audio communication systems (**Photos #57 & #58**); improvements may include a control room and may be coordinated with other facilities.



DESMAN performed a study of potential security enhancements as part of NHPA Project No. 15-002.

SIGNAGE IMPROVEMENTS:

A comprehensive signage replacement program (**Photos #59 & #60**) is part of NHPA Project no. 15-003 D. In conjunction with NHPA’s current branding program, the signage will be updated with new graphic faces to be more in keeping with NHPA's logo and graphic standards. This project was designed but then canceled due to the imminent construction of an adjacent second garage which will impact the signage needs.

In addition to periodic signage repair requirements, conditions may change where additional signage may be required to address changes in patron usage, or new building development in close proximity to the facility which dictates that additional signage needs to be installed.

REVENUE CONTROL EQUIPMENT REPLACEMENT:

The existing revenue control and parking access equipment was replaced in 2013, including the cashier booths. However, due to new and improved technology, DESMAN recommends that PNH review the current system for potential enhancements and/or replacement, and plan accordingly. In conjunction with CDOT’s planned new garage to be constructed adjacent to the garage, DESMAN would recommend that any new equipment be coordinated accordingly so as to be fully compatible and perform as a single system. The need to modify the existing system, therefore, may need to advance sooner.

SURFACE PARKING LOT IMPROVEMENTS (EAST LOT) AND RELATED SITE IMPROVEMENTS:

Sidewalk Replacement: NHPA had addressed some miscellaneous sidewalk repair, in front of the decorative masonry wall along Union Avenue, as part of Project No. 08-016B (**Photo #61**). However, the decorative pavers continued to heave and deteriorate, due to freeze-thaw and related contaminants, contributing to failure of the cove joint along the wall, and miscellaneous deterioration of the sidewalk continued. Certain miscellaneous sidewalk repair has now been addressed, as part of Project No. 18-011 B. DESMAN recommends that the sidewalk continue to be monitored for further repairs as may be needed.



Photo #59



Photo #60



Photo #61





Photo #62



Photo #63

East End Surface Lot:

The work described in this East End Surface Lot section, and as previously discussed in the Architectural Improvements section, have been put on hold by CDOT due to the planned new garage or other development on this site. Should the new garage construction or other development be delayed for any significant time, DESMAN recommends the following items of work be considered:

- The condition of the east end parking lot is such that it is recommended that the entire lot be milled down and overlain with 1-1/2" to 2" wearing course (**Photo #62**). Significant settlement exists in certain locations which catches and holds water creating a nuisance to pedestrians and is also detrimental to the ongoing condition of the pavement remaining.
- In addition to the Lot's asphalt, the asphalt around the main cashier booth island should be replaced; replacement will incorporate installation of new loop detectors and catch basin frames.
- Several sections of curbing are damaged (**Photo #63**). In the interim, before repairs can be implemented it is suggested that all loose pieces be removed from the site along with all other accumulated debris.
- The barrier fence along the railroad tracks along the east end parking lots, as well as all perimeter fencing, should be inspected periodically and repaired as required.
- Due to development by UI within the adjacent property, which had previously been the recipient of drainage from the East Lot, it has now become recommended to install a supplemental retention system with drywalls to support the drainage requirements of the Lot. However, the construction of a garage in this location will prompt different drainage requirements. Implementation of the related drainage work shall, therefore, be modified accordingly.



Photo #64



Photo #65

MISCELLANEOUS CONSIDERATIONS:

Ice-Melt and Snow Removal: **DESMAN** has regularly observed excessive amounts of ice-melt materials being used (**Photos #64 & #65**). **DESMAN** does understand that winters in New England can be challenging, however we caution any operator to take care when using ice-melt materials in excess. The large size of the aggregate (being driven over and walked upon) in combination with the chemical-makeup of the particles, can be harmful to the concrete structure and metal components of the garage.

DESMAN notes that chloride-based ice-melt products can be detrimental to the long-term durability of the concrete matrix, and **Desman** therefore recommends that an alternative product be used. While **Desman** does not specifically endorse a specific product or manufacturer, **Desman** does suggest that in lieu of a calcium chloride product, an alternative product such as Cryotech NAAC[®], as manufactured by Cryotech Deicing Technology, of Fort Madison, IA (tel: 800-346-7237, www.cryotech.com) be used.

However, we do acknowledge that use of an alternate product can be more expensive (Cryotech NAAC[®] is used frequently at airports), and many snow-removal vendors have not budgeted and are not prepared to obtain and use the alternate product. Since **PNH** is performing its snow/ice removal operations in-house, **PNH** may be able to find an equal product in mind by forwarding a performance-based requirement to various sources and that the source provide simply a non chloride-based product (not necessarily Cryotech NAAC[®]).

As a final option, should it be necessary that **PNH** use a chloride-based ice-melt, we strongly recommend that **PNH** continue to remove the ice-melt product immediately after the snow and ice is melted, and the slabs be washed clean as soon as temperatures allow.

Regardless, **DESMAN** strongly recommends that the facility be cleaned and washed at least twice a year, using the washdown system installed for that purpose, typically coinciding with the spring season and fall season, to remove contaminants and specifically remove the excess ice-melt materials (used over the

winter) from the garage. For that purpose, **DESMAN** has included a sample “Seasonal Washdown Checklist” that can be used to track each scheduled washdown.

UPDATING OF RECORD DOCUMENTS:

Given the need to perform regular maintenance and the need to correctly oversee future repair and preventative maintenance projects, NHPA will benefit from the continuous updating of a set of record drawings. Such drawings will identify the locations of previously repaired concrete, installation of membrane systems and expansion joint glands, as well as urethane sealants, so that NHPA will be able to readily determine the age of the applicable product and the applicability of any such warranties. Such drawings will also identify the locations and ratings of all electrical distribution components, locations and manufacturers of fire alarm and security systems, and the location and circuiting of all regular lighting, emergency lighting, and exit signs. Mechanical systems (boilers, fans, HVAC equipment, pumps and sprinkler systems) would also be documented. Desman recommends that the record documents be updated as required.

