



**State of Connecticut
Department of Developmental Services**

**M.I.R
Management Information Report
June 2018**

Attachment

The Management Information Report (MIR) provides DDS stakeholders and staff with information about eligibility, services and supports for individuals, support needs and new development activities, case load by age, waiver enrollment and revenue, and personnel management.

Starting in September, 2017, production of the DDS MIR is now automated. The goals of automation include: 1) increased timeliness of data, 2) streamlining the report preparation process, and 3) improving the accuracy and reliability of the data. While there are some minor changes in the presentation of the data in the automated version, the same information is included.

DDS will no longer provide an Executive Summary within the body of the document; however, an attachment will accompany each quarterly MIR and will include an explanation of any relevant changes.

Notes for the June 30, 2018 MIR:

Section 1: Services and Supports, H. Family Grant Utilization:

DDS has published four quarters of data for Family Grant Utilization. Previous MIRs have reported on Respite Grant Utilization only. Recently DDS added additional award categories. In addition to Respite, DDS is now reporting on Support Services and Miscellaneous funds. By adding these categories DDS can provide a more complete view of the funds provided to families via the Family Grants account. Due to the timing of reporting there may be an upward adjustment of Family Support Grants for the 4th quarter as additional data is entered into the grants processing system. DDS will monitor the data, and if this occurs will issue a revision with updated amounts.

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June 2018



State of Connecticut
Department of Developmental Services

M.I.R.
Management
Information Report
June 2018

Issued
July 2018
by DDS Commissioner's
Office

DDS Management Information Report

June 2018

Table Of Contents

<u>Section</u>	
Section I: Services and Supports.....	3
A. Where People Live and How They Are Supported.....	3
B. Work and Day Services.....	7
C. Day Programs – Comparison of Employment vs. Non Employment Programs.....	12
D. Number of Individuals Who Self-Direct (Residential).....	13
E. Active Individuals By Caseload Type.....	13
F. Family Support – Direct Support Services.....	14
G. Respite Utilization.....	16
H. Family Grant Utilization.....	17
Section II: Service Needs.....	21
A. Residential Needs – Emergency and Urgent Categories.....	22
B. Residential Needs - Future Needs Category.....	24
C. Day Waiting List.....	26
D. BSP Waiting List.....	27
E. Future Planning - Projected Ageouts and High School Graduates.....	28
Section III: New Development - Year to Date Activity.....	29
A. Residential Ageouts.....	29
B. Day AgeOuts and High School Grads.....	30
Section IV: Case Load by Age.....	31
A. Residential.....	31
B. Day/Work Services.....	33
Section V: Home and Community Based Waiver.....	34
A. Enrollment.....	34
B. Federal Revenue.....	36
Section VI: Human Resource.....	37
A. DDS funded Position Count.....	37
B. DDS Abuse and Neglect Registry.....	38

Section I: Services and Supports

June 2018

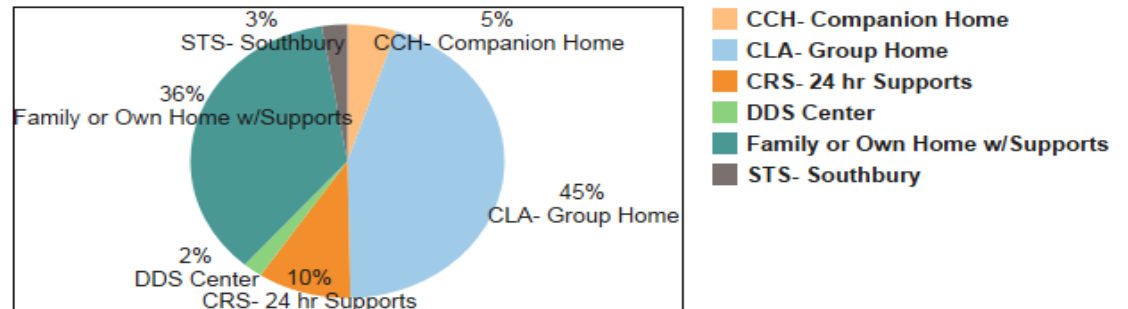
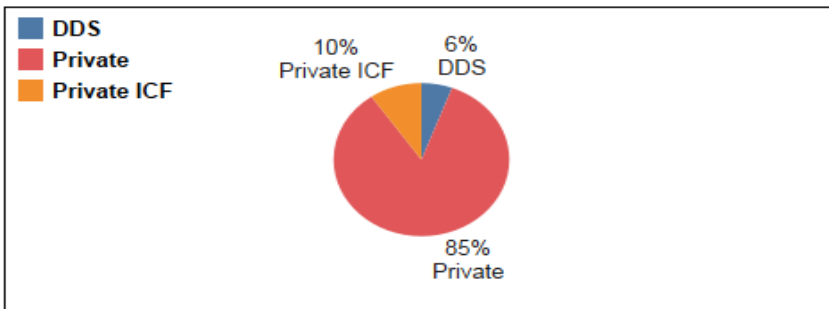
A. Where People Live and How They Are Supported

STATEWIDE

Total Individuals with Intellectual Disability who are active with DDS: 17,038

How They Receive Support

Where People Live		DDS	Private Provider	Self Direct	Private ICF	No Annual Funds	Grand Total	% Total
At Home	Family Home		463	972		8,127	9,562	56.12%
	Own Home (IL)	131	854	296		396	1,677	9.84%
	Sub-Total	131	1,317	1,268		8,523	11,239	65.96%
DDS Operated/ Funded	CCH (Companion Home)		380				380	2.23%
	CLA (Group Home)	211	3,157		358		3,726	21.87%
	CRS (24 hr Supports)		726				726	4.26%
	DDS Center	152					152	0.89%
	STS (Southbury)	197					197	1.16%
	Sub-Total	560	4,263		358		5,181	30.41%
Other	Blank		43				43	0.25%
	Long Term Care		345				345	2.02%
	Not DDS Funded		58				58	0.34%
	Residential School		98				98	0.58%
	Sub-Total		544				544	3.19%
Other State Agencies	DCF		63				63	0.37%
	DMHAS		1				1	0.01%
	DOC		10				10	0.06%
	Sub-Total		74				74	0.43%
Grand Total		691	6,198	1,268	358	8,523	17,038	100.00%



Note: Individuals living in their family or own home with supports have one or more of the following services SharedLiving, Companion Service, Respite Service, In Home Supports, Behavioral Supports and Personal Supports.

Section I: Services and Supports

June 2018

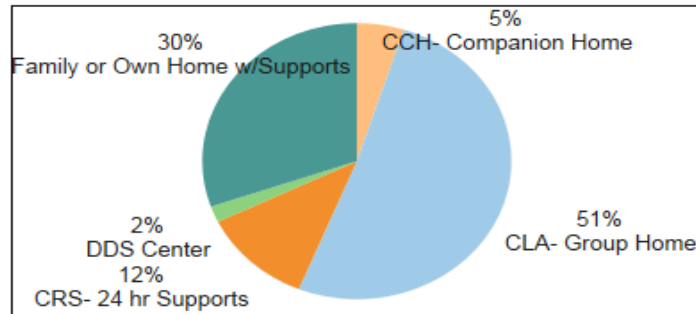
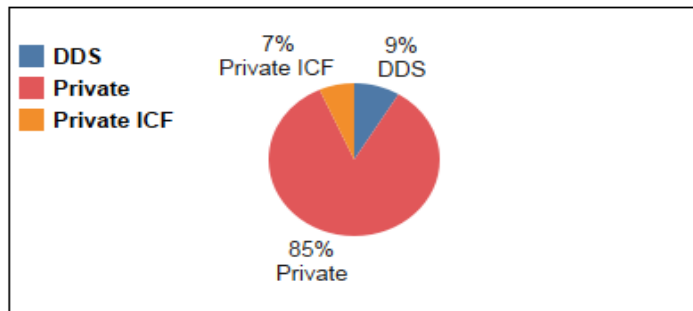
A. Where People Live and How They Are Supported

