



State of Connecticut Department of Developmental Services

M.I.R Management Information Report December 2017

Attachment

The Management Information Report (MIR) provides DDS stakeholders and staff with information about eligibility, services and supports for individuals, support needs and new development activities, case load by age, waiver enrollment and revenue, and personnel management.

Starting in fiscal year 2017, production of the DDS MIR is now automated. The goals of automation include: 1) increased timeliness of data, 2) streamlining the report preparation process, and 3) improving the accuracy and reliability of the data. While there are some minor changes in the presentation of the data in the automated version, the same information is included.

DDS will no longer provide an Executive Summary within the body of the document; however, an attachment will accompany each quarterly MIR and will include an explanation of any relevant changes.

Changes of note for the December 31, 2017 MIR:

Section 1: Services and Supports, F. Family Support – Direct Support Services:

This quarter DDS stopped manual tracking of Family Support Worker activity. DDS is now using a standardized data collection system that allows Family Support Workers to directly enter data into the database. While this change will lead to increased accuracy and more reliable data, we did observe a 20% decrease in the total number of individuals served. This may reflect an actual reduction, but may also reflect a lag in adoption of the new tracking system.

Section 1: Services and Supports, G. Family Grant Utilization:

DDS is reviewing the data for Family Grant Utilization and will provide enhanced information in the next MIR. In the past, DDS reported funding for Respite activities. We are currently in the process of expanding reporting to include all Family Grant expenditures. These will be categorized, and will provide a more accurate description of the funds provided to families via the Family Grants account.

Note: The September 30, 2017 MIR was updated on 1/19/18 to correct the Projections by Fiscal Years for Residential Ageouts (Page 26) and High School Graduates (Page 27), and also the Goals for Residential and Day Ageouts and Graduates (Page 27). These changes have been reflected herein.

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December 2017



State of Connecticut
Department of Developmental Services

M.I.R.
Management
Information Report

December 2017

Issued
January 2018
by DDS Commissioner's
Office

DDS Management Information Report

December 2017

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Section I: Services and Supports

December 2017

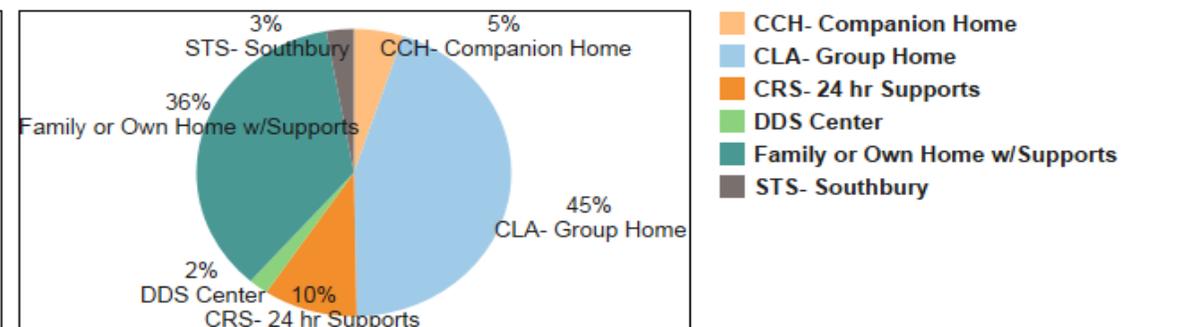
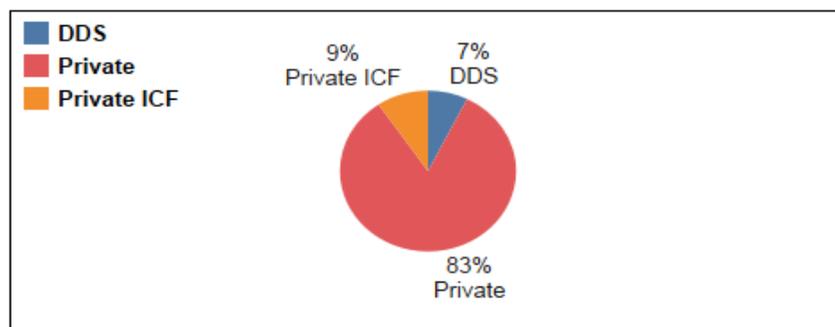
A. Where People Live and How They Are Supported

STATEWIDE

Total Individuals with Intellectual Disability who are active with DDS: 16,942

How They Receive Support

| Where People Live | | DDS | Private Provider | Self Direct | Private ICF | No Annual Funds | Grand Total | % Total |
|---------------------------------|----------------------|--------------|------------------|--------------|-------------|-----------------|---------------|----------------|
| At Home | Family Home | | 463 | 963 | | 8,046 | 9,472 | 55.91% |
| | Own Home (IL) | 132 | 850 | 298 | | 394 | 1,674 | 9.88% |
| | Sub-Total | 132 | 1,313 | 1,261 | | 8,440 | 11,146 | 65.79% |
| DDS Operated/ Funded | CCH (Companion Home) | | 382 | | | | 382 | 2.25% |
| | CLA (Group Home) | 273 | 3,108 | | 354 | | 3,735 | 22.05% |
| | CRS (24 hr Supports) | | 729 | | | | 729 | 4.30% |
| | DDS Center | 153 | | | | | 153 | 0.90% |
| | STS (Southbury) | 212 | | | | | 212 | 1.25% |
| Sub-Total | 638 | 4,219 | | 354 | | 5,211 | 30.76% | |
| Other | Blank | | 23 | | | | 23 | 0.14% |
| | Long Term Care | | 348 | | | | 348 | 2.05% |
| | Not DDS Funded | | 59 | | | | 59 | 0.35% |
| | Residential School | | 91 | | | | 91 | 0.54% |
| | Sub-Total | | 521 | | | | 521 | 3.08% |
| Other State Agencies | DCF | | 56 | | | | 56 | 0.33% |
| | DMHAS | | 1 | | | | 1 | 0.01% |
| | DOC | | 7 | | | | 7 | 0.04% |
| | Sub-Total | | 64 | | | | 64 | 0.38% |
| Grand Total | | 770 | 6,117 | 1,261 | 354 | 8,440 | 16,942 | 100.00% |



Note: The number of individuals living in their family or own home with supports the following services includes services (SharedLiving, Companion Service(Non-Center Based) and Respite Service (In Home Supports, Behavioral Supports and Personal Supports).

Section I: Services and Supports

December 2017

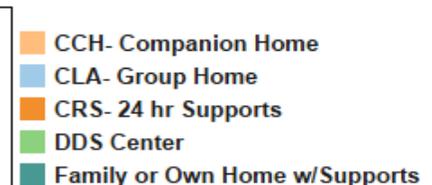
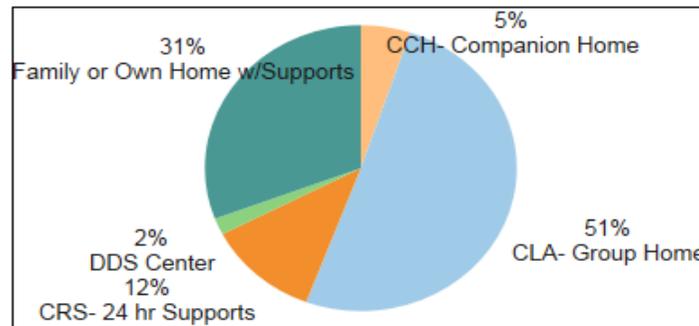
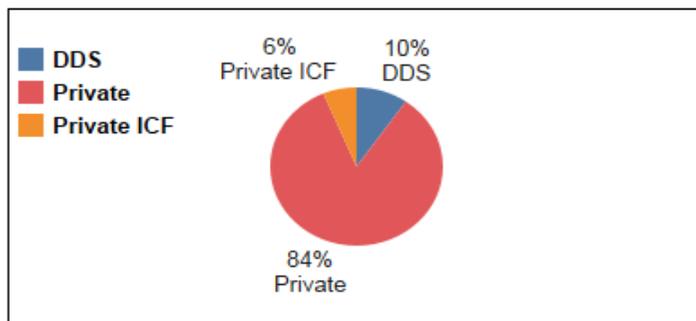
A. Where People Live and How They Are Supported

NORTH REGION

Total Individuals with Intellectual Disability who are active with DDS: 5,718

How They Receive Support

| Where People Live | | DDS | Private Provider | Self Direct | Private ICF | No Annual Funds | Grand Total | % Total |
|-------------------------|----------------------|------------|------------------|-------------|-------------|-----------------|--------------|----------------|
| At Home | Family Home | | 148 | 262 | | 2,783 | 3,193 | 55.84% |
| | Own Home (IL) | 38 | 262 | 62 | | 137 | 499 | 8.73% |
| | Sub-Total | 38 | 410 | 324 | | 2,920 | 3,692 | 64.57% |
| DDS Operated/ Funded | CCH (Companion Home) | | 129 | | | | 129 | 2.26% |
| | CLA (Group Home) | 131 | 1,139 | | 85 | | 1,355 | 23.70% |
| | CRS (24 hr Supports) | | 293 | | | | 293 | 5.12% |
| | DDS Center | 47 | | | | | 47 | 0.82% |
| | Sub-Total | 178 | 1,561 | | 85 | | 1,824 | 31.90% |
| Other | Blank | | 8 | | | | 8 | 0.14% |
| | Long Term Care | | 116 | | | | 116 | 2.03% |
| | Not DDS Funded | | 28 | | | | 28 | 0.49% |
| | Residential School | | 28 | | | | 28 | 0.49% |
| | Sub-Total | | 180 | | | | 180 | 3.15% |
| Other State Agencies | DCF | | 16 | | | | 16 | 0.28% |
| | DMHAS | | 1 | | | | 1 | 0.02% |
| | DOC | | 5 | | | | 5 | 0.09% |
| | Sub-Total | | 22 | | | | 22 | 0.38% |
| Grand Total | | 216 | 2,173 | 324 | 85 | 2,920 | 5,718 | 100.00% |



