



APPLICATION FOR A
MEDICAL MARIJUANA DISPENSARY
FACILITY LICENSE

BINDER 1 OF 2

Prime Wellness of Connecticut, LLC
www.primewellnessofct.com

South Windsor, Connecticut

January 28, 2014

Mr. Thomas J. Nicholas, CEO
Prime Wellness of Connecticut, LLC
379 Quarry Brook Drive
South Windsor, CT 06074

Dear Tom,

My understanding is that the CT DCP has asked some of the Dispensary applicants to submit additional information due by February 3, 2014. As such, I'm writing this letter agreeing to the extension of our contingent lease for 75 John Fitch Boulevard, South Windsor, CT 06074 from 1/31/14 to 2/28/14. Hopefully, the department will award dispensary licenses before the end of February.

Best of luck in your quest for licensure and please keep me apprised of your progress.

Agreed by:

Landlord:



Peter Churilo

Tenant:



Thomas J. Nicholas
Prime Wellness of Connecticut, LLC



APPLICATION FOR A
MEDICAL MARIJUANA DISPENSARY
FACILITY LICENSE

Prime Wellness of Connecticut, LLC
www.primewellnessofct.com

South Windsor, Connecticut



November, 14th 2013

Via Hand Delivery

Department of Consumer Protection
Drug Control Division - Medical Marijuana Program
RFA #2013-109377
165 Capitol Ave., Room 145
Hartford, CT 06106
Attn: William M. Rubenstein, Commissioner

Dear Mr. Rubenstein:

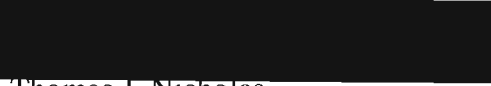
Prime Wellness of Connecticut, LLC (“Prime Wellness”) is pleased to submit for your review and consideration an application for a medical marijuana dispensary facility license.

Prime Wellness recognizes the numerous stakeholders involved with this unique project, including but not limited to patients, law enforcement, the medical community, local and State government, and the general public. Given the economic climate, sensitive nature of the industry, and start-up character of the project, Prime Wellness was aware of the many challenges involved in opening a medical marijuana facility. In fairness to all stakeholders, Prime Wellness pledged to submit an application only if it was first able to secure in advance matters critical to the viability of a fledging enterprise in a challenging environment – i.e. adequate funding, experienced professionals, site control, etc.

Prime Wellness is proud to state that it did successfully secure such matters, and all stakeholders can feel confident that this application does not represent merely an “idea” based on speculative contingencies.

Although we are proud of the application submitted for your review, we are even more proud of the individuals who have committed themselves to Prime Wellness’ project and its mission and vision of being part of a process that will assist patients to help themselves reach a better quality of life through the availability of pharmaceutical grade medical marijuana and marijuana products; quality education and awareness, and outreach relating to such; trained and knowledgeable professionals and staff members; an atmosphere of compassion, understanding and pride; and proper safety, security and comfort measures.

Most respectfully submitted,


Thomas J. Nicholas
Chief Executive Officer

On Behalf of the Prime Wellness Team

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SECTION A. BUSINESS INFORMATION OF APPLICANT

QUESTION A.1. *Complete the Dispensary Facility Information Form, attached as Appendix A.*

RESPONSE A.1.

Please see the Dispensary Facility License Information Form attached hereto as Appendix A.



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Appendix A Dispensary Facility License Information Form

Section A: Business Information						
1. Applicant business type:						
<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Corporation	<input checked="" type="checkbox"/> Limited Liability Co.	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Liability Partnership	<input type="checkbox"/> Unincorporated Association	<input type="checkbox"/> Other: _____
2. Legal Name of Applicant: Prime Wellness of Connecticut, LLC						
3. Trade Name of Applicant:						
4. Applicant's Business Address: [REDACTED]						
5. City: South Windsor				6. State: CT	7. Zip Code: 06074	
8. Daytime Telephone Number: [REDACTED]			9. E-mail Address: [REDACTED]			
10. Applicant's Mailing Address (if different than business address): Same as business					11. City:	
12. State:	13. Zip Code:	14. Daytime Telephone Number:		15. Fax Number:		

Section B: Contact Information	
All communications from the department regarding this application will be sent to your primary contact and alternate contact, if one is designated. We will assume that you receive all communications sent to your designated contact(s) and it will be your responsibility to notify us if any of their contact information changes.	
16. Name of Primary Contact: Thomas J. Nicholas	17. Primary Contact Title: CEO
18. Primary Contact E-mail Address: [REDACTED]	19. Primary Contact Telephone Number: [REDACTED]
20. OPTIONAL - Name of Alternate Contact: John P. Glowik, Jr.	21. Alternate Contact Title: Member
22. Alternate Contact E-mail Address: [REDACTED]	23. Alternate Contact Telephone Number: [REDACTED]

Section C: Formation/Incorporation Information	
24. Date of Formation/Incorporation: 8/27/13	25. Place of Formation/Incorporation: South Windsor, CT
26. Registered with the Connecticut Secretary of State: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	27. Sale and Use Tax Permit Number: 60335015-001 Provide a copy of your Sale and Use Tax permit with your application. <i>see Attachment B</i>



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Section D: Proposed Dispensary Facility Information

28. Proposed Dispensary Facility Address: 75 John Fitch Boulevard			29. City: South Windsor
30. State: CT	31. Zip Code: 06074	32. Telephone Number:	33. Fax Number:
34. Own or Lease Property: <input type="checkbox"/> Own <input checked="" type="checkbox"/> Lease <i>see Attachment C</i> Provide a copy of the lease, deed or other documents evidencing the right to occupy if you are awarded a license.		35. Name of Property Owner: Peter Churilo, So. Windsor, CT	

Section E: Business Association Information

36. Are you associated with any other dispensary facility license applicant or producer license applicant: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide the name of all applicants with whom you are associated. Attach additional pages if necessary.	
37. Applicant Name: Prime Wellness of Connecticut, LLC - Groton, CT	38. Applicant Type: <input checked="" type="checkbox"/> Dispensary Facility <input type="checkbox"/> Producer
39. Applicant Name: Prime Wellness of Connecticut, LLC	40. Applicant Type: <input type="checkbox"/> Dispensary Facility <input checked="" type="checkbox"/> Producer

Section F: Proposed Dispensary Department Hours

41. State the proposed dispensary department hours of operation for each day. The dispensary department is where marijuana will be sold.

Monday	9 am	to	6pm	Friday	9am	to	7pm
Tuesday	9am	to	6pm	Saturday	9am	to	5pm
Wednesday	9am	to	6pm	Sunday		to	
Thursday	9am	to	6pm				

Section G: Proposed Dispensary Facility Hours

42. State the proposed dispensary facility hours of operation for each day. The dispensary facility includes areas where non-marijuana products and services will be offered.

Monday	9am	to	6pm	Friday	9am	to	7pm
Tuesday	9am	to	6pm	Saturday	9am	to	5pm
Wednesday	9am	to	6pm	Sunday		to	
Thursday	9am	to	6pm				



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Section H: Other Business Names & Addresses

List all names under which the applicant has done business or has held itself out to the public as doing business. Do not limit your response to business operations in Connecticut. Attach additional pages if necessary.

43. Name: N/A	44. Time Period:

List all addresses, other than those listed in response to Section A, that the applicant owns, has owned or from which it has conducted business during the previous five years and give the approximate time periods during which such locations were owned or utilized. Attach additional pages if necessary.

45. Address:	46. Time Period:

Section I: Dispensary Facility Backers

Provide the following information for each dispensary facility backer. A dispensary facility backer is any person (including any legal entity) with a direct or indirect financial interest in the applicant, except it shall not include a person with an investment interest provided the interest held by such person and such person's co-workers, employees, spouse, parent or child, in the aggregate, does not exceed five per cent of the total ownership or interest rights in the applicant and such person will not participate directly or indirectly in the control, management or operation of the dispensary facility if a license is granted.

Create additional copies of this page if necessary.

Each backer identified in response to this section must complete and sign Appendix B.

47. Name: Thomas J. Nicholas	48. Percentage of ownership [Redacted]
John P. Glowik, Jr.	[Redacted]
Kevin P. Murphy	[Redacted]



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Section J: Directors, Owners, Officers and Other High-Level Employees

Provide the following information for each individual, including each dispensary facility backer, who will:

- directly or indirectly have control over, or participate in the management or operation of, the dispensary facility; or
- who currently receives, or who reasonably can be expected to receive, within one calendar year, compensation from the applicant exceeding \$100,000.

Create additional copies of this page if necessary.

Each person identified in response to this section must complete and sign Appendix C.

49. Name (First, Middle, Last):	50. Title:	51. Role:
Thomas Joseph Nicholas	CEO	Manage Operations
John P. Glowik, Jr.	Owner	Director
Kevin P. Murphy	CFO	Manage Finances
Algis T. Domeika	Dispensary Manager	Day to Day Operations

Section K: Financial Statement

Set forth all expenses greater than \$10,000 incurred in connection with the establishment of your business and the sources of the funds for each. Attach additional pages if necessary. The Department may require backup documentation.

52. Expense Item:	53. Cost: \$	54. Source of Funds:
See attached expense item list with cost and	\$	
source of funds.	\$	
	\$	
	\$	
	\$	
	\$	
	\$	
	\$	

Section L: Security System

Identify the company or companies that will provide security services for the dispensary facility if a license is awarded. If more than two companies will provide security services, complete this section for each such additional company.

55. Primary Security Company Name: ISG Security	
56. Primary Security Company Address (including Apartment or Suite #): 1501 East Main Street	57. City: Meriden



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58. State: CT	59. Zip Code: 06450	60. Telephone Number: (866) 393-4474	61. Fax Number: (203) 440-4273
62. E-mail Address: hazano@isgsecurity.com			
63. Backup Security Company Name (if applicable): N/A			
64. Backup Security Company Address (including Apartment or Suite #):			65. City:
66. State:	67. Zip Code:	68. Telephone Number:	69. Fax Number:
70. E-mail Address:			
71. Attach a detailed description of the security plan to be offered by the security company or companies. Be sure to include a discussion of each of the required elements set forth in Section 21a-408-62 of the Regulations of Connecticut State Agencies. <i>See Attachment 2</i>			

Section M: Legal Proceedings

72. Has the applicant ever had any petition filed by or against it, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period? Yes No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

73. Has the applicant ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action? Yes No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

74. Is the applicant a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim? Yes No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on the applicant or the applicant's operations.

75. Has the applicant ever had any fines or other penalties over \$10,000 assessed by any regulatory agency? Yes No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section N: Criminal Actions

76. Has the applicant ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or are any such charges pending? Yes No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.



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Section O: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating the applicant's suitability to participate in the medical marijuana program. As the duly authorized representative of the applicant, I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

77. Signature:



[Redacted Signature]

78. Date Signed:

November 12, 2013

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes. As the duly authorized representative of the applicant, I hereby make the above certifications on behalf of the applicant.

79. Signature:



[Redacted Signature]

80. Date Signed:

November 12, 2013



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Appendix B

Dispensary Facility Backer Information Form

This form must be completed by each person or entity identified as a dispensary facility backer in Appendix A, section I.

Section A: Backer Information

1. Backer business type:

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Sole Proprietorship	Corporation	Limited Liability Co.	Partnership	Limited Liability Partnership	Unincorporated Association	Other: <u>individual</u>

2. Legal Name of Backer:

Thomas J. Nicholas

3. Trade Name of Backer (if applicable):

4. Street Address (including Apartment or Suite #):

[Redacted]

5. City:

[Redacted]

6. State:

[Redacted]

7. Zip Code:

[Redacted]

8. Daytime Telephone Number:

[Redacted]

9. Fax Number:

[Redacted]

10. E-mail Address:

[Redacted]

Section B: Backer Members

If you selected anything other than "Sole Proprietorship" in response to Section A, identify the members of your organization. A member is any person with a direct or indirect ownership interest greater than 5%. Attach additional pages if necessary.

Each member of a backer identified in response to this section must complete either:

- Appendix C if they are also a director, owner, officer or other high-level employee of the applicant; or
- Appendix E in all other instances.

11. Name (First, Middle, Last):	12. Percentage of ownership
Thomas Joseph Nicholas	[Redacted]



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Section C: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

13. State NY	14. Issue Date (month/year): 10/77 Expiration Date (month/year): 10/80	15. Type: Registered Nursing	16. Number: 299758
17. State CT	18. Issue Date (month/year): 11/79 Expiration Date (month/year): 09/96	19. Type: Registered Nursing	20. Number: 10.R32604

Section D: Legal Proceedings

21. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

Yes No

If the answer above is “yes”, attach a statement providing the details of such proceeding or petition.

22. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

Yes No

If the answer above is “yes”, attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

23. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

Yes No

If the answer above is “yes”, attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on your ability to serve as a backer for the applicant.

24. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

Yes No

If the answer above is “yes”, attach a statement providing the details of such fines or penalties.

Section E: Criminal Actions

25. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? Yes No

If the answer above is “yes”, attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.



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Section F: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. As the backer, or duly authorized representative of the backer, I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

26. Signature:



27. Date Signed:

11/12/2013

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

28. Signature:



29. Date Signed:

11/12/2013



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Appendix C Directors, Owners, Officers or Other High-Level Employees Background Information Form

To be completed by all persons identified in your response to Appendix A, section J.

Section A: Personal Information

1. Name (First, Middle, Last): Thomas Joseph Nicholas			
2. Street Address (including Apartment or Suite #): [REDACTED]			
3. City: [REDACTED]		4. State: [REDACTED]	5. Zip Code: [REDACTED]
6. Title: CEO		7. Telephone Number: [REDACTED]	8. E-mail Address: [REDACTED]
9. Date of Birth: [REDACTED]	10. Social Security Number: [REDACTED]	11. Gender: <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	

Section B: Employment Information

12. Current or Most Recent Employer: 13. Sustainable Products, Inc.		Date of Employment: Start Date: 06/03/2003 End Date: :	
14. Employer Address (including Apartment or Suite #): [REDACTED]			
15. City: South Windsor		16. State: [REDACTED]	17. Zip Code: [REDACTED]
18. Telephone Number: [REDACTED]	19. Fax Number: [REDACTED]	20. E-mail Address: [REDACTED]	

Section C: Pharmacy Business Experience

21. Do you have any experience controlling, managing, operating or working for a pharmacy?
 Yes No

22. Are you currently associated with a pharmacy in any state?
 Yes No

23. If you answered "yes" to question 21 or 22, attach a statement setting forth, for each pharmacy with which you have been associated, the following information:

- The pharmacy name;
- The pharmacy's location;
- All titles and responsibilities held by you at the pharmacy, including the time frame for each;
- The dates of your association with the pharmacy;
- Whether you currently have a role at the pharmacy and, if not, when your involvement terminated and why; and
- Whether the pharmacy was ever alleged to have violated the laws or regulations of the state in which it operates during the time period when you were associated with the pharmacy and, if so, how those allegations were resolved.



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Section D: Marijuana Business Experience

24. Other than the applicant, do you have any experience controlling, managing, operating or working for a marijuana business?

Yes No

25. Other than the applicant, are you currently associated with a marijuana business in any state or country?

Yes No

26. If you answered “yes” to question 24 or 25, attach a statement setting forth the following information for each marijuana business with which you have been associated:

- The business name;
- The business location;
- All titles and responsibilities held by you at the business, including the time frame for each;
- The dates of your association with the business;
- Whether you currently have a role at the business and, if not, when your involvement terminated and why; and
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations.

Section E: Other Relevant Business Experience

27. Do you have any experience controlling, managing, operating or working for any other business that you believe may be relevant to the department’s evaluation of the applicant with whom you are associated?

Yes No

28. If you answered “yes” to question 27, attach a statement setting forth the following information for each such business with which you have been associated:

- The business name;
- Products or services offered;
- The business location;
- All titles and responsibilities held by you at the business, including the time frame for each;
- The dates of your association with the business;
- Whether you currently have a role at the business and, if not, when your involvement terminated and why;
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations; and
- How this experience is relevant to the department’s evaluation of the RFA response of the applicant with whom you are associated.

Section F: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

29. State NY	30. Issue Date (month/year): 10/01/1977 Expiration Date (month/year): 10/01/1980	31. Type: Registered Nursing	32. Number: 299758
33. State CT	34. Issue Date (month/year): 11/79 Expiration Date (month/year): 09/96	35. Type: Registered Nursing	36. Number: 10.R32604



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Section G: Legal Proceedings

37. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

Yes No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

38. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

Yes No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

39. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

Yes No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on the applicant or the applicant's operations.

40. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

Yes No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section H: Criminal Actions

41. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? Yes No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

Section I: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

42. Signature:



[Redacted Signature]

43. Date Signed:

11/12/2013



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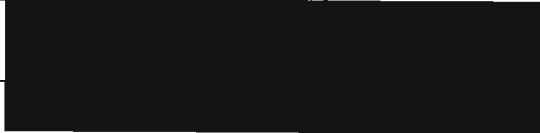
E-mail: dcp.mmp@ct.gov • Website: www.ct.gov/dcp/mmp



I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

44. Signature:



45. Date Signed:

11/12/2013



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Appendix C

Directors, Owners, Officers or Other High-Level Employees Background Information Form

To be completed by all persons identified in your response to Appendix A, section J.

Section A: Personal Information			
1. Name (First, Middle, Last): Algis T. Domeika			
2. Street Address (including Apartment or Suite #): [REDACTED]			
3. City: [REDACTED]		4. State: [REDACTED]	5. Zip Code: [REDACTED]
6. Title: Dispensary Manager		7. Telephone Number: [REDACTED]	8. E-mail Address: [REDACTED]
9. Date of Birth: [REDACTED]	10. Social Security Number: [REDACTED]		11. Gender: <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female
Section B: Employment Information			
12. Current or Most Recent Employer: 13. Walgreens Pharmacy #5407		Date of Employment: Start Date: 09/15/1988 End Date: :Present	
14. Employer Address (including Apartment or Suite #): 295 Main Street			
15. City: Manchester		16. State: CT	17. Zip Code: 06040
18. Telephone Number: [REDACTED]	19. Fax Number: [REDACTED]	20. E-mail Address: [REDACTED]	
Section C: Pharmacy Business Experience			
21. Do you have any experience controlling, managing, operating or working for a pharmacy? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
22. Are you currently associated with a pharmacy in any state? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
23. If you answered "yes" to question 21 or 22, attach a statement setting forth, for each pharmacy with which you have been associated, the following information: <ul style="list-style-type: none"> • The pharmacy name; • The pharmacy's location; • All titles and responsibilities held by you at the pharmacy, including the time frame for each; • The dates of your association with the pharmacy; • Whether you currently have a role at the pharmacy and, if not, when your involvement terminated and why; and • Whether the pharmacy was ever alleged to have violated the laws or regulations of the state in which it operates during the time period when you were associated with the pharmacy and, if so, how those allegations were resolved. 			



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Section D: Marijuana Business Experience

24. Other than the applicant, do you have any experience controlling, managing, operating or working for a marijuana business?

Yes No

25. Other than the applicant, are you currently associated with a marijuana business in any state or country?

Yes No

26. If you answered "yes" to question 24 or 25, attach a statement setting forth the following information for each marijuana business with which you have been associated:

- The business name;
- The business location;
- All titles and responsibilities held by you at the business, including the time frame for each;
- The dates of your association with the business;
- Whether you currently have a role at the business and, if not, when your involvement terminated and why; and
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations.

Section E: Other Relevant Business Experience

27. Do you have any experience controlling, managing, operating or working for any other business that you believe may be relevant to the department's evaluation of the applicant with whom you are associated?

Yes No

28. If you answered "yes" to question 27, attach a statement setting forth the following information for each such business with which you have been associated:

- The business name;
- Products or services offered;
- The business location;
- All titles and responsibilities held by you at the business, including the time frame for each;
- The dates of your association with the business;
- Whether you currently have a role at the business and, if not, when your involvement terminated and why;
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations; and
- How this experience is relevant to the department's evaluation of the RFA response of the applicant with whom you are associated.

Section F: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

29. State CT	30. Issue Date (month/year): 02/01/2012 Expiration Date (month/year): 01/31/2014	31. Type:	32. Number: PCT008592
33. State	34. Issue Date (month/year): Expiration Date (month/year):	35. Type:	36. Number:



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Section G: Legal Proceedings

37. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

Yes No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

38. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

Yes No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

39. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

Yes No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on the applicant or the applicant's operations.

40. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

Yes No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section H: Criminal Actions

41. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? Yes No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

Section I: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

42. Signature:



43. Date Signed:

11/5/13



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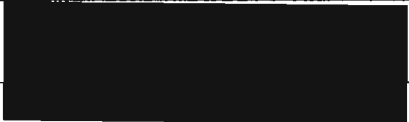
E-mail: dcp.mmp@ct.gov • Website: www.ct.gov/dcp/mmp



I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

44. Signature:



45. Date Signed:

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Appendix D

Dispensary Facility Manager Information Form

This form must be completed and signed by the person who will serve as the dispensary facility manager if the applicant is awarded a dispensary facility license.

Section A: Dispensary Facility Manager Information			
1. Name (First, Middle, Last): Algis T. Domeika			
2. Home Address (including Apartment or Suite #): [REDACTED]			3. City: [REDACTED]
4. State: [REDACTED]	5. Zip Code: [REDACTED]	6. Date of Birth: [REDACTED]	7. Telephone Number: [REDACTED]
8. Social Security Number: [REDACTED]			9. Gender: <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female
10. E-mail Address: [REDACTED]		11. Connecticut Pharmacist License Number: PCT.008592	

Section B: Employment Information			
12. Current or Most Recent Employer: 13. Walgreens Pharmacy #5407		Date of Employment: Start Date: 09/15/1988 End Date: : Present	
14. Employer Address (including Apartment or Suite #): 295 Main Street			
15. City: Manchester		16. State: CT	17. Zip Code: 06040
18. Daytime Telephone Number: [REDACTED]	19. Fax Number: [REDACTED]	20. E-mail Address: [REDACTED]	

Section C: Pharmacy Business Experience
21. Do you have any experience controlling, managing, operating or working for a pharmacy? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
22. Are you currently associated with a pharmacy in any state? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
23. If you answered "yes" to question 21 or 22, attach a statement setting forth, for each pharmacy with which you have been associated, the following information: <ul style="list-style-type: none"> • The pharmacy name; • The pharmacy's location; • All titles and responsibilities held by you at the pharmacy, including the time frame for each; • The dates of your association with the pharmacy; • Whether you currently have a role at the pharmacy and, if not, when your involvement terminated and why; and • Whether the pharmacy was ever alleged to have violated the laws or regulations of the state in which it operates during the time period when you were associated with the pharmacy and, if so, the nature and resolution of those allegations.



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Section D: Criminal Actions

24. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? Yes No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

Section E: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

25. Signature:



[Redacted Signature]

26. Date Signed:

11/5/13

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

27. Signature:



[Redacted Signature]

28. Date Signed:

11/5/13



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Appendix B

Dispensary Facility Backer Information Form

This form must be completed by each person or entity identified as a dispensary facility backer in Appendix A, section I.

Section A: Backer Information

1. Backer business type:

<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sole Proprietorship	Corporation	Limited Liability Co.	Partnership	Limited Liability Partnership	Unincorporated Association	Other: _____

2. Legal Name of Backer:

JOHN P. GLOWIK JR.

3. Trade Name of Backer (if applicable):

4. Street Address (including Apartment or Suite #):

[REDACTED]

6. State:

[REDACTED]

7. Zip Code:

[REDACTED]

8. Daytime Telephone Number:

[REDACTED]

9. Fax Number:

[REDACTED]

10. E-mail Address:

[REDACTED]

Section B: Backer Members

If you selected anything other than "Sole Proprietorship" in response to Section A, identify the members of your organization. A member is any person with a direct or indirect ownership interest greater than 5%. Attach additional pages if necessary.

Each member of a backer identified in response to this section must complete either:

- Appendix C if they are also a director, owner, officer or other high-level employee of the applicant; or
- Appendix E in all other instances.

11. Name (First, Middle, Last):	12. Percentage of ownership
JOHN P. GLOWIK JR.	[REDACTED]
THOMAS J. NICHOLAS	[REDACTED]
KEVIN P. MURPHY	[REDACTED]



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Section C: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

13. State	14. Issue Date (month/year): Expiration Date (month/year):	15. Type:	16. Number: SEE ATTACHED SHEET
17. State	18. Issue Date (month/year): Expiration Date (month/year):	19. Type:	20. Number:

Section D: Legal Proceedings

21. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

Yes No

If the answer above is “yes”, attach a statement providing the details of such proceeding or petition.

22. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

Yes No

If the answer above is “yes”, attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

23. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

Yes No

If the answer above is “yes”, attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on your ability to serve as a backer for the applicant.

24. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

Yes No

If the answer above is “yes”, attach a statement providing the details of such fines or penalties.

Section E: Criminal Actions

25. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? Yes No

If the answer above is “yes”, attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.



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Section F: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. As the backer, or duly authorized representative of the backer, I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

26. Signature



[Redacted Signature]

27. Date Signed:

11/05/2013

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

28. Signature



[Redacted Signature]

29. Date Signed:

11/05/2013



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Appendix C

Directors, Owners, Officers or Other High-Level Employees Background Information Form

To be completed by all persons identified in your response to Appendix A, section J.

Section A: Personal Information			
1. Name (First, Middle, Last): JOHN P. GLOWIK JR.			
2. Street Address (including Apartment or Suite #): [REDACTED]			
3. City: [REDACTED]		4. State: [REDACTED]	5. Zip Code: [REDACTED]
6. Title:		7. Telephone Number: [REDACTED]	8. E-mail Address: [REDACTED]
9. Date of Birth: [REDACTED]		10. Social Security Number: [REDACTED]	11. Gender: <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female

Section B: Employment Information			
12. Current or Most Recent Employer: 13. SELF EMPLOYED/RETIRED		Date of Employment: Start Date: End Date: :	
14. Employer Address (including Apartment or Suite #):			
15. City:		16. State:	17. Zip Code:
18. Telephone Number:		19. Fax Number:	20. E-mail Address:

Section C: Pharmacy Business Experience
21. Do you have any experience controlling, managing, operating or working for a pharmacy? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
22. Are you currently associated with a pharmacy in any state? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
23. If you answered "yes" to question 21 or 22, attach a statement setting forth, for each pharmacy with which you have been associated, the following information: <ul style="list-style-type: none"> • The pharmacy name; • The pharmacy's location; • All titles and responsibilities held by you at the pharmacy, including the time frame for each; • The dates of your association with the pharmacy; • Whether you currently have a role at the pharmacy and, if not, when your involvement terminated and why; and • Whether the pharmacy was ever alleged to have violated the laws or regulations of the state in which it operates during the time period when you were associated with the pharmacy and, if so, how those allegations were resolved.



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Section D: Marijuana Business Experience

24. Other than the applicant, do you have any experience controlling, managing, operating or working for a marijuana business?

Yes No

25. Other than the applicant, are you currently associated with a marijuana business in any state or country?

Yes No

26. If you answered “yes” to question 24 or 25, attach a statement setting forth the following information for each marijuana business with which you have been associated:

- The business name;
- The business location;
- All titles and responsibilities held by you at the business, including the time frame for each;
- The dates of your association with the business;
- Whether you currently have a role at the business and, if not, when your involvement terminated and why; and
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations.

Section E: Other Relevant Business Experience

27. Do you have any experience controlling, managing, operating or working for any other business that you believe may be relevant to the department’s evaluation of the applicant with whom you are associated?

Yes No

28. If you answered “yes” to question 27, attach a statement setting forth the following information for each such business with which you have been associated:

- The business name;
- Products or services offered;
- The business location;
- All titles and responsibilities held by you at the business, including the time frame for each;
- The dates of your association with the business;
- Whether you currently have a role at the business and, if not, when your involvement terminated and why;
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations; and
- How this experience is relevant to the department’s evaluation of the RFA response of the applicant with whom you are associated.

Section F: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

29. State	30. Issue Date (month/year): Expiration Date (month/year):	31. Type:	32. Number: SEE ATTACHED SHEET
33. State	34. Issue Date (month/year): Expiration Date (month/year):	35. Type:	36. Number:



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Section G: Legal Proceedings

37. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

Yes No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

38. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

Yes No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

39. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

Yes No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on the applicant or the applicant's operations.

40. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

Yes No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section H: Criminal Actions

41. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? Yes No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

Section I: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

42. Signature:



[Redacted Signature]

43. Date Signed:

11/05/2013



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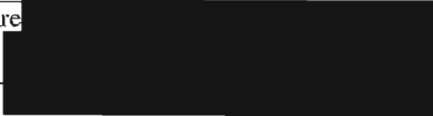
E-mail: dcp.mmp@ct.gov • Website: www.ct.gov/dcp/mmp



I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

44. Signature



45. Date Signed:

11/05/2013



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Appendix B

Dispensary Facility Backer Information Form

This form must be completed by each person or entity identified as a dispensary facility backer in Appendix A, section I.

Section A: Backer Information

1. Backer business type:

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Sole Proprietorship	Corporation	Limited Liability Co.	Partnership	Limited Liability Partnership	Unincorporated Association	Other: <u>individual</u>

2. Legal Name of Backer:

Kevin P Murphy

3. Trade Name of Backer (if applicable):

4. Street Address (including Apartment or Suite #):

[Redacted]

6. State:

7. Zip Code:

[Redacted]

[Redacted]

[Redacted]

8. Daytime Telephone Number:

[Redacted]

9. Fax Number:

[Redacted]

10. E-mail Address:

[Redacted]

Section B: Backer Members

If you selected anything other than "Sole Proprietorship" in response to Section A, identify the members of your organization. A member is any person with a direct or indirect ownership interest greater than 5%. Attach additional pages if necessary.

Each member of a backer identified in response to this section must complete either:

- Appendix C if they are also a director, owner, officer or other high-level employee of the applicant; or
- Appendix E in all other instances.

11. Name (First, Middle, Last):

Kevin P Murphy

12. Percentage of ownership

[Redacted]



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Section C: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

13. State NY, CT	14. Issue Date (month/year): 07/87 Expiration Date (month/year):	15. Type: Series 7, 63	16. Number: 1680861
17. State	18. Issue Date (month/year): Expiration Date (month/year):	19. Type:	20. Number:

Section D: Legal Proceedings

21. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

Yes No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

22. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

Yes No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

23. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

Yes No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on your ability to serve as a backer for the applicant.

24. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

Yes No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section E: Criminal Actions

25. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? Yes No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.



Medical Marijuana Program

165 Capitol Avenue, Room 145, Hartford, CT 06106-1630 • (860) 713-6066

E-mail: dcp.mmp@ct.gov • Website: www.ct.gov/dcp/mmp



Section F: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. As the backer, or duly authorized representative of the backer, I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

26. Signature:



[Redacted Signature]

27. Date Signed:

11/5/13

I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

28. Signature:



[Redacted Signature]

29. Date Signed:

11/5/13



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Appendix C

Directors, Owners, Officers or Other High-Level Employees Background Information Form

To be completed by all persons identified in your response to Appendix A, section J.

Section A: Personal Information

1. Name (First, Middle, Last): Kevin P Murphy		
2. Street Address (including Apartment or Suite #): 70 Island Ave		
3. City: [REDACTED]	4. State: [REDACTED]	5. Zip Code: [REDACTED]
6. Title: [REDACTED]	7. Telephone Number: [REDACTED]	8. E-mail Address: [REDACTED]
9. Date of Birth: [REDACTED]	10. Social Security Number: [REDACTED]	11. Gender: <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female

Section B: Employment Information

12. Current or Most Recent Employer: 13. Murphy Capital, LLC		Date of Employment: Start Date: 1/1/2013 End Date: :	
14. Employer Address (including Apartment or Suite #) [REDACTED]			
15. City: [REDACTED]	16. State: [REDACTED]	17. Zip Code: [REDACTED]	
18. Telephone Number: [REDACTED]	19. Fax Number: [REDACTED]	20. E-mail Address: [REDACTED]	

Section C: Pharmacy Business Experience

21. Do you have any experience controlling, managing, operating or working for a pharmacy?
 Yes No

22. Are you currently associated with a pharmacy in any state?
 Yes No

23. If you answered "yes" to question 21 or 22, attach a statement setting forth, for each pharmacy with which you have been associated, the following information:

- o The pharmacy name;
- o The pharmacy's location;
- o All titles and responsibilities held by you at the pharmacy, including the time frame for each;
- o The dates of your association with the pharmacy;
- o Whether you currently have a role at the pharmacy and, if not, when your involvement terminated and why; and
- o Whether the pharmacy was ever alleged to have violated the laws or regulations of the state in which it operates during the time period when you were associated with the pharmacy and, if so, how those allegations were resolved.



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Section D: Marijuana Business Experience

24. Other than the applicant, do you have any experience controlling, managing, operating or working for a marijuana business?

Yes No

25. Other than the applicant, are you currently associated with a marijuana business in any state or country?

Yes No

26. If you answered "yes" to question 24 or 25, attach a statement setting forth the following information for each marijuana business with which you have been associated:

- The business name;
- The business location;
- All titles and responsibilities held by you at the business, including the time frame for each;
- The dates of your association with the business;
- Whether you currently have a role at the business and, if not, when your involvement terminated and why; and
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations.

Section E: Other Relevant Business Experience

27. Do you have any experience controlling, managing, operating or working for any other business that you believe may be relevant to the department's evaluation of the applicant with whom you are associated?

Yes No

28. If you answered "yes" to question 27, attach a statement setting forth the following information for each such business with which you have been associated:

- The business name;
- Products or services offered;
- The business location;
- All titles and responsibilities held by you at the business, including the time frame for each;
- The dates of your association with the business;
- Whether you currently have a role at the business and, if not, when your involvement terminated and why;
- Whether the business was ever alleged to have violated the laws or regulations of the state or country in which it operates during the time period when you were associated with the business and, if so, the nature and resolution of those allegations; and
- How this experience is relevant to the department's evaluation of the RFA response of the applicant with whom you are associated.

Section F: Licenses, Permits and Registrations

Provide information regarding all state licenses, permits or registrations ever held, current or expired, by you. Attach additional pages if necessary.

29. State	30. Issue Date (month/year): 07/87	31. Type:	32. Number:
NY, CT	Expiration Date (month/year):	Series 7, 63	1680861
33. State	34. Issue Date (month/year):	35. Type:	36. Number:
	Expiration Date (month/year):		



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E-mail: dep.mmp@ct.gov • Website: www.ct.gov/dep/mmp



Section G: Legal Proceedings

37. Have you, or has any entity over which you exercised management or control, had any petition filed by or against you, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

Yes No

If the answer above is "yes", attach a statement providing the details of such proceeding or petition.

38. Have you, or has any entity over which you exercised management or control, ever had a professional license, permit or registration in Connecticut, or any other State, suspended, revoked or otherwise subjected to disciplinary action?

Yes No

If the answer above is "yes", attach a statement providing the date(s), the type of license, permit or registration at issue, and a description of the circumstances relating to each suspension, revocation or other disciplinary action.

39. Are you a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed \$500,000 above any insurance coverage available to cover the claim?

Yes No

If the answer above is "yes", attach a statement describing the litigation, including the title and docket number of the litigation, the name and location of the court before which it is pending, the identify of all parties to the litigation, the general nature of the claims being made and the impact an unfavorable opinion may have on the applicant or the applicant's operations.

40. Have you, or has any entity over which you exercised management or control, ever had any fines or other penalties over \$10,000 assessed by any regulatory agency?

Yes No

If the answer above is "yes", attach a statement providing the details of such fines or penalties.

Section H: Criminal Actions

41. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? Yes No

If the answer above is "yes", attach a statement providing the date(s) of conviction(s), name of individual(s) involved, the court(s) where the case(s) were decided, a description of the circumstances relating to each offense or for the pending charges and the outcome of the proceedings.

Section I: Criminal Background Check

I understand that the department may review criminal background records for purposes of evaluating my suitability to participate in the medical marijuana program. I hereby authorize the release of any and all information of a confidential or privileged nature to the department and its agents.

42. Signature



[Redacted Signature]

43. Date Signed:

11/5/13



Medical Marijuana Program

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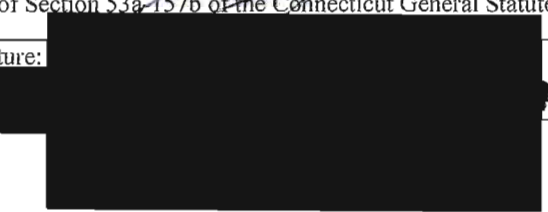
E-mail: dcp.mmp@ct.gov • Website: www.ct.gov/dcp/mmp



I hereby certify that the above information is correct and complete.

I fully understand that if I knowingly make a statement that is untrue and which is intended to mislead the Department of Consumer Protection or any person designated by the Department in the performance of their official function, I will be in violation of Section 53a-157b of the Connecticut General Statutes.

44. Signature:



45. Date Signed:

11/5/13



STATE OF CONNECTICUT DEPARTMENT OF REVENUE SERVICES

TWENTY-FIVE SIGOURNEY STREET, SUITE 2 HARTFORD, CONNECTICUT 06106-5032

Corr ID: 1300018551496

Date: 11/05/2013

Dear Taxpayer:

Attached is your sales and use tax or room occupancy tax permit. Please display it conspicuously for your customers to see. Any permit previously issued by the Connecticut Department of Revenue Services (DRS) for the specific location noted on the permit is now void and should be destroyed.

Any change in ownership or form of organization requires a new permit. If your business is sold, transferred, or discontinued, return this permit at once to:

Department of Revenue Services
Registration Section
25 Sigourney St Ste 2
Hartford CT 06106-5032

Enter the last day of business and the name of the successor, if applicable, on the back of the permit. Sign the permit as indicated.

Business and individual taxpayers can use the **Taxpayer Service Center (TSC)** at www.ct.gov/tsc to file a variety of tax returns, update account information, and make payments online.

You may not assign or transfer this permit. Display this permit conspicuously for your customers to see.

Department of Revenue Services
State of Connecticut
25 Sigourney St Ste 2
Hartford CT 06106-5032
R803 (Rev. 07/09)

Sales and Use Tax Permit



Use only at this location: Lic Nbr: 1055749

The person named below is licensed under the Sales and Use Tax Act. This permit is good only for the named permittee and at the location shown. If there is any change in ownership, the permit is null and void.

Date Issued	Expiration Date	Business Start Date	Connecticut Tax Registration Number
11/04/2013	12/31/2018	01/02/2014	60335015-001

PRIME WELLNESS OF CONNECTICUT LLC
PRIME WELLNESS OF CONNECTICUT LLC
379 QUARRY BROOK DR
SOUTH WINDSOR CT 06074-3526

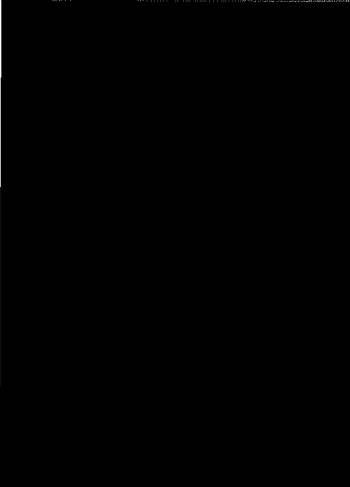
PRIME WELLNESS OF CONNECTICUT LLC
PRIME WELLNESS OF CONNECTICUT LLC
379 QUARRY BROOK DR
SOUTH WINDSOR CT 06074-3526

Kevin B. Sullivan
Commissioner of Revenue Services

This license may not be transferred or assigned.

Section K: Financial Statement

for dispensary

52. Expense Item:	53. Cost:	54. Source of Funds
HVAC and electrical renovations		
General Construction, buildout and labor		
Cameras / locked door entry/CCTV/Control/Security c		
Accounting software and related		
Point of Sale software and related items		
Furniture and display cases		
Servers, computers, printers		
Painting, finishes and flooring		
Safety items (eye wash, msd rack, ch closets)		
Safe and related items		
Gas generator		
Total		

APPENDIX B, DISPENSARY BACKER INFORMATION FORM
SECTION "C" INFORMATION

T.J. Nicholas Ownership in Licensed Dialysis Facilities

1. Enfield Dialysis Center, Inc. (lic. # **0157**) – 148 Hazard Avenue, Enfield, CT. Certified on 2/28/90 and sold to BMA of CT (FMC) effective 1-1-98 (lic. # 0247).
2. East Hartford Dialysis Center, Inc. (lic. # **0210**) – 200 Pitkin Street, East Hartford, CT. Certified on 2/4/94 and sold to BMA of CT (FMC) effective 1-1-98 (lic.# 0248).
3. Central Connecticut Dialysis Center, Inc. (lic. # **0207**) - 377 Research Parkway, Meriden, CT. Certified on 1/13/94 and sold to BMA of CT (FMC) effective 1-1-98 (lic. # 0246).
4. Rocky Hill Dialysis Center, LLC. (lic. # **0259**) – 30 Waterchase Drive, Rocky Hill, CT. Certified on 12/15/99 and sold to DaVita effective 9-1-01(lic. # 0270).
5. Middlesex Dialysis Center, LLC. (lic. # **0269**) – 100 Main St., Suite A, Middletown, CT. Certified on 11/15/2000 and sold to DaVita effective 9-1-01 (lic.# 0316).

(I was the "Agent of Service" for the above companies)

6. Pioneer Valley Dialysis Center – 208 Ashley Avenue, W. Springfield, MA. Certified on 11/22/96 and sold to FMC effective 1-1-98.
7. Yankee Family Dialysis Center – 115 Wildwood Avenue, Greenfield, MA. Certified on 11/21/96 and sold to FMC effective 1-1-98.
8. Manadnock Dialysis Center – 428B Winchester Avenue, Keene, NH. Certified on 5/21/97 and sold to FMC effective 1-1-98.
9. Central Suffolk AKC, dba Dialysis Pro Re Nata (op. cert. # 5151204R) – 5225 Nesconset Highway, Port Jefferson Station, NY. Certified on 1/18/82, joined as an owner in 1990, sold to FMC in 2006.
10. South Shore Dialysis (op. cert. # 2906202R) – 615 Peninsula Blvd. Hempstead, NY. Certified on 1/21/84, joined as owner in 1999, sold to DaVita in 2001.
- 250 Pettit Avenue, Bellmore, NY. Certified on 1/21/84.
11. Eastview Dialysis Inc. (op. cert. # 3464201R) – 120 Victor Heights Parkway, Victor, NY. Certified on August 17, 1998. Sold to FMC 2011. I was agent of service for EDI.

APPENDIX B, DISPENSARY BACKER INFORMATION FORM
SECTION "C" INFORMATION

T.J. Nicholas Professional Licenses (Retired)

1. New York State Registered Nursing License #299758 - Granted 10/21/77.
2. Connecticut Registered Nursing License # - 10.R32604 granted 11/27/79 valid through 9/30/96.

Other licenses

State of Connecticut Driver's license [REDACTED] expires [REDACTED]

DISPENSARY APPLICATION, APPENDIX "C"
SECTION E, #28 INFORMATION

THOMAS J. NICHOLAS



EDUCATION

Diploma in Professional Registered Nursing
Ellis Hospital School of Nursing
Schenectady, New York
Graduated with Honors, 1977

PROFESSIONAL PROFILE

SUSTAINABLE PRODUCTS, INC. 2003 - PRESENT

- Industrial Products Sales, Marketing and Distribution Company.
- Represented a Connecticut company's environmentally friendly product line of industrial lubricants and machining fluids to the machining and fabricating industry in the northeast.
- Represented a Capital Equipment Manufacturer in the machined parts finishing area.

