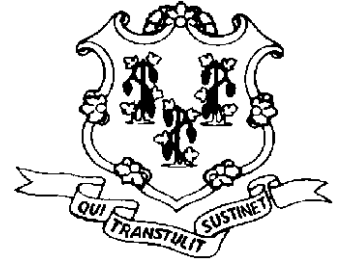




STATE OF CONNECTICUT
Department of Developmental Services



M.I.R.
Management Information Report
June 2013

Issued

November, 2013

by DDS Deputy Commissioner's Office

DDS Management Information Report

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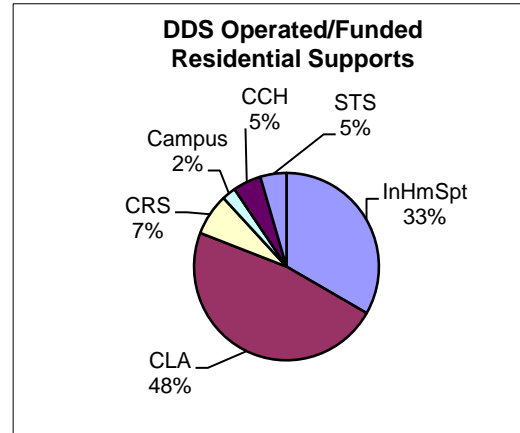
SECTION I: Services and Supports

A. Where People Live and How They Are Supported STATEWIDE

Total Clients: 16,037 **ΔYTD: +179**
(includes all active DDS clients)

Total Served: 21,148 **ΔYTD: +146**
(Includes active clients plus 5,111 non-DDS clients in Birth to Three programs)

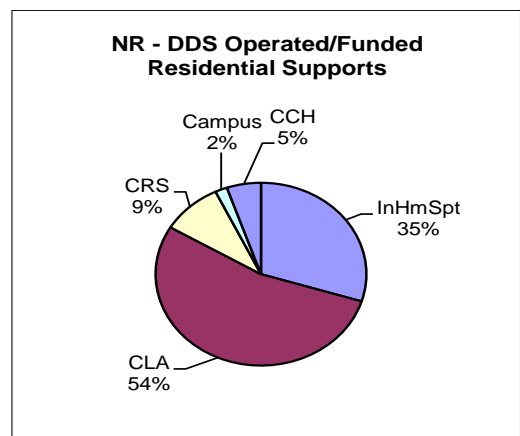
RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	6,963		454	894	8,311	51.8%
Own Home (IL)**	417	216	845	217	1,695	10.6%
Sub-Total	7,380	216	1,299	1,111	10,006	62.4%
% Total	73.8%	2.2%	13.0%	11.1%	100%	
DDS Operated/Funded						
STS		361			361	2.3%
DDS Centers		191			191	1.2%
CLA		366	3,389		3,755	23.4%
CRS			569		569	3.5%
CCH			390		390	2.4%
Sub-Total		918	4,348		5,266	32.8%
% Total		17.4%	82.6%		100%	
Other State Agencies						
DMHAS			6		6	0.0%
DOC			3		3	0.0%
DCFCFO			79		79	0.5%
Sub-Total			88		88	0.5%
Other						
LTC/SNF/RCH (HA)			432		432	2.7%
Res. Schools			124		124	0.8%
Other			52		52	0.3%
Sub-Total			608		608	3.8%
Blank			69		69	0.4%
Grand Total	7,380	1,134	6,412	1,111	16,037	100.0%



NORTH REGION

Total Clients: 5,375 **ΔYTD: +13**
(includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	2,428		125	248	2,801	52.1%
Own Home (IL)**	164	87	246	50	547	10.2%
Sub-Total	2,592	87	371	298	3,348	62.3%
% Total	77.4%	2.6%	11.1%	8.9%	100.0%	
DDS Operated/Funded						
DDS Centers		47			47	0.9%
CLA		196	1,167		1,363	25.4%
CRS			237		237	4.4%
CCH			133		133	2.5%
Sub-Total		243	1,537	0	1,780	33.1%
% Total		13.7%	86.3%	0.0%	100.0%	
Other State Agencies						
DMHAS			1		1	0.0%
DOC			2		2	0.0%
DCFCFO			29		29	0.5%
Sub-Total			32	0	32	0.6%
Other						
LTC/SNF/RCH (HA)			122		122	2.3%
Res. Schools			46		46	0.9%
Other			19		19	0.4%
Subtotal			187		187	3.5%
Blank			28		28	0.5%
Grand Total	2,592	330	2,155	298	5,375	100.0%



*People who direct their own services

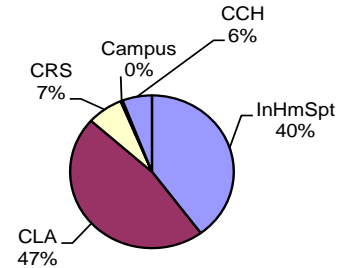
**Own Home includes individuals with services previously known as supported living

SOUTH REGION

Total Clients: 5,179 **ΔYTD: +186**
 (includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	2,230		154	308	2,692	52.0%
Own Home (IL)**	128	79	398	86	691	13.3%
Sub-Total	2,358	79	552	394	3,383	65.3%
% Total	69.7%	2.3%	16.3%	11.6%	100.0%	
DDS Operated/Funded						
DDS Centers		11			11	0.2%
CLA		150	1,054		1,204	23.2%
CRS			183		183	3.5%
CCH			150		150	2.9%
Sub-Total		161	1,387		1,548	29.9%
% Total		10.4%	89.6%		100.0%	
Other State Agencies						
DMHAS			2		2	0.0%
DOC			1		1	0.0%
DCFCTO			37		37	0.7%
Sub-Total			40		40	0.8%
Other						
LTC/SNF/RCH (HA)			155		155	3.0%
Res. Schools			27		27	0.5%
Other			8		8	0.2%
Sub-total			190		190	3.7%
Blank			18		18	0.3%
Grand Total	2,358	240	2,187	394	5,179	100.0%

SR - DDS Operated/Funded Residential Supports

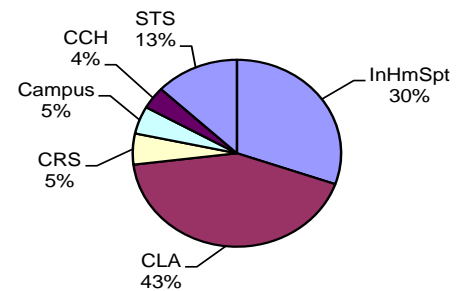


WEST REGION

Total Clients: 5,483 **ΔYTD: -20**
 (includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	2,305		175	338	2,818	51.4%
Own Home (IL)**	125	50	201	81	457	8.3%
Sub-Total	2,430	50	376	419	3,275	59.7%
% Total	74.2%	1.5%	11.5%	12.8%	100%	
DDS Operated/Funded						
STS		361			361	6.6%
DDS Centers		133			133	2.4%
CLA		20	1,168		1,188	21.7%
CRS			149		149	2.7%
CCH			107		107	2.0%
Sub-Total		514	1,424		1,938	35.3%
% Total		26.5%	73.5%		100%	
Other State Agencies						
DMHAS			3		3	0.1%
DOC			0		0	0.0%
DCFCTO			13		13	0.2%
Sub-Total			16		16	0.3%
Other						
LTC/SNF/RCH (HA)			155		155	2.8%
Res. Schools			51		51	0.9%
Other			25		25	0.5%
Sub-total			231		231	4.2%
Blank			23		23	0.4%
Grand Total	2,430	564	2,070	419	5,483	100.0%