Section I: Services and Supports

December 2017

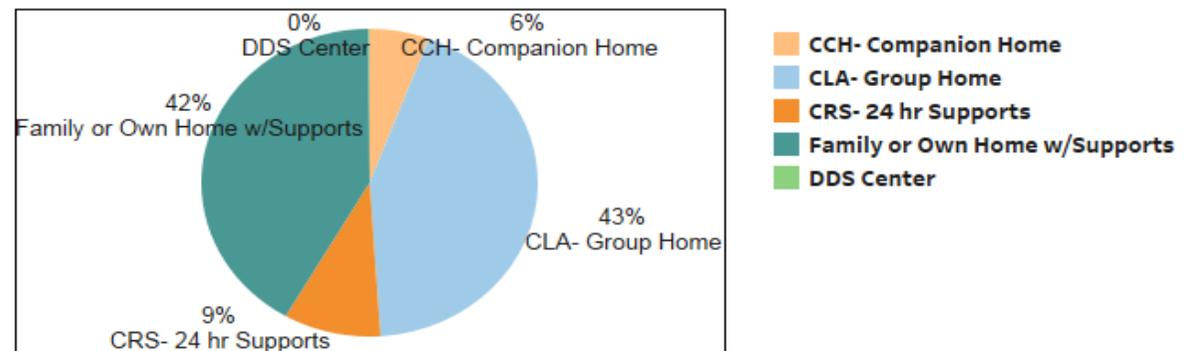
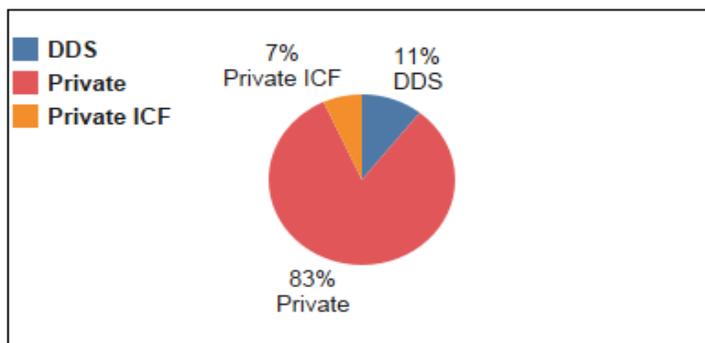
A. Where People Live and How They Are Supported

SOUTH REGION

Total Individuals with Intellectual Disability who are active with DDS: 5,456

How They Receive Support

| Where People Live | | DDS | Private Provider | Self Direct | Private ICF | No Annual Funds | Grand Total | % Total |
|----------------------|----------------------|------------|------------------|-------------|-------------|-----------------|--------------|----------------|
| At Home | Family Home | | 181 | 305 | | 2,525 | 3,011 | 55.19% |
| | Own Home (IL) | 61 | 387 | 119 | | 140 | 707 | 12.96% |
| | Sub-Total | 61 | 568 | 424 | | 2,665 | 3,718 | 68.15% |
| DDS Operated/Funded | CCH (Companion Home) | | 145 | | | | 145 | 2.66% |
| | CLA (Group Home) | 125 | 970 | | 79 | | 1,174 | 21.52% |
| | CRS (24 hr Supports) | | 236 | | | | 236 | 4.33% |
| | DDS Center | 2 | | | | | 2 | 0.04% |
| | Sub-Total | 127 | 1,351 | | 79 | | 1,557 | 28.54% |
| Other | Blank | | 3 | | | | 3 | 0.05% |
| | Long Term Care | | 121 | | | | 121 | 2.22% |
| | Not DDS Funded | | 10 | | | | 10 | 0.18% |
| | Residential School | | 21 | | | | 21 | 0.38% |
| | Sub-Total | | 155 | | | | 155 | 2.84% |
| Other State Agencies | DCF | | 26 | | | | 26 | 0.48% |
| | Sub-Total | | 26 | | | | 26 | 0.48% |
| Grand Total | | 188 | 2,100 | 424 | 79 | 2,665 | 5,456 | 100.00% |



Section I: Services and Supports

December 2017

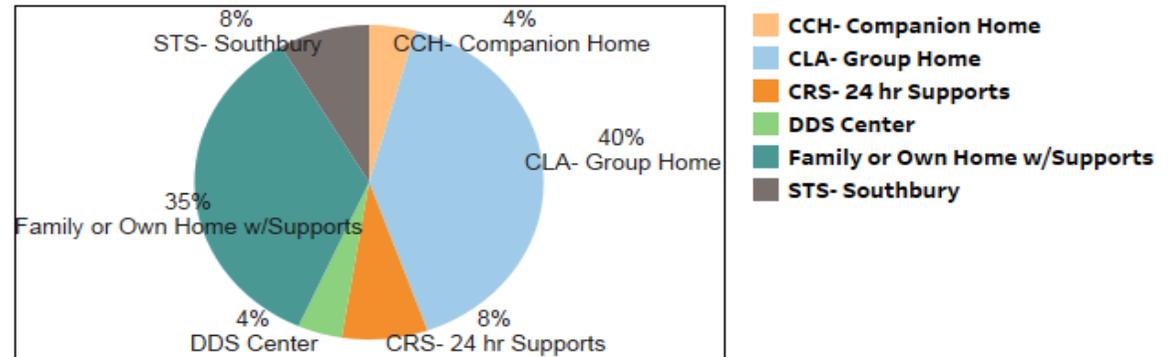
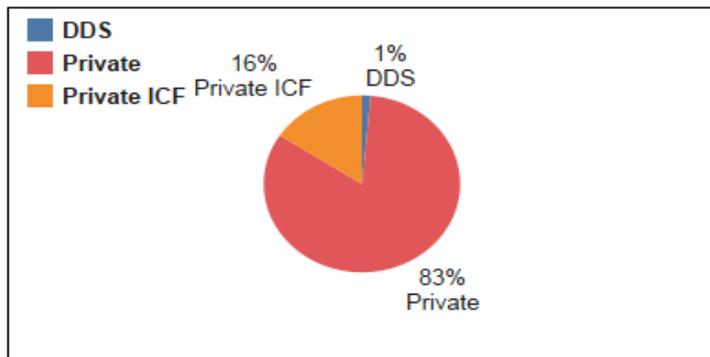
A. Where People Live and How They Are Supported

WEST REGION

Total Individuals with Intellectual Disability who are active with DDS: 5,768

How They Receive Support

| Where People Live | | DDS | Private Provider | Self Direct | Private ICF | No Annual Funds | Grand Total | % Total |
|-----------------------------|----------------------|------------|------------------|-------------|-------------|-----------------|--------------|----------------|
| At Home | Family Home | | 134 | 396 | | 2,738 | 3,268 | 56.66% |
| | Own Home (IL) | 33 | 201 | 117 | | 117 | 468 | 8.11% |
| | Sub-Total | 33 | 335 | 513 | | 2,855 | 3,736 | 64.77% |
| DDS Operated/Funded | CCH (Companion Home) | | 108 | | | | 108 | 1.87% |
| | CLA (Group Home) | 17 | 999 | | 190 | | 1,206 | 20.91% |
| | CRS (24 hr Supports) | | 200 | | | | 200 | 3.47% |
| | DDS Center | 104 | | | | | 104 | 1.80% |
| | STS (Southbury) | 212 | | | | | 212 | 3.68% |
| | Sub-Total | 333 | 1,307 | | 190 | | 1,830 | 31.73% |
| Other | Blank | | 12 | | | | 12 | 0.21% |
| | Long Term Care | | 111 | | | | 111 | 1.92% |
| | Not DDS Funded | | 21 | | | | 21 | 0.36% |
| | Residential School | | 42 | | | | 42 | 0.73% |
| | Sub-Total | | 186 | | | | 186 | 3.22% |
| Other State Agencies | DCF | | 14 | | | | 14 | 0.24% |
| | DOC | | 2 | | | | 2 | 0.03% |
| | Sub-Total | | 16 | | | | 16 | 0.28% |
| Grand Total | | 366 | 1,844 | 513 | 190 | 2,855 | 5,768 | 100.00% |



SECTION I: Services and Supports

B. Work and Day Services

STATEWIDE

December 2017

Total Individuals with Intellectual Disability who are active with DDS: 16,942

How They Receive Support

| Type Of Support | | DDS | Private Provider | Self Direct | No Annual Funds | Grand Total | % Total |
|--|------------------------|------------|------------------|-------------|-----------------|---------------|----------------|
| Employment and Day Supports | Individual Supp. Emp. | 1 | 555 | 125 | | 681 | 4.02% |
| | Group Supported Emp. | 73 | 3,004 | | | 3,077 | 18.16% |
| | Pre-Vocational | 17 | 147 | | | 164 | 0.97% |
| | Day Support Option | 88 | 4,643 | 107 | | 4,838 | 28.56% |
| | Adult Day Health | | 33 | | | 33 | 0.19% |
| | Senior Supports | 38 | 231 | | | 269 | 1.59% |
| | Individual Day Supp. | | 1,117 | 335 | | 1,452 | 8.57% |
| | Competitive Employment | | | | 298 | 298 | 1.76% |
| | Other | | 359 | | | 359 | 2.12% |
| | Sub-Total | 217 | 10,089 | 567 | 298 | 11,171 | 65.94% |
| Educational and Developmental Services | Local Ed. Agency | | 3,902 | | | 3,902 | 23.03% |
| | Residential School | | 12 | | | 12 | 0.07% |
| | Other | 31 | 76 | | 1 | 108 | 0.64% |
| | Sub-Total | 31 | 3,990 | | 1 | 4,022 | 23.74% |
| Other | No Day Program | | | | 1,389 | 1,389 | 8.20% |
| | Blanks | | | | 360 | 360 | 2.12% |
| | Sub-Total | | | | 1,749 | 1,749 | 10.32% |
| Grand Total | | 248 | 14,079 | 567 | 2,048 | 16,942 | 100.00% |

Note: The numbers above represent primary day program. Some individuals may develop blended programs, in which they participate in more than one type of program each week.