In conjunction with the benefit of preparing Record Drawings, it is becoming more cumbersome and inefficient for NHPA to maintain a hard-copy set of the original/past documents from the garage’s original construction. The documents are becoming more aged and the paper more susceptible to damage.

Considering the valuable nature of the historic documentation with regards to future repair work, Desman recommends that NHPA arrange for the scanning of all documentation into electronic (PDF) format; converting the documents into electronic format would allow for easier sharing of documents, as well, which can then easily be transmitted via e-mail as required.

A recommended maintenance schedule and associated facility checklists which can be used or referenced in the preventative maintenance of this parking facility are included in Appendix B – Maintenance Schedules and Checklists.

In summary, DESMAN recommends that the above outlined repair and preventative maintenance program be implemented to assure the continued safe usage and long-term durability of the structure.



**5. PRIORITIZED REPAIR PROGRAMS
& OPINION OF COSTS**

A revised repair and preventive maintenance program has been developed to assure the long-term durability of the Union Station Parking Garage. The repairs required have been prioritized into three courses of action:

- Prioritized Repairs (FY 2022)
- Early Repairs (FY 2023)
- Programmed Repairs (FY 2024)
- Long-Term Repairs (FY 2025 - 2026)

Below is a summary of the opinion of construction cost for each category.

RECOMMENDED REPAIR PROGRAM	OPINION OF CONSTRUCTION COST
Prioritized Repairs (FY 2022)	\$1,383,300.00
Early Repairs (FY 2023)	\$655,400.00
Programmed Repairs (FY 2024)	\$582,900.00
Long-Term Repairs (FY 2025– 2026)	\$1,794,327.88
TOTAL OPINION OF COST	\$4,415,927.88



A detailed cost estimate is provided in the table on the following page, entitled “Projected Five Year Construction Costs.”

The construction costs are based on current prices in the New Haven area for labor, equipment and materials. The estimated construction costs also include a 20% contingency factor to account for uncertainties in the restoration market at the time of bidding, and a preliminary design, construction management fee and program management fee estimated at 25% of total construction cost has been provided for budgeting purpose.

DRAFT



Table 1
Union Station Parking Garage
Projected Five Year Construction Cost
(FY 2021)

Work Description	Prioritized Repairs (FY 2022)	Early Repairs (FY 2023)	Programmed Repairs (FY 2024)	Long-Term Repairs (FY 2025-2026)
A. Concrete Repair:				
1 Partial Depth Concrete Deck Repair	\$ 153,000.00	\$ -	\$ -	\$ 18,000.00
2 Overhead Concrete Repair (along underside of beams)	\$ 151,000.00	\$ -	\$ -	\$ 18,000.00
3 Misc. Vertical Concrete Repair (i.e. column bases)	\$ 58,000.00	\$ -	\$ -	\$ 7,000.00
4 Miscellaneous Concrete Curb/Sidewalk Repair (interior)	\$ 19,000.00	\$ -	\$ -	\$ 3,000.00
5 Miscellaneous Concrete Facade Repair	\$ -	\$ -	\$ -	\$ -
6 Miscellaneous Stair Repair	\$ -	\$ -	\$ -	\$ -
7 Concrete Scaling Repair/Application of Healer/Sealer	\$ 155,000.00	\$ -	\$ -	\$ 18,000.00
B. Waterproofing Repair:				
1 Crack Repair	\$ 4,000.00	\$ -	\$ -	\$ 1,000.00
2 Construction Joint Repair	\$ 4,000.00	\$ -	\$ -	\$ 1,000.00
3 Cove Joint Repair/Replacement	\$ 85,000.00	\$ -	\$ -	\$ 10,000.00
4 Decorative Precast Façade - Waterproofing Coating (incl. concrete repair/epoxy injection)	\$ -	\$ -	\$ -	\$ -
5 Traffic Bearing Waterproofing Membrane Repair	\$ -	\$ -	\$ -	\$ 36,000.00
6 Topically Applied Corrosion Inhibitor	\$ -	\$ -	\$ -	\$ 427,467.50
7 Replacement of Vertical Joint Glands at Roof Level	\$ 61,000.00	\$ -	\$ -	\$ 7,000.00
C. Architectural Improvements:				
1 Exterior Architectural Coating	\$ -	\$ -	\$ -	\$ -
2 Main Lobby Enhancements (Elevator Lobby, Ground Level only)	\$ -	\$ -	\$ -	\$ 210,000.00
3 Vehicular Entrance Enhancements (painting of ceiling and vertical surfaces)	\$ -	\$ -	\$ -	\$ 17,000.00
4 Epoxy Flooring/Supplemental Treads within Stairs (west stair only)	\$ 61,000.00	\$ -	\$ -	\$ -
5 Comprehensive Cleaning	\$ -	\$ -	\$ -	\$ 105,000.00
6 Replace Stair Storefronts, Lower Levels	\$ -	\$ 381,000.00	\$ -	\$ -
7 Painting of Railings and Doors	\$ -	\$ -	\$ -	\$ 91,000.00
8 Painting FP & Drainage Piping	\$ -	\$ -	\$ -	\$ 42,000.00
9 Painting of Grillwork and misc metal	\$ -	\$ -	\$ -	\$ 28,000.00
10 Painting of Parking Stall and Line Striping	\$ 19,000.00	\$ -	\$ -	\$ 21,000.00
D. Mechanical/Plumbing/Electrical Work:				
1 Drain Flushing (w/ Construction)	\$ -	\$ -	\$ -	\$ -
2 Misc. Mechanical/Plumbing Repairs (including replacement of floor drains)	\$ 182,000.00	\$ -	\$ -	\$ -
3 Main Lobby Enhancements (Elevator Lobby, Ground Level only)	\$ -	\$ -	\$ -	\$ 14,000.00
4 Vehicular Entrance Lighting Enhancements	\$ -	\$ -	\$ -	\$ 14,000.00
5 Elevator Machine Room HVAC Control	\$ -	\$ -	\$ -	\$ -
6 Surge Protection	\$ -	\$ -	\$ -	\$ -
7 Miscellaneous Electrical Repairs (including misc exit signs)	\$ -	\$ 10,000.00	\$ -	\$ -
8 Lighting/Signage Control System Programming & Adjustments with Related Training	\$ -	\$ -	\$ -	\$ -
9 Replacement of Lighting at Bike Shelters	\$ -	\$ 45,000.00	\$ -	\$ -
10 Replacement of Light Fixture at Kiosk	\$ -	\$ 4,000.00	\$ -	\$ -
E. Elevator Upgrades and Improvements				
Maintenance Audit (Bi-Ennial)	\$ 2,000.00	\$ -	\$ 2,000.00	\$ 2,000.00
F. Revenue Control Equipment Renewal & Replacement				
1 Study for the Replacement of the Revenue Control Equipment	\$ -	\$ 12,000.00	\$ -	\$ -
2 Replacement of the Revenue Control Equipment	\$ -	\$ -	\$ 400,000.00	\$ -
G. Security Improvements				
Installation of Security System (i.e. Cameras and other components)	\$ -	\$ -	\$ -	\$ -
H. Signage Improvements:				
	\$ -	\$ -	\$ -	\$ -
I. Site Improvements:				
Sidewalk Replacement (including stenciled concrete)	\$ -	\$ -	\$ -	\$ 147,000.00
J. File Management				
1 Preparation of Record Drawings	\$ -	\$ -	\$ -	\$ -
2 Scanning of Original Drawings	\$ -	\$ -	\$ -	\$ -
Sub-Total	\$954,000.00	\$452,000.00	\$402,000.00	\$1,237,467.50
20% Contingencies (Except Depicted Otherwise)	\$190,800.00	\$90,400.00	\$80,400.00	\$247,493.50
25% Engr. & Construction Management, incl. Program Management (Unless Depicted Otherwise)	\$238,500.00	\$113,000.00	\$100,500.00	\$309,366.88
Total Phased Construction Costs with Contingencies:	\$1,383,300.00	\$655,400.00	\$582,900.00	\$1,794,327.88
TOTAL Construction Cost with Contingencies:				\$4,415,927.88