WR - DDS Operated/Funded Residential Supports



*People who direct their own services

**Own Home includes individuals with services previously known as supported living

SECTION I: Services and Supports
B. Work and Day Services
STATEWIDE

DAY/WORK STATUS						
Kind of Support	How They Receive Support				Total	% Total
	NA	Private	DDS	Self Direct		
Emp. And Day Supp.						
Ind. Supp. Emp.		518	0	129	647	4.0%
Group Supp. Emp.		3,300	102		3,402	21.2%
Sheltered Emp.		394	19		413	2.6%
Day Supp. Opt.		4,090	233	89	4,412	27.5%
Individ. Day Supp.		894	0	83	977	6.1%
Comp. Employment	326				326	2.0%
Other		46	1		47	0.3%
Sub-Total	326	9,242	355	301	10,224	63.8%
% Total	3.2%	90.4%	3.5%	2.9%	100.0%	
Educational and Developmental Services						
LEA		3,736			3,736	23.3%
Res School		15			15	0.1%
Birth to Three		7	4		11	0.1%
Other		100	42		142	0.9%
Sub-Total		3,858	46		3,904	24.3%
Other						
No Day Program	1,001				1,001	6.2%
Blanks	908				908	5.7%
Sub-Total	1,909				1,909	11.9%
Grand Total	2,235	13,100	401	301	16,037	100.0%

NORTH REGION

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
	NA	Private	DDS	Self Direct		
Emp. And Day Supp.						
Ind. Supp. Emp.		157	0	19	176	3.3%
Group Supp. Emp.		1,223	16		1,239	23.1%
Sheltered Emp.		177	2		179	3.3%
Day Supp. Opt.		1,287	43	43	1,373	25.5%
Individ. Day Supp.		258	0	23	281	5.2%
Comp. Employment	116				116	2.2%
Other		19	0		19	0.4%
Sub-Total	116	3,121	61	85	3,383	62.9%
% Total	3.4%	92.3%	1.8%	2.5%	100.0%	
Educational and Developmental Services						
LEA		1,264			1,264	23.5%
Res School		3			3	0.1%
Birth to Three		5	0		5	0.1%
Other		89	12		101	1.9%
Sub-Total		1,361	12		1,373	25.5%
Other						
No Day Program	359				359	6.7%
Blanks	260				260	4.8%
Sub-Total	619				619	11.5%
Grand Total	735	4,482	73	85	5,375	100.0%

SOUTH REGION

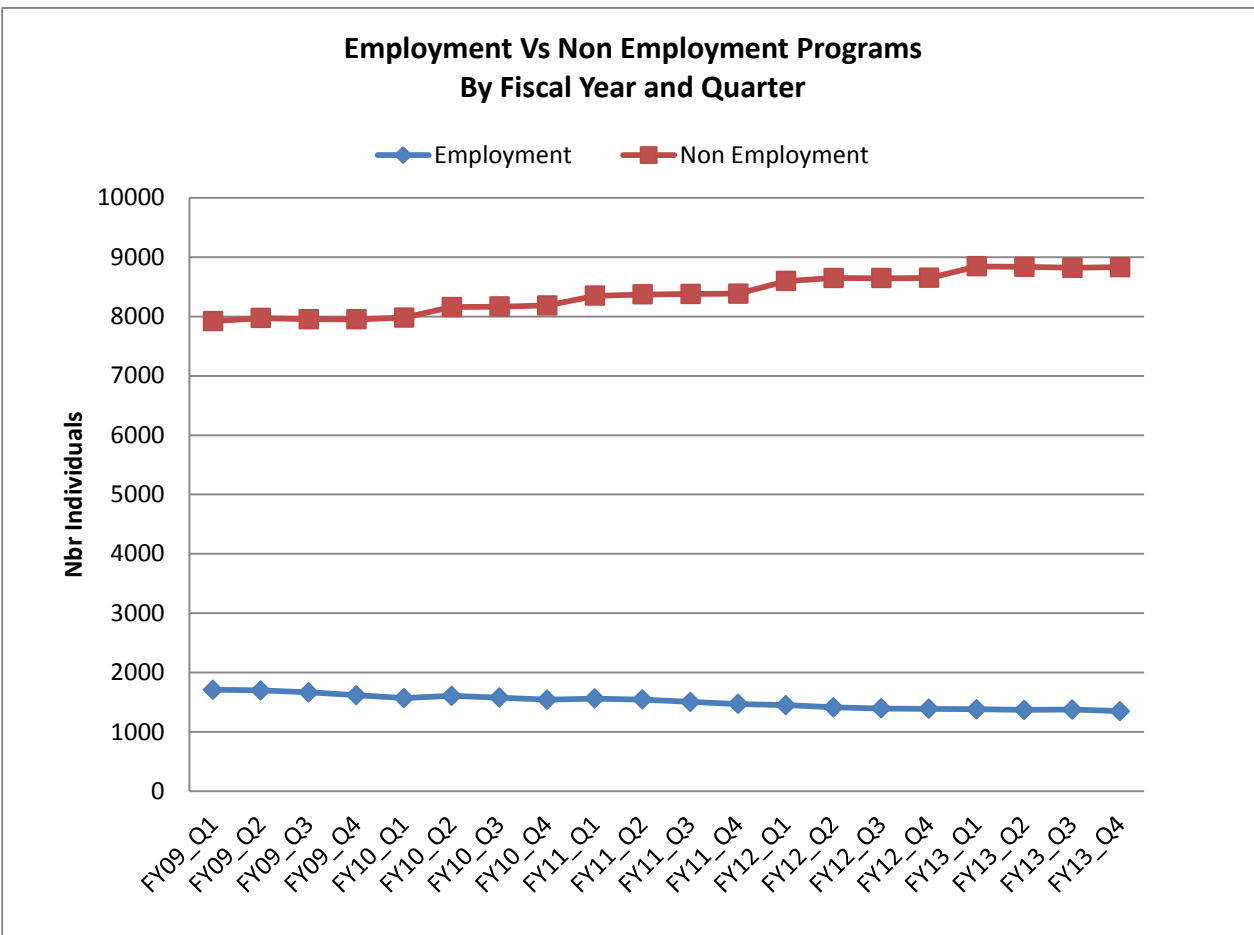
DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
	NA	Private	DDS	Self Direct		
Emp. And Day Supp.						
Ind. Supp. Emp.		201		65	266	5.1%
Group Supp. Emp.		1,073	11		1,084	20.9%
Sheltered Emp.		85			85	1.6%
Day Supp. Opt.		1,331	0	23	1,354	26.1%
Individ. Day Supp.		410	0	25	435	8.4%
Comp. Employment	102				102	2.0%
Other		9	1		10	0.2%
Sub-Total	102	3,109	12	113	3,336	64.4%
% Total	3.1%	93.2%	0.4%	3.4%	100.0%	
Educational and Developmental Services						
LEA		1,218			1,218	23.5%
Res School		5			5	0.1%
Birth to Three		2	3		5	0.1%
Other		1	17		18	0.3%
Sub-Total		1,226	20		1,246	24.1%
Other						
No Day Program	287				287	5.5%
Blanks	310				310	6.0%
Sub-Total	597				597	11.5%
Grand Total	699	4,335	32	113	5,179	100.0%