NORTH REGION

Total Individuals with Intellectual Disability who are active with DDS: 5,746

How They Receive Support

Where People Live		DDS	Private Provider	Self Direct	Private ICF	No Annual Funds	Grand Total	% Total
At Home	Family Home		131	263		2,826	3,220	56.04%
	Own Home (IL)	40	258	60		136	494	8.60%
	Sub-Total	40	389	323		2,962	3,714	64.64%
DDS Operated/ Funded	CCH (Companion Home)		122				122	2.12%
	CLA (Group Home)	118	1,152		90		1,360	23.67%
	CRS (24 hr Supports)		289				289	5.03%
	DDS Center	47					47	0.82%
	Sub-Total	165	1,563		90		1,818	31.64%
Other	Blank		18				18	0.31%
	Long Term Care		118				118	2.05%
	Not DDS Funded		27				27	0.47%
	Residential School		27				27	0.47%
	Sub-Total		190				190	3.31%
Other State Agencies	DCF		17				17	0.30%
	DMHAS		1				1	0.02%
	DOC		6				6	0.10%
	Sub-Total		24				24	0.42%
Grand Total		205	2,166	323	90	2,962	5,746	100.00%



- CCH- Companion Home
- CLA- Group Home
- CRS- 24 hr Supports
- DDS Center
- Family or Own Home w/Supports

Section I: Services and Supports

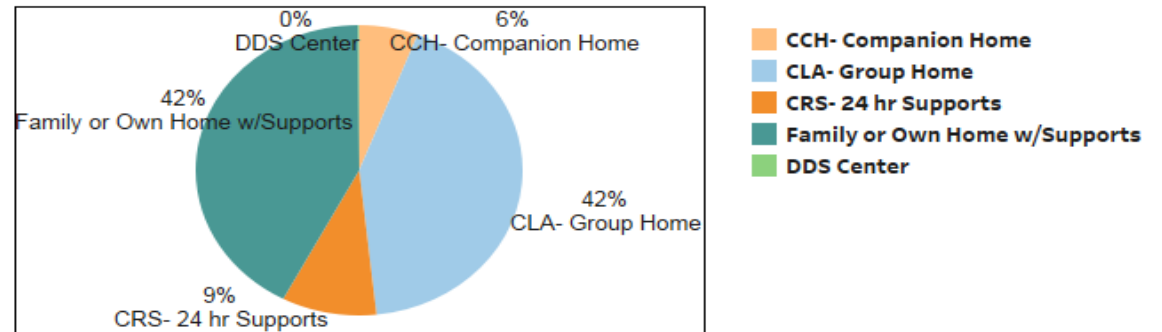
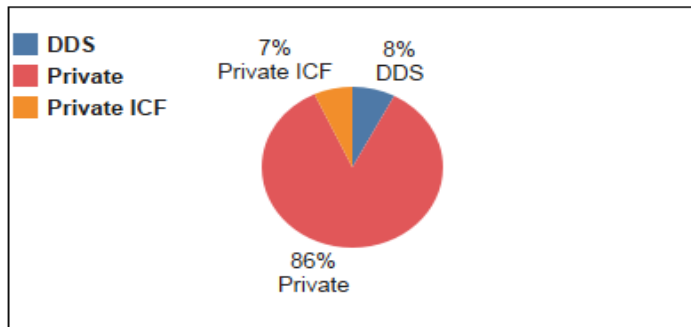
June 2018

A. Where People Live and How They Are Supported SOUTH REGION

Total Individuals with Intellectual Disability who are active with DDS: 5,480

How They Receive Support

Where People Live		DDS	Private Provider	Self Direct	Private ICF	No Annual Funds	Grand Total	% Total
At Home	Family Home		195	314		2,524	3,033	55.35%
	Own Home (IL)	59	393	118		139	709	12.94%
	Sub-Total	59	588	432		2,663	3,742	68.28%
DDS Operated/Funded	CCH (Companion Home)		149				149	2.72%
	CLA (Group Home)	89	997		80		1,166	21.28%
	CRS (24 hr Supports)		240				240	4.38%
	DDS Center	2					2	0.04%
	Sub-Total	91	1,386		80		1,557	28.41%
Other	Blank		5				5	0.09%
	Long Term Care		110				110	2.01%
	Not DDS Funded		11				11	0.20%
	Residential School		27				27	0.49%
	Sub-Total		153				153	2.79%
Other State Agencies	DCF		27				27	0.49%
	DOC		1				1	0.02%
	Sub-Total		28				28	0.51%
Grand Total		150	2,155	432	80	2,663	5,480	100.00%



Section I: Services and Supports

June 2018

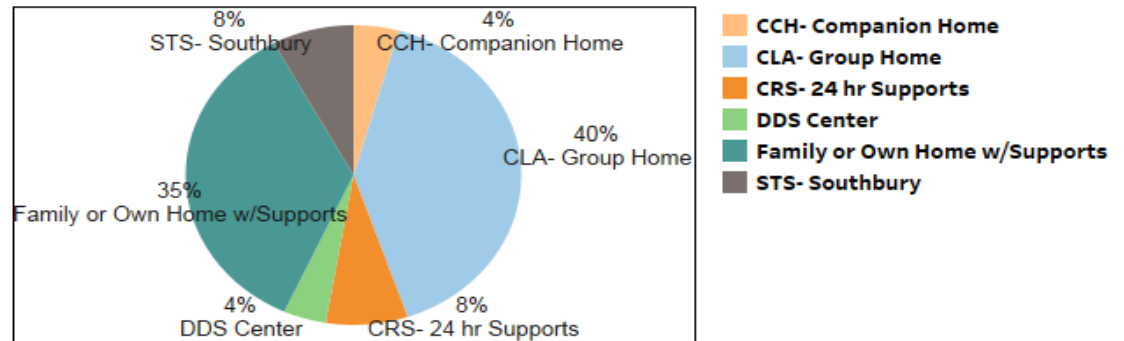
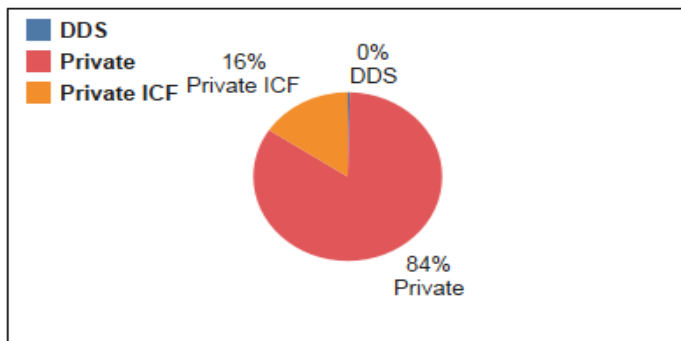
A. Where People Live and How They Are Supported

WEST REGION

Total Individuals with Intellectual Disability who are active with DDS: 5,812

How They Receive Support

Where People Live		DDS	Private Provider	Self Direct	Private ICF	No Annual Funds	Grand Total	% Total
At Home	Family Home		137	395		2,777	3,309	56.93%
	Own Home (IL)	32	203	118		121	474	8.16%
	Sub-Total	32	340	513		2,898	3,783	65.09%
DDS Operated/Funded	CCH (Companion Home)		109				109	1.88%
	CLA (Group Home)	4	1,008		188		1,200	20.65%
	CRS (24 hr Supports)		197				197	3.39%
	DDS Center	103					103	1.77%
	STS (Southbury)	197					197	3.39%
	Sub-Total	304	1,314		188		1,806	31.07%
Other	Blank		20				20	0.34%
	Long Term Care		117				117	2.01%
	Not DDS Funded		20				20	0.34%
	Residential School		44				44	0.76%
	Sub-Total		201				201	3.46%
Other State Agencies	DCF		19				19	0.33%
	DOC		3				3	0.05%
	Sub-Total		22				22	0.38%
Grand Total		336	1,877	513	188	2,898	5,812	100.00%



SECTION I: Services and Supports
B. Work and Day Services

STATEWIDE

June 2018

Total Individuals with Intellectual Disability who are active with DDS: 17,038

How They Receive Support

Type Of Support		DDS	Private Provider	Self Direct	No Annual Funds	Grand Total	% Total
Employment and Day Supports	Individual Supp. Emp.	1	580	119		700	4.11%
	Group Supported Emp.	68	2,940			3,008	17.65%
	Pre-Vocational		56			56	0.33%
	Day Support Option	96	4,645	103		4,844	28.43%
	Adult Day Health		42			42	0.25%
	Senior Supports	37	245			282	1.66%
	Individual Day Supp.	3	1,134	373		1,510	8.86%
	Competitive Employment				294	294	1.73%
	Other	1	322			323	1.90%
	Sub-Total	206	9,964	595	294	11,059	64.91%
Educational and Developmental Services	Local Ed. Agency		3,968			3,968	23.29%
	Residential School		8			8	0.05%
	Other	33	74		1	108	0.63%
	Sub-Total	33	4,050		1	4,084	23.97%
Other	No Day Program				1,343	1,343	7.88%
	Blanks				552	552	3.24%
	Sub-Total				1,895	1,895	11.12%
Grand Total		239	14,014	595	2,190	17,038	100.00%

Note: The numbers above represent primary day program. Some individuals may develop blended programs, in which they participate in more than one type of program each week.