Note 1: Costs Presented do not Include Typical Operational & Maintenance Costs Except as Noted.

Note 2: Costs include a 15% allowance for General & Special Conditions.

Note 3: Future costs incorporate a cumulative 5% inflation for all costs, to be adjusted annually



6. DETERIORATION MECHANISMS

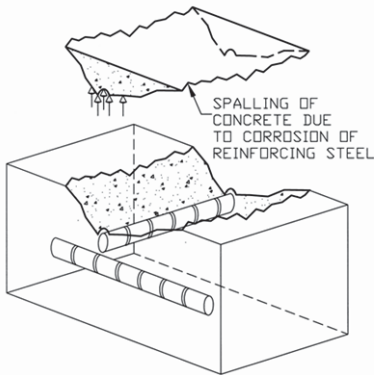


Fig. A

Reinforced concrete deterioration is typically caused by one or more factors of deterioration mechanisms including corrosion of reinforcement, water penetration, freeze-thaw cycling, volume change, or chemical attack. Any one or combination of these deterioration mechanisms can adversely affect the behavior/performance of a reinforced concrete structure. These adverse impacts include corrosion-induced distress, loss of reinforcing cross section, scaling, leaking, cracking, and delamination of concrete. The following is a brief discussion of each of the mechanisms noted above, and their effect on reinforced concrete structures.

WATER PENETRATION:

The primary cause of the majority of reinforced concrete deterioration within parking structures is directly related to the penetration of water into the concrete. Reinforcing corrosion, concrete scaling, water leakage, leaching, and concrete delamination are all caused at least partially by water penetration.

Concrete is a porous material, susceptible to water penetration which can result in increased potential for deterioration. Corrosion of reinforcing steel is an electrochemical process accelerated by the presence of water acting as an electrolyte. In addition, water penetrating into concrete (*Fig. A*) can carry water-soluble chlorides (de-icing salts) to the reinforcing. The combination of chlorides and water further accelerates this corrosion process.

Scaling is also directly related to water penetration into concrete. Scaling is a surface deterioration resulting from pressures by freeze-thaw cycling of saturated concrete. These pressures within the pore structure cause progressive failure of the cement/sand paste. This progressive failure begins with degradation of the exposed surface, advances to the exposure of coarse aggregate, and in severe cases, causes paste failure surrounding the coarse aggregate, destroying the paste/aggregate bond.

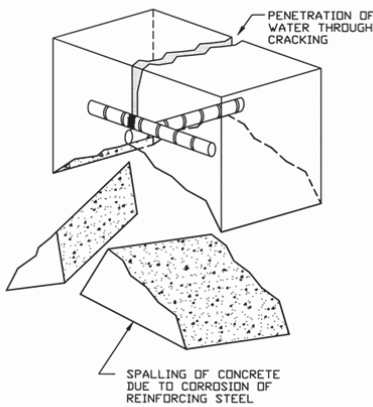


Fig. B

Water penetration through a concrete section, cracked or not, can cause leaching of minerals from within the concrete matrix. Leaking of the parking deck exposes embedded reinforcing steel and underlying structural members to water and chloride ions (road salt) resulting in structural deterioration and potentially a loss of load carrying capacity of these building elements. Leaching is the result of frequent water penetration carrying water-soluble products from within the concrete to the surface below. Leached materials that tend to collect on overhead concrete surfaces are unsightly and potentially damaging to patron's vehicles using the parking facility.