EASTVIEW DIALYSIS CENTER, INC. 1997 - 2011

EASTVIEW DIALYSIS PROPERTIES, INC. 1997 - PRESENT

- President and CEO of out-patient dialysis facility operating business and properties management business both located at 120 Victor Heights Parkway, Victor, NY. 14564. I was a founding shareholder and participated as CEO in the day to day management of both companies.
- Eastview Dialysis Center provided out-patient dialysis treatments to @120 chronic renal failure patients and was licensed in all treatment modalities. The operations were carried out in an 8,600 sq. ft. building built expressly to serve the needs of the dialysis patients.
- The operating company was responsible for all operations and billing in excess of \$3M to Medicare and Medicaid for patient services on an annual basis. My involvement in Eastview Dialysis Inc. terminated when the company was sold to Fresenius Medical Care in 2011. I'm currently the President and CEO of Eastview Dialysis Properties, Inc. (EDPI). EDPI is currently negotiating on a Purchase and Sales agreement on the property which should close by mid November 2013.

PRIME MEDICAL, INC. 1990 - 1997

- As co-founder and fifty percent owner, operated a successful and well respected medical products sales and distribution company concentrating on dialysis products.
- Developed a medical water purification company called Prime Water. Prime Water engineered, designed, installed and serviced medical grade water purification systems for dialysis units and medical facilities in the eastern United States.
- My involvement in Prime Medical, Inc. terminated when the company was sold to Fresenius Medical Care in 1997.

CONNECTICUT BASED DIALYSIS FACILITIES - 1991 - 2001

- As President and CEO of five Connecticut based dialysis facilities (Enfield Dialysis, East Hartford Dialysis, Central CT Dialysis, Rocky Hill Dialysis and Middlesex Dialysis), I was responsible for operations and day to day management. Our facilities treated in excess of 450 patients on a three time per week basis. Each facility billed between two and four million dollars in annual patient services to Medicare under the ESRD program, Medicaid and private insurers on an annual basis
- Partner and board member in Connecticut businesses as well as ESRD facilities and related businesses in Massachusetts, New Hampshire and New York (12 total). **Please see attached facilities list with specifics.**
- **NO LICENSED MEDICAL FACILITY OR ANY BUSINESS WHERE I HAD CONTROL HAS EVER BEEN ALLEGED OR FOUND TO HAVE VIOLATED ANY FEDERAL, STATE OR LOCAL LAWS OR REGULATIONS DURING THE TIME PERIOD WHEN I WAS ASSOCIATED.**

NATIONAL MEDICAL CARE, INC. – 1981 – 1990

- Northeast Area Manager responsible for sales and marketing of manufactured dialysis disposable products and systems to dialysis facilities in New York, New Jersey and New England.
- National Dialysis Sales Specialist Salesman of the year twice and Regional Salesman of the year four times.

PROFESSIONAL NURSING

- Worked as an R.N./Orthopedic technician and Operating Room assistant in an Orthopedic Practice (Paulsen and Albano, PC) in Schenectady, N.Y. in 1977.
- Worked as an Operating Room Nurse at the Hermann Hospital in Houston, Texas in 1978-1979.
- Worked as an Operating Room Nurse at Hartford Hospital in Hartford, CT in 1980.

PROFESSIONAL SKILLS AND BUSINESS ACCOMPLISHMENTS

My medical training and background has allowed me to be very successful during my adult working life. Moreover, the fact that I was trained as a Registered Nurse and have worked in both hospital and private medical businesses has given me a real appreciation and understanding of how to access and attend to the needs of clients and patients alike. We formed a number of dialysis operating businesses with physicians as partners (being mindful of Stark and safe harbors laws).

As President and CEO of six individual dialysis companies in two states, I participated in all aspects of the business from formation to site location and development to regulatory application and day to day operation. I was agent of service for all six companies. I was personally vetted by both state health departments in the states where we operated and the federal government as a billing agency to Medicare and Medicaid.

DISPENSARY APPLICATION, APPENDIX "C"
SECTION "F" INFORMATION

T.J. Nicholas Ownership in Licensed Dialysis Facilities

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5. Middlesex Dialysis Center, LLC. (lic. # **0269**) – 100 Main St., Suite A, Middletown, CT. Certified on 11/15/2000 and sold to DaVita effective 9-1-01 (lic.# 0316).

(I was the "Agent of Service" for the above companies)

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11. Eastview Dialysis Inc. (op. cert. # 3464201R) – 120 Victor Heights Parkway, Victor, NY. Certified on August 17, 1998. Sold to FMC 2011. I was agent of service for EDI.

DISPENSARY APPLICATION, APPENDIX "C"
SECTION "F" INFORMATION

T.J. Nicholas Professional Licenses (Retired)

1. New York State Registered Nursing License #299758 - Granted 10/21/77.
2. Connecticut Registered Nursing License # - 10.R32604 granted 11/27/79 valid through 9/30/96.

Other licenses

State of Connecticut Driver's license





Connecticut Multispecialty Group, P.C.
Leaders in Integrated Medical Care

To: Commissioner William M. Rubenstein

Department of Consumer Protection, Medical Marijuana Program

165 Capitol Avenue, Hartford , CT 06106

RE: Thomas Nicholas

Date: October 30th, 2013

Dear Commissioner;

The purpose of this letter is a personal character reference for Mr. Thomas Nicholas, supporting his application to the State of Connecticut, Department of Consumer Protection, for the operation and management of a medical marijuana program by PrimeWellness of CT, LLC.

I have known Mr. Nicholas for over twenty years in several capacities; first as a colleague, and subsequently as a friend and his personal physician. Our relationship began around 1995 when I functioned as Medical Director of the East Hartford Dialysis Center, owned by Prime Medical where Mr. Nicholas served as CEO. During my tenure I was able to witness first hand, his decision making and his interactions with employee's, staff and patients. In every encounter he was always extremely professional, level headed, and fair. Business decisions never interfered with the provision of the highest quality of health care. While running a highly efficient and complex health care facility (dialysis facility) he maintained an excellent rapport with all those around him and created an environment of comfort. As the years went on, I benefited from getting to know him even more intimately and have only the highest praises for his character, integrity and trustworthiness. He is a responsible business man, community minded, and dedicated to family. I have no reservations in supporting in supporting his application.

Sincerely,

Jeffrey M. Laut, MD

Connecticut Multispecialty Group, Division of Nephrology

Assistant Clinical Professor of Medicine, University of Connecticut School of Medicine

**Division of Nephrology
 and Internal Medicine**

Scott M. Benson, D.O.
 Matthew D. Carley, M.D.
 Timothy M. Curley, D.O.
 John F. D'Avella, M.D.
 Maria Everhart-Caye, M.D.
 Lavinia Gagnon, PA-C
 Rashmi Jhunjha, M.D.
 Jeffrey M. Laut, M.D.
 Terrence F. Oder, M.D.
 Jarrod B. Post, M.D.
 Joseph U. Singh, M.D.
 Kory A. Tray, M.D.
 John S. Wisniewski, M.D.
 Xiaoyi Ye, M.D.

85 Seymour Street, Suite 900
 Hartford, Connecticut 06106
 860 241-0700
 Fax: 860 525-7881

1260 Silas Deane Highway
 Waterfield, Connecticut 06109

100 Simsbury Road, Suite 206
 Avon, Connecticut 06001

533 Cottage Grove Road
 Bloomfield, Connecticut 06002

ACCESS CENTER

3580 Main Street
 Hartford, Connecticut 06120
 860 3-ACCESS (860-522-2377)
 Fax: 860 727-1200

Algis T. Domeika

Appendix C; Section C: Pharmacy Business Experience

- 1997 – 1998: Pharmacy Manager
 - Walgreens #1873
 - 138 Heights Rd. Darien, CT 06820
- 1998 – 2000: Pharmacy Manager
 - Walgreens #5723
 - Main Street, Bridgeport, CT 06606 (now moved to North Avenue)
- 2000-2004: Pharmacy Manager
 - Walgreens #3359
 - 1606 Barnum Avenue, Stratford, CT 06614
- 2004 – 2005: Pharmacy Manager
 - Walgreens #1835
 - 740 Villa Avenue, Fairfield, CT 06825
- 2005 – 2008: Pharmacy Manager
 - Walgreens #2976
 - 399 West Main Street, Norwich, CT 06360
- 2008 – 2009: Pharmacy Manager
 - Walgreens #6654
 - 149 Deming Street, Manchester, CT 06040
- 2009 – Present
 - Walgreens #5407
 - 295 Main Street, Manchester, CT 06040

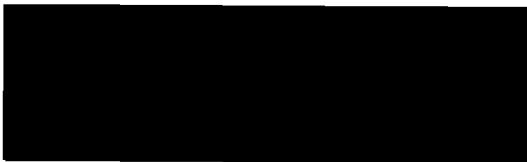
Responsibilities for each location

- Oversee pharmacy operations
- Ensure proper licensure
- Customer service
- Train pharmacists, interns, and technicians
- Inventory control
- Responsible for hiring new staff
- Mentor for intern program for several pharmacy schools
- Pharmacist duties, including accurately checking and filling prescriptions, providing immunization services, and counseling
- Scheduling
- Building business relationships in the community

Changes of locations were due to promotion or due to family moving to another part of the state.

None of the pharmacies I have managed have been alleged to have violated any laws or regulations of the State of Connecticut.

Algis T. Domeika



Algis T. Domeika

Appendix D; Section C: Pharmacy Business Experience

- 1992 – 1996: Pharmacy Intern
 - Multiple Walgreens Pharmacy locations
- 1996: District Pharmacist
 - Floating pharmacist for multiple Walgreens Pharmacy locations in the Fairfield/New Haven County area
- 1996 – 1997: Staff Pharmacist
 - Walgreens #1873
 - 138 Heights Rd. Darien, CT 06820
- 1997 – 1998: Pharmacy Manager
 - Walgreens #1873
 - 138 Heights Rd. Darien, CT 06820
- 1998 – 2000: Pharmacy Manager
 - Walgreens #5723
 - Main Street, Bridgeport, CT 06606 (now moved to North Avenue)
- 20001-2004: Pharmacy Manager
 - Walgreens #3359
 - 1606 Barnum Avenue, Stratford, CT 06614
- 2004 – 2005: Pharmacy Manager
 - Walgreens #1835
 - 740 Villa Avenue, Fairfield, CT 06825
- 2005 – 2008: Pharmacy Manager
 - Walgreens #2976
 - 399 West Main Street, Norwich, CT 06360
- 2008 – 2009: Pharmacy Manager
 - Walgreens #6654
 - 149 Deming Street, Manchester, CT 06040
- 2009 – Present: Pharmacy Manager
 - Walgreens #5407
 - 295 Main Street, Manchester, CT 06040

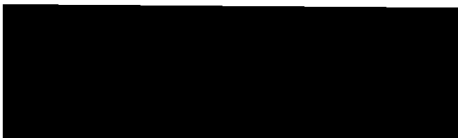
Responsibilities for each location

- Pharmacist duties, including accurately checking and filling prescriptions, providing immunization services, and counseling
- Oversee pharmacy operations
- Ensure proper licensure
- Customer service
- Train pharmacists, interns, and technicians
- Inventory control
- Responsible for hiring new staff
- Mentor for intern program for several pharmacy schools
- Scheduling
- Building business relationships in the community

Changes of locations were due to promotion or due to family moving to another part of the state.

None of the pharmacies I have managed have been alleged to have violated any laws or regulations of the State of Connecticut.

Algis T. Domeika



APPENDIX B, DISPENSARY BACKER
INFORMATION FORM
SECTION "C" INFORMATION

J. P. Glowik, Jr. Ownership in Licensed Dialysis Facilities

1. Enfield Dialysis Center, Inc. (lic. # **0157**) – 148 Hazard Avenue, Enfield, CT. Certified on 2/28/90 and sold to BMA of CT (FMC) effective 1-1-98 (lic. # 0247).
2. East Hartford Dialysis Center, Inc. (lic. # **0210**) – 200 Pitkin Street, East Hartford, CT. Certified on 2/4/94 and sold to BMA of CT (FMC) effective 1-1-98 (lic.# 0248).
3. Central Connecticut Dialysis Center, Inc. (lic. # **0207**) - 377 Research Parkway, Meriden, CT. Certified on 1/13/94 and sold to BMA of CT (FMC) effective 1-1-98 (lic. # 0246).
4. Rocky Hill Dialysis Center, LLC. (lic. # **0259**) – 30 Waterchase Drive, Rocky Hill, CT. Certified on 12/15/99 and sold to DaVita effective 9-1-01(lic. # 0270).
5. Middlesex Dialysis Center, LLC. (lic. # **0269**) – 100 Main St., Suite A, Middletown, CT. Certified on 11/15/2000 and sold to DaVita effective 9-1-01 (lic.# 0316).
6. Pioneer Valley Dialysis Center – 208 Ashley Avenue, W. Springfield, MA. Certified on 11/22/96 and sold to FMC effective 1-1-98.
7. Yankee Family Dialysis Center – 115 Wildwood Avenue, Greenfield, MA. Certified on 11/21/96 and sold to FMC effective 1-1-98.
8. Manadnock Dialysis Center – 428B Winchester Avenue, Keene, NH. Certified on 5/21/97 and sold to FMC effective 1-1-98.
9. Central Suffolk AKC, dba Dialysis Pro Re Nata (op. cert. # 5151204R) – 5225 Nesconset Highway, Port Jefferson Station, NY. Certified on 1/18/82, joined as an owner in 1990, sold to FMC in 2006.
10. South Shore Dialysis (op. cert. # 2906202R) – 615 Peninsula Blvd. Hempstead, NY. Certified on 1/21/84, joined as owner in 1999, sold to DaVita in 2001.
- 250 Pettit Avenue, Bellmore, NY. Certified on 1/21/84.
11. Eastview Dialysis Inc. (op. cert. # 3464201R) – 120 Victor Heights Parkway, Victor, NY. Certified on August 17, 1998. Sold to FMC 2011.
12. Capital District Dialysis Center – 650 McClellan Street, Schenectady, NY. Certified on 9/30/88, joined as owner in 1990, sold to FMC in 2006.
13. Albany Dialysis Center - 64 Albany Shaker Road, Albany, NY. Certified on 6/30/83, joined as owner in 1991 and sold to FMC in 2006.

14. Albany Regional Kidney Center – 2 Clara Barton Drive, Albany, NY. Certified on 6/30/83, joined as owner in 1993, sold to FMC in 2006.

15. Amsterdam Dialysis Center – 1810 Riverfront Center, Amsterdam, NY. Certified on 8/14/98 and sold to FMC in 2006.

* I was “Agent of Service” on facilities # 6, 7 and 8 above.

DISPENSARY APPLICATION, APPENDIX "C"
SECTION E, #28 INFORMATION

RELEVANT BUSINESS EXPERIENCE

PLEASE REVIEW THE ATTACHED SHEET FOR SECTION E, WHICH DESCRIBES THE DIALYSIS BUSINESSES, LOCATIONS, TITLES AND TIME FRAMES WHEN I WAS ASSOCIATED WITH THOSE COMPANIES. I WAS INVOLVED OPERATING, MANAGING AND CONTROLLING THE VARIOUS BUSINESSES IN THE RENAL HEALTHCARE SECTOR FOR OVER TWO DECADES. WE OPERATED WITHIN THE STRICT PARAMETERS FROM THE DEPARTMENTS OF HEALTH IN THE STATES OF CONNECTICUT, MASSACHUSETTS, NEW HAMPSHIRE AND NEW YORK. MOST PROBABLY THE TOUGHEST, REGULATED STATES FOR HEALTHCARE.

OUR FACILITIES SERVED THOUSANDS OF PATIENTS OVER THE YEARS, WITHOUT EVER VIOLATING ANY LAWS OR REGULATIONS. WE CONSTANTLY RECEIVED HIGH MARKS FOR CARE OF THE PATIENTS AND VERY LOW MORBIDITY RATES. IT IS A TESTIMONY OF LEADING A VERY DETAILED MEDICAL BUSINESS IN THE PROPER, PROFESSIONAL WAY.

THIS LEADS ME TO DO THE SAME WITH MY PARTNER, TOM NICHOLAS, FOR OVER TWO DECADES IN THIS NEW EXCITING FIELD OF MEDICINAL MARIJUANA IN THE STATE OF CONNECTICUT. TO HELP OVERSEE, CONSULT AND IMPLEMENT THE BUSINESS PLAN FROM YEARS OF ACTUAL EXPERIENCE IN THE REGULATED, HEALTHCARE INDUSTRY THROUGHOUT THE NORTHEAST.

FROM KNOWING YOUR PATIENT BASE FROM DEMOGRAPHICS, TO PERSONNEL ISSUES, BILLING CONCERNS, STRUCTURAL MAINTENANCE AND OVERALL HEALTHY FINANCIAL MODELING, MY EXPERIENCE WILL BE VERY REVELANT TO THE SUCCESS OF PRIME WELLNESS OF CONNECTICUT.

J. P. Glowik, Jr. Ownership in Licensed Dialysis Facilities

1. Enfield Dialysis Center, Inc. (lic. # **0157**) – 148 Hazard Avenue, Enfield, CT. Certified on 2/28/90 and sold to BMA of CT (FMC) effective 1-1-98 (lic. # 0247).
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15. Amsterdam Dialysis Center – 1810 Riverfront Center, Amsterdam, NY. Certified on 8/14/98 and sold to FMC in 2006.

* I was “Agent of Service” on facilities # 6, 7 and 8 above.

Kevin P. Murphy

Kevin Murphy is the Managing Member of Murphy Capital, LLC. Murphy Capital is engaged in the invest management of private and public companies for the benefit of his family and his philanthropic interests. In 2010 Kevin played a key role in the financing and strategic direction of the largest producer of medical marijuana in the State of Maine (Wellness Connection of Maine). Kevin currently is an active participant in guiding the entity with vision, insights and fiscal advise, making WCM the dominant player in the Maine cannabis arena, catering to the patients of the state.

Kevin Murphy was a Founding Member and Managing Partner of Tandem Global Partners, LLC. Kevin, along with Joseph Petri and other seasoned professionals, started the firm in 2007. These entrepreneurs and their vision formed the foundation of Tandem Global Partners.

Kevin has had a highly successful career in the New York money management business and is a well-respected member of the financial community amongst his peers. He is a consummate professional and has been instrumental in growing companies from inception to billion dollar money management firms. Prior to launching Tandem Global Partners, Kevin was a Managing Partner at Stanfield Capital Partners. While at Stanfield, he was instrumental in growing the company from inception to a \$30 billion alternative money management firm. He was a member of the Operating and Management team that oversaw all aspects of Stanfield's business, which included risk management, sales and distribution, client services, legal, compliance and operations. Kevin was integral in spearheading the strategic development of the firm and responsible for attracting key personnel and money management talent. Kevin has previously worked with Gleacher NatWest (Partner and Director of Marketing), Schroders (Sr. VP of Sales), Lazard Freres (VP) and Cantor Fitzgerald (VP).

Kevin possesses a strong commitment to his profession as well as family and community ties. He serves on the Board for the St. Benedict Joseph Medical Center in Honduras. In addition, he has been a generous supporter of a variety of charities and has helped many individuals launch and achieve their career goals.

QUESTION A.2. *Provide a brief summary (no longer than five double-spaced pages) of the applicant's qualifications, experience and industry knowledge relevant to the development and operation of a dispensary facility.*

RESPONSE A.2.

Each member ("Member") of Prime Wellness of Connecticut, LLC ("Prime Wellness") possesses specific qualities and expertise making the group uniquely qualified to operate a develop and operate a dispensary facility in and subject to Connecticut's Medical Marijuana Program. Each Member is, or has been, involved with running successful entrepreneurial businesses.

Two Members of the group, **Thomas Nicholas** of South Windsor, CT, and **John P. Glowik, Jr.** of Shrewsbury, MA, have been owners and operators of licensed out-patient dialysis facilities in Connecticut, Massachusetts, New Hampshire and New York. As owners of end stage renal disease ("ESRD") facilities, they were thoroughly vetted by State Health Departments and Federal agencies for quality of care, adherence to regulations and general character, competency and suitability. As companies billing to the Medicare and Medicaid programs for patient care, they were subject to security and background checks by the Federal government. Their facilities participated in multiple surprise inspections from Connecticut and all other State Health Departments which represented the United States Department of Health ESRD Program, and resulted in findings of "no deficiencies". The patient care delivered by their facilities ranked amongst the highest in the nation as measured by standardized CQI quality indicators.

The other Member of Prime Wellness is **Kevin Murphy** of Madison, CT. Kevin played an instrumental role in assisting in the financing and facilitation of Northeast Patients Group (d/b/a Wellness Connection of Maine), a very well respected,

licensed and regulated non-profit medical marijuana corporation in Maine. Wellness Connection of Maine is currently a burgeoning success in providing much needed care for patients in Maine and in existing as a good corporate citizen, and Kevin desires to bring such positive experience and opportunity to his home state of Connecticut. Kevin has had a highly successful career in the New York money management business, and has been instrumental in growing companies from inception to billion dollar money management firms. Having made numerous investments in start-up and operating businesses (healthcare, technology and otherwise) with great success, Kevin often takes a proactive role in such investments to guide and influence the operation's business professionals. Kevin's guidance and input has led to higher success ratios and more predictable outcomes for these businesses. Kevin now looks forward to applying his talents and resources for the benefit of Prime Wellness and the emerging industry presently the subject of a competitive application process in Connecticut.

Prime Wellness will engage the services of **Brett Sicklick** as Director of Operations. Brett was born and raised in West Hartford, CT. Since 2009, Brett has worked in Colorado's legal medical cannabis industry in all aspects dispensary and cultivation operations. By way of example, Brett has acted in the capacity of "Operations Manager" for Livwell, a Colorado cannabis grower and dispenser where his responsibilities included managing multiple company-owned dispensaries in and around Denver, and developing and managing the company's wholesale division. Over the past couple of years, Brett has been working for Guaranteed Harvest LLC/Herbal Cure LLC of Denver, CO as a Cultivation Warehouse Manager where he has become skilled and

responsible for running all inventory/tracking controls and managing personnel. Brett has intimate knowledge and expertise in all areas of the medical marijuana industry (including dispensary and cultivation operations) and will serve as Director of Operations for all of Prime Wellness.

Prime Wellness has also retained the exclusive consulting services of **Northeast Patients Group d/b/a Wellness Connection of Maine**. Wellness Connection of Maine is a licensed medical marijuana nonprofit corporation in the State of Maine; operates four dispensaries; employs over 45 employees; and services thousands of patients. Through its consulting subsidiary lead by Jacques Santucci, Wellness Connection of Maine will bring to Prime Wellness (and the State of Connecticut) invaluable education; proprietary techniques, processes and systems; a unique medical marijuana perspective with respect to New England (Maine); an understanding of working within a strict regulatory environment unique to the New England marketplace; invaluable experiences of creating and growing a start-up medical marijuana corporation and business; best practices and standards; know-how with respect to establishing a positive image, becoming a respected, charitable and educational part of the fabric of the local community, and becoming a hub for economic development, patient care and educational outreach to the medical and local community.

Jacques Santucci runs Opus Consulting Group, and his services are contracted to Wellness Connection of Maine as its current Chief Financial Officer. His expertise is in finance and executive management, and he has been involved with Wellness Connection of Maine for over 3 years since its inception. Jacques oversees Wellness Connection of Maine's day-to-day dispensary business operations.

Prime Wellness has initiated a **Medical Advisory Committee** to help the company develop new treatment therapies for the list of covered conditions, initiate medical research into advanced applications of the medicine, as well as provide scientific medical training and educational outreach to our State's medical community through CME qualified medical marijuana educational conferences and other activities. The committee will also provide content for educational training programs to Prime Wellness employees, including best practices in health care operations management. Current Members include Gilbert Fanciullo, MD, MS (committee chairman) who is board certified in Anesthesiology, Pain Management and Hospice and Palliative Care, and Corey Burchman, MD who is board certified in Anesthesiology and Pain Medicine (both of whom are employed at the Dartmouth-Hitchcock Medical Center, Lebanon, NH); Richard Gannon Pharm. D., who is a Specialist in Pharmacological Pain Management and who is currently employed at Hartford Healthcare, Hartford Hospital Campus, Hartford, CT; Joanne Hoffman, MS, Nutritionist and Exercise Physiology employed at Yale/Temple's Cardiac Rehabilitation Program; and Jeffery Hover, BS, Director of Supply Chain, NxStage Medical, Inc.

Complementing the well-balanced and diverse Prime Wellness team is **Dr. John Pierce**. Dr. Pierce will serve Prime Wellness on an exclusive basis in the State of Connecticut as its Alternative Dosage and Quality Control Consultant. Dr. Pierce will establish protocols and training with respect to the establishment of quality controls. Dr. Pierce received his Ph.D. in Analytical Chemistry in 1986, and has held numerous positions in research and college level instruction specializing in laboratory practices, environmental health, quality assurance and control programs

(i.e. record keeping, measuring with proper equipment, calibration of equipment, standard operating procedures, good laboratory practices, etc.), and botanical intellectual property development.

Serving as Prime Wellness' Pharmacist/Dispensary Manager is **Algis T. Domeika**, a licensed pharmacist in Connecticut. Algis has served as a Pharmacy Manager for over a decade with Walgreens, and was responsible for the following for each pharmacy location: oversee pharmacy operations; ensure proper licensure; customer service; train pharmacists, interns and technicians; inventory control; hiring new staff; mentor for intern program for several pharmacy schools; pharmacist duties, including accurately checking and filling prescriptions, providing immunization services and counseling; scheduling; and building business relationships in the community. Algis' professional background and experiences will serve him well as he exercises a number of the foregoing responsibilities and/or skills in his position as Pharmacist/Dispensary Manager of Prime Wellness.

Prime Wellness has assembled a team of professionals experienced not only in medical marijuana operations and markets subject to strict regulations (particularly in New England), but also experienced in health care, business development and management, business finance and laboratory equipment and testing. As such, it has access to the best technical and operational expertise available in New England, and has the financial where-with-all to assure the Department of Consumer Protection of its ability to operate the highest quality and most secure medical marijuana dispensary.

QUESTION A.3. *Provide a financial statement setting forth the elements and details of all business transactions connected with your application.*

RESPONSE A.3.

As previously described, the three founding Members of Prime Wellness are John P. Glowik, Jr., Kevin Murphy and Thomas Nicholas.

Kevin Murphy, a resident of Madison, CT, has been involved in the financial sector for many years and had an interest in bringing his positive experiences with respect to the medical marijuana industry to his home state of CT. John P. Glowik, Jr., who knew Kevin as a fellow alum of the College of the Holy Cross, shared Kevin's interest. Thomas Nicholas, who has partnered with John Glowik in various entrepreneurial medical endeavors, also shared such interest and vision. As such, the three individuals decided to bring their medical and business expertise together in pursuit of establishing a medical marijuana facility pursuant to the current application process and strict regulations of the State of Connecticut.

As Thomas Nicholas is a resident of South Windsor and has served in the capacity of CEO for five out-patient Renal Dialysis Facilities in Connecticut during the 1990's, he was tapped to form the company known as "Prime Wellness". As such, Prime Wellness was formed on August 27, 2013, and the membership interest thereof was allocated as follows:

[REDACTED]

The Members decided to commit [REDACTED] in a pro rata manner as start-up capital, and agreed that it would enable them to assemble a team of consultants (Architectural, Engineering, Legal, Security) as well as line up exclusive consulting and operational support from Northeast Patients Group d/b/a Wellness Connection of Maine.

[REDACTED]

In the event that Prime Wellness becomes licensed, it anticipates being operational by August 2014.

SECTION B. **LOCATION AND SITE PLAN**

QUESTION B.1. *Please provide the following: the location of the proposed dispensary facility.*

RESPONSE B.1.

The proposed dispensary facility is located at 75 John Fitch Blvd., South Windsor, CT 06074. Please see documents attached as Exhibit A.

QUESTION B.2. *Please provide the following: documents sufficient to establish that the applicant is authorized to conduct business in Connecticut and that state and local building, fire and zoning requirements and local ordinances are met for the proposed location of the dispensary facility.*

RESPONSE B.2.

Please see Exhibit B attached hereto.

QUESTION B.3. *Please provide the following: if the property is not owned by the applicant, provide a written statement from the property owner and landlord certifying that they have consented to the applicant operating a dispensary facility on the premises.*

RESPONSE B.3.

Please see Exhibit C attached hereto.

QUESTION B.4. *Please provide the following: any text and graphic materials that will be shown on the exterior of the proposed dispensary facility.*

RESPONSE B.4.

Limited text or signage will be placed on the exterior of the proposed dispensary facility.

Please see Exhibit D attached hereto.

QUESTION B.5. *Please provide the following: photographs of the surrounding neighborhood and businesses sufficient to evaluate the proposed dispensary facility's compatibility with commercial or residential structures already constructed, or under construction, within the immediate neighborhood.*

RESPONSE B.5.

Please see Exhibit E attached hereto.

QUESTION B.6. *Please provide the following: a site plan drawn to scale of the proposed dispensary facility showing streets, property lines, buildings, parking areas, and outdoor areas, if applicable, that are within the same block as the dispensary facility.*

RESPONSE B.6.

Please see Exhibit F attached hereto.

QUESTION B.7. *Please provide the following: a map that identifies all places used primarily for religious worship, public or private school, convent, charitable institution, whether supported by private or public funds, hospital or veterans' home or any camp or military establishment that are within 1000 feet of the proposed dispensary facility location.*

RESPONSE B.7.

Please see Exhibit G attached hereto.

QUESTION B.8. Please provide the following: a blueprint, or floor plan drawn to scale, of the proposed dispensary facility, which shall, at a minimum, show and identify the following:

- a. The location and square footage of the area which will constitute the dispensary department from which marijuana and marijuana products will be sold;*
- b. The square footage of the overall dispensary facility;*
- c. The square footage and location of areas used as storerooms or stockrooms within the dispensary department;*
- d. The size of the counter that will be used for selling marijuana and marijuana products within the dispensary department;*
- e. The location of the dispensary facility sink and refrigerator, if any;*
- f. The location of all approved safes and approved vaults that will be used to store marijuana and marijuana products;*
- g. The location of toilet facilities;*
- h. The location of a break room and location of personal belonging lockers;*
- i. The location and size of patient counseling areas, if any;*
- j. The location where any other products or services, in addition to marijuana and marijuana products, will be offered, if any; and*
- k. The location of all areas that may contain marijuana and marijuana products showing the location of walls, partitions, counters and all areas of ingress and egress.*

RESPONSE B.8.

Please see Exhibit H attached hereto.

QUESTION C.4. *Provide a detailed description of the features, if any, that will provide accessibility to qualifying patients and primary caregivers beyond what is required by the Americans with Disabilities Act.*

RESPONSE C.4.

Feelings of comfort, compassion and convenience do not thrive in environments that are neither supportive nor welcoming. Most people logically tend to stay away from places where they are not welcomed. Prime Wellness is particularly sensitive to this matter in connection with qualifying patients and primary caregivers (collectively, “patient” or “patients”). Due to the novel, debated and sensitive nature of recent medical marijuana developments in Connecticut and throughout New England in general, Prime Wellness conducted significant due diligence in assessing location desirability in terms of providing a supportive and welcoming environment above and beyond what is required by the Americans with Disabilities Act (“ADA”) Accessibility Guidelines;

Supportive and Welcoming Environment.

With respect to its chosen dispensary facility location, Prime Wellness found a building and a landlord that was fully supportive of the project and how synergy could be created towards the common goal of improving the quality of life for patients in a compassionate and professional atmosphere. In addition, Prime Wellness found a location where its business model would not be pre-judged by the local political establishment and would be sentenced to a life of always fighting an uphill political and public relations battle. As such, our site exists in a supportive and welcoming environment, enhancing the level of convenience and comfort for qualifying patients and in particular those inflicted with disabilities.

The Prime Wellness dispensary facility site was carefully chosen to maximize convenience for qualifying patients from throughout Connecticut in terms of location, ease of travel, availability of parking, external building environment and amenities, internal building environment and amenities, availability of ancillary services, supportive and welcoming environment, and comfortable accommodations for educational activities.

The site features a parking lot that includes (or will include) handicapped accessible spaces, and such will be upgraded to meet and/or exceed all the ADA accessibility requirements.

Through the ample resources of Prime Wellness, and the openness of the landlord, Prime Wellness will ensure the provision of the following amenities:

- Ample lighting, coupled with architectural lighting at entrances, which will serve in making the patient feel more safe, at ease and comfortable in terms of parking, entering and exiting the building, and leaving the premises; and

- Handicap accessible ramps, curbs and entrances around the site and at building entry points to make the site very convenient and safe for disabled patients.

Our exterior amenities will be maintained on a regular basis, and will be kept in such a manner, so that accessible parking spaces and building access will always be a priority, including without limitation the removal obstacles in a timely manner, the removal of snow and ice in a timely manner, the enforcement of proper use of handicap parking spaces, and ensuring that entrances are always easily accessible..

Prime Wellness will also seek to install customizable street signage with larger font to allow our patients who are vision impaired to be better able to site/locate our dispensary facility in an easier and a safer manner.

Comfortable Accommodations for Experiences and Activities.

The dispensary facility will feature useable space for patient and caregiver comfort and enjoyment. The spaciousness of the center is intended for patient convenience, including without limitation the ability to be able to spend time at the dispensary facility reading educational literature from Prime Wellness' library, taking a class, and/or participating in a study.

Prime Wellness did not want its dispensary facility to have such limited space and amenities leaving a patient with no option but to receive medicine and quickly depart. As such, the Prime Wellness dispensary location will conveniently allow patients to participate in workshops at the center itself, particularly activities accessible and tailored to our patients with disabilities.

Prime Wellness intends to coordinate the availability of individual amplified hearing assistance devices at the dispensary facility so as to make the patient experience even more enjoyable. We also believe that our patients will appreciate having access to free services, particularly Wi-Fi support of patients' personal mobile devices.

Other Notable Features.

Other notable features the Prime Wellness will seek to characterize the dispensary facility site with so as to appeal to and benefit our disabled patients (and other patients) are as follows:

- Zoned climate control system for occupant comfort.
- Class A interior finishes, which create an aesthetically pleasing, comfortable and convenient space for patient use.
- Glass display to allow better accessibility.

- Handicapped accessible, convenient and private bathrooms.
- Drinking fountains and complementary beverages on hand.
- Direct and indirect lighting that creates a softer and more comfortable interior environment.
- Graphic printing and signs with large font or Braille font.
- Entry overhangs and vestibules for dry and secure waiting.
- Ample and indigenous landscape features and plantings creating an aesthetically pleasing and relaxed environment.
- Tablet based, web enabled device for product inquiries and ordering.
- Large font print graphics and product information for visual assistance.
- Concierge or escort service assistance for disabled patients arriving at the facility.
- Handicap door installation at each primary entrance.

Prime Wellness will also adapt its space to welcome service animals, and will have fresh water for service dogs.

Finally, Prime Wellness will make its best effort to seek to employ staff that has knowledge in ASL (American Sign Language), enhancing the experience of patients with hearing disabilities while at our dispensary facility.

If employees are interested in such training, Prime Wellness will coordinate, support and otherwise assist in such employees training.

QUESTION C.5. *Provide a detailed description of any air treatment or other system that will be installed and used to reduce off-site odors.*

RESPONSE C.5.

Based on knowledge and experience, Prime Wellness will establish and implement a system within the facility to reduce off-site odors through keeping rooms containing marijuana and marijuana products under negative pressure so as to allow for a controlled inward flow of air and adequate turnover. The required metric is a “turnover” of all of the air in the rooms once every ten minutes in order to both (i) mitigate odors and (ii) to ensure that any CO₂ that could possibly result from marijuana is purged from the workplace. Liquid carbon dioxide is used as the extracting solvent. The air handling system to be used to mitigate odor is comprised of 4 steps.

First, rooms containing marijuana and marijuana products shall be maintained at a negative pressure as in-line fans will be situated to pull air out of such rooms. As such air is being pulled out, “make-up” air from the outside will be filtered through an appropriate High Efficiency Particulate Absorption filter and heated or cooled as necessary to maintain a comfortable working environment at 68F.

Second, air that is “pulled out of the room” shall be forced through an appropriate number of charcoal filters that function to absorb the malodorous substances.

Third, air that has been passed through the activated charcoals will then be passed through a plenum space containing an ozonator. An ozone generating machine will serve to degrade the malodorous terpene chemicals that are very prevalent within the cannabis.

Finally, air that has been filtered through activated charcoal and ozonated shall be discharged to the outside environment.

The specific number of in-line fans and the number of activated charcoals filters will be specific to room size (meaning the actual cubic feet of the room), and the most important aspect is to have the interior space under negative pressure and the air that is discharged pass through activated charcoal and then preferably also ozonated before discharge to the outside environment.

SECTION E. **FINANCIAL STATEMENTS AND ORGANIZATIONAL STRUCTURE**

QUESTION E. *Please provide the following: financial soundness and funding sources of the applicant; and appropriateness of credentials, training, qualifications, experience and other matters relating to applicant, backers and key personnel.*

I. ADEQUATE FUNDING FROM A UNIFIED AND SUBSTANTIAL SOURCE.

A. Secured Adequate Funding in Advance.

The foundation upon which Prime Wellness will be able to grow from a fledgling start-up entity to an established, mature and successful business operation is initially Prime Wellness' existing source of funding. Prime Wellness is fortunate to be able to rely on the substantial and collective net worths (and business backgrounds) of its members in order to ensure that it will be and remain well capitalized and financially sound for development and growth.

Thomas J. Nicholas, John P. Glowik, Jr. and Kevin P. Murphy, the founding members and owners of Prime Wellness (collectively, the "Members"), agreed to

[REDACTED]

Prime Wellness was formed on August 27, 2013, and the equity and membership interests therein are as follows:

[REDACTED]

The Members understand that substantial funding will be necessary to build a first class dispensary facility featuring without limitation proper security; top professionals; necessary point of sale, inventory control and other systems; the ability to satisfy patient demand and expectations; the ability to operate in a first-class and legally-compliant manner; and the ability to remain viable as an ongoing business. .

The Members have created a business relationship with

[REDACTED]

B. Substantial Net Worth, and Business Backgrounds, of Members.

Prime Wellness is fortunate to also be able to rely on the substantial and collective net worth and business backgrounds of the Members in order to ensure that it will remain in a well capitalized and financially sound position.

Kevin P. Murphy

Kevin Murphy is a Connecticut resident, and the Chief Financial Officer of Prime Wellness. Kevin has a very impressive and successful track record as an entrepreneur in the financial services and investment industry, and in starting and growing companies (please see Kevin's business background attached hereto as Exhibit L).

Such facilities have been operational for more than one year, and are recognized as standard bearer operations in the medical marijuana industry in a very strict regulatory environment.

Thomas J. Nicholas and John P. Glowik, Jr.

Thomas Nicholas and John P. Glowik, Jr. were owners and operators of outpatient dialysis facilities in Connecticut, Massachusetts, New Hampshire and New York during the 1990's and into the early 2000's (see John's resume attached hereto as Exhibit L, and Tom's resume attached hereto as Exhibit L). Tom and John were vetted by those States' respective health departments, in addition to the Federal Medicare ESRD Program as to character, competency and financial suitability to fund such projects. As applicants, Tom and John were never denied licensing.

Tom, a resident of South Windsor, CT, was the Chief Executive Officer for five (5) Connecticut dialysis facilities, and John was Chief Executive Officer of the Massachusetts dialysis facilities. Each of these dialysis businesses produced annual revenues

II. APPROPRIATENESS OF APPLICANT, BACKERS AND KEY PERSONNEL.

In addition to securing such funding and being in a sound financial situation, Prime Wellness has put together a management team second to none that also demonstrates appropriate credentials, training, qualifications, experience and competency with respect to: (i) their collective ability to carry out their designated roles for Prime Wellness; and (ii) having had successfully completed work on projects of a similar size and scope, in the same or comparable line of business, to those required by this application process for a dispensary facility license.

A. Diversely Experienced Board of Directors and Central Administration.

Prime Wellness recognizes the challenges it will face to operate a dispensary facility. It recognizes the numerous stakeholders involved with the project, including without limitation, law enforcement, patients, neighbors, legislators, the Connecticut Department of Consumer Protection, advocacy groups, the medical community and general public. With that in mind, Prime Wellness has assembled a Board of Directors and central management team comprised of individuals who are capable of addressing the diverse challenges facing this new organization; who have experience with start-up enterprises and strict regulatory compliance; and who are committed to Prime Wellness' mission statement and vision. The diverse backgrounds, professions and experiences of these individuals will position Prime Wellness in the best manner possible to run a complex enterprise, to understand and address the diverse concerns of all stakeholders relating to the organization, and to understand and address the financial, legal and other diverse business challenges that they will be facing.

B. Overview of Experiences in Running a Business of Similar Size and Scope.

Prime Wellness has carefully assembled a team of directors, principal officers and day-to-day managers well recognized for having significant experience in successfully running complex organizations and other businesses of similar size and scope. These professionals bring to Prime Wellness a deep, relevant and diverse set of talents particularly well-suited to what will be critical for the managerial, operational, financial and public image success of one of the State's first-ever dispensary facilities.

Understanding that this dispensary facility will be a unique and high-profile project, the success of which many stakeholders (including the Connecticut Department of Consumer Protection, and the State of Connecticut in general) have a vested interest, Prime Wellness has secured the talents of:

- (i) A licensed and well established medical marijuana nonprofit corporation in the State of Maine which operates four dispensaries; operates a state-of-art cultivation facility; employs over 45 employees; services thousands of patients; and will serve in Connecticut as a consultant to Prime Wellness

in a very close and exclusive manner (Northeast Patients Group d/b/a Wellness Connection of Maine).

- (ii) owners and operators of licensed out-patient Dialysis facilities in Connecticut, Massachusetts, New Hampshire and New York who have been thoroughly vetted by both State Health Departments and federal agencies for quality of care, adherence to regulations and general character and competency, with patient care delivered by their facilities ranked amongst the highest in the nation as measured by standardized CQI quality indicators. (Thomas Nicholas of South Windsor, CT, and John P. Glowik, Jr. of Shrewsbury, MA);
- (iii) a highly successful business and money manager who has been instrumental in growing companies from inception to billion dollar operations, and who has assisted in financing and facilitating Northeast Patients Group d/b/a Wellness Connection of Maine (Kevin Murphy);
- (iv) a licensed pharmacist in Connecticut having over a decade's worth of experience as a pharmacy manager, and exercising responsibilities relating to such that range from inventory control and ensuring proper licensure to hiring staff and scheduling (Algis Domeika);
- (v) an operational manager with extensive experience in the legal medical cannabis industry, managing multiple company owned dispensaries in and around Colorado, as well as developing and managing the company's wholesale division while being responsible for all phases of the cannabis growing processes and related controls and tracking (Brett Sicklick);
- (vi) a Medical Advisory Committee to help the company develop new treatment therapies for the list of covered conditions, initiate medical research into advanced applications of the medicine, as well as provide scientific medical training to our state's medical community through CME qualified medical marijuana educational conferences. They will also provide content for educational training programs to Prime Wellness employees. Current Distinguished Members include Gilbert Fanciullo, MD, MS (committee chairman) board certified in Anesthesiology, Pain Management and Hospice and Palliative Care; Corey Burchman, MD, board certified in Anesthesiology and Pain Medicine, both currently employed at the Dartmouth-Hitchcock Medical Center, Lebanon, NH; Richard Gannon Pharm. D., Specialist in Pharmacological Pain Management, currently employed at Hartford Healthcare, Hartford Hospital Campus, Hartford, CT; Joanne Hoffman, MS, Nutritionist and Exercise Physiology employed at Yale/Temple's Cardiac Rehabilitation Program and Jeffery Hover, BS, Director of Supply Chain, NxStage Medical, Inc.;

- (vii) a law enforcement official with years of experience from the Connecticut State Police and with experience providing security to private industry, as well as being an instructor at numerous local colleges (Dean F. Marino, Connecticut State Police - Sergeant, 1988-2007);
- (viii) an experience professional holding a Ph.D. in Analytical Chemistry and specializing laboratory practices, environmental health, quality assurance and control programs (i.e. record keeping, measuring with proper equipment, calibration of equipment, standard operating procedures, good laboratory practices, etc.), and botanical intellectual property development, who will serve Prime Wellness in Connecticut on an exclusive basis as its Alternative Dosage and Quality Control consultant (Dr. John Pierce).