SECTION I: Services and Supports
B. Work and Day Services

NORTH REGION

June 2018

Total Individuals with Intellectual Disability who are active with DDS: 5,746

How They Receive Support

Type Of Support		DDS	Private Provider	Self Direct	No Annual Funds	Grand Total	% Total
Employment and Day Supports	Individual Supp. Emp.	1	202	23		226	3.93%
	Group Supported Emp.	11	1,124			1,135	19.75%
	Pre-Vocational		39			39	0.68%
	Day Support Option	4	1,534	62		1,600	27.85%
	Adult Day Health		12			12	0.21%
	Senior Supports	4	88			92	1.60%
	Individual Day Supp.	3	339	74		416	7.24%
	Competitive Employment				109	109	1.90%
	Other	1	94			95	1.65%
	Sub-Total	24	3,432	159	109	3,724	64.81%
Educational and Developmental Services	Local Ed. Agency		1,286			1,286	22.38%
	Other	13	71			84	1.46%
	Sub-Total	13	1,357			1,370	23.84%
Other	No Day Program				457	457	7.95%
	Blanks				195	195	3.39%
	Sub-Total				652	652	11.35%
Grand Total		37	4,789	159	761	5,746	100.00%

SECTION I: Services and Supports
B. Work and Day Services

June 2018

SOUTH REGION

Total Individuals with Intellectual Disability who are active with DDS: 5,480

How They Receive Support

Type Of Support		DDS	Private Provider	Self Direct	No Annual Funds	Grand Total	% Total
Employment and Day Supports	Individual Supp. Emp.		198	60		258	4.71%
	Group Supported Emp.	7	991			998	18.21%
	Pre-Vocational		1			1	0.02%
	Day Support Option		1,468	15		1,483	27.06%
	Adult Day Health		15			15	0.27%
	Senior Supports		97			97	1.77%
	Individual Day Supp.		482	144		626	11.42%
	Competitive Employment				93	93	1.70%
	Other		59			59	1.08%
	Sub-Total	7	3,311	219	93	3,630	66.24%
Educational and Developmental Services	Local Ed. Agency		1,279			1,279	23.34%
	Other	10				10	0.18%
	Sub-Total	10	1,279			1,289	23.52%
Other	No Day Program				465	465	8.49%
	Blanks				96	96	1.75%
	Sub-Total				561	561	10.24%
Grand Total		17	4,590	219	654	5,480	100.00%

SECTION I: Services and Supports
B. Work and Day Services

June 2018

WEST REGION

Total Individuals with Intellectual Disability who are active with DDS: 5,812

How They Receive Support

Type Of Support		DDS	Private Provider	Self Direct	No Annual Funds	Grand Total	Grand Total
Employment and Day Supports	Individual Supp. Emp.		180	36		216	3.72%
	Group Supported Emp.	50	825			875	15.06%
	Pre-Vocational		16			16	0.28%
	Day Support Option	92	1,643	26		1,761	30.30%
	Adult Day Health		15			15	0.26%
	Individual Day Supp.		313	155		468	1.60%
	Competitive Employment				92	92	8.05%
	Senior Supports	33	60			93	1.58%
	Other		169			169	2.91%
	Sub-Total	175	3,221	217	92	3,705	63.75%
Educational and Developmental Services	Local Ed. Agency		1,403			1,403	24.14%
	Residential School		8			8	0.14%
	Other	10	3		1	14	0.24%
	Sub-Total	10	1,414		1	1,425	24.52%
Other	No Day Program				421	421	7.24%
	Blanks				261	261	4.49%
	Sub-Total				682	682	11.73%
Grand Total		185	4,635	217	775	5,812	100.00%

SECTION I: Services and Supports
B. Work and Day Services

June 2018

SOUTHBURY TRAINING SCHOOL

Total Individuals with Intellectual Disability who are active with DDS: 197

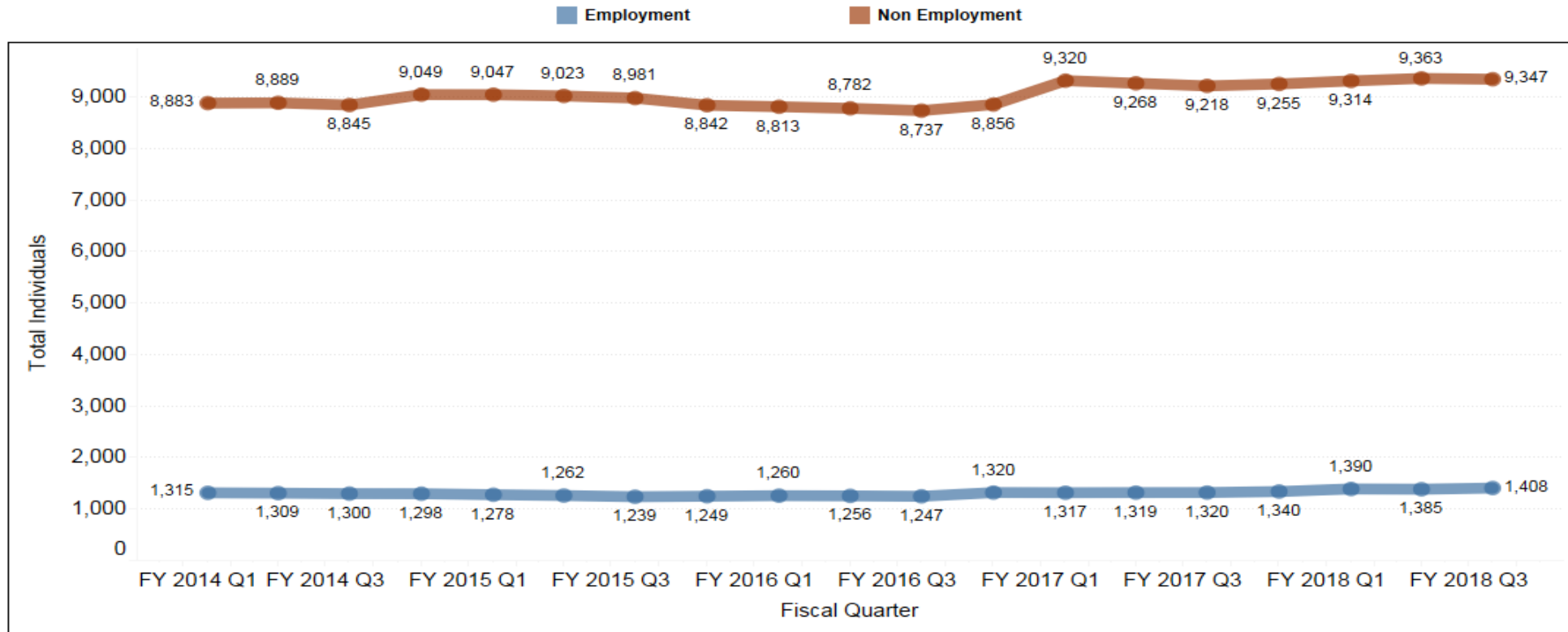
How They Receive Support

Type Of Support		DDS	Private Provider	No Annual Funds	Grand Total	% Total
Employment and Day Supports	Group Supported Emp.	37	6		43	21.83%
	Day Support Option	83	34		117	59.39%
	Senior Supports	33			33	16.75%
	Sub-Total	153	40		193	97.97%
Other	No Day Program			3	3	1.52%
	Blanks			1	1	0.51%
	Sub-Total			4	4	2.03%
Grand Total		153	40	4	197	100.00%

