

**TRACE EVIDENCE COLLECTION / HAIR-LIKE FIBER EXAMINATION****19.1 PURPOSE:**

- A. To properly collect trace evidence (a.k.a. trace material), specifically hair-like fibers.
- B. To identify human hair(s) in collected trace evidence and prepare human hair(s) for DNA analysis.

**19.2 RESPONSIBILITY:**

Forensic Science Examiner/Connecticut Career Trainee (however titled) who has successfully completed training according to FB SOP-26 (Training Manual and Checklist).

**19.3 SAFETY:**

The appropriate measures for the proper handling of biohazardous materials, sharp instruments and chemicals will be used according to GL-02.

**19.4 DEFINITIONS:**

- A. OTM: Other Trace Material
- B. QRW(s): Quality Record Worksheet(s) (Appendix 1)
- C. LIMS: Laboratory Information Management System
- D. HLF(s): Hair-Like Fiber(s)

**19.5 PROCEDURE: General Guidelines**

- A. Questioned trace evidence may be collected in the Forensic Biology Unit or in other units of the Laboratory as necessary and transferred to the Forensic Biology Unit for examination.
- B. Trace evidence will be collected, examined and retained in such a manner as to prevent the loss or contamination of the evidence.
- C. For homicide cases:
  - 1. Generally, hair-like fibers and other trace material will be collected, packaged, labeled appropriately (section 19.6) and returned to the submission packaging.
  - 2. The collected trace material will not be sub-itemized in LIMS.
  - 3. If the trace material needs to be retained, see section 19.7.
  - 4. The presence or absence of hair-like fibers and/or other trace material will be documented on the appropriate QRW.
- D. For sexual assault cases:
  - 1. If present, hair-like fibers will be collected (sections 19.6 and 19.7), retained (FB SOP-02: Sexual Assault Evidence Collection Kit Examination) and documented on the appropriate QRW. The absence of hair-like fibers will also be documented.
  - 2. The presence or absence of trace material, other than hair-like fibers, will also be documented on the appropriate QRW.

- E. For other cases:
  - 1. Hair-like fibers and other trace material will not be collected and retained. Therefore, no sub-itemization is necessary.
  - 2. The presence or absence of hair-like fibers and/or other trace material will be documented on the appropriate QRW.
- F. See section 19.12 for report writing.

**19.6 PROCEDURE: Trace Evidence Collection and Packaging (when necessary)**

- A. Trace material may be packaged in layers, with the primary packaging (paperfold, “sticky note”, glassine bag, for example) containing the collected material and the secondary packaging (envelope, sheet protector, for example) containing the primary packaging.
- B. Transparent/translucent packaging is preferred if verifying contents is deemed necessary, as it may prevent loss. (See 19.7.D).
- C. Examiners will account for trace material collected (i.e. packaged for return or retention) from each evidentiary item prior to repackaging the evidentiary item and discarding the bench paper.

Document that the samples have been accounted for on the appropriate QRW (usually a "SAF" checkbox). If the sample is to be retained, create the sub-item in LIMS.

Once accounted for, the sub-itemized trace material being retained will immediately be held in a secure location. If transferred to a temporary storage location other than one's evidence locker, the transfer must be documented in LIMS. Evidence transferred into the temporary location does not need to be verified.

Upon transfer to the appropriate secure long-term storage location, the evidence will be verified. See verification procedure in section 19.7 below.

**19.6.1 Materials:**

Below is a list of common trace material collection and packaging supplies, however, other suitable collection and packaging materials may be used, as case appropriate.