SECTION I: Services and Supports
B. Work and Day Services

December 2017

NORTH REGION

Total Individuals with Intellectual Disability who are active with DDS: 5,718

How They Receive Support

| Type Of Support | | DDS | Private Provider | Self Direct | No Annual Funds | Grand Total | % Total |
|---|------------------------|-----------|------------------|-------------|-----------------|--------------|----------------|
| Employment and Day Supports | Individual Supp. Emp. | 1 | 191 | 24 | | 216 | 3.78% |
| | Group Supported Emp. | 12 | 1,167 | | | 1,179 | 20.62% |
| | Pre-Vocational | | 72 | | | 72 | 1.26% |
| | Day Support Option | 8 | 1,493 | 63 | | 1,564 | 27.35% |
| | Adult Day Health | | 10 | | | 10 | 0.17% |
| | Senior Supports | 4 | 85 | | | 89 | 1.56% |
| | Individual Day Supp. | | 324 | 67 | | 391 | 6.84% |
| | Competitive Employment | | | | 111 | 111 | 1.94% |
| | Other | | 106 | | | 106 | 1.85% |
| | Sub-Total | 25 | 3,448 | 154 | 111 | 3,738 | 65.37% |
| Educational and Developmental Services | Local Ed. Agency | | 1,257 | | | 1,257 | 21.98% |
| | Other | 12 | 70 | | | 82 | 1.43% |
| | Sub-Total | 12 | 1,327 | | | 1,339 | 23.42% |
| Other | No Day Program | | | | 495 | 495 | 8.66% |
| | Blanks | | | | 146 | 146 | 2.55% |
| | Sub-Total | | | | 641 | 641 | 11.21% |
| Grand Total | | 37 | 4,775 | 154 | 752 | 5,718 | 100.00% |

SECTION I: Services and Supports

B. Work and Day Services

December 2017

SOUTH REGION

Total Individuals with Intellectual Disability who are active with DDS: 5,456

How They Receive Support

| Type Of Support | | DDS | Private Provider | Self Direct | No Annual Funds | Grand Total | % Total |
|--|------------------------|-----------|------------------|-------------|-----------------|--------------|----------------|
| Employment and Day Supports | Individual Supp. Emp. | | 191 | 64 | | 255 | 4.67% |
| | Group Supported Emp. | 7 | 1,000 | | | 1,007 | 18.46% |
| | Pre-Vocational | | 39 | | | 39 | 0.71% |
| | Day Support Option | | 1,500 | 16 | | 1,516 | 27.79% |
| | Adult Day Health | | 14 | | | 14 | 0.26% |
| | Senior Supports | | 87 | | | 87 | 1.59% |
| | Individual Day Supp. | | 480 | 131 | | 611 | 11.20% |
| | Competitive Employment | | | | 94 | 94 | 1.72% |
| | Other | | 74 | | | 74 | 1.36% |
| | Sub-Total | 7 | 3,385 | 211 | 94 | 3,697 | 67.76% |
| Educational and Developmental Services | Local Ed. Agency | | 1,259 | | | 1,259 | 23.08% |
| | Residential School | | 1 | | | 1 | 0.02% |
| | Other | 9 | | | | 9 | 0.16% |
| | Sub-Total | 9 | 1,260 | | | 1,269 | 23.26% |
| Other | No Day Program | | | | 468 | 468 | 8.58% |
| | Blanks | | | | 22 | 22 | 0.40% |
| | Sub-Total | | | | 490 | 490 | 8.98% |
| Grand Total | | 16 | 4,645 | 211 | 584 | 5,456 | 100.00% |

SECTION I: Services and Supports

B. Work and Day Services

December 2017

WEST REGION

Total Individuals with Intellectual Disability who are active with DDS: 5,768

How They Receive Support

| Type Of Support | | DDS | Private Provider | Self Direct | No Annual Funds | Grand Total | Grand Total |
|--|------------------------|------------|------------------|-------------|-----------------|--------------|----------------|
| Employment and Day Supports | Individual Supp. Emp. | | 173 | 37 | | 210 | 3.64% |
| | Group Supported Emp. | 54 | 837 | | | 891 | 15.45% |
| | Pre-Vocational | 17 | 36 | | | 53 | 0.92% |
| | Day Support Option | 80 | 1,650 | 28 | | 1,758 | 30.48% |
| | Adult Day Health | | 9 | | | 9 | 0.16% |
| | Individual Day Supp. | | 313 | 137 | | 450 | 1.61% |
| | Competitive Employment | | | | 93 | 93 | 7.80% |
| | Senior Supports | 34 | 59 | | | 93 | 1.61% |
| | Other | | 179 | | | 179 | 3.10% |
| | Sub-Total | 185 | 3,256 | 202 | 93 | 3,736 | 64.77% |
| Educational and Developmental Services | Local Ed. Agency | | 1,386 | | | 1,386 | 24.03% |
| | Residential School | | 11 | | | 11 | 0.19% |
| | Other | 10 | 6 | | 1 | 17 | 0.29% |
| | Sub-Total | 10 | 1,403 | | 1 | 1,414 | 24.51% |
| Other | No Day Program | | | | 426 | 426 | 7.39% |
| | Blanks | | | | 192 | 192 | 3.33% |
| | Sub-Total | | | | 618 | 618 | 10.71% |
| Grand Total | | 195 | 4,659 | 202 | 712 | 5,768 | 100.00% |

SECTION I: Services and Supports

December 2017

B. Work and Day Services

SOUTHBURY TRAINING SCHOOL

Total Individuals with Intellectual Disability who are active with DDS:

212

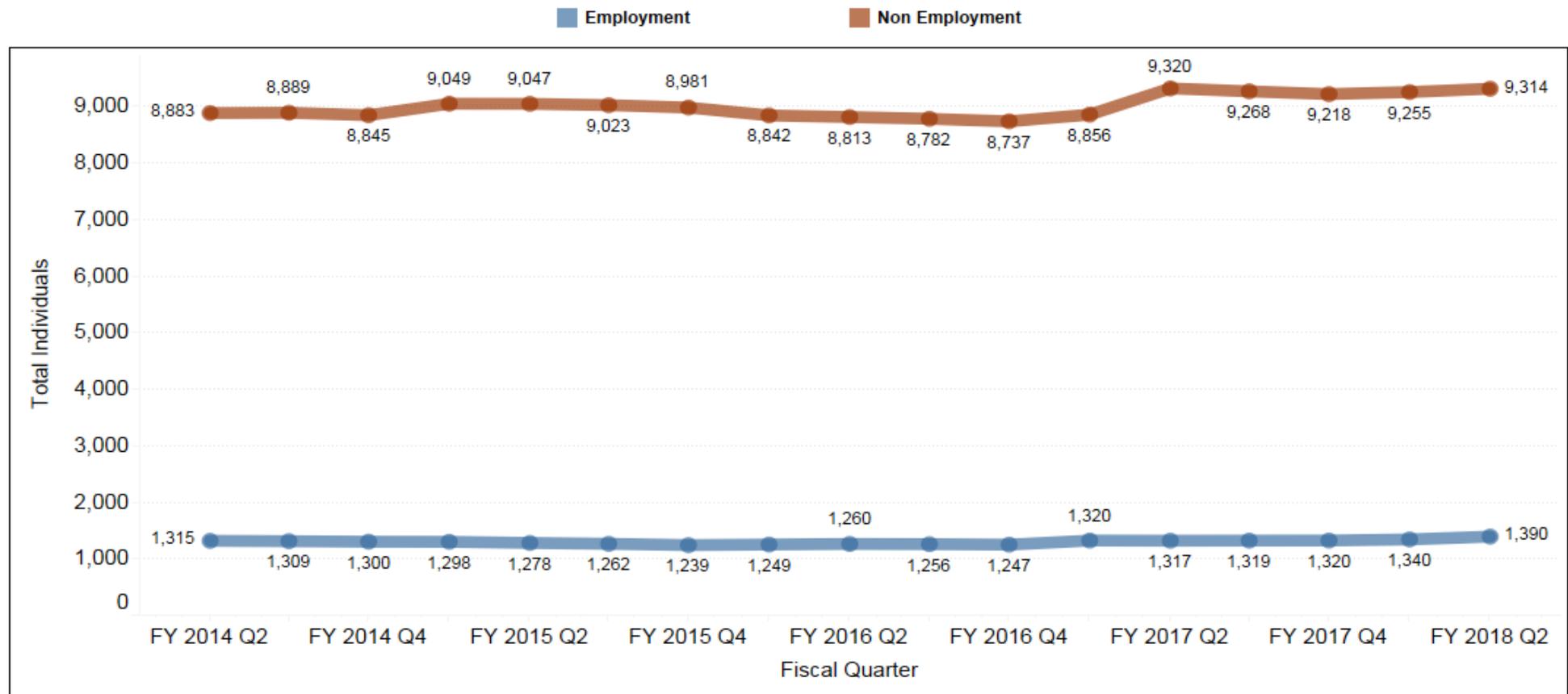
How They Receive Support

| Type Of Support | | DDS | Private Provider | No Annual Funds | Grand Total | % Total |
|-----------------------------|----------------------|-----|------------------|-----------------|-------------|---------|
| Employment and Day Supports | Group Supported Emp. | 42 | 9 | | 51 | 24.06% |
| | Pre-Vocational | 17 | | | 17 | 8.02% |
| | Day Support Option | 71 | 33 | | 104 | 49.06% |
| | Senior Supports | 34 | | | 34 | 16.04% |
| | Sub-Total | 164 | 42 | | 206 | 97.17% |
| Other | No Day Program | | | 6 | 6 | 2.83% |
| | Sub-Total | | | 6 | 6 | 2.83% |
| Grand Total | | 164 | 42 | 6 | 212 | 100.00% |

SECTION I: Services and Supports

C. Day Programs – Comparison of Employment vs. Non Employment Programs

**Employment Compared to Non Employment Programs
By Fiscal Year and Quarter**



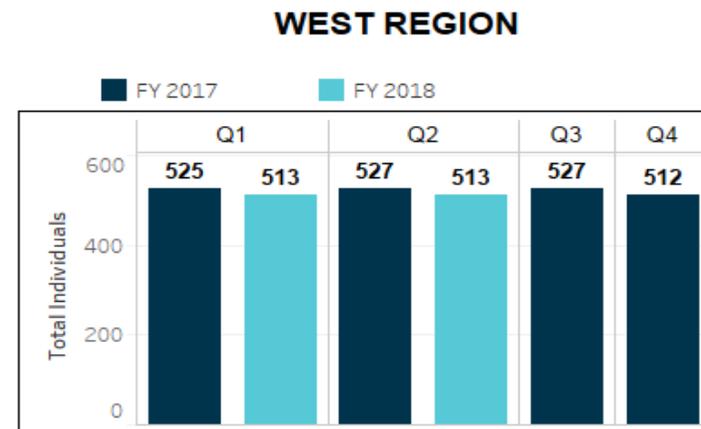
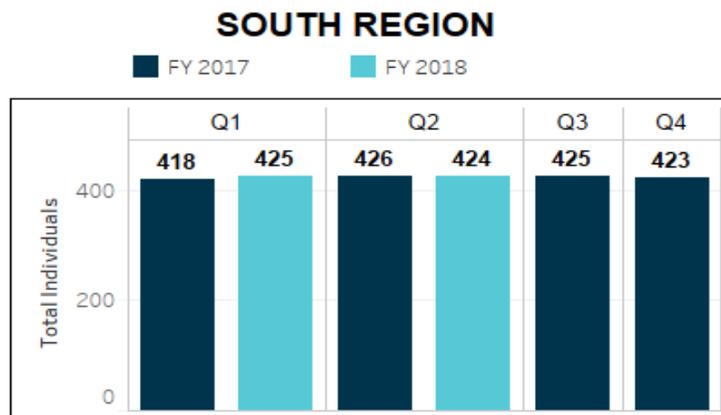
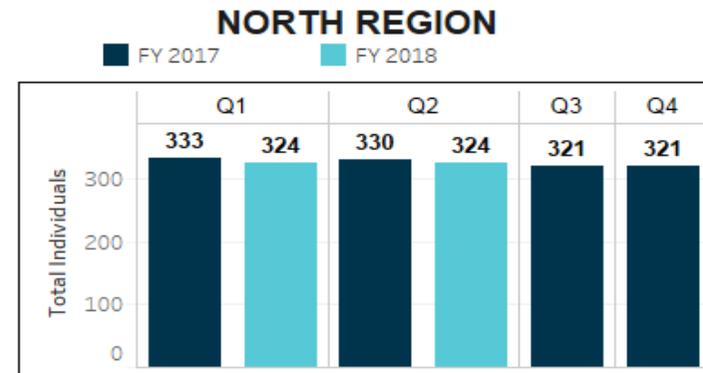
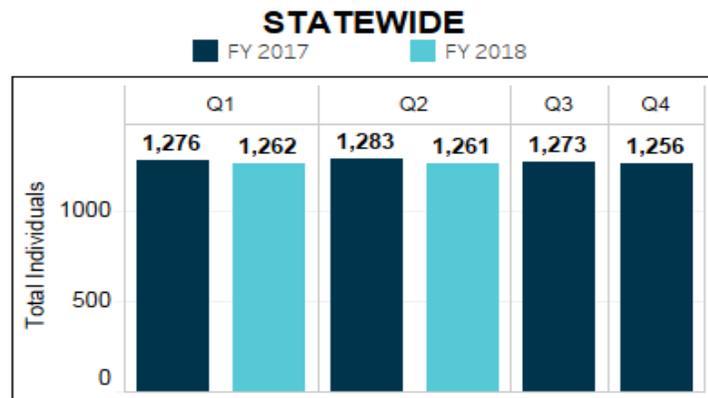
NOTE: Employment Programs consist of the following program types: Individual Supported Employment, Competitive Employment, Individualized Day Vocational.

