

Subject: Affirmative Action, OJT Pilot Program and Pre-Award DBE/SBE Review

Contract Number: 172-417

Contract Amount: 7,876,330.70

Bid Opening Date: 3/12/14

OJT Requirement: YES

% Requirement: 12 DBE, SBE if SBE, is the Prime a SBE (Yes/No)

Dollar Amount Requirement: 945,159.68

Contractor: Rotha Contracting Co., Inc.

Date: 3/21/14

To: Scott J. Hoffman/Irma I. Reyes/Phylisha J. Coles Office of Contract Compliance From: Eileen Dean Contracts Section Ext.3132

Please indicate if this contractor has an approved Affirmative Action Plan on file? Yes No If yes, indicate the expiration date: 4-30-2015

Is this Firm enrolled in the OJT Pilot Program? Yes No

In accordance with the provisions, the contractor has submitted the following to meet the pre-award requirements for this contract.

Table with 4 columns: Subcontractor, Race/Gender, Amount Nominated (\$), Amount Credited (\$). Rows include CT Paving, LLC; Northeast Work & Safety Boat; Santoro, Inc.; Warning Lights & Scaffolding; and a Total row.

Please review and indicate if you find this submittal acceptable by signing below. If you find any nominated subcontractor is not to receive credit for any line item but the prime still meets the minimum requirement, please indicate your exception below.

Signature of Scott J. Hoffman, Office of Contract Compliance

Date: 4-3-14

Comments: [Blank lines for handwritten notes]