- A. For collection:
  - 1. Forceps
  - 2. “Sticky notes”
  - 3. Lint roller
  - 4. Trace scraper (various sizes)
  - 5. Forensic trace collection vacuum
  - 6. Blade/scalpel

- B. For packaging:
1. Paper for paperfold (see paperfold addendum)
  2. Glassine bag
  3. Sheet protector (various sizes)
  4. White butcher paper (preferred)
  5. Trace tin (various sizes)
  6. Vacuum filter
  7. Envelope (various sizes)
  8. Glass microscope slide and cover slip (various sizes)

**19.6.2 Collection by Picking**

- A. Clean forceps according to FB SOP-1, section 1.5.1 (cleaning utensils and laboratory area).
- B. Pick visible hair-like fibers/OTM from the submission with the forceps. Note: Do not collect any hair-like fibers that are interwoven into the fabric since it is a sign that the hair-like fiber was not recently transferred/deposited.
- C. Place the collection into appropriate primary packaging. Label this packaging with the Lab #, submission #/item #, description and initials. Place the primary packaging in a secondary package and label it similarly to the primary packaging.
- D. Verify and retain the collected/retained trace material (section 19.7).

**19.6.3 Collection by Lifting**

- A. The adhesive side of a “sticky note” can be used to collect hair-like fibers/OTM from a smaller submission.
1. The note may be folded upon itself. Place into the appropriate primary packaging.
  2. Label this packaging with the Lab #, submission #/item #, description and initials.
  3. Place the primary packaging in a secondary package and label it similarly to the primary packaging.
  4. Verify and retain the collected/retained trace material (section 19.7).
- B. A standard lint roller may be used to collect hair-like fibers/OTM from a larger submission.
1. Remove the cover and set aside.
  2. Clean the roller top and handle according to FB SOP-1, section 1.5.1 (cleaning utensils and laboratory area).
  3. Discard the topmost layer of roller sheets.
  4. Roll the evidence, collecting the topmost removable trace material/debris.
  5. When the tackiness of the roller sheet diminishes, tear off the sheet and continue rolling the submission with the next sheet on the roll. Repeat as necessary.

6. Place the used roller sheet(s) in a sheet protector, maximum two per protector. Sheets must be separate and apart from each other. Heat seal between the two roller sheets in the sheet protector and the sheet protector opening. Initial the heat seal(s). Label the sheet protector with the Lab #, submission #/item #, description and initials.
7. The collected/retained trace material will be verified according to section 19.7.
8. Clean the roller top and handle again according to FB SOP-1, section 1.5.1 (cleaning utensils and laboratory area) and replace the cover.

#### **19.6.4 Collection by Scraping**

- A. Clean an appropriately-sized scraper or scalpel, according to FB SOP-1, section 1.5.1 (cleaning utensils and laboratory area).
- B. In a contained area, hold the evidence above the clean white butcher paper and scrape the trace evidence from the submission onto the paper. Note: White butcher paper is preferred over brown craft paper because it is less likely to shed paper fibers during the collection process.
- C. Use the scraper edge to gather the trace material into a pile on the clean paper. A paperfold may be made from this paper by cutting the paper around the piled trace material into a manageable size with a sharp blade/scalpel. Other types of primary packaging may be used as well.
- D. Label the primary packaging with the Lab #, submission #, item #, description and initials. Place the primary packaging in a secondary package and label it similarly to the primary packaging.
- E. Verify and retain the collected/retained trace material (section 19.7).

#### **19.6.5 Collection by Vacuuming**

- A. Clean the vacuum unit, hoses and cords according to FB SOP-1, section 1.5.1 (cleaning utensils and laboratory area).
- B. Remove an appropriately sized vacuum filter from its sealed integrity packaging and attach it to the vacuum hose.
- C. Vacuum an area of the submission for hair-like fibers/OTM, documenting the location. Change the filter as necessary, depending on the capacity of the filter. Continue to vacuum an area and document its location until all areas of interest are vacuumed.
- D. Label the filter with the Lab #, submission #/item #, description, area of collection and initials. Place each filter in a secondary package and label it similarly to the filter.

- E. Verify and retain the collected/retained trace material (section 19.7).

**19.7 PROCEDURE: Verification and Retention of Trace Samples**

The trace evidence retained in the Forensic Biology Unit will be verified for the correct labeling by a second analyst (however titled).