Non-Employment Programs consist of the following program types: Group Supported Employment, Pre-Vocational, Day Service Options, Individualized Day Retired or Non Vocational, Small Enterprise, Senior Supports and Transitional Services.

SECTION I: Services and Supports

December 2017

D. Number of Individuals Who Self-Direct Residential Supports



E. Active Individuals by CaseLoad Type

| | No CM | Waiver | TCM | ICF/IID | LTC | No TXIX No Waiver |
|----|-------|--------|-------|---------|-----|-------------------|
| Q1 | 4,405 | 10,072 | 1,731 | 388 | 303 | 34 |
| Q2 | 4,464 | 10,038 | 1,726 | 384 | 298 | 32 |
| Q3 | | | | | | |
| Q4 | | | | | | |

SECTION I: Services and Supports

F. Family Support – Direct Support Services

December 2017

| IFS Family Support - Statewide | | | | | |
|---------------------------------------|--------------------|--------------------|--------------------|--------------------|-------------|
| | Q1 Total Served | Q2 Total Served | Q3 Total Served | Q4 Total Served | YTD |
| Waiver Status | | | | | |
| Enrolled- Child | 2 | 0 | | | 2 |
| Enrolled-Adult | 439 | 372 | | | 810 |
| Not Enrolled - Adult | 244 | 31 | | | 275 |
| Not Enrolled- Child | 42 | 179 | | | 220 |
| Grand Total | 727 | 582 | | | 1307 |

| IFS Family Support - NR | | | | | |
|--------------------------------|--------------------|--------------------|--------------------|--------------------|------------|
| | Q1 Total Served | Q2 Total Served | Q3 Total Served | Q4 Total Served | YTD |
| Waiver Status | | | | | |
| Enrolled-Adult | 69 | 72 | | | 141 |
| Not Enrolled - Adult | 61 | 58 | | | 119 |
| Not Enrolled- Child | 2 | 5 | | | 7 |
| Grand Total | 132 | 135 | | | 267 |

SECTION I: Services and Supports

F. Family Support – Direct Support Services

December 2017

| IFS Family Support - SR | | | | | |
|--------------------------------|--------------------|--------------------|--------------------|--------------------|------------|
| | Q1 Total Served | Q2 Total Served | Q3 Total Served | Q4 Total Served | YTD |
| Waiver Status | | | | | |
| Enrolled- Child | 2 | 0 | | | 2 |
| Enrolled-Adult | 149 | 143 | | | 292 |
| Not Enrolled - Adult | 79 | 61 | | | 140 |
| Not Enrolled- Child | 18 | 16 | | | 34 |
| Grand Total | 248 | 220 | | | 468 |

| IFS Family Support - WR | | | | | |
|--------------------------------|--------------------|--------------------|--------------------|--------------------|------------|
| | Q1 Total Served | Q2 Total Served | Q3 Total Served | Q4 Total Served | YTD |
| Waiver Status | | | | | |
| Enrolled-Adult | 221 | 157 | | | 378 |
| Not Enrolled - Adult | 104 | 60 | | | 164 |
| Not Enrolled- Child | 22 | 10 | | | 32 |
| Grand Total | 347 | 227 | | | 574 |

SECTION I: Services and Supports

G. Respite Utilization

December 2017

| STATEWIDE | | | | | | | | |
|---|-----------------|------------|-------------------|----------------------------|----------------------------|----------------------------|----------------------------|--|
| Respite Center Utilization Data - FY 2018 | | | | | | | | |
| Baseline Data - FY 2017 | | | By Quarter | Q1 Total Served | Q2 Total Served | Q3 Total Served | Q4 Total Served | YTD Unduplicated Total Served |
| Unduplicated Number Of People Using Respite Beds | Under 18 | 127 | Under 18 | 79 | 57 | | | 101 |
| | Over 18 | 842 | Over 18 | 506 | 475 | | | 705 |
| NORTH REGION | | | | | | | | |
| Respite Center Utilization Data - FY 2018 | | | | | | | | |
| Baseline Data - FY 2017 | | | By Quarter | Q1 Total Served | Q2 Total Served | Q3 Total Served | Q4 Total Served | YTD Unduplicated Total Served |
| Unduplicated Number Of People Using Respite Beds | Under 18 | 46 | Under 18 | 32 | 23 | | | 40 |
| | Over 18 | 277 | Over 18 | 171 | 151 | | | 235 |
| SOUTH REGION | | | | | | | | |
| Respite Center Utilization Data - FY 2018 | | | | | | | | |
| Baseline Data - FY 2017 | | | By Quarter | Q1 Total Served | Q2 Total Served | Q3 Total Served | Q4 Total Served | YTD Unduplicated Total Served |
| Unduplicated Number Of People Using Respite Beds | Under 18 | 28 | Under 18 | 16 | 11 | | | 20 |
| | Over 18 | 239 | Over 18 | 167 | 152 | | | 215 |
| WEST REGION | | | | | | | | |
| Respite Center Utilization Data - FY 2018 | | | | | | | | |
| Baseline Data - FY 2017 | | | By Quarter | Q1 Total Served | Q2 Total Served | Q3 Total Served | Q4 Total Served | YTD Unduplicated Total Served |
| Unduplicated Number Of People Using Respite Beds | Under 18 | 53 | Under 18 | 31 | 23 | | | 41 |
| | Over 18 | 326 | Over 18 | 168 | 172 | | | 255 |

SECTION I: Services and Supports

G. Family Grant Utilization

December 2017

Data under this section is being reviewed and both quarters will be reported in the next MIR.

| STATEWIDE Family Grant Summary - FY 2017 | | |
|--|----------|--|
| Unduplicated Number Of People Using Grant Funds | Under 18 | |
| | Over 18 | |
| Total Grant Funds | | |

| STATEWIDE Family Grant Utilization Data - FY 2018 | | | | | |
|--|--------------------|--------------------|--------------------|--------------------|----------------------------------|
| By Quarter | Q1 Total Served | Q2 Total Served | Q3 Total Served | Q4 Total Served | YTD Unduplicated Total Served |
| Under 18 | | | | | |
| Over 18 | | | | | |
| | | | | | |

| NORTH REGION Family Grant Summary - FY 2017 | | |
|--|----------|--|
| Unduplicated Number Of People Using Grant Funds | Under 18 | |
| | Over 18 | |
| Total Grant Funds | | |

| NORTH REGION Family Grant Utilization Data - FY 2018 | | | | | |
|---|--------------------|--------------------|--------------------|--------------------|----------------------------------|
| By Quarter | Q1 Total Served | Q2 Total Served | Q3 Total Served | Q4 Total Served | YTD Unduplicated Total Served |
| Under 18 | | | | | |
| Over 18 | | | | | |
| | | | | | |

SECTION I: Services and Supports

G. Family Grant Utilization

December 2017

Data under this section is being reviewed and both quarters will be reported in the next MIR.

| SOUTH REGION Family Grant Summary - FY 2017 | | |
|--|----------|--|
| Unduplicated Number Of People Using Grant Funds | Under 18 | |
| | Over 18 | |
| Total Grant Funds | | |

| SOUTH REGION Family Grant Utilization Data - FY 2018 | | | | | |
|---|--------------------|--------------------|--------------------|--------------------|----------------------------------|
| By Quarter | Q1 Total Served | Q2 Total Served | Q3 Total Served | Q4 Total Served | YTD Unduplicated Total Served |
| Under 18 | | | | | |
| Over 18 | | | | | |
| | | | | | |

| WEST REGION Family Grant Summary - FY 2017 | | |
|---|----------|--|
| Unduplicated Number Of People Using Grant Funds | Under 18 | |
| | Over 18 | |
| Total Grant Funds | | |

| WEST REGION Family Grant Utilization Data - FY 2018 | | | | | |
|--|--------------------|--------------------|--------------------|--------------------|----------------------------------|
| By Quarter | Q1 Total Served | Q2 Total Served | Q3 Total Served | Q4 Total Served | YTD Unduplicated Total Served |
| Under 18 | | | | | |
| Over 18 | | | | | |
| | | | | | |

Section II: Service Needs

September 2017

A. Residential Needs – Explanation of Categories/Definitions

Beginning in March 2017, Case Managers began working with individuals and families through the annual Individual Planning (IP) process to collect information through a Support Survey and Residential Request Assessment. The Support Survey identifies whether or not an individual has unmet residential or day service needs. If the individual is determined to have unmet residential needs, the Residential Request Assessment identifies what category of need the individual has (Emergency, Urgent or Future Needs). This information will be collected over the course of a year, during which time data will be updated in order to be presented in a new format that should be clearer and easier to understand for future MIRs.