Water penetration can also cause delamination of concrete along subsurface fractures through pressures generated during freeze-thaw cycling.

CORROSION OF REINFORCEMENT:

Corrosion of reinforcing steel or other embedded ferrous items such as electrical conduit is a second major factor contributing to deterioration of reinforced concrete (*Fig B*).

The corrosion process is an electrochemical process, which produces iron oxide (rust) and other by-products. These by-products occupy a minimum of 250% of the volume of the parent metal. This increase in volume produces tensile stresses within the surrounding concrete.

Because concrete has poor tensile strength properties, cracking occurs within the concrete matrix allowing additional moisture and chlorides to reach the reinforcing causing acceleration of the corrosion process. The deterioration caused by this corrosion includes the reduction of cross sectional area of the reinforcing, and the delamination of concrete surrounding the reinforcement.

FREEZE-THAW DAMAGE:

Concrete deterioration caused by freeze-thaw cycles is a third major deterioration mechanism. The mechanism occurs within saturated

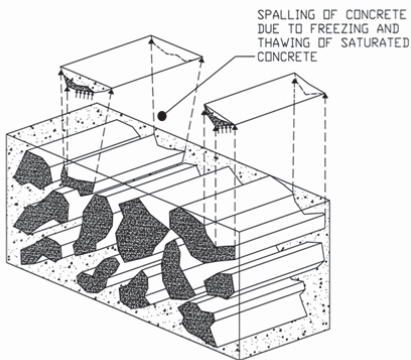


Fig. C

concrete subjected to freezing and thawing due to the pressures generated within the pores of the concrete paste resulting from the volume changes of water during the freeze/thawing process. These pressures are even greater in the presence of de-icing chemicals/chlorides as these chemicals reduce the freezing point and indirectly increase the pore pressures.

As previously mentioned, these pressures can cause progressive failure of the cement paste and result in scaling of the concrete, and delamination of concrete along subsurface fracture planes (Fig. C).

VOLUME CHANGES:

Volume changes are a fourth major contributing factor of deterioration of reinforced concrete structures. These volume changes occur in both plastic and cured concrete. These volume changes can cause various types of cracking within the concrete member.

These cracks allow access for water and contaminants to the concrete and reinforcing, resulting accelerated deterioration to occur. The cracking most often associated with plastic concrete is shrinkage cracking produced by the reduction in volume of the concrete during curing. Improper detailing, proportioning, placement, or curing of the concrete can affect the extent of this cracking, but the primary cause is the volume change that occurs during curing.

Volume changes due to thermal movement, shrinkage, creep, and loading can also contribute to the deterioration of reinforced concrete. These volume changes will produce stress in restrained members, often resulting in cracking of the member (Fig. D). These cracks also provide access to water and other deterioration mechanisms to attack the member.

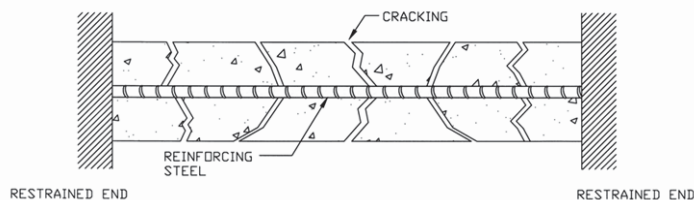


Fig. D

CHEMICAL ATTACK:

Chemical attack is a fifth major deterioration mechanism affecting the performance of reinforced concrete. The effect of de-icing chemical/chlorides upon reinforcing steel and scaling is one example of chemically influenced deterioration. Severe exposure to other chemicals, notably sulfates and acids, can also cause deterioration of cement paste, cement paste/aggregate bond, and reinforcing steel. Chemical properties occurring within certain types of aggregates can also cause an adverse reaction with the cement paste. The resulting volume changes can cause cracking of the concrete.

DRAFT



8. APPENDIX A – SCHEMATIC FLOOR PLANS

DRAFT



**9. APPENDIX B – MAINTENANCE SCHEDULES AND CHECKLISTS/
SEASONAL WASHDOWN CHECKLIST**

DRAFT



MAINTENANCE SCHEDULE

A. Cleaning:	Daily	Weekly	Monthly	4 Month Interval	6 Month Interval	Yearly	Other
1. Sweeping - Localized	R	M					
2. Sweeping - all Areas (including curbs)		R	M				
3. Expansion Joints		R	M				
4. Empty Trash Cans	R	M					
5. Restrooms:							
a. Floors	R	M					
b. Fixtures		M					
c. Walls		R	M				
6. Cashier's Booths:	R						
a. Floors		M					
b. Fixtures		M					
c. Walls		R	M				
d. Windows	R	R	M				
7. elevators:							
a. floors	R	M					
b. Doors		R	M				
c. Door Tracks		M					
d. Windows (if glass back elevator):							
- Interior Elevator Glass		R	M				
- Exterior Elevator Glass (exterior of cab/interior of shaft)						R/M	
8. Stairs:							
a. Floors		R	M				
b. Handrails		R	M				
c. Windows:							
- Interior Window Surfaces			R	M			
- Exterior Window Surfaces (inclusive of exterior of back elevator shaft)						R/M	
9. Offices (Management/Security):							
a. Floors	R	M					
b. Windows:							
- Interior Surfaces		R	M				
- Exterior Surfaces			R	M			
10. Electrical/Mechanical Rooms							
11. Wash Down Parking Decks					*R	*M	
12. Wash Down Revenue Control Equipment		R	M				Note 3