C. Positions and Respective Prime Wellness Professionals.

Board of Directors:

- 1. Thomas J. Nicholas (medical/healthcare operations perspective)
- 2. John P. Glowik, Jr. (medical/helathcare operations perspective)
- 3. Kevin P. Murphy (financial and business perspective)

Principal Officers:

- 1. Thomas J. Nicholas (Chief Executive Officer)
- 2. Kevin P. Murphy (Chief Financial Officer)

Key Personnel:

- 1. Brett Sicklick (Director of Operations)
- 2. Algis Domeika, Pharm. (Pharmacist/Dispensary Manager)

Medical Advisory Committee:

- 1. Gilbert J. Fanciullo, MD, MS
- 2. Corey Burchman, MD
- 3. Richard H. Gannon, Pharm.D.
- 4. Jeffery B. Hover, Sr.
- 5. JoAnne Hoffman, M.S.

Consultants:

- 1. Dean Marino (future possibility as Director of Security)
- 2. Northeast Patients Group d/b/a Wellness Connection of Maine
- 3. Dr. John Pierce (Alternative Dosage and Quality Control Consultant)

III. PRIME WELLNESS PROFESSIONALS' RELEVANT QUALIFICATIONS AND EXPERIENCES IN RUNNING BUSINESSES – SELECT PERSONNEL.

A. Northeast Patients Group d/b/a Wellness Connection of Maine (“WCM”).

WCM will serve as a consultant exclusive to and for the benefit of Prime Wellness. WCM is a licensed medical marijuana nonprofit corporation in the State of Maine; operates four dispensaries; operates a state-of-art cultivation facility; employs over 45 employees; and services thousands of patients. WCM and its Chief Financial Officer, Jacques Santucci, will bring to Prime Wellness (and the State of Connecticut) invaluable education; proprietary techniques, processes and systems; a unique medical marijuana perspective with respect to New England (Maine); an understanding of working within a strict regulatory environment unique to the New England marketplace; invaluable experiences of creating and growing a start-up medical marijuana corporation and business; best practices and standards; know-how with respect to establishing a positive image, becoming a respected, charitable and educational part of the fabric of the local community, and becoming a hub for economic development, patient care and educational outreach to the medical and local community.

B. Thomas Nicholas (Board of Directors, Chief Executive Officer).

As President and CEO of six individual dialysis companies in two states, Tom participated in all aspects of the business from formation to site location and development to regulatory application and day to day operations. He has been thoroughly vetted by both State Health Departments and federal agencies for general character and competency, financial and technical ability, quality of patient care and adherence to State of Connecticut, Department of Public Health regulations. A number of dialysis operating businesses were with physicians as partners (being mindful of Stark and safe harbors laws). His experience with the Dialysis facility business in the 1990's will have many similarities to what he will face as the Chief Executive Officer of fledgling start-up company in a very strict regulatory environment.

Tom received his medical training and background as a Registered Nurse having worked in both hospital and private medical businesses. Tom worked as an R.N./Orthopedic technician and Operating Room assistant in an Orthopedic Practice (Paulsen and Albano, PC) in Schenectady, N.Y. in 1977, worked as an Operating Room Nurse at the Hermann Hospital in Houston, Texas in 1978-1979, and worked as an Operating Room Nurse at Hartford Hospital in Hartford, CT in 1980. The fact that he was trained as a Registered Nurse has given him a real appreciation and understanding of how to access and attend to the needs of clients and patients alike.

Experience With Start-Ups:

The start-up nature of the potential medical marijuana project is not unlike starting up an outpatient dialysis facility. Tom was President and CEO of five

Connecticut based dialysis facilities (Enfield Dialysis, East Hartford Dialysis, Central CT Dialysis, Rocky Hill Dialysis and Middlesex Dialysis). He was responsible for operations and day to day management. His facilities treated in excess of 450 patients on a three time per week basis. Each facility billed between two and four million dollars in annual patient services to Medicare under the ESRD program, Medicaid and private insurers on an annual basis. Four of the five Connecticut dialysis facilities developed by Tom (East Hartford, Meriden, Rocky Hill and Middletown) were de novo businesses. To accomplish such, Tom and his partner John P. Glowik, Jr. relied on each other's expertise in the dialysis market. Tom Nicholas and John P. Glowik, Jr. had over 10 years experience selling dialysis products, equipment and services, along with the expertise of seasoned consultants, just as they are doing for this venture. All this expertise helped Tom and John take a concept from start-up with 6 patients to a 150 patient dialysis facility (East Hartford) generating [REDACTED]

Building A Team:

As CEO, Tom assembled an experienced team of talented individuals to help formulate a business plan which encompassed: assembling and submitting a certificate of need (CON) application to the CT Department of Health, arranging financing (initial funding of \$400,000 came pro rata from partners), locating and controlling real estate, bidding facility build out/construction to multiple general contractors, keeping construction on schedule, sourcing capital equipment/systems and hiring of key personnel ultimately reporting directly to Tom as CEO.

Managing Business Variables:

Planning is essential to stay ahead of the growing demand for one's product or service. There must be initial flexibility of patient care staff in order to meet the demands of the developing market. As a de novo business, East Hartford Dialysis was housed in a 10,000 sq. ft. commercial building which was built out but utilized and staffed in phases. Outpatient (non-hospital based) dialysis facilities were rare in 1994 in Connecticut. A facility of that size was almost unheard of. Tom and John's plan was to build out a large enough space to accommodate up to 250 patients in a facility. The facility was opened and started with six patients who were traveling from East Hartford into Hartford and beyond for these life saving treatments. When the East Hartford facility was sold in 1997, there were 165 patients. The acquiring company is still operating the facility in the same location today.

Operating In A Regulatory Environment:

As Tom's company billed to the Medicare and Medicaid systems for patient care, he and John were security and background checked by the FBI. The company's facilities participated in multiple surprise inspections from CT and other State Health Departments, who also represent the US Department of Health Medicare ESRD Program with no regulatory deficiencies noted. Tom and John delivered patient care that ranked amongst the highest in the nation as measured by standardized CQI quality indicators. It is

extremely important to note that no licensed medical facility or any business where Tom had control has ever been alleged or found to have violated any federal, state or local laws or regulations during the time period when he was associated.

Tom operated licensed healthcare facilities in multiple states, and is used to living up to regulatory expectations. He and John were ranked in the top 3% nationwide amongst their peers based on standardized, objective, continuous quality indicators. Demanding excellence from his staff, regardless of the business, always paid dividends. Moreover, it proved to be a win/win situation for the provider and the end-user. It is the only way he'll do business because it's the right way to do it.

In summary, Thomas Nicholas has spent a career overseeing, running and otherwise managing medical facilities featuring a significant and diverse number of business disciplines, while working with physicians, and other employees. His operational experiences and successes demonstrate his ability to carry out his role as Chief Executive Officer for Prime Wellness.

C. John P. Glowik, Jr. (Board of Directors).

John, along with Tom Nicholas, has owned and operated outpatient Dialysis Facilities and businesses in CT, MA, NH and NYS. As an owner of ESRD facilities, he has been thoroughly vetted by both State Health Departments and federal agencies for general character and competency, financial and technical ability and ultimately, quality of patient care and adherence to State of Connecticut, Department of Public Health regulations. He has accumulated forty years of health care experience.

John's experience in creating, owning and running successful businesses is demonstrated most recently where he owned and operated 15 Dialysis facilities based in MA (Springfield & Greenfield), NH (Keene), CT (Hartford, Enfield, Middletown, Rocky Hill), NY (Albany-2, Amsterdam, Schenectady, Selden, Bellmore, Hempstead, Rochester), which he operated profitably beginning in 1989 and through 2012. As co-owner and operator of the dialysis facilities, he has gained substantial experience and knowledge that will be directly relevant to the success of the proposed facilities. He has worked these businesses on a day to day basis, and clearly understands quality of care, adherence to regulations and general character and competency of a medical operation.

John received a B.A. in Economics and History from Holy Cross College and immediately went to work in pharmaceutical and medical sales to hospitals, physicians, outpatient medical facilities in the greater Northeast states for fifteen years. In 1988, he was the co-founder of Prime Medical, Inc. which was a Massachusetts based medical supply company covering New England, New York and New Jersey. In 1990, he co-founded NutraCare Inc., a parental nutrition company serving patients in dialysis centers and at home. Further in 1992, he started and founded Prime Water which was a Massachusetts based water purification/delivery systems for medical facilities. As a dynamic entrepreneur, John has been a leader in developing health related businesses.

John has also been extremely community minded in his involvement with numerous community boards. He served on Worcester Academy, Worcester MA Board of Trustees (3 terms); Holy Cross GAA Board; Holy Cross College Advisory Board to the Trustees since 2007; Paxton Recreation Commission Chairman, Paxton, MA; Paxton Youth Sports, President; Paxton Little League, Treasurer; and the Sacred Heart Basketball League Board, Worcester, MA. His dedication to the needs of the community is an intangible attribute that contributes to the successes he has had in business.

Executing the aforementioned responsibilities over the years has given John significant experience and insight on managing many types of businesses, including without limitation managing and coordinating staff members with other medical professionals towards common goals, and maintaining necessary financial controls to ensure the long-term viability of such businesses. This has exposed John to all aspects of business.

As a Board member of Prime Wellness, and based on his experiences in running his businesses and overseeing the growth of his companies, John will oversee Prime Wellness' commitment to ensure that patient demand and expectations will be met.

D. Kevin P. Murphy (Board of Directors, Chief Financial Officer).

Mr. Murphy brings to the Board of Directors and his role of Chief Financial Officer a very strict financial analysis and investment discipline, as well as business ownership and management expertise from his own privately-owned and operated businesses. He is the Managing Member of Murphy Capital, LLC. Murphy Capital is engaged in the investment management of private and public companies for the benefit of his family and his philanthropic interests. He played a key role in assisting in the financing and facilitation of Northeast Patients Group d/b/a Wellness Connection of Maine ("WCM"), a very well respected, licensed and regulated non-profit medical marijuana corporation in Maine (referenced above). Wellness Connection of Maine is currently a burgeoning success in providing much needed care for patients in Maine and in existing as a good corporate citizen, and Kevin desires to bring such positive experience and opportunity to his home state of Connecticut.

Mr. Murphy has had a highly successful career in the New York money management business and is a well-respected member of the financial community amongst his peers. He is a consummate professional and has been instrumental in growing companies from inception to billion dollar money management firms. Prior to launching Tandem Global Partners, Kevin was a Managing Partner at Stanfield Capital Partners. While at Stanfield, he was instrumental in growing the company from inception to a \$30 billion alternative money management firm. He was a member of the Operating and Management team that oversaw all aspects of Stanfield's business, which included risk management, sales and distribution, client services, legal, compliance and operations. Kevin was integral in spearheading the strategic development of the firm and responsible for attracting key personnel and money management talent. Kevin has previously worked

with Gleacher NatWest (Partner and Director of Marketing), Schroders (Sr. VP of Sales), Lazard Freres (VP) and Cantor Fitzgerald (VP).

Mr. Murphy possesses a strong commitment to his profession as well as family and community ties. He serves on the Board for the St. Benedict Joseph Medical Center in Honduras. In addition, he has been a generous supporter of a variety of charities and has helped many individuals launch and achieve their career goals.; develops and administers firm-wide investment policy; constructs asset allocation strategies; conducts research on a broad range of investment vehicles for portfolio investments; monitors and reports portfolio performance to ensure that client objectives are met; implements trades; conducts periodic client review meetings; and maintains strategic relationships with trustees, vendors, and asset custodians.

Mr. Murphy's background in the management of his own investment company and money management fund provides Prime Wellness with additional expertise in business management and oversight, but also lends to Prime Wellness a unique set of skills in terms of fiscal analysis, capital investment and financial growth strategies and assessments. He will be able to bring the same set of skills to Prime Wellness that he brings on behalf of his venture capital fund to the portfolio companies in which the fund has invested or considered investing, and due to his involvement with WCM he brings a key understanding of the medical marijuana space (particularly in a strict regulatory environment).

E. Algis T. Domeika (Pharmacist/Dispensary Manager).

Algin is a licensed pharmacist in the State of Connecticut, and has served as a Pharmacy Manager for over a decade with Walgreens. As a Pharmacy Manager, Algin and was responsible for the following for each pharmacy location: overseeing pharmacy operations; ensuring proper licensure; customer service; training pharmacists, interns and technicians; overseeing and performing inventory control; hiring new staff; mentor for intern program for several pharmacy schools; pharmacist duties, including accurately checking and filling prescriptions, providing immunization services and counseling; scheduling; and building business relationships in the community. Algis' professional background and experiences will serve him well as he exercises a number of the foregoing responsibilities and/or skills in his position as Pharmacist/Dispensary Manager of Prime Wellness.

F. Brett N. Sicklick (Director of Operations).

Brett was born and raised in West Hartford, CT, where his family still resides. Since 2009, Brett has worked in Colorado's legal medical cannabis industry. Since 2009, Brett has worked in Colorado's legal medical cannabis industry in all aspects dispensary and cultivation operations. Brett was instrumental in acting as a co-creator of the "Patient's Guide to Colorado" - a patients' magazine to help locate medical cannabis dispensaries in the State - in addition to contributing topical content such as strain reviews. Brett has also acted in the capacity of "Operations Manager" for Livwell, a

Colorado cannabis grower and dispenser. His responsibilities included managing multiple company-owned dispensaries in and around Denver, as well as developing and managing the company's wholesale division.

Over the past couple of years, Brett has been working for Guaranteed Harvest LLC/Herbal Cure LLC of Denver, CO as a Cultivation Warehouse Manager where he has become skilled and responsible for running all inventory/tracking controls and managing personnel. Brett has intimate knowledge and expertise in all areas of the medical marijuana industry (including dispensary and cultivation operations) and, as such, will serve as Director of Operations for all of Prime Wellness in the event of licensing.

QUESTION E.1. *Please provide the following: documents such as the articles of incorporation, articles of association, charter, by-laws, partnership agreement, agreements between any two or more members of the applicant that relate in any manner to the assets, property or profit of the applicant or any other comparable documents that set forth the legal structure of the applicant or relate to the organization, management or control of the applicant.*

RESPONSE E.1.

Please see Exhibit K attached hereto.

QUESTION E.2. *Please provide the following: a current organizational chart that includes position descriptions and the names and resumes of persons holding each position to the extent such positions have been filled. To the extent such information is not revealed by their resume, include additional pages with each resume setting out the employee's particular skills, education, experience or significant accomplishments that are relevant to owning or operating a dispensary facility.*

RESPONSE E.2.

Please see Exhibit L attached hereto.

QUESTION E.3. *Please provide the following: the name, title and a copy of the resume of the person who will be responsible for all information security requirements, including the requirement that patient information remain confidential.*

RESPONSE E.3.

Thomas J. Nicholas as Chief Executive Officer, and Algis T. Domeika as Pharmacist/Dispensary Manager, will be the persons responsible for all information security requirements, including the requirement that patient information remain confidential. The resumes of Mr. Nicholas and Mr. Domeika are attached as Exhibit L.

QUESTION E.4. *Please provide the following: a copy of all compensation agreements with dispensary facility backers, directors, owners, officers, other high-level employees or any other persons required to complete Appendices B, C or E. For purposes of this RFA, a compensation agreement includes any agreement that provides, or will provide, a benefit to the recipient whether in the form of salary, wages, commissions, fees, stock options, interest, bonuses or otherwise.*

RESPONSE E.4.

Please see Exhibit M attached hereto.

QUESTION E.5. *Please provide the following: describe the nature, type, terms, covenants and priorities of all outstanding bonds, loans, mortgages, trust deeds, pledges, lines of credit, notes, debentures or other forms of indebtedness issued or executed, or to be issued or executed, in connection with the opening or operating of the proposed dispensary facility.*

RESPONSE E.5.

Please see Exhibit N attached hereto.

QUESTION E.6. *Provide audited financial statements for the previous fiscal year, which shall include, but not be limited to, an income statement, balance sheet, statement of retained earnings or owners' equity, statement of cash flows, and all notes to such statements and related financial schedules, prepared in accordance with generally accepted accounting principles, along with the accompanying independent auditor's report. If the applicant was formed within the year preceding this application, provide certified financial statements for the period of time the applicant has been in existence and any pro forma financials used for business planning purposes.*

RESPONSE E.6.:

In addition to the below, please see Exhibit O attached hereto

QUESTION E.7. *Provide complete copies of all federal, state and foreign (with translation) tax returns filed by the applicant for the last three years, or for such period the applicant has filed such returns if less than three years.*

RESPONSE E.7.

Please see Exhibit P attached hereto.

QUESTION E.8. *Provide complete copies of the most recently filed federal, state and foreign (with translation) tax returns filed by each: (i) dispensary facility backer; and (ii) each backer member identified in Section B of Appendix B.*

RESPONSE E.8.

Please see Exhibit Q attached hereto.

SECTION F. BONUS POINTS

QUESTION F.1. Employee Working Environment Plan: Describe any plans you have to provide a safe, healthy and economically beneficial working environment for your employees, including, but not limited to, your plans regarding workplace safety and environmental standards, codes of conduct, healthcare benefits, educational benefits, retirement benefits, and wage standards.

RESPONSE F.1.

Prime Wellness will strive to create a welcoming, safe and respectful workplace in keeping with the mission and values of the company.

Prime Wellness aspires to create a team of compassionate, dedicated individuals who share a desire to serve Connecticut's medical cannabis patients by providing them with excellent pharmaceutical grade medicine, and educating them and the wider community about the safe and beneficial use of this healing plant. Our company employs highly trained personnel in a staffing plan that ensures effective and proper safety and security, training and education, collegiality and teamwork, economic benefits and opportunities, and codes of conduct.

The principles will be outlined in an employee handbook that will provide an overview of the history, the business plan and the structure of the company; information about benefits available to employees; and an outline of the policies and procedures which are conditions of employment, following current regulations.

All employees are provided with a copy of the Employee Handbook at the time of hire for their personal use.

Our company has a philosophy of open communication, where all employees have the right, and are encouraged, to speak freely with management about their job-related concerns. Any employee may present questions and comments in writing, and will receive a written response. Prime Wellness believes in open dialogue between employees and managers. As a result, an employee need not fear, or feel disadvantaged as a result of, raising issues about potentially unclear policies, and/or making constructive comments and criticisms.

Prime Wellness is also committed to providing equal employment opportunity to all applicants and employees. We will not discriminate against any applicant or employee on the basis of race, color, sex, sexual orientation, age, religion, national origin, disability, genetic information, marital status or veteran status. It is our commitment that equal employment opportunities will be provided in employment, recruitment, selection, compensation, benefits, promotion, demotion, layoff, termination and all other terms and conditions of employment.

Moreover, Prime Wellness will not tolerate harassment or intimidation of our employees on any basis prohibited by law, including race, color, sex, sexual orientation, age, religion, national origin, handicap, disability, marital status, or veteran status. The entire management team of Prime Wellness is committed to such policy and its enforcement. Not in limitation of the foregoing, the entire management team is also committed to ongoing compliance with all pertinent statutes and regulations, including without limitation those governing and/or related to background checks; disqualifying drug convictions; disciplinary policies, procedures and records; inspection, security, safety and other related requirements; confidentiality; job descriptions; employment contract policies; business records; personnel files; and alcohol and drug-free workplace policies.

A. Compensation and Benefits.

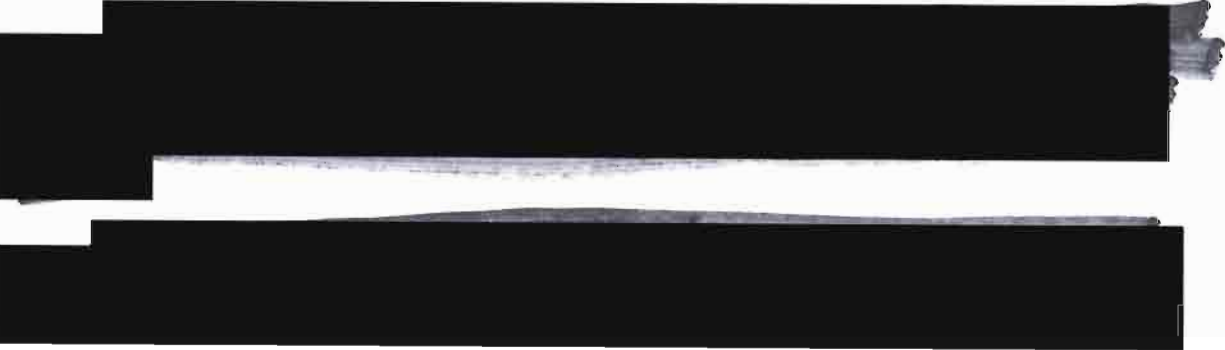
Prime Wellness intends to pay well above the minimum wage, in recognition of the fact that our employees have specialized knowledge and perform difficult work.

While exempt employees will receive a salary and benefits to be negotiated, non-exempt employees shall be entitled to the following compensation and benefits:

- Compensation: Hourly rate of not less than [REDACTED] with yearly pay increase and overtime policy.
- Benefits: Will offer a comprehensive set of benefits including health insurance, dental and vision options. Prime Wellness will pay [REDACTED] of the cost of coverage of a company selected plan for each eligible employee, or similar depending on current regulations. Prime Wellness will contribute an amount equal to [REDACTED] the employee's wages to the 401(k) retirement plan if the employee chooses to enroll.
- Employee Assistance Program: An employee assistance program will be made available to employees at no cost to them.
- PTO: Prime Wellness will provide its regular full- and part-time employees with paid time off ("PTO") each year as a way to express its appreciation, and as a way to renew and refresh our employees. The purpose of PTO is to provide employees with flexible paid time off from work. Such time can be taken for vacation, illness, injury, personal business, medical appointments, volunteer activities and other activities of the employee's choice. Additionally, Prime Wellness will observe up to seven holidays.
- Other: More benefits will continue to be added and/or offered over time depending on employee needs and the availability of offerings.

B. Workday Hours and Scheduling.

Staffing of the Prime Wellness dispensary facility (the “Dispensary Facility”) is based on providing full-time positions (40 hours per week) to non-exempt and exempt staff members who are required to work Monday through Sunday; providing part-time positions (approximately 30 hours per week) to non-exempt and exempt staff members who are required to work Monday through Sunday as well; and providing one-hour overlaps to accommodate lunch breaks. Work schedules will be established, and may be modified, to ensure that all employees have a clear, regular schedule promoting teamwork; minimizing erratic scheduling; simplifying recruitment; and providing substantial living-wage employment opportunities to residents of the surrounding areas. A tiered system, including shift scheduling to allow for proper coverage as well as promoting a healthy lifestyle and work balance, will be considered.



Our employee handbook includes information on how the company intends to grant employees various leaves of absence such as personal or medical leave, leave for victims of domestic violence, bereavement leave, jury leave, military leave and other regulatory leaves.

C. Corporate Culture.

Prime Wellness will strive to create a collaborative environment and a community of like-minded people through the use of modern and secure technology, as well as by promoting employee engagement. Prime Wellness intends to organize company functions such as summer picnics and holiday parties.

D. Processes & Compliance.

Prime Wellness will hire a Human Resources Manager (“HR Manager”) as one of its first hires, and will create a Human Resources Department in connection with its Administrative and Accounting Department. Such team will administer payroll and benefits. The HR Manager will also be in charge of coordinating all hiring, developing job descriptions and acting as the primary contact for employee relations.

In order to provide the highest standard of service to our clients, Prime Wellness will use a rigorous application process including online application forms, detailed job descriptions and multiple interviews. We will conduct background checks of all employees. Prime Wellness will also establish a referral incentive program among its employee team to help hire new employees.

The HR Manager shall maintain a personnel record for each employee that shall include, at a minimum, the following:



Moreover, Prime Wellness intends to create a series of committees featuring common participation of board members, executives, management and employees. The corporate compliance sub-committee will be an additional mechanism to identify and mitigate any regulatory, fiscal control or ethical deficiencies within the company, and to facilitate any necessary corrective action. An “Internal Culture Committee” will exist and consist of non-management staff members and members of the management team in order to address questions and topics with the goal of improving internal systems and communications.

E. Training.

Prime Wellness understands that a critical factor in the success of its operations is the dedication, knowledge, development and compassionate care of its managers and employees, working together with a common purpose. As such, Prime Wellness has devised a series of training modules designed to focus and enhance both the general and specific knowledge of its team which will allow for such individuals to obtain greater skills and advancement opportunities within Prime Wellness. Such training and educational opportunities are described in this application.

At the time of hire, all employees will attend a mandatory security and safety training program. Such program will provide training aimed at personal safety and crime prevention techniques, and shall include but not be limited to training in the following:

- Applicable State and Federal laws;
- Professional conduct, ethics and State and Federal laws regarding patient confidentiality;
- Proper use of security measures and controls;
- Safety and security plans;

- Specific procedural instructions for responding to an emergency, including a robbery or other violent incidents and/or accidents;
- Understanding what role every member of the organization has in providing a safe and secure facility for all persons;
- Situational training with respect to incidents involving employees, other personnel and/or other persons on its premises, including but not limited to physical and/or verbal altercations, theft, unruly and/or threatening behavior, suspicious behavior, recognizing signs of abuse and misuse, etc.;
- Daily operations of the facility as appropriate to job duties;
- First Aid and CPR; and
- Human resources seminars such as sexual harassment and OSHA safety.

Such training shall be completed prior to the employee beginning work, and shall be updated at least yearly. Employees will also be encouraged to take advantage of special classes, leadership trainings, and other educational opportunities that may arise. Prime Wellness will collaborate with the Connecticut CONN-OSHA Department to develop best practices in training.

F. Commitment to Employees; Advanced Training and Education.

Prime Wellness' employees will be selectively recruited, in large part, based on their enthusiastic commitment to working in the challenging and rewarding setting of Prime Wellness' dispensary facility. Prime Wellness will recognize its team members' intellect, spirit and compassion. They will be what makes Prime Wellness special, and, most importantly, they are what will contribute to the ultimate relief and comfort of patients. Prime Wellness is committed to the advancement and intellectual enrichment of its team members. No employee shall hesitate to discuss with his or her supervisor any educational or training opportunities of which the employee is aware, for his or her personal or professional development. Prime Wellness is developing an employee-tuition program to assist team members, and invites inquiries and suggestions as this program is developed and implemented.

G. Safety in Workplace.

Prime Wellness believes in maintaining safe and healthy working conditions for all employees, and will establish clear policies that will be communicated to employees and for which ongoing education will be available. In order to achieve this goal of providing a safe workplace, Prime Wellness will ensure that each employee must become safety conscious. Prime Wellness expects all employees to establish and maintain a safe worksite, including without limitation the following applications:

- Maintaining cleanliness in each area of the site.
- Maintaining proper slip-and-fall prevention protocols.
- Inspecting and maintaining walkways, handrails, and guardrails.
- Properly lifting and lowering heavy objects.
- Following safe food-handling guidelines.
- Inspecting tools and equipment for defects before use.
- Keeping walkways clear of debris.
- Inspecting, cleaning, and properly storing tools and equipment after use.
- Following established safety rules, particularly regarding chemicals.

H. Code Of Conduct.

Prime Wellness will make clear through its manuals that employees and officers should avoid external business, financial and/or employment interests that conflict with Prime Wellness' business interests or with such persons' job/employment duties. A code of ethical conduct will be included in the employee handbook, including without limitation provisions mandating that:

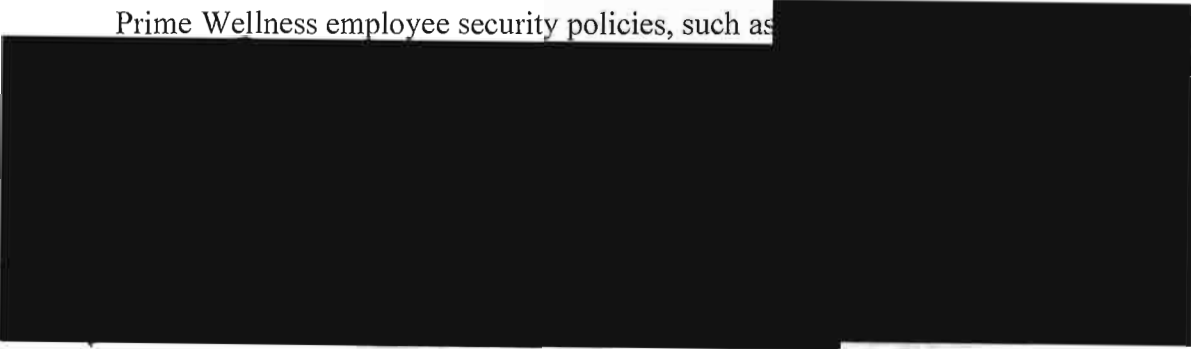
- employees are not to solicit anything of value from any person or organization with whom Prime Wellness has a current or potential business relationship;
- employees are not to accept any item of value, of incidental value, or of no value from any party in exchange for or in connection with a business transaction; and
- violations of such code of ethical conduct may lead to disciplinary action, up to and including termination.

The employee handbook will also state that there are reasonable rules of conduct which must be followed to help people work together effectively. Our organization expects each employee to present himself or herself in a professional appearance and manner. If an employee is not considerate of others, and does not observe reasonable work rules, disciplinary action will be taken. Depending on the severity or frequency of the disciplinary problems, a verbal or written reprimand, suspension without pay, disciplinary probation, or discharge may be necessary. A list of offenses for which an employee may be subject to discipline will be established.

It is the responsibility of management to disseminate a clear policy of zero tolerance for workplace violence, including both verbal and non-verbal threats, and to make sure that all workers know about such policy through the provision of adequate guidance and training. Other policies will exist to prohibit employees from bringing into the facility any weapons, and a no-tolerance policy will exist for the presence of illegal drugs (or the illegal use of legal drugs) in the workplace. Smoking and alcohol consumption will not be allowed in the premises. Regular health information will be given to promote a healthy lifestyle.

I. **Safety and Security.**

Prime Wellness employee security policies, such as



Prime Wellness will also ensure that the safety of the employees, as well as its authorized visitors, is a priority – i.e. having proper lighting in the parking lots and exterior of building to prevent security threats.

QUESTION F.2. *Compassionate Need Plan: Describe any compassionate need program you intend to offer. Include in your response:*

- The protocols for determining which patients will qualify for the program;*
- The discounts available to patients eligible for the compassionate need program;*
- The names of any other organizations, if any, with which you intend to partner or coordinate in connection with the compassionate need program, including any dispensary facility applicant; and*
- Any other information you think may be helpful to the Department in evaluating your compassionate need program.*

RESPONSE F.2.

The mission of Prime Wellness is not just to provide pharmaceutical grade marijuana and marijuana products of the highest quality and greatest consistency for the benefit of patients. The mission of Prime Wellness is also to assist in the development of a network (through its own potential production facility, other production facilities and dispensaries, other healthcare providers and other stakeholders in the medical marijuana industry) that supports and features one-on-one interactions with qualified patients by trained and knowledgeable staff, in a personal and compassionate manner, with the goal of assisting patients to help themselves reach a better quality of life through an array of wellness-related services, the highest quality medical marijuana at reasonable prices, and education and awareness about medical marijuana. Pursuant to the foregoing, Prime Wellness' vision is to:

- provide patients with a welcoming, safe, professional and law-abiding environment that will be a reliable and trusted provider of quality marijuana medicine and related wellness services and products;
- operate a well-managed and transparent dispensary facility and business model that will work in close cooperation with the State of Connecticut, local authorities, and local community, and that will offer a safe work environment for employees;
- provide an ongoing medical marijuana education and outreach program for the benefit of patients and the public; and
- establish cooperative research programs with medical and university groups to expand the understanding of the benefits, risks and most effective ways of utilizing medical marijuana.

Prime Wellness will work on a daily basis in furtherance of such, including without limitation the establishment of the compassionate need and/or charitable programs and policies described below.

These programs and policies will continue to evolve and be modified based on governing law, research, patient feedback, and other pertinent concerns.

A. Compassionate Need Discount Plans.

As a socially responsible dispensary facility, Prime Wellness will work with production facilities and other dispensaries on a pricing plan whereby it and other dispensary facilities would provide a compassionate need discount plan featuring a sliding scale with respect to prices. Notwithstanding the foregoing, Prime Wellness will adopt as its own such discount plans described herein.

It is essential that the qualifying profiles, verifying documents and sliding scale discounts are determined in a very precise manner so as to ensure a fair distribution of discount benefits to patients in need while limiting the risk of diversion.

Guiding principles of such compassionate need discount plan are as follows:

Nobody In Need Is Turned Away.

Prime Wellness will work, on an individual patient basis, to provide incentives and accommodations during such patient's visit (within the legal dispensing guidelines and terms of recommendation).

Provide High Quality Medicine At Low Cost.

Prime Wellness will work to also provide high quality medicine at a low cost fitting the respective patient's budget.

Offer A Lower Preferred Rate That Applies to More Profiles.

Prime Wellness will offer a lower preferred rate that applies to more profiles in an effort to spread benefits and outreach to as many layers of the community as possible.

Limit Diversion Risk.

Prime Wellness will work to help ensure that the discount program does not enable patients to divert their medicine to non-patients.

Extreme Situations.

Prime Wellness will provide free medicine dispensing in extreme situations such as imminent death (but will restrict free medicine to such extreme situations). As such, Prime Wellness will set aside a certain amount of medicine every month to be offered for free to qualifying patients. Prime Wellness believes that patients who are eligible to receive free medicine shall also take on greater responsibilities, including signing a contract in which they agree that such medicine will be for their personal usage and not diversion.

Donations.

Prime Wellness will support patients in need by also donating to certain charities, foundations and/or other programs having a social mission and vision similar to that of Prime Wellness, and will also work with dispensary facilities to achieve this goal. Some of the charities, foundations and/or other programs intended to benefit from these donations include Komen Connecticut, Alliance for Living (New London), Foodshare of Greater Hartford, CT Food Bank (New Haven), Open Hearth (Hartford), Liberation Programs, Inc. - Ryan White/HIV Services and various community based (local) charities, and the Mandell Center for MS at St. Francis Care.

Discount Sliding Scale.

Prime Wellness will provide a discount sliding scale for the following qualifying patient profiles:

- 300% of the Federal Poverty Level Guidelines adjusted for family size.
- Connecticut Medicaid/Title 19 Patients
- State, Federal and Military Disability Patients
- Senior citizen discounts (65 years of age or over)
- SSI recipients
- EBT/TANF recipients

With respect to the above, Prime Wellness will adopt the following discount sliding scale:

- 15% discount on the first ounce of medicine.
- 5% discount on the second ounce of medicine.
- full price afterwards within the State dispensing limit.

B. Other Compassionate Need Plans of Prime Wellness.

In light of its mission and vision, Prime Wellness will also seek to create the following to benefit patients and Connecticut's medical marijuana program in general:

1. Education and Informative Workshops.

- Conducted by special support groups (i.e., cancer patients, AIDS patients, rehabilitation centers) regarding therapies of medical cannabis in combination with other complementary and alternative medicines.

2. Other Workshops and Events Integrating All Aspects of Well-Being Will Be Posted And Updated On its Website.

- Cooking, cultivation and other related classes.
- Cultivation classes.
- Seminars featuring patients and their experiences.
- Seminars featuring legal experts in the continuously developing and changing medical marijuana industry.
- Scheduled talks by physicians, pharmacists, nurses and other medical practitioners and healthcare providers.

3. Ongoing Medical Studies and Research.

- Prime Wellness is receptive to assisting new or ongoing medical studies and research through its Medical Advisory Committee.
- Prime Wellness strives to help patients help themselves in a positive and compassionate way. Prime Wellness does this by offering patients the paid opportunity to participate in ongoing medical studies and research involving its Medical Advisory Committee which, if agreed to, will be shared with other patients, their recommending physicians and the medical community, all consistent with applicable laws and regulations.
- Prime Wellness believes that this area of focus will stimulate the growing dialogue between the cannabis community and the conventional medical community, which is a significant goal of Prime Wellness.

QUESTION F.3. *Research Plan: Provide the Department with a detailed proposal to conduct, or facilitate, a scientific study or studies related to the medicinal use of marijuana. To the extent it has been determined, include in your proposal, a detailed description of:*

- The methodology of the study;*
- The issue(s) you intend to study;*
- The method you will use to identify and select study participants;*
- The identify of all persons or organizations you intend to work with in connection with the study, including the role of each;*
- The duration of the study; and*
- The intended use of the study results.*

RESPONSE F.3.

Prime Wellness, as guided by its Medical Advisory Committee, submits to the Department the following detailed proposal to conduct, or facilitate, a scientific study or studies related to the medicinal use of marijuana:

Title: Multicenter, Prospective, Observational Study of the Use of Medical Marijuana as a Substitute for Opioids in Patients Suffering From Nonterminal Chronic Pain.

(For purposes of this study, chronic pain shall be deemed to be that associated with a “debilitating medical condition” as defined under Connecticut law, subject to change based on discussions with the Department).

- **The methodology of the study:**

This study is proposed to be a multicenter, prospective, observational study of patients already using opioids for chronic nonterminal pain who have marijuana substituted for their opioids. A statistical power analysis will be conducted to determine the optimal number of participants. The greater the number of participants, the more powerful will be the results. Preliminary estimates are that 100 participants could provide data to address the primary outcome measure. The hypothesis is that marijuana can be substituted for opioids in patients suffering from nonterminal pain and provide significant pain relief with fewer side effects.

- **The issue(s) you intend to study:**

The use of opioids in the treatment of nonterminal chronic pain in the United States has precipitated a public health crisis. It is estimated that 25% of all opioids prescribed by physicians, nurses or P.A.'s are diverted for illegal use. More people die in the United States from prescription drug overdoses than from motor vehicle accidents. Despite the widespread use of opioids, most experts believe that chronic pain is still grossly undertreated in the United States. In an attempt to quell the rampant abuse, the Food and Drug Administration has recently proposed that hydrocodone, the most abused opioid in the United States, be made a Schedule 2 drug (it was previously a schedule 3 drug allowing providers to prescribe it much more liberally than other strong opioids which were already schedule 2). More than 99.5% of the hydrocodone produced in the world is consumed in the United States. The Food and Drug Administration has additionally been requiring stringent REMS (Risk Evaluation and Mitigation Strategies) on opioid manufacturers before new opioids are allowed to be marketed and has disallowed new non-tamper resistant opioids from coming to market in the United States. At least one professional organization (PROP- Physicians for the Responsible use of Opioids) in the United States have proposed that opioids not be used at all for chronic nonterminal pain because their efficacy has not been adequately proven and the risk profile is so high. Side effects and risks associated with the use of opioids include but are not limited to: addiction, constipation, sedation, respiratory depression, death, urinary retention, osteoporosis, fractures, sexual dysfunction, increased risk of infections, and possible increased risk of certain types of cancers. The financial impact of complications associated with the use of opioids is estimated to be in the billions of dollar. A safer drug that could be used as a substitute for opioids would have enormous humanitarian, cost and public health advantages.

Marijuana has been used in the United States for generations. Estimates of prevalence are that 5% of the population of the United States has used marijuana in the last 30 days (data collected in New York City pre and post September 11, 2001). Deaths associated with the use of marijuana alone are virtually nonexistent and deaths associated with the use of marijuana plus other drugs (principally Viagra) are extremely rare. Despite efforts to prove otherwise, the use of marijuana has not been shown to be associated with cancer, pulmonary disease, or any other major illness. While randomized controlled prospective studies have not been done, extensive "post marketing surveillance" (looking at side effects that occur after a drug is on the market and millions of people have used the drug) shows no indication of any serious danger associated with the use of marijuana. There is unequivocal, strong scientific evidence that marijuana is useful in the treatment of neuropathic pain and there is evidence that it is useful in other types of pain as well. To the best of our knowledge, marijuana has never been compared long term to the use of opioids for nonterminal chronic pain.

The primary aim of this study will be to determine whether or not medical marijuana can be used as a safe replacement for opioids in patients being treated with opioids for chronic pain. The primary outcome measure will be to observe what

percentage of patients is able to stop using opioids when initiating the use of medical marijuana. Secondary aims will include: following pain and function scores using reliable and validated instruments such as the Brief Pain Inventory, SF-12 and Ostwestry disability index; following adverse reactions; looking at resolution of opioid induced side effects such as sexual dysfunction, constipation, sedation, etc.; following changes in mood, etc.

- **The method you will use to identify and select study participants:**

Subjects will be selected from the population of patients receiving opioids for nonterminal chronic pain at the Pain Management Centers of major Medical Centers in New England. The authors have close connections with pain specialist clinical investigators in Connecticut, Massachusetts, Vermont and New Hampshire.

Inclusion criteria will include: patients who are using at least 15 mg of morphine equivalents per day; patient consent to participate in the study, and; ability to obtain marijuana from a State sanctioned medical marijuana dispensary.

Exclusion criteria will include: evidence of a preexisting addictive disorder; pregnant women or women who have the potential to become pregnant; inability to complete electronic surveys; age less than 18 years; evidence of moderate or severe pulmonary disease; untreated major psychiatric disorder; subjects who are already using medical marijuana on a daily basis.

- **The identity of all persons or organizations you intend to work with in connection with the study, including the role of each:**

The principle investigator will be Dr. Gilbert Fanciullo. Dr. Fanciullo is a nationally recognized expert addressing the use of opioids in nonterminal chronic pain patients. He Co-chaired an American Pain Society/ American Academy of Pain Medicine national committee publishing the principle guidelines for the use of opioids in this population. He is a Professor of Anesthesiology at the Geisel School of Medicine at Dartmouth in New Hampshire and Director of the Section of Pain Medicine at Dartmouth Hitchcock Medical Center in New Hampshire. His research has been focused on clinical trials such as the trial described here. He has been involved in and led many similar clinical trials.

Coinvestigators have not at this time been contacted and we do not know their degree of interest in collaborating on this project but Dr. Fanciullo has close relationships and has published other work with both Edgar Ross, M.D., the Director of Pain Medicine at Brigham and Women's Hospital in Boston and his colleague at Brigham and Women's Hospital, Robert Jamison Ph.D., Professor of Anesthesiology, probably the preeminent pain specialist psychologist in the United States and a Professor at Harvard Medical School. Dr. Fanciullo has a close professional relationship with Roberta Hines, M.D., the Chairperson of the Department of Anesthesiology at Yale University. He has a strong

professional relationship with Christine Peeters-Asdourian, M.D., Director of Pain Medicine at Beth Israel Hospital in Boston and James Rathmell, M.D. former Director of Pain Medicine and still active at Massachusetts General Hospital. Dr. Fanciullo has held many prominent positions in national pain organizations and is a recognized expert in pain medicine. As such, he has both personal and professional relationships with most of the leaders in pain medicine in the United States. He has no doubt that there will be strong interest among pain specialists from multiple institutions in participating in this study. His plan will be to contact Dr. Hines, Dr. Ross and Dr. Jamison as his primary contact persons to explore their interest in participating as coinvestigators.

- **The duration of the study:**

The planned study duration will be 3 years. Data will be analyzed at one and two years to look for significant early findings surrounding efficacy and safety.

- **The intended use of the study results:**

The study results are intended to be published in a peer-reviewed medical journal in the United States. We expect these results to be extensively cited in the medical literature. The results may also be presented at national and local meeting by Dr. Fanciullo or his coinvestigators.

QUESTION F.4. *Community Benefits Plan: Provide the Department with a detailed description of any plans you have to give back to the community either at a state or local level if awarded a dispensary facility license.*

RESPONSE F.4.

Prime Wellness recognizes the importance of the community surrounding its operations and its patients, and the many stakeholders in such community including without limitation local elected and appointed officials, local law enforcement, local businesses, neighborhood associations and special interest groups, local healthcare bodies and professionals, local nonprofit and charitable organizations, and local economic development initiatives and needs. Prime Wellness will prioritize its efforts to become part of the fabric of the community by positively impacting the community at a local level as well as State level.