SECTION I: Services and Supports

C. Day Programs – Comparison of Employment vs. Non Employment Programs

**Employment Compared to Non Employment Programs
By Fiscal Year and Quarter**



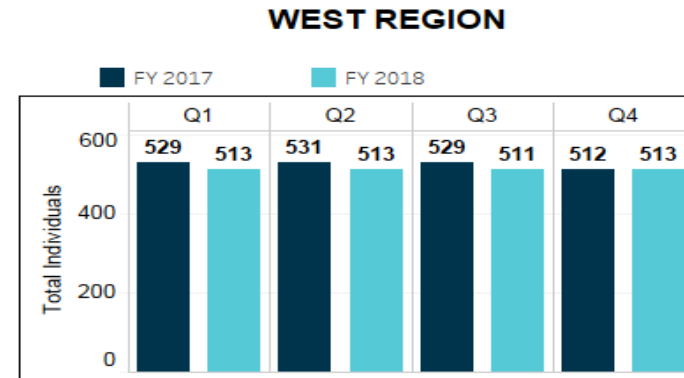
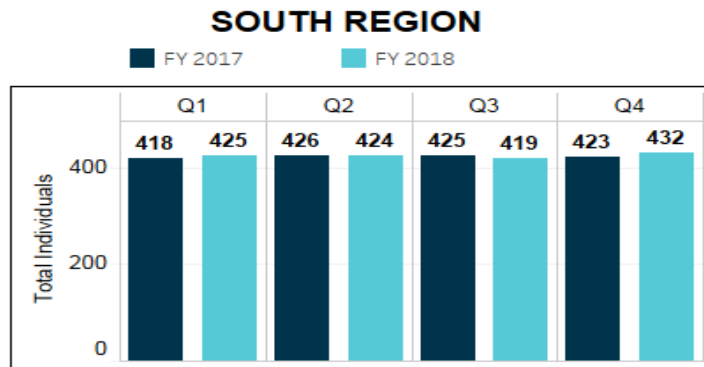
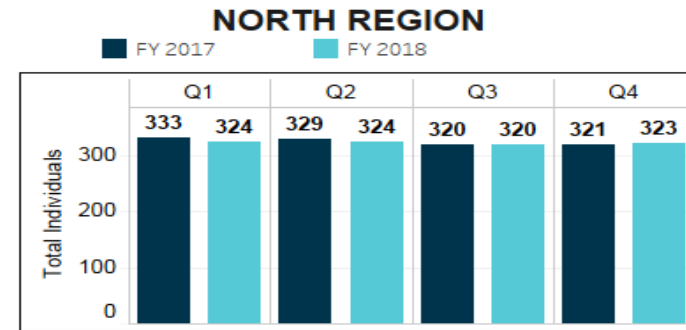
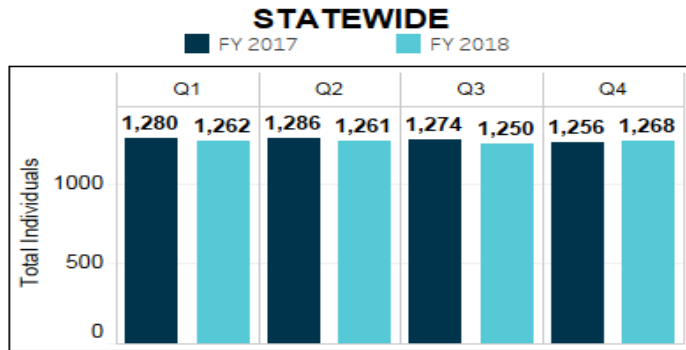
NOTE: Employment Programs consist of the following program types: Individual Supported Employment, Competitive Employment, Individualized Day Vocational.

Non-Employment Programs consist of the following program types: Group Supported Employment, Pre-Vocational, Day Service Options, Individualized Day Retired or Non Vocational, Small Enterprise, Senior Supports and Transitional Services.

SECTION I: Services and Supports

June 2018

D. Number of Individuals Who Self-Direct Residential Supports



E. Active Individuals by CaseLoad Type

	No CM	Waiver	TCM	ICF/IID	LTC	No TXIX No Waiver
Q1	4,405	10,072	1,731	388	303	34
Q2	4,464	10,038	1,726	384	298	32
Q3	4,399	9,944	1,860	374	301	64
Q4	4,417	10,013	1,875	366	298	69

SECTION I: Services and Supports

F. Family Support – Direct Support Services

June 2018

IFS Family Support - Statewide					
	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	Year To Date
Waiver Status					
Enrolled- Child	2	0	1	0	3
Enrolled-Adult	439	373	335	374	1511
Not Enrolled - Adult	244	183	177	186	787
Not Enrolled- Child	42	37	31	35	145
Grand Total	727	593	544	595	2446

IFS Family Support - NR					
	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	Year To Date
Waiver Status					
Enrolled-Adult	69	72	65	64	268
Not Enrolled - Adult	61	60	60	55	236
Not Enrolled- Child	2	5	5	5	17
Grand Total	132	137	130	124	521

SECTION I: Services and Supports

F. Family Support – Direct Support Services

June 2018

IFS Family Support - SR					
	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	Year To Date
Waiver Status					
Enrolled- Child	2	0	1	0	3
Enrolled-Adult	149	144	123	145	553
Not Enrolled - Adult	79	61	55	69	261
Not Enrolled- Child	18	16	14	17	65
Grand Total	248	221	193	231	882

IFS Family Support - WR					
	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	Year To Date
Waiver Status					
Enrolled-Adult	221	157	147	165	690
Not Enrolled - Adult	104	62	62	62	290
Not Enrolled- Child	22	16	12	13	63
Grand Total	347	235	221	240	1043

SECTION I: Services and Supports

G. Respite Utilization

June 2018

STATEWIDE								
Respite Center Utilization Data - FY 2018								
Baseline Data - FY 2017			By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD Unduplicated Total Served
Unduplicated People Using Respite Beds	Under 18	127	Under 18	79	57	63	59	106
	Over 18	842	Over 18	506	475	474	524	815
NORTH REGION								
Respite Center Utilization Data - FY 2018								
Baseline Data - FY 2017			By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD Unduplicated Total Served
Unduplicated People Using Respite Beds	Under 18	46	Under 18	32	23	26	21	38
	Over 18	277	Over 18	171	151	154	173	271
SOUTH REGION								
Respite Center Utilization Data - FY 2018								
Baseline Data - FY 2017			By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD Unduplicated Total Served
Unduplicated People Using Respite Beds	Under 18	28	Under 18	16	11	10	18	26
	Over 18	239	Over 18	167	152	165	169	250
WEST REGION								
Respite Center Utilization Data - FY 2018								
Baseline Data - FY 2017			By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD Unduplicated Total Served
Unduplicated People Using Respite Beds	Under 18	53	Under 18	31	23	27	20	42
	Over 18	326	Over 18	168	172	155	182	294

SECTION I: Services and Supports
H. Family Grant Utilization

June 2018

STATEWIDE							
Family Grant Utilization Data - FY 2018							
Unduplicated Number Of People Using Grant Funds	Under 18	By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD
		Respite	132	46	56	73	307
		Support Services	6	2	2	3	13
		Miscellaneous	45	70	19	31	165
		Total Grant Funds	\$203,125.64	\$103,084.77	\$42,956.72	\$164,194.63	\$513,361.76

STATEWIDE							
Family Grant Utilization Data - FY 2018							
Unduplicated Number Of People Using Grant Funds	18 and Over	By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD
		Respite	231	85	153	134	603
		Support Services	94	40	36	59	229
		Miscellaneous	80	174	31	117	402
		Total Grant Funds	\$522,945.61	\$365,160.29	\$198,919.65	\$340,225.88	\$1,427,251.43

SECTION I: Services and Supports
H. Family Grant Utilization

June 2018

NORTH REGION							
Family Grant Utilization Data - FY 2018							
Unduplicated Number Of People Using Grant Funds	Under 18	By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD
		Respite	8	3	1	2	14
		Support Services	0	0	0	0	0
		Miscellaneous	2	16	0	2	20
		Total Grant Funds	\$12,532.38	\$13,734.16	\$1,069	\$4,780	\$32,115.54

NORTH REGION							
Family Grant Utilization Data - FY 2018							
Unduplicated Number Of People Using Grant Funds	18 and Over	By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD
		Respite	59	22	5	23	109
		Support Services	28	13	3	5	49
		Miscellaneous	9	4	1	5	19
		Total Grant Funds	\$134,321.79	\$67,776.48	\$22,910.53	\$59,338.06	\$284,346.86