MAINTENANCE SCHEDULE

B. Doors & Door Hardware:	Daily	Weekly	Monthly	4 Month Interval	6 Month Interval	Yearly	Other
1. Doors close & Latch Properly	R	M					
2. Mechanized Doors:							
a. Pedestrian Doors	R	M					
b. Rolling Grill Doors	R	M					
3. Panic Hardware at Security Doors	R	M					
4. Lubricate mechanized Doors:							
a. Pedestrian Doors			R		M		
b. Rolling Grill Doors			R		M		
C. Electrical System:	Daily	Weekly	Monthly	4 Month Interval	6 Month Interval	Yearly	Other
1. Check Lighting Fixtures		R	M				
2. relamp Fixtures		R		M			
3. Replace Fixture Ballasts			R				
4. Inspect - Specialized Electrical Equipment:							
a. Time Clocks				R	M		Note 3
b. Photo Cells				R	M		Note 3
c. Lighting Control Equipment				R	M		Note 3
d. Other						R/M	Note 1
5. Electrical Distribution Panels					R	M	
6. surface Mounted conduit					R	M	
7. Sprinkler System Compressor					R	M	
8. fire alarm System				R	M		Note 2
D. Elevator Operation:	Daily	Weekly	Monthly	4 Month Interval	6 Month Interval	Yearly	Other
1. Check for Normal Operation	R	M					
2. Check Elevator Indicator Lights:							
a. Interior	R	M					
b. Exterior	R	M					
3. Check Audible Tones (ADA level enunciators)							
4. Elevator Service - Preventive Maintenance		R	M		R	M	Note 2
E. Heating, Ventilation & Air Conditioning:	Daily	Weekly	Monthly	4 Month Interval	6 Month Interval	Yearly	Other
1. Check for Proper Operation:							
a. Heating Equipment		R	M				Note 3
b. Ventilation Equipment		R	M				Note 3
c. A/C Equipment		R	M				Note 3
2. Check Filters						R/M	Note 1
3. HVAC Service - Preventive Maintenance						R/M	Note 1



MAINTENANCE SCHEDULE

F. Painting:	Daily	Weekly	Monthly	4 Month Interval	6 Month Interval	Yearly	Other
1. Check for repaint Failure & Rusting:							
a. Doors & Door Frames				R	M		
b. Handrails & Guardrails				R	M		
c. Steel Bollards/Pipe Guards				R	M		
d. Exposed Piping (fire suppression system & storm drainage)				R	R	M	
e. Other Miscellaneous Metals				R	M		
2. Check for Appearance:							
a. Striping			R		M		
b. Curbs			R		M		
c. Walls				R	M		
d. Ceilings					R	M	
e. Signs			R		M		
f. Touch-up Paint			R		M		
3. Repainting						R/M	Note 1
G. Parking/Revenue Control Equipment:							
1. Check for Proper Operation	Daily	Weekly	Monthly	4 Month Interval	6 Month Interval	Yearly	Other
2. Parking/Revenue Control Equip - Preventive Maintenance	R	M					Note 3
H. Plumbing/Drainage Systems:							
1. Check for Proper Operation:							
a. Sanitary Facilities							
b. Portable Water System					M		
c. Deck Wash down System			R				
d. Floor Drains/Storm Risers					R	M	
e. Fire Suppression Systems:							
- Sprinkler System							
- Dry Fire Standpipe System						R/M	Note 3
2. Drain Down Systems for Winter						R/M	Note 3
						R/M	Note 3



MAINTENANCE SCHEDULE

	Daily	Weekly	Monthly	4 Month Interval	6 Month Interval	Yearly	Other
L. Snow & Ice Removal:							
1. Check for Icy Spots (in season)	R/M						
2. Remove Snow & Ice (in season)	R/M						
M. Structural System:	Daily	Weekly	Monthly	4 Month Interval	6 Month Interval	Yearly	Other
1. Check Structure for:							
a. Soffit (overhead) Deterioration			R	M			
b. Floor Surface Deterioration (see safety checks)			R	R	M		
c. Wall & Column Deterioration			R	M			
d. Cracking Concrete			R	R	M		
e. Water Leakage			R	R	M		
f. Rusting Structural Steel			R	R	M		
g. Rusting Embedment's within Concrete			R	R	M		
h. Unusual and/or Unequal Settlement			R	R	M		
N. Repair	As per Engineer's Recommendation						
O. Repair and/or Replace Protective Concrete Coatings	As per Engineer's Recommendation						
Notes for Maintenance Checklist:	R=Recommended M=Minimum		Frequency				R*=Spring & Fall M*=Spring

1. A frequency should be selected that is appropriate for that element in the specific parking garage. Spot repairs or replacements should be performed as needed.
2. This equipment should be under a service contract for regular preventative maintenance and emergency service. The equipment manufacturer's recommendations for inspection and preventative maintenance should be followed.
3. This equipment should either be under a service contract for regular preventative maintenance and emergency service, or in-house staff should be specifically trained to provide the required service. The equipment manufacturer's recommendations for inspection and preventative maintenance should be followed.



MAINTENANCE CHECKLISTS

DAILY CHECKLIST



A. Cleaning:

- 1. Sweeping - Localized
- 2. Empty Trash Cans
- 3. Restrooms:
 - a. Floors
 - b. Fixtures
- 4. Cashier's Booths:
 - a. Floors
 - b. Fixtures
- 5. Elevators:
 - a. Floors
 - c. Door Tracks
- 6. Offices (Management/Security):
 - a. Floors

B. Doors & Door Hardware:

- 1. Doors Close & Latch Properly
- 2. Mechanized Doors:
 - a. Pedestrian Doors
 - b. Rolling Grill Doors
- 3. Panic Hardware at Security Doors

C. Elevator Operation:

- 1. Check for Normal Operation
- 2. Check Elevator Indicator Lights:
 - a. Interior
 - b. Exterior

D. Landscaping:

- 1. Remove Trash
- 2. Water/Irrigate (*dependent upon time of year & type of planting*)

E. Parking/Revenue Control Equipment:

- 1. Check for Proper Operation

F. Plumbing/Drainage Systems:

- 1. Check for Proper Operation:
 - a. Sanitary Facilities

G. Safety Checks:

- 1. Tripping Hazards:
 - a. Supported Concrete Slabs
 - b. Concrete Slab-on-Grade
 - c. Stairs (Interior & Exterior)
 - d. Sidewalks & Curbs (Interior & Exterior)