Giving back to our community will take several forms such as monetary donations as well as making its resources available to the community in participating in the local life and economy of the town and local communities, by fostering education and social outreach and act as a good citizen.

a. Donations to Local Nonprofit and Charitable Organizations. Prime Wellness will always be engaged in charitable programs satisfying its mission and vision, including without limitation the implementation of policies regarding the special and charitable care and servicing of the following patients: State, Federal and Military Disability Patients; Cancer and AIDS Patients; Terminal Patients; and Elderly or Disabled Patients With No Means of Travel/Transportation. A goal and policy of Prime Wellness will be to strive to be a donor to other local community charities and nonprofits having missions similar to that of Prime Wellness.

Prime Wellness will support local charities by also donating to certain charities, foundations and/or other programs having a mission and vision similar to that of Prime Wellness, and will also work with production and other dispensary facilities to achieve this goal. Some of the charities, foundations and/or other programs intended to benefit from these donations include Komen Connecticut, Alliance for Living (New London), Foodshare of Greater Hartford, CT Food Bank (New Haven), Open Hearth (Hartford), Liberation Programs, Inc. - Ryan White/HIV Services and various community based (local) charities, and the Mandell Center for MS at St. Francis Care.

Donations to local organizations will be in the form of monetary charitable contributions but also by allowing our team members to volunteer their time and skills to other organizations, through participation to charity events (Cancer Walk, United Way), be active members on local organization boards, offer its facility or equipment resources to charities or be a connector for business related efforts and needs.

b. Local Businesses. Prime Wellness will reach out to other local businesses in the community by joining the local Chamber of Commerce and other retail and

manufacturing organizations. Prime Wellness will also prioritize participating in local business events and seminars, particularly with respect to participating in local business networking events and hosting local job fairs.

Prime Wellness also intends to patronize local businesses, offering selected businesses an opportunity to be a vendor of our company or be present in their employee or client communication.

c. Neighborhood Associations and Special Interest Groups. Prime Wellness will participate in meetings and events organized by local organizations to better understand local challenges, issues and opportunities of interest, as well as to facilitate a better understanding of Prime Wellness' structure, mission and vision, and available programs for the benefit of not only its own patients but also for such patients' communities and families. Prime Wellness intends to create a strong relationship with its patients' environment to not only help Prime Wellness' integration into the local community, but also to assist its patients and employees with general acceptance in their communities.

Prime Wellness will offer not only monetary assistance by sponsoring events and participating in fund-raising campaigns for selected community efforts but will also encourage its employees to be active by giving time and knowledge as well as participating in events and fund-raising campaigns.

d. Local Community Officials. Prime Wellness will continue to strengthen its relationship with community officials by meeting with officials and appearing before the local Councils and Boards demonstrating its involvement in the local community and bringing its experiences and resources to the community and its economy.

Our team will also make itself available to educational and job fair outreach efforts to the general public, and will provide status reports on the receptiveness of and interactions with local businesses, local charities, neighborhood groups, the local medical community and patients.

e. Local Healthcare Community. Prime Wellness intends to always be in communication with the local healthcare community and its representatives in order to facilitate education, awareness and integration with respect to Prime Wellness's services, products, seminars, research, studies, general patient receptiveness and reactions, in addition to always attempting to addressing their respective needs, concerns and questions. Seminars and group meetings will be emphasized and organized by Prime Wellness, including without limitation education and informative workshops conducted by special support groups (i.e., cancer patients, AIDS patients, rehabilitation centers) regarding therapies of medical cannabis in combination with other complementary and alternative medicines. Prime Wellness will intend to act as a referral source for patients to other local healthcare providers, and will encourage such local providers to participate in a monthly "Wellness Day" to render pro-bono care and services to certain, qualifying patients of Prime Wellness.

Prime Wellness is receptive to assisting new or ongoing medical studies and research and striving to help patients help themselves in a positive and compassionate way. Prime Wellness will do this by offering its patients the paid opportunity to participate in ongoing medical studies and research trials which, if agreed to, will be shared with member patients, their recommending physicians and the local medical community, all consistent with applicable laws and regulations, to stimulate the growing dialogue between the local medical cannabis community and the local conventional medical community.

f. Prime Wellness Foundation. Prime Wellness intends to establish a 501(c)3 non-profit foundation within the first year of its operation. This non-profit foundation will be managed by the Prime Wellness management and employee team as well as involving community thought leaders. It will have the goal to administer the charity giving policy of Prime Wellness and encourage charitable involvement and civic participation. The Prime Wellness Foundation will benefit from a regular contribution from Prime Wellness that will allocate a percentage of its annual proceeds to the Foundation (no less than three percent) to execute its mission and be a leader in the local community.

Prime Wellness is proud to be part of its local community and will make its best effort to participate in any way possible to its local social life and economy.

QUESTION F.5. *Substance Abuse Prevention Plan: Provide a detailed description of any plans you will undertake, if awarded a dispensary facility license, to combat substance abuse in Connecticut, including the extent to which you will partner, or otherwise work, with existing substance abuse programs.*

RESPONSE F.5.

Prime Wellness was created to become a multi-faceted respected and credible stakeholder in the State of Connecticut, in large part through its Medical Advisory Committee's education and awareness outreach plans to foster growing dialogue and understanding between the medical cannabis community and other community stakeholders such as the conventional medical community, law enforcement, local community, patients, etc. (collectively, the "Community Stakeholders"). As such, Prime Wellness believes that it has a corporate and social obligation to address certain matters of interest and/or concern to such Community Stakeholders where Prime Wellness possesses an appropriate area of expertise.

Through its Medical Advisory Committee, Prime Wellness does have exceptional expertise and invaluable experience in the area of combating and otherwise addressing substance abuse – and specifically within the State of Connecticut.

As such and in large part through its Medical Advisory Committee, Prime Wellness will undertake plans to combat substance abuse through education and awareness outreach as described hereinbelow. With respect to this specific area, Prime Wellness' Medical Advisory Committee will be led by Joanne Hoffman M.S., particularly in connection with the adoption and implementation of plans to combat substance abuse in coordination with various Community Stakeholders.

Joanne Hoffman M.S. and Prime Wellness' Awareness of and Plans Relating to the Issue of Substance Abuse.

Joanne is a Nutritionist and Exercise Physiologist who works with Connecticut patients in the Yale/Temple Cardiac Rehabilitation Program. She also runs her own company, EATS4LIFE LLC, where she provides individuals with personal nutritional and "healthy living" training.

Joanne became an active and well-known figure in PARENTS4ACHANGE, a Southington, Connecticut non-profit community based group, in 2009 when she had her own family crisis involving drug abuse. She actively assists in providing education, information, support and resources to parents and families of opiate addicted children and young adults. She has been featured on FOX news in speaking out against "K2" (synthetic marijuana) which is being abused by children in every town in Connecticut; she has presented her K2 "program" to the Connecticut State Legislature to great acclaim; and she performs such programs in conjunction with Connecticut State Police, Statewide Narcotics Task Force, Public Education Section. Joanne often states "When I speak, I tell our family's story. I educate about the different drugs that are being abused

and I discuss how it affects everyone in the community.” By way of background, the Statewide Narcotic Task Force Public Education Section’s main objective is to assist the State Police and local law enforcement in their substance abuse prevention educational efforts. The section also provides support to the Connecticut State Police Public Information Office Speaker's Bureau program by providing drug education presentations to Connecticut schools, senior centers, civic organizations and other groups.

Joanne is active in assisting with crisis calls from new families, holding meetings with new families to offer support and resources, and giving presentations with the Connecticut State Police Narcotics Task Force Educators throughout Connecticut on drug education and awareness. Behind Joanne’s guidance, Prime Wellness (and its Medical Advisory Committee) will support and continue similar work and outreach in the area of combating substance abuse, and will adopt and implement plans that continue to be aimed at:

1. understanding present drug threats and trends in the State of Connecticut;
2. providing information and education on the identification of possible substance abuse indicators by reviewing specific drug descriptions, effects, ingestion methods, types of packaging, and paraphernalia;
3. supporting and furthering the work of Joanne on educating, informing and providing support and resources to parents and families of opiate addicted children and young adults;
4. working with State and local law enforcement, elected officials and like-minded special interest groups assist in making Connecticut communities unfriendly environments with respect to illicit drug use and drug activity;
5. supporting and furthering the work of Joanne in the development of strategic approaches focused on awareness, education and communication in the effort to reduce the incidence and prevalence of substance abuse and related problems;
6. assisting State and local law enforcement departments in their substance abuse prevention educational efforts by way of sponsoring seminars, conducting research and studies, providing lectures, and/or charitable contributions as appropriate; and
7. providing community based educational programs to the towns and the families of the State of Connecticut so as to make one more positive difference for the benefit of our cities’ and towns’ residents.

Attached hereto, please find Joanne’s full resume, and examples of her various presentations and activities.

Gilbert J. Fanciullo, MD, MS and Prime Wellness' Awareness of and Plans Relating to the Issue of Substance Abuse.

Supporting Joanne and Prime Wellness in our education and awareness outreach plans to help combat and otherwise address the problematic issue substance abuse will also be the work and assistance of Gilbert J. Fanciullo, MD, MS. Dr. Fanciullo will join and support Joanne as the face of Prime Wellness in connection with the adoption and implementation of plans to combat substance abuse in coordination with various Community Stakeholders. Dr. Fanciullo and Prime Wellness will work towards trying to advance efforts to establish much needed guidelines (as described below) to help physicians and other providers identify the small albeit important subset of patients who may become addicted to marijuana. Although the risk of addiction to marijuana has been shown to be much smaller and less dangerous than the risk of addiction to opioids, Prime Wellness and its Medical Advisory Committee are always concerned with the reality that there are some patients who may be suffering from addiction, abuse or misuse of marijuana. As such, Prime Wellness' education and awareness outreach plans will not ignore such issues.

Dr. Fanciullo's expertise and the focus of a large percentage of his work over the last decade has been directed towards addressing the intersection between pain and addiction with regard to opioid use in patients being treated for chronic pain. Dr. Fanciullo was the principle author on the first scientific paper published in the United States that demonstrated urine toxicology testing of chronic pain patients being treated with opioids could help identify patients that were suffering from the disease addiction and could be effectively treated. He was the Chairperson, as mentioned in other sections of this application, of a national committee charged with creating guidelines for the use of opioids in chronic pain treatment. The primary focus of these three important scientific papers was to guide clinicians in the proper use of opioids in the context of the risks of addiction, diversion, abuse and misuse.

The risk of addiction to marijuana has been shown to be much smaller and less dangerous than the risk of addiction to opioids. Opioid addiction commonly leads to major morbidity and death while there are no proven long-term harmful physical effects associated with addiction to marijuana. Studies show the lifetime risk of addiction to opioids is 36% while the risk for marijuana is 9%. Treatment of addiction to marijuana is also much less problematic and far more successful than the treatment of addiction to opioids. Despite the relative safety of marijuana use compared to other commonly used drugs, it is still important to identify patients who may be suffering from addiction, abuse or misuse of marijuana. There are currently no guidelines to help physicians and other providers identify the small albeit important subset of patients who may become addicted to marijuana.

Dr. Fanciullo has called nationally for the publication of Guidelines addressing the use of Medical Marijuana and still believes this important. The purposes would be the following:

1. To help clinicians understand who is most likely to obtain benefit from the use of medical marijuana.
2. To ensure that clinicians understand and can educate their patients surrounding the potential risk and strategies to reduce the risk of use of medical marijuana.
3. To help clinicians identify patients who may suffer from the disease addiction and may become addicted to medical marijuana.
4. To help clinicians negotiate with issues such as workplace safety, driving, proper use, safeguarding their supply, overuse, pregnancy, use with other drugs, etc.
5. To help identify risk factors for patients considered for treatment with medical marijuana. For opioids these factors include prior history of addiction to other drugs, positive family history of addiction, history of sociopathy, major untreated psychiatric illness, cigarette smoking, etc. There are no known proven risk factors for developing risk of addiction to marijuana at this time but it is likely there is overlap.
6. To advise providers regarding the best treatment options if they suspect one of their patients has developed a problem with addiction

Dr. Fanciullo will take steps to try to obtain funding for the development of Guidelines and/or create enough interest among national medical organizations such that they will develop Guidelines. It is an expensive and time consuming venture but also an extremely important one.

Prime Wellness Best Practices.

As indicated above, and as understood by Prime Wellness and its Medical Advisory Committee, medical cannabis is a natural, safe and beneficial treatment option for many debilitating conditions; however, the potential does exist for patients to become habituated or addicted to its use. Prime Wellness has an obligation to assist, and will assist, in patient understanding of the following:

Signs of addictive use and dependence can include:

- A heightened sense of visual, auditory and taste perception.
- Poor memory.
- Increased blood pressure and heart rate.
- Red eyes.
- Decreased coordination.
- Difficulty concentrating.
- Increased appetite.
- Slowed reaction time.

- Paranoid thinking.

Drug addiction symptoms or behaviors may include:

- Feeling that you have to use the drug more frequently.
- Failing in your attempts to stop using the drug.
- Making certain that you maintain a supply of the drug.
- Spending money on the drug, even though you can't afford it.
- Doing things to obtain the drug that you normally wouldn't do, such as stealing.
- Feeling that you need the drug to deal with your problems.
- Driving or doing other risky activities when you are under the influence of the drug.
- Focusing more and more time and energy on getting and using the drug.

When to see a doctor:

- If you think that your drug use is out of control or is causing problems, you should get help.
- The sooner that you seek help, the greater your chances will be for a successful recovery.
- Your family doctor may be a good place to start, or you may seek a mental health provider such as a psychologist or psychiatrist.

Make an appointment to see a doctor if:

- You can't stop using a drug.
- Your drug use has led to unsafe behavior.
- You think you may be having withdrawal symptoms.

If you're reluctant to approach a doctor, help lines or hotlines may be a good place to learn about treatment. You can find these lines listed in the phone book or on the Internet.

JOANNE HOFFMAN M.S.

14 Musket Drive
North Haven, CT 06473
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FAX: 203.234.2088
jhoffman@eats4life.com

RELATED EXPERIENCE

PARENTS4ACHANGE * Southington, CT.

Assists President with crisis calls from new families, holds meetings with new families to offer support and resources, gives presentations with State Police Narcotics Task Force Educators all over Connecticut on drug education and awareness. 2009-Present

* Parents4achange focuses on educating, informing and providing support and resources to parents and families of opiate addicted children and young adults. We work with state and local authorities to make our communities unfriendly environments to drug use and drug activity.

NORTH HAVEN SUBSTANCE ABUSE AND ACTION COUNCIL North Haven, CT.

Board Member. Participates in the development of a strategic approach focused on awareness, education and communication thereby reducing the incidence and prevalence of substance abuse and related problems in North Haven.
CoFounder of parent sub group of North Haven Substance Abuse and Action Council.

PERSONAL

Hands on experience with close family members with opiate addiction.

EMPLOYMENT HISTORY

YALE/TEMPLE CARDIAC REHABILITATION Branford, New Haven, Cheshire, East Haven.

Exercise Physiologist / Nutrition Consultant. Duties include implementing and monitoring patients' exercise programs; monitoring heart rates and rhythms on telemetry units; documenting progress, monitoring blood pressures; teaching nutrition education classes and giving individual nutritional advice. 2007 – Present

EATS4LIFE LLC North Haven, CT.

Owner / Personal Nutrition Consultant. Designs individual nutrition programs for those who are overweight, have high blood pressure, diabetes, or high cholesterol; educating and motivating clients. 2000-Present

ROB NEVINS PERSONIZED WEIGHT CONTROL PROGRAMS, INC.
Woodbridge, CT

Personalized Nutrition Consultant: Duties included implementing nutrition programs to clients who were overweight, had high blood pressure, diabetes, or high cholesterol; educating and motivating clients; ongoing research and development of the Rob Nevins Weight Control Program. 1996- 2000

NEW HAVEN JEWISH COMMUNITY CENTER Woodbridge, CT.

Personal Trainer: Duties included working one on one conducting fitness evaluations; designing exercise programs based on goals and level of experience; educating and motivating clients; offering nutritional advice. 1994-1996

CHIROPRACTIC AND PHYSICAL REHABILITATION CENTER OF BRANFORD
Branford, CT.

Exercise Physiologist: Responsible for running the Rehabilitation Center. Duties included Dynatron testing, Metrecom testing, Isokinetic and Fitness testing; designing and monitoring individualized exercise programs on computerized equipment for patients with various injuries and conditions. 1991 - 1994

VA MEDICAL CENTER West Haven, CT

Coordinator of the Employee Wellness Program: Duties included planning, scheduling and hiring staff to run fitness evaluations, supervise exercise programs, teach aerobics and give health related lectures. 1991

MEDIFIT OF AMERICA Stamford, CT

Fitness Specialist at the Pratt & Whitney Corporate Fitness Center in North Haven. Duties included conducting fitness evaluations and developing exercise prescriptions; creating, implementing and monitoring promotional and incentive programs; designing and leading exercise classes; conducting plant worksite exercise programs to reduce and prevent job related injuries; assisting with training of new staff and student interns. 1990

SPA LADY North Haven, CT

Training Director: Duties included coordination of the training program for the nine Spa lady clubs in Connecticut; running seminars on how our services relate to the improvement of various health problems; keeping our fitness consultants up-to-date on any new material pertaining to health and fitness; reviewing and evaluating every employee and assuring that each club adheres to all Spa Lady policies. 1987-1989

Service Coordinator: Duties included comprehensive evaluation of member's fitness through a selective testing program that I implemented. This was followed up by an individualized diet and exercise program. Other responsibilities included training and

evaluation of staff, coordination of various spa activities and daily administrative tasks.
1985-1989

EDUCATION

Southern Connecticut State University: M.S. Physical Education with concentration in Human Performance. 1990

Southern Connecticut State University: B.S. Special Education. 1982

Lasell College A.S. Community Mental Health. 1979

CERTIFICATIONS AND AFFILIATIONS

Parents4achange

North Haven Substance Abuse and Action Council

Certified Trainer-Courageous Parenting 101, Courage to Speak Foundation

American College of Sports Medicine

American Red Cross: CPR

NOT OUR KIDS....

In August of 2009, 5 days before she was to begin her college career at Bryant University, we found out that our daughter was addicted to Oxycontin. On the evening we confronted her about her drug use, she wanted to prove to us that she did not have a problem and agreed to go to Yale-New Haven Hospital and be evaluated. She was seen by a Psychiatrist, who after a 30 minute evaluation released her saying that ‘your daughter does not have a drug problem, though she is bipolar. Have her see a psychiatrist and be put on the proper meds. She will be fine to go to college’. We were thrilled. But we wanted to follow it up with an appointment with her Pediatrician. We received the same good news. We had also been told about a woman and her organization that helps families in crises. After talking with her, we knew there was more to Barbara’s problem. Over time, we became highly educated, not by any professionals, but by another mom who has lived this nightmare. Life as our family knew it, changed drastically. If we had sent our daughter away to school at that point, there is no doubt in our minds that she would be dead. As it turns out her addiction required her taking up to five 80mg of oxycontin a day. She told us how readily available drugs are in school. In middle schools, the drug of choice is prescription meds. Our daughter started in our medicine cabinet. HEROIN has become the drug of choice for many suburban teens in high school. It’s easier to get heroin than it is to get cigarettes or alcohol because you don’t need an ID, plus it’s only \$5 a bag. When they can’t afford the oxys anymore the next step is heroin.

As a mother of an addict who is in recovery, I want to share my experiences to try to educate others like me or to prevent others from going through what we have gone through. My daughter is a highly intelligent, high achieving, good kid. Then all of a sudden, we found out that she was abusing drugs. This can happen to you. It’s not about good kids/bad kids—good parents/bad parents. It’s about drugs and how they capture a person. My goal is to help other parents learn and become aware of how to prevent this from happening to them. It’s also about crisis management. If anyone has a child in crisis, I want them to know there is support. I also want to educate professional caregivers on the signs of drug abuse and give them resources that can really help.

I’m sharing my story because drug use affects every level of society regardless of race, color or creed. It doesn’t care where you live or how much money you make. No one is immune to it. If a child makes that one poor decision, he is opening the door to possible drug abuse and addiction.

Drugs in Our Own Backyard

**Our Kids:
Prescription Drugs and
Addiction**

Dynamic Guest Speaker

Joanne Hoffman

**her family's struggle
proactive parenting
red flags
statistics**

**YOUR CHILD-The New Face of Heroin?!
DON'T SAY "NOT MY KID"**

Wednesday, Oct. 19, 2011

7:00 p.m. After the PTA meeting

Clintonville School

**"Hope is not a strategy for drug
prevention!"**

**Hamden Chamber of Commerce
Health & Wellness Committee and
Hamden Public Schools
present**

***Not My Kid... Not My Employee... Not my Friend
An eye opening lecture about the latest trends in
substance abuse and how you can see the signs.***

Sponsored by:

Walgreens

AT THE CORNER OF **HAPPY & HEALTHY™**

Speaker: Wayne E. Kowal

Dept. of Public Safety
Connecticut State Police
Statewide Narcotics Task Force
Trainer / Coordinator of Public Education

Speaker: Joanne Hoffman

Owner, Eats4Life
Shares her personal story of
family addiction.



Location:

**HAMDEN MIDDLE SCHOOL
Auditorium
2623 Dixwell Avenue, Hamden**



**Wednesday, October 23, 2013
5:00pm Registration | 5:30pm Presentation**

Wayne Kowal is the Coordinator of Public Education for the Connecticut State Police, Statewide Narcotics Task Force. He has been assigned to this position for four and a half years. He retired from active duty in 2006 as a Army Engineer Officer. The Statewide Narcotic Task Force Public Education Section's main objective is to assist State Police Troops and municipal police departments in their substance abuse prevention educational efforts. The section also provides support to the Connecticut State Police Public Information Office Speaker's Bureau program by providing drug education presentations to Connecticut schools, senior centers, civic organizations and other groups.

Joanne Hoffman, Owner of Eats4Life, a nutrition and consulting company, will share her personal story of her family's fight against her daughter's addiction to opiates. She will also discuss how to get help.

Please RSVP to the Hamden Chamber of Commerce | Walk-In's Welcome

There is no charge for this event. Light refreshments will be served.

Free on-site babysitting service available.

Phone: 203-288-6431 | Email: hcc@hamdenchamber.com

ATTACHMENTS

- A. Dispensary Facility Location (Question B1)
- B. Authorization to Conduct Business and Compliance (Question B2)
- C. Statement from Landlord or Property Owner (Question B3)
- D. Text and graphic on the exterior of Dispensary (Question B4)
- E. Photographs of Neighborhood and Businesses (Question B5)
- F. Site Plan re: Streets, Property Lines, Buildings, Parking Areas, Etc. (Question B6)
- G. Map Identifying Religious Worship Places, Schools, Etc. (Question B7)
- H. Blueprint or Floor Plan of Dispensary Facility (Question B8)
- I. Security Components (Question C3)
- J. Marketing Plan Materials (Question D1)
- K. Articles of Organization and Bylaws (Question E1)
- L. Organization Chart and Resumes (Question E2)
- M. Compensation Agreements (Question E4)
- N. Terms of Outstanding Bonds, Loans, Mortgages, Pledges, Notes, Etc. (Question E5)
- O. Certified Financial Statement of Applicant Since in Existence (Question E6)
- P. Tax Returns of Applicant (Question E7)
- Q. Tax Returns of Producer Backer and Those in Section B of Appendix B (Question E8)
- Z. Safety and Security Plan (Appendix A, Section L)

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Question B1

Dispensary



Prime Wellness of Connecticut LLC - Proposed Dispensary Site
75 John Fitch Blvd. South Windsor, CT Google Earth

Question B1



Dispensary

South Corner Front Overview –
Proposed Site Right Corner of Building

Photograph report describes the Prime Wellness of Connecticut LLC proposed **Dispensary Facility (75 John Fitch Boulevard South Windsor, CT 06074)**. Photograph #1 was obtained from the internet Google Earth. Photographs #2-10 contained in this report were taken on 10/27/2013, by Patrick Chagnon, utilizing a Canon EOS Rebel T3i digital camera

Description of photographs:

- Photo #1: Google Earth overview of proposed dispensary site.
- Photo #2: Front view looking towards south direction, east corner of building.
- Photo #3: South corner front overview of building.
- Photo #4: South corner front overview, proposed dispensary right corner.
- Photo #5: Roadway between both buildings, Dispensary left building.
- Photo #6: West corner of building overview.
- Photo #7: Rear entrance door north corner of building.
- Photo #8: North corner of building overview.
- Photo #9: Northeast side building overview.
- Photo #10: Overview east corner of building front entrance.

Site Photo Report

Question B1

Photograph #1 was obtained from the internet, Google Earth. Photographs #2-10 contained in this report were taken on 10/27/2013 by Patrick Chagnon utilizing a Canon EOS Rebel T3i digital camera.



Photo#1: Google Earth overview of proposed dispensary site (75 John Fitch Boulevard South Windsor, CT 06074).



Photo #2: Front view looking towards south direction, east corner of building.



Photo #3: South corner front overview of building.



Photo #4: South corner front overview, proposed dispensary right corner.

Question B1



Photo #5: Roadway between both buildings, dispensary left building.



Photo #6: West corner of building overview.



Photo #7: Rear entrance door north corner of building.



Photo #8: North corner of building overview.

Question B1



Photo #9: Northeast side building overview



Photo #10: Overview east corner of building front entrance



STATE OF CONNECTICUT DEPARTMENT OF REVENUE SERVICES

TWENTY-FIVE SIGOURNEY STREET, SUITE 2 HARTFORD, CONNECTICUT 06106-5032

Corr ID: 1300018551496

Date: 11/05/2013

Dear Taxpayer:

Attached is your sales and use tax or room occupancy tax permit. Please display it conspicuously for your customers to see. Any permit previously issued by the Connecticut Department of Revenue Services (DRS) for the specific location noted on the permit is now void and should be destroyed.

Any change in ownership or form of organization requires a new permit. If your business is sold, transferred, or discontinued, return this permit at once to:

Department of Revenue Services
Registration Section
25 Sigourney St Ste 2
Hartford CT 06106-5032

Enter the last day of business and the name of the successor, if applicable, on the back of the permit. Sign the permit as indicated.

Business and individual taxpayers can use the **Taxpayer Service Center (TSC)** at www.ct.gov/tsc to file a variety of tax returns, update account information, and make payments online.

You may not assign or transfer this permit. Display this permit conspicuously for your customers to see.

Department of Revenue Services
State of Connecticut
25 Sigourney St Ste 2
Hartford CT 06106-5032
R603 (Rev. 07/09)

Sales and Use Tax Permit



Use only at this location: Lic Nbr: 1055749

The person named below is licensed under the Sales and Use Tax Act. This permit is good only for the named permittee and at the location shown. If there is any change in ownership, the permit is null and void.

Date Issued	Expiration Date	Business Start Date	Connecticut Tax Registration Number
11/04/2013	12/31/2018	01/02/2014	60335015-001

PRIME WELLNESS OF CONNECTICUT LLC
PRIME WELLNESS OF CONNECTICUT LLC
379 QUARRY BROOK DR
SOUTH WINDSOR CT 06074-3526

PRIME WELLNESS OF CONNECTICUT LLC
PRIME WELLNESS OF CONNECTICUT LLC
379 QUARRY BROOK DR
SOUTH WINDSOR CT 06074-3526

Kevin B. Sullivan
Commissioner of Revenue Services

This license may not be transferred or assigned.



Town of South Windsor

1540 SULLIVAN AVENUE • SOUTH WINDSOR, CT 06074-2786

AREA CODE 860/644-2511

FAX 860/644-3781

MATTHEW B. GALLIGAN
Town Manager

October 29, 2013

Mr. Thomas J. Nicholas, President
Prime Wellness of Connecticut, LLC
379 Quarry Brook Drive
South Windsor, CT 06074

Re: 75 John Fitch Boulevard, South Windsor, CT

Dear Tom,

I'm writing this letter to document that the above referenced property located at 75 John Fitch Boulevard in the Town of South Windsor, CT meets all state and local building, zoning and fire requirements and ordinances for the intended use of a Medical Marijuana Dispensary.

The Town of South Windsor approves of the intended use and wishes Prime Wellness of Connecticut the best of luck in your quest for licensure. Please keep the Town apprised of your progress.

Sincerely,

A handwritten signature in cursive script that reads "Matthew Galligan".

Matthew Galligan, Town Manager
Town of South Windsor, CT

November 11, 2013

Mr. Thomas J. Nicholas, President
Prime Wellness of Connecticut, LLC
379 Quarry Brook Drive
South Windsor, CT 06074

Dear Tom,

I'm writing this letter so that you can include it in your company's application to Connecticut's Medical Marijuana Program. I am the owner of property located at 75 John Fitch Boulevard, South Windsor, CT 06074. We have executed a lease on the site contingent upon receipt of all local and state approvals, specifically receipt of a license in the DCP's Medical Marijuana program.

I understand that your intended use for my property is a licensed Medical Marijuana facility and I approve of that use.

Best of luck in your quest for licensure and please keep me apprised of your progress.

Sincerely,

A black rectangular redaction box covering the signature of Peter Churilo.

Peter Churilo, Owner
75 John Fitch Blvd.
South Windsor, CT 06074

Question B4





Question B5

59 JOHN FITCH BOULEVARD

SITE

73 & 75 JOHN FITCH BOULEVARD

95 JOHN FITCH BOULEVARD

80 JOHN FITCH BOULEVARD

90 JOHN FITCH BOULEVARD

150 BURNHAM STREET

39 SPIELMAN ROAD

SPIELMAN ROAD

75 SPIELMAN ROAD

BURKHART STREET

5 JOHN FITCH BOULEVARD

13 JOHN FITCH BOULEVARD

19 JOHN FITCH BOULEVARD

37 JOHN FITCH BOULEVARD

VACANT LAND

JOHN FITCH BOULEVARD (US RT 5)

27 MCGUIRE ROAD

75 MCGUIRE ROAD

MCGUIRE ROAD

75 JOHN FITCH BOULEVARD

PHOTOS KEY MAP

1"=200'

Question B5



150 Burnham Street

Day Care

Question B5



5 John Fitch Boulevard
Gas Station

13 John Fitch Boulevard – Brick Building Left in Photo
Truck Repair Shop

19 John Fitch Boulevard – White Building Right in Photo
Manufacturing and Retail Mixed Use

Question B5



19 John Fitch Boulevard – Building Left in Photo
Manufacturing and Retail Mixed Use

37 John Fitch Boulevard – Right Building in Photo
Rooming House - Vacant

Question B5



37 John Fitch Boulevard

Rooming House - Vacant

Question B5



59 John Fitch Boulevard

Retail Multiple Occupancy

Question B5



73 & 75 John Fitch Boulevard

Retail Multiple Occupancy

Question B5



80 John Fitch Boulevard

Commercial Gas Station

Question B5



90 John Fitch Boulevard

Funeral Home

Question B5



95 John Fitch Boulevard

Vacant Land

Question B5



67 & 95 McGuire Road

Industrial

Question B5



39 Spielman Road

Residential Dwelling

Question B5



75 Spielman Road

Retail



Question B6

NOTES:

- THE PURPOSE OF THIS PLAN IS TO DEPICT THE PROPOSED DISPENSARY FACILITY LOCATED AT 75 JOHN FITCH BOULEVARD IN SOUTH WINDSOR, CONNECTICUT AND THE GENERAL SITE CHARACTERISTICS OF THE PROPERTIES LOCATED ON THE SAME BLOCK AS THE PROPOSED DISPENSARY FACILITY.

MAP REFERENCES:

- PHOTOGRAMMETRIC INFORMATION DEPICTED HEREON IS FROM THE CONNECTICUT ENVIRONMENTAL CONDITIONS ONLINE 2012 ORTHO IMAGERY DOWNLOADED.
- PROPERTY LINE INFORMATION DEPICTED HEREON IS FROM THE TOWN OF SOUTH WINDSOR GEOGRAPHIC INFORMATION SYSTEM MAPS.

SURVEY NOTES:

- THIS SURVEY AND MAP HAS BEEN PREPARED PURSUANT TO THE REGULATIONS OF CONNECTICUT STATE AGENCIES SECTIONS 20-300b-1 THRU 20-300b-20 AND THE 'STANDARDS FOR SURVEYS AND MAPS IN THE STATE OF CONNECTICUT' AS ADOPTED BY THE CONNECTICUT ASSOCIATION OF LAND SURVEYORS, INC. ON SEPTEMBER 26, 1995.
 - TYPE OF SURVEY IS A COMPILATION PLAN AND IS INTENDED TO DEPICT THE APPROXIMATE LOCATION OF IMPROVEMENTS RELATIVE TO APPROXIMATE PROPERTY LINES.
 - INFORMATION DEPICTED HEREON IS BASED ON MAPS REFERENCED ABOVE.
 - HORIZONTAL ACCURACY MEETS CLASS D STANDARDS.

TO MY KNOWLEDGE AND BELIEF, THIS MAP IS SUBSTANTIALLY CORRECT AS NOTED HEREON.

LAWRENCE R. GEISSLER, JR., L.S. 12327 L.C. NO. 12327

<p>425 SULLIVAN AVENUE PO BOX 187 SOUTH WINDSOR, CT 06074 860-298-8727 - F 860-298-8727 - P www.designprofessionals.com</p> <p>design professionals CIVIL & TRAFFIC ENGINEERS / PLANNERS / SURVEYORS GIS ANALYSIS / LANDSCAPE ARCHITECTS</p>	
<p>PREPARED FOR: Prime Wellness of Connecticut, LLC 379 Quarry Brook Drive South Windsor, CT 06074 860-558-4935</p>	<p>PROJECT NO. 11-1-13</p> <p>DATE 11-1-13</p> <p>SCALE AS NOTED</p>
<p>DISPENSARY FACILITY 75 JOHN FITCH BOULEVARD SOUTH WINDSOR, CONNECTICUT</p>	
<p>NO.</p>	<p>DATE</p>
<p>REVISIONS</p>	
<p>SITE PLAN COMPIATION PLAN SCALE AS NOTED</p>	
<p>SHEET DS-1</p>	

SECRETARY OF THE STATE
30 TRINITY STREET
P.O. BOX 150470
HARTFORD, CT 06115-0470

AUGUST 27, 2013

THOMAS J NICHOLAS
379 QUARRY BROOK DR
SOUTH WINDSOR, CT 06074

RE: Acceptance of Business Filing

This letter is to confirm the acceptance of the following business filing:

Business Name:
PRIME WELLNESS OF CONNECTICUT, LLC

Work Order Number: 2013253588-001
Business Filing Number: 0004932457
Type of Request: ARTICLES OF ORGANIZATION
File Date/Time: AUG 27 2013 02:40 PM
Effective Date/Time:
Work Order Payment Received: 170.00
Payment Received: 170.00
Credit on Account: .00
Customer Id: 002303006
Business Id: 1115886

PATRICIA SHANAHAN
Commercial Recording Division
860-509-6003
WWW.CONCORD.SCTS.CT.GOV

BUSINESS FILING REPORT

ORK ORDER NUMBER:2013253588-001
BUSINESS FILING NUMBER: 0004932457

BUSINESS NAME:

PRIME WELLNESS OF CONNECTICUT, LLC

BUSINESS LOCATION:

379 QUARRY BROOK DRIVE
SOUTH WINDSOR, CT 06074

MAILING ADDRESS:

379 QUARRY BROOK DRIVE
SOUTH WINDSOR, CT 06074

MEMBER INFORMATION FOR ONE MEMBER:

NAME:THOMAS J. NICHOLAS
TITLE:MANAGING MEMBER

** END OF REPORT **

**OPERATING AGREEMENT OF
PRIME WELLNESS OF CONNECTICUT, LLC**

This Operating Agreement (the "Agreement") is entered into this first day of October, 2013 by Thomas J. Nicholas, of South Windsor, Connecticut; John P. Glowik, Jr. of Shrewsbury, Massachusetts; Kevin P. Murphy of Madison, Connecticut; (the "Initial Members") as follows:

WITNESSETH:

WHEREAS, the Initial Members desire to form and formulate a Limited Liability Company (the "Limited Company") under the Laws of the State of Connecticut;

WHEREAS, the Initial Members have executed the Articles of Organization attached hereto and made a part hereof; and

WHEREAS, said Articles of Organization have been filed with the Secretary of the State of Connecticut; and

WHEREAS, the Initial Members desire to activate said Limited Company by executing the following Operating Agreement; and

NOW THEREFORE, the following Operating Agreement shall be in full force and effect until amended according to the affirmative vote, approval or consent of at least a **MAJORITY** in interest of the Members of the Limited Company.

SECTION 1.1 NAME

The Name of the Limited Company is Prime Wellness of Connecticut, LLC. The Limited Company may do business under that name and under any other name or names upon which the Members agree. If the Limited Company does business under the name other than set forth in the Articles of Organization, then the Limited Company shall file a Trade Name Certificate as required by law.

SECTION 1.2 PERIOD OF DURATION

The term of the Limited Company began upon the endorsement of the Articles of Organization by the Connecticut Secretary of State and shall continue in existence perpetually until its existence is terminated pursuant to the provisions of this Agreement.

SECTION 1.3 REGISTERED OFFICE

The principal office of the Limited Company shall be 379 Quarry Brook Drive, South Windsor, CT or at any other place upon which the Members agree.

SECTION 1.4 MAILING ADDRESS

The mailing address of the Limited Company shall be 379 Quarry Brook Drive, South Windsor, CT 06074 or any other place upon which the Members agree.

SECTION 1.5 PURPOSE

The purpose for which the Limited Company is organized to engage in any lawful act or activity for which the Limited Liability Companies may be formed under the Connecticut Limited Liability Company Act.

SECTION 1.6 STATUTORY AGENT

The name of the Limited Company's Statutory Agent in the State of Connecticut shall be Thomas J. Nicholas, a residence address of 379 Quarry Brook Drive, South Windsor, CT .

SECTION 1.7 POWERS

The powers, duties and obligations of the Limited Liability Company are to:

- A. Sue and be sued, complain and defend, and participate in administrative or other proceedings in its name;
- B. Sell, convey, assign, encumber, mortgage, pledge, lease, exchange, transfer, and otherwise dispose of all or any part of its property and assets;
- C. Lend money to and otherwise assist its Members and employees, except as otherwise provided in the Operating Agreement;
- D. Purchase, take, receive, subscribe for or otherwise acquire, own, hold, vote use, employ, sell, mortgage, lend, pledge, or otherwise dispose of, and otherwise use and deal in and with, share or other interests in, or obligations of, (i) other limited liability companies, (ii) domestic or foreign corporations, (iii) associations, (iv) general or limited partnerships, (v) individuals, or (vi) direct or indirect obligations of the United States or of any government, state, territory, governmental district, or municipality or of any instrumentality of any of them;
- E. Make contracts and guarantees, incur liabilities, borrow money at such rates or interest as the Limited Company may determine, issue its notes, bonds, and other

obligations and secure any of its obligations by mortgage or pledge of all or any part of its property, franchises, and income;

F. Lend money for its proper purposes, invest and reinvest its funds, and take and hold real and personal property for the payment of funds so loaned or invested. To this end, the Limited Company may lend money to, or guarantee any obligations of, or otherwise assist any officer or other employee of the Limited Company or its subsidiary, including any officer or employee who is a Member of the Limited Company or its subsidiary, whenever, in the judgment of a two-thirds (2/3) of the interest of the Members, such loans, guaranty or assistance may reasonably be expected to benefit the Limited Company. The loan, guaranty or other assistance may be with or without interest, and may be unsecured, or secured in such manner as the Members, shall unanimously approve, including, without limitation, a pledge of certificates of the Limited Company.

G. Conduct its business, carry on its operations, and have and exercise the powers herein set forth in any state, territory, district, or possession of the United States or in any foreign country;

H. Elect Managers and appoint agents of the Limited Company, define their duties and fix their compensation;

I. Make and alter operating agreements, not inconsistent with its Articles of Organization or with the laws of this State, for the administration and regulation of the affairs of the Limited Company;

J. Indemnify a Member or Manager or former Member or Manager of the Limited Company;

K. Have and exercise all powers necessary or convenient to effect any or all of the purposes for which the Limited Company is organized; and

L. Become a Member of a general partnership, limited partnership, joint venture, or similar association, or any other Limited Company.

SECTION 2.1 MANAGEMENT

A. The Limited Company's business and affairs shall be vested in its Members pursuant to the terms of this Agreement.

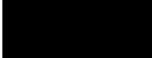
B. The names and addresses of the initial Members of the Limited Company are:

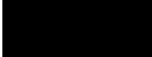
(i) Thomas J. Nicholas, 379 Quarry Brook Drive, South Windsor, Connecticut 06074;


(ii) John P. Glowik, Jr., 44 Independence Lane, Shrewsbury, Massachusetts 01545;

(iii) Kevin P. Murphy, 70 Island Avenue, Madison, Connecticut 06443;

C. The percentage interest of ownership of each of the Initial Members is as follows:

(i) Thomas J, Nicholas - 

(ii) John P. Glowik, Jr. - 

(iii) Kevin P. Murphy - 

D. The business of the Limited Company shall be managed by its Members who may exercise all such powers of the Limited Company and do all such lawful acts and things as are not prohibited by statute or by the Articles of Organization or by this Agreement.

E. A Member of the Limited Company who is either present at a meeting of the Members at which action on any Limited Company matter is taken, or who is absent but has notice of such action by certified mail, shall be presumed to have assented to the action taken unless his dissent shall be entered in the minutes of the meeting, or unless he shall file his written dissent to such action with the person acting as the Secretary of the meeting before the adjournment thereof, or shall forward such dissent by certified mail to the Secretary of the Limited Company immediately after the adjournment of the meeting or within seven (7) days after written notification of such action by certified mail. The objection shall be deemed made when mailed by certified mail. Such right to dissent shall not apply to a Member who voted in favor of such action.

F. An annual regular meeting of the Members shall be held in accordance with Section 3.3B of this Agreement.

G. Special meetings of the Members may be called by any Member in accordance with Section 3.4 of this Agreement.

H. At all meetings of the Members, a sixty percent (60%) interest in members of the Members shall constitute a quorum for the transaction of business. The act of sixty percent (60%) interest of Members present at any meeting at which there is a quorum shall be the act of the Members, except as may be otherwise specifically provided. If a quorum shall not be present at any meeting of the Members, the Members present thereafter may adjourn the meeting from time to time, without notice other than announcement at the meeting, until a quorum shall be present.

I. Unless otherwise restricted by the Articles of Organization or this Agreement, any action required or permitted to be taken at any meeting of the Members or of any committee thereof may be taken at any meeting, if all members of the Board or committee, as the case may be, consent thereto in writing, and the writing or writings are filed with the minutes of proceedings of the Members.

J. Members may participate in a Board meeting by means of a telephone conference call or similar communications equipment that enables all participants in the meeting to hear each other, and participation in a meeting pursuant to this section shall constitute presence in person at such meeting.

K. No loans shall be contracted on behalf of the Limited Company and no evidence of indebtedness shall be issued in its name unless authorized by a resolution of at least a sixty percent (60%) interest of all the Members. Such authority may be general or confirmed to specific instances.

L. No contract or transaction between the Limited Company and one or more of its Members, or between the Limited Company and any other limited company, partnership, association, or other organization in which one or more of its Members have a financial interest, shall be void or voidable solely for this reason, or solely because the Member is present at or participates in the meeting of the Board or committee thereof which authorizes the contract or transaction, or solely because his or their votes are counted for purposes, if:

(a) The material facts regarding his relationship or interest and regarding the contract or transaction are disclosed or are known to the Members and they in good faith authorize the contract or transaction by the affirmative votes of a majority in interest of the disinterested Members even though the disinterested Members be less than a quorum; or

(b) The material facts regarding his relationship, interest and regarding the contract or transaction are disclosed or are known to the Members entitled to vote thereon, and the contract or transaction is specifically approved in good faith by vote of the Members; or

(c) The contract or transaction is fair as to the Limited Company as of the time it is authorized, approved or ratified by the Members.

Common or interested Members may be counted in determining the presence of a quorum at a meeting of the Members, which authorizes the contract or transaction.

SECTION 2.2 APPORTIONMENT

All management functions shall be held jointly by all of the then Members.

