STATE OF CONNECTICUT DEPARTMENT OF CONSUMER PROTECTION

Liquor Control Division Telephone: (860) 713-6210

Email: dcp.liquorcontrol@ct.gov

Website: https://portal.ct.gov/DCP/Agency-Administration/Division-Home-Pages/Liquor-Control-Division



INSTRUCTIONS AND INFORMATION:

In State Transporter & Boat & Livery Vehicle Liquor Permit Application

PLEASE READ ALL INSTRUCTIONS AND INFORMATION BEFORE COMPLETING
APPLICATION. APPLICATION WILL NOT BE ACCEPTED IF INCOMPLETE OR IF ANY
REQUIRED DOCUMENT IS MISSING.

Fees and Form of Payment:

The total filing fee of \$1350.00 for a In State Transporter & Boat & Livery Vehicle liquor permit is required for successful submission of this application. For any additional boat or livery vehicles under the same ownership, please complete the "Application for Additional Boats or livery Vehicles" and submit an additional \$200 for each additional boat or livery vehicle with your application. Checks and/or money orders should be made payable to "Treasurer, State of Connecticut" and must accompany this application. The application filing fee of \$100.00 is included in the total filing fee and is not refundable.

The Application Process

Once we are in receipt of your complete and correctly executed application and filing fee, a review will be conducted. Once complete and accepted, it will then be submitted for final approval.

Definitions

Permittee – The permittee is the applicant for the liquor permit. The permittee is a person designated as the representative of the backer for the permit premises. The permittee must be able to read and understand English. The permittee can be the owner/backer of the business that holds the permit.

Backer – An individual or legal business entity that owns the business to which the liquor permit is issued.

Authorized Backer Representative – An individual who is legally authorized by the nature of the position held (i.e. corporate officer) in the business, or through a power of attorney to sign documents and make decisions related to the liquor permit.

**Section 30-45 of the Connecticut General Statutes prohibits the following individuals and officer holders from obtaining a liquor permit. Section 30-45 appears below and the prohibited individuals are noted. If you are a prohibited individual, you may not apply for a liquor permit.

CT General Statutes, Section 30-45: The Department of Consumer Protection shall refuse permits for the sale of alcoholic liquor to the following persons: (1) Any state marshal, judicial marshal, judge of any court, prosecuting officer or member of any police force, (2) a minor, and (3) any constable who performs criminal law enforcement duties and is considered a peace officer by town ordinance pursuant to the provisions of subsection (a) of section 54-1f, any constable who is certified under the provisions of sections 7-294a to 7-294e, inclusive, who performs criminal law enforcement duties pursuant to the provisions of subsection (c) of section 54-1f, or any special constable appointed pursuant to section 7-92. This section shall not apply to out-of-state shippers' permits, cafe permits issued pursuant to subsection (j) of section 30-22a and airline permits. As used in this section, "minor" means a minor, as defined in section 1-1d or as defined in section 30-1, whichever age is older.

APPLICATION INSTRUCTIONS AND DOCUMENTS REQUIRED

1. <u>APPLICATION FOR IN STATE TRANSPORTER & BOAT & LIVERY VEHICLE LIQUOR PERMIT</u>

Complete all four pages of the application. Every question must be answered. If left blank, the application will not be accepted. If the question does not apply, enter the word "**none.**"

Completing the Application

Section A: Business Information

Item #1; #3 through #6 – Complete this section with type of permit selected, trade name and business information.

Item #6 – The department will use the email address listed here as the preferred method to send most communications regarding your liquor permit application and file. It's very important to provide a working email address that will be checked regularly.

Item#7 – Please tell us how many additional boats or livery vehicles there will be under the same ownership. *There is a \$200 fee for each additional boat or vehicle.

Item#8 – Identify the Type of Live Entertainment you plan to provide on your boat or vehicle.

Section B: Permittee Applicant Information

Item #9 through #11 Please enter name, residence address and contact information for the permittee.

Section C: Backer Information

Item #12 through #16 – Provide correct backer name in #12. Backer name is the <u>name</u> of the Limited Liability Company or Corporation that is registered with the Secretary of the State or the individual name of the Sole Owner that has not formed a LLC or Corporation or the individual names of a Partnership that has not formed a LLC or Corporation, etc.

