

December 10, 2015

Connecticut State Department of Education 165 Capital Avenue Hartford, CT 06106

Re: Alternate Assessment Test Items and Content Package

This letter sets forth the terms and conditions governing edCount Management's release to the Connecticut State Department of Education (CSDE) and the CSDE's use of the Alternate Assessment Test Items and Content Package on an "as is" basis for use in conducting an assessment at the designated sum of \$10,000.

After edCount Management receives a signed copy of this letter from the CSDE, edCount Management will send an email to the CSDE with the next steps in securing the Test Items and Content.

The CSDE agrees to pay edCount Management the sum of \$10,000.00 for edCount Management's cost of providing the Alternate Assessment Test Items and Content Package to the CSDE, including the cost of the processes necessary to create access to the NCSC Intellectual Property (IP) and to establish the licenses necessary to protect the IP and the integrity and security of the items.

edCount Management will send an invoice in the above amount, and you agree to pay the invoice in full within thirty days after its receipt.

Included in the email transmitting this letter to you is/are the License(s) applicable to the work(s) you have selected. You acknowledge and agree that your use of the work(s) is governed by the terms of the License.

You may contact edCount Management if you have any general programmatic or administrative questions about the Alternate Assessment Test Items and Content Package, and edCount Management for up to (but no more than) one hour of time will attempt to answer your questions. edCount Management's assistance is provided strictly on an "as is" basis without any express or implied warranty whatsoever. If you need additional assistance, you and edCount Management may agree in a separate signed document regarding such assistance.

For operational or technical assistance regarding the Alternate Assessment Test Items and Content Package, you may contact Katrina Kasten, Project Manager at Breakthrough Technologies, LLC (BT) at Katrina.kasten@breaktech.com. BT was a subcontractor for the U.S. Department of Education grant under which the works were developed. edCount Management's reference to BT is not a requirement or endorsement, however, and you are free to contract (or not) with other service providers, so long as they comply with the License terms.



## **Connecticut State Department of Education**

### Page 2

## December 10, 2015

The CSDE agrees not to release any Items until after December 31, 2020. You may in the meantime release certain Items, or the Item bank, if expressly permitted in writing by NCSC.

Sincerely,

Ellen Forte, Ph.D.

**SEEN and AGREED** 

### ALTERNATE ASSESSMENT TEST ITEMS

### LICENSE AGREEMENT

### A. NOTICES

Test Items:

Copyright © 2015 National Center and State Collaborative

The Alternate Assessment Test Items (the "Items") were developed by the National Center and State Collaborative ("NCSC"), a consortium led by the University of Minnesota (the "University") comprising national education centers and State departments of education. In 2011, the U.S. Department of Education awarded a General Supervision Enhancement Grant, grant no. PR/Award H373X100002, to NCSC through the University as grantee, to develop an alternate assessment system and test items to assess the English Language Arts and Math achievement of students with significant cognitive disabilities.

The Items, including their contents, were developed under a grant from the U.S. Department of Education. However, the Items, including their contents, do not necessarily represent the policy of the U.S. Department of Education, and you should not assume endorsement by the Federal Government.

This license allows you to freely use, display, copy, redistribute and/or modify the Items. However, this license does not allow you to incorporate the Items in whole or in part into proprietary works.

# B. TERMS AND CONDITIONS GOVERNING THE USE, DISPLAY, COPYING, DISTRIBUTION AND MODIFICATION OF THE ITEMS

- **0.** This License Agreement (the "License") applies to and governs your use of the Items. The term Items includes any work derived from or based on the Items. Activities other than the use, display, copying, distribution and modification of the Items are not covered by this License.
- 1. You may display, copy and distribute the Items provided that you conspicuously and appropriately publish on each copy an appropriate copyright notice; keep intact all the notices that refer to this License; and give any other recipients of the Items a copy of this License. You may charge a fee for the physical act of transferring a copy.
- 2. You may modify the Items or any portion of the Items, thus forming a work based on the Items, and use, display, copy and distribute such modifications or work under the terms of this License, provided that you also meet all of these conditions:
  - a) You must cause the Items as modified to carry prominent notices stating that you changed the files and the date of any change.
  - **b)** You must cause any work that you use, display, distribute or publish, that in whole or in part contains or is derived from the Items or any part thereof, to be licensed as a whole at no charge to all third parties under the terms of this License.

These requirements apply to the modified work as a whole. If identifiable sections of that work are not derived from the Items, and can be reasonably considered independent and separate

works in themselves, then this License, and its terms, do not apply to those sections when you distribute them as separate works. But when you distribute the same sections as part of a whole which is a work based on the Items, the distribution of the whole must be on the terms of this License, whose permissions for other licensees extend to the entire whole, and thus to each and every part regardless of who wrote it. Thus, it is not the intent of this section to claim rights or contest your rights to work written entirely by you; rather, the intent is to exercise the right to control the distribution of derivative or collective works based on the Items.