SECTION 2.3 COMPENSATION

The Members may pay compensation to any Member as they deem reasonable.

SECTION 2.4 INDEMNIFICATION OF MEMBERS

A. The Limited Company shall indemnify a Member against liability incurred in any proceeding against an individual made a party to the proceeding because he is or was a Member if: (i) he conducted himself in good faith; (ii) he reasonably believed: (a) in the case of conduct in his official capacity, that his conduct was in the Limited Company's best interest; or (b) in all other cases, that his conduct was at least not opposed to the Limited Company's best interest; and (iii) in the case of any criminal proceeding, he had no reasonable cause to believe his conduct was unlawful.

B. The Limited Company shall indemnify a Member of the Limited Company who was wholly successful, on the merits or otherwise, in defense of any proceeding to which he was a party, against reasonable expenses incurred by him or her in connection with the proceeding.

C. A Member who is or was a party to a proceeding may apply for indemnification to the court conducting the proceeding or to another court of competent jurisdiction.

D. The Limited Company shall pay for or reimburse the reasonable expenses incurred by a Member who is a party to a proceeding in advance of the final disposition of the proceeding if: (i) the Member furnishes the Limited Company a written affirmation of his good-faith belief that he has met the standard of conduct required; (ii) the Member furnishes the Limited Company a written undertaking, executed personally or on his behalf, to repay the advance if it is determined that he did not meet such standard of conduct; and (iii) a determination is made that the facts then known to those making the determination would not preclude indemnification.




E. Any indemnification of or advance of expenses to a Member in accordance with this section, if arising out of a proceeding by or on behalf of the Limited Company, shall be reported in writing to the Members with or before the notice of the next Members' meeting.

SECTION 3.1 MEMBERS

A. New Members shall be admitted only on such terms and conditions as the Members shall determine by unanimous vote or written agreement.

B. Each Member shall have a voting interest which is proportional to his share of the profits as set forth in C. below.

C. Each Member shall have a share of the principal and income and profits and losses of the Limited Company as follows:

- | | | | |
|-------|---------------------|---|--|
| (i) | Thomas J. Nicholas | - |  |
| (ii) | John P. Glowik, Jr. | - |  |
| (iii) | Kevin P. Murphy | - |  |

SECTION 3.2 DISTRIBUTIONS

A. Distributions may be declared by the Members at any regular or special meeting.

B. Before payment of any distribution, there shall be set aside out of any funds of the Limited Company available for distributions such sum or sums as the

Members from time to time in their absolute discretion, deem proper as a reserve or reserves to meeting contingencies, or for equalizing distributions, or for repairing or maintaining any property of the Limited Company, or for such other purpose as the Members shall think conducive to the interest of the Limited Company; and the Members may modify or abolish any such reserve in the manner in which is was created.

SECTION 3.3 MEETINGS OF MEMBERS

A. Meeting of Members may be held at such place, either within or without this state, as may be stated in or fixed in accordance with this Operating Agreement. If no other place is stated or so fixed, all meeting shall be held at the registered office of the Limited Company.

B. An annual meeting of the Members shall be held on the 1st day of June each year at the office of the Limited Company or at any other place as agreed upon by the Members.

C. Special meetings of the Members may be called by any Member in accordance with Section 3.4 of this Agreement.

D. Whenever the vote of the Members is required or permitted to be taken for or in connection with any Limited Company action, by any provision of the statutes, the meeting and vote of Members may be dispensed with if all of the Members who would have been entitled to vote upon the action if such meeting were held shall consent in writing to the Limited Company action taken.

SECTION 3.4 NOTICE

A. Written notice stating the place, day and hour of the meeting and, in case of a special meeting, the purpose for which the meeting is called, shall be delivered not less than ten (10) days nor more than fifty (50) days before the date of the meeting, either personally or by mail, by or at the direction of any Member or person calling the meeting to each Member of record entitled to vote at such meeting.

B. Notice to Members, if mailed, shall be deemed delivered when deposited in the United States mail, addressed to the Member, with postage prepaid; but, if three successive letters mailed to the last known address of any Member are returned as undeliverable, no further notices to such Member shall be necessary until another address for such Member is made known to the Limited Company.

C. When a meeting is adjourned to another time or place, unless the Operating Agreement otherwise requires, notice need not be given of the adjourned meeting if the time and place thereof are announced at the meeting at which the adjournment is taken. At the adjourned meeting the Limited Company transact any business, which might have been transacted at the original meeting. If the adjournment is for the more than thirty (30) days, a notice of the adjournment meeting shall be given to each Member entitled to vote at the meeting.

D. When any notice is required to be given to any Member of a Limited Company under the provisions of this Agreement or under the provisions of the Articles of Organization or the Operating Agreement of the Limited Company, a waiver thereof in writing signed by the person entitled to such notice, whether before, at, or after the time stated therein, shall be equivalent to the giving of such notice.

E. By attending a meeting, a Member: (i) waives objection to lack of notice or defective notice of such meeting unless the Member, at the beginning of the meeting, objects to the holding of the meeting or the transacting of business at the meeting; (ii) waives objection to consideration at such meeting of a particular matter not within the purpose or purposes described in the meeting notice unless the Member objects to considering the matter it is presented.

SECTION 3.5 VOTING

A. Subject to the provisions of this Agreement that requires majority or unanimous consent, vote, or agreement of the Members, the Operating Agreement may grant to all or a specified group of the Members the right to consent, vote or agree, on a per capita or other basis, upon any matter.

B. Unless the Operating Agreement provides otherwise, any Member may vote in person or by proxy.

C. Unless otherwise provided in the Operating Agreement, a three-fourths (3/4) interest of the Members entitled to vote shall constitute a quorum at the meeting of Members. If a quorum is present, the affirmative vote of the majority of the Members in interest at the meeting and entitled to vote on the subject matter shall be the act of the Members, unless the vote of a greater proportion or number or voting by classes is required by this Agreement, the Articles of Organization, or the Operating Agreement. If

a quorum is not represented at any meeting of the Members, such meeting may be adjourned for a period not to exceed sixty (60) days at any one adjournment.

SECTION 3.6 ACTION BY MEMBERS WITHOUT A MEETING

A. Unless the Articles of Organization or this Operating Agreement provides otherwise, action required or permitted by this Agreement to be taken at a Members' meeting may be taken without a meeting if the action is evidenced by one or more written consents describing the action taken and signed by each Member entitled to vote. Action taken under this subsection (A) is effective when all Members entitled to vote have signed the consent, unless the consent specifies a different effective date.

B. Written consent of the Members entitled to vote has the same force and effect as a unanimous vote of such Members and may be stated as such in any document.

SECTION 4.1 INITIAL CAPITAL CONTRIBUTIONS

Upon the execution of this Agreement, the parties shall contribute the following to the Company as their initial capital contributions:

Thomas J. Nicholas -

John P. Glowik, Jr. -

Kevin P. Murphy -



SECTION 4.2 ADDITIONAL CAPITAL CONTRIBUTIONS

If, pursuant to this Agreement, those Members who hold a majority of the percentages, at any time or from time to time, determine that the Company requires additional Capital Contributions, then each Member shall contribute his share of additional Capital Contributions. A Member's share of the additional Capital Contributions shall be equal to the product obtained by multiplying the Members' Percentage and the total additional Capital Contributions which the Members require to be contributed during the term of this Agreement. Within thirty (30) days after the Members have determined the amount of additional Capital Contribution required, each Member shall pay the Member's share, in cash or by bank check to the Company.

SECTION 4.3 NO INTEREST ON CAPITAL CONTRIBUTIONS

Members shall not be paid interest on their Capital Contributions.

SECTION 4.4 RETURN OF CAPITAL CONTRIBUTIONS

Except as otherwise provided in this Agreement, no Member shall have the right to receive any return of any Capital Contribution.

SECTION 4.5 CAPITAL ACCOUNTS

A separate Capital Account shall be maintained for each Member.

SECTION 5.1 TRANSFERS

Unless there is unanimous written consent of all the Members in Interest, no Member may transfer all, or any portion of, or any interest or rights in, the Membership Interests owned by the Member, and no Interest Holder may transfer all, or any portion of, or any interest or rights in, any interest. Each Member hereby acknowledges the reasonableness of this prohibition in view of the purposes of the Company and the relationship of the Members. The Transfer of any Membership Interest or Interests in violation of the prohibition contained in this Section 5.1 shall be invalid, null and void, and of no force or effect. Any person to whom the Membership Interests are attempted to be transferred in violation of this Section 5.1 shall not be entitled to vote on matters coming before the Members, participate in the management of the Company, act as an agent of the Company, receive distributions from the Company, or have any other rights in or with respect to the Membership Interests.

SECTION 5.2 TRANSFERS TO MEMBERS

Notwithstanding anything set forth in this Agreement to the contrary, any Member may at any time, and from time to time, transfer all, or any portion of, or any interest in rights in the Members Interest or Membership Interest to any other Members.

SECTION 6.1 VOLUNTARY WITHDRAWAL

No Member shall have the right or power to voluntarily withdraw from the Company without the written consent of the majority in interest of all the membership interests in the Company. Voluntary withdrawal means a Members disassociation with the Company by means other than a transfer or an Involuntary Withdrawal. No Member who voluntarily withdraws from the Limited Company shall be entitled to any payment for his interest in the Limited Company.

SECTION 6.2 INVOLUNTARY WITHDRAWAL

A. A Member shall be disassociated with the Company if he involuntarily withdraws from it. Involuntary withdrawal means, with respect to any Member the occurrence of the events set forth in Connecticut General Statutes Section 34-180(a)(3)(A), and (a)(4)-(11).

B. Immediately upon the occurrence of an Involuntary Withdrawal, the Withdrawn Member, or the successor of the Withdrawn Member, if any, shall thereupon become an Interest Holder but shall no longer be, or shall not become a Member. The successor Interest Holder shall have the rights of an Interest Holder; however, in such event, neither the Withdrawn Member nor the successor Interest Holder shall be entitled to immediate payment for the Withdrawn Member's interest in the Company, but rather shall be subject to the transfer provisions set forth below.

SECTION 7.1 TRANSFER OF INTEREST IN EVENT OF INVOLUNTARY WITHDRAWAL

A. Upon the occurrence of an Involuntary Withdrawal, the Members other than the Withdrawn Member ("Remaining Members") shall vote to either (i) dissolve the Company, or (ii) to purchase the Interest of the Withdrawn Member. If the Remaining Members elect to purchase the interest of the Withdrawn Member, the Withdrawn Member shall sell, all of the Membership Interest owned of record and beneficially by the Withdrawn Member (the "Withdrawal Interest") for a price equal to the Appraised Value of the Interest of the Withdrawing Member as the term is defined in Section 7.1.D. In the absence of an agreement among the Remaining Members, each Remaining Member shall purchase the Withdrawal Interest in the proportion that his respective Percentage bears to the total Percentages of all of the Remaining Members.

B. The Remaining Members, by written notice addressed to the withdrawn Member, shall fix a closing date (the "Closing Date") for the purchase. The Withdrawal Closing Date shall not be earlier than ten (10) days or later than one hundred fifty (150) days after the later of the date on which the Involuntary Withdrawal occurred or the date on which the Company received notice of the Involuntary Withdrawal.

C. The Withdrawal Purchase Price shall be paid in cash on the Withdrawal Closing Date, unless the Remaining Members elect prior to or on the Withdrawal Closing Date to pay the Withdrawal Purchase Price in installments. The Remaining Partners may elect to pay the Withdrawal Purchase Price in equal monthly installments for a term not to exceed ten (10) years. The Withdrawal Purchase Price in the event of an installment purchase shall be secured by the Promissory Note or Notes of the Remaining Members and shall bear interest at the fixed rate equal to the Prime Rate of Interest as of the date of Involuntary Withdrawal. Simultaneously with the payment of the Withdrawal Purchase Price or delivery of the Remaining Members' promissory note to evidence their respective obligations to pay the Withdrawal Purchase Price, the withdrawn Member shall execute and deliver to the Remaining Members those assignments and other instruments as may be reasonably required to vest in the Remaining Member all right, title and interest in and to the Withdrawal Interest, free and clear of all liens and encumbrances.

D. The term "Appraised Value" means the appraised value of the equity of the Company's assets as hereinafter provided. Within fifteen (15) days after demand by either one to the other, the company and the Withdrawn Member shall each appoint an appraiser to determine the value of the equity of the Company's assets. If the two appraisers cannot agree upon the equity value of the Company's assets, they shall each render a separate written report and shall appoint a third appraiser, who shall appraise the Company's Assets and determine the value of the equity therein, and shall render a written report of his opinion thereon. Each party shall pay the fees and other costs of the appraiser appointed by that party, and the fees and other costs of the third appraiser shall be shared equally by both parties.

E. The equity value contained in the aforesaid joint written report or written report of the third appraiser, as the case may be, shall be the Appraised Value; provided, however, that if the value of the equity contained in the appraisal report of the third appraiser is more than the higher of the first two appraisals, the higher of the first two appraisals shall govern; and provided, further, that if the value of the equity contained in the appraisal report of the third appraiser is less than the lower of the first two appraisals, the lower of the first two appraisals shall govern.

SECTION 8.1 EVENTS OF DISSOLUTION

The Company shall be dissolved upon the happening of any of the following events:

- A. Upon the written consent of sixty percent (60%) interest of the Members;
- or
- B. The occurrence of an Involuntary Withdrawal, unless a majority of Percentages of the remaining Members, within ninety (90) days after the occurrence of the Involuntary Withdrawal, elect to continue the business of the Company pursuant to the terms of this Agreement.

SECTION 8.2 PROCEDURE OF WINDING UP

If the Company is dissolved, the remaining Members shall wind up its affairs. On winding up of the Company, the assets of the Company shall be distributed, first, to creditors of the Company, including Interest Holders who are creditors, in satisfaction of the liabilities of the Company, and then to the Interest Holders in proportion to their respective Capital Accounts.

SECTION 9.1 AMENDMENT

This Agreement may be altered or amended by vote of sixty percent (60%) interest in members at a regular meeting of the Members or at any special meeting of the Members if notice of such alteration or amendment be contained in the notice of such special meeting.

SECTION 10.1 EXECUTION IN COUNTERPART

This Agreement may be executed in any number of counterparts, each of which shall be taken to be an original. Valid execution shall be deemed to have occurred when a signature page is executed by the Member in question.

SECTION 11.1 OPINION OF COUNSEL

The doing of any act or the failure to do any act by any Member (the effect of which may cause or result in loss or damage to the Limited Company) if pursuant to opinion of legal counsel employed by the Member(s) on behalf of the Limited Company, shall not subject any Member to any liability.

SECTION 12.1 AGREEMENT BINDING

This Agreement shall be binding upon the parties hereto and upon their heirs, executors, administrators, successors or assigns; and the parties hereto agree for themselves and their heirs, executors, administrators, successors and assigns to execute any and all instruments in writing are or may become necessary or proper to carry out the purpose and intent of this Agreement.

SECTION 13.1 BANKING

The Limited Company shall maintain a bank account or bank accounts in the Limited Company's name in a national or state bank in the State of Connecticut. Checks and drafts shall be drawn on the Limited Company's bank account for Limited Company purposes only and shall be signed by any Member.

SECTION 14.1 TITLE AND SUBTITLES

Titles of the paragraphs and subparagraphs are placed herein for convenient reference only and shall not to any extent have the effect of modifying, amending or changing the express terms and provisions of this Limited Company agreement.

SECTION 15.1 RULES OF CONSTRUCTION

As used herein, unless the context clearly indicates the contrary, the singular number shall include the plural, the plural the singular, and the use of any gender shall be applicable to all genders.

SECTION 16.1 SEVERABILITY

In the event any parts of this Agreement are found to be void, the remaining provisions of this Agreement shall nevertheless be binding with the same effect as though the void parts are deleted.

SECTION 17.1 EFFECTIVE DATE

The Agreement shall be effective only upon execution by all of the proposed Members.

SECTION 18.1 WAIVER

No waiver of any provisions of this Agreement shall be valid unless in writing and signed by the person or party against whom charged.

SECTION 19.1 APPLICABLE LAW

This Agreement shall be subject to and governed by the laws of the State of Connecticut.

IN WITNESS WHEREOF, the parties have hereunto set their hands and seals this first day of October, 2013.



Thomas J. Nicholas - Member

John P. Glowik, Jr. - Member

Kevin P. Murphy - Member

STATE OF CONNECTICUT)

) SS. SOUTH WINDSOR NOVEMBER 4, 2013
COUNTY OF HARTFORD)

On this 4TH day of Nov., 2013, before me, personally appeared, Thomas J. Nicholas, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same as his own free act and deed.

Linda P. Hull

LINDA P. HULL
NOTARY PUBLIC
MY COMMISSION EXPIRES MAR. 31, 2015

Commissioner of the Superior Court
NOTARY PUBLIC

STATE OF CONNECTICUT)

) SS. , 2013
COUNTY OF HARTFORD)

On this day of , 2013, before me, personally appeared, , known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same as his own free act and deed.

Commissioner of the Superior Court

STATE OF CONNECTICUT)

) SS. , 2013
COUNTY OF)

On this day of , 2013, before me, personally appeared, , known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that she executed the same as her own free act and deed.

Commissioner of the Superior Court

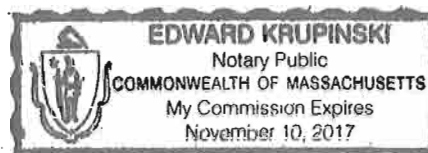
SECTION 19.1 APPLICABLE LAW

This Agreement shall be subject to and governed by the laws of the State of Connecticut.

IN WITNESS WHEREOF, the parties have hereunto set their hands and seals this first day of October, 2013.

Thomas J. Nicholas - Member

John P. Glowik, Jr. - Member



Kevin P. Murphy - Member

SECTION 19.1 APPLICABLE LAW

This Agreement shall be subject to and governed by the laws of the State of Connecticut.

IN WITNESS WHEREOF, the parties have hereunto set their hands and seals this first day of October, 2013.

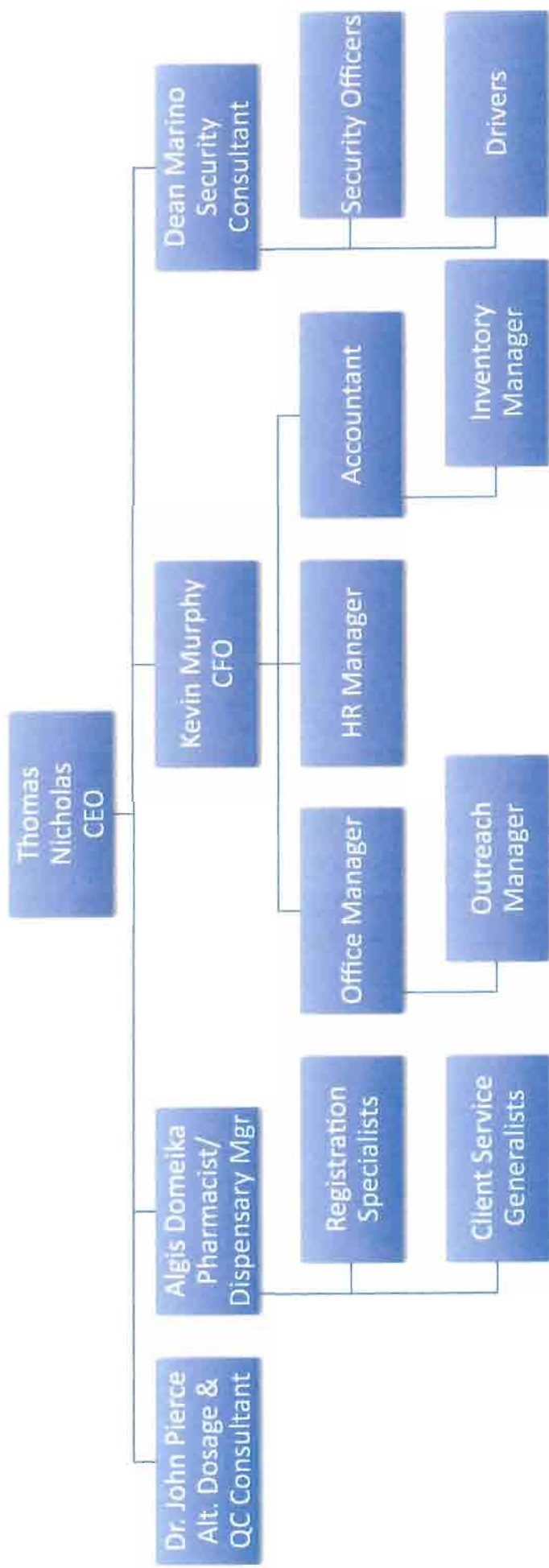
Thomas J. Nicholas - Member

John P. Glowik, Jr. - Member

Kevin P. Murphy - Member

On this 5th day of Nov, 2013, before me, personally appeared, Kevin P Murphy, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same as his own free act and deed.

STATE OF NEW YORK)
~~CONNECTICUT~~) SS. , 2013
COUNTY OF New York)



DISPENSARY APPLICATION RESUMES – ATTACHED HERETO

1. Thomas J. Nicholas
2. Kevin P. Murphy
3. John P. Glowik, Jr.
4. Brett Sicklick
5. Algis Domeika
6. Dean Marino
7. Dr. John Pierce
8. Gilbert J. Fanciullo, MD, MS
9. Corey Burchman, MD
10. Richard H. Gannon, Pharm.D.
11. Jeffery B. Hover, Sr.
12. JoAnne Hoffman, M.S.

THOMAS J. NICHOLAS



EDUCATION

Diploma in Professional Registered Nursing
Ellis Hospital School of Nursing
Schenectady, New York
Graduated with Honors, 1977

PROFESSIONAL PROFILE

SUSTAINABLE PRODUCTS, INC. 2003 – PRESENT

- Industrial Products Sales, Marketing and Distribution Company.
- Represented a Connecticut company's environmentally friendly product line of industrial lubricants and machining fluids to the machining and fabricating industry in the northeast.
- Represented a Capital Equipment Manufacturer in the machined parts finishing area.

EASTVIEW DIALYSIS CENTER, INC. 1997 – 2011

EASTVIEW DIALYSIS PROPERTIES, INC. 1997 – PRESENT

- President and CEO of an out-patient dialysis facility operating business and properties management business both located at 120 Victor Heights Parkway, Victor, NY. 14564. I was a founding shareholder and participated as CEO in the day to day management of both companies.
- Eastview Dialysis Center provided out-patient dialysis treatments to @120 chronic renal failure patients and was licensed in all treatment modalities. The operations were carried out in an 8,600 sq. ft. building built expressly to serve the needs of the dialysis patients.
- The operating company was responsible for all operations and billing in excess of \$3M to Medicare and Medicaid for patient services on an annual basis. My involvement in Eastview Dialysis Inc. terminated when the company was sold to Fresenius Medical Care in 2011. I'm currently the President and CEO of Eastview Dialysis Properties, Inc. (EDPI). EDPI is currently negotiating on a Purchase and Sales agreement on the property which should close by mid November 2013.

PRIME MEDICAL, INC. 1990 – 1997

- As co-founder and fifty percent owner, operated a successful and well respected medical products sales and distribution company concentrating on dialysis products.
- Developed a medical water purification company called Prime Water. Prime Water engineered, designed, installed and serviced medical grade water purification systems for dialysis units and medical facilities in the eastern United States.
- My involvement in Prime Medical, Inc. terminated when the company was sold to Fresenius Medical Care in 1997.

THOMAS J. NICHOLAS (CONT.)

CONNECTICUT BASED DIALYSIS FACILITIES — 1991 — 2001

- As President and CEO of five Connecticut based dialysis facilities (Enfield Dialysis, East Hartford Dialysis, Central CT Dialysis, Rocky Hill Dialysis and Middlesex Dialysis), I was responsible for operations and day to day management. Our facilities treated in excess of 450 patients on a three time per week basis. Each facility billed between two and four million dollars in annual patient services to Medicare under the ESRD program, Medicaid and private insurers on an annual basis.
- Partner and board member in Connecticut businesses as well as ESRD facilities and related businesses in Massachusetts, New Hampshire and New York (12 total). **Please see attached facilities list with specifics.**
- **NO LICENSED MEDICAL FACILITY OR ANY BUSINESS WHERE I HAD CONTROL HAS EVER BEEN ALLEGED OR FOUND TO HAVE VIOLATED ANY FEDERAL, STATE OR LOCAL LAWS OR REGULATIONS DURING THE TIME PERIOD WHEN I WAS ASSOCIATED.**

NATIONAL MEDICAL CARE, INC. — 1981 — 1990

- Northeast Area Manager responsible for sales and marketing of manufactured dialysis disposable products and systems to dialysis facilities in New York, New Jersey and New England.
- National Dialysis Sales Specialist Salesman of the year twice and Regional Salesman of the year four times.

PROFESSIONAL NURSING

- Worked as an R.N./Orthopedic technician and Operating Room assistant in an Orthopedic Practice (Paulsen and Albano, PC) in Schenectady, N.Y. in 1977.
- Worked as an Operating Room Nurse at the Hermann Hospital in Houston, Texas in 1978-1979.
- Worked as an Operating Room Nurse at Hartford Hospital in Hartford, CT in 1980.

PROFESSIONAL SKILLS AND BUSINESS ACCOMPLISHMENTS

My medical training and background has allowed me to be very successful during my adult working life. Moreover, the fact that I was trained as a Registered Nurse and have worked in both hospital and private medical businesses has given me a real appreciation and understanding of how to access and attend to the needs of clients and patients alike. We formed a number of dialysis operating businesses with physicians as partners (being mindful of Stark and safe harbors laws).

As President and CEO of six individual dialysis companies in two states, I participated in all aspects of the business from formation to site location and development to regulatory application and day to day operation. I was agent of service for all six companies. I was personally vetted by both state health departments in the states where we operated and the federal government as a billing agency to Medicare and Medicaid.



State of Connecticut
HOUSE OF REPRESENTATIVES
STATE CAPITOL
HARTFORD, CONNECTICUT 06106-1581

REPRESENTATIVE TIMOTHY D. LARSON
ELEVENTH ASSEMBLY DISTRICT

LEGISLATIVE OFFICE BUILDING, ROOM 4027
HARTFORD, CT 06106-1591

CAPITOL: 860-240-8585
TOLL FREE: 800-842-8267
FAX: 860-240-0206
E-MAIL: Timothy.Larson@cga.ct.gov

ASSISTANT MAJORITY LEADER

MEMBER
BANKS COMMITTEE
FINANCE, REVENUE AND BONDING COMMITTEE
TRANSPORTATION COMMITTEE

October 8, 2013

Mr. Thomas J. Nicholas, President
Prime Wellness of Connecticut, LLC
379 Quarry Brook Drive
South Windsor, CT 06074

Dear Tom,

I'm writing this letter of support for your company's application to Connecticut's Medical Marijuana Program. Based on what I know of your groups' background, I feel that Prime Wellness of Connecticut would provide the patients of our state the type of professional, compassionate and expert care they deserve.

As a constituent of mine who previously operated out-patient dialysis facilities in East Hartford and four other Connecticut locations during the 1990's, I can't imagine a better steward of this new medical modality.

Best of luck in your quest for licensure and please keep me apprised of your progress.

Sincerely,

A handwritten signature in black ink, appearing to read 'Timothy Larson', written over a horizontal line.

Timothy Larson



State of Connecticut

HOUSE OF REPRESENTATIVES
STATE CAPITOL
HARTFORD, CONNECTICUT 06106-1591

REPRESENTATIVE BILL AMAN
FOURTEENTH ASSEMBLY DISTRICT

LEGISLATIVE OFFICE BUILDING, ROOM 4200
300 CAPITOL AVENUE
HARTFORD, CT 06106-1591

HOME: (860) 528-3564
CAPITOL: (860) 240-8700
Bill.Aman@cga.ct.gov

ASSISTANT LEADER

RANKING MEMBER
PLANNING AND DEVELOPMENT COMMITTEE

MEMBER
FINANCE, REVENUE AND BONDING COMMITTEE
GENERAL LAW COMMITTEE

October 10, 2013

Commissioner William M. Rubenstein
Department of Consumer Protection
165 Capitol Avenue, Room 103
Hartford, CT 06106

Dear Commissioner Rubenstein,

I was contacted by Tom Nicholas, President of Prime Wellness of Connecticut and a resident of South Windsor, regarding their submission of applications for a medical marijuana grow facility and dispensaries in South Windsor. I write to share my knowledge in relation to the South Windsor sites in question.

Route 5 is a non-residential area with buildings, along the expanse sought for development, conducive for use as a growing facility. It is my understanding that current P&Z regulations would classify a growing facility as a permitted use, and that the proposed facility would only need a normal site plan review by the Planning and Zoning Commission.

Understanding that there is an extensive process ahead, I ask that you give Prime Wellness every consideration when reviewing their application with knowledge that they have already contacted the Town of South Windsor and are willing to work with the Town towards mutual benefit for all involved.

Sincerely,

A handwritten signature in cursive script that reads "Bill Aman".

Bill Aman
State Representative
14th District

SENATOR GARY D. LeBEAU
Third District

State Capitol, Room 110
Hartford, Connecticut 06106-1591

Tel. (860) 240-0511
www.SenatorLeBeau.cga.ct.gov



State of Connecticut
SENATE

DEPUTY PRESIDENT PRO TEMPORE

Chair

Commerce Committee
Transportation Bonding Subcommittee

Vice Chair

Energy & Technology Committee
Finance, Revenue & Bonding Committee

Member

Legislative Management Committee

November 1, 2013

Mr. Thomas J. Nicholas, President
Prime Wellness of Connecticut, LLC
379 Quarry Brook Drive
South Windsor, CT 06074

Dear Tom,

I'm writing this letter of support for your company's application to Connecticut's Medical Marijuana Program. Based on what I know of your groups' background, I feel that Prime Wellness of Connecticut would provide the patients of our state the type of professional, compassionate and expert care they deserve.

As a constituent of mine who was previously licensed by the DOH to operate out-patient dialysis facilities in Connecticut during the 1990's, I can't imagine a better steward of this new medical modality. When licensed, your company will bring economic benefit to the residents of my district by creating between 30 and 40 new full time jobs within the first year of operation.

Best of luck in your quest for licensure and please keep me apprised of your progress.

Sincerely,

A handwritten signature in blue ink that reads "Gary D. LeBeau".

Gary D. LeBeau
State Senator, 3rd District

Kevin P. Murphy

Kevin has enjoyed a highly successful career in the New York money management business and is a well respected member of the financial community. A consummate professional, visionary and entrepreneur, Kevin has been instrumental in growing companies from inception to billion dollar money management firms.

Prior to launching Tandem Global Partners in 2007, Kevin was a Managing Partner at Stanfield Capital Partners where he was critical in growing the company from inception to a \$30 billion alternative money management firm. He was a member of the Operating and Management team that oversaw all aspects of Stanfield's business, including risk management, sales and distribution, client services, legal, compliance and operations. Integral in spearheading the strategic development of the firm, Kevin was responsible for attracting key personnel and money management talent.

Having made numerous investments in start-up and operating businesses with great success, Kevin often takes a proactive role in such investments to guide and influence the operation's business professionals. Kevin's guidance and input has led to higher success ratios and more predictable outcomes for these businesses. Kevin has made major investments in the healthcare, real estate, technology and general business arenas, and has applied unique talents and resources for the benefit of licensed New England entities within the emerging industry presently the subject of a competitive application process in Connecticut. The Network for Teaching Entrepreneurship (NFTE) recognized Kevin as the Entrepreneur of the Year in 2005.

Kevin has previously worked with Gleacher NatWest (Partner), Schroders (Sr. VP of Sales), Lazard Freres (VP) and Cantor Fitzgerald (VP).

Several years ago, Kevin played an instrumental role in assisting in the financing and facilitation of Northeast Patients Group (d/b/a Wellness Connection of Maine), a very well respected, licensed and regulated non-profit medical marijuana corporation in Maine. Wellness Connection of Maine is currently a burgeoning success and is solely focused on caring for medical patients in Maine and giving back to the community.

Kevin possesses a strong commitment to his profession as well as to family and community ties. He serves on the Board for the St. Benedict Joseph Medical Center in Honduras, is a generous supporter of numerous charities, and has helped many individuals launch and achieve their career goals.

JOHN P. GLOWIK, Jr.



EMPLOYMENT HISTORY

Thirty-nine years of health care experience (1973-2012)

Local, experienced health care provider, owner and operator of dialysis outpatient centers in MA (Springfield & Greenfield), NH (Keene), CT (Hartford, Enfield, Middletown, Rocky Hill), NY (Albany-2, Amsterdam, Schenectady, Selden, Bellmore, Hempstead, Rochester)

Reviewed and approved by Department of Health in 4 states to own or operate with Certificate of Need/Determination of Need certificates - MA, CT, NH, NY Fully accredited and no citations with inspections from the Departments of Health in the 4 states.

Owner and Operator of 15 Dialysis facilities (1989-2012)

Owner and operator of 15 dialysis facilities based in MA (Springfield & Greenfield), NH (Keene), CT (Hartford, Enfield, Middletown, Rocky Hill), NY (Albany-2, Amsterdam, Schenectady, Selden, Bellmore, Hempstead, Rochester)

Prime Medical Inc. (1988 -1997)

President & Co-Founder/Owner

MA based medical supply company covered New England, NY, NJ

Prime Water (1992-1997)

Co-Founder/Owner

MA based water purification/delivery systems for medical facilities

NutraCare Inc. (1990-1994)

Co-Founder/Owner, Vice President

GA based parental nutrition company serving patients in dialysis centers and at home.

Sales Specialist/Regional Manager (1973-1988)

Pharmaceutical & Medical sales to hospitals, physicians, outpatient medical facilities in the greater Northeast states.

BOARDS AND CIVIC ENGAGEMENT

Served on numerous community boards; Worcester Academy, Worcester MA Board of Trustees (3 terms), Holy Cross GAA Board, Holy Cross College Advisory Board to the Trustees since 2007, Worcester, MA, Paxton Recreation Commission Chairman, Paxton,MA, Paxton Youth Sports, President, Paxton Little League, Treasurer, Sacred Heart Basketball League Board, Worcester,MA.

EDUCATION

Holy Cross College, Worcester MA (1969 – 1973); B.A. Economics & History (Double Major)

Brett N. Sicklick



OBJECTIVE

I am currently seeking a high-level management position within a developing Medical Cannabis company. My experiences and diverse job titles within the industry will allow me to become a valuable asset for your company.

WORK EXPERIENCE

Guaranteed Harvest LLC/ The Herbal Cure LLC, Denver, Colorado 07/2012 - Present

Cultivation Warehouse Manager

- Responsible for overseeing all phases of plant growth from seedlings to harvested plants in 5,000 sq. ft. warehouse
- Developed specific nutrient/feeding regiment for all Cannabis plants within the warehouse
- Created warehouse procedures and guidelines for Guaranteed Harvest LLC
- Increased production yield by 50% since starting with the company
- Coordinating with the MMED regarding manifestations to transfer product from production facility to retail dispensary and MIP facilities
- Established and maintained seed-to-sale tracking software for production facility (MJ Freeway)
- Inventory management
- Training of new employees

Beyond Broadway LLC (Currently LivWell), Denver, Colorado 03/2010 - 06/2012

Operations Manager

- Managed multiple dispensary retail locations in Denver and surrounding areas
- Developed and managed the companies wholesale division which was responsible for distributing excess forms of medicine (i.e. Cannabis flowers and concentrates) to hundreds of dispensaries around the state
- Aided in developing close relationships with hundreds of dispensaries within Colorado
- Assisted in the procurement of two new successful retail locations and helped raise daily sales by 100% at each location
- Responsible for developing advertisement and promotion campaigns for all retail locations

Self Employed, Denver, Colorado 11/2009 - 03/2010

Cannabis Wholesaler/Broker

- Responsible for providing many dispensaries with large quantities of high-quality wholesale Cannabis flowers, edibles, and concentrates
- Sold roughly \$1,000,000+ of wholesale Cannabis and concentrates to hundreds of dispensaries within Colorado
- Developed relationships with many of the premier cultivators around the state and ultimately became the exclusive broker for excess medicine to be wholesaled

Patient's Guide Colorado, Denver, Denver

Advertising Sales Manager/Content Manager

- Co-Created Patient's Guide to Colorado. A Cannabis related magazine which helped patients find dispensaries around the Denver/Boulder area
- Maintained 25-30 regular dispensary/industry advertisers
- Developed much of the magazines content including strain reviews, informational articles, and interviews

EDUCATION

University of Tampa Tampa, Florida

2003 - 2007

Bachelors in Communications, GPA: 3.2

SKILLS

- Proficient in all areas surrounding the Cannabis plant and the Medical Cannabis industry
- Vast knowledge of Cannabis genetics and their medical effects
- Strong social skills that have allowed for the creation and maintaining of close relationships with hundreds of individuals and businesses around Colorado
- Skilled in using the House and Garden Nutrient line, as well as various growing additives and mediums

Algis T. Domeika

Appendix C; Section C: Pharmacy Business Experience

- 1997 – 1998: Pharmacy Manager
 - Walgreens #1873
 - 138 Heights Rd. Darien, CT 06820
- 1998 – 2000: Pharmacy Manager
 - Walgreens #5723
 - Main Street, Bridgeport, CT 06606 (now moved to North Avenue)
- 20001-2004: Pharmacy Manager
 - Walgreens #3359
 - 1606 Barnum Avenue, Stratford, CT 06614
- 2004 – 2005: Pharmacy Manager
 - Walgreens #1835
 - 740 Villa Avenue, Fairfield, CT 06825
- 2005 – 2008: Pharmacy Manager
 - Walgreens #2976
 - 399 West Main Street, Norwich, CT 06360
- 2008 – 2009: Pharmacy Manager
 - Walgreens #6654
 - 149 Deming Street, Manchester, CT 06040
- 2009 – Present
 - Walgreens #5407
 - 295 Main Street, Manchester, CT 06040

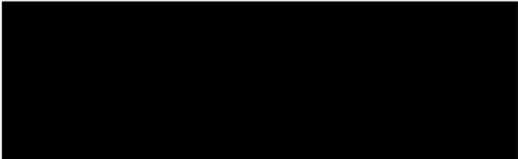
Responsibilities for each location

- Oversee pharmacy operations
- Ensure proper licensure
- Customer service
- Train pharmacists, interns, and technicians
- Inventory control
- Responsible for hiring new staff
- Mentor for intern program for several pharmacy schools
- Pharmacist duties, including accurately checking and filling prescriptions, providing immunization services, and counseling
- Scheduling
- Building business relationships in the community

Changes of locations were due to promotion or due to family moving to another part of the state.

None of the pharmacies I have managed have been alleged to have violated any laws or regulations of the State of Connecticut.

Algis T. Domeika



Professional Profile

Detail oriented and results oriented professional with more than twenty (20) years of progressively responsible experience in security management and law enforcement. Security professional focused on providing optimal security services, developing & implementing security initiatives to provide safe & secure environment, CCTV & access control management, project management and supervision of employees. Extensive training developing and implementing security programs and strategies consistent with national standards. Professional reputation with a strong work ethic and exceptional customer service skills. Able to work independently and cohesively as a "Team" to accomplish goals of the organization. Certified CT Security Guard Card, Emergency Medical Responder and CPR.

Education

August 2006 Saint Joseph College, West Hartford, CT
Master of Science Degree- Management

1. Participated and coordinated numerous disaster drill exercises.
2. Homeland Security Protection, Prevention, Response & Recovery training.
3. Completed Basic Physical Security Assessment Program.
4. Certificate of completion in the National Incident Management System (NIMS).
5. Crime Prevention Through Environmental Design Techniques training.

August 1987 Western Connecticut State University, Ansell School of Business, Danbury, CT
Bachelor of Science Degree- Justice & Law Administration

May 1985 Manchester Community College, Manchester, CT
Associate Degree-Law Enforcement

Career Accomplishments

- Developed Standard Operating Procedural manual for procedures that included; traffic control, guarding & patrolling property, training manual for new employees, investigation of incidents, emergency procedures, violation of company policy, use of force issues, criminal acts and response to critical incidents.
- Developed & implemented procedures to manage large scale events and various activities.
- Experience conducting security vulnerability threat assessments & implement strategies to safeguard assets.
- Designed & oversaw security office renovations project enhancing image and security system efficiency.
- Experience developing security awareness training programs and provide training for employees.
- Assisted federal, state, local agencies with complex investigations.
- Revitalized morale of support services staff, improved client/citizen satisfaction, optimized overall organizational efficiencies, streamlined policies & procedures developing training guides of complex laws.
- Built strong partnerships between the community and various governmental agencies to achieve public safety.

Professional Experience

Adjunct Instructor Undergraduate Class at Mitchell College-New London, CT (January 2008 to current):

- Developed Private Security Management class to include; homeland security topics, terrorism & counter security measures, private security management and Prevention/Protection/Response/Recovery of disasters.

Adjunct Instructor Graduate Class at Saint Joseph College- West Hartford, CT (August 2009 to current):

Introduction to homeland security, understanding terrorism, emergency response to critical incidents, asset protection, weapons of mass destruction, critical infrastructure protection and business continuity.

Adjunct Instructor- Lincoln College- Southington & Hartford Branch, CT (September 2011 to current):

- Introduction to Corrections, Seminar In Criminal Justice and Effective Speaking.

Professional Experience

Question E2

Public Safety Professional-Police Sergeant, Department of Public Safety, Connecticut State Police (1988 to 2007):

20 years Law Enforcement, thirteen (13) years experience as a Police Sergeant responsible for the performance of personnel (police officers, dispatchers & clerical staff) pursuant to department policy, managing the day-to-day police service function, supervising various investigations, coordinating special operations, manage dispatch center files/access control/security cameras, emergency disaster planning/recovery, medical & fire emergency response coordinating services throughout the community as well as federal/state/local/regulatory agencies.

- Supervised and prepared staff for effective resolution of critical incidents & day-to-day duties.
- Developed and implemented policies to effectively manage the organization and achieve goals.
- Training and Development Coordinator responsible for training staff and the community.
- Screen, hire and train applicants for full time transition to successful employment.
- Conducted internal investigations of suspected employee misconduct and took appropriate action.
- Participated in administrative team meetings to evaluate and review program issues and services.

Connecticut License Private Investigator (Owner- License #A2411) (October 2007 to current):

- Work independently conducting field & desk top investigations (fraud investigations, loss prevention, criminal & non criminal, due diligence & background checks, locate individuals, conduct interviews, surveillance) excellent report writing, internet skills and organizational skills.
- Prepare documents for legal proceedings.
- Edit video for legal proceedings, able to operate surveillance equipment, cameras, computers, etc...

Security Manager- Colt Security LLC, Colt Defense/Manufacturing West Hartford, CT (Sept 2008 to Oct 2010):

- Responsible for the overall security/safety of the Colt World Headquarters Facility.
- Responsible for security camera system operations, access control, system upgrades and special projects.
- Develop & implement Standard Operating Procedures (SOP) for security department personnel.
- Screen, hire and train applicants for security guard force & direct overall efforts of security guard force.
- Conduct security force training in emergency procedures, community relations, use of force topics, safety & security issues, government regulations, facility fire system response and various company policies.
- Conduct internal investigations of suspected misconduct & serious incidents.
- Coordinate emergency response of security personnel for medical, fire and various emergency calls.
- Responsible for operating budget.

Specific Skills Area

- | | | |
|------------------------------|----------------------------------|----------------------------------|
| • Security Management | • Policy Procedure Development | • Operating Budget Experience |
| • Access Control Management | • Planning & Management | • Customer Relations |
| • Key & Lock Accountability | • Effective Communication | • Business Continuity Management |
| • CCTV & Security Systems | • Staffing, Training & Retention | • Legal Issues |
| • Personnel Management | • Crisis Communication | • Emergency/Disaster Planning |
| • Team Building & Leadership | • Regulatory Compliance | • Asset Protection |

Awards & Recognition

- 2007- Sigma Beta Delta Honoree for scholastic achievement.
- 2007 thru 1988- Received numerous superior performance reviews throughout my career for dedicated, professional and outstanding police work.
- 2006- Meritorious Service Award for dedication and commitment to charity and promotion of cooperation between co-workers.
- 2002- Commemorative mementos and award from the Transportation Security Administration, in appreciation for the hard work and long hours put in to fortify our Nation's Civil Aviation Security Infrastructure during the tragic events of 9-1-1.
- 2002- New England Narcotics Enforcement Association award.
- 1997- Life Saving Award.