WEST REGION

DAY/WORK STATUS						
Day Support	How They Receive Support				Total	% Total
	NA	Private	DDS	Self Direct		
Emp. And Day Supp.						
Ind. Supp. Emp.		160		45	205	3.7%
Group Supp. Emp.		1,004	75		1,079	19.7%
Sheltered Emp.		132	17		149	2.7%
Day Supp. Opt.		1,472	190	23	1,685	30.7%
Individ. Day Supp.		226	0	35	261	4.8%
Comp. Employment	108				108	2.0%
Other		18	0		18	0.3%
Sub-Total	108	3,012	282	103	3,505	63.9%
% Total	3.1%	85.9%	8.0%	2.9%	100.0%	
Educational and Developmental Services						
LEA		1,254			1,254	22.9%
Res School		7			7	0.1%
Birth to Three		0	1		1	0.0%
Other		10	13		23	0.4%
Sub-Total		1,271	14		1,285	23.4%
Other						
No Day Program	355				355	6.5%
Blanks	338				338	6.2%
Sub-Total	693				693	12.6%
Grand Total	801	4,283	296	103	5,483	100.0%

SECTION I: Services and Supports

C. Day Programs – Comparison of Employment vs. Non Employment Programs



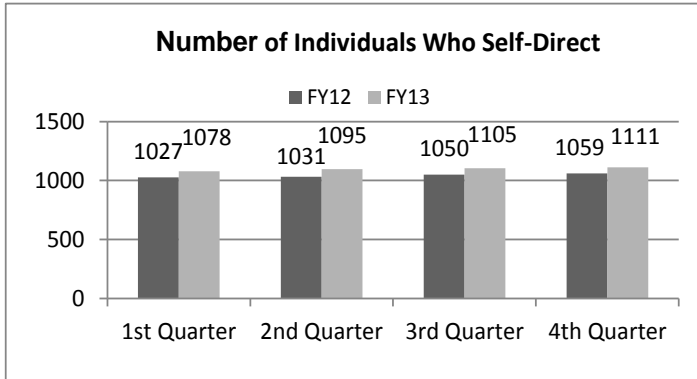
NOTE: Employment Programs consist of the following program types: Individual Supported Employment, Competitive Employment, Individualized Day Vocational.

Non-Employment Programs consist of the following program types: Group Supported Employment, Sheltered Workshops, Day Service Options, Individualized Day Retired or Non Vocational

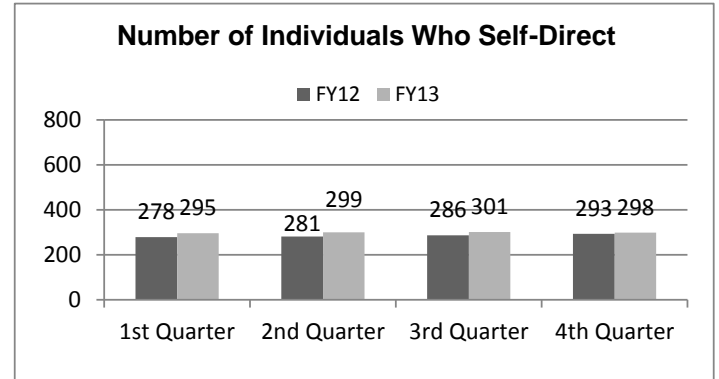
SECTION I: Services and Supports

- D. Number of Individuals Who Self-Direct
- E. Birth to Three Services
- F. Active Individuals By Caseload Type