SECTION I: Services and Supports
H. Family Grant Utilization

June 2018

SOUTH REGION							
Family Grant Utilization Data - FY 2018							
Unduplicated Number Of People Using Grant Funds	Under 18	By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD
		Respite	30	5	4	8	47
		Support Services	2	1	0	0	3
		Miscellaneous	6	4	2	9	21
		Total Grant Funds	\$38,841.14	\$11,805.76	\$4,347.02	\$12,547.2	\$67,541.12

SOUTH REGION							
Family Grant Utilization Data - FY 2018							
Unduplicated Number Of People Using Grant Funds	18 and Over	By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD
		Respite	95	22	21	22	160
		Support Services	27	6	7	7	47
		Miscellaneous	33	52	7	41	133
		Total Grant Funds	\$212,450.45	\$152,946.79	\$42,021.38	\$40,761.7	\$448,180.32

SECTION I: Services and Supports
H. Family Grant Utilization

June 2018

WEST REGION							
Family Grant Utilization Data - FY 2018							
Unduplicated Number Of People Using Grant Funds	Under 18	By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD
		Respite	94	38	51	63	246
		Support Services	4	0	1	3	8
		Miscellaneous	37	50	17	20	124
		Total Grant Funds	\$151,752.93	\$77,544.85	\$40,260.7	\$112,854.51	\$382,416.99

WEST REGION							
Family Grant Utilization Data - FY 2018							
Unduplicated Number Of People Using Grant Funds	18 and Over	By Quarter	Q1 Total Served	Q2 Total Served	Q3 Total Served	Q4 Total Served	YTD
		Respite	77	42	131	89	339
		Support Services	39	21	28	47	135
		Miscellaneous	38	118	23	70	249
		Total Grant Funds	\$176,173.57	\$145,287.02	\$142,723.74	\$240,126.12	\$704,310.45

Section II: Service Needs

June 2018

A. Residential Needs – Explanation of Categories/Definitions

Beginning in March 2017, Case Managers began working with individuals and families through the annual Individual Planning (IP) process to collect information through a Support Survey and Residential Request Assessment. The Support Survey identifies whether or not an individual has unmet residential or day service needs. If the individual is determined to have unmet residential needs, the Residential Request Assessment identifies what category of need the individual has (Emergency, Urgent or Future Needs). This information will be collected over the course of a year, during which time data will be updated in order to be presented in a new format that should be clearer and easier to understand for future MIRs.

Starting with the Sept 30, 2017 MIR, Residential Need data is presented using the new categories. However, if an individual has not yet had a category assigned, they will maintain their existing priority status. Priorities will be reflected in the following category counts:

Old Priority	New Category	Description
Emergency	Emergency	The individual has an immediate need for residential placement, support or service..
Priority One	Urgent	The individual is requesting placement within 1 year and has been determined to have the most pressing need for services
Priority Two	Future Needs	The individual wants or will need services in two or more years.
Priority Three	Future Needs	The individual wants or will need services in two or more years...

In addition, individuals belong to one of this target groups:

No Services	No Services/Resources - Individual who is living with their family or independently and does not receive any annualized individual supports.
Underserved	Individual who currently receives residential services or supports but needs increased resources in their current placement and does not want or need to move.

This data does not include other individuals with residential needs such as those aging out of residential settings not currently DDS funded, those desiring to move from one residential support (non-institutional) to another.

Section II: Service Needs

June 2018

A. Residential Needs – Emergency and Urgent Categories

The tables within this section represent people with active residential needs in the following categories:

1. Waiting List/No Services

This is a group of individuals living either in their own or family home who have No Services (\$0 annualized in supports). These individuals have an active need and are designated one of two categories: Emergency or Urgent.

STATEWIDE			
	Waiting List/No Services		
	Emergency	Urgent	Grand Total
Home/IL (No Supports)	28	587	615
Change YTD	-10	14	4

NORTH REGION			
	Waiting List/No Services		
	Emergency	Urgent	Grand Total
Home/IL (No Supports)	9	229	238
Year To Date	-3	55	52

SOUTH REGION			
	Waiting List/No Services		
	Emergency	Urgent	Grand Total
Home/IL (No Supports)	15	210	225
Year To Date	-4	-24	-28

WEST REGION			
	Waiting List/No Services		
	Emergency	Urgent	Grand Total
Home/IL (No Supports)	4	148	152
Year To Date	-3	-17	-20

Section II: Service Needs

June 2018

A. Residential Needs – Emergency and Urgent Categories

2. Other Residential Needs/Underserved

This group of individuals receives DDS funded residential supports and services, but needs additional supports. These individuals are designated one of two categories: Emergency or Urgent.

STATEWIDE			
		Other Res Needs/Underserved	
		Emergency	Urgent
DDS Operated/ Funded	CCH(Companion Home)	4	4
	CLA(Group Home)	1	18
	CRS(24 hr supports)	1	9
	Indiv. Home Supp.	15	241
	Sub-Total	21	272
Year To Date		5	-95
		Emergency	Urgent
Other	Long Term Care	2	12
	Other	3	1
	Sub-Total	5	13
Total w/Sup & Service		26	285
Year To Date		8	-97

NORTH REGION			
		Other Res Needs/Underserved	
		Emergency	Urgent
DDS Operated/ Funded	CCH(Companion Home)	4	0
	CLA(Group Home)	1	10
	CRS(24 hr supports)	1	3
	Indiv. Home Supp.	5	61
	Sub-Total	11	74
Year To Date		-3	-22
		Emergency	Urgent
Other	Long Term Care	1	5
	Sub-Total	1	5
	Sub-Total	1	5
Total w/Sup & Service		12	79
Year To Date		4	-23

Section II: Service Needs

June 2018

A. Residential Needs – Emergency and Urgent Categories

2. Other Residential Needs/Underserved

This group of individuals receives DDS funded residential supports and services, but needs additional supports. These individuals are designated one of two categories: Emergency or Urgent.

SOUTH REGION			
		Other Res Needs/Underserved	
		Emergency	Urgent
DDS Operated/ Funded	CCH(Companion Home)	0	0
	CLA(Group Home)	0	2
	CRS(24 hr supports)	0	3
	Indiv. Home Supp.	7	90
	Sub-Total	7	95
Year To Date		4	-46
		Emergency	Urgent
Other	Long Term Care	1	2
	Other	0	0
	Sub-Total	1	2
Total w/Sup & Service		8	97
Year To Date		5	-47

WEST REGION			
		Other Res Needs/Underserved	
		Emergency	Urgent
DDS Operated/ Funded	CCH(Companion Home)		4
	CLA(Group Home)		6
	CRS(24 hr supports)		3
	Indiv. Home Supp.	3	90
	Sub-Total	3	103
Year To Date		-2	-27
		Emergency	Urgent
Other	Long Term Care		5
	Other	3	1
	Sub-Total	3	6
Total w/Sup & Service		6	109
Year To Date		-1	-27

Section II: Service Needs

June 2018

B. Residential Needs-Future Needs Category

1. Planning List/Future Needs

Individuals on the Planning List have future residential needs and have been assigned to the Future Needs category. Those with Future Needs want or will need services in two or more years. Their need is not considered Emergency or Urgent.

STATEWIDE		Planning List/Future Needs
		Future Needs
	Home/IL (No Supports)	881
	Sub-Total	881
DDS Operated/ Funded	CLA	1
	Indiv. Home Supp.	220
	Sub-Total	221
Other	Long Term Care	1
	Sub-Total	1
Grand Total		1,103
Change Year To Date		-5

NORTH REGION		Planning List/Future Needs
		Future Needs
	Home/IL (No Supports)	390
	Sub-Total	390
DDS Operated/ Funded	CLA	1
	Indiv. Home Supp.	78
	Sub-Total	79
Other	Long Term Care	0
	Sub-Total	0
Grand Total		469
Change Year To Date		71

SOUTH REGION		Planning List/Future Needs
		Future Needs
	Home/IL (No Supports)	302
	Sub-Total	302
DDS Operated/ Funded	Indiv. Home Supp.	111
	CLA	0
	Sub-Total	111
Other	Long Term Care	0
	Sub-Total	0
Grand Total		413
Change Year To Date		-38

WEST REGION		Planning List/Future Needs
		Future Needs
	Home/IL (No Supports)	189
	Sub-Total	189
DDS Operated/ Funded	CLA	0
	Indiv. Home Supp.	31
	Sub-Total	31
Other	Long Term Care	1
	Sub-Total	1
Grand Total		221
Change Year To Date		-38

Section II: Service Needs

June 2018

C. Day Waiting List

Individuals with no day supports who have requested employment opportunities and day services, but are waiting for available funding.