Dr. John Pierce

EDUCATION

University of California at Riverside
Degree: Ph.D., December 1986
Major: Chemistry
Specialization: Analytical Chemistry

University of California at Riverside
Degree: B.S. *cum laude*, June 1980
Major: Biochemistry and Chemistry
Minor: Biology

PROFESSIONAL EXPERIENCE

Consultant

February 2001 to present

Directing development of products derived from botanical sources. The extraction methodologies include Supercritical CO₂ processing coupled with conventional extraction techniques that afford materials of unique Intellectual Property (IP) for purposes of proprietary patentable products.

President—Herbal Company

June 1998 to Present

President of an herbal products company specializing in vanilla, sandalwood, kava and other Pacific Island botanicals, as well as in providing training services in quality assurance and quality control in the pharmaceutical laboratory. These training services build on eighteen years experience in the research and development of analytical chemical techniques (e.g., FT-IR and FT-NMR, as well as other methods such as MS, AA, UV/VIS, GC, HPLC, column chromatography, and protein isolation/characterization), and their application to the identification/isolation of biomolecules for medicinal purposes and environmental monitoring.

Consultant—Analytical Methods for Medicinal Herbs and Plants

January 1996 to June 1998

Advise, coordinate, and supervise the implementation of laboratory instrumentation and procedures for the analysis of medicinal plants and herbs. Relevant conferences attended include: Annual National Nutritional Food Association Annual Meeting and Trade Show in Las Vegas, NV; Annual Natural Foods Expo West in Anaheim, CA; 1997 Medicinal Plants of the Americas Conference in Panama City, Panama; 1996 American Chemical Society Annual Meeting (Session on Plant Medicinals) in Orlando, FL.

Assistant Professor—Loma Linda University, School of Public Health

September 1993 to June 1995.

Organized and taught graduate courses on Urban Air Pollution and its Health Effects, and Techniques of Environmental Sampling and Analysis. Integrated computer system and Internet access into the curriculum and coordinated the development of the School of Public Health Web Page on the Internet. Director of a research program focused on ambient air pollution monitoring biogenic emissions of volatile organic compounds (VOC).

Postgraduate Research Fellow—UCR, Statewide Air Pollution Research Center (SAPRC)

May 1990 to May 1993.

Directed, supervised, and managed an experimental project that included one postdoctoral fellow, one technician, and various undergraduates in collaboration with computer airshed modeling efforts at SAPRC. A variety of VOCs in controlled environmental chamber experiments were studied while under contract to US-EPA, Coordinating Research Council, Chemical Manufacturers Association, and Dow Chemical.

Postdoctoral Research Fellow—University of Zürich, Switzerland, Institute for Plant Biology

May 1987 to November 1989.

Led investigation on determining the structure and function of the melanin pigment in the fungus *Agaricus bisporus* using FT-IR and solid state FT-NMR spectroscopies.

PUBLICATIONS AND PRESENTATIONS

Author or co-author of 11 papers and reports on subjects including Fourier-Transform Infrared Spectroscopy (FT-IR) and Fourier-Transform Nuclear Magnetic Spectroscopy (FT-NMR), and their application (along with other analytical and chemical methods such as MS, AA, UV/VIS, GC, HPLC, column chromatography, and protein isolation/characterization) to the identification/isolation of biomolecules. Presenter of 7 talks (invited speaker at 4th International Mycological Conference in Regensburg, Germany) on these subjects at international technical conferences.

INVENTOR ON ISSUED US PATENTS

Inventor of 7 issued US Patents:

US Patent 7,279,184	Methods and compositions comprising Ilex
US Patent 7,105,185	Kavalactone profile
US Patent 7,037,524	Oral delivery of a botanical
US Patent 7,001,620	Kavalactone product
US Patent 6,601,527	Method of cultivating piper methysticum plants
US Patent 7,291,352	Methods and compositions for oral delivery of Areca and mate' or theobromine

PROFESSIONAL ORGANIZATIONS

American Chemical Society

LEGAL CASES

1998 Product Non-Compliance --Kava Extract
2004 Patent Infringement --Magnolia Bark Extract
2006 Product Adulteration --- Botanical Extracts

LANGUAGES SPOKEN

German, French, Italian, Spanish

GENERAL

Dr. Pierce received his Ph.D. in Analytical Chemistry in 1986, and has held numerous positions in research and college level instruction specializing in laboratory practices, environmental health, quality assurance and control programs (i.e. record keeping, measuring with proper equipment, calibration of equipment, standard operating procedures, good laboratory practices, etc. are all integral components of the quality assurance and control program), and botanical intellectual property development. In his current capacity as a consultant and scientist, he currently develops innovative strategies including supercritical CO2 processing coupled with other conventional extraction techniques, in a more sophisticated fashion, that affords materials of pharmaceutical grade quality suitable for specific dosage forms in a variety of presentations ranging from topical applications to tinctures and baked goods, to name a few. Dr. Pierce is the inventor of seven issued patents in the United States where the strategies aforementioned have been reduced to practice. He also has formerly owned a laboratory analysis business specializing in the analysis of herbal and botanical preparations and products. As such, Dr. Pierce is well-equipped to prepare marijuana extractives and alternative dosage forms, to implement proper quality control processes, and to such techniques to capable individuals who will be the full time employees of Prime Wellness.

NAME: **Gilbert J. Fanciullo, MD, MS**

ADDRESS: Office:
 Department of Anesthesiology
 Pain Management Center
 Dartmouth-Hitchcock Medical Center
 One Medical Center Drive
 Lebanon, NH 03756
 Phone: 603-650-6040
 Fax: 603-650-8199
 Gilbert.J.Fanciullo@Hitchcock.org

EDUCATION:

<u>DATE</u>	<u>INSTITUTION</u>	<u>DEGREE</u>
1986 - 1988	Russell-Sage College Albany, NY	MS, Health Services Administration
1983 - 1987	Albany Medical College Albany, NY	MD
1969 - 1973	State University of New York, Albany, NY	BS
1965- 1969	Stuyvesant High School	

POSTDOCTORAL TRAINING:

<u>DATE</u>	<u>SPECIALTY</u>	<u>INSTITUTION</u>
2008-2009	Executive Education for Section Chiefs and Practice Managers	Amos Tuck School of Business Hanover, NH
1990 - 1991	Fellowship in Pain Management and Vascular, Thoracic, and Obstetric Anesthesia	Brigham and Women's Hospital Boston, MA
1988 - 1990	Residency in Anesthesiology	Brigham and Women's Hospital Boston, MA
1987 - 1988	Internship in Internal Medicine	Albany Medical Center Hospital Albany, NY

LICENSURE AND CERTIFICATION:

<u>DATE</u>	<u>LICENSURE/CERTIFICATION</u>
2012	Recertification, Pain Medicine
2010	Board Certification, Hospice and Palliative Medicine
2002 - 2004	Medical License, State of Vermont
2001	Recertification, Pain Medicine
2000-2008	Board Certification, Hospice and Palliative Medicine
1996	Medical License, State of NH
1993	Board Certification, Pain Management
1993	Board Certified Consultant, Anesthesiology

ACADEMIC APPOINTMENTS:

<u>DATE</u>	<u>ACADEMIC TITLE</u>	<u>INSTITUTION</u>
2006 - present	Professor of Anesthesiology	Dartmouth Medical School Hanover, NH
2000 - 2006	Associate Professor of Anesthesiology	Dartmouth Medical School Hanover, NH
1997 - 2000	Assistant Professor of Anesthesiology	Dartmouth Medical School Hanover, NH
1991 - 1995	Instructor in Anesthesia	Harvard Medical School Boston, MA
1988 - 1991	Fellow in Anesthesia	Harvard Medical School Boston, MA

HOSPITAL APPOINTMENTS:

<u>DATE</u>	<u>HOSPITAL TITLE</u>	<u>INSTITUTION</u>
1997 – present	Anesthesiologist, Pain Specialist	Mary Hitchcock Memorial Hospital Lebanon, NH
1995 - 1997	Anesthesiologist	Holy Name Hospital Teaneck, NJ
1992 - 1995	Anesthesiologist, Pain Specialist	Dana Farber Cancer Institute Boston, MA
1991 - 1995	Anesthesiologist	Brigham and Women's Hospital Boston, MA
1991 - 1995	Anesthesiologist	Goddard Memorial Hospital Stoughton, MA

OTHER PROFESSIONAL POSITIONS:

<u>DATE</u>	<u>POSITION TITLE</u>	<u>INSTITUTION/ORGANIZATION</u>
2000 - 2003	Director, Section of Palliative Medicine	Dartmouth-Hitchcock Medical Center Lebanon, NH
1999 - present	Director, Section of Pain Medicine	Dartmouth-Hitchcock Medical Center Lebanon, NH
1995 - 1997	Director, Pain Management Center	Holy Name Hospital Teaneck, NJ
1997 - 2002	Director, Pain Management Fellowship	Dartmouth-Hitchcock Medical Center Lebanon, NH
1995	Director, Pain Management Center	Brigham and Women's Hospital Boston, MA
1995 - 1997	President	Mid-Atlantic Pain Management Associates, Inc.

1994 - 1995	Associate Director, Pain Management Center	Brigham and Women's Hospital Boston, MA
1993 - 1996	President	New England Pain Diagnosis and Treatment
1987 - 1995	Lieutenant Commander	Medical Corp, United States Naval Reserve
1993 - 1995	Advisory Board Member	Syntex Laboratories, Inc.

MAJOR COMMITTEE ASSIGNMENTS AND CONSULTATIONS:**National/International:**

<u>YEAR</u>	<u>COMMITTEE</u>	<u>ROLE</u>	<u>INSTITUTION</u>
2010-2011	Scientific Program Committee	Chair	American Pain Society
2010	Clinical Program Committee	Chair	American Pain Society
2009- 2011	Board of Directors	Member	American Academy of Pain Medicine
2009-present	Clinical Center of Excellence Committee	Member	American Pain Society
2009	Clinical Program Committee	Member	American Pain Society
2006 - 2009	Opioid Clinical Practice Guidelines Committee	Co-Chairman	American Academy of Pain Medicine
2005 - present	Nominating Committee	Member	American Academy of Pain Medicine
2005 - 2007	Board of Directors	Member	American Pain Society
2003 - present	Nominating Committee	Member	American Pain Society
2000 - 2001	Review Course Committee	Chairman	American Academy of Pain Medicine
1998 - 1999	Pain Advisory Committee	Member	Blue Cross Blue Shield
1998 - 2001	Programming Committee	Member and Co-Director	American Academy of Pain Medicine
1997 - 2003	Pain Management Program Directors	Member	Association of Pain Management Program Directors
1997 - 2002	Pain Management Exam Committee	Member	American Board of Anesthesiology
1994 - 1995	Pain Analgesia Investigative Network on Outcomes Research	PAINOR Member	Multicenter research consortium; members appointed by the Morton Society

Regional:

<u>YEAR</u>	<u>COMMITTEE</u>	<u>ROLE</u>	<u>INSTITUTION</u>
1998 - 2000	Executive Committee	NH State	New England Pain Association

1994 - 1995	Massachusetts Workman's Compensation Chronic Pain Syndrome Guidelines Committee	Representative Member	Massachusetts Workman's Compensation
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Institutional:

<u>YEAR</u>	<u>COMMITTEE</u>	<u>ROLE</u>	<u>INSTITUTION</u>
2007 – 2010	Neurology Internal Review Committee	Chair	Dartmouth-Hitchcock Medical Center Lebanon, NH
2007 - present	Opioid Guidelines Committee	Chair	Dartmouth-Hitchcock Medical Center Dartmouth-Hitchcock Alliance Members Lebanon, NH
2006 - present	Department of Anesthesiology Promotions Committee	Member	Dartmouth-Hitchcock Medical Center Lebanon, NH
2005	Schmerzgruppe	Co-Director	Dartmouth-Hitchcock Medical Center Lebanon, NH
2004 - present	Information Systems Subcommittee	Member	Dartmouth-Hitchcock Medical Center Lebanon, NH
2000 - 2004	Norris Cotton Cancer Center Oversight	Member	Dartmouth-Hitchcock Medical Center Lebanon, NH
1998 - 2004	Neuropathic Pain Committee	Member	Dartmouth-Hitchcock Medical Center Lebanon, NH
1998 - 2000	Multidisciplinary Spine Center Seminar	Co-Director	Dartmouth-Hitchcock Medical Center Lebanon, NH
1998 - 1999	Complementary Therapy Review Committee	Chairman	Dartmouth-Hitchcock Medical Center Lebanon, NH
1997 - 2000	Dartmouth Medical School Pain Science Committee	Co-Chair	Dartmouth Medical School Hanover, NH
1994 - 1995	Anesthesia Department Finance and Management Committee	Member	Brigham and Women's Hospital Boston, MA
1993 - 1995	Operating Room Ethics Committee	Member	Brigham and Women's Hospital Boston, MA
1993 - 1995	Clinical Practice Committee	Secretary	Brigham and Women's Hospital Boston, MA
1992 - 1995	Medical Ethics Committee	Member	Brigham and Women's Hospital Boston, MA
1992 - 1995	Utilization Review Committee	Member	Brigham and Women's Hospital Boston, MA
1991 - 1995	Acute Postoperative Pain Committee	Member	Brigham and Women's Hospital Boston, MA

1985 - 1987	Medical School Admissions Committee	Member	Albany Medical College Albany, NY
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MEMBERSHIP, OFFICE & COMMITTEE ASSIGNMENTS IN PROFESSIONAL SOCIETIES:

<u>DATE</u>	<u>SOCIETY</u>	<u>ROLE</u>
2009	American Pain Society	Selected as candidate for President
2006 - 2009	American Academy of Pain Medicine, Opioid Clinical Practice Guidelines Committee	Co-Chair
2005 -2008	American Pain Society, Board of Directors	Member
2005 - present	American Academy of Pain Medicine, Ethics Committee	Member
2003 - 2004	American Pain Society, Nominating Committee	Member
2000	American Academy of Pain Medicine, Annual Meeting Review Course Committee	Chairman
1999 - 2011	American Academy of Hospice and Palliative Medicine	Member
1998 - 2000	American Board of Anesthesiology Pain Board Exam Review Committee	Member
1998 - 2000	New England Pain Association	New Hampshire State Representative
1998	American Academy of Pain Medicine, Annual Meeting Planning Committee	Member
1997 - present	American Academy of Pain Medicine	Member
1996 - present	International Neuromodulation Society	Member
1992 - present	New England Pain Association	Member
1989 - present	American Pain Society	Member
1989 - present	International Association for the Study of Pain	Member
1988 - present	American Society of Regional Anesthesia	Member
1988 - present	International Anesthesia Research Society	Member
1987 - present	American Society of Anesthesiologists	Member
1986 - present	Theta Chapter, Alpha Omega Alpha	Member

EDITORIAL BOARDS:

<u>DATE</u>	<u>ROLE</u>	<u>BOARD NAME</u>
2006 - 2012	Associate Editor	<i>Pain Physician</i>
2005 - 2012	Section Coeditor- Palliative Medicine	<i>Pain Medicine</i>

2004 - present	Member	<i>Journal of Opioid Management</i>
2004 - 2006	Member	<i>American Journal of Hospice and Palliative Medicine</i>
2003 - present	Member	<i>Pain Medicine</i>

AWARDS AND HONORS:

<u>DATE</u>	<u>AWARD NAME</u>
2010-12	Top Doctors in New Hampshire
2005- present	Best Doctors in America
2008	American Pain Society Center of Excellence award for DHMC Pain Center
2007	American Pain Society Center of Excellence award "runner-up" for DHMC Pain Center
1987	Alpha Omega Alpha
1987	Neil Hellman Prize of Albany Medical College
1987	Harold C. Wiggers Award of Albany Medical College for outstanding public service to the college and its students

JOURNAL REFEREE ACTIVITIES:

<u>DATE</u>	<u>JOURNAL NAME</u>
2005 - 2012	Section of Palliative Medicine Coeditor, <i>Journal of Pain</i>
2004 - present	Editorial Board, <i>Journal of Opioid Management</i>
2004 - 2006	Editorial Board, <i>American Journal of Hospice and Palliative Medicine</i>
2003 - 2012	Editorial Board, Section Editor, <i>Pain Medicine</i>
2002 - 2010	<i>Annals of Internal Medicine</i>
1999 - 2002	<i>Regional Anesthesia and Pain Medicine</i>
1998 - 2002	<i>Anesthesia & Analgesia</i>
1997 - 2006	<i>Spine</i>
1992 - 1996	<i>Journal of Pain and Symptom Management</i>

MAJOR RESEARCH INTERESTS:

1. The use of computers as tools to improve the quality of information generated at patient-provider encounters.
2. The use of opioids in patients suffering from non-terminal pain.

FUNDED RESEARCH PROJECTS:

1. Syntex Laboratories 1992 \$75,000
"Ketorolac vs. morphine in a P.C.A. device"
Principal Investigator: **Gilbert J. Fanciullo**
2. Glaxo, Inc 1993 \$75,000
1993 – 1994 "Ondansetron vs. droperidol for postoperative nausea"
Principal Investigator: **Gilbert J. Fanciullo**
3. Astra Pharmaceutical 1993 \$20,000
"EMLA cream as a pre-emptive analgesic for Cesarean Section"
Principal Investigator: **Gilbert J. Fanciullo**
4. Syntex Laboratories, Inc 1993 \$15,000
"Ketorolac vs. oxycodone for acute low back pain"
Principal Investigator: **Gilbert J. Fanciullo**
5. Medtronic, Inc 1998 \$72,000
"Dorsal column stimulation for intractable angina pectoris"
Principal Investigator: **Gilbert J. Fanciullo**
6. Astra Merck, Inc 1998 \$40,000
"Remacemide for the treatment of neuropathic pain"
Principal Investigator: **Gilbert J. Fanciullo**
7. Elan Pharma, Inc. 1999 \$6,000
"Ziconotide for the treatment of chronic pain"
Principle Investigator: **Gilbert J. Fanciullo**
8. 1 R43 MH62833-01 4/08/01 – 9/07/01 \$99,484
National Institutes of Health, National Institute of Mental Health
"Customized Pain Assessment" (SBIR, Phase I).
Development and testing of computer software that allows health professionals to customize their methods for pain assessment (SBIR, Phase I).
Principal Investigator: John C Baird
Co-investigator: **Gilbert J. Fanciullo**
9. 1 R43 NS42387-01 8/01/01 – 01/31/02 \$99,484
National Institutes of Health, National Institutes of Neurological Diseases and Stroke
"Assessment of Quality of Life by Patients in Pain" (SBIR, Phase I).
Test-retest reliability of computer software allowing patients and healthy individuals to give clustered ratings about quality-of-life variables.
Principal Investigator: John C. Baird
Co-investigator: **Gilbert J. Fanciullo**
10. PHS 2005-1 9/1/05 – 2/28/06 \$16,874
NCI
Integrating Patient-Reported Outcomes in Clinical Oncology Practice" (SBIR, Phase I)
Principal Investigator: John C. Weiss
Co-investigators: **Gilbert J. Fanciullo**, Dale Collins, Ira Byock
11. 2 R43 NS42387-02 09/01/03-08/31/05 \$710,633
National Institutes of Health, National Institutes of Neurological Diseases and Stroke
"Assessment of Quality of Life by Patients in Pain" (SBIR, Phase II)
Final testing of computerized method for assessing quality of life variables for patients in pain, including

sensitivity of method to medical intervention.

Principal Investigator: John C. Baird

Co-investigators: **Gilbert J. Fanciullo** and Robert N. Jamison

12. 2 R44 MH062833-02A209/16/04-08/31/06
"Computer Assessment of Pain" (SBIR, Phase II) \$761,031
Computer assessment of pain for chronic pain patients.
Principal Investigator: John C. Baird
Co-investigators: **Gilbert J. Fanciullo** and Robert N. Jamison
13. DHMC QRGF 2006-07 \$7,500
"Patient Perceived Quality of Chronic Pain Websites for Improved Patient Care in Clinic".
Mentor for anesthesiology resident Tabitha Washington
14. National Institutes of Health 12/07 – 11/09 \$165,747
"Children's Computer Assessment of Pain" (SBIR, Phase II).
Principal Investigator: John C. Baird
Co-investigator: **Gilbert J. Fanciullo**

TEACHING EXPERIENCE/CURRENT TEACHING RESPONSIBILITIES:

International

2004 External Preceptor for Irish Pain Oral Board Exams, Trinity College, Dublin, Ireland

Dartmouth Medical School:

<u>DATE</u>	<u>TEACHING</u>
2010	Preceptor for DMS student Eric Ha for research project conducted using Pain Center data base. Submitted for publication.
1999 - 2000	Preceptor for Clinical Research Project conducted by DMS 3 student Michael Bradley. Manuscript published in Int J Pain and Pall Med, 2001. Mentor for Michael in all aspects of research project including planning, data acquisition, analysis, and manuscript preparation.
1997 - 1998	Director, DMS III Clinical Pain Course, an intensive day long introduction to clinical pain management with lectures from different experts and patient presentations. Students conduct patient interviews and techniques and style are critically appraised by staff physicians and nurses. Common pain problems such as acute postoperative pain, opioid use in non-cancer pain, cancer pain, and chronic pain are addressed. Responsibilities include organization, supervision and criticism of faculty, patient and student participants
1998 - present	Instructor, DMS III Clinical Pain Course. Lectures addressing the ethics and use of opioids for non-cancer pain and implantable therapies for chronic and cancer pain management.
1997 - 2008	Lecturer, DMS II Scientific Basis of Medicine Neurology Course. An introductory lecture on clinical assessment, diagnosis and treatment options for the patient presenting with pain as a chief complaint.
1997 - present	Preceptor, DMS III and IV Pain Management Center Rotation. Medical Students individually rotate on the Pain Service and gain experience rounding on acute, chronic and cancer pain patients within the hospital as well as seeing outpatients in the office.

Resident:

<u>DATE</u>	<u>TEACHING</u>
2010	Mentor for resident Ravneet Bhullar whose research manuscript has been submitted for publication.
2005 - 2007	Mentor for Anesthesiology resident Tabitha Washington conducting clinical research project (QGRP Grant).
2003 - 2005	Supervise Anesthesiology resident Ryan Loyd for clinical research project for which he received a QGRP grant and subsequently an NIH-LRP grant for two years and resulted in two publications.
2002 - 2003	Supervise General Surgery resident Freeman Suber for clinical research project for which he received a QGRP grant.
2000 - 2003	Supervise and instruct residents from Anesthesiology, Family Practice, Internal Medicine, and other disciplines in Palliative Medicine.
1997 - present	Supervise and instruct residents from Anesthesiology, Family Practice, Internal Medicine and Neurosurgery in Pain Medicine.
1997 - present	Lecture and supervise resident and fellow pain lecture series which includes a daily (3 days per week) thirty minute lecture on a topic in pain medicine.
1997 - present	Coordinate and supervise monthly multidisciplinary pain seminar which involves an invited local or national speaker.
1991 - 1995	Supervise and teach residents, fellows and medical students from Harvard Medical School and affiliated hospitals.

PHILANTHROPY:

Obtained philanthropic support for Palliative Medicine Service totaling approximately \$12 million.

INVITED PRESENTATIONS:**Regional:**

<u>DATE</u>	<u>TOPIC</u>	<u>ORGANIZATION</u>	<u>LOCATION</u>
1983	Pneumococcal Types at Albany V.A. Medical Center: A Four Year Study	Albany V.A.M.C.	Albany, NY
1985	Studies of the Antimicrobial Actions of Quinolones Singly and in Combination with Other Antimicrobial Agents	Upstate Infectious Disease Group	Syracuse, NY
1990	Pain Management	Harvard Medical School	Boston, MA
1991	Parenteral Analgesia in the Emergency Department	Salem Hospital	Salem, MA
1992	Treatment of Chronic Pain	Psychiatry Grand Rounds; Brigham and Women's Hospital	Boston, MA

1992	Epidural Infusions for Postoperative Management	"Schmerzgruppe"; Brigham and Women's Hospital	Boston, MA
1993	Identification of Fluid Aspirated Via Spinal Catheter	Joint Research Seminar, Brigham and Women's Hospital	Boston, MA
1993	Tricyclic Antidepressants for Treatment Of Acute Pain	"Schmerzgruppe"; Brigham and Women's Hospital	Boston, MA
1993	Pain, The Final Frontier	Harvard Medical School Scientific Symposium on Research in Anesthesia Brigham and Women's Hospital	Boston, MA
1993	Overview of Agency for Health Care Policy and Research Clinical Practice Guidelines for Acute Pain Management	Symposium for Primary Care Providers	Boston, MA
1994	Post Operative Pain Management	Surgical Grand Rounds, West Roxbury VAMC Hospital	Boston, MA
1994	Analgesia For Thoracic Surgical Procedures	Brigham and Women's Hospital Thoracic Staff Retreat, Babson College	Wellesley, MA
1994	Principles Of Pain Management	Berkshire Community College	Pittsfield, MA
1994	Acute Pain Management	Norwood Hospital Anesthesia Department	Norwood, MA
1995	Chronic Pain	Psychiatry Grand Rounds; Holy Name Hospital	Teaneck, NJ
1996	Opioid Pharmacology	Grand Rounds; Holy Name Hospital	Teaneck, NJ
1996	Treatment of Chronic Pain	Bergen County Health Fair	Hackensack, NJ
1997	Epidural Infusions	Anesthesiology Grand Rounds; Dartmouth-Hitchcock Medical Center	Lebanon, NH
1997	Analgesic Pharmacology	Anesthesiology Grand Rounds; Dartmouth-Hitchcock Medical Center	Lebanon, NH

1997	Acute Pain Management	General Surgery Grand Rounds; Dartmouth-Hitchcock Medical Center	Lebanon, NH
1997	Pain Management After Orthopedic Surgery	Orthopedic Surgery Grand Rounds; Dartmouth-Hitchcock Medical Center	Lebanon, NH
1998	Cancer Pain Management	Oncology Rounds Dartmouth-Hitchcock Medical Center	Lebanon, NH
1998	Diagnosis and Treatment in Clinical Pain Management	Neurology Annual Meeting	Woodstock, VT
1998	Interventional Pain Management	Oncology Rounds Dartmouth-Hitchcock Medical Center	Lebanon, NH
1998	Complex Regional Pain Syndrome	Orthopedic Surgery Grand Rounds Dartmouth-Hitchcock Medical Center	Lebanon, NH
1998	Chronic Pain Management	Rheumatology Grand Rounds; Dartmouth-Hitchcock Medical Center	Lebanon, NH
1998	Implantable Therapies: An Evidence Based Approach	Anesthesiology Grand Rounds; Dartmouth-Hitchcock Medical Center	Lebanon, NH
1998	Implantable Therapies: An Evidence Based Approach	New Hampshire/Vermont Society of Anesthesiologist Annual Meeting	Lebanon, NH
1998	Implantable Therapies: An Evidence Based Approach	Neurosurgery/Neurology Grand Rounds; Dartmouth-Hitchcock Medical Center	Lebanon, NH
1999	Anesthesiology Headache Management: Headache Management for Primary Care Providers	Dartmouth Medical School	Lebanon, NH
1999	Analgesic Pharmacology	Grand Rounds, Rutland Reg. Medical Center	Rutland, VT
2000	Cutting Edge Pain Management	Keynote Speaker, Practical Pain Management for	Durham, NH

		Practicing Physicians	
2000	Management of Chronic Pelvic Pain	Obstetrics and Gynecology Grand Rounds; Dartmouth-Hitchcock Medical Center	Lebanon, NH
2001	Implantable Therapies in the Management of Spinal and Radicular Pain	Spine Center Grand Rounds; Dartmouth-Hitchcock Medical Center	Lebanon, NH
2001	Management of Chronic Pain	Grand Rounds; Alice Peck Day Hospital	Lebanon, NH
2001	Post Anesthesia Care Unit Pain Management	PACU 2001: A Perianesthesia Odyssey Dartmouth-Hitchcock Medical Center	Lebanon, NH
2001	Management of Chronic Pain	Internal Medicine Grand Rounds; Dartmouth-Hitchcock Medical Center	Lebanon, NH
2001	Palliative Medicine	Radiation Oncology Grand Rounds; Dartmouth-Hitchcock Medical Center	Lebanon, NH
2001	Basic Neuroanatomy; Anatomy and Safety and Radiology Implantation Techniques for Neurostimulation	Interventional Training Workshop	Boston, MA
2001	Complications Management and Troubleshooting Algorithms for Neurostimulation Systems	Interventional Training Workshop	Boston, MA
2002	End of Life Care for Patients with Non Cancer Diagnoses	Internal Medicine Grand Rounds; Dartmouth-Hitchcock Medical Center	Lebanon, NH
2002	Treatment of Acute Pain	DHMC Pain Day General Surgery Grand Rounds; Dartmouth-Hitchcock Medical Center	Lebanon, NH
2002	Pediatric Palliative Medicine	Pediatrics Grand Rounds Dartmouth-Hitchcock Medical Center	Lebanon, NH
2002	Palliative Medicine	Psychiatry Grand Rounds; Dartmouth-	Lebanon, NH

		Hitchcock Medical Center	
2002	Uses, History of, and Controversies Surrounding the Use of Opioids	NEPA Annual Meeting	Woodstock, VT
2002	Perioperative Pain Management for Anesthesiologists; End of Life Care	New England Society of Anesthesiologists Annual Fall Conference	Scarborough, ME

I have stopped recording local and regional lectures in 2002 but have continued to speak locally and regionally approximately ten times per year.

National:

<u>DATE</u>	<u>TOPIC</u>	<u>ORGANIZATION</u>	<u>LOCATION</u>
1983	Pneumococcal Capsular Polysaccharide Types: A Four Year Study	Interscience Conference on Antimicrobial Agents and Chemotherapy	Las Vegas, NV
1990	Celiac Plexus Block	Harvard Medical School Regional Anesthesia Update	Boston, MA
1991	Opiate Pharmacology	American Society of Regional Anesthesia conference on acute pain management	Scottsdale, AZ
1991	Femoral Nerve Block, 3-in-1 Block	American Society of Regional Anesthesia conference on acute pain management	Scottsdale, AZ
1991	Patient Controlled Epidural Analgesia	American Society of Regional Anesthesia conference on acute pain management	Scottsdale, AZ
1991	NSAIDs-Ketorolac	American Society of Regional Anesthesia conference on acute pain management	Scottsdale, AZ
1991	Anesthesia: Approach to Chronic Pain	Tufts, New England Medical Center Hospitals	Boston, MA

1991	Epidural Infusions for Postoperative Pain Relief	Harvard Medical School Regional Anesthesia Update	Boston, MA
1991	Thoracic Analgesia	Harvard Medical School Regional Anesthesia Update	Boston, MA
1991	Pain Management	Harvard Medical School Anesthesia Industry Course	Boston, MA
1991	Treatment of Chronic Pain	Harvard Medical School Intensive Review of Neurology	Boston, MA
1992	Pain Management	Harvard Medical School Anesthesia Industry Course	Boston, MA
1992	Epidural Infusions for Postoperative Pain Relief	Harvard Medical School Anesthesia Industry Course	Boston, MA
1993	Overview of Agency for Health Care Policy and Research Clinical Practice Guidelines for Acute Pain Management	Symposium for Primary Care Providers	Portland, OR
1993	Overview of Agency for Health Care Policy and Research Clinical Practice Guidelines for Acute Pain Management	Symposium for Primary Care Providers	Philadelphia, PA
1993	Overview of Agency for Health Care Policy and Research Clinical Practice Guidelines for Acute Pain Management	Symposium for Primary Care Providers	Marquis, NY
1993	Overview of Agency for Health Care Policy and Research Clinical Practice Guidelines for Acute Pain Management	Symposium for Primary Care Providers	Nashville, TN
1993	Outpatient Management of Acute Pain	Symposium for Primary Care Providers	Baltimore, MD
1993	Overview of Agency for Health Care Policy and Research Clinical Practice Guidelines for Acute Pain Management	Symposium for Primary Care Providers	Salt Lake City, UT
1993	Epidural Infusions for Postoperative Pain Relief	Beth Israel Hospital	Boston, MA
1993	Complications of Pain Management Procedures	Anesthesia Grand Rounds, Beth Israel Hospital	Boston, MA
1993	Autonomic Pain Syndromes	Harvard Medical School Comprehensive Review in Pain Management	Boston, MA
1993	Regional Techniques for Thoracic Analgesia	Harvard Medical School Thoracic Anesthesia Update	Boston, MA
1993	Epidural Infusions for Postoperative Pain Relief	Harvard Medical School Regional Anesthesia Update	Boston, MA

1993	Celiac Plexus Block	Harvard Medical School Regional Anesthesia Update	Boston, MA
1994	Overview of Agency for Health Care Policy and Research Clinical Practice Guidelines for Acute Pain Management	Symposium for Primary Care Providers	Memphis, TE
1994	Overview of Agency for Health Care Policy and Research Clinical Practice Guidelines for Acute Pain Management	Symposium for Primary Care Providers	Buffalo, NY
1994	Overview of Agency for Health Care Policy and Research Clinical Practice Guidelines for Acute Pain Management	Symposium for Primary Care Providers	Garden City, NY
1994	Overview of Agency for Health Care Policy and Research Clinical Practice Guidelines for Acute Pain Management	Symposium for Primary Care Providers	St. Louis, MO
1994	Acute Pain Management	Ordner Medical Society Meeting	Jacksonville, NC
1994	Epidural Infusions for Postoperative Pain Relief	Hospital for Special Surgery	New York, NY
1994	Acute Pain Management	Medical Center at Princeton	Princeton, NJ
1995	Pain Management for the Primary Care Provider	Adirondack Independent Practice Association Annual Conference	Lake Placid NY
1997	Pharmacological Strategies in the Management of Pain	University of Massachusetts Medical Center Symposium on Pain: a Professional Overview	Worcester, MA
1998	Acute Pain Management	Anesthesiology Grand Rounds; Fletcher Allen Hospital University of Vermont	Burlington, VT
1998	“Palm Micros” For Data Collection And Streamlining Clinical Studies	Paperless Clinical Trials Conference	San Diego, CA
1999	Myofascial Pain Syndrome: Diagnosis, Treatment, and Controversies	American Academy of Pain Medicine 15 th Annual Meeting	Palm Springs, CA
1999	Acute Pain Management	American Academy of Pain Medicine 15 th Annual Meeting	Palm Springs, CA
1999	Workshop: Pros and Cons in the Use of Botulinum Toxin	American Academy of Pain Medicine 15 th Annual Meeting	Palm Springs, CA

1999	Spinal Cord Stimulation and Intrathecal Analgesia	American Academy of Pain Medicine 15 th Annual Meeting	Palm Springs, CA
1999	Regional Techniques for Postoperative Pain	American Society of Regional Anesthesia Meeting	Boston, MA
1999	Thoracic Epidurals and Neuraxial Techniques	American Society of Regional Anesthesia Meeting	Boston, MA
2000	Anatomy And Neurophysiology of Nociception	The Second Annual Michael Stanton Hicks Pain Management and Regional Anesthesia Symposium	Key West, FL
2000	Cancer Pain Management	The Second Annual Michael Stanton Hicks Pain Management and Regional Anesthesia Symposium	Key West, FL
2000	Neurolytic Blockade	The Second Annual Michael Stanton Hicks Pain Management and Regional Anesthesia Symposium	Key West, FL
2000	Botulinum Toxin for Pain Management	The Second Annual Michael Stanton Hicks Pain Management and Regional Anesthesia Symposium	Key West, FL
2000	Acute Pain Management	American Academy of Pain Medicine 16 th Annual Meeting	New Orleans, LA
2000	Spinal Cord Stimulation and Intrathecal Drug Administration Systems	American Academy of Pain Medicine 16 th Annual Meeting	New Orleans, LA
2000	Opioids for Nonmalignant Pain	American Academy of Pain Medicine 16 th Annual Meeting	New Orleans, LA
2000	Assessing New Technologies in Pain Management	Grand Rounds, New York University Medical Center	New York, NY
2001	Palliative Care	American Academy of Pain Medicine 17 th Annual Meeting	Miami Beach, FL
2001	Newer Technologies in the Management of Chronic Pain	Tufts New England Medical Center Anesthesiology Grand Rounds	Boston, MA
2001	Complex Regional Pain Syndromes	Principles and Practice of Pain Medicine Harvard Medical School	Boston, MA

2001	Innovative Uses of Spinal Cord Stimulation	Principles and Practice of Pain Medicine Harvard Medical School	Boston, MA
2001	Spinal Cord Stimulation Workshop	Principles and Practice of Pain Medicine Harvard Medical School	Boston, MA
2002	Mechanism Based Pain Diagnosis and Treatment	Eastern Pain Association Scientific Meeting	New York, NY
2002	Spinal Cord Stimulation; Principles and Practice of Pain Medicine	Harvard Medical School	Boston, MA
2002	Complex Regional Pain Syndromes; Principles and Practice of Pain Medicine	Harvard Medical School	Boston, MA
2003	The Use of Opioids for Chronic Noncancer Pain	Massachusetts General Hospital	Boston, MA
2003	Spinal Analgesia	Principles and Practice of Pain Medicine Harvard Medical School	Boston, MA
2003	Cancer Pain and Palliative Care in Adults	Principles and Practice of Pain Medicine Harvard Medical School	Boston, MA
2003	Evidence Based Interventional Pain Treatment	New England Pain Association	Manchester, NH
2004	Palliative Medicine	American Society of Regional Anesthesia	Phoenix, AZ
2004	Spinal Analgesia	Principles and Practice of Pain Medicine Harvard Medical School	Boston, MA
2004	Cancer Pain and Palliative Care in Adults	Principles and Practice of Pain Medicine Harvard Medical School	Boston, MA
2005	Spinal Analgesia	Principles and Practice of Pain Medicine Harvard Medical School	Boston, MA
2005	Complex Regional Pain Syndrome	Principles and Practice of Pain Medicine Harvard Medical School	Boston, MA
2005	Cancer Pain	American Society of Regional Anesthesia	Miami, FL
2005	Palliative Medicine, Overview	American Society of Regional Anesthesia	Miami, FL
2005	Treatment of Nausea and Vomiting in Patients With Cancer	American Society of Regional Anesthesia	Miami, FL

2005	Management of Opioid Induced Constipation	American Society of Regional Anesthesia	Miami, FL
2005	Pain Medicine for Primary Care: Opioid Prescribing	New England Pain Association and Boston Pain Forum	Boston, MA
2006	Theory and Technique- Implantable Pain Therapies	Course Director	Minneapolis, MN
2006	Theory and Technique- Implantable Pain Therapies	Course Director	New York City, NY
2006	Ordering and Interpretation of Urine Toxicology Tests	American Society of Regional Anesthesia	San Francisco, CA
2006	Palliative Medicine, Overview	American Society of Regional Anesthesia	San Francisco, CA
2006	Chronic Pain in the Cancer Survivor	American Society of Regional Anesthesia	San Francisco, CA
2006	Opioids for Chronic Non-Cancer Pain	New England Pain Association	Woodstock, VT
2006	The Use of Opioids for Chronic Musculoskeletal Pain	New England College of Occupational and Environmental Medicine	Bedford, MA
2007	Complex Regional Pain Syndrome	Principles and Practice of Pain Medicine Harvard Medical School	Boston, MA
2007	Spinal Analgesia	Principles and Practice of Pain Medicine Harvard Medical School	Boston, MA
2007	Using Computers to Assist in Substance Screening in Pain Medicine	The Third Annual Dartmouth Symposium on Substance Use; NH Physicians Conference on Addiction Medicine	Hanover, NH
2008	(Moderator and Speaker) Clinical Guidelines on Chronic Opioid Therapy in Chronic Noncancer Pain: Process and Progress Report	American Pain Society 27 th Annual Scientific Meeting	Tampa, FL
2008	Advances in Pain Management: Emerging Strategies and Clinical Innovations	American Pain Society 27 th Annual Scientific Meeting	Tampa, FL
2008	Is Chronic Opioid Therapy Suitable for the Management of Chronic Pain	American Society of Anesthesiologists Annual Meeting	Orlando, FL
2008	Evidence-based Guidelines for Opioid Therapy of Chronic Nonmalignant Pain	International Association for Pain and Chemical Dependency Annual Meeting	Philadelphia, PA

2009	Evidence-based Guidelines for Opioid Therapy of Chronic Nonmalignant Pain	American Pain Society 28 th Annual Scientific Meeting	San Diego, CA
2009	Evidence-based Guidelines for Opioid Therapy of Chronic Nonmalignant Pain	Beth Israel Deaconess Medical Center Invited Lecturer	Boston, MA
2009	Chronic Pain: Clinical and Neurochemical Features	Red Rock Casino, Hershey Medical Center	Las Vegas, NV
2010	Mechanisms and Neuroplasticity of Pain	American Academy of Pain Medicine Annual Meeting	San Antonio, Texas
2010	Spinal Analgesia	Principles and Practice of Pain Medicine Harvard Medical School	Boston, MA
2010	Cancer Pain and Palliative Care	Principles and Practice of Pain Medicine Harvard Medical School	Boston, MA
2011	Pain Outcomes Evaluation Tool (POET)	American Academy of Pain Medicine Annual Meeting	Washington, DC
2011	Pain Outcomes Evaluation Tool (POET)	American Pain Society Annual Meeting	Austin, TX
2011	Opioid Pharmacology	American Pain Society Annual Meeting	Austin, TX
2012	Rational Opioid Management	American Society of Regional Anesthesia and Pain Medicine Annual Meeting	Miami, FL
2012	Pitfalls in Pain Procedures	American Society of Regional Anesthesia and Pain Medicine Annual Meeting	Miami, FL
2013	Chronic Pain and Opioids	Boston University Work Related Injuries	Waltham, MA
2013	Rational Opioid Management	Vermont Ethics Network	Burlington, VT
2013	PROP and the Future of Opioids	International Conference on Opioid Use	Boston, MA

International:

<u>DATE</u>	<u>TOPIC</u>	<u>ORGANIZATION</u>	<u>LOCATION</u>
1995	Chronic Pain Management Sympathetic Blocks in Chronic Pain	McGill Review Course in Anesthesiology	Montreal, Canada

MEDIA

2011 Filmed for Physicians for Responsible Opioid Prescribing (PROP)

2010 Filmed for Discovery Chanel program on opioids for chronic pain

2009 Interviewed and cited by Associated Press (Baltimore Sun, Chicago Tribune), Wall Street Journal, New York Times, National Public Radio (All Things Considered), JAMA

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Journal Articles:

Original Articles:

1. **Fanciullo GJ**, Baltch AL, Smith RP, Hollick G, Shayegani M. A five year serotyping of 1458 pneumococcal isolates with an analysis of 84 patients with bacteremia. *Southern Medical Journal*, 1986; 9(11):1370-5.
2. Baltch AL, Bassey C, **Fanciullo GJ**, Smith RP. In Vitro antimicrobial activity of enoxacinin combination with eight other antibiotics against *Pseudomonas aeruginosa*, *Enterobacteriaceae*, and *Staphylococcus aureus*. *Journal of Antimicrobial Chemotherapy*, 1987; 19:45-8.
3. Camann WR, Loferski BL, **Fanciullo GJ**, Stone ML, Datta S. Does epidural administration of butorphanol offer any clinical advantage over the intravenous route? *Anesthesiology*, 1992; 76:216-20.
4. Ferrante FM, **Fanciullo GJ**, Grichnik KP, Vaisman J, Sacks GM, Concepcion MA. Regression of sensory anesthesia during continuous epidural infusions of bupivacaine and opioid for total knee replacement. *Anesth Analg*, 1993; 77:1179-84.
5. Steinbrook RA, Hughes N, **Fanciullo GJ**, Manzi D, Ferrante FM. Effects of alkalinization of lidocaine on the pain of skin infiltration and intravenous catheterization. *J Clin Anesth*, 1993; 5:456-8.
6. O'Hara D, **Fanciullo GJ**, Hubbard L, Maneatis T, Seuffert P, Bynum L, Shefrin A. Evaluation of the safety and efficacy of ketorolac versus morphine by patient-controlled analgesia device for postoperative pain. *Pharmacotherapy*, 1997; 17(5):891-9.
7. **Fanciullo GJ**, Rose RJ, Lunt PG, Whalen PK, Ross E. The State of Implantable Pain Therapies in the United States: A Nationwide Survey of Academic Teaching Programs (with editorial). *Anesth Analg*, 1999; 88:1311-6.
8. **Fanciullo GJ**, Robb JF, Rose RJ, Sanders JH. Spinal Cord Stimulation for Intractable Angina Pectoris: A Report of Two Cases. *Anesth Analg*, 1999; 89:305-6.
9. Bradley MP, **Fanciullo GJ**, Ahles TA, Seville J, DeLeo JA, Wasson JH. Characteristics and Outcomes of Patients with Chronic Pain Receiving Multidisciplinary Pain Subspecialist Care and Primary Generalist Care. *Int J Pain Med PallCar*, 2001; 1(1):23-26.
10. **Fanciullo GJ**, Hanscom B, Seville J, Ball P, Rose RJ. An Observational Study of the Frequency and Pattern of Use of Epidural Steroid Injection in 25,479 Patients with Spinal and Radicular Pain (with editorial). *Reg Anesth Pain Med*, 2000; 26(1):5-11.
11. **Fanciullo GJ**, Ball PA, Girault GJ, Rose RJ, Hanscom B, Weinstein JN. An Observational Study of the Prevalence and Pattern of Opioid Use in 25,479 Patients with Spine and Radicular Pain. *Spine*, 2002; 27(2):201-205.
12. Huraibi H, Phillips J, Rose R, Pallitroni H, Westbrook H, **Fanciullo GJ**. Intrathecal Baclofen Pump Implantation Complicated by Epidural Lipomatosis. *Anesth Analg*, 2000; 91:429-31.
13. **Fanciullo GJ** and Cobb J. The Use of Opioids for Chronic Non-Cancer Pain. *Int J Pain Med Pall Care*, 2001; 1(2):49-55.