Starting with the Sept 30, 2017 MIR, Residential Need data is presented using the new categories. However, if an individual has not yet had a category assigned, they will maintain their existing priority status. Priorities will be reflected in the following category counts:

| Old Priority | New Category | Description |
|----------------|--------------|--|
| Emergency | Emergency | The individual has an immediate need for residential placement, support or services. |
| Priority One | Urgent | The individual is requesting placement within 1 year and has been determined to have the most pressing need for services |
| Priority Two | Future Needs | The individual wants or will need services in two or more years. |
| Priority Three | Future Needs | The individual wants or will need services in two or more years. |

In addition, individuals belong to one of this target groups:

| | |
|-------------|--|
| No Services | No Services/Resources - Individual who is living with their family or independently and does not receive any annualized individual supports. |
| Underserved | Individual who currently receives residential services or supports but needs increased resources in their current placement and does not want or need to move. |

This data does not include other individuals with residential needs such as those aging out of residential settings not currently DDS funded, those desiring to move from one residential support (non-institutional) to another.

Section II: Service Needs

December 2017

A. Residential Needs – Emergency and Urgent Categories

The tables within this section represent people with active residential needs in the following categories:

1. Waiting List/No Services

This is a group of individuals living either in their own or family home who have No Services (\$0 annualized in supports). These individuals have an active need and are designated one of two categories: Emergency or Urgent.

| STATEWIDE | | | |
|-----------------------|--------------------------|--------|-------------|
| | Waiting List/No Services | | |
| | Emergency | Urgent | Grand Total |
| Home/IL (No Supports) | 22 | 526 | 548 |
| Change Year To Date | 1 | 30 | 31 |

| NORTH REGION | | | |
|-----------------------|--------------------------|--------|-------------|
| | Waiting List/No Services | | |
| | Emergency | Urgent | Grand Total |
| Home/IL (No Supports) | 5 | 174 | 179 |
| Change Year To Date | -3 | 7 | 4 |

| SOUTH REGION | | | |
|-----------------------|--------------------------|--------|-------------|
| | Waiting List/No Services | | |
| | Emergency | Urgent | Grand Total |
| Home/IL (No Supports) | 10 | 194 | 204 |
| Change Year To Date | 0 | 20 | 20 |

| WEST REGION | | | |
|-----------------------|--------------------------|--------|-------------|
| | Waiting List/No Services | | |
| | Emergency | Urgent | Grand Total |
| Home/IL (No Supports) | 7 | 158 | 165 |
| Change Year To Date | 4 | 3 | 7 |

Section II: Service Needs

December 2017

A. Residential Needs – Emergency and Urgent Categories

2. Other Residential Needs/Underserved

This group of individuals receives DDS funded residential supports and services, but needs additional supports. These individuals are designated one of two categories: Emergency or Urgent.

| STATEWIDE | | | |
|----------------------------------|---------------------|-----------------------------|------------|
| | | Other Res Needs/Underserved | |
| | | Emergency | Urgent |
| DDS Operated/ Funded | CCH(Companion Home) | 1 | 6 |
| | CLA(Group Home) | 1 | 31 |
| | CRS(24 hr supports) | 1 | 4 |
| | Indiv. Home Supp. | 18 | 347 |
| | Sub-Total | 21 | 388 |
| Change Year To Date | | -4 | -62 |
| | | Emergency | Urgent |
| Other | Long Term Care | 2 | 9 |
| | Other | 2 | 6 |
| | Sub-Total | 4 | 15 |
| Total w/Sup & Service | | 25 | 403 |
| Change Year To Date | | -2 | -60 |

| NORTH REGION | | | |
|----------------------------------|---------------------|-----------------------------|------------|
| | | Other Res Needs/Underserved | |
| | | Emergency | Urgent |
| DDS Operated/ Funded | CCH(Companion Home) | 0 | 1 |
| | CLA(Group Home) | 0 | 10 |
| | CRS(24 hr supports) | 0 | 0 |
| | Indiv. Home Supp. | 9 | 84 |
| | Sub-Total | 9 | 95 |
| Change Year To Date | | -1 | -21 |
| | | Urgent | Emergency |
| Other | Long Term Care | 4 | 2 |
| | Other | 3 | |
| | Sub-Total | 7 | 2 |
| Total w/Sup & Service | | 11 | 102 |
| Change Year To Date | | 0 | -26 |

Section II: Service Needs

December 2017

A. Residential Needs – Emergency and Urgent Categories

2. Other Residential Needs/Underserved

This group of individuals receives DDS funded residential supports and services, but needs additional supports. These individuals are designated one of two categories: Emergency or Urgent.

| SOUTH REGION | | | |
|----------------------------------|---------------------|-----------------------------|------------|
| | | Other Res Needs/Underserved | |
| | | Emergency | Urgent |
| DDS Operated/ Funded | CCH(Companion Home) | 1 | 0 |
| | CLA(Group Home) | 0 | 11 |
| | CRS(24 hr supports) | 0 | 2 |
| | Indiv. Home Supp. | 6 | 125 |
| | Sub-Total | 7 | 138 |
| Change Year To Date | | 3 | -8 |
| | | Emergency | Urgent |
| Other | Long Term Care | 0 | 2 |
| | Other | 0 | 0 |
| | Sub-Total | 0 | 2 |
| Total w/Sup & Service | | 7 | 140 |
| Change Year To Date | | 0 | -11 |

| WEST REGION | | | |
|----------------------------------|---------------------|-----------------------------|------------|
| | | Other Res Needs/Underserved | |
| | | Emergency | Urgent |
| DDS Operated/ Funded | CCH(Companion Home) | | 5 |
| | CLA(Group Home) | 1 | 10 |
| | CRS(24 hr supports) | 1 | 2 |
| | Indiv. Home Supp. | 3 | 138 |
| | Sub-Total | 5 | 155 |
| Change Year To Date | | -7 | -34 |
| | | Emergency | Urgent |
| Other | Long Term Care | | 3 |
| | Other | 2 | 3 |
| | Sub-Total | 2 | 6 |
| Total w/Sup & Service | | 7 | 161 |
| Change Year To Date | | -2 | -23 |

Section II: Service Needs

December 2017

B. Residential Needs-Future Needs Category

1. Planning List/Future Needs

Individuals on the Planning List have future residential needs and have been assigned to the Future Needs category. Those with Future Needs want or will need services in two or more years. Their need is not considered Emergency or Urgent.

| STATEWIDE | | Planning List/Future Needs |
|----------------------------|-----------------------|----------------------------|
| | | Future Needs |
| | Home/IL (No Supports) | 913 |
| | Sub-Total | 913 |
| DDS Operated/ Funded | Indiv. Home Supp. | 180 |
| | Sub-Total | 180 |
| Other | Long Term Care | 5 |
| | Other | 1 |
| | Sub-Total | 6 |
| Grand Total | | 1,099 |
| Change Year To Date | | 17 |

| NORTH REGION | | Planning List/Future Needs |
|----------------------------|-----------------------|----------------------------|
| | | Future Needs |
| | Home/IL (No Supports) | 339 |
| | Sub-Total | 339 |
| DDS Operated/ Funded | Indiv. Home Supp. | 49 |
| | Sub-Total | 49 |
| Other | Long Term Care | 2 |
| | Other | 1 |
| | Sub-Total | 3 |
| Grand Total | | 391 |
| Change Year To Date | | -44 |

| SOUTH REGION | | Planning List/Future Needs |
|----------------------------|-----------------------|----------------------------|
| | | Future Needs |
| | Home/IL (No Supports) | 365 |
| | Sub-Total | 365 |
| DDS Operated/ Funded | Indiv. Home Supp. | 83 |
| | Sub-Total | 83 |
| Other | Long Term Care | 3 |
| | Other | 0 |
| | Sub-Total | 3 |
| Grand Total | | 451 |
| Change Year To Date | | -13 |

| WEST REGION | | Planning List/Future Needs |
|----------------------------|-----------------------|----------------------------|
| | | Future Needs |
| | Home/IL (No Supports) | 209 |
| | Sub-Total | 209 |
| DDS Operated/ Funded | Indiv. Home Supp. | 48 |
| | Sub-Total | 48 |
| Other | Long Term Care | 0 |
| | Other | 0 |
| | Sub-Total | 0 |
| Grand Total | | 257 |
| Change Year To Date | | -26 |

Section II: Service Needs

December 2017

C. Day Waiting List

Individuals with no day supports who have requested employment opportunities and day services, but are waiting for available funding.

| Day Waiting List | | | | |
|-------------------------|-----------|--------------|--------------|-------------|
| No Day Supports | STATEWIDE | NORTH REGION | SOUTH REGION | WEST REGION |
| Family Or Own Home | 112 | 63 | 14 | 35 |
| Out of Home | 24 | 18 | 4 | 2 |
| Grand Total | 136 | 81 | 18 | 37 |

Section II: Service Needs

December 2017

D. BSP Waiting List

Individuals who have been found eligible for the DDS Behavioral Services Program (BSP), but who are waiting for annualized BSP funding that is currently unavailable.

| Statewide | |
|------------------|----------------------|
| Residence Type | Count Of Individuals |
| Family Home | 28 |

| NORTH REGION | |
|---------------------|----------------------|
| Residence Type | Count Of Individuals |
| Family Home | 5 |

| SOUTH REGION | |
|---------------------|----------------------|
| Residence Type | Count Of Individuals |
| Family Home | 8 |

| WEST REGION | |
|--------------------|----------------------|
| Residence Type | Count Of Individuals |
| Family Home | 15 |

Section II: Service Needs

December 2017

E. Future Planning - Projected Ageouts and High School Graduates

1. Projected Residential Ageouts

| STATEWIDE | |
|-------------|----|
| Fiscal Year | |
| FY 2019 | 89 |
| FY 2020 | 76 |
| FY 2021 | 70 |

| NORTH REGION | |
|--------------|----|
| Fiscal Year | |
| FY 2019 | 30 |
| FY 2020 | 19 |
| FY 2021 | 23 |

| SOUTH REGION | |
|--------------|----|
| Fiscal Year | |
| FY 2019 | 25 |
| FY 2020 | 25 |
| FY 2021 | 31 |

| WEST REGION | |
|-------------|----|
| Fiscal Year | |
| FY 2019 | 34 |
| FY 2020 | 32 |
| FY 2021 | 16 |

Note: Residential Age Out numbers represent individuals identified within the Planning and Resource Allocation Team (PRAT) database as either Aging Out of a Department of Children and Families (DCF), Local Education Agency (LEA), or DDS Behavioral Services Program (BSP) funded residential setting.