Day Waiting List				
No Day Supports	STATEWIDE	NORTH REGION	SOUTH REGION	WEST REGION
Family Or Own Home	87	59	1	27
Out of Home	29	20	6	3
Grand Total	116	79	7	30

Section II: Service Needs

June 2018

D. BSP Waiting List

Individuals who have been found eligible for the DDS Behavioral Services Program (BSP), but who are waiting for annualized BSP funding that is currently unavailable.

Statewide	
Residence Type	Count Of Individuals
Family Home	13

NORTH REGION	
Residence Type	Count Of Individuals
Family Home	1

SOUTH REGION	
Residence Type	Count Of Individuals
Family Home	5

WEST REGION	
Residence Type	Count Of Individuals
Family Home	7

Section II: Service Needs

June 2018

E. Future Planning - Projected Ageouts and High School Graduates

1. Projected Residential Ageouts

STATEWIDE	
Fiscal Year	
FY 2019	89
FY 2020	76
FY 2021	70

NORTH REGION	
Fiscal Year	
FY 2019	30
FY 2020	19
FY 2021	23

SOUTH REGION	
Fiscal Year	
FY 2019	25
FY 2020	25
FY 2021	31

WEST REGION	
Fiscal Year	
FY 2019	34
FY 2020	32
FY 2021	16

Note: Residential Age Out numbers represent individuals identified within the Planning and Resource Allocation Team (PRAT) database as either Aging Out of a Department of Children and Families (DCF), Local Education Agency (LEA), or DDS Behavioral Services Program (BSP) funded residential setting.

Section II: Service Needs

June 2018

E. Future Planning - Projected Ageouts and High School Graduates

2. Projected High School Graduates and Day Ageouts

Note: Regions will continue to identify High School Graduates and Day Ageouts throughout the year. These numbers will change over time, especially those in the third year out.

Statewide		
Fiscal Year	School Graduates	Day Ageouts
2019	366	101
2020	315	102
2021	357	78

NORTH REGION		
Fiscal Year	School Graduates	Day Ageouts
2019	126	32
2020	124	37
2021	132	20

SOUTH REGION		
Fiscal Year	School Graduates	Day Ageouts
2019	137	38
2020	63	33
2021	112	28

WEST REGION		
Fiscal Year	School Graduates	Day Ageouts
2019	103	31
2020	128	32
2021	113	30

A. Residential Ageouts

Residential Ageouts			
Statewide	Goal	Actual YTD	Difference
Served with FY18 AO Funds	91	85	-6

B. Day Ageouts and High School Graduates

Day Ageouts High School Graduates			
Statewide	Goal	Actual YTD	Difference
June 2017 Day Ageouts Served w/FY18 Grad Funds	94	84	-10
June 2017 Grads Served w/FY18 Grad Funds	342	252	-90

Section IV: Case Load by Age

A. Residential

June 2018

DDS INDIVIDUALS BY RESIDENTIAL PROGRAM AND AGE

Age Group	Campus	DDS CLA	Priv. CLA	Priv. CLA ICF	CRS	CCH DDS Lic.	CCH-NOT DDS Lic.	Ind. Liv.	DDS Home IHS	PVT Home IHS	SLF DIR Home IHS	Family Home	Family Home IS/Pvt	Family Home IS/SD	Long Term Care	Res Care Home	Priv. Res. Sch.	MH Fac.	Hos.	Other	No Data	Grand Total	% Total
0-2												11									1	12	0.07%
3-5												116							1			117	0.69%
6-13			1		1		10					1,089	3	31			3		6	1	1	1,146	6.73%
14-17			12		5	2	18					1,000	44	89			25		3	7	4	1,209	7.10%
18-21		1	59		24	4	27	6		4	1	1,369	67	149	1		31		4	7	5	1,759	10.32%
22-34	8	8	559	42	301	62	6	126	1	170	65	2,843	156	337	8		22	1	12	7	15	4,749	27.87%
35-44	18	23	443	51	127	65	2	88	13	173	89	799	73	200	10	4	8		4	4	7	2,201	12.92%
45-54	77	59	664	94	123	89		60	25	200	62	509	57	86	39	6	3		5	1	4	2,163	12.70%
55-64	102	86	805	86	100	87		64	51	213	49	289	48	55	88	6	4		2	2	4	2,141	12.57%
65-74	91	29	460	67	37	52		39	28	69	24	85	12	21	79	10	2			1	2	1,108	6.50%
75+	53	5	154	18	8	19		13	13	25	6	17	3	4	86	8				1		433	2.54%
Grand Total	349	211	3,157	358	726	380	63	396	131	854	296	8,127	463	972	311	34	98	1	37	31	43	17,038	100.00%
% Total	2.0%	1.2%	18.5%	2.1%	4.3%	2.2%	0.4%	2.3%	0.8%	5.0%	1.7%	47.7%	2.7%	5.7%	1.8%	0.2%	0.6%	0.0%	0.2%	0.2%	0.3%	100.0%	

Note: Includes Active Only Individuals. "0%" = less than one percent.

Campus = Regional or STS Campus Unit
 DDS CLA = DDS Community Living Arrangement (CLA)
 Priv. CLA = Private Provider CLA
 Priv. CLA ICF = Private Provider Intermediate Care Facility (ICF/ID) CLA
 CRS = Continuous Residential Supports (i.e. 24 hour Supported Living)
 CCH DDS Lic. = DDS licensed Community Companion Home (CCH)
 CCH - Not DDS Lic. = Non-DDS licensed CCH (DCF foster home)

Family Home IS/Pvt = Private IHS in Family Home
 Family Home IS/SD = Self-Directed IHS in Family Home
 SNF = Skilled Nursing Facility/Long Term Care licensed by DPH
 Res. Care Home = Residential. Care Home (i.e. Home for the Aged)
 Priv. Res. Sch. = Private Residential School
 MH Fac. = Mental Health Facility
 Hos. = Hospital Facility
 Other = Other Residential Program (i.e. Correctional Facility, Other)

Section IV: Case Load by Age
A. Residential

June 2018

Sub-Totals by Age Groups

AgeGroup	Campus	DDS CLA	Priv. CLA	Priv. CLA ICF	CRS	CCH DDS Lic.	CCH- NOT DDS Lic.	Ind. Liv.	DDS Home IHS	PVT Home IHS	SLF DIR Home IHS	Family Home	Family Home IS/Pvt	Family Home IS/SD	Long Term Care	Res Care Home	Priv. Res. Sch.	MH Fac.	Hos.	Other	No Data	Grand Total	% Total
Children (Age 0-17)			13		6	2	28					2,216	47	120			28		10	8	6	2,484	15%
Young Adults (Age 18-21)		1	59		24	4	27	6		4	1	1,369	67	149	1		31		4	7	5	1,759	10%
Adults (Age 22 and Over)	349	210	3,085	358	696	374	8	390	131	850	295	4,542	349	703	310	34	39	1	23	16	32	12,795	75%
Grand Total	349	211	3,157	358	726	380	63	396	131	854	296	8,127	463	972	311	34	98	1	37	31	43	17,038	100%
% Total	2.0%	1.2%	18.5%	2.1%	4.3%	2.2%	0.4%	2.3%	0.8%	5.0%	1.7%	47.7%	2.7%	5.7%	1.8%	0.2%	0.6%	0.0%	0.2%	0.2%	0.3%	100.0%	