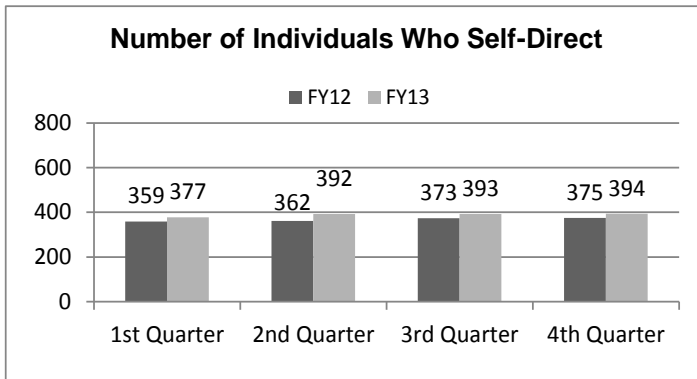
STATEWIDE



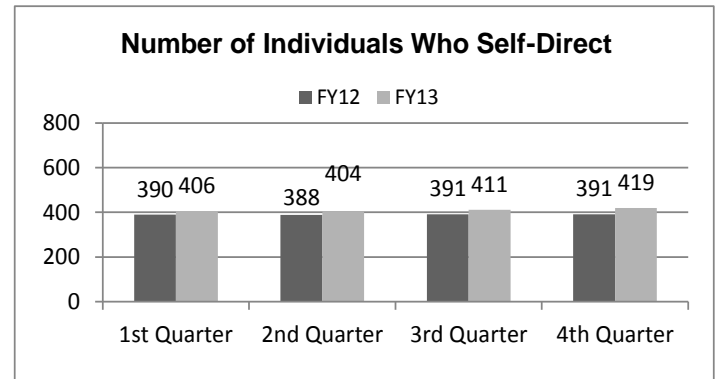
NORTH REGION



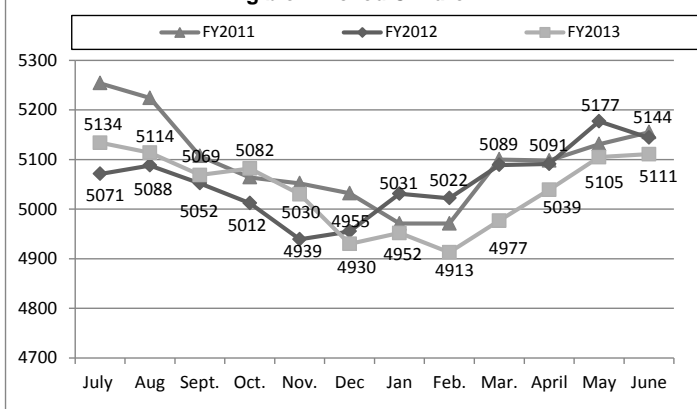
SOUTH REGION



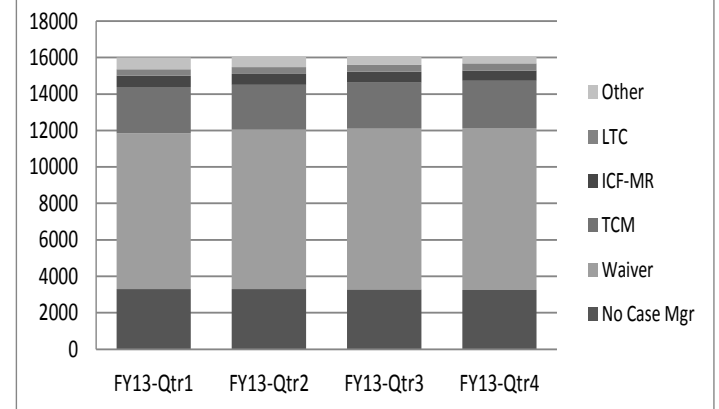
WEST REGION



Birth to Three System Eligible Enrolled Children



Active Individuals By Caseload Type



Statewide	AX No CM	Waiver	TCM	ICF-MR	LTC	Other	Total
YTD Diff	118	66	69	-22	-7	-83	141

SECTION I: Services and Supports
G. Family Support – Direct Support Services

IFS Family Support - Statewide

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	27	208	35	194	29	172	33	167	124
Enrolled - Adult	30	171	21	201	27	202	18	178	96
Not Enrolled - Child	26	132	17	66	22	69	3	55	68
Enrolled - Child	2	15	5	22	2	21	0	14	9
Total	85	526	78	483	80	464	54	414	297

IFS Family Support - NR

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	6	46	20	31	14	38	3	24	43
Enrolled - Adult	6	15	6	20	10	23	5	10	27
Not Enrolled - Child	14	62	2	4	14	16	1	6	31
Enrolled - Child	0	7	4	15	1	11	0	10	5
Total	26	130	32	70	39	88	9	50	106

IFS Family Support - SR

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	15	56	5	66	11	55	18	61	49
Enrolled - Adult	14	74	8	91	9	75	9	65	40
Not Enrolled - Child	6	16	0	7	2	4	1	7	9
Enrolled - Child	2	7	1	6	1	8	0	3	4
Total	37	153	14	170	23	142	28	136	102

IFS Family Support - WR

Waiver Status	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	6	106	10	97	4	79	12	82	32
Enrolled - Adult	10	82	7	90	8	104	4	103	29
Not Enrolled - Child	6	54	15	55	6	49	1	42	28
Enrolled - Child	0	1	0	1	0	2	0	1	0
Total	22	243	32	243	18	234	17	228	89

SECTION I: Services and Supports

H. Respite Utilization

STATEWIDE								
Respite Utilization Data - FY 2013								
Baseline Data - June 2012			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	379	Under 18	183	30	12	15	240
	Over 18	1425	Over 18	647	148	43	42	880
Unduplicated Number Of People Using Respite Beds	Under 18	327	Under 18	136	20	12	10	178
	Over 18	993	Over 18	564	105	44	26	739

NORTH REGION								
Respite Utilization Data - FY 2013								
Baseline Data - June 2012			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	98	Under 18	87	8	1	9	105
	Over 18	240	Over 18	228	11	1	15	255
Unduplicated Number Of People Using Respite Beds	Under 18	69	Under 18	46	10	8	4	68
	Over 18	182	Over 18	157	25	9	10	201

SOUTH REGION								
Respite Utilization Data - FY 2013								
Baseline Data - June 2012			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	63	Under 18	34	10	6	0	50
	Over 18	270	Over 18	247	52	8	10	317
Unduplicated Number Of People Using Respite Beds	Under 18	47	Under 18	34	1	1	3	39
	Over 18	205	Over 18	247	6	13	3	269

WEST REGION								
Respite Utilization Data - FY 2013								
Baseline Data - June 2012			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total
Unduplicated Number Of Referrals	Under 18	218	Under 18	62	12	5	6	85
	Over 18	915	Over 18	172	85	34	17	308
Unduplicated Number Of People Using Respite Beds	Under 18	211	Under 18	56	9	3	3	71
	Over 18	606	Over 18	160	74	22	13	269

SECTION I: Services and Supports

I. Autism Spectrum Disorder Program

Budgeted* Amounts for DDS Autism Program By Service - 2013 Forth Quarter

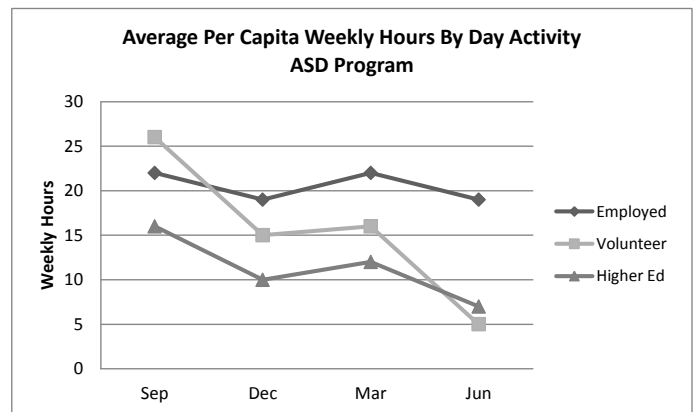
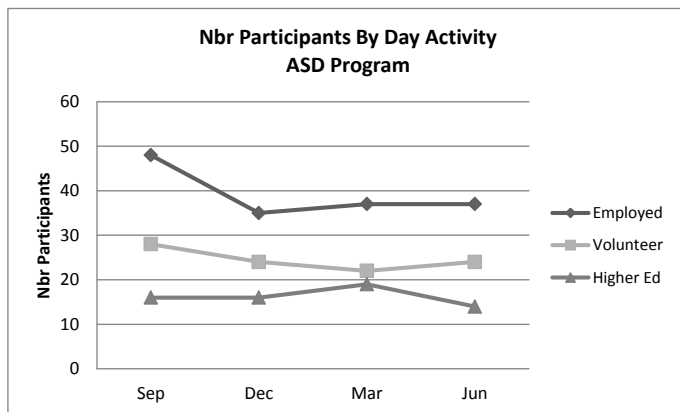
ServiceDesc	Budgeted Amt	Utilization @ 66%	Pct of Budget	Nbr Consumers**	Pct of Consumers***	Agency Provided	Self Direction
Activity Fee	\$ 3,727.00	2459.82	0.13%	22	23.16%	N/A	N/A
Behavior Mgmt	\$ 451,026.00	297677.16	16.19%	59	62.11%	X	
Community Mentor	\$ 581,030.00	383479.8	20.86%	59	62.11%	X	
Self Hire Community Mentor	\$ 66,923.00	44169.18	2.40%	11	11.58%		X
Individual Goods & Services	\$ 12,559.00	8288.94	0.45%	36	37.89%	N/A	N/A
Job Coach	\$ 119,816.00	79078.56	4.30%	35	36.84%	X	
Self Hire Job Coach	\$ 14,073.00	9288.18	0.51%	2	2.11%		X
Job Dev / Career Coun	\$ 684.00	451.44	0.02%	1	1.05%	X	
Life Skills Coach	\$ 1,173,479.00	774496.14	42.12%	89	93.68%	X	
Self Hire Life Skills Coach	\$ 150,431.00	99284.46	5.40%	13	13.68%		X
Respite In Home Per Day	\$ 9,417.00	6215.22	0.34%	2	2.11%	X	
Respite In Home Per Hour	\$ 90,630.00	59815.8	3.25%	9	9.47%	X	
Respite Out Home Per Day	\$ 3,249.00	2144.34	0.12%	2	2.11%	X	
Respite Out Home Per Hour	\$ 22,948.00	15145.68	0.82%	6	6.32%	X	
Self Hire Respite out of Home Day	\$ -	0	0.00%	0	0.00%		X
Social Skills Groups	\$ 75,718.00	49973.88	2.72%	47	49.47%	X	
Spec Driver Assessment	\$ 5,334.00	3520.44	0.19%	7	7.37%	X	
Transporation, per mile	\$ 4,833.00	3189.78	0.17%	6	6.32%	X	
Grand Total	\$ 2,785,877.00	1838678.82	100.00%				