14. **Fanciullo GJ**, Jamison RN, Chawarski MC, Baird JC. Computer Method for Rating Quality of Life: Comparison of Chronic Pain Patients and Healthy Controls. *Pain Med*, 2001; 2(4):298-308.
15. Lopez A, Beecham JB, Rose RJ, Beasley R, **Fanciullo GJ**. Triple Analgesic Intraspinal Therapy in a Patient with Metastatic Cervical Carcinoma. *Int J Pain Med Pall Care*, 2002; 1(3):105-106.
16. Katz N, **Fanciullo GJ**. The Role of Urine Toxicology Testing in the Management of Chronic Opioid Therapy. *Clin J Pain*, 2002; 18:S76-S82.
17. **Fanciullo GJ**, Hanscom B, Weinstein JN, Chawarski MC, Baird JC. Cluster Analysis Classification of SF-36 Profiles for Patients with Spinal Pain. *Spine*, 2003; 28(19):2276-2282.
18. Baltic T, Whedon MB, Ahles TA, **Fanciullo G**. Improving Pain Relief in a Rural Cancer Center. *Cancer Practice*, 2002; 10(1):S39-44.
19. Katz N, Sherburne S, Vielguth J, Rose RJ, **Fanciullo GJ**. Behavioral Monitoring and Urine Toxicology Testing in Patients Receiving Long-Term Opioid Therapy. *Anesth Analg*, 2003; 97:1097-102.
20. **Fanciullo GJ**, Jamison RN, Chawarski MC, Baird JC. Reliability and Validity of an Interactive Computer Method for Rating Quality of Life. *Pain Medicine*, 2003; 4(3):257-268.
21. Ball PA, **Fanciullo GJ**. Pont de Dolor: A Technique for Placing and Securing a Resumé Electrode in the Epidural Space and Comments About Anatomical Variation That May Complicate Spinal Cord Stimulation Electrode Placement. *Neuromodulation*, 2003; 6(2):92-4.
22. Ruland CM, White T, Stevens M, **Fanciullo G**, and Khilani SM. Effects of Computerized System to Support Shared Decision Making in Symptom Management of Cancer Patients: Preliminary Results. *J Med Inform Assoc*, 2003; 10(6):573-579.
23. Sites B, Beach M, Biggs R, Rohan C, Wiley C, Rassias A, Gregory J, **Fanciullo GJ**. Intrathecal Clonidine Added to a Bupivacaine-morphine Spinal Improves Postoperative Analgesia for Total Knee Arthroplasty. *Anesth Analg*, 2003; 96:1083-8.
24. Bakitas M, Hopkins S, Thomas C, Varma S, **Fanciullo GJ**. Development of a clinical care screening tool to assess patient-defined, nonphysiological palliative care needs. *J Terminal Onc*, 2003; 2(2):75-85.
25. Jamison JN, **Fanciullo GJ**, Baird JC. Computerized Dynamic Assessment of Pain: Comparison of Chronic Pain Patients and Healthy Controls. *Pain Medicine*, 2004; 5(2):168-177.
26. Jamison JN, **Fanciullo GJ**, Baird JC. Usefulness of pain drawings in identifying real or imagined pain: Accuracy of pain professionals, nonprofessionals, and a decision model. *The Journal of Pain*, 2004; 5(9):476-482.
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28. Loyd R, Ball P, **Fanciullo GJ**. Surgical Procedures for Intractable Cancer Pain. *Tech RAPM*, 2005; 9(3):167-177.
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30. Jamison RN, **Fanciullo GJ**, McHugo GJ, Baird JC. Validation of the Short-Form Interactive Computerized Quality of Life Scale (ICQOL-SF). *Pain Medicine*, 2007; 8(3):243-50.

31. Katz N, Houle B, Budman SH, Fernandez KC, Villapiano A, Parker S, **Fanciullo GJ**, Butler SF. Implementing a Screening Tool for Risk of Opioid Abuse: The SOAPP® Dissemination Study. Submitted for publication.
32. **Fanciullo GJ**, Cravero JP, Mudge BO, McHugo GJ, Baird JC. Development of a New Computer Method to Assess Children's Pain. *Pain Medicine*, 2007; 8(S3):121-128.
33. Burton AW, **Fanciullo GJ**, Beasley RD, Fisch MJ. Chronic Pain in the Cancer Survivor: A New Frontier. *Pain Medicine*, 2007; 8(2):189-198.
34. Curtis K, Henriques H, **Fanciullo GJ**, Suber F. Time to First Analgesia Following Trauma in an Emergency Department. *Journal of Trauma*, 2007; 62:819-826.
35. Curtis KM, Henriques HF, Fanciullo G, Reynolds CM, Suber F. A fentanyl-based pain management protocol provides early analgesia for adult trauma patients. *Journal of Trauma*, 2007; 63(4):819-826.
36. Washington T, **Fanciullo GJ**, Sorensen JA, Baird JC. Quality of Chronic Pain Websites. *Pain Medicine* 2008;9(8):994-1000.
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38. Podichetty V, **Fanciullo GJ**, Weiss L, Baird JC. Information Technology in the Management of Pain. *Pain Medicine*, 2007; 8(S3):189-198.
39. Krebs EE, MD, Lurie JD, **Fanciullo GJ**, Tosteson TD, Blood EA, Carey TS, Weinstein JN. Predictors of long-term opioid use among patients with painful lumbar spine conditions. *Journal of Pain* 2010;11(1):44-52.
40. Jamison RN, Washington TA, **Fanciullo GJ**, Ross EL, McHugo GJ, Baird JC. Do Implantable Devices Improve Mood? Comparisons of Chronic Pain Patients With or Without an Implantable Device. *Neuromodulation*, 2008;11(4):260-66.
41. Chou R, **Fanciullo GJ**, Fine PG, et. al. Opioid Treatment Guidelines: Clinical Guidelines for the Use of Chronic Opioid Therapy in Chronic Noncancer Pain. *Journal of Pain*, 2009;10(2):113-130.
42. Chou R, Ballantyne JC, **Fanciullo GJ**, Fine PG, Miaskowski C. Research gaps on use of opioids for chronic noncancer pain. Findings from a review of the evidence for an American Pain Society and American Academy of Pain Medicine clinical practice guideline. Accepted for publication, *Journal of Pain*, 2009;10:147-159.
43. Chou R, **Fanciullo GJ**, Fine PG, Miaskowski C, Passik SD, Porteneoy RK. Opioids for chronic noncancer pain: prediction and identification of aberrant drug-related behaviors. A review of the evidence for an American Pain Society and American Academy of Pain Medicine clinical practice guideline. *Journal of Pain*, 2009;10:131-146.
44. Gular P, Rodi S, Washington TA, Cravero JP, **Fanciullo GJ**, McHugo GJ, Baird JC. Computer face scale for measuring pediatric pain and mood. *Journal of Pain*, 2009;10:173-179.
45. Butler SF, Budman SH, Fernandez KC, **Fanciullo GJ**, Jamison RN. Cross-validation of a screener to predict opioid misuse in chronic pain patients. *J Addict Med* 2009;3(2):66-73.
46. **Fanciullo GJ**. Medical cannabis. *J Opioid Manage*. 2009; 5(5):245-246.
47. Butler, S.F., Budman, S.H., **Fanciullo, G.J.**, & Jamison, R.N. Cross validation of the current opioid misuse measure to monitor chronic pain patients on opioid therapy. *Clinical Journal of Pain*, Nov/Dec 2010; 26(9):770-776.
48. Jamison RN, Washington TA, Gular P, **Fanciullo GJ**, Arscott, JR, McHugo GJ, Baird JC. Reliability of a Preliminary Three-Dimensional Pain Mapping Program. *Pain Medicine*, 2011;12:344-351.

49. **Fanciullo GJ**, Washington T. Best Practices to Reduce the Risk of Drug-Drug Interactions: Opportunities for Managed Care. *Am J Manage Care*, 2011;17(11);S299-304.
50. **Fanciullo GJ**. Who receives opioids for acute pain in emergency departments? Considering evidence, patient and provider preferences. *Pain* 2012

Abstracts:**Presented at National Meetings:**

1. Ferrante FM, **Fanciullo GJ**, Kistler P, Katz N, Concepcion M. Unpredictability of sensory level regression during continuous postoperative epidural infusion of 0.25% bupivacaine with and without opiates. Abstract, American Society of Anesthesiologists Annual Meeting, San Francisco, CA, 1991.
2. Flanagan HL, **Fanciullo GJ**, Walsh D, Ferrante FM. Safety and efficacy of post-operative continuous epidural narcotic-local anesthetic infusions on surgical floors. Oral Presentation, American Society of Anesthesiologists Meeting, Washington DC, 1993.
3. Flanagan HJ, **Fanciullo GJ**, Walsh D, Ferrante FM. Engineering specifications to prevent critical incidents related to epidural infusion pump design. American Society of Anesthesiologists Meeting, Washington DC, 1993.
4. **Fanciullo GJ**, Hubbard L, O'Hara D. Evaluation of intravenous ketorolac and morphine in a patient controlled analgesia device. American Society of Anesthesiologists Meeting, San Francisco, CA, 1994.
5. Body S, **Fanciullo GJ**, Ferrante, FM, Reilly J, Sugarbaker D. Thoracic epidural analgesia after lung transplantation. American Society of Anesthesiologists Meeting, San Francisco, CA, 1994.
6. Nunn R, Sellasie A, **Fanciullo GJ**, Datta S. EMLA cream as a pre-emptive analgesic in Cesarean Section. Society of Obstetric Anesthesiologists and Perinatologists Meeting, Montreal, Canada, 1995.
7. Smith E, Whedon M, Bookbinder M, Fadul C, Meyers L, **Fanciullo G**, Rose R, DeLeo J, Maurer H, Mills L, Ahles T, Plunkett M, Drake C. A multidisciplinary quality improvement approach to improve neuropathic pain management in cancer patients. Second International Conference on Mechanisms and Treatment of Neuropathic Pain, Washington, DC, 1999.
8. **Fanciullo G**, Katz N. The role of urine toxicology screening in patients on chronic opioid therapy. AAPM Annual Meeting, Miami, FL, 2001.
9. **Fanciullo GJ**, Baird JC, Chawarski MC, Jamison RN. Computerized interactive assessment of pain: Response comparisons of chronic pain patients and healthy controls. American Pain Society Annual Meeting, Chicago, IL, 2003.
10. Washington T, **Fanciullo GJ**, Baird JC. Quality Assessment of Chronic Pain Web-Sites. American Pain Society Annual Meeting, San Antonio, TX, 2006.

11. Krebs EE, MD, Lurie JD, **Fanciullo GJ**, Tosteson TD, Blood EA, Carey TS, Weinstein JN. Predictors of long-term opioid use among patients with painful lumbar spine conditions. American Pain Society Annual Meeting, San Diego CA, 2009.

Presented at International Meetings:

1. Ruland CM, **Fanciullo G**, Stevens M, Whedon M, White T. Computer supported individualized palliative care. 18th UICC International Cancer Congress, Oslo, Norway, 2002.
2. Jamison RN, **Fanciullo GJ**, Baird JC. Usefulness of pain drawings in identifying real or imagined pain: Accuracy of pain professionals vs. nonprofessionals. 2nd joint scientific meeting of APS and CPS, Vancouver, BC, Canada, 2004.

Book Chapters:

1. **Fanciullo GF**, Johnson M. The morbidly obese parturient. In: Manual of Obstetric Anesthesia, Ostheimer GW, editor. Churchill Livingstone, New York, NY, 1992.
2. **Fanciullo GJ**, Ferrante FM. Analgesia after orthopedic surgery. In: Acute Pain Management, Ferrante FM, editor. Churchill Livingstone, New York, NY, 1993.
3. **Fanciullo GF**, Johnson M. The morbidly obese parturient. In: Manual of Obstetric Anesthesia, 2nd ed., Ostheimer GW, editor. Churchill Livingstone, New York, NY, 1994.
4. **Fanciullo GJ**. Analgesic Pharmacology. In: Office Practice of Neurology, Samuels M and Feske S, editor. Churchill Livingstone, New York, NY, 1996.
5. **Fanciullo GF**, Johnson MD. Morbid Obesity. In: Pain Relief and Anesthesia in Obstetrics, Van Zundert A and Ostheimer GW, editors. Churchill Livingstone, New York, NY, 1996.
6. **Fanciullo GF**. Implantable Technologies for the Management of Chronic Pain, In: Harvard Department of Anesthesia Electronic Library CD-ROM, Bailin M, ed. Lippincott-Raven, Philadelphia, PA, 2002.
7. Girault G and **Fanciullo GJ**. New Techniques in the Treatment of Ischemic Pain. In: Principles and Practice of Pain Medicine 2nd Ed, Warfield CA and Bajwa Z, Eds. McGraw-Hill, New York, NY, 2004.
8. **Fanciullo GJ**. Pharmacologic Treatment of Pain. In: Office Practice of Neurology, 2nd Ed., Samuels M and Feske S, eds. Churchill Livingstone, New York, NY, 2003.
9. **Fanciullo GJ**. Cancer Pain Management: Overall Strategy. In: Encyclopedia of Pain, Schmidt RF and Willis WD, eds. Springer Science and Basic Media, Heidelberg, Germany, 2006.
10. **Fanciullo GJ** and Ball PA. Spinal Cord Stimulation and Intraspinal Infusions for Pain and Spasticity. In: Operative Neurosurgical Techniques, 5th Ed, Schmidek and Sweet, eds. Saunders Elsevier, Philadelphia, PA, 2006.
11. **Fanciullo GJ** and Beasley R. Chronic Pain in the Cured Cancer Patient. In: Cancer Pain Management, Ed. Fisch MJ and Burton AW. McGraw Hill Medical, New York, NY, 2007.
12. **Fanciullo GJ** and Sparks D. Opioids. In: Clinical Pain Management: A Practical Guide, Craig K and Peng P. Wiley Press, Oxford, England, 2011.
13. **Fanciullo GJ** and Ball PA. Intraspinal Infusions for Pain. In: Operative Neurosurgical Techniques, 6th Ed, Schmidek and Sweet, eds. Saunders Elsevier, Philadelphia, PA, 2012.
14. Cosgrove MA, Towns DK, **Fanciullo GJ**, Kaye AD. Interventional Pain Management. In: Essentials of Pain Management, Vadivelu N, Urman RD, Hines RL, Eds. Springer, New York, 2011.

15. Goodman BG and Fanciullo GF. Advances in the Management of Ischemic Pain. In: Principles and Practice of Pain Medicine 3rd Ed, Warfield CA and Bajwa Z, Eds. McGraw-Hill, New York, NY, 2012.

Acknowledgements:

1. Fraser HC, Kutner JS, Pfeifer MP. Senior medical students' perceptions of the adequacy of education on end-of-life issues. *J Pal Medicine*, 2001; 4(3):337-343.
2. Kuehn BM. Efforts aim to curb opioid deaths, injuries. *JAMA*, 2009;301(12):1213-1214.
3. Dubois, MY. American Academy of Pain Medicine ethics council statement on conflicts of interest: interaction between physicians and industry in pain medicine. *Pain Medicine*, 2010;11:257-261.
4. Carter NJ, Keating GM. OROS hydromorphone prolonged release: A review of its use in the management of chronic, moderate to severe pain. *CNS Drugs* 2010;24(4):337-361.

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1. **Fanciullo GJ**, Guest Editor. *Techniques in Regional Anesthesia and Pain Medicine*. Palliative and Pain Medicine: Improving Care for Patients with Serious Illness. July 2005; 9(3).
2. Jamison RN, **Fanciullo GJ**, Baird JC, Eds. Pain Medicine, Computer and Information in the Assessment and management of Patients with Pain. *Pain Medicine*, 2007;8(53).
3. Washington T, Brown K, **Fanciullo GJ**. *Pain*. What do I do now series. Oxford University Press, 2012.

Other:

1. **Fanciullo GJ**. Point of View- Randomized Double Blind Prospective Pilot Study of Botulinum Toxin Injection for Refractory Unilateral Cervical-Thoracic Paraspinal Myofascial Pain Syndrome. *Spine*, 1998;23(15).
2. **Fanciullo GJ**. Point of View-The Ability of Lumbar Medial Branch Blocks to Anesthetize the Zygapophysial Joint: A Physiologic Challenge. *Spine*, 1998; 23(17).
3. **Fanciullo GJ**. Book Review, International Anesthesiology Clinics, Interdisciplinary Pain Management, 1996. *Journal of Pain and Symptom Management*, 1998.
4. **Fanciullo GJ**. Spinal Cord Stimulation for Angina: Providing Comfort for those with Intractable Cardiac Pain. *New England Pain Association Journal*, 1998-99; 4(1).
5. **Fanciullo GJ**. Book Review, Opioids in Pain Control: Basic and Clinical Aspects, 1999. *Journal of Palliative Medicine*, 2000; 3(3):337-8.
6. **Fanciullo GJ**. Feature Topic, A Brief History of Opioids. *New England Pain Association Journal*, 2001; 6(2):4-7.
7. **Fanciullo GJ**. Point of View- A Prospective Study—Accuracy of Needle Placement during Blind Caudal Epidural Injection in 183 Patients. *Spine*, 2002.
8. **Fanciullo GJ**. Book Review, Principles and Practice of Palliative Care and Supportive Oncology. *JAMA*, 2003; 289(17):2288-89.
9. **Fanciullo GJ**. Point of View- Patterns and trends in opioid use among individuals with back pain in the United States. *Spine*, 2003; 29(8):891.

10. **Fanciullo GJ**: Book Review, Pain Medicine and Management: Just the Facts. *Journal of Opioid Management*, 2005.
11. **Fanciullo GJ**: Introduction. Palliative and Pain Medicine: Improving Care for Patients with Serious Illness. *Tech RAPM* 2005; 9(3):107-109.
12. Loyd RD, Ball PA, **Fanciullo GJ**: Surgical Procedures for Intractable Cancer Pain. *Tech RAPM* 2005; 9(3):167-176.
13. Sorenson J, **Fanciullo GJ**: Ordering and Interpretation of Urine Toxicology Specimens in Patients Treated with Opioids. *Tech RAPM*, 2005; 9(4):288-234.
14. Jamison RN, **Fanciullo GJ**, Baird JC. Introduction: "Computers in the Future may Weigh Less Than 1.5 Tons" *Popular Mechanics* 1949. *Pain Medicine* 2007; 8(S3):83-84.
15. Baird JC, McHugo GJ, **Fanciullo GJ**. Letters to the Editor: Response to Von Baeyer and Jaaniste (2008). *Pain Medicine* 2009;10(1):197-198.
16. Chou R, **Fanciullo GJ**, Fine PG. Letter to the Editor: Response to Newman RG (2009). *The Journal of Pain* 2009;10(7):774-776.
17. **Fanciullo GJ**. Comment: Facilitation of percutaneous trial lead placement with ultrasound guidance for peripheral nerve stimulation trial of ilioinguinal neuralgia: a technical note. *Neuromodulation*. 2009;12(4):296-301.
18. **Fanciullo GJ**, Member of the Council. American Academy of Pain Medicine Ethics Council Statement on Conflicts of Interest: Interaction between Physicians and Industry in Pain Medicine. *Pain Medicine* 2010; 11:257-261.
19. The APS/AAPM opioid Treatment Guidelines Revisited. *Pain Medicine News Special Edition* December 2011.

Updated: 1/18/12
By: GF

CURRICULUM VITAE

Question E2

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Home:


EDUCATION:

<u>DATE</u>	<u>INSTITUTION</u>	<u>DEGREE</u>
1983	George Washington University Washington, DC	MD
1979	Cornell University Ithaca, NY	BA

POSTDOCTORAL TRAINING:

<u>DATE</u>	<u>SPECIALTY</u>	<u>INSTITUTION</u>
1988	Fellowship, Ambulatory Anesthesia	Massachusetts General Hospital Boston, MA
1988	Fellowship, Obstetrical Anesthesia	Brigham & Women's Hospital Boston, MA
1987	Fellowship, Neurosurgical Anesthesia	Massachusetts General Hospital Boston, MA
1984	Resident in Anesthesiology	Massachusetts General Hospital Boston, MA
1983	Intern, Internal Medicine	US Naval Hospital Oakland, CA
1979	Research Associate, Histopharmacology	National Institutes of Health Bethesda, MD
1978	Research Fellow, Department of Neurosurgery	University of Maryland College Park, MD

LICENSURE AND CERTIFICATION:

<u>DATE</u>	<u>LICENSURE/CERTIFICATION</u>
2009	Recertification, American Board of Anesthesiology
2005	Medical License, State of New Hampshire
2005	Diplomate, American Board of Pain Medicine
2005	PALS Provider
1991	ACLS Provider and Instructor, American Heart, current

1988 Diplomate, American Board of Anesthesiology
1983 Diplomate, National Board of Medical Examiners

ACADEMIC APPOINTMENTS:

<u>DATE</u>	<u>ACADEMIC TITLE</u>	<u>INSTITUTION</u>
2006-present	Assistant Professor of Anesthesiology	Dartmouth Medical School Hanover, NH
1992-1993	Assistant Professor of Anesthesiology	University of Maryland School of Medicine College Park, MD
1989 - 1991	Clinical Associate in Anesthesiology	Massachusetts General Hospital Boston, MA
1988	Instructor in Anesthesiology	Harvard Medical School Boston, MA
1985-1988	Clinical Fellow in Anesthesiology	Harvard Medical School Boston, MA

HOSPITAL APPOINTMENTS:

<u>DATE</u>	<u>HOSPITAL TITLE</u>	<u>INSTITUTION</u>
2006-present	Attending Staff Anesthesiologist	Dartmouth-Hitchcock Medical Center Lebanon, NH
1993-2005	Attending Staff Anesthesiologist	York Hospital York, PA
1993-2005	Attending Staff Anesthesiologist	Apple Hill Medical Center York, PA
1993-2005	Medical Director	York Hospital Pain Relief Center York, PA
1993-2005	Attending Physician in Pain Medicine	York Hospital York, PA

OTHER PROFESSIONAL POSITIONS:

<u>DATE</u>	<u>POSITION TITLE</u>	<u>INSTITUTION/ORGANIZATION</u>
2012-Present	Medical Director, PACU, Pre-Admission Testing, Same-Day Surgical Program	Dartmouth-Hitchcock Medical Center Lebanon, NH
2011	Principal Scientist	BBR Medical Innovations, Inc

2009	President, CEO	Global Children's Art Programme Hanover, NH
2006-Present	Division Director, Neurosurgical Anesthesia	Dartmouth-Hitchcock Medical Center Lebanon, NH
1995-Present	Member, Board of Directors	Anesthesia Associates of York, PA, Inc. York, PA
1995-Present	Senior Managing Partner	Anesthesia Associates of York, PA, Inc. York, PA
1992-1993	Chief, Department of Anesthesiology	James Lawrence Kernan Hospital (UMMS) Baltimore, MD
1992-1993	Director, PACU, ICU, Respiratory Services, Pain Service	James Lawrence Kernan Hospital Baltimore, MD
1989-1991	Chairman, Department of Anesthesiology	US Naval Hospital Roosevelt Roads, Puerto Rico
1988-1991	Director, PACU, ICU, Respiratory Services, Pain Service	US Naval Hospital Roosevelt Roads, Puerto Rico
1984-1985	Fleet General Medical Officer	USS Roanoke United States Navy
1983-1984	Executive Board Member	USS Roanoke (A0R-7) United States Navy

MAJOR COMMITTEE ASSIGNMENTS AND CONSULTATIONS:**Institutional:**

<u>YEAR</u>	<u>COMMITTEE</u>	<u>ROLE</u>	<u>INSTITUTION</u>
2008-present	Acute Pain Service	Clinical Consultant	Dartmouth-Hitchcock Medical Center Lebanon, NH
2008-present	Executive Committee	Voting Member	NH Medical Society Concord, NH
2008-present	Veteran's Health Task Force	Member	NH Medical Society Concord, NH
2008	Anesthesiology Executive Committee	Member	Dartmouth-Hitchcock Medical Center Lebanon, NH
2007	Task Force: Patient Hand- Offs	Member	Dartmouth-Hitchcock Medical Center Lebanon, NH
2006-present	OR Improvement Committee	Member	Dartmouth-Hitchcock Medical Center Lebanon, NH

2006-present	Neurosurgery Faculty Search Committee	Member	Dartmouth-Hitchcock Medical Center Lebanon, NH
2006-present	Neurology Faculty Search Committee	Member	Dartmouth-Hitchcock Medical Center Lebanon, NH
2006-present	Anesthesiology Resident Practice Management Seminars	Director	Dartmouth-Hitchcock Medical Center Lebanon, NH
2003-2004	Medical Education Committee	Member	York Hospital York, PA
1992-1993	Senior Management Directorate	Member	James Lawrence Kernan Hospital Baltimore, MD
1992-1993	Pharmacy & Therapeutics Committee	Chairman	James Lawrence Kernan Hospital Baltimore, MD
1992-1993	Executive Committee of the Medical Staff	Member	James Lawrence Kernan Hospital Baltimore, MD

MEMBERSHIP, OFFICE & COMMITTEE ASSIGNMENTS IN PROFESSIONAL SOCIETIES:

<u>DATE</u>	<u>SOCIETY</u>	<u>ROLE</u>
1985-present	American Society of Anesthesiologists	Member
1991	American Medical Association	Member
1991	Society for Ambulatory Anesthesia	Member
1992	Pennsylvania Society of Anesthesiologists	Member
1992	Pennsylvania Medical Society	Member
2002-present	New England Pain Society	Member
2002-present	American Pain Society	Member
2003-present	American Society of Interventional Pain Physicians	Member
2005-present	Society of Neuro Anesthesia and Critical Care	Member
2005-present	NH Medical Society	Member
2008-present	European Society of Anaesthesiology	Affiliate Member
2009-present	Global College of Neuroprotection and Neuroregeneration	Member
2009-present	Poetry Society of Vermont	Member

2010-present National Collegiate Inventors and Member
 Innovators Alliance

2011-present Society of Obstetrical Anesthesiology and Member
 Perinatology

2011-present National Collegiate Inventors & Innovators Alliance Member

AWARDS AND HONORS:

DATE

AWARD NAME

1982 William Beaumont Society Inductee

MAJOR RESEARCH INTERESTS:

My research interests are many, but have a fundamental basis in patient safety. Along that theme, with reference in particular to the prevalence of opportunistic and nosocomial infection, many of my projects have a basis in identifying microorganisms in the workplace, and suggestions for eradicating them.

From this, guidelines for hand sanitation of anesthetic providers have been elucidated, the development of a novel intravenous fluid sterilizer has been described, and development of single-use ECG wire/pad equipment is in the planning stages.

While not trained as an engineer, I look at problem-solving mechanistically, and employ engineering principles often to solve clinical problems. I saw clinicians struggle with endoscopic approaches to direct visualization, whether it is the airway or gastrointestinal tract. I felt a robotically assisted approach would be novel, safe, and more efficient. The early prototype turned out to be remarkable, and further refinements will probably see commercial applications in both anesthesiology and other disciplines. Current talks involve possible establishment of a medical robotics program here at DHMC.

I also have an interest in serum chemistry and real-time examination thereof. I designed an indwelling spectrophotometric assembly to ascertain serum chemical values, including gas tensions. This was initially in collaboration with University of Strathclyde, in Glasgow, Scotland. The prototype for the catheter system has been awarded a US Patent.

I further recently applied for a US patent on a device utilizing ET CO₂ as the marker for blind intubation. While the market for intubation devices has all gone to direct visualization, this single-use, inexpensive system has a niche in the clinical realm.

I am currently involved in design and patenting of a single-use micro-video camera to be incorporated into an intubating bougie.

I am involved in a project designed to utilize high energy reactive particles to sterilize an entire operating room between surgical cases. Plasmatreat Corporation, the world's leaders in plasma technology, is undergoing review of the collaborative proposal.

A further medical research project involves medical waste disposal. I am in the preliminary stages of outlining a study to identify its disposition, into composites to be used by the construction industry.

RESEARCH FUNDING:**Present:**

1. 2009; Thayer School of Engineering, Dartmouth College Materiel Grant; PI **Burchman CA 5%**; Karl Storz Corporation, El Segundo, CA, for Robotics Project 190/290; \$75,000.

In Preparation:

1. Hitchcock Clinic; Translational Science Pilot Grant; PI **Burchman CA**; \$30,000.

TEACHING EXPERIENCE/CURRENT TEACHING RESPONSIBILITIES:**Dartmouth Medical School:**

<u>DATE</u>	<u>TEACHING</u>
2008-present	Faculty Sponsor Engineering Sciences 190/290 Working bench-side with senior students at the Thayer School of Engineering to deliver a working prototype of an intravenous fluid sterilizer. Patent protection acquired
2009-present	Faculty Sponsor Engineering Sciences 190/290 Working bench-side with senior students at the Thayer School of Engineering to deliver a working prototype of an robotic intubation device Patent protection in acquisition process
2009-present	Faculty Sponsor, First Year Project, Tuck School of Business, Dartmouth College Working in concert with first year business students in moving the Intravenous Sterilization Device to market

Dartmouth-Hitchcock Medical Center:

<u>DATE</u>	<u>TEACHING</u>
2002-2005	Pre-operative Anesthesia Assessment Monthly rounds with senior students at Penn State Hershey & University of Pennsylvania Schools of Medicine, York Hospital, York, PA.
2002-Present	Postoperative Considerations of Regional Anesthesia Senior Students at Penn State Hershey & University of Pennsylvania Schools of Medicine, York Hospital, York, PA.
2006-present	Attending Anesthesia Physician for Residency Training Program Division Director, Neurosurgical Anesthesia Daily intra-operative teaching anesthesia residents, Dartmouth-Hitchcock Medical Center; assisting in research project design; preparing didactic lectures. Clinical interaction with medical students, Dartmouth College students, CRNA students. Section Chief, neurosurgical anesthesia
2006	Anesthesiology Resident Lecture Series, "Evoked Potentials"

Regional:

<u>DATE</u>	<u>TEACHING</u>
2009-present	Faculty Sponsor, First year Project, Tuck School of Business, Dartmouth College Working in concert with first year business students in moving the Intravenous Sterilization Device to market

INVITED PRESENTATIONS:**Regional:**

<u>DATE</u>	<u>TOPIC</u>	<u>ORGANIZATION</u>	<u>LOCATION</u>
2013	Medicine Grand Rounds: The Neurobiology of Creativity	York Hospital	York, PA
2013	The Neurobiology of Creativity	Tuck Executive Institute	Hanover, NH
2013	Spinal Cord Injury	Department of Anesthesiology, Dartmouth-Hitchcock Medical Center	Lebanon, NH
2012	Anesthesiology Grand Rounds: Art & Medicine	Department of Anesthesiology, Dartmouth-Hitchcock Medical Center	Lebanon, NH
2012	Neurology Grand Rounds: The Neurobiology of Creativity	Department of Neurology, Dartmouth-Hitchcock Medical Center	Lebanon, NH
2012	Evoked Potential Monitoring	Department of Anesthesiology, Dartmouth-Hitchcock Medical Center	Lebanon, NH
2006	Spinal Cord Injury	Department of Anesthesiology, Dartmouth-Hitchcock Medical Center	Lebanon, NH
2006	Anesthesiology Practice Management Seminar: Operating Room Administration	Dartmouth-Hitchcock Medical Center	Lebanon, NH
2006	Anesthesiology Practice Management Seminar: Rural Anesthesia	Dartmouth-Hitchcock Medical Center	Lebanon, NH
2006	Anesthesiology Practice Management Seminar: Anesthesia in Lesser Developed Countries	Dartmouth-Hitchcock Medical Center	Lebanon, NH
2006	Anesthesiology Grand Rounds:	Dartmouth-Hitchcock	Lebanon, NH

	Hemorrhagic Shock	Medical Center	
2006	Spinal Anesthesia	Department of Anesthesiology, Dartmouth-Hitchcock Medical Center	Lebanon, NH
2007	Anesthesiology Practice Management Seminar: Conflict Resolution	Dartmouth-Hitchcock Medical Center	Lebanon, NH
2008	Anesthesiology Grand Rounds: Herbal Medicine- Perioperative Considerations	Dartmouth-Hitchcock Medical Center	Lebanon, NH
2011	Herbal Medicine	Northeast Medical Association Winter Conference	Sunday River, ME
2011	Anesthesiology Grand Rounds: Herbal Medicine	Penn State Milton S. Hershey Medical Center	Hershey, PA

National:

<u>DATE</u>	<u>TOPIC</u>	<u>ORGANIZATION</u>	<u>LOCATION</u>
2002	Hypermagnesemia	Department of Anesthesiology, York Hospital	York, PA
2002	Anesthetic Considerations for Obstetrical Patients	Department of Obstetrics, York Hospital	York, PA
2002	Surgery Grand Rounds: Impact of a Successful Pain Management Program	York Hospital	York, PA
2002	Family Medicine Grand Rounds: Impact of a Successful Pain Management Program	York Hospital	York, PA
2002	Internal Medicine Grand Rounds: Impact of a Successful Pain Management Program	York Hospital	York, PA
2002	Postoperative Pain Control – PACU	Department of Anesthesiology, York Hospital	York, PA
2002	Wellness	Apple Hill Surgical Center	York, PA
2002	Misuse of Prescription Drugs	York County Medical Society	York, PA

2002	Family Medicine Grand Rounds: Misuse of Prescription Drugs	York Hospital	York, PA
2002	Internal Medicine Grand Rounds: Misuse of Prescription Drugs	York Hospital	York, PA
2002	Surgery Grand Rounds: Misuse of Prescription Drugs	York Hospital	York, PA
2002	Family Medicine Grand Rounds: Optimizing Opioid Therapy for Chronic Non-malignant Pain	York Hospital	York, PA
2002	Internal Medicine Grand Rounds: Optimizing Opioid Therapy for Chronic Non-malignant Pain	York Hospital	York, PA
2002	Surgery Grand Rounds: Optimizing Opioid Therapy for Chronic Non- malignant Pain	York Hospital	York, PA
2002	Anesthesia Dinner Lecture: Adverse Effects of Analgesic Management	York Hospital	York, PA
2003	Medical Grand Rounds: Pain Medicine	York Hospital	York, PA
2007	Medical/Surgical Grand Rounds: Hemorrhagic Shock Update	York Hospital	York, PA
2009	Surgical Resident Visiting Professor Lecture: Amplification of multi-drug Resistant Organisms in the Operating Room	York Hospital	York, PA
2009	Medical/Surgical Grand Rounds: Herbal Medicine- Perioperative Considerations	York Hospital	York, PA

International:

<u>DATE</u>	<u>TOPIC</u>	<u>ORGANIZATION</u>	<u>LOCATION</u>
2007	Attenuation of Pain Associated with Local Anesthetic Infiltration	European Society of Anaesthesiology Annual Meeting Euroanaesthesia 2007	Munich, Germany
2008	A Prospective Observational Study of Handwashing Behavior in Anesthesia Providers: Analysis and Implications	European Society of Anesthesia Annual Meeting, Euroanaesthesia 2008	Copenhagen, Denmark

Media Appearances:

<u>DATE</u>	<u>TOPIC</u>	<u>ORGANIZATION</u>	<u>LOCATION</u>
2003	Pain Management-“Medically Speaking”	Cable Channel 3	York, PA
2004	Misuse of Prescription Drugs-“Medically Speaking”	Cable Channel 3	York, PA

COLLABORATIVE ACTIVITY:

<u>DATE</u>	<u>TOPIC</u>	<u>ORGANIZATION</u>	<u>LOCATION</u>
2008	Real-time in vivo spectrophotometric assessment of serum blood chemistries, oximetry, and red cell mass	In collaboration with University of Strathclyde, Institute of Photonics	Glasgow, Scotland

BIBLIOGRAPHY:**Original Journal Articles:**

1. **Burchman CA**, Datta S, Ostheimer GW. Delivery temperature of heated intravenous solutions during rapid infusion. *J of Clinical Anesthesiology* 1. 1989; (4):259-261.
2. **Burchman CA**, Judy K. Application of cold to the skin: Does it effectively attenuate the pain associated with the infiltration of local anesthetic? *Eur J Anaesthesiology* 24. 2007; (S39):95.
3. Loftus R, Koff M, **Burchman C**, et al. Transmission of Pathogenic Bacterial Organisms in the Anesthesia Work Area. *Anesthesiology*. 2008; Sep;109(3):399-407.
4. Koff M, Loftus R, **Burchman C**, et al. Reduction in Postoperative Bacterial Contamination of Peripheral Intravenous Tubing Through the Use of a Novel Device. *Anesthesiology*. 2009; 110: 978-985.

Case Reports:

1. Johnson MD, Birnbach DJ, **Burchman CA**, Greene MF, Datta S, Ostheimer GW. Fetal Surgery and general anesthesia: A case report and review. *Journal of Clinical Anesthesiology*, 1989; 1(5): 363-367.

Letters to the Editor:

1. **Burchman CA**, deBros F. How to make tape stick to sandpaper. *J of Anesthesiology*. 1988; 69:147.
2. **Burchman CA**, Lappas DG. Thermodilution cardiac output provides unanticipated intraoperative diagnosis. *J of Cardiothoracic Anesthesia*. 1988; 2:84-85.

Abstracts Presented at National/International Meetings:

1. **Burchman CA**, Education in Obstetric Anesthesia. Scientific Exhibit, American Society of Anesthesiology Annual Meeting, George Moscone Center, San Francisco, CA, USA, 1988.
2. Koff M, Loftus R, **Burchman CA**, Hogan D, Beach ML. Microbial Contamination in the Anesthesia Workspace. Are we as Clean as We Think? *Anesthesiology*. 107, A1788. 10-16-2007. Ref Type: Poster and Abstract Presentation, America Association of Anesthesiologists Annual Meeting, San Francisco, CA, 2007.
3. **Burchman CA**, Application of Cold to the Skin: Does it Effectively Attenuate the Pain Associated with the Infiltration of Local Anesthetic? Poster and abstract presentation, European Society of Anesthesiology Annual Meeting, ICM Convention Center, Munich, Germany, 2007.
5. Koff M, Loftus R, **Burchman CA**, Beach ML. Reduction in Postoperative Nosocomial Infections through the use of a Novel Device in the Operating Room. Poster and abstract presentation, (accepted) National Patient Safety Foundation Patient Annual Safety Congress, Gaylord Convention Center, Nashville, TN, 2008.
6. **Burchman C**, Loftus R, Henry E, Koff M. A Prospective Observational Study of Handwashing Behavior in Anesthesia Providers: Analysis and Implications. Poster and abstract presentation, (accepted) European Society of Anaesthesiology Annual Meeting, Bella Centre, Copenhagen, Denmark, 2008.

Book Chapters:

1. **Burchman CA**. Education in obstetric anesthesia. In: Manual of Obstetric Anesthesia. GW Ostheimer (Ed.) Churchill Livingstone Inc., New York, 1992.
2. **Burchman CA** and Ostheimer GW. Organization of an obstetrical anesthesia teaching service. In Manual of Obstetric Anesthesia. GW Ostheimer (Ed.) Churchill Livingstone Inc., New York, 1992.
3. **Burchman CA**. Anesthesia for fetal surgery. In: Manual of Obstetric Anesthesia. GW Ostheimer (Ed.) Churchill Livingstone Inc., New York, 1992.
4. **Burchman CA**. Maternal Aspiration. In Manual of Obstetric Anesthesia. GW Ostheimer (Ed.) Churchill Livingstone Inc., New York, 1992.
5. **Burchman CA**. Anesthesia for fetal surgery. In: pain relief and anesthesia in obstetrics. A. van Zundert and GW Ostheimer (Eds.) WB Saunders, Philadelphia, 1996.
6. **Burchman CA**. Education in obstetric Anesthesia. In: pain relief and anesthesia in obstetrics. A. van Zundert and GW Ostheimer (Eds.) WB Saunders, Philadelphia, 1996.
7. **Burchman CA** and GW Ostheimer. Organization of an obstetric anesthesia service---USA. In pain relief and anesthesia in obstetrics. WB Saunders, Philadelphia, 1996.
8. **Burchman CA**. Anesthetic management of the pregnant surgical patient. In Ostheimer's Manual of Obstetrical Anesthesia. DJ Birnbach (Ed.) Churchill Livingstone Inc., New York, 2000.

Books:

1. **Burchman CA**. Spirits of the Playing Surface, A Collection of Poetry in Four Acts. Shires Press, Manchester, VT, 2010.

Patent Applications:

1. US Patent Issued for System and Method for Venous Oximetry using a Catheter, 2006
2. US Patent Application for Blind Intubation Component System, 2006
3. US Patent Application for Intravenous Fluid Sterilizer, 2009

Other:

1. Editor, "A Respite from Pain," a quarterly monograph in South Central Pennsylvania 1995-2005.

Updated: Sept, 2013
By: Kacey Gardner

CURRICULUM VITAE

Richard H. Gannon, Pharm.D.

PROFESSIONAL GOALS

To provide pharmaceutical care of the highest quality in cooperation with medical, nursing, and pharmacy staffs so that an optimal patient outcome is achieved.

To teach medical, pharmacy, and nursing students/practitioners; pain management skills, rational drug therapy, medication administration, and therapeutic drug monitoring.

To pursue clinical research in areas encompassing pharmacokinetics, pharmacotherapeutics, pain management, and pharmacoconomics.

To enhance administrative skills as necessary for the development and promotion of effective pharmacy services.