Section II: Service Needs

December 2017

E. Future Planning - Projected Ageouts and High School Graduates

2. Projected High School Graduates and Day Ageouts

Note: Regions will continue to identify High School Graduates and Day Ageouts throughout the year. These numbers will change over time, especially those in the third year out.

| Statewide | | |
|-------------|------------------|-------------|
| Fiscal Year | School Graduates | Day Ageouts |
| 2019 | 366 | 101 |
| 2020 | 315 | 102 |
| 2021 | 357 | 78 |

| NORTH REGION | | |
|--------------|------------------|-------------|
| Fiscal Year | School Graduates | Day Ageouts |
| 2019 | 126 | 32 |
| 2020 | 124 | 37 |
| 2021 | 132 | 20 |

| SOUTH REGION | | |
|--------------|------------------|-------------|
| Fiscal Year | School Graduates | Day Ageouts |
| 2019 | 137 | 38 |
| 2020 | 63 | 33 |
| 2021 | 112 | 28 |

| WEST REGION | | |
|-------------|------------------|-------------|
| Fiscal Year | School Graduates | Day Ageouts |
| 2019 | 103 | 31 |
| 2020 | 128 | 32 |
| 2021 | 113 | 30 |

A. Residential Ageouts

| Residential Ageouts | | | |
|-------------------------------------|-------------|-------------------|-------------------|
| Statewide | Goal | Actual YTD | Difference |
| Supported with FY18 AO Funds | 91 | 45 | -46 |

B. Day Ageouts and High School Graduates

| Day Ageouts High School Graduates | | | |
|--|-------------|-------------------|-------------------|
| Statewide | Goal | Actual YTD | Difference |
| June 2017 Day Ageouts Supported w/FY18 Grad Funds | 94 | 78 | -16 |
| June 2017 Grads Supported w/FY18 Grad Funds | 342 | 98 | -244 |

Section IV: Case Load by Age

A. Residential

December 2017

DDS INDIVIDUALS BY RESIDENTIAL PROGRAM AND AGE

| Age Group | Campus | DDS CLA | Priv. CLA | Priv. CLA ICF | CRS | CCH DDS Lic. | CCH-NOT DDS Lic. | Ind. Liv. | DDS Home IHS | PVT Home IHS | SLF DIR Home IHS | Family Home | Family Home IS/Pvt | Family Home IS/SD | Long Term Care | Res Care Home | Priv. Res. Sch. | MH Fac. | Hos. | Other | No Data | Grand Total | % Total |
|--------------------|------------|------------|--------------|---------------|------------|--------------|------------------|------------|--------------|--------------|------------------|--------------|--------------------|-------------------|----------------|---------------|-----------------|----------|-----------|-----------|-----------|---------------|----------------|
| 0-2 | | | | | | | | | | | | 13 | | | | | | | | | 1 | 14 | 0.08% |
| 3-5 | | | | | | | | | | | | 127 | | | | | | | 3 | | | 130 | 0.77% |
| 6-13 | | | | | 1 | | 8 | | | | | 1,096 | 6 | 36 | | | 1 | | 6 | | 1 | 1,155 | 6.82% |
| 14-17 | | | 12 | | 5 | 2 | 15 | | | | | 1,000 | 58 | 93 | | | 17 | | 4 | 5 | 2 | 1,213 | 7.16% |
| 18-21 | | 1 | 63 | 1 | 23 | 4 | 25 | 7 | | 2 | 2 | 1,329 | 62 | 143 | | | 33 | | 3 | 6 | 3 | 1,707 | 10.08% |
| 22-34 | 7 | 12 | 568 | 42 | 309 | 64 | 6 | 125 | 2 | 167 | 69 | 2,801 | 155 | 328 | 8 | 1 | 24 | 1 | 12 | 6 | 6 | 4,713 | 27.82% |
| 35-44 | 22 | 27 | 435 | 48 | 123 | 64 | 2 | 87 | 13 | 167 | 89 | 771 | 69 | 203 | 9 | 4 | 7 | | 4 | 2 | 6 | 2,152 | 12.70% |
| 45-54 | 81 | 78 | 682 | 97 | 120 | 90 | | 59 | 26 | 200 | 58 | 516 | 55 | 80 | 44 | 7 | 2 | | 7 | 1 | 1 | 2,204 | 13.01% |
| 55-64 | 102 | 107 | 757 | 80 | 101 | 87 | | 63 | 51 | 220 | 51 | 293 | 45 | 57 | 85 | 7 | 5 | | 3 | 1 | | 2,115 | 12.48% |
| 65-74 | 100 | 38 | 446 | 71 | 39 | 54 | | 41 | 28 | 68 | 25 | 81 | 10 | 19 | 77 | 9 | 2 | | 1 | 1 | 2 | 1,112 | 6.56% |
| 75+ | 53 | 10 | 145 | 15 | 8 | 17 | | 12 | 12 | 26 | 4 | 19 | 3 | 4 | 88 | 9 | | | | 1 | 1 | 427 | 2.52% |
| Grand Total | 365 | 273 | 3,108 | 354 | 729 | 382 | 56 | 394 | 132 | 850 | 298 | 8,046 | 463 | 963 | 311 | 37 | 91 | 1 | 43 | 23 | 23 | 16,942 | 100.00% |
| % Total | 2.2% | 1.6% | 18.3% | 2.1% | 4.3% | 2.3% | 0.3% | 2.3% | 0.8% | 5.0% | 1.8% | 47.5% | 2.7% | 5.7% | 1.8% | 0.2% | 0.5% | 0.0% | 0.3% | 0.1% | 0.1% | 100.0% | |

Note: Includes Active Only Individuals. "0%" = less than one percent.

Campus = Regional or STS Campus Unit

DDS CLA = DDS Community Living Arrangement (CLA)

Priv. CLA = Private Provider CLA

Priv. CLA ICF = Private Provider Intermediate Care Facility (ICF/ID) CLA

CRS = Continuous Residential Supports (i.e. 24 hour Supported Living)

CCH DDS Lic. = DDS licensed Community Companion Home (CCH)

CCH - Not DDS Lic. = Non-DDS licensed CCH (DCF foster home)

Family Home IS/Pvt = Private IHS in Family Home

Family Home IS/Pvt = Self-Directed IHS in Family Home

SNF = Skilled Nursing Facility/Long Term Care licensed by DPH

Res. Care Home = Residential. Care Home (i.e. Home for the Aged)

Priv. Res. Sch. = Private Residential School

MH Fac. = Mental Health Facility

Hos. = Hospital Facility

Other = Other Residential Program (i.e. Correctional Facility, Other)

No Data = No valid Residential Program in eCAMRIS for Individual

**Section IV: Case Load by Age
A. Residential**

December 2017

Sub-Totals by Age Groups

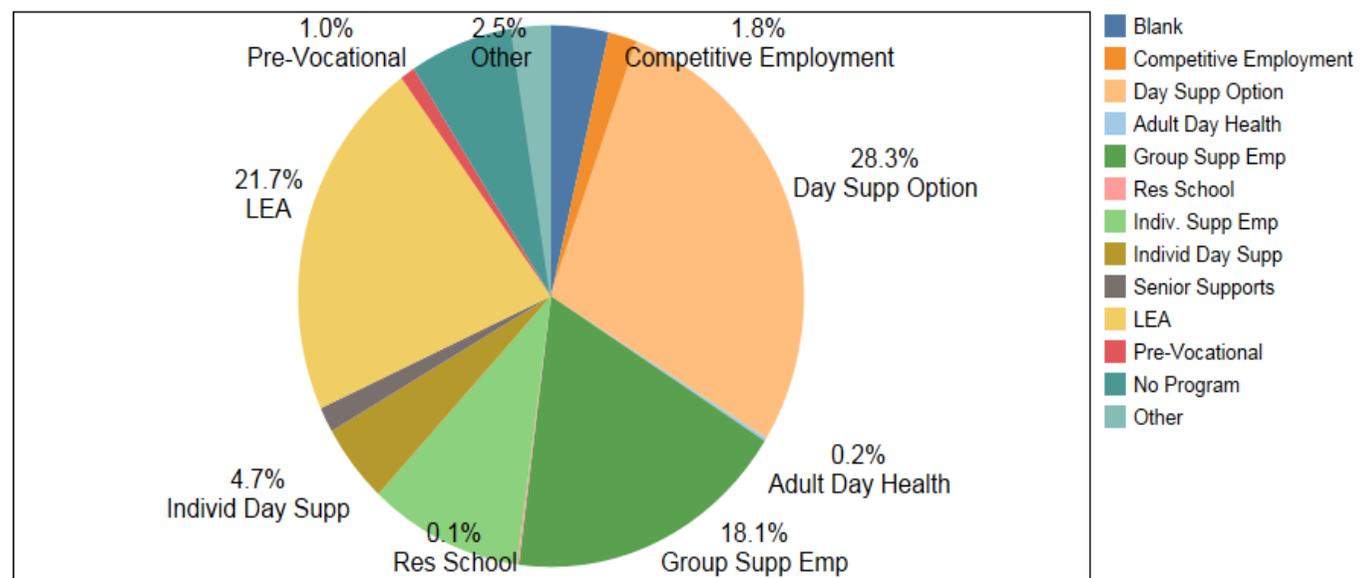
| AgeGroup | Campus | DDS CLA | Priv. CLA | Priv. CLA ICF | CRS | CCH DDS Lic. | CCH- NOT DDS Lic. | Ind. Liv. | DDS Home IHS | PVT Home IHS | SLF DIR Home IHS | Family Home | Family Home IS/Pvt | Family Home IS/SD | Long Term Care | Res Care Home | Priv. Res. Sch. | MH Fac. | Hos. | Other | No Data | Grand Total | % Total |
|-----------------------------------|--------|------------|--------------|------------------|------|-----------------|-------------------------|-----------|--------------------|--------------------|------------------------|----------------|--------------------------|-------------------------|----------------------|---------------------|-----------------------|---------|------|-------|---------|----------------|------------|
| Children (Age 0-17) | | | 12 | | 6 | 2 | 23 | | | | | 2,236 | 64 | 129 | | | 18 | | 13 | 5 | 4 | 2,512 | 15% |
| Young Adults (Age 18-21) | | 1 | 63 | 1 | 23 | 4 | 25 | 7 | | 2 | 2 | 1,329 | 62 | 143 | | | 33 | | 3 | 6 | 3 | 1,707 | 10% |
| Adults (Age 22 and Over) | 365 | 272 | 3,033 | 353 | 700 | 376 | 8 | 387 | 132 | 848 | 296 | 4,481 | 337 | 691 | 311 | 37 | 40 | 1 | 27 | 12 | 16 | 12,723 | 75% |
| Grand Total | 365 | 273 | 3,108 | 354 | 729 | 382 | 56 | 394 | 132 | 850 | 298 | 8,046 | 463 | 963 | 311 | 37 | 91 | 1 | 43 | 23 | 23 | 16,942 | 100% |
| % Total | 2.2% | 1.6% | 18.3% | 2.1% | 4.3% | 2.3% | 0.3% | 2.3% | 0.8% | 5.0% | 1.8% | 47.5% | 2.7% | 5.7% | 1.8% | 0.2% | 0.5% | 0.0% | 0.3% | 0.1% | 0.1% | 100.0% | |