- A. The trace retained from the evidence will be sub-itemized in LIMS according to GL-4 (LIMS).
- B. The type(s) of trace evidence noted and the type of trace retained will be documented on the QRW. (For example: hair-like fibers, debris, mineral matter/material, other trace material.)
- C. In LIMS, electronically transfer each trace sub-item to the appropriate intended storage location. Locations include, but are not limited, to 'trace storage – retained trace' or 'freezer storage'.
- D. The LIMS transfer sheet will be printed and a second analyst will verify that the labeling of the primary packaging, and secondary packaging if present, agrees with the LIMS information. Due to the potential for sample loss, it is not recommended for the second analyst to view the contents of the primary packaging/collected trace material packet.
1. If in agreement, the second analyst will initial and date the LIMS transfer sheet.
  2. If the second analyst discovers a discrepancy, then the appropriate correction(s) will be performed.
  3. The Unit Lead will then be informed and the root cause will be determined and corrected if possible. Further appropriate action may be taken by the Unit Lead.
  4. The initialed and dated transfer sheet (or copy) will be retained in the appropriate case jacket(s).
- E. Once verified, the envelope (or similar) containing the primary packaging with retained trace will be sealed and the seal initialed.
- F. Place the sub-item in the appropriate location designated on the LIMS transfer sheet.
1. For LIMS 'Trace storage – retained trace' samples:  
Place each sealed trace material sub-item in a larger manila envelope (approximately 9" x 12"), label the larger manila envelope with the Lab ID#, incident town and each sub-item # contained within. Seal with tape and initial the seal.
  2. For LIMS 'Freezer storage' samples:  
If the presence of blood or other body fluid-like material is suspected, place each sealed sub-item in a plastic bag, label the plastic bag with the Lab ID# and sub-item #.  
Heat seal and initial the seal.

**19.8 PROCEDURE:** Examining Questioned Trace Evidence Collection for Hair-Like Fibers (HLFs).

- A. Record the lot numbers of all reagents/solutions used on the appropriate QRW.
- B. Digital images of each step of the examination and each hair-like fiber examined may be very useful in determining the appropriate human hairs to forward for DNA analysis.

**19.8.1: Materials:**

- A. Forceps
- B. Probe(s)
- C. Stereomicroscope
- D. Glass microscope slides
- E. Cover slips
- F. dH<sub>2</sub>O or other appropriate mounting medium
- G. Compound microscope
- H. Digital imaging device
- I. Disinfecting solution

**19.8.2: Procedure:**

- A. Macroscopically and stereoscopically examine the bulk trace evidence collection, using forceps and probe to separate out and set aside any hair-like fibers (HLFs). Return the remaining portion of questioned trace material to its packaging. Some trace evidence collections may consist only of hair-like fibers.
- B. It is imperative that the examination of the HLFs be approached systematically to prevent sample loss.
- C. Macroscopic/Stereoscopic Examination:
  - 1. Number each hair-like fiber.(i.e. HLF#1, HLF#2, HLF#3, etc).
  - 2. Record the approximate length (in centimeters), color and texture on the appropriate QRW.
  - 3. Stereoscopic examination of each HLF (optional):
    - 1. Some animal hairs may be identified stereoscopically.
    - 2. Tissue-like material may be observed stereoscopically. Examination at a higher magnification is usually necessary.
    - 3. Digital images will be captured of animal hairs or tissue-like material identified stereoscopically.
- D. Prepare Each HLF for Microscopic Examination:
  - 1. Choose the appropriate glass microscope slide and cover slip based on the length and morphology of the hair-like fiber evidence and parameters of the microscope.