H. Security System:

- 1. Check for Proper Operation
 - b. Intercom System
 - c. CCTV Surveillance System

I. Pedestrian & Vehicular Signage:

- 1. Check Signs:
 - a. Illuminated Signs or Changeable Information Signs

J. Snow & Ice Removal:

- 1. Check for Icy Spots (in season)
- 2. Remove Snow & Ice (in season)

Supervisor: _____
 Date: _____



MAINTENANCE CHECKLISTS

WEEKLY CHECKLIST



A. Cleaning:

- 1. Sweeping - All Areas *(including curbs)*
- 2. Expansion Joints
- 3. Restrooms:
 - a. Walls
- 4. Cashier's Booths:
 - a. Walls
 - b. Windows
- 5. Elevators:
 - a. Doors
 - b. Windows *(if glass back elevator)*:
 - Interior Elevator Glass
- 6. Stairs:
 - a. Floors
 - b. Handrails
- 7. Offices (Management/Security):
 - a. Windows:
 - Interior Surfaces
- 8. Wash Down Revenue Control Equipment

B. Electrical System:

- 1. Check Lighting Fixtures
- 2. Relamp Fixtures

C. Elevator Operation:

- 1. Check Audible Tones *(ADA level annunciators)*

D. Heating, Ventilation & Air Conditioning:

- 1. Check for Proper Operation:
 - a. Heating Equipment
 - b. Ventilation Equipment
 - c. A/C Equipment

E. Landscaping:

- 1. Mow Lawns

F. Safety Checks:

- 1. Emergency Exit Signs
- 2. Emergency Lights

G. Security System:

- 1. Check for Proper Operation
 - a. Elevator Communication Equipment (Telephone)

H. Pedestrian & Vehicular Signage:

- 1. Check Signs:
 - a. Proper Placement/Positioning

Supervisor:	
Date:	



MAINTENANCE CHECKLISTS

MONTHLY CHECKLIST



A. Cleaning:

- 1. Stairs:
 - a. Windows:
 - Interior Window Surfaces
- 2. Offices (Management/Security):
 - b. Windows:
 - Exterior Surfaces

B. Doors & Door Hardware:

- 1. Lubricate Mechanized Doors:
 - a. Pedestrian Doors
 - b. Rolling Grill Doors

C. Electrical System:

- 1. Replace Fixture Ballasts

D. Landscaping:

- 1. Weed Landscaping

E. Painting:

- 1. Check for Appearance:
 - a. Curbs
 - b. Signs
 - c. Touch-up Painting

F. Plumbing/Drainage Systems:

- 1. Check for Proper Operation:
 - a. Potable Water System

G. Roofing & Waterproofing:

- 1. Check for Leaks:
 - a. Roofing
 - b. Joint/Crack Sealants
 - c. Expansion Joints
 - d. Windows, Doors & Walls
 - e. Parking Deck Waterproofing Membrane

H. Safety Checks:

- 1. Handrails & Guardrails

I. Pedestrian & Vehicular Signage:

- 1. Check Signs:
 - a. Leg bility

J. Structural System:

- 1. Check Structure for:
 - a. Soffit (overhead) Deterioration
 - b. Wall & Column Deterioration

Supervisor:	
Date:	



MAINTENANCE CHECKLISTS

QUARTERLY CHECKLIST



A. Electrical System:

- 1. Inspect - Specialized Electrical Equipment:
 - a. Time Clocks
 - b. Photo Cells
 - c. Lighting Control Equipment
- 2. Fire Alarm System

B. Painting:

- 1. Check for Paint Failure & Rusting:
 - a. Doors & Door Frames
 - b. Handrails & Guardrails
 - c. Steel Bollards/Pipe Guards
 - d. Other Miscellaneous Metals
- 2. Check for Appearance:
 - a. Striping
 - b. Walls

C. Pedestrian & Vehicular Signage:

- 1. Check Signs:
 - a. Clean

D. Structural System:

- 1. Check Structure for:
 - a. Floor Surface Deterioration (See also Safety Checks)
 - b. Cracking Concrete
 - c. Water Leakage
 - d. Rusting Structural Steel
 - e. Rusting Embedment within Concrete

DRAFT

Supervisor:	_____
Date:	_____



MAINTENANCE CHECKLISTS

6 MONTH & YEARLY CHECKLIST



	6 Month Interval	Yearly Interval
A. Cleaning:		
1. Elevators:		
a. Windows (if glass back elevator):		
- Exterior Elevator Glass (exterior of cab and interior of shaft)		<input type="checkbox"/>
2. Stairs:		
a. Windows:		
- Exterior Window Surfaces (inclusive of exterior of elevator shaft if glass back elevator)		<input type="checkbox"/>
3. Wash Down Parking Decks	<input type="checkbox"/>	
B. Electrical System:		
1. Electrical Distribution Panels	<input type="checkbox"/>	
2. Surface Mounted Conduit	<input type="checkbox"/>	
3. Sprinkler System Compressor	<input type="checkbox"/>	
C. Elevator Operation:		
1. Elevator Service - Preventive Maintenance	<input type="checkbox"/>	
D. Heating, Ventilation & Air Conditioning:		
1. Check Filters		<input type="checkbox"/>
2. HVAC Service - Preventive Maintenance		<input type="checkbox"/>
E. Landscaping:		
1. Prune Trees		<input type="checkbox"/>
2. Trim Shrubs	<input type="checkbox"/>	
3. Fertilize	<input type="checkbox"/>	
F. Painting:		
1. Check for Paint Failure & Rusting:		
a. Exposed Piping (fire suppression system & storm drainage)	<input type="checkbox"/>	
2. Check for Appearance:		
a. Ceilings	<input type="checkbox"/>	
3. Repainting		<input type="checkbox"/>
G. Plumbing/Drainage Systems:		
1. Check for Proper Operation:		
a. Floor Drains/Storm Risers	<input type="checkbox"/>	
b. Fire Suppression Systems:		
- Sprinkler System		<input type="checkbox"/>
- Dry Fire Standpipe System		<input type="checkbox"/>
2. Drain Down Systems for Winter		<input type="checkbox"/>
H. Roofing & Waterproofing:		
1. Check for Deterioration:		
a. Roofing	<input type="checkbox"/>	
b. Joint/Crack Sealants	<input type="checkbox"/>	
c. Expansion Joints	<input type="checkbox"/>	
d. Windows, Doors & Walls	<input type="checkbox"/>	
e. Parking Deck Waterproofing Membrane	<input type="checkbox"/>	
I. Structural System:		
1. Check Structure for:		
a. Unusual and/or Unequal Settlement	<input type="checkbox"/>	