*Amounts Shown are budgeted for Fiscal year 2013 First Quarter, not actual expenditures

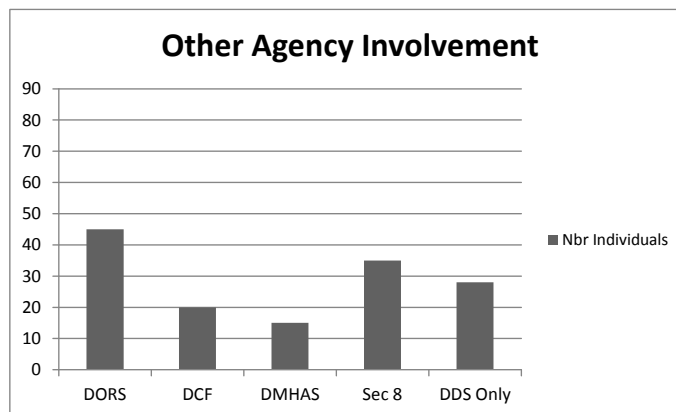
**Nbr Consumers is a duplicated count as individual consumers may receive multiple services

***Pct of Consumers is based on average enrollment of 95 individuals in DDS Autism Program

Data Source: IP6



Individuals may be involved in more than one activity and so may be duplicated



Individuals may be involved in more than one agency and so may be duplicated

Section II: Service Needs
A. Residential Waiting List

STATEWIDE	Emerg.	Pri. 1	Total
Home/IL (No Supports)	29	590	619
DYTD	-2	11	9
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	53	53
CRS	0	13	13
CCH	2	12	14
Indiv Home Supp*	7	177	184
Sub-Total	9	255	264
DYTD	-7	-45	-52
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	2	0	2
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	2	0	2
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	1	48	49
Other	6	21	27
Sub-Total	7	69	76
Total w/Sup. & Service	18	324	342
Grand Total	47	914	961
Change YTD	-3	-29	-32

NORTH REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	6	187	193
DYTD	2	15	17
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	9	9
CRS	0	1	1
CCH	0	1	1
Indiv Home Supp*	0	35	35
Sub-Total	0	46	46
DYTD	-5	-11	-16
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	15	15
Other	3	8	11
Sub-Total	3	23	26
Total w/Sup. & Service	3	69	72
Grand Total	9	256	265
Change YTD	-1	3	2

SOUTH REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	15	190	205
DYTD	4	1	5
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	5	5
CRS	0	1	1
CCH	1	0	1
Indiv Home Supp*	2	24	26
Sub-Total	3	30	33
DYTD	-1	5	4
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	1	16	17
Other	1	3	4
Sub-Total	2	19	21
Total w/Sup. & Service	5	49	54
Grand Total	20	239	259
Change YTD	5	6	11

WEST REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	8	213	221
DYTD	-8	-5	-13
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	39	39
CRS	0	11	11
CCH	1	11	12
Indiv Home Supp*	5	118	123
Sub-Total	6	179	185
DYTD	-1	-39	-40
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	2	0	2
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	2	0	2
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	17	17
Other	2	10	12
Sub-Total	2	27	29
Total w/Sup. & Service	10	206	216
Grand Total	18	419	437
Change YTD	-7	-38	-45

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs

B. Residential Planning List

STATEWIDE	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	851	307	1158
DYTD	1	-10	-9
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	4	0	4
CCH	2	0	2
Indiv Home Supp*	164	19	183
Sub-Total	170	19	189
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	1	0	1
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	2	0	2
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	9	3	12
Other	6	0	6
Sub-Total	15	3	18
Total Supports & Service	187	22	209
Grand Total	1038	329	1367
Change YTD	-22	-13	-35

NORTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	281	114	395
DYTD	2	1	3
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	0	0	0
CCH	0	0	0
Indiv Home Supp*	69	10	79
Sub-Total	69	10	79
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	3	1	4
Other	5	0	5
Sub-Total	8	1	9
Total Supports & Service	77	11	88
Grand Total	358	125	483
Change YTD	-8	-1	-9

SOUTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	349	112	461
DYTD	-2	-5	-7
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	2	0	2
CCH	1	0	1
Indiv Home Supp*	64	1	65
Sub-Total	67	1	68
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	4	0	4
Other	0	0	0
Sub-Total	4	0	4
Total Supports & Service	72	1	73
Grand Total	421	113	534
Change YTD	-13	-8	-21

WEST REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	221	81	302
DYTD	1	-6	-5
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	2	0	2
CCH	1	0	1
Indiv Home Supp*	31	8	39
Sub-Total	34	8	42
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	1	0	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	2	2	4
Other	1	0	1
Sub-Total	3	2	5
Total Supports & Service	38	10	48
Grand Total	259	91	350
Change YTD	-1	-4	-5

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs

C. Future Planning Ageouts and High School Graduates

Residential Ageouts

STATEWIDE	
DCF, LEA or ISA Funded	
FY 2014	101
FY 2015	90
FY 2016	78

NORTH REGION	
DCF, LEA or ISA Funded	
FY 2014	36
FY 2015	28
FY 2016	26

SOUTH REGION	
DCF, LEA or ISA Funded	
FY 2014	30
FY 2015	34
FY 2016	24

WEST REGION	
DCF, LEA or ISA Funded	
FY 2014	35
FY 2015	28
FY 2016	28

Grads and Day Ageouts

STATEWIDE		
	School Graduates	Ageouts
FY 2014	299	110
FY 2015	257	95
FY 2016	126	81

NORTH REGION		
	School Graduates	Ageouts
FY 2014	99	53
FY 2015	102	38
FY 2016	2	23

SOUTH REGION		
	School Graduates	Ageouts
FY 2014	95	29
FY 2015	74	24
FY 2016	35	31

WEST REGION		
	School Graduates	Ageouts
FY 2014	105	28
FY 2015	81	33
FY 2016	89	27

NOTE: The above numbers represent individuals identified within the Planning and Resource Allocation Team (PRAT) database as either Aging Out of DCF, LEA or ISA funded settings or due to Graduate from their School District. The Fiscal Year is based on the Fiscal Year funding identified in the PRAT database. Regions will continue to identify School Graduates and Ageouts throughout the year. These numbers will change over time especially those in the third year out (June 2016).