*Approved by Director: Dr. Guy Vallaro*

2. Label each glass microscope slide with the Lab #, submission #, item #, HLF# and initials.
3. Temporarily mount each HLF in dH<sub>2</sub>O, or other appropriate medium. Each HLF should be mounted separately, each with its own cover slip. The ends should be easily located.
4. For multiple hair-like fibers previously mounted on glass microscope slides with a permanent mounting medium:
  - A. Using a marker, draw a dot at one end of each HLF (root end, if present/discernible). Place a number next to each dot, thus assigning each hair-like fiber a HLF#.
  - B. Record color, texture and, as best as possible, the approximate length (in centimeters), of each hair-like fiber on the appropriate QRW.
  - C. Stereoscopic examination of each HLF (optional):
    1. Some animal hairs may be identified stereoscopically.
    2. Tissue-like material may be observed stereoscopically. Examination at a higher magnification is usually necessary.
    3. Digital images will be captured of animal hairs or tissue-like material identified stereoscopically.
- E. Microscopic examination for each HLF:
  1. For organizational purposes, a digital image of the mounted HLF with labeling and cm scale may be captured and followed by all microscopic images of that HLF.
  2. Examine each mounted hair-like fiber with a compound microscope, generally at ~ 100x magnification.
  3. The examiner will determine if each HLF# is a hair and whether the hair is of animal origin or human origin.
  4. Digital images (~100x) of the root, tip and mid-section may aid in the examination, documentation and technical review process.
  5. The examiner will document observations that support the identification conclusion of each HLF# such as the presence of a cuticle, cortex and medulla on the appropriate QRW.

Additional examples of the hair documentation may include descriptions of root features, color features, cortex features, pigment features, cuticle (scale) features, tip features, medulla features or gross morphological features. The examiner will use their knowledge, training and experience to identify and characterize these features.

6. The examiner will document the presence of a root (i.e. hair) or the absence of a root (i.e. hair fragment) for each human hair on the appropriate QRW.
  7. The presence or absence of tissue-like material on the root will be recorded on the appropriate QRW for each human hair.
  8. If deemed appropriate, the somatic body area and other microscopic characteristics of human hairs may be documented on the appropriate QRW.
- F. A second qualified examiner will confirm the identification conclusion.
1. They may observe the actual HLF or may review the image(s) and description(s) (i.e. during the Technical Review).
  2. They will initial the appropriate QRW(s) to document the confirmation.
- G. The examiner will choose the most suitable human hairs for DNA analysis.
- H. For HLFs not chosen for DNA analysis:
1. Each temporarily mounted HLF may remain on the glass microscope slide by allowing the mounting medium to evaporate and securing the cover slip with clear tape. Alternatively, each may be removed from its mounting and placed in the appropriate primary packaging.
  2. Permanently mounted HLFs/trace materials may remain on the glass microscope slide.
  3. Place the primary packaging in a secondary package and label it similarly to the primary packaging.
  4. Document on the QRW how these HLFs/trace materials are re-packaged. (Example: item #001-002 (trace material from hat) retained as 10 glass microscope slides plus the original one white paperfold).
  5. The re-packaged retained trace material will be re-verified prior to long term storage to insure the accuracy of the new packaging description.

## **19.9 PROCEDURE: Preparing Hairs for DNA Analysis**

### **19.9.1: Materials:**

- A. Sterile microcentrifuge tube(s)
- B. Sterile scalpel(s)
- C. Forceps
- D. HistoClear or other appropriate solvent
- E. Diamond scribe
- F. Hood
- G. KimWipes
- H. Stereomicroscope