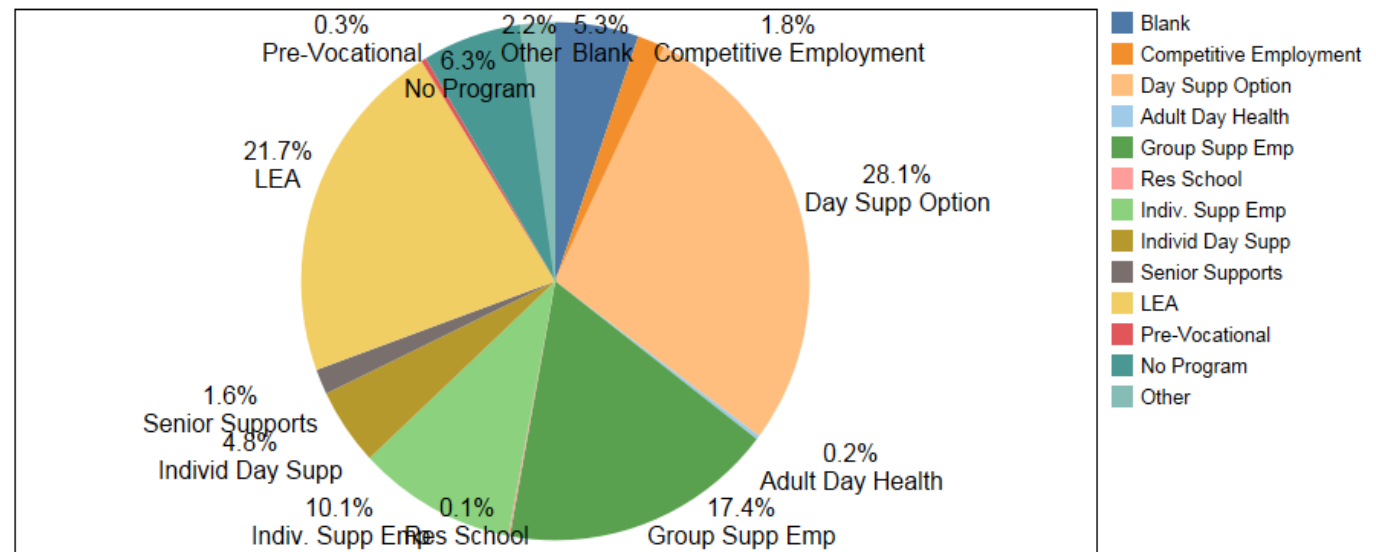
Section IV: Case Load by Age

B. Day/Work Services

June 2018

Day Type	AgeGroup											Grand Total
	0-2	3-5	6-13	14-17	18-21	22-34	35-44	45-54	55-64	65-74	75+	
Competitive Employment	0	0	0	0	0	37	81	87	87	38	6	336
Indiv. Supp Emp	0	0	0	1	5	841	303	276	254	135	68	1,883
Individ Day Supp	0	0	0	0	0	408	224	144	109	13	1	899
Group Supp Emp	0	0	0	0	2	1,365	677	595	476	117	25	3,257
Day Supp Option	0	0	0	0	0	1,793	777	903	992	593	196	5,254
Pre-Vocational	0	0	0	0	0	13	8	16	16	6	1	60
Adult Day Health	0	0	0	0	0	1	1	4	12	14	12	44
LEA	0	101	1,070	1,172	1,715	0	0	0	0	0	0	4,058
Res School	0	0	1	5	12	0	0	0	0	0	0	18
Senior Supports	0	0	0	0	0	28	26	34	86	77	46	297
Other	12	14	56	17	30	245	13	12	9	1	1	410
No Program	0	0	0	2	7	363	233	194	180	128	71	1,178
Blank	1	3	38	44	45	475	117	92	92	50	30	987
Grand Total	13	118	1,165	1,241	1,816	5,569	2,460	2,357	2,313	1,172	457	18,681

Day Type	Percentage
Competitive Employment	1.8%
Indiv. Supp Emp	10.1%
Individ Day Supp	4.8%
Group Supp Emp	17.4%
Day Supp Option	28.1%
Pre-Vocational	0.3%
Adult Day Health	0.2%
LEA	21.7%
Res School	0.1%
Senior Supports	1.6%
Other	2.2%
No Program	6.3%
Blank	5.3%
Grand Total	100.0%



Note: The above numbers represent all of the day programs an individual attends (this is not an unduplicated count of individuals).

Section V: Home and Community Based Waiver

A. Enrollment

Total HCBS Waiver Enrollees - Intellectual Disability

Region	DDS CLA	Private CLA	CRS	CCH	Family Home	Family Home w/ Supports	Own Home	OwnHome W/ Supports	RCH	Other	Grand Total
North	117	1,109	270	119	1,023	382	44	347	7	31	3,449
South	89	970	227	144	992	485	55	553	3	27	3,545
West	4	990	180	106	875	511	61	339	5	44	3,115
Grand Total	210	3,069	677	369	2,890	1,378	160	1,239	15	102	10,109
June 2017	271	3,040	699	367	2,854	1,405	159	1,251	15	84	10,145
Change YTD	-61	29	-22	2	36	-27	1	-12	0	-18	-36

Comprehensive Waiver Enrollees

Region	DDS CLA	Private CLA	CRS	CCH	Family Home	Family Home w/ Supports	Own Home	OwnHome W/ Supports	RCH	Other	Grand Total
North	117	1,104	258	73	26	99	5	181	2	24	1,889
South	89	967	214	111	16	136	4	247	2	18	1,804
West	4	986	179	73	21	95	14	136	3	28	1,539
Grand Total	210	3,057	651	257	63	330	23	564	7	70	5,232
June 2017	271	3,036	668	261	43	338	19	571	6	61	5,274
Change YTD	-61	21	-17	-4	20	-8	4	-7	1	9	-42

Individual and Family Support Waiver Enrollees

Region	Private CLA	CRS	CCH	Family Home	Family Home w/ Supports	Own Home	OwnHome W/ Supports	RCH	Other	Grand Total
North	5	12	45	595	281	26	165	3	5	1,137
South	2	13	33	609	328	14	302	1	7	1,309
West	4	1	33	538	404	28	200	2	15	1,225
Grand Total	11	26	111	1,742	1,013	68	667	6	27	3,671
June 2017	4	31	106	1,800	1,046	73	680	5	20	3,765
Change YTD	7	-5	5	-58	-33	-5	-13	1	7	-94

Employment and Day Support Waiver Enrollees

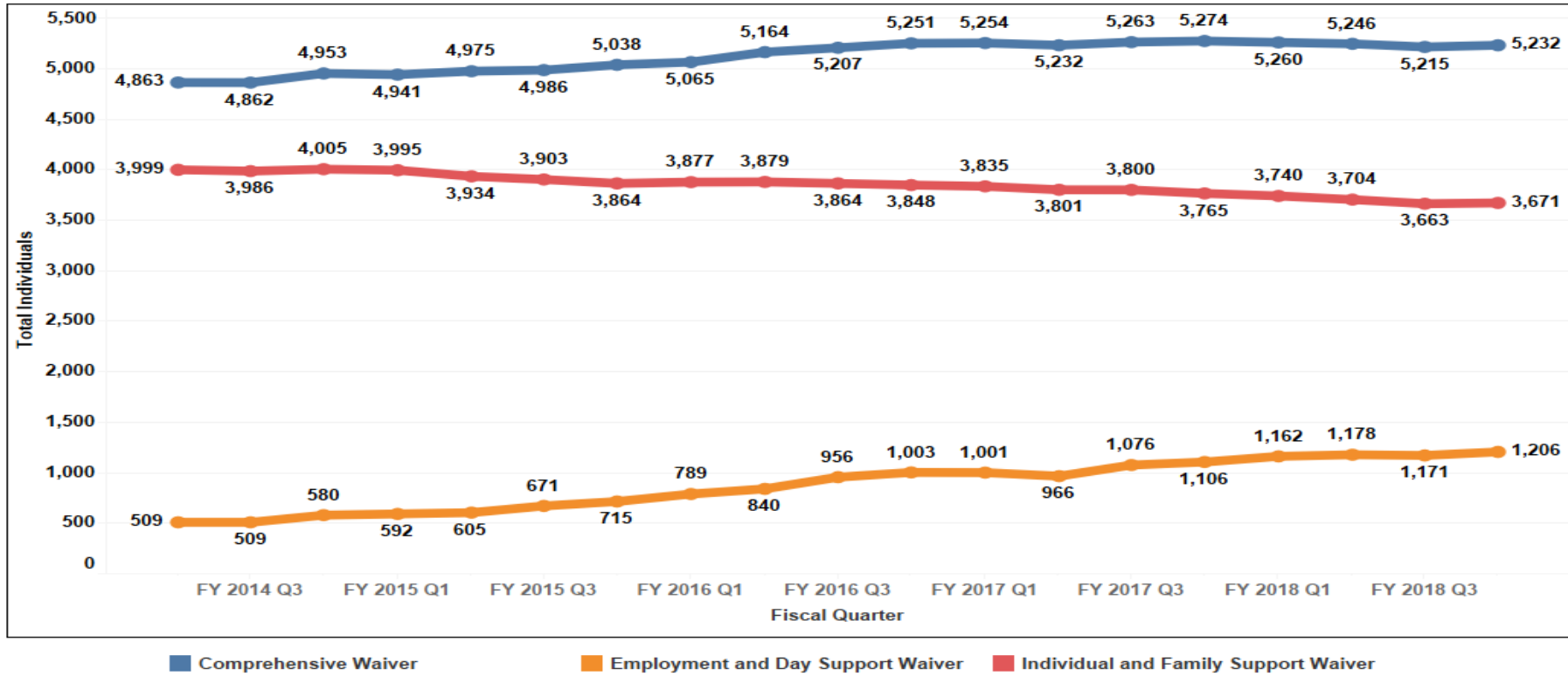
Region	DDS CLA	Private CLA	CRS	Own Home W/ Supports	CCH	Private CLA	Family Home	Family Home w/ Supports	Own Home	RCH	Other	OwnHome W/ Supports	Grand Total
North	0	0	0	0	1		402	2	13	2	2	1	423
South	0	0	0	0		1	367	21	37		2	4	432
West	0	0	0	0			316	12	19		1	3	351
Grand Total	0	0	0	0	1	1	1,085	35	69	2	5	8	1,206
June 2017	0	0	0	0	0	0	1011	21	67	4	3	0	1106
Change YTD	0	0	0	0	1	1	74	14	2	-2	2	5	100