Section III: New Development Goals and Support Activity
A. Residential Waiting List Activities and Residential Ageouts

FY 13 - Fourth Quarter Report Waiting List Activities Service Activity July 1, 2012 - June 30, 2013	
Residential Waiting List Funding and Service Activity	
North Region	Actual YTD
Re-Use of Opportune Resources	22
Move Utilizing Portable Funding	93
South Region	Actual YTD
Re-Use of Opportune Resources	48
Move Utilizing Portable Funding	59
West Region	Actual YTD
Re-Use of Opportune Resources	33
Move Utilizing Portable Funding	40
Statewide	Actual YTD
Re-Use of Opportune Resources	103
Move Utilizing Portable Funding	192

Residential AgeOuts			
North Region	Goal	Actual YTD	Difference
Served with FY13 AO Funds	32	26	-6
Total	32	26	-6
South Region	Goal	Actual YTD	Difference
Served with FY13 AO Funds	24	24	0
Total	24	24	0
West Region	Goal	Actual YTD	Difference
Served with FY13 AO Funds	27	20	-7
Total	27	20	-7
Statewide	Goal	Actual YTD	Difference
Served with FY13 AO Funds	83	70	-13
Grand Total	83	70	-13

Fourteen additional individuals were served with FY 12 funds during this period

Section III: New Development Goals and Support Activity

B. Underserved Consumers Receiving Annualized Residential Supports

C. Day AgeOuts and High School Grads

Annualized Resources For Underserved Consumers			
	Number	Total*	Pct
North Region	28	77	36%
South Region	2	34	6%
West Region	8	196	4%
Statewide	38	307	12%

Includes only consumers who are Priority E or 1 on PRAT Database

*Total represents all Pri E/1 underserved consumers active on the waiting list during the reporting quarter

High School Graduates and Age Out* Funding			
North Region	Goal	Actual YTD	Difference
June 2012 Grads Served w/FY13 Grad Funds	118	99	-19
June 2012 Age Outs Served w/FY13 Grad Funds	36	35	-1
Total	154	134	-20
South Region	Goal	Actual YTD	Difference
June 2012 Grads Served w/FY13 Grad Funds	88	84	-4
June 2012 Age Outs Served w/FY13 Grad Funds	32	27	-5
Total	120	111	-9
West Region	Goal	Actual YTD	Difference
June 2012 Grads Served w/FY13 Grad Funds	83	77	-6
June 2012 Age Outs Served w/FY13 Grad Funds	33	28	-5
Total	116	105	-11
Statewide	Goal	Actual YTD	Difference
June 2012 Grads Served w/FY13 Grad Funds	289	260	-29
June 2012 Age Outs Served w/FY13 Grad Funds	101	90	-11
Grand Total	390	350	-40

*Individuals Aging out of DCF and LEA Services

Section IV: Eligibility

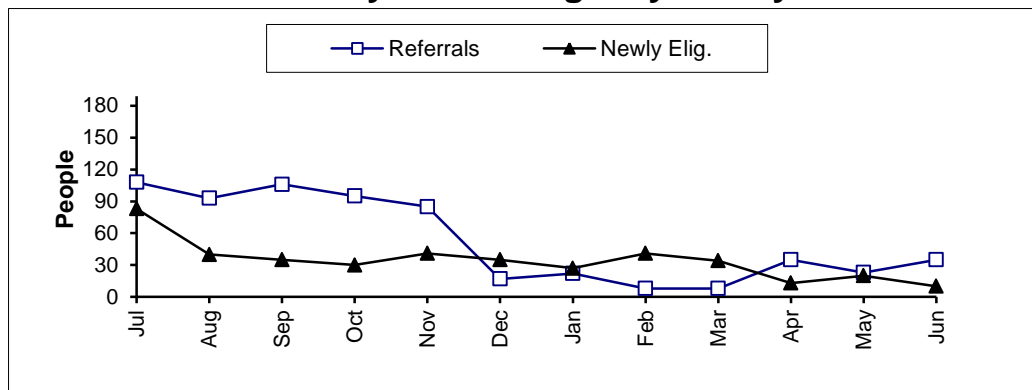
**Eligibility Inquiries And Determination
Cumulative For All Four Quarters -- FY 13
July 1, 2012- June 30, 2013**

**There Were 406 Eligibility Determinations
Cumulative Through The 4th Quarter -- FY 13
July 1, 2012- June 30, 2013**

Age Range	Total	Eligible	% Eligible Of Total Eligible	Ineligible	% Ineligible Of Total Ineligible
3-4.9	21	21	5.13%	0	0.00%
5-7	46	42	10.27%	4	7.84%
8-17.9	186	172	42.05%	14	27.45%
18-20.9	94	85	20.78%	9	17.65%
21-29	72	63	15.40%	9	17.65%
30-39	13	10	2.44%	3	5.88%
40-49	12	8	1.96%	4	7.84%
50-59	12	5	1.22%	7	13.73%
60-69	4	3	0.73%	1	1.96%
Totals*	460	409	88.91%	51	11.09%

*Percent of Eligible and Ineligible represents percent of Total Eligibility Determinations of 460

Monthly Referral/Eligibility Activity



Section V: Case Load by Age
A. Residential

DDS CLIENTS BY RESIDENTIAL PROGRAM AND AGE

June 2013 Client Data

(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CCH DDS LIC.	CCH-NOT DDS-LIC	SNF	RCH (HA)	PRIV. RES. SCH.	IND. LIV.	DDS HOME IS	PVT HOME IS	FAM HOME	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	0	0	0	0	0	11	0	0	0	0	11	0%
3-5	0	0	0	0	0	0	0	0	0	0	0	0	136	0	0	0	0	136	1%
6-13	0	0	6	3	1	18	0	0	10	0	0	0	1,172	0	3	2	3	1,218	8%
14-17	0	0	45	2	1	19	0	0	30	0	0	0	1,010	1	0	1	4	1,113	7%
18-21	0	1	103	24	6	34	0	0	51	12	0	2	1,314	0	2	9	4	1,562	10%
22-34	21	23	588	248	77	7	11	2	24	328	7	97	2,725	0	5	8	27	4,198	26%
35-44	47	59	531	86	63	1	15	4	2	185	23	102	877	2	5	4	5	2,011	13%
45-54	142	130	924	109	108	0	52	6	5	202	64	171	640	3	4	1	12	2,573	16%
55-64	169	107	726	63	79	0	105	7	2	116	72	126	321	0	1	5	9	1,908	12%
65-74	121	39	349	29	41	0	104	14	0	59	33	46	87	0	1	1	4	928	6%
75+	52	7	117	5	14	0	101	11	0	24	16	10	18	0	0	3	1	379	2%
TOTAL	552	366	3,389	569	390	79	388	44	124	926	215	554	8,311	6	21	34	69	16,037	100%
PERCENT	3%	2%	21%	4%	2%	0%	2%	0%	1%	6%	1%	3%	52%	0%	0%	0%	0%	100%	