Section D: Current or Previous Liquor Permits Held By Permittee or Backer

Item #17 through #19 – List current or previously held liquor permits. Include any permits held by permittee, backer; sole proprietor, partner or a member of a partnership organization, corporation, limited liability company etc. If there are none, check "NO" in #17a and #17b.

Section E: Certification of Permittee Applicant and Backer or Authorized Representative of Backer

Items #20 and #21 - The permittee listed in Section B #9 of the application must sign #20. The backer/owner listed in Section C or authorized backer representative must sign #21.

2. **FEE AND FORM OF PAYMENT:**

The total filing and application fee of \$1350.00 for an In State Transporter & Boat & Livery Vehicle liquor permit is required for successful submission of this application. The filing fee of \$100.00 is included in the total filing fee and is not refundable. The application and permit fee includes (1) Boat or (1) Livery Vehicle. For each additional Boat or Livery vehicle under the same ownership, please complete the "Application for Additional Boats or Livery Vehicles" and submit an additional \$200 for each additional Boat or Livery Vehicle with your application. Checks and/or money orders should be made payable to "Treasurer, State of Connecticut" and must accompany this application.

3. SALES TAX NUMBER

Submit copy of Connecticut Sales and Use Tax Permit, or copy of receipt as proof of filing from the Connecticut Department of Revenue Services.

4. FOR COMMERCIAL TRANSPORTERS ONLY

Provide a copy of a current Motor Carrier Permit.

5. FOR BOATS ONLY

Provide a copy of a current Vessel Registration and the most recent copy of a safety inspection for each boat from the United States Coast Guard.

6. FOR LIVERY VEHICLES ONLY

Provide a copy of the current motor vehicle registration for each vehicle.

7. <u>AUTHORIZATION FOR RELEASE OF FINANCIAL INFORMATION AND STATEMENT OF PERSONAL HISTORY</u>

The applicant/permittee and backers (individuals, partners, general partner and limited partners in a limited partnership, officers, directors and limited liability company (LLC) manager/members in a LLC, corporate officers and stockholders) must complete an authorization for release of financial information and statement of personal history.

8. <u>AUTHORIZATION OF THE BACKER LEGAL ENTITY FOR RELEASE OF FINANCIAL INFORMATION</u>

Only authorized individuals of the backer may sign on behalf of the entity.

9. BACKER'S FINANCIAL STATEMENT

Complete this form which is attached to the application.

10. CORPORATIONS & L.L.C.

Provide proof of filing of organization papers with the Connecticut Secretary of State.

11. PARTNERSHIP

Provide partnership agreement if backer/owner is a formal partnership or limited partnership. If no agreement exists, provide a letter to that effect that there is no such agreement.

12. FRANCHISE OR MANAGEMENT AGREEMENT

Provide any franchise or management agreement if applicable.

13. PHOTOGRAPHS

Provide 8"x 10" in size (any photos smaller than this required size **will not be accepted**). a) 8" x 10" Photos of the Boat or Livery vehicle

14. CRIMINAL CONVICTION WORKSHEET

If the permittee or any member of the backer has a felony conviction, complete the Criminal Conviction Worksheet, pursuant to Section 46a-80(b) of Connecticut General Statutes. (DCPLC-CHRO)

15. <u>APPLICATION FOR ADDITIONAL BOATS OR LIVERY VEHICLES</u>

If the current ownership has additional Boats or Livery Vehicles, complete the Application for Additional Boats or Livery Vehicles and include the \$200 fee for each additional boat or vehicle.

16. ADDITIONAL CONSUMER BAR(S) – BOATS OR LIVERY VEHICLES ONLY

If the Boat or Livery Vehicle will have more than one consumer bar, complete the "Patio/Extension of Use/Additional Consumer Bar" application and include the \$190 fee for each additional consumer bar.

ONCE THE APPLICATION IS RECEIVED AND ACCEPTED BY THE DEPARTMENT OF CONSUMER PROTECTION, ADDITIONAL DOCUMENTS AND/OR INFORMATION MAY BE REQUIRED OF YOU BY THE DEPARTMENT AS PART OF THE REVIEW PROCESS.