



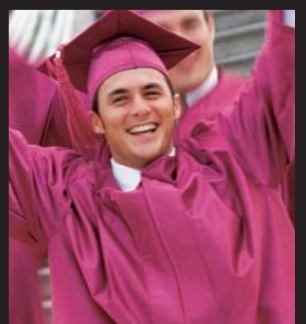
Connecticut State Department of Education
Division of Family and Student Support Services
Bureau of Special Education

Connecticut *Part B*

STATE *Performance* PLAN

December
2005

Revised
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State Performance Plan

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The following attachments are referenced in this report:

- Attachment A: Connecticut's System of General Supervision and Focused Monitoring for Continuous Improvement for Students with Disabilities
- Attachment B: Color-Maps of Key Performance Indicators in Special Education

Overview of the State Performance Plan Development

Updated February 2009

Broad Input from Stakeholders:

With the first submission of the State Performance Plan (SPP) in December 2005, the Connecticut State Department of Education divided the 20 indicators into six categories for its SPP. In its updated revision of the SPP, the Department reorganized its workgroups to reflect seven groups. Each category was designated as a work group with a consultant from the Bureau of Special Education facilitating each. The work groups are:

- General Supervision – indicators 11, 15, 16, 17, 18, 19, 20;
- Early Childhood – indicators 6, 7, 12;
- Parent Involvement – indicator 8;
- Free Appropriate Public Education (FAPE) in the Least Restrictive Environment (LRE) – indicator 5;
- Academic Accomplishment – indicators 3, 9, 10;
- School Engagement and Completion – indicators 1, 2, 4; and
- Secondary Transition – indicators 13, 14.

Personnel from the Department continued to invite the members of the former Connecticut Continuous Improvement Planning Team (CIPT) via the workgroups to participate in the development of the SPP, make recommendations for revisions, and analyze data for reporting in the Annual Performance Report (APR). These stakeholder groups were culturally and geographically diverse and contained a wide range of expertise and views. Additionally, each work group was staffed with general education personnel from the Department who had expertise and perspective with a particular indicator. The consultant assigned as work group manager oversees the annual work plan, progress toward completing activities and evaluating outcomes. Each work group also included an employee of the State Education Resource Center (SERC), our training and technical assistance center. Department personnel then reviewed each work group composition to ensure that families, district representatives, other state agencies, higher education, State Advisory Council and Connecticut's Comprehensive System of Personnel Development (CSPD) were represented on the work groups. Relevant stakeholders participate by reviewing previous action plans and making strategic recommendations for sustaining best practices, closing existing gaps, and securing resources to ensure successful completion. A list of the stakeholders involved in the revision of this SPP is included on the inside cover.

Public Dissemination:

A press release will be prepared and submitted to major newspapers about the development and submission of the SPP. Annually, the same will be done regarding current and future APRs. The updated SPP and APRs will be posted on the Department's website. A letter bringing attention to the revised SPP and APR will be sent to each local education agency (LEA) and to parent organizations including, but not limited to, the state's Parent Training and Information (PTI) Center, African and Caribbean American Parents of Children with Disabilities (AFCAMP), ARC of Connecticut and Padres Abriendo Puertas (PAP), as well as institutes of higher education

throughout the state that have educator preparation programs, the State Advisory Council (SAC), Department of Mental Health and Addiction Services (DMHAS), the Connecticut Birth to Three System, Department of Children and Families (DCF), Department of Developmental Services (formerly Department of Mental Retardation) and the Commission on Children. The SPP and subsequent APRs will also be available to the public through the Department's website.

The Department will report annually to the public on the performance of each local educational agency located in the state on the targets in the SPP through the District Annual Performance Report, which will be posted on the state's website and announced in the Bureau of Special Education Bulletin. The updated SPP and subsequent APRs will be shared with the Connecticut State Board of Education for discussion.

Revisions Made:

Additionally, any changes or revisions made within SPP indicators are specified, with an explanation and justification for those changes or revisions in the Annual Performance Report submitted February 2009.

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Overview, Page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: FAPE in the LRE

Indicator 1: Percent of youth with IEPs graduating from high school with a regular diploma compared to percent of all youth in the State graduating with a regular diploma.

(20 U.S.C. 1416 (a)(3)(A))

Measurement:

Measurement for youth with IEPs should be the same measurement as for all youth. Explain calculation.

Overview of Issue/Description of System or Process:

A required statewide register/unregister process associated with the state's existing Public School Information System (PSIS) database will be piloted in the 2005-06 school year and will be mandatory for all districts in the 2006-07 school year. This new process will allow the Department to collect all students' exit data, for both general and special education students, through one mechanism. This new system is anticipated to allow for the tracking of student movement within the state and will greatly affect the consistency and accuracy of state graduation and dropout information.

Graduation rate is calculated using the following formula: the number of students with disabilities who graduate with a regular high school diploma in a given reporting year, divided by the sum of the number of students with disabilities who graduated with a regular high school diploma plus the number of students with disabilities reported as dropped out of school in the previous four reporting cycles. This is the same formula used to calculate Connecticut graduation rates for both special education students and all students (general and special education). In 2010, this graduation formula is expected to change for all students and special education students as the Department starts reporting a "graduation in the standard number of years" rate.