Section IV: Case Load by Age B. Day/Work Services

December 2017

| Day Type | AgeGroup | | | | | | | | | | | Grand Total |
|------------------------|----------|-----|-------|-------|-------|-------|-------|-------|-------|-------|-----|-------------|
| | 0-2 | 3-5 | 6-13 | 14-17 | 18-21 | 22-34 | 35-44 | 45-54 | 55-64 | 65-74 | 75+ | |
| Competitive Employment | 0 | 0 | 0 | 0 | 0 | 40 | 81 | 91 | 83 | 40 | 5 | 340 |
| Indiv. Supp Emp | 0 | 0 | 0 | 1 | 12 | 792 | 283 | 261 | 251 | 142 | 66 | 1,808 |
| Individ Day Supp | 0 | 0 | 0 | 0 | 4 | 392 | 213 | 144 | 109 | 12 | 0 | 874 |
| Group Supp Emp | 0 | 0 | 0 | 0 | 20 | 1,390 | 670 | 623 | 475 | 121 | 26 | 3,325 |
| Day Supp Option | 0 | 0 | 0 | 1 | 50 | 1,759 | 743 | 915 | 967 | 585 | 188 | 5,208 |
| Pre-Vocational | 0 | 0 | 0 | 0 | 0 | 31 | 31 | 43 | 46 | 18 | 8 | 177 |
| Adult Day Health | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 3 | 10 | 10 | 11 | 35 |
| LEA | 0 | 110 | 1,092 | 1,192 | 1,601 | 0 | 0 | 0 | 0 | 0 | 0 | 3,995 |
| Res School | 0 | 0 | 1 | 6 | 15 | 0 | 0 | 0 | 0 | 0 | 0 | 22 |
| Senior Supports | 0 | 0 | 0 | 0 | 0 | 26 | 28 | 30 | 71 | 78 | 44 | 277 |
| Other | 14 | 16 | 56 | 15 | 28 | 294 | 13 | 12 | 10 | 1 | 0 | 459 |
| No Program | 0 | 0 | 0 | 3 | 7 | 383 | 240 | 195 | 184 | 129 | 80 | 1,221 |
| Blank | 1 | 5 | 25 | 32 | 36 | 321 | 81 | 51 | 60 | 38 | 24 | 674 |
| Grand Total | 15 | 131 | 1,174 | 1,250 | 1,773 | 5,429 | 2,383 | 2,368 | 2,266 | 1,174 | 452 | 18,415 |

| Day Type | Percentage |
|------------------------|------------|
| Competitive Employment | 1.8% |
| Indiv. Supp Emp | 9.8% |
| Individ Day Supp | 4.7% |
| Group Supp Emp | 18.1% |
| Day Supp Option | 28.3% |
| Pre-Vocational | 1.0% |
| Adult Day Health | 0.2% |
| LEA | 21.7% |
| Res School | 0.1% |
| Senior Supports | 1.5% |
| Other | 2.5% |
| No Program | 6.6% |
| Blank | 3.7% |
| Grand Total | 100.0% |



Note: The above numbers represent all of the day programs an individual attends (this is not an unduplicated count of individuals).

**Section V: Home and Community Based Waiver
A. Enrollment**

December 2017

Total HCBS Waiver Enrollees - Intellectual Disability

| Region | DDS CLA | Private CLA | CRS | CCH | Family Home | Family Home w/ Supports | Own Home | OwnHome W/ Supports | RCH | Other | Grand Total |
|------------------------|------------|--------------|------------|------------|--------------|-------------------------|------------|---------------------|-----------|-----------|---------------|
| North | 129 | 1,093 | 272 | 123 | 1,013 | 398 | 44 | 353 | 7 | 32 | 3,464 |
| South | 125 | 943 | 222 | 141 | 1,008 | 469 | 55 | 552 | 5 | 27 | 3,547 |
| West | 17 | 978 | 183 | 105 | 884 | 512 | 59 | 338 | 5 | 36 | 3,117 |
| Current Total | 271 | 3,014 | 677 | 369 | 2,905 | 1,379 | 158 | 1,243 | 17 | 95 | 10,128 |
| As of June 2017 | 271 | 3,040 | 699 | 367 | 2,854 | 1,405 | 159 | 1,251 | 15 | 84 | 10,145 |
| Change YTD | 0 | -26 | -22 | 2 | 51 | -26 | -1 | -8 | 2 | 11 | -17 |

Comprehensive Waiver Enrollees

| Region | DDS CLA | Private CLA | CRS | CCH | Family Home | Family Home w/ Supports | Own Home | OwnHome W/ Supports | RCH | Other | Grand Total |
|------------------------|------------|--------------|------------|------------|-------------|-------------------------|-----------|---------------------|----------|-----------|--------------|
| North | 129 | 1,091 | 266 | 78 | 22 | 103 | 5 | 182 | 2 | 24 | 1,902 |
| South | 125 | 939 | 208 | 110 | 13 | 134 | 3 | 250 | 3 | 16 | 1,801 |
| West | 17 | 977 | 181 | 75 | 17 | 100 | 10 | 138 | 3 | 25 | 1,543 |
| Current Total | 271 | 3,007 | 655 | 263 | 52 | 337 | 18 | 570 | 8 | 65 | 5,246 |
| As of June 2017 | 3,036 | 668 | 571 | 338 | 271 | 261 | 61 | 43 | 19 | 6 | 5,274 |
| Change YTD | 0 | -29 | -13 | 2 | 9 | -1 | -1 | -1 | 2 | 4 | -28 |

Individual and Family Support Waiver Enrollees

| Region | Private CLA | CRS | CCH | Family Home | Family Home w/ Supports | Own Home | OwnHome W/ Supports | RCH | Other | Grand Total |
|------------------------|-------------|-----------|------------|--------------|-------------------------|-----------|---------------------|----------|-----------|--------------|
| North | 1 | 6 | 45 | 599 | 294 | 26 | 171 | 3 | 7 | 1,152 |
| South | 4 | 14 | 31 | 625 | 323 | 16 | 302 | 1 | 9 | 1,325 |
| West | 1 | 2 | 30 | 552 | 404 | 29 | 198 | 2 | 9 | 1,227 |
| Current Total | 6 | 22 | 106 | 1,776 | 1,021 | 71 | 671 | 6 | 25 | 3,704 |
| As of June 2017 | 4 | 31 | 106 | 1,800 | 1,046 | 73 | 680 | 5 | 20 | 3,765 |
| Change YTD | 2 | -9 | 0 | -24 | -25 | -2 | -9 | 1 | 5 | -61 |

Employment and Day Support Waiver Enrollees

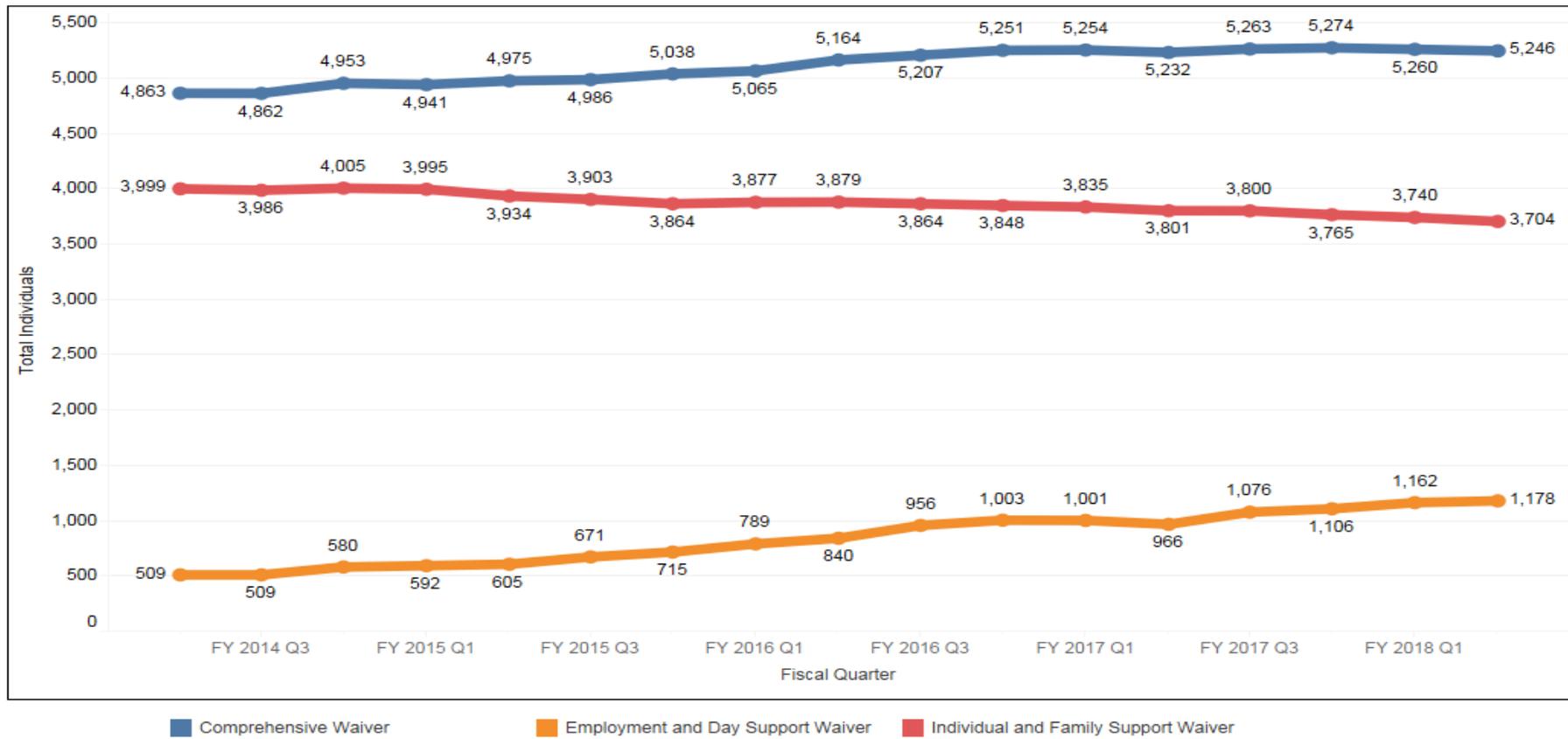
| Region | DDS CLA | Private CLA | CRS | Own Home W/ Supports | Private CLA | Family Home | Family Home w/ Supports | Own Home | RCH | Other | OwnHome W/ Supports | Grand Total |
|------------------------|----------|-------------|----------|----------------------|-------------|--------------|-------------------------|-----------|----------|----------|---------------------|--------------|
| North | 0 | 0 | 0 | 0 | 1 | 392 | 1 | 13 | 2 | 1 | | 410 |
| South | 0 | 0 | 0 | 0 | | 370 | 12 | 36 | 1 | 2 | | 421 |
| West | 0 | 0 | 0 | 0 | | 315 | 8 | 20 | | 2 | 2 | 347 |
| Current Total | 0 | 0 | 0 | 0 | 1 | 1,077 | 21 | 69 | 3 | 5 | 2 | 1,178 |
| As of June 2017 | 0 | 0 | 0 | 0 | 0 | 1011 | 21 | 67 | 4 | 3 | 0 | 1106 |
| Change YTD | 0 | 0 | 0 | 0 | 0 | 1 | 66 | 0 | 2 | 2 | 1 | 72 |

NOTE 1: IFS Waiver enrollees reported as living in CLAs and CRSs are pending transfer to the Comprehensive Waiver.