**19.9.2: DNA Analysis:**

- A. The examiner will use their experience and training to choose the most suitable hairs for DNA analysis. These human hairs may be the hairs that possess the greatest amount of tissue-like material at the root and/or possess macroscopic morphological characteristics of interest. It is to be noted that Mitochondrial DNA analysis is not conducted at this Laboratory.
- B. Generally, only the chosen human hair(s) will be itemized (See 19.11 *Itemizing*, below) on the appropriate QRW. (example: HLF#1 is chosen and further designated in LIMS as item #001-001, HLF#8 is chosen and further designated as item #001-002 in LIMS, etc.)
- C. The root portion of each of these itemized human hair(s) will be photo-documented using an appropriate digital imaging device. (If not previously photodocumented.)
- D. Remove the hair from the mounting (when necessary).  
For temporarily mounted human hairs:
1. Slide the cover slip off the microscope slide.
  2. Dry the slide/human hair with a clean KimWipe.
- For permanently mounted human hairs:
1. Under a hood, crack the cover slip along the length of the hair with a diamond scribe.
  2. Apply just enough HistoClear (or other appropriate solvent) to fill the crack and allow time for the medium to soften.
  3. Use forceps to gently pry the hair out of the softened medium.
  4. Remove any remaining medium from the hair by soaking it in additional HistoClear on a clean glass microscope slide and dabbing it with a KimWipe.
  5. If the hair is removed in its entirety, the examiner will confirm the length, in centimeters.
  6. Alternatively, only the root portion may be removed. The remaining shaft will be appropriately documented and labeled.
- E. Excise the root portion of the hair.
1. Using a forceps, gently hold the root portion of the hair.
  2. Use a sterile scalpel to slice the shaft above the held root portion.
  3. Using the forceps, place the root portion in a sterile microcentrifuge tube.
  4. The tube will be labeled with the Lab#, Item #. (See 19.11 *Itemizing*, below).
  5. The tube will be placed in a plastic zip bag labeled with the Lab # heat sealed and the heat seal initialed.
- F. Transfer the samples to the appropriate storage location. See also section 19.7.F.

- G. Verify and retain the collected/retained trace material (section 19.7).
- H. When these items are prepared to be sent to another Laboratory for analysis, the samples, in their entirety will be photodocumented and appropriately packaged. The appropriate packaging will have a Laboratory barcode label affixed. The labeling will include the Laboratory #, item # and detailed description of the contents and its origin. The examiner will place their initials on the packaging/seal.

**19.10 PROCEDURE: Examination of Known Hair Samples**

- A. Known hair samples may be examined when deemed appropriate for the case scenario or when a biological known is needed for DNA comparison purposes.
- B. Examining the microscopic morphology of the contents of the known hair sample is not necessary to designate the contents as human hairs. The use of the submitting agency's labeling is appropriate to use in quotes to describe the sample, for example: "known head hair".
- C. Collecting human hair roots for DNA comparison purposes:
  - 1. Document the contents:
    - a. Photo-document the entire contents of the known hair sample.
    - b. Note the approximate number of hairs and their macroscopic morphological characteristics such as length, color and texture on the appropriate QRW.
  - 2. Examine the root ends of the hairs stereoscopically. It may be possible to identify tissue-like material on the hair roots. If tissue-like material cannot be discerned stereoscopically, then the hairs may be temporarily mounted on glass microscope slide for viewing under higher magnification.
  - 3. Choose approximately five to ten hairs with tissue-like material and photodocument the root portions at the appropriate magnification.
  - 4. The examiner will excise the root portions of the hairs (see 19.9.2.D above) and place all root portions into one (1) sterile micro-centrifuge tube.
  - 5. The tube will be labeled with the Lab#, Item # and examiners initials. (See 19.11 *Itemizing*, below)
  - 6. Label as "known head hair roots – person's name" (or similar) in LIMS.
  - 7. Verify and forward/retain the collected/retained trace material (section 19.7).

**19.11 PROCEDURE: Itemizing**

- A. A trace evidence collection is given a sub-item number according to GL-04 (LIMS). Trace materials removed from the collection, further examined and not chosen for DNA analysis will remain part of the trace collection and labeled identically, however packaged.
- B. Human hairs that are chosen for DNA analysis from that trace evidence collection are further sub-itemized according to GL-04 (LIMS).

- C. A root portion that possesses tissue-like material that is chosen for DNA analysis will be labeled with an asterisk according to GL-04 (LIMS) and considered a DNA consumption issue if an arrest has been made. The shaft portion from which that root has been removed will be retained and remain itemized as above (19.11.B).