NOTE 1: IFS Waiver enrollees reported as living in CLAs and CRSs are pending transfer to the Comprehensive Waiver.

NOTE 2: EDS Waiver enrollees reported as living in CLAs, Family Home w/ Supports and Own Home w/ Supports are pending transfer to the Comprehensive Waiver or Individual and Family Support Waiver.

**Section V: Home and Community Based Waiver
A. Enrollment**

June 2018



Section V: Home and Community Based Waiver

B. Federal Revenue

June 2018

**Actual v. Projected Revenue - FY 2018 Fourth Quarter
(Millions of Dollars)**

	Actual Revenue	EOY Projected	YTD Actual Revenue	Difference Projected vs Actual	% of Revenue Received
	SFY 17	SFY 18	SFY 18	SFY 18	
Waiver	\$449.49	\$415.38	\$440.98	-\$25.60	106.16%
ICF/IID	\$97.27	\$57.51	\$61.33	-\$3.82	106.64%
TCM	\$15.77	\$17.10	\$16.47	\$0.63	96.32%
TOTAL Billing	\$562.53	\$489.99	\$518.78	-\$28.79	105.88%

**Actual v. Projected Revenue - FY 2018 Fourth Quarter
(Millions of Dollars)**

	SFY 17	SFY 18	SFY 18	SFY 18	% of Revenue Received
	Actual Revenue	EOY Projected	YTD Actual Revenue	Difference Projected vs Actual	
Comprehensive Waiver	\$378.73	\$352.27	\$362.35	-\$10.09	102.86%
Individual - Family Supports Waiver	\$59.42	\$53.00	\$67.42	-\$14.42	127.21%
Education and Day Supports Waiver	\$8.91	\$10.12	\$11.21	-\$1.09	110.82%
Total Waiver	\$447.06	\$415.38	\$440.98	-\$25.60	106.16%
Public ICF/IID	\$97.27	\$57.51	\$61.33	-\$3.82	106.64%
Targeted Case Mgmt.	\$15.77	\$17.10	\$16.47	\$0.63	96.32%
TOTAL Billing	\$560.10	\$489.99	\$518.78	-\$28.79	105.88%

Section VI: Human Resource
A. DDS funded Position Count

June 2018

		Leave with Pay		Leave	Vacant
Region	Authorized		with Pay	& WC	
North	668	470	10	23	0
South	602	387	13	25	0
West	525	380	7	20	0
STS	994	478	7	28	0
Central Office	191	150	1	5	0
Totals	2980	1865	38	101	0

Permanent Part Time Position Count (General Funds)	Authorized		Active		Leave with Pay		Leave w/o Pay & WC		Durational		Vacant
			count	FTE	count	FTE	count	FTE	Count	FTE	Count
Region	369	224	4	122.56	19	2	19	10.9			.
North	292	162	4	94.93	9	2.3	9	5.1	0	0	122
South	208	143	5	88.03	9	3.77	9	5.7	0	0	117
West	201	103	0	62.48	6	0	6	4.42	0	0	51
STS	0	0	0	0	0	0	0	0	0	0	92
Central Office	0	0	0	0	0	0	0	0	0	0	0
Total	1070	632	13	368	43	8.07	43	26.12	0	0	382

Other General Fund Positions - Filled						
Type	North	South	West	STS	CO	Total
Temporary	2	2	11	0	0	15
General Workers	25	11	5	59	0	100
Substitutes	0	0	0	0	0	0
Retirees	0	1	1	0	3	5
Inst. Fire	0	0	0	1	0	1
Per Diems	6	4	2	4	5	21

Federal Funded Positions - Filled						
	North	South	West	STS	CO	Total
Full Time	11	0	0	0	3	14
Part Time	0	0	0	0	0	0
Total	11	0	0	0	3	14

Section VI: Human Resource

B. DDS Abuse and Neglect Registry

June 2018

DDS Abuse and Neglect Registry Quarterly Report

Registry Notifications Received

	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Notifications This Quarter	26	25	96%	1	4%	0	0%
Total Notifications till date	1,360	1,198	88%	162	12%	0	0%

Disposition of Registry Notifications

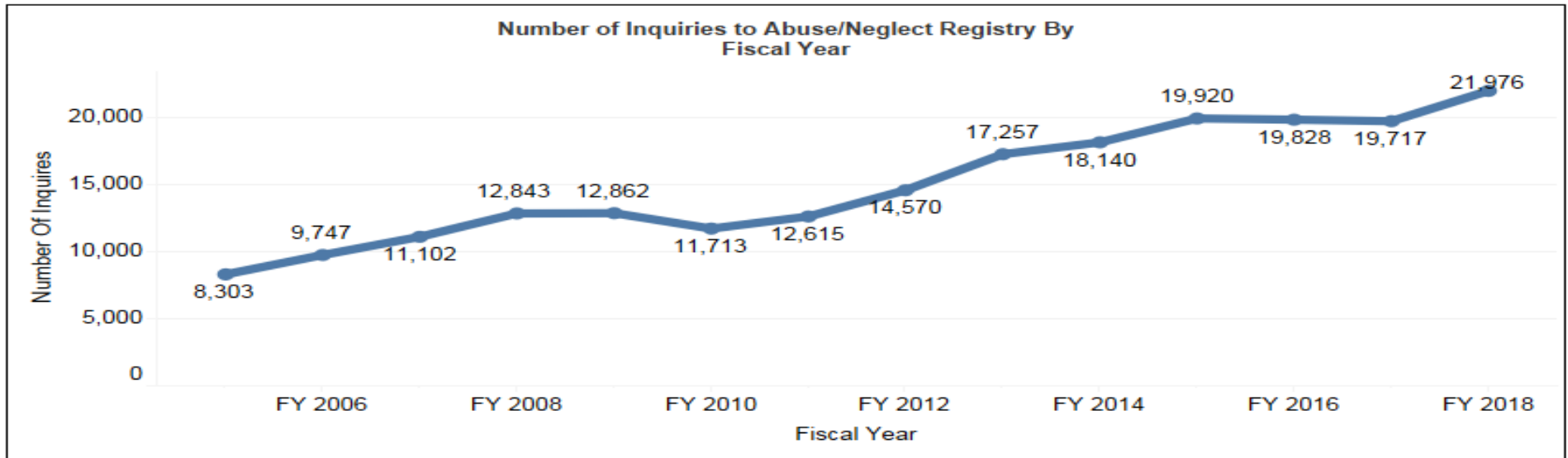
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Names on Registry This Quarter	129	123	95%	4	3%	2	2%
Names on Registry till date	739	683	92%	54	8%	2	0%
Closed - Arbitration & Legal Proceedings	127	47	39%	80	61%	0	0%
Closed - Do not meet Statutory Criteria	495	442	89%	53	11%	0	0%
Total Completed Cases*	1488	1293	87%	191	13%	4	0%

*Represents cumulative data from the beginning of the Abuse and Neglect Registry

Section VI: Human Resource

B. DDS Abuse and Neglect Registry

June 2018



Inquiries made in Current Fiscal Year	
Number Inquiries in Current Quarter	Number of Inquiries FY to Date
6,089	21,976