- 4. You may not use, display, copy, modify, sublicense, or distribute the Items except as expressly provided under this License. Any attempt otherwise to use, display, copy, modify, sublicense or distribute the Items is void, and will automatically terminate your rights under this License. However, parties who have received copies, or rights, from you under this License will not have their licenses terminated so long as such parties remain in full compliance.
- 5. You are not required to accept this License, since you have not signed it. However, nothing else grants you permission to modify or distribute the Items or its derivative works. These actions are prohibited by law if you do not accept this License. Therefore, by using, displaying, copying, modifying or distributing the Items (or any work based on the Items), you indicate your acceptance of this License to do so, and all its terms and conditions for using, displaying, copying, distributing or modifying the Items or works based on it.
- 6. Each time you redistribute the Items (or any work based on the Items), the recipient automatically receives a license from the original licensor to use, display, copy, distribute or modify the Items subject to these terms and conditions. You may not impose any further restrictions on the recipients' exercise of the rights granted herein. You are not responsible for enforcing compliance by third parties to this License.
- 7. If, as a consequence of a court judgment or allegation of copyright infringement or for any other reason (not limited to copyright issues), conditions are imposed on you (whether by court order, agreement or otherwise) that contradict the conditions of this License, they do not excuse you from the conditions of this License. If you cannot distribute so as to satisfy simultaneously your obligations under this License and any other pertinent obligations (such as a court order), then as a consequence you may not distribute the Items at all. For example, if a copyright license would not permit royalty-free redistribution of the Items by all those who receive copies directly or indirectly through you, then the only way you could satisfy both it and this License would be to refrain entirely from distribution of the Items. If any portion of this section is held invalid or unenforceable under any particular circumstance, the balance of the section is intended to apply and the section as a whole is intended to apply in other circumstances. It is not the purpose of this section to induce you to infringe any patents or other property right claims or to contest validity of any such claims; this section has the sole purpose of protecting the integrity of public license practices.
- 8. If the distribution and/or use of the Items is restricted in certain countries, the original copyright holder who places the Items under this License may add an explicit geographical distribution limitation excluding those countries, so that distribution is permitted only in or

among countries not thus excluded. In such case, this License incorporates the limitation as if written in the body of this License.

10. If you wish to incorporate parts of the Items into free programs whose distribution conditions are different, write to the University to ask for permission.

#### NO WARRANTY

- 11. BECAUSE THE ITEMS ARE LICENSED FREE OF CHARGE, THERE IS NO WARRANTY FOR THE ITEMS, TO THE EXTENT PERMITTED BY APPLICABLE LAW. EXCEPT WHEN OTHERWISE STATED IN WRITING, THE COPYRIGHT HOLDERS AND/OR OTHER PARTIES PROVIDE THE ITEMS "AS IS" WITHOUT WARRANTY OF ANY KIND, EITHER EXPRESSED OR IMPLIED, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE. THE ENTIRE RISK AS TO THE QUALITY AND PERFORMANCE OF THE ITEMS IS WITH YOU. SHOULD THE ITEMS PROVE DEFECTIVE, YOU ASSUME THE COST OF ALL NECESSARYREPAIR OR CORRECTION.
- 12. IN NO EVENT UNLESS REQUIRED BY APPLICABLE LAW OR AGREED TO IN WRITING WILL ANY COPYRIGHT HOLDER, OR ANY OTHER PARTY WHO MAY MODIFY AND/OR REDISTRIBUTE THE ITEMS AS PERMITTED ABOVE, BE LIABLE TO YOU FOR DAMAGES, INCLUDING ANY GENERAL, SPECIAL, INCIDENTAL OR CONSEQUENTIAL DAMAGES ARISING OUT OF THE USE OR INABILITY TO USE THE ITEMS (INCLUDING BUT NOT LIMITED TO LOSSES SUSTAINED BY YOU OR THIRD PARTIES OR A FAILURE OF THE ITEMS TO OPERATE WITH ANY PROGRAMS), EVEN IF SUCH HOLDER OR OTHER PARTY HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES.

### C. ADDITIONAL TERMS CONDITIONS

- 1. This License gives you all or some of the Items that NCSC used in its Spring 2015 operational assessment.
- 2. You hereby release NCSC (including its supplier and partner organizations) from any responsibility for evidence supporting scores.
- **3.** If and when you use the Items in an assessment you must cite NCSC as the source of the Items in your assessment materials.
- 4. You agree not to release any Items until after December 31, 2020. You may in the meantime release certain Items, or the Item bank, if expressly permitted in writing by NCSC.

## STATE DEPARTMENT OF EDUCATION DIVISION OF LEGAL AND GOVERNMENTAL AFFAIRS

### **MEMORANDUM**

TO:

FILE

FROM:

Laura Anastasio, Staff Attorney

DATE:

December 7, 2015

RE:

edCount Management Licensing Agreement

The State Board of Education is seeking to enter into a licensing agreement with edCount Management, which is related to the Agreement with edCount Management in the conduct of alternate assessments of students with significant cognitive disabilities for the purpose of accountability and reporting, as required by Section 10-14n of the C.G.S. and the Elementary and Secondary Education Act. Specifically, this agreement shall provide to the CSBE licenses necessary to protect the intellectual property and the security of the Alternate Assessment Technology System, Test Items and Content Package.

edCount Management is providing the CSDE with a license to use its Program and Test Items, which the CSDE needs to comply with the requirements of the aforementioned statutes. The Program and Items contain warranty disclaimers—there is no warranty or implied warranty of merchantability. Moreover, while the license allows for the CSDE to modify and redistribute the Program as provided in the agreement, it does not permit the CSDE to incorporate the Program or Items into a proprietary program. It does not contain the standard provision of indemnification, and the CSDE is willing to have the agreement remain silent on that issue.

The CSDE is willing to enter into this Agreement as a business decision. The benefit of obtaining this Program outweighs the risk of not having the standard contractual provisions. This Program will benefit a small number of students with significant cognitive disabilities and comes at a cost to the CSDE of \$10,000.