NOTE 2: EDS Waiver enrollees reported as living in CLAs, Family Home w/ Supports and Own Home w/ Supports are pending transfer to the Comprehensive Waiver or Individual and Family Support Waiver.

**Section V: Home and Community Based Waiver
A. Enrollment**

December 2017



Section V: Home and Community Based Waiver

B. Federal Revenue

December 2017

Actual v. Projected Revenue - FY 2018 Fourth Quarter (Millions of Dollars)

| | Actual Revenue | EOY Projected | YTD Actual Revenue | Difference Projected vs Actual | % of Revenue Received |
|--|----------------|---------------|--------------------|--------------------------------|-----------------------|
| | SFY 17 | SFY 18 | SFY 18 | SFY 18 | |
| Waiver | \$449.49 | \$415.38 | \$208.35 | \$207.03 | 50.16% |
| ICF/IID | \$97.27 | \$57.51 | \$31.16 | \$26.35 | 54.18% |
| TCM | \$15.77 | \$17.10 | \$8.17 | \$8.93 | 47.79% |
| TOTAL Billing | \$562.53 | \$489.99 | \$247.67 | \$242.31 | 50.55% |
| Actual v. Projected Revenue - FY 2018 Second Quarter | | | | | |

Actual v. Projected Revenue - FY 2018 Fourth Quarter (Millions of Dollars)

| | SFY 17 | SFY 18 | SFY 18 | SFY 18 | % of Revenue Received |
|-------------------------------------|----------------|---------------|--------------------|--------------------------------|-----------------------|
| | Actual Revenue | EOY Projected | YTD Actual Revenue | Difference Projected vs Actual | |
| Comprehensive Waiver | \$378.73 | \$352.27 | \$173.92 | \$178.34 | 49.37% |
| Individual - Family Supports Waiver | \$59.42 | \$53.00 | \$28.97 | \$24.03 | 54.65% |
| Education and Day Supports Waiver | \$8.91 | \$10.12 | \$5.46 | \$4.66 | 53.96% |
| Total Waiver | \$447.06 | \$415.38 | \$208.35 | \$207.03 | 50.16% |
| Public ICF/IID | \$97.27 | \$57.51 | \$31.16 | \$26.35 | 54.18% |
| Targeted Case Mgmt. | \$15.77 | \$17.10 | \$8.17 | \$8.93 | 47.79% |
| TOTAL Billing | \$560.10 | \$489.99 | \$247.67 | \$242.31 | 50.55% |

**Section VI: Human Resource
A. DDS funded Position Count**

December 2017

| | Authorized | Leave with Pay | with Pay | Leave & WC | Vacant |
|----------------|------------|----------------|----------|------------|--------|
| Region | | | | | |
| North | 689 | 482 | 7 | 21 | 0 |
| South | 626 | 429 | 3 | 29 | 0 |
| West | 549 | 381 | 3 | 16 | 0 |
| STS | 1053 | 502 | 14 | 31 | 0 |
| Central Office | 181 | 142 | 0 | 3 | 0 |
| Totals | 3098 | 1936 | 27 | 100 | 0 |

| Region | Authorized | Active | | Leave with Pay | | Leave w/o Pay & WC | | Durational | | Vacant Count |
|----------------|------------|--------|--------|----------------|------|--------------------|-------|------------|-----|--------------|
| | | Count | FTE | count | FTE | count | FTE | Count | FTE | |
| North | 369 | 230 | 126.71 | 3 | 1.5 | 13 | 7.15 | 0 | 0 | 123 |
| South | 292 | 174 | 101.7 | 2 | 1.2 | 12 | 7.5 | 0 | 0 | 104 |
| West | 208 | 127 | 81.47 | 3 | 2.1 | 12 | 7.64 | 1 | 0.5 | 66 |
| STS | 201 | 91 | 59.07 | 1 | 0.64 | 4 | 3.09 | 0 | 0 | 105 |
| Central Office | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 1070 | 622 | 368.95 | 9 | 5.44 | 41 | 25.38 | 1 | 0.5 | 398 |

| Other General Fund Positions - Filled | | | | | | |
|---------------------------------------|-------|-------|------|-----|----|-------|
| Type | North | South | West | STS | CO | Total |
| Temporary | 2 | 2 | 11 | 0 | 0 | 15 |
| General Workers | 25 | 11 | 5 | 59 | 0 | 100 |
| Substitutes | 0 | 0 | 0 | 0 | 0 | 0 |
| Retirees | 0 | 1 | 1 | 0 | 3 | 5 |
| Inst. Fire | 0 | 0 | 0 | 1 | 0 | 1 |
| Per Diems | 6 | 4 | 2 | 4 | 5 | 21 |

| Federal Funded Positions - Filled | | | | | | |
|-----------------------------------|-------|-------|------|-----|----|-------|
| | North | South | West | STS | CO | Total |
| Full Time | 0 | 0 | 0 | 0 | 2 | 2 |
| Part Time | 0 | 0 | 0 | 0 | 1 | 1 |
| Total | 0 | 0 | 0 | 0 | 3 | 3 |

Section VI: Human Resource

B. DDS Abuse and Neglect Registry

December 2017

DDS Abuse and Neglect Registry Quarterly Report

| Registry Notifications Received | | | | | | | |
|---------------------------------|-------|----------------|------|---------------|-----|-------------|-----|
| | | Private Sector | | Public Sector | | Self Direct | |
| | Total | Nbr | Pct | Nbr | Pct | Nbr | Pct |
| New Notifications This Quarter | 44 | 44 | 100% | 0 | 0% | 0 | 0% |
| Total Notifications to date | 1,281 | 1,098 | 87% | 161 | 13% | 0 | 0% |

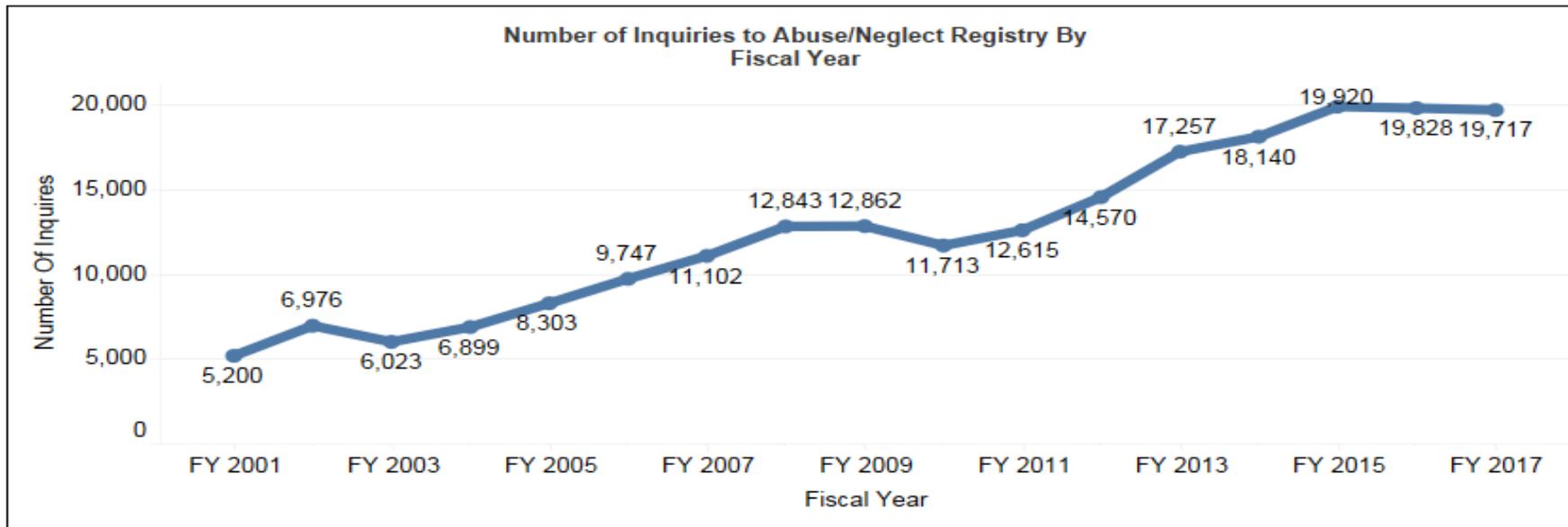
| Disposition of Registry Notifications | | | | | | | |
|--|-------|----------------|-----|---------------|-----|-------------|-----|
| | | Private Sector | | Public Sector | | Self Direct | |
| | Total | Nbr | Pct | Nbr | Pct | Nbr | Pct |
| New Names on Registry This Quarter | 55 | 51 | 93% | 3 | 7% | 1 | 0% |
| Names on Registry to date | 665 | 595 | 92% | 53 | 8% | 1 | 0% |
| Closed - Arbitration & Legal Proceedings | 107 | 37 | 35% | 69 | 65% | 0 | 0% |
| Closed - Do not meet Statutory Criteria | 483 | 430 | 89% | 49 | 11% | 0 | 0% |
| Total Completed Cases* | 1310 | 1113 | 85% | 174 | 15% | 1 | 0% |

*Represents cumulative data from the beginning of the Abuse and Neglect Registry

Section VI: Human Resource

B. DDS Abuse and Neglect Registry

December 2017



| Inquiries made in Current Fiscal Year | |
|---------------------------------------|--------------------------------|
| Number Inquiries in Current Quarter | Number of Inquiries FY to Date |
| 4,556 | 10,471 |