Sub-Totals by Age Groups

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CCH DDS LIC.	CCH-NOT DDS-LIC	SNF	RCH (HA)	PRIV. RES. SCH.	IND. LIV.	DDS HOME IS	PVT HOME IS	FAMILY HOME	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	0	51	5	2	37	0	0	40	0	0	0	2,329	1	3	3	7	2,478	15%
Young Adults (Age 18-21)	0	1	103	24	6	34	0	0	51	12	0	2	1,314	0	2	9	4	1,562	10%
Adults (Age 22 and Over)	552	365	3,235	540	382	8	388	44	33	914	215	552	4,668	5	16	22	58	11,997	75%
Total Percent	552	366	3,389	569	390	79	388	44	124	926	215	554	8,311	6	21	34	69	16,037	100%

Note: Includes Active Only Clients. "0%" = less than one percent.

Campus (RC,TRS) = Regional or STS Campus Unit
 DDS CLA (CLA) = DDS Operated Community Living Arrangement
 Priv. CLA (CLA) = Non-DDS Operated Com. Living Arrangement
 CRS = Continuous Residential Supports (Formerly 24 Hr Supp L
 DDS Lic. CCH (CCH) = DDS licensed community companion ho
 CCH Not DDS Lic. (CTO) = Non-DDS licensed CCH (DCF
 home, etc.)
 SNF (SNF) = Skilled Nursing Facility*
 ICF (ICF) = Intermediate care facility/general*
 Res. Care Home (RCH) = Res. Care Home (Formerly Home for t
 Aged)*

Priv. Res. Sch. (SCR) = Private Residential School
 Ind. Liv. (IL) = Independent Living
 DDS Home IS = DDS Individual Support in Own Home
 Priv. Home IS = Private Individual Support in Own Home
 Family Home (FAM) = Family Home
 MH Fac. (MH) = Mental Health Facility
 Hos. (HOS) = Hospital Facility
 Other (COR,OR) = Other Res. Program (Correctional Facility, Other)
 No Data = No valid Residential Program in CAMRIS for Client

* Long Term Care Facility (Licensed by the Dept. of Health Services)

Section V: Case Load by Age
B. Day/Work Services

DDS CLIENTS BY DAY PROGRAM AND AGE
June 2013 Client Data

(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

Age Group	DSO	SEI	GSE	SHE	IDV	IDN	IDR	B23	LEA	SCD	EMP	EMX	OTH	NP*	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	6	2	0	0	0	0	0	3	11	0%
3-5	0	0	0	0	0	0	0	35	79	0	0	0	0	0	22	136	1%
6-13	0	1	0	0	0	0	0	61	1,056	2	0	0	5	7	86	1,218	8%
14-17	0	0	0	0	0	0	0	10	1,027	5	0	0	4	2	65	1,113	7%
18-21	8	2	11	1	2	1	0	5	1,406	14	0	0	10	8	94	1,562	10%
22-34	1,236	268	1,390	75	233	178	0	1	177	10	53	5	9	253	310	4,198	26%
35-44	630	149	668	79	55	72	0	0	2	1	95	11	6	157	86	2,011	13%
45-54	1,004	139	767	115	54	97	0	0	1	1	90	9	3	209	84	2,573	16%
55-64	872	72	423	104	25	120	0	0	1	1	66	4	1	137	82	1,908	12%
65-74	484	16	123	27	8	86	1	0	0	0	19	3	0	110	51	928	6%
75+	178	1	20	12	1	49	0	0	0	0	3	2	1	84	28	379	2%
TOTAL	4,412	648	3,402	413	378	603	1	118	3,751	34	326	34	39	967	911	16,037	100%
PERCENT	28%	4%	21%	3%	2%	4%	0%	1%	23%	0%	2%	0%	0%	6%	6%	100%	
Sub-Totals by Age Groups																	
Age Group	DSO	SEI	GSE	SHE	IDV	IDN	IDR	B23	LEA	SCD	EMP	EMX	OTH	NP*	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	1	0	0	0	0	0	112	2,164	7	0	0	9	9	176	2,478	15%
Young Adults (Age 18-21)	8	2	11	1	2	1	0	5	1,406	14	0	0	10	8	94	1,562	10%
Adults (Age 22 and Over)	4,404	645	3,391	412	376	602	1	1	181	13	326	34	20	950	641	11,997	75%
Total	4,412	648	3,402	413	378	603	1	118	3,751	34	326	34	39	967	911	16,037	100%
Percent	28%	4%	21%	3%	2%	4%	0%	1%	23%	0%	2%	0%	0%	6%	6%		
DSO Day Support Options SEI Supported Employment - Individual Placement GSE Group Supported Employment SHE Sheltered Employment IDV Individualized Day Vocational IDN Individualized Day Non-Vocational LEA Public School SCD Res School EMP Employed EMX Unemployed OTH Other Day Program NP* No Program: Is either on the Waiting List or doesn't need/want a program at this time. Combines former No Day Program - Program Needed, Medical																	

Section VI: Home and Community Based Waiver

A. Enrollment

All HCBS Waiver Enrollees

Region	Own								Total
	DDS CLA	Private CLA	CRS*	CCH	Family Home	Home w/ Supports	RCH	Other	
North	195	1,013	215	132	1,183	415	6	33	3,192
South	145	939	176	148	1,278	579	4	23	3,292
West	18	931	134	104	1,258	382	4	31	2,862
Jun 13 Total	358	2,883	525	384	3,719	1,376	14	87	9,346
June 2012	378	2,805	382	372	3,286	1,345	16	54	8,638
ΔYTD	-20	78	143	12	433	31	-2	33	708

Comprehensive Waiver Enrollees

Region	Own								Total
	DDS CLA	Private CLA	CRS*	CCH	Family Home	Home w/ Supports	RCH	Other	
North	195	1,003	202	92	89	202	0	16	1,799
South	145	937	152	125	83	247	2	12	1,703
West	18	926	121	76	93	135	2	11	1,382
Jun 13 Total	358	2,866	475	293	265	584	4	39	4,884
June 2012	378	2,792	332	305	243	605	5	25	4,685
ΔYTD	-20	74	143	-12	22	-21	-1	14	199

Individual and Family Support Waiver Enrollees

Region	Own								Total
	DDS CLA	Private CLA	CRS*	CCH	Family Home	Home w/ Supports	RCH	Other	
North	0	10	13	40	966	209	6	16	1,260
South	0	2	24	22	1,062	324	2	11	1,447
West	0	5	13	28	1,017	242	2	18	1,325
Jun 13 Total	0	17	50	90	3,045	775	10	45	4,032
June 2012	0	13	50	67	2,901	733	11	28	3,803
ΔYTD	0	4	0	23	144	42	-1	17	229

Employment and Day Support Waiver Enrollees

Region	Own								Total
	DDS CLA	Private CLA	CRS*	CCH	Family Home	Home w/ Supports	RCH	Other	
North	0	0	0	0	128	4	0	1	133
South	0	0	0	1	133	8	0	0	142
West	0	0	0	0	148	5	0	2	155
Jun 13 Total	0	0	0	1	409	17	0	3	430
June 2012	0	0	0	0	142	7	0	1	150
ΔYTD	0	0	0	1	267	10	0	2	280

Data Source: CAMRIS 3/13

NOTE 1: IFS Waiver enrollees reported as living in CLAs and CRSs are pending transfer to the Comprehensive Waiver.