EDUCATION

- | | |
|-------------|---|
| 1978 | Pharmacy Residency
Thomas Jefferson University Hospital
Philadelphia, PA |
| 1978 - 1980 | Doctor of Pharmacy
Philadelphia College of Pharmacy & Science
Philadelphia, PA |
| 1974 - 1976 | Bachelor of Science - Summa Cum Laude
University of Connecticut School of Pharmacy
Storrs, CT |
| 1971 - 1974 | Worcester Polytechnic Institute
Worcester, MA |

PROFESSIONAL EXPERIENCE

- | | |
|----------------|--|
| 2012 | CMS Hospital eMeasure Workgroup on PCA Monitoring
Baltimore, Maryland |
| 2011 | Alliance of States with Prescription Monitoring Programs
PMP Practitioner Education Meeting
"Pharmacists Perspective on using the CT PMP"
St. Louis, MO

Cadence Speakers Bureau – IV acetaminophen |
| 2009 – Present | PriCara Speakers Bureau - Tapentadol |
| 2008 | Ortho-McNeil Pharmaceutical
Speaker Training – Philadelphia |
| 2006 | Adolor Advisory Board – Alvimopan
Dallas, Texas

Sim-Man simulation for PCA use |

Orthopaedic Nurses

- 2003, 2005 Heparin Induced Thrombocytopenia
Speaker Training; Chicago, Dallas
- 2003 PowerPoint Slide Development
East Hartford, CT
- 2002 - Present Member, State of CT, DUR Board
- 2002 - Present Clinical Specialist - Pain Control
- 2001 - Present Claims Review Committee - Hartford Hospital
- 2001-2002 Pharmacy Manager
- 2000 Implementation JCAHO Pain Standards at Hartford Hospital
- 1999 Member of Advisory Board and Steering Committee
for CT Statewide Pain Management Study. American Society of Law, Medicine and
Ethics/Donaghue Medical Research Foundation
- 1998 Member of Consensus Panel for Chronic Non-Malignant
Pain Treatment, USP, Philadelphia, PA.
- Established Outpatient Anticoagulation Service at Hartford
Hospital
- Trainer in Purdue Frederick Phase III Training Program
Purdue Frederick, Norwalk
- 1997 Developed Pain Control rotation for medical residents
- 1996 Developed Protocol for Home Treatment of DVT with Enoxaparin
- 1995 Chapter Reviewer - Basic Skills in Interpreting Laboratory Data - ASHP
- Participated in development of Pain Management Module for "I Can Cope"
Program of American Cancer Society
Developed Warfarin Dosing Service for Neurology, Cardiovascular-Surgery
- Pain Control Preceptorship - CT Cancer Pain Initiative
(Sponsor & Lecture)
- 1994 Developed Weight Adjusted Heparin Dosing Protocol for Hartford Hospital
- Developed Warfarin Dosing Service for Cardiology
- 1992 - 1997 Editorial Board
J Pharmaceutical Care in Pain and Symptom Control
Reviewer - Cancer Practice
- 1992 - 1996 Chair CT Cancer Pain Initiative
- 1990 - 1992 Member, Scientific Review Committee
Hartford Hospital
- 1988 - 2001 Assistant Director for Clinical Services
Department of Pharmacy Services
Director of Pain Control Services
Hartford Hospital
Hartford, CT

1984 - 1988	Clinical Pharmacist - Medical ICU Hartford Hospital Hartford, CT
1980 - 1984	Clinical Pharmacist - Medical ICU Yale-New Haven Hospital New Haven, CT
1979	Clinical Pharmacist (Part Time) Thomas Jefferson University Hospital Philadelphia, PA
1977	Poison Information Pharmacist University of Connecticut Health Center Farmington, CT

TEACHING EXPERIENCE

2012	Adjunct Assistant Professor of Pharmacy Practice-UConn School of Pharmacy
2011-Present	UConn School of Pharmacy – Pain Management case review
2010	UConn 3 rd year Medical Students – Pain Management
2008	French Pharmacy students - Pain Rotation
2007- 2009	University of Puerto Rico Pain Management rotation
2002 - Present	Pain Management Rotation (Pharmacy Resident, Pharmacy Students, Pharmacists, Medical Students, Medical Residents, Mid-level practitioners)
1998-2000	Lecturer, UConn School of Medicine Hospice/Pain Management
2000-2006	Lecturer, Nurse Re-Entry Program Capitol Community College
1990 - 1992	Graduate Course Instructor Pharmacology - Quinnipiac College
1990	Adjunct Clinical Professor Massachusetts College of Pharmacy
1988	Course Coordinator for Graduate Nursing Program University of Connecticut "Pharmacotherapy in Critical Care"
1984 - 1995 2002 - Present	Assistant Clinical Professor University of Connecticut School of Pharmacy – Careers lecture
1981 - 1984	Clinical Instructor Yale School of Nursing Family Nurse Practitioner Program Clinical Instructor Yale School of Nursing Non-Nurse Post Graduate Program

PRESENTATIONS**CONSUMERS**

- 2006 Pharmacy Leadership Speaker
Career Choice
CT State Legislators
- 2005 Pain Management
Pre-Med Club
University of CT
- Management of Neuropathic Pain
Pfizer Sales Force
- PRS Elementary School
Pharmacy as a Career
- 1998 Pain Management
Hospice Volunteers, Hartford Hospital

PHYSICIANS

- 2012 Orthopaedic Multidisciplinary Conference: Pain Management-Cranium to Calcaneous
- 2011-Present Dept of Surgery: Resident Boot Camp-Pain Management
- 2011 Dept of Surgery Grand Rounds – Post-Operative Pain Management
Dept of Anesthesia Grand rounds – Pre-op and Peri-operative Pain Management
- 2010-Present Dept of Neurology Annual Pain Management lectures
- 2010 Pain Management in OMF Surgery Patients
- Pain Management for Toxicology Fellows
Hartford Hospital
- Management of Sickle Cell Crisis Pain
Middlesex Memorial Hospital
- 2009 Pain Management for Ortho/Trauma Patients
Hartford Hospital
- 2008 Common ED Pain Syndromes
Hartford Hospital-ED Attendings
- Palliative Pain Management
Hartford Hospital – Medical Residents
- Pain Management for Oncology Patients
Johnson-Memorial Hospital
- 2007 Appropriate use of Analgesics and Misuse of Meperidine
Manchester Hospital
- Pain Management in the Transplant Patient

Hartford Hospital Transplant Symposium

2006

Medical Resident Teaching Conference
Palliative Medicine Service
Hartford Hospital

Pain Management in Orthopaedics
Orthopaedic Residents Program
UConn Health Center

Evidenced based Pain Management
American Osteopathic Association
Mystic, CT

Pain Management in the General Surgery Patient
General Surgery Residents
Hartford Hospital

2005

Pain Management
St. Vincent's Hospital
Grand Rounds

Pain Management in the Trauma Patient
Annual Trauma Conference Grand Rounds

Heparin Induced Thrombocytopenia
Emergency Department Staff
Hartford Hospital

Medical Resident Teaching Conference
Palliative Medicine Service
Hartford Hospital

Pain Management
Surgery Mid-Level Practitioners
Hartford Hospital

Pain Management in Surgery Patients
Surgery Grand Rounds – Audience Response System

Treatment of Acute Pain: Every Surgeon's Responsibility
American College of Surgeons Spring Meeting
Hollywood, Florida

Opioid Pharmacology
Anesthesia Residents
Hartford Hospital

Pain Management
Psychiatric Consult Liaison Service
Hartford Hospital

2004

Pain Management
Medical Residents Education Day
Hartford Hospital

Pain Management
Grand Rounds

Waterbury Hospital

Continuity in Pain Management
Connecticare
Farmington, CT

Heparin Induced Thrombocytopenia
Anesthesiologist, John Dempsey Hospital

2003-2004

Monthly Noon Conferences
Medical Residents

Intractable Nausea and Vomiting
Emergency Department
Mid-Level Practitioners

2002

EPEC Series Lecture
Hartford Hospital
Pain Control

Pain Management for Sickle Cell Patients
Yale New Haven Hospital

Pain Management
MacIntyre Symposium
Mid-State Medical Center

Post-OP Pain Management
Surgery Residents
Hartford Hospital

2001

Pain Management in the ED
Hartford Hospital

Annual Ob-Gyn Pain Management Lecture
Hartford Hospital

Neuropathic Pain-Community Physicians
UConn Health Center

Pain Management in the Addicted Patient
Charter Oak Health Center
Hartford, CT

Pain Medication Pharmacology
CT Hospital Association
Wallingford, CT

Pain Management for Surgery Residents
Hartford Hospital

Pain Management for Community Physicians
St. Vincent Hospital
Bridgeport, CT

Neuropathic Pain
Bradley Memorial Hospital
Southington, CT

Advances in Pain Control
CT Oncology Association

Mystic, CT

2000

Neuropathic vs. Somatic Pain
Manchester Hospital
Manchester, CT

Management of Pain in the ED
Hartford Hospital

Chronic Pain Management
Old Lyme, CT

1999

Increasing the Ob-Gyn patient's satisfaction
with pain management - Ob-Gyn Attending and
Resident Staff, Hartford Hospital

Management of Pain
St. Benedictine Hospital
Kingston, NY

Pain Control – Resident Conference
Mary Hitchcock Hospital
Dartmouth, VT

Use of Opioids
Newington VA Hospital

1998

Diabetic Neuropathy
CT Podiatry Society
Glastonbury, CT

Pain Management
Kaiser Permanente, East Hartford, CT

1997

Medical Grand Rounds – Pain Management
Middlesex Memorial Hospital
Middlesex, CT

Pain Management, Hospice
Grand Rounds, Bristol Hospital

Medical Resident
Noon Conference-Pain Control
Hartford Hospital

Pain Management, Hospice
Grand Rounds, St. Raphael's Hospital

1996

Pain Management
Noon Conference
Hartford Hospital

Pain Control in the Oncology Patient
Montifiore and Einstein Hospitals
New York, NY

Advanced Pain Management
New York State Hospice Association
Albany, NY

New Therapies to Treat DVT
CT Association of Physician Assistants
Rocky Hill, CT

Using Oral Antiemetics to Prevent Chemotherapy Induced Emesis
European Society of Medical Oncologists Annual Meeting
Vienna, Austria

Pain Control
Medical Residents Dinner Meeting
Hartford Hospital

Treatment of DVT's with Low Molecular Weight Heparin
Backus Hospital
Norwich, CT

Cancer Pain Management
Family Practice Physicians
St. Francis Hospital

Cancer Pain Management
Oncology Physicians
John Dempsey Hospital

Cancer Pain Case Management
VMC Physicians & Nurses

Home Therapy for DVT Patients
Physicians - Manchester Hospital
Case Managers - Connecticare

1995 Pain Management - Noon Conference
Hartford Hospital

Cancer Pain Management
Rocky Hill VA Hospital

Cancer Pain Management
Manchester Memorial Hospital

Cancer Pain Management
RI Cancer Pain Initiative

1994 Kytril Advisory Board & Speakers Bureau

Pain Management in the Addicted Patient
Silver Hill Hospital

Pain Management - Noon Conference
Hartford Hospital

Invited participant "Power Speaking"
Purdue-Frederick Speakers Bureau
Dallas, TX

Pain Control in the Orthopedic Patient
Hartford Hospital

Pain Management of Common Cancer Pain Syndromes
Manchester Memorial Hospital

1993 - 2006 Speakers Bureau - Janssen

Bristol-Meyers-Squibb, Ortho-McNeil, Knoll,
SmithKline-Glaxo

1993

Cancer Pain Management
Grand Rounds, Mt Sinai Hospital
Hartford, CT

Pain Management - Noon Conference
Hartford Hospital

Invited participant, Seminar on Management of Pain in Sickle Cell Disease
New England Regional Genetics Group
Framingham, MA

1992

McLean Home - Hospice Care of the Cancer Patient

Pain Control in the Cancer Patient
Veterans Memorial Medical Center

Pain Management - Noon Conference
Hartford Hospital, Department of Medicine

1991

Phenytoin Therapeutic Drug Monitoring
Hartford Hospital, Department of Clinical Chemistry

Pharmacology of Analgesics
Hartford Hospital, Department of Psychiatry

Pain Management - Noon Conference
Hartford Hospital, Department of Medicine

1990 - 1997

Lecturer - Ketorolac
Speaker's Bureau - Roche Teleconference

Pain Management - Noon Conference
Department of Medicine, Hartford Hospital

PHARMACISTS

2011

National Alliance of State Prescription Monitoring Programs
Clinical Utility of the CT Prescription Monitoring Program – St Louis, MO

2007

Electrolyte Imbalances – Training for Pharmacists
Hartford Hospital

Pain Management - Training for Pharmacists
Hartford Hospital

Developing and Managing a Pharmacist Pain Management Service
National VHA meeting
Denver, Colorado

Alvimopan for Post-Operative Ileus
Baltimore Pharmacists Society
Baltimore, Maryland

2006

Post-Operative Ileus – Program Moderator
ASHP
Anaheim, California

	CE Finale – Pain Management UConn School of Pharmacy
2005	Post Operative Ileus – Program Moderator American College of Clinical Pharmacy Annual Meeting San Francisco, CA
	Pain Management Annual CPA / CSHP New England Regional Meeting
2004	NSAIDs for Pain Control New Britain General Hospital
	Neuropathic Pain Management UConn School of Pharmacy
	Pain Management CT Pharmacist Association
2003-Present	Career Choices UConn School of Pharmacy
2002	Pain Management for Pharmacists Middlesex Hospital Middletown, CT
	CSHP-CPA Meeting Post-Op Pain Management Rocky Hill, CT
	Basic Pain Management Baystate Medical Center Springfield, MA
	Pain Management Fall River, MA
2001	Pain Management Module Pharmacists Core Program
	Pain Management for Pharmacists Fletcher-Allen Cancer Center Burlington, VT
	Anticoagulant Therapy with LMWH Hartford Hospital
2000	Pain Management for Pharmacists Yale-New Haven Hospital
	Pain Management for Pharmacists CPA/CSHP Annual Meeting
	Pain Control Vermont Society of hospital Pharmacists Essex, VT
1999	Enoxaparin for Treatment of DVT/PE Albany Society of Hospital Pharmacists

Low molecular Weight Heparins
Apria Home Health Care
Boston, MA

Pain Control Therapy
Cape Cod Society of Pharmacists

Post-Operative Pain Management
CT Society of APRN

1998

Pain Control in Elderly
Sun Health
Rocky Hill, CT

Pain Control in Oncology Patient
NY Society of Community Pharmacists
Hyde Park, NY

Chronic Non-Malignant Pain
Waterbury Pharmacists Association

Use of low molecular weight heparins to treat PE/DVT
Western Springfield Pharmacists Society

Pain Control in Elderly
Sunscript Pharmacy
Rocky Hill, CT

Cancer Pain Control
Hudson Valley Pharmacist
Hyde Park, NY

1997

Lorazepam Infusion for Alcohol
Withdrawal, ASHP Midyear, Atlanta, GA

Pain Management for Consultant Pharmacists
Royal Counties Society of Hospital Pharmacy
Queens, NY

Low Molecular Weight Heparins, New York City
Society of Hospital Pharmacists
Brooklyn, NY

Low Molecular Weight Heparins
Springfield Society of Hospital Pharmacists
Springfield, MA

NSAID Induced GI Toxicity
Schwartz Symposium
Uconn School of Pharmacy

Low Molecular Weight Heparins
Montifiore Hospital
Bronx, NY

Pain Management for Community Pharmacists
Enfield, CT and Framingham, MA

Pain Therapy for Consultant Pharmacists
Berlin, CT

Pain Therapy for Community Pharmacists
Holyoke, MA

Low Molecular Weight Heparins
Elmhurst Hospital, Queens, NY

Pain Therapy for Community Pharmacists
Farmington, CT

Management of Chronic Pain
Harvard-Pilgrim Pharmacists
Providence, RI

Pain Management for Community
Pharmacists - Wegman's Pharmacies
Rochester, NY

Management of Neuropathic Pain
CT Society of Hospital Pharmacists
Windsor Locks, CT

1996

Pharmacy Fellows Journal Club
Pain Control
Hartford Hospital

Pain Management
Rhode Island Pharmacist Association
Providence, RI

Antiemetic Therapy
Hospital for Special Surgery
New York, NY

Cost-benefit of "focused" rounding by a pharmacist in a medical ICU.
ASHP Midyear Meeting Poster
New Orleans, LA

Antiemetic Therapy: Clinical, Economic, and Quality of Life Considerations
ASHP Midyear Meeting Presentation
New Orleans, LA

Patient Case Management - Pain Control
Univ. of CT School of Pharmacy

Antiemetics for Oncology Patients
VHA Leadership Conference
Philadelphia, PA

Pharmacists intervention in pain management
CVS Pharmacists
Portsmouth NH & Lewiston ME

Oral Granisetron as an Antiemetic
ACCP Annual Meeting
Memphis, Tenn.

Conversion from IV to Oral Granisetron
Regional VHA meeting
Pittsburgh, PA

Use of C.A.R.E. program to assess antiemetic efficacy
ASHP Annual Meeting

San Diego, CA

Home Management of DVT
Gaylord Hospital

1995

Pain Control in the Cancer Patient
Massachusetts Society of Hospital Pharmacists

Tramadol Therapy
Springfield Society of Hospital Pharmacists

Antiemetic Therapy with Oral Granisetron
Farmington, CT

ACCP Midyear: Cost and Efficacy Based Program to Manage the Use of Granisetron and Ondansetron in Oncology Patients. Orlando, Fla.

1994

New Directions in Pharmacy Practice
Newport, RI - Sponsored by Miles

Antiemetics for Chemotherapy Induced Nausea and Vomiting
CT Society of Hospital Pharmacists

Improving Patient Comfort: Pain Management
Buffalo & New York, NY
New York State Council of Hospital Pharmacists

Migraine Management: The Pharmacist's Role
Farmington & Mystic, CT

1993

Pain Management in Cancer Patient's Seminar by the Sea
Newport, RI

Cancer Pain Management
CT Pharmacist Association

Pharmaceutical Care:
Pharmacy Pain Control Consultation
CT Society of Hospital Pharmacists

1992

Therapeutic Drug Monitoring
Theophylline and Phenytoin
CT Society of Hospital Pharmacists

Pain Control in the Cancer Patient
CT Society of Hospital Pharmacists

Pain Control in the Cancer Patient
Rhode island Society of Hospital Pharmacists

1991

Chronic Pain, Pharmacy Pain Control Service
American College of Clinical Pharmacy 1st Annual Meeting (New England)

1990

After Hilton Head - The Challenges for Clinical Pharmacy
ASHP Annual Meeting – Boston, MA

Sedation in the ICU Patient
New England Council of Hospital Pharmacists Annual Spring Meeting

Pharmacy Intervention in the Treatment of Shock
 CT Society of Hospital Pharmacists
 Southbury, CT

Pain Control in the Oncology Patient
 University of CT School of Pharmacy CE Program

NURSES

- 2011 Management of Pain
 Hartford Hospital Graduate Nurse Residency Program
- 2010 Methadone use in Oncology
 Branford Hospice
- Pain Management in Oncology Patients
 John Dempsey Hospital
- 2008 Pain in Elderly
 Jefferson House & Wethersfield VNA
- 2006 Pain Management at the End of Life
 CT VNA Hospice Panel Discussion
- Pain Management in the Skilled Nursing Facility
 Rehab → Hospice
 Jefferson House
- Methadone use as an Analgesic in the ECF
 Ellis Manor, Hartford, CT
- Pain management in the VNA Patient
 Bethel Health Care, Bethel, CT
- 2005 Pain Management for Outpatients
 Hartford VNA
- 2004-2007 Pain Management
 UConn School of Nursing, Post BS Nursing Program
 Hartford Hospital
- 2004 Pain Management
 Hartford VNA
 Hospice Nurses
- Heparin Induced Thrombocytopenia
 Critical Care Nurses
 Hartford Hospital
- 2003 Advanced Pain Management
 Waterbury Hospital and
 Johnson Memorial Hospital
- 2003-2007 Introduction to Pain Management
 New Nursing Hires
 Hartford Hospital
- 2002 Diabetic Neuropathy
 Meriden, CT
- Pain Management

	Community Based APRN East Windsor, CT
	Pain Management for Nurses CHA, Wallingford, CT
	Pain Management and JCAHO Standards Jefferson House Newington, CT
2001	Antiemetic Therapy for the Oncology Patient Manchester Memorial Hospital
	Pain Management Therapy Nurse Re-entry Program Capital Community College Hartford, CT
	Neuropathic Pain - OR Nurses Hartford Hospital
2001-2004	Pain Management Parts I & II Waterbury Hospital
2000	Pain Management in Hospice Vernon Hospice/Johnson Memorial Hospital
	Symptom Control Hartford Hospital Oncology Nurses
	JCAHO Pain Standards Copley Hospital, VT
	Post-Op Pain Control CT Society of Physician Assistants
1999	Use of Adjuvants in Pain Management CT Cancer Pain Initiative
	Management of Pain, Nausea, Vomiting Mid-State Medical Center
	Management of Pain Newington & Rocky Hill VA
	Treatment of DVT/PE Hartford Hospital – APRN's
	Oncology Pain Management Rockville Hospice Rockville, CT
	Antiemetic Therapy CT Society of Oncology Nurses
1998	Cancer Pain Management Veterans Memorial Medical Center
	Oral Antiemetic Therapy Carbone's, Hartford, CT

Cancer Pain Management
Training Program
American Cancer Society

Cancer Pain Control
Mid-Fairfield Hospice
Wilton, CT

Pain Control
Nursing Core Curriculum
Hartford Hospital

1997 Oral Granisetron for Chemotherapy Induced Nausea and Vomiting.
Manchester, CT

Symptom Control in the Oncology Patient
Cancer Program
Hartford Hospital

1996 Pharmacotherapy in Pain Control
CT Cancer Pain Initiative

Pain Control in the Oncology Patient
Hartford VNA
Hartford, CT

Home Pain Management for the Oncology Patient
Wethersfield Visiting Nurses Assoc.

Cancer Pain Management
Oncology Nurses - Hartford Hospital

1995 Patient's Right to Pain Control
CT Oncology Nursing Society

1994 Antiemetic Guidelines - Granisetron Therapy
Hartford Hospital Oncology Nurses

1992 – 2000, 2006 Pain Control in the Cancer Patient
Hartford Visiting Nurse Association - Annual Lecture

Putting Pain Management to Work
Interdisciplinary Panel
Fifth Annual M. Patricia Bergin Nursing Conference

1991 Pain Management in the ICU
Critical Care Interns
Hartford Hospital

Perinatal Substance Abuse
Nursing Grand Rounds

Pain Control in the Orthopedic Patient
National Association of Orthopedic Nurses

1990 Intravenous Inotropic Drugs
Flight Nurse Education Program

PROFESSIONAL AFFILIATIONS

Rho Chi Pharmaceutical Honor Society

Connecticut Society of Hospital Pharmacists
American College of Clinical Pharmacy
International Association for the Study of Pain
CT Cancer Pain Initiative - American Cancer Society

PHARMACIST LICENSURE: Connecticut (current 2013), number available on request.

VOLUNTEERISM:

American Cancer Society

South Windsor Soccer Club

MEMBER PROFESSIONAL ORGANIZATION:

CT Society of Hospital Pharmacists

American College of Clinical Pharmacy

International Association for the Study of Pain

HOBBIES:

Bicycling, Snowshoeing

PUBLICATIONS

1. Gannon RH. Sedation and paralysis in the ICU patient. CT Med. 1987; 51:795-97.
2. Gannon R, Platt D. Sympathomimetic agents in shock. Emerg Care Q. 3:10-17, 1987.
3. D'Angio R, Platt D, Gannon R. Creatinine clearance-corrected vs uncorrected. Drug Intell Clin Pharm. 1988; 22:32-33.
4. Finan T, Gannon R. A computer assisted drug utilization review (DUE) of cefuroxime usage. Abstract. ASHP Ann Mtg. 1987.
5. Gannon R, Labedzki D. Guidelines for administration of IV medications in adults. Booklet distributed at Hartford Hospital. 1988 - 1991.
6. Crisp CB, Gannon RG, Knauff FR. Midazolam used in the treatment of status epileptics. Clin Pharm. 1988; 7:322-324.
7. Agostinucci WA, Gannon RH, Schauer PK, Martin RA, Dinonno E. Metoclopramide used as an antiemetic in chemotherapy treatment. Clin Pharm. 1988; 7:454-57.
8. Agostinucci WA, Gannon RH, Schauer PK, et al. Continuous infusion of metoclopramide for prevention of chemotherapy induced emesis. Clin Pharm. 1986; 5:150-53.
9. Agostinucci W, Gannon R, Walters JK, et al. Continuous IV infusion of metoclopramide vs bolus dose metoclopramide in high dose cisplatin therapy. ASCO Proceedings. 5:250, 1986.
10. Gannon R. Nalbuphine. Drug Information Update. Hartford Hospital. 11(3):1-2, 1985.
11. Gannon R. Nalbuphine. Connecticut Medicine. 49:681-82, 1985.

12. Gannon R, Sullman S, Levy RM, Grober J. Suspected interaction between vidarabine and theophylline. *Ann Inter Med.* 101:148, 1984.
13. Gannon R. Drug administration in hepatic disease. *New Eng J Med.* 310:1332, 1984.
14. Gannon RH, Levy RM. Interference of third-generation cephalosporins with a theophylline HPLC assay. *Amer J Hosp Pharm.* 41:1185-86, 1984.
15. Gannon R, Pearsall W, Rowley R. Isoniazid, meperidine, and hypotension. *Ann Int Med.* 99:415, 1983.
16. Wright H, Snyder E, Gannon R. Crystalloid vs colloid therapy, drugs in patient care. *Pharmacy Bulletin Y-NHH.* July/August 1982.
17. Gannon R, Thorn DB. Total parenteral nutrition in cancer. *New Eng J Med.* 305:1590, 1981.
18. Gannon R, Phillips L. Interference of metronidazole with procainamide HPLC assay. *Amer J Hosp Pharm.* 39:1966-67, 1982.
19. Cardoni AA, Gannon RH, Stempien MJ, Petroni NC. Guidelines for agents used in treatment of poisonings. *CT Med.* 42:38-42, 1978.
20. Gannon RH. Snakes. *Poison Information Bulletin.* CT Poison Information Center. 2(4):1-6, 1977.
21. Gannon RH. Insect stings and spider bites. *Poison Information Bulletin.* CT Poison Information Center. 2(3):1-6, 1977.
22. Schauer PK, Agostinucci W, Gannon R, Schauer AR. Practical aspects of pain management in cancer patients. *CT Medicine.* 53:211-15, 1989.
23. Gannon R. Ketorolac. *Hospital Formulary.* 24:695-702, 1989.
24. Schneider DK, Gannon R, Sweeney K, Shore E. Theophylline and antiparasitic drug interactions. *Chest.* 97:84-87, 1990.
25. Gousse G, Platt D, Gannon R, et al. Developing staff into clinical practitioners. *Top Hosp Pharm Manage.* 9:50-57, 1990.
26. Gannon R. Narcotic Equivalent Guidelines CT Society of Hospital Pharmacists Newsletter. 21:4, 1990.
27. Gannon R. Midazolam infusion for myoclonic seizures. *Amer J Emerg Med.* 8:24, 1990. (letter)
28. Gannon RH, DeFusco PA. Ondansetron: An antiemetic with a unique mechanism of action. *Hospital Formulary.* 25:1209-17, 1990.
29. Schneider DK, Gannon RH, Sweeney KR, DeFusco PA. Influence of Sucralfate on Trilisate Bioavailability. *J Clin Pharm* 1991;31:377-79.
30. Gannon RH, Anderson ML. Fluconazole-Nortriptyline drug interaction. *Annals of Pharmacotherapy* 1992;26:1456-57.
31. Scanlon MB, Gannon R, Foster J. Creating a Health Care Team within Patient Centered Redesign. In: *Collaboration in health care: Hartford Hospital's Journey in Changing Management & Practice.* Stetler C, Chairns M, eds. Chicago: AHA Publications, 1995.
32. Wallace MJ, Gannon RH. Cost and efficacy evaluation of antiemetic guidelines including IV granisetron and oral ondansetron. *J Pharm Care Pain Symp Control* 1996;4:345-346. (letter)
33. Davidson TG, Gannon R. Formulary Management Issues in Antiemetic Therapy. *Clinical Highlights in Pharmacy Practice News.* Oct/Nov 1996.
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HONORS & AWARDS

- | | |
|------|---|
| 2013 | Employee of the Year Finalist – Hartford Hospital |
| 2011 | UConn School of Pharmacy Distinguished Alumni Award – Innovative Practice
Hartford Hospital Dept. of Surgery “Supportive Team Award” - Palliative Medicine |
| 2009 | National Alliance of State Pharmacy Associations Innovative Pharmacy Practice Award |
| 2006 | Paul G. Pierpaoli Award – CT Society of Health System Pharmacists
Certificate of Appreciation – UConn Orthopaedic Surgery Residents |
| 2004 | Distinguished Service Award - Hartford Hospital |
| 1988 | Special One Time Award for “Excellence in Teaching Medical Housestaff”.
Presented by the graduating medical residents at Hartford Hospital. |
| 1983 | January - Mutual Respect Employee of the Month
Yale - New Haven Hospital |
| 1978 | Smith, Kline & French Clinical Pharmacy Award for Excellence in Clinical Pharmacy |
| 1977 | UConn School of Pharmacy - Pharmaceutical Systems Prize
University of Connecticut |

FUNDED RESEARCH

Reglan as an antiemetic
Heublein Oncology Fund - \$400

Drug interaction between acetaminophen and chloramphenicol
McNeil Labs - \$1000
HH Research Fund - \$700

Drug interaction between mebendazole, thiabendazole and theophylline
HH Research Fund - \$8000

Drug interaction between carafate and trilisate
Purdue-Frederick - \$11,000

Compatibility of intravenous theophylline and midazolam
HH Research Fund - \$2,500

Jeffery B. Hover, Sr.**SENIOR OPERATIONS / MANUFACTURING / SUPPLY CHAIN EXECUTIVE**

Qualified for highly visible, key impact role as strategic and tactical business partner in:
High-Technology, Medical/Lab Device, Pharmaceutical & Medicine, and Traditional Manufacturing

Strategic senior manager with career demonstrating mastery in achieving results in global business environments through operational management of manufacturing and supply chain operations for multinational organization. Combine tactical execution of strategic initiatives with strong cross-functional leadership to drive operational excellence, supply chain efficiencies, global production planning, and quality assurance for overall productivity, business, and bottom-line performance enhancements. Consistently meet/exceed customer expectations while reducing costs, improving efficiency, increasing operating margins, and enhancing quality. Project champion and change agent, leveraging Lean Six Sigma, current Good Manufacturing Practices (Canada & US), and sales/operations planning tools across diverse cultures and economies. Analytical, value-added orientation.

Core competencies include:

- Lean Six Sigma / cGMP / CAPA / ISO / FDA-CFR
- Operations Integration / Turnarounds / M&A
- Cost Optimization & Profit Growth
- End-to-End Supply Chain Management / QA
- Staff Recruitment, Leadership & Development
- Strategic Operations & Project Management
- Quality & Customer Satisfaction Improvements
- Supplier Selection & Contract Negotiations
- Budgetary Planning & Total Cost of Ownership
- Global and Local Resource & Capacity Planning

"[Jeff] has a wide range of skills that allow him to contribute in product development, production and cost savings. **Quality is always his top priority.** ... He has a unique ability to mesh the quality system with real world conditions of pricing and production in a way that works for all departments. ... He is an excellent leader with great communication skills."
~Vice President, Resintech (contractor to Jeff at NxStage Medical)

PROFESSIONAL EXPERIENCE**NxStage Medical** ✧ Lawrence, MA ✧ 2007–present

\$217M medical device company that develops, manufactures, and markets innovative products for the treatment of end-stage renal disease.

Recruited to develop strategic plan for the design and manufacture of NxStage Medical's next generation fluid products. Rapidly promoted from R&D to direct the external global manufacturing supply chain.

DIRECTOR, SUPPLY CHAIN (2010–present)

Promoted with expanded responsibility to manage \$40M spend portfolio and develop contract manufacturing capabilities in Latin America, Europe, and Asia. Co-lead Supplier Auditing Program; Certified Internal Auditor. Currently developing strategic plan to propel business growth and improve gross margin through efficiencies, freight and lead time, product costs, benchmarking, commodities, and in-house manufacturing.

- Successfully launched 5 new products that delivered \$3.2M in additional revenue and permitted product expansion in the European market. Led EU compliance effort to attain CE-labeled products for Europe.
- Negotiated \$2.8M annual Purchase Price Variance (PPV) cost savings. Captured \$60K/month in cost savings while reducing product complaint rate 20% through supply chain efficiencies.
- Drove additional \$1.3M favorable variance through improvements across Facilities, Engineering, Production, and Operations areas to include new product formulations, yield enhancements, and improved quality.
- Created a partnering "win-win" culture with key suppliers that increased communication and established cost and quality improvement goals. Implemented business review processes and benchmarking with suppliers.

STRATEGIC SOURCING MANAGER (2009–2010)

Promoted to manage strategic global sourcing, interfacing directly with key suppliers in Europe and Mexico. Opened Latin and South American markets. Managed \$25M spend portfolio.

- Spearheaded effort that led to product distribution agreement for Latin and South America valued at \$15M. Identified opportunity to expand Mexico supplier relationship for "win-win" profit margins and cost savings.

...Strategic Sourcing Manager continued...

- Created \$1.2M favorable variance while improving product quality from 5 to 6 Sigma. Projects include price reduction negotiations, process transfers, European marketing permitting, and new product formulations.
- Captured 18–30% cost reduction by outsourcing non value-added products, which preserved internal manufacturing capacity for core products from the development pipeline, unimpeded by new products.
- Identified and supported on-time execution of projects that improved lead time, production efficiency, yield, release testing time and cost control, material utilization, raw material cost control, and quality control.

SENIOR R&D FLUIDS ENGINEER (2007–2009)

Recruited to establish and expand strategic imperatives for next generation fluid products. Implemented mission-critical fluid projects that achieved manufacturing and quality goals.

- Overcame roadblocks to market penetration through space risk solutions that addressed concerns by federal regulators and physicians regarding safety and efficacy. Led to doubling patient care over the years.
- Spearheaded engineering effort that captured \$2M in annual cost savings in margin improvements. Redesigned process and product rollout to optimize existing technology utilizing Lean Kaizen. Negotiated price reduction and controlled inventory and freight.
- Set the ground work for 5-year strategic business plan for fluid products through a Quality Function Deployment (QFD) system and matrix that identified process parameters for control to meet customer needs.

Fresenius Medical Care North America (FMCNA) / Prime Medical ✧ Waltham, MA ✧ 1993–2007
\$12.8B global leader providing dialysis drugs, products, and services for the treatment of renal disease. FMCNA acquired Prime Medical.

PLANT MANAGER – Vaudreuil, Quebec, Canada (2004–2007)

Promoted and led turnaround effort of newly acquired Canadian manufacturing plant that had received 18 major observations from Health Canada. Developed \$5M capital expenditure plan to upgrade plant utilities.

- Dramatically, quickly transformed failing plant into the market leader for fluid product line in Canada.
 - Most Improved Plant Award. Most Improved Safety Award – reduced worker's comp-related absence 85%; reduced lost time incident rate (LTIR) from 16.6 to 3.7. Skitoma Award.
 - Attained clean 2007 Health Canada audit with no major or minor observations.
 - Reduced manufacturing overhead 7% and product cost 30%. Improved quality and cash flow.
- Led culture change, creating a results-driven team that embraced change and challenges with urgency. Increased staff retention and productivity through performance-based career path and training programs.

PLANT MANAGER – FMCNA, Voorheesville, NY (1997–2004)

Promoted to oversee engineering, manufacturing, product development, and sales of fluid product line. Managed daily operations, budget, HR, EH&S, warehousing, costing, procurement, manufacturing, sales, and marketing.

- Attained rapid time-to-market and leading market positions for product lines that delivered \$10M and \$6.5M in annual revenue. Guided product development and technology transfers.
- Achieved 5%+ positive variance goals each year.
- Closed non-essential plant, resulting \$3M in savings.

TECHNICAL DIRECTOR – Prime Medical, Voorheesville, NY (1993–1997)

Built start-up fluid products division from ground up to profitability; led directly to sale of company to FMCNA.

Prior clinical experience at Albany Medical College Center.

EDUCATION | CERTIFICATION | AFFILIATIONS

Bachelor of Science (BS) in Biology, minor in Chemistry, Bob Jones University, Greenville, SC

Lean Leader Certificate • Certified Internal Auditor

Member, American Society of Quality (ASQ) • Member, International Society of Pharmaceutical Engineers (ISPE)

• Member, Association for the Advancement of Medical Instrumentation (AAMI)

JOANNE HOFFMAN M.S.**RELATED EXPERIENCE*****PARENTS4ACHANGE*** * Southington, Connecticut

Assists President with crisis calls from new families, holds meetings with new families to offer support and resources, gives presentations with State Police Narcotics Task Force Educators all over Connecticut on drug education and awareness. 2009-Present

* Parents4achange focuses on educating, informing and providing support and resources to parents and families of opiate addicted children and young adults. We work with state and local authorities to make our communities unfriendly environments to drug use and drug activity.

NORTH HAVEN SUBSTANCE ABUSE AND ACTION COUNCIL North Haven, Connecticut
Board Member. Participates in the development of a strategic approach focused on awareness, education and communication thereby reducing the incidence and prevalence of substance abuse and related problems in North Haven.

CoFounder of parent sub group of North Haven Substance Abuse and Action Council.

PERSONAL Hands on experience with close family members with opiate addiction.

EMPLOYMENT HISTORY

YALE/TEMPLE CARDIAC REHABILITATION Branford, New Haven, Cheshire, East Haven, Connecticut

Exercise Physiologist / Nutrition Consultant. Duties include implementing and monitoring patients' exercise programs; monitoring heart rates and rhythms on telemetry units; documenting progress, monitoring blood pressures; teaching nutrition education classes and giving individual nutritional advice. 2007 – Present

EATS4LIFE North Haven, Connecticut

Owner / Personal Nutrition Consultant. Designs individual nutrition programs for those who are overweight, have high blood pressure, diabetes, or high cholesterol; educating and motivating clients. 2000-Present

ROB NEVINS PERSONIZED WEIGHT CONTROL PROGRAMS, INC. Woodbridge, Connecticut
Personalized Nutrition Consultant: Duties included implementing nutrition programs to clients who were overweight, had high blood pressure, diabetes, or high cholesterol; educating and motivating clients: ongoing research and development of the Rob Nevins Weight Control Program. 1996-2000

NEW HAVEN JEWISH COMMUNITY CENTER Woodbridge, Connecticut

Personal Trainer: Duties included working one on one conducting fitness evaluations; designing exercise programs based on goals and level of experience; educating and motivating clients; offering nutritional advice. 1994-1996

CHIROPRACTIC AND PHYSICAL REHABILITATION CENTER OF BRANFORD Branford, Connecticut

Exercise Physiologist: Responsible for running the Rehabilitation Center. Duties included Dynatron testing, Metrecom testing, Isokinetic and Fitness testing; designing and monitoring individualized exercise programs on computerized equipment for patients with various injuries and conditions. 1991 - 1994

VA MEDICAL CENTER West Haven, Connecticut

Coordinator of the Employee Wellness Program: Duties included planning, scheduling and hiring staff to run fitness evaluations, supervise exercise programs, teach aerobics and give health related lectures. 1991

MEDIFIT OF AMERICA Stamford, Connecticut

Fitness Specialist at the Pratt & Whitney Corporate Fitness Center in North Haven. Duties included conducting fitness evaluations and developing exercise prescriptions; creating, implementing and monitoring promotional and incentive programs; designing and leading exercise classes; conducting plant worksite exercise programs to reduce and prevent job related injuries; assisting with training of new staff and student interns. 1990

SPA LADY North Haven, Connecticut

Training Director: Duties included coordination of the training program for the nine Spa lady clubs in Connecticut; running seminars on how our services relate to the improvement of various health problems; keeping our fitness consultants up-to-date on any new material pertaining to health and fitness; reviewing and evaluating every employee and assuring that each club adheres to all Spa Lady policies. 1987-1989

Service Coordinator: Duties included comprehensive evaluation of member's fitness through a selective testing program that I implemented. This was followed up by an individualized diet and exercise program. Other responsibilities included training and evaluation of staff, coordination of various spa activities and daily administrative tasks. 1985-1989

EDUCATION

Southern Connecticut State University: M.S. Physical Education with concentration in Human Performance. 1990

Southern Connecticut State University: B.S. Special Education. 1982

Lasell College A.S. Community Mental Health. 1979

CERTIFICATIONS AND AFFILIATIONS

Parents4achange

North Haven Substance Abuse and Action Council

Certified Trainer-Courageous Parenting 101, Courage to Speak Foundation

American College of Sports Medicine

American Red Cross: CPR



APPLICATION FOR A
MEDICAL MARIJUANA DISPENSARY
FACILITY LICENSE

BINDER 2 OF 2

Prime Wellness of Connecticut, LLC
www.primewellnessofct.com

South Windsor, Connecticut

November 15, 2013

Department of Consumer Protection
Drug Control Division
Medical Marijuana Program
RFA #2013-1093772
165 Capitol Ave, Room 145
Hartford, CT 06106


Dear Committee Members:

As part of its application for a Dispensary Facility license from the Department of Consumer Protection, Prime Wellness of Connecticut, LLC hereby states that it does not have and is not submitting with its application any **Compensation Agreements**.

Please let me know if you have any questions.

Thank you for your consideration.

Sincerely,



Thomas Nicholas
CEO
Prime Wellness of Connecticut

November 15, 2013

Department of Consumer Protection
Drug Control Division
Medical Marijuana Program
RFA #2013-1093772
165 Capitol Ave, Room 145
Hartford, CT 06106


Dear Committee Members:

As part of its application for a Dispensary Facility license from the Department of Consumer Protection, Prime Wellness of Connecticut, LLC hereby states that it does not have and is not submitting with its application any **Terms of Outstanding Bonds, Loans, Mortgages, Pledges, Notes, etc.**

Please let me know if you have any questions.

Thank you for your consideration.

Sincerely,



Thomas Nicholas
CEO
Prime Wellness of Connecticut

PRIME WELLNESS OF CONNECTICUT, LLC

(A DEVELOPMENT STAGE COMPANY)

FINANCIAL STATEMENTS

OCTOBER 31, 2013

QUESTION E. 7.

November 15, 2013

Department of Consumer Protection
Drug Control Division
Medical Marijuana Program
RFA #2013-1093772
165 Capitol Ave, Room 145
Hartford, CT 06106


Dear Committee Members:

As part of its application for a Dispensary Facility license from the Department of Consumer Protection, Prime Wellness of Connecticut, LLC hereby states that it does not have and is not submitting with its application any **Tax Returns of the Applicant**. The applicant has not prepared a tax return because it has been registered for less than one year and has not yet been required to file a return.

Please let me know if you have any questions.

Thank you for your consideration.

Sincerely,


Thomas Nicholas
CEO
Prime Wellness of Connecticut

QUESTION E. 8.

November 15, 2013

Department of Consumer Protection
Drug Control Division
Medical Marijuana Program
RFA #2013-1093772
165 Capitol Ave, Room 145
Hartford, CT 06106

Dear Committee Members:

As part of its application for a Dispensary Facility license from the Department of Consumer Protection, Prime Wellness of Connecticut, LLC hereby states that it is not submitting with its application any **Federal, state and Foreign Tax Returns Filed by Producer Applicant Backers** in addition to what it has already submitted.

Please let me know if you have any questions.

Thank you for your consideration.

Sincerely,

A large black rectangular redaction box covering the signature of Thomas Nicholas.

Thomas Nicholas
CEO
Prime Wellness of Connecticut

OFFICIAL USE ONLY
SECURITY-RELATED INFORMATION
WITHHOLD UNDER CGS 1-210 (b) (19)

**PRIME WELLNESS OF
CONNECTICUT, LLC**

SAFETY AND SECURITY PLAN
For The Palliative Use of Marijuana

75 John Fitch Blvd. South Windsor, Connecticut 06074 ("Dispensary Facility")

CONFIDENTIAL