Supervisor: _____

Date: _____



SEASONAL WASHDOWN CHECKLIST:

FACILITY: UNION STATION PARKING GARAGE

SEASON/YEAR: _____

6TH LEVEL NORTH: _____ DATE: _____ SUPERVISOR: _____

6TH LEVEL SOUTH: _____ DATE: _____ SUPERVISOR: _____

5TH LEVEL NORTH: _____ DATE: _____ SUPERVISOR: _____

5TH LEVEL RAMP: _____ DATE: _____ SUPERVISOR: _____

5TH LEVEL SOUTH: _____ DATE: _____ SUPERVISOR: _____

4TH LEVEL NORTH: _____ DATE: _____ SUPERVISOR: _____

4TH LEVEL RAMP: _____ DATE: _____ SUPERVISOR: _____

4TH LEVEL SOUTH: _____ DATE: _____ SUPERVISOR: _____

3RD LEVEL NORTH: _____ DATE: _____ SUPERVISOR: _____

3RD LEVEL RAMP: _____ DATE: _____ SUPERVISOR: _____

3RD LEVEL SOUTH: _____ DATE: _____ SUPERVISOR: _____

2ND LEVEL NORTH: _____ DATE: _____ SUPERVISOR: _____

2ND LEVEL RAMP: _____ DATE: _____ SUPERVISOR: _____

2ND LEVEL SOUTH: _____ DATE: _____ SUPERVISOR: _____

1ST LEVEL NORTH: _____ DATE: _____ SUPERVISOR: _____

1ST LEVEL RAMP: _____ DATE: _____ SUPERVISOR: _____

1ST LEVEL SOUTH: _____ DATE: _____ SUPERVISOR: _____

EAST STAIR: _____ DATE: _____ SUPERVISOR: _____

WEST STAIR: _____ DATE: _____ SUPERVISOR: _____



Exhibit B

CTDOT ADMINISTRATIVE AND STATUTORY REQUIREMENTS

1. For the purposes of this Schedule,

“Agency” means CTDOT;

“Business Associate” is defined in 45 C.F.R. § 160.103;

“Contract” means this Agreement;

“Contractor” means CITY; and

“Contractor Parties” means City Parties.

2. Whistleblowing. This Contract may be subject to the provisions of Section 4-61dd of the Connecticut General Statutes. In accordance with this statute, if an officer, employee or appointing authority of the Contractor takes or threatens to take any personnel action against any employee of the Contractor in retaliation for such employee's disclosure of information to any employee of the contracting state or quasi-public agency or the Auditors of Public Accounts or the Attorney General under the provisions of subsection (a) of such statute, the Contractor shall be liable for a civil penalty of not more than five thousand dollars for each offense, up to a maximum of twenty per cent of the value of this Contract. Each violation shall be a separate and distinct offense and in the case of a continuing violation, each calendar day's continuance of the violation shall be deemed to be a separate and distinct offense. The State may request that the Attorney General bring a civil action in the Superior Court for the Judicial District of Hartford to seek imposition and recovery of such civil penalty. In accordance with subsection (f) of such statute, each large state contractor, as defined in the statute, shall post a notice of the provisions of the statute relating to large state contractors in a conspicuous place which is readily available for viewing by the employees of the Contractor.

3. Forum and Choice of Law. The parties deem the Contract to have been made in the City of Hartford, State of Connecticut. Both parties agree that it is fair and reasonable for the validity and construction of the Contract to be, and it shall be, governed by the laws and court decisions of the State of Connecticut, without giving effect to its principles of conflicts of laws. To the extent that any immunities provided by Federal law or the laws of the State of Connecticut do not bar an action against the State, and to the extent that these courts are courts of competent jurisdiction, for the purpose of venue, the complaint shall be made returnable to the Judicial District of Hartford only or shall be brought in the United States District Court for the District of Connecticut only, and shall not be transferred to any other court, provided, however, that nothing here constitutes a waiver or compromise of the sovereign immunity of the State of Connecticut. The Contractor waives any objection which it may now have or will have to the laying of venue of any Claims in any forum and further irrevocably submits to such jurisdiction in any suit, action or proceeding.

4. Sovereign Immunity. The parties acknowledge and agree that nothing in the Solicitation or the Contract shall be construed as a modification, compromise or waiver by the State of any rights or defenses of any immunities provided by Federal law or the laws of the State of Connecticut to the State or any of its officers and employees, which they may have had, now have or will have with respect to all matters arising out of the Contract. To the extent that this section conflicts with any

other section, this section shall govern.