NOTE 2: "Own Home w/Supports" combines "Supported Living" and "Own Home(IL)" to show waiver enrollees with in-home supports.

NOTE 3: "CRS" (Continuous Residential Supports) is a new Residential Type whose consumers were formerly included as waiver enrollees with in-home supports.

Section VI: Home and Community Based Waiver

B. Federal Revenue

Actual v. Projected Revenue - FY 2013 Fourth Quarter					
(Millions of Dollars)					
	SFY 12	SFY 13	SFY 13	SFY 13	
	Actual	EOY	YTD Actual	Difference	% of
	Revenue	Projected	Revenue	vs Actual	Revenue
					Received
Waiver	\$351.44	\$371.03	\$385.24	-\$14.21	103.83%
ICF/MR	\$108.36	\$108.87	\$114.32	-\$5.45	105.01%
TCM	\$4.88	\$13.16	\$16.62	-\$3.46	126.25%
B-3	\$6.23	\$7.76	\$7.05	\$0.71	90.85%
TOTAL Billing	\$470.91	\$500.82	\$523.23	-\$22.41	104.47%

Actual v. Projected Revenue - FY 2013 Fourth Quarter					
(Millions of Dollars)					
	SFY 12	SFY 13	SFY 13	SFY 13	
	Actual	EOY	YTD Actual	Difference	% of
	Revenue	Projected	Revenue	vs Actual	Revenue
					Received
Autism Waiver	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
Comp Waiver	\$297.35	\$317.00	\$321.96	-\$4.97	101.57%
IFS Waiver	\$53.08	\$50.91	\$58.74	-\$7.83	115.38%
Supports Waiver	\$1.00	\$3.13	\$4.54	-\$1.41	145.17%
Total Waiver	\$351.44	\$371.03	\$385.24	-\$14.21	103.83%
Public ICF/MR	\$108.36	\$108.87	\$114.32	-\$5.45	105.01%
Targeted Case Mgmt.	\$4.88	\$13.16	\$16.62	-\$3.46	126.25%
Birth to Three	\$6.23	\$7.76	\$7.05	\$0.71	90.85%
TOTAL Billing	\$470.91	\$500.82	\$523.23	-\$22.41	104.47%

Section VII: Human Resource

A. DDS funded Position Count

<u>Permanent Full Time Position Count (General Funds)</u>						
Region	Authorized	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant
North	750	622	14	17	0	97
South	682	564	11	36	0	71
West	597	511	0	17	1	69
STS	1160	876	1	51	1	232
Central Office	133	125	0	0	0	8
Totals	3322	2698	26	121	2	477

<u>Permanent Part Time Position Count (General Funds)</u>						
Region	Authorized	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant
North	339	271	6	11	0	51
South	307	237	10	9	0	51
West	222	185	0	13	0	24
STS	202	104	0	10	0	88
Central Office	0	0	0	0	0	0
Totals	1070	797	16	43	0	214

<u>Other General Fund Positions - Filled</u>						
Type	North	South	West	STS	CO	Total
Temporary	18	39	7	7	0	71
General Workers	33	24	3	96	0	156
Substitutes	0	0	0	0	0	0
Retirees	0	0	0	0	0	0
Inst. Fire	0	0	0	4	0	4
Per Diems	19	9	6	4	5	43

Section VII: Human Resources

B. DDS Abuse and Neglect Registry

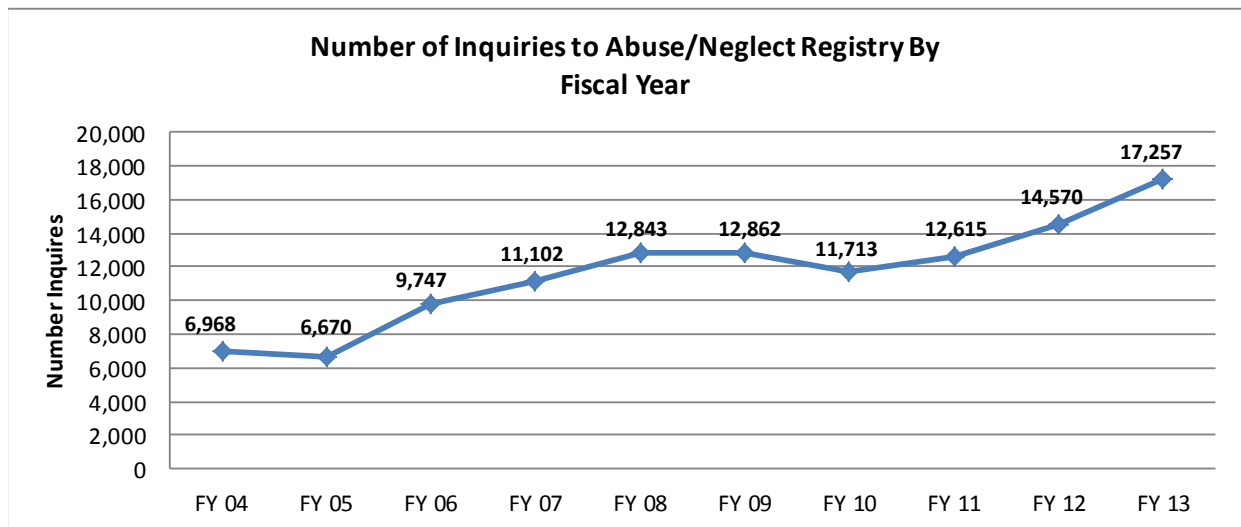
DDS Abuse and Neglect Registry Quarterly Report

April - June 2013

Registry Notifications Received							
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Notifications This Quarter	17	16	94%	1	6%	0	0%
Notifications as of 3/31/13	767	629	82%	138	18%	0	0%
Total Cumulative Notifications *	784	645	82%	139	18%	0	0%

Disposition of Registry Notifications							
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Names on Registry This Quarter	0	0	0%	0	0%	0	0%
Names on Registry as of 3/31/13	136	107	79%	29	21%	0	0%
Closed - Arbitration & Legal Proceedings	88	22	25%	66	75%	0	0%
Closed - Do not meet Statutory Criteria	383	340	89%	43	11%	0	0%
Total Completed Cases*	607	469	77%	138	23%	0	0%

*Represents cumulative data from the beginning of the Abuse/Neglect Registry



Inquiries made in Current Fiscal Year	
Number Inquiries in Current Quarter	Number of Inquiries Year to Date
4,707	17,257