**19.12 PROCEDURE: Report Writing:**

- A. General Guidelines (Homicides and Sexual Assault cases):
1. If hair-like fibers are present, their collection and disposition will be specified in the Forensic Biology or DNA report.
  2. The presence of trace material (i.e. not collected) will be specified in the Forensic Biology or DNA report.
  3. Only when a trace examination is requested on the Request for Analysis form (SOP-ER-02) will the absence of trace material be included in the report.
- B. General Guidelines (Other Cases):
1. The presence of hair-like fibers and/or other trace material will be specified in the Forensic Biology or DNA report.
  2. Only when a trace examination is requested on the Request for Analysis form (SOP-ER-02) will the absence of trace material be included in the report.
- C. Report Wording: Examination Method (as appropriate):
1. All examinations were conducted macroscopically unless otherwise noted.
  2. All examinations were conducted microscopically and/or macroscopically.
  3. All examinations were conducted macroscopically and microscopically.
- D. Report Wording: Trace/Hair-like fiber Collection/Disposition
1. The report may include statements such as:
    - a. *Hair-like fibers and other trace material were noted on/in [ ].*
    - b. *No hair-like fibers were noted on/in [ ].*
    - c. *No trace material was noted on/in [ ].*
    - d. *Trace material/hair-like fiber(s) was/were collected/removed from [ ] and returned to the submission/item packaging.*
    - e. *Trace material/hair-like fibers, designated as item #[ ], was/were retained at the Laboratory.*
    - f. *Trace material/hair-like fibers, designated as item #[ ], was forwarded for further examination.*
- E. Report Wording: Hair Identification/Disposition
1. A competent hair examiner from the Laboratory or another ASCLD/ANAB accredited laboratory may act as the Technical Reviewer of a report which includes hair identifications.

2. The report may include statements such as:
  - a. *Human hairs were observed in item #[ ].*
  - b. *No tissue-like material was observed on these hairs.*
  - c. *A human hair fragment was observed in item #[ ].*
  - d. *No root and/or tissue-like material was observed on this human hair fragment.*
  - e. *Tissue-like material was noted on the root portion of some of these human hairs.*
  - f. *The root portions of these human hairs were forwarded to the DNA Unit for analysis.*
  - g. *The shaft portions of these human hairs were retained at the Laboratory.*
  - h. *Animal hairs were observed in item #[ ].*

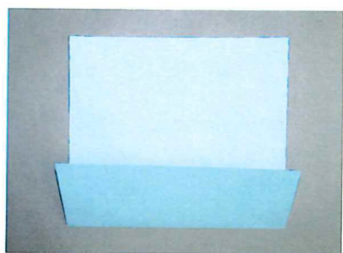
#### **19.13 REFERENCES:**

1. Hicks, J. Microscopy of Hairs: A Practical Guide and Manual, FBI Laboratory, 1977.
2. Saferstein, R. Forensic Science Handbook, Prentice Hall, 1982. Chapter 5: The Forensic Identification of and Association of Human Hair.
3. Saferstein, R. Forensic Science Handbook, Prentice Hall, 1982. Chapter 9: Foundations of Forensic Microscopy.

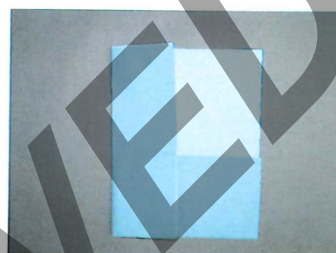
**\*Additional hair related articles are available.**

FB SOP-19 Trace Evidence Collection / Hair-like Fiber Examination - Addendum

1. Fold 1/3<sup>rd</sup> of Width



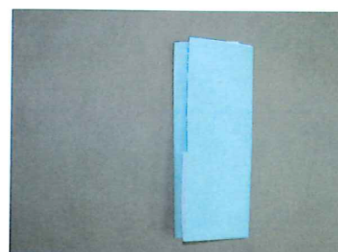
2. Fold 1/3<sup>rd</sup> of Length



3. Place Trace in Pocket



4. Fold Remaining 1/3<sup>rd</sup> of Length



5. Fold in Half



6. Fold Corners Under