5. Audit and Inspection of Plants, Places of Business and Records.

- (a) The State and its agents, including, but not limited to, the Connecticut Auditors of Public Accounts, Attorney General and State's Attorney and their respective agents, may, at reasonable hours, inspect and examine all of the parts of the Contractor's and Contractor Parties' plants and places of business which, in any way, are related to, or involved in, the performance of this Contract.
- (b) The Contractor shall maintain, and shall require each of the Contractor Parties to maintain, accurate and complete Records. The Contractor shall make all of its and the Contractor Parties' Records available at all reasonable hours for audit and inspection by the State and its agents.
- (c) The State shall make all requests for any audit or inspection in writing and shall provide the Contractor with at least twenty-four (24) hours' notice prior to the requested audit and inspection date. If the State suspects fraud or other abuse, or in the event of an emergency, the State is not obligated to provide any prior notice.
- (d) The Contractor shall pay for all costs and expenses of any audit or inspection which reveals information that, in the sole determination of the State, is sufficient to constitute a breach by the Contractor under this Contract. The Contractor shall remit full payment to the State for such audit or inspection no later than 30 days after receiving an invoice from the State. If the State does not receive payment within such time, the State may setoff the amount from any moneys which the State would otherwise be obligated to pay the Contractor in accordance with this Contract's setoff provision.
- (e) The Contractor shall keep and preserve or cause to be kept and preserved all of its and Contractor Parties' Records until three (3) years after the latter of (i) final payment under this Agreement, or (ii) the expiration or earlier termination of this Agreement, as the same may be modified for any reason. The State may request an audit or inspection at any time during this period. If any Claim or audit is started before the expiration of this period, the Contractor shall retain or cause to be retained all Records until all Claims or audit findings have been resolved.
- (f) The Contractor shall cooperate fully with the State and its agents in connection with an audit or inspection. Following any audit or inspection, the State may conduct and the Contractor shall cooperate with an exit conference.
- (g) The Contractor shall incorporate this entire Section verbatim into any contract or other agreement that it enters into with any Contractor Party.

6. Protection of Confidential Information.

- (a) Contractor and Contractor Parties, at their own expense, have a duty to and shall protect from a Confidential Information Breach any and all Confidential Information which they come to possess or control, wherever and however stored or maintained, in a commercially reasonable manner in accordance with current industry standards.
- (b) Each Contractor or Contractor Party shall develop, implement and maintain a comprehensive data - security program for the protection of Confidential Information. The safeguards contained in such program shall be consistent with and comply with the safeguards for

protection of Confidential Information, and information of a similar character, as set forth in all applicable federal and state law and written policy of the Agency or State concerning the confidentiality of Confidential Information. Such data-security program shall include, but not be limited to, the following:

1. A security policy for employees related to the storage, access and transportation of data containing Confidential Information;
 2. Reasonable restrictions on access to records containing Confidential Information, including access to any locked storage where such records are kept;
 3. A process for reviewing policies and security measures at least annually;
 4. Creating secure access controls to Confidential Information, including but not limited to passwords; and
 5. Encrypting of Confidential Information that is stored on laptops, portable devices or being transmitted electronically.
- (c) The Contractor and Contractor Parties shall notify the Agency and the Connecticut Office of the Attorney General as soon as practical, but no later than twenty-four (24) hours, after they become aware of or suspect that any Confidential Information which Contractor or Contractor Parties have come to possess or control has been subject to a Confidential Information Breach. If a Confidential Information Breach has occurred, the Contractor shall, within three (3) business days after the notification, present a credit monitoring and protection plan to the Commissioner of Administrative Services, the Agency and the Connecticut Office of the Attorney General, for review and approval. Such credit monitoring or protection plan shall be made available by the Contractor at its own cost and expense to all individuals affected by the Confidential Information Breach. Such credit monitoring or protection plan shall include, but is not limited to reimbursement for the cost of placing and lifting one (1) security freeze per credit file pursuant to Connecticut General Statutes § 36a-701a. Such credit monitoring or protection plans shall be approved by the State in accordance with this Section and shall cover a length of time commensurate with the circumstances of the Confidential Information Breach. The Contractors' costs and expenses for the credit monitoring and protection plan shall not be recoverable from the Agency, any State of Connecticut entity or any affected individuals.
- (d) The Contractor shall incorporate the requirements of this Section in all subcontracts requiring each Contractor Party to safeguard Confidential Information in the same manner as provided for in this Section.
- (e) Nothing in this Section shall supersede in any manner Contractor's or Contractor Party's obligations pursuant to HIPAA or the provisions of this Contract concerning the obligations of the Contractor as a Business Associate of the Agency.

7. Executive Orders and Other Enactments.

- (a) All references in this Contract to any Federal, State, or local law, statute, public or special act, executive order, ordinance, regulation or code (collectively, "Enactments") shall mean Enactments that apply to the Contract at any time during its term, or that may be made applicable to the Contract

during its term. This Contract shall always be read and interpreted in accordance with the latest applicable wording and requirements of the Enactments. At the Contractor's request, the Agency shall provide a copy of these Enactments to the Contractor. Unless otherwise provided by Enactments, the Contractor is not relieved of its obligation to perform under this Contract if it chooses to contest the applicability of the Enactments or the Agency's authority to require compliance with the Enactments.

(b) This Contract is subject to the provisions of Executive Order No. 3 of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, Executive Order No. 17 of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of employment openings and Executive Order No. 16 of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, all of which are incorporated into and are made a part of the Contract as if they had been fully set forth in it.

(c) The Contract may be subject to (1) Executive Order No. 14 of Governor M. Jodi Rell, promulgated April 17, 2006, concerning procurement of cleaning products and services; (2) Executive Order No. 61 of Governor Dannel P. Malloy promulgated December 13, 2017 concerning the Policy for the Management of State Information Technology Projects, as issued by the Office of Policy and Management, Policy ID IT-SDLC-17-04; and (3) Executive Order Nos. 13F and 13G of Governor Ned Lamont, promulgated September 3, 2021 and September 10, 2021, respectively, concerning protection of public health and safety during COVID-19 pandemic, as extended by Executive Order No. 14A of Governor Ned Lamont, promulgated September 30, 2021. If any of the Executive Orders referenced in this section is applicable, it is deemed to be incorporated into and made a part of the Contract as if fully set forth in it.