A state issued and approved diploma defines graduation with a regular high school diploma. Graduation with a General Educational Development (GED) or a Certificate of Completion does not constitute graduation with a regular high school diploma. In 2001, Connecticut General Statutes were revised to require that by September 1, 2002, each district had to specify basic skill levels necessary for graduation for classes graduating 2006 and later, and the district had to specify a process for assessing competency. This process needed to include, but could not be limited to, assessment on the statewide Grade 10 Connecticut Academic Performance Test (CAPT). Districts were also required to

create a course of study for students unsuccessful in meeting these competency requirements so they could reach a satisfactory level of competency before graduation.

Baseline Data for FFY 2004 (2004-2005):

The 2004-05 school year graduation rate for students with disabilities was 67.7 percent.

$$[3,390 \text{ 2004-05 graduates} / (3,390 \text{ 2004-05 graduates} + 294 \text{ 2004-05 12th-grade dropouts} + 384 \text{ 2003-04 11th-grade dropouts} + 494 \text{ 2002-03 10th-grade dropouts} + 444 \text{ 2001-02 ninth-grade dropouts})] \times 100 = 67.7\%$$

The Department all-student graduation rate was 91.2 percent for the same period.

Discussion of Baseline Data:

The Department has seen a four-year increase in the graduation rate for students with disabilities, which resulted in a reduction of more than 20 percentage points in the existing gap between students with disabilities and their nondisabled peers, using the same graduation formula for both groups. Data are collected from a statewide data source. Sampling is not used. Data are valid and reliable.

In the 2004-05 school year, the Department reported for the first time district graduation rate data for both children with disabilities and their nondisabled peers in the Special Education Strategic School Profiles. This process of illuminating exiting data as a rate figure rather than a count of exiters (since 1989 Special Education Strategic School Profiles have included a count of exiters) has increased the visibility of the data as well as the attention paid to it by local agencies. Additionally, a breakout of the graduation data by race and ethnicity was included.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	68.0%
2006 (2006-2007)	69.0%
2007 (2007-2008)	72.0%
2008 (2008-2009)	75.0%
2009 (2009-2010)	78.0%

FFY	Measurable and Rigorous Target
2010 (2010-2011)	80.0%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
1.1 Disseminate state color-coded maps representing graduation rate for students with disabilities.	2005-06 school year	<ul style="list-style-type: none"> • Department personnel to design and disseminate the maps • Department website
1.2 Revise Individualized Education Program (IEP) to include student’s projected graduation date to inform student, family and staff. Training on the revised IEP.	2005-06 school year	<ul style="list-style-type: none"> • Department personnel to revise IEP and provide training
1.3 Include in bureau information to school district personnel current research on dropout prevention and graduation.	September 2006	<ul style="list-style-type: none"> • Department personnel to review research and develop update to district personnel
1.4 Conduct statewide summit on dropout prevention, graduation and alternatives to suspension; incorporate into three day consortium.	2006-07 and 2007-08 school year	<ul style="list-style-type: none"> • Department personnel • SERC personnel • Allocate a portion of IDEA funds awarded to SERC
1.5 (Revised) Meet with State Education Resource Center (SERC) staff to discuss statewide and district-specific activities and training to address graduation and dropout.	2005-06 school year through 2011	<ul style="list-style-type: none"> • Allocate a portion of IDEA funds awarded to SERC to provide professional development activities • Department personnel • SERC personnel • CSPD Council
1.6 Use the resources and technical assistance of the National Dropout Prevention Center.	2005-06 school year through 2011	<ul style="list-style-type: none"> • The National Dropout Prevention Center
1.7 (Revised) Assign a consultant from the Bureau of Special Education for dropout prevention and graduation for students with disabilities. This person will work with the Department and other state agencies (Department of Children and Families and Department of Mental Health) to strengthen and promote interagency collaboration.	July 2006 through fall 2008	<ul style="list-style-type: none"> • Department personnel

Improvement Activities	Timelines	Resources
1.8 Disseminate data to all school districts via District Annual Performance Reports and the Strategic School Profiles. Data are available on the Department website.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Department personnel
1.9 (New) Continue training through the Connecticut Accountability for Learning Initiative’s (CALI) module entitled Improving School Climate to Support Student Achievement to facilitate the reduction of suspensions/expulsion that impact graduation and dropout rates. The Department offers basic and certification training through our Connecticut Accountability for Learning Initiative (CALI) professional development offerings. Certification training gives participants license to conduct basic training in order to develop state capacity.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Bureau of School and District Improvement
1.10 (New) Monitoring from the Bureau of Accountability, Compliance and Monitoring to require inclusion of strategies to decrease suspension rates in districts where discipline and behavior are significant concerns, contributing to graduation and dropout issues.	2007-08 school year through 2011	<ul style="list-style-type: none"> • Consultants and managers with expertise in the education of students with disabilities are assigned full time to the Bureau of School and District Improvement and the Bureau of Accountability, Compliance and Monitoring
1.11 (Revised) Explore components of school engagement model to be included in request for proposal (RFP) to develop demonstration programs aimed at increasing graduation rate and decreasing suspension, expulsion and dropout rates.	2007-08 school year through 2011	<ul style="list-style-type: none"> • Department personnel and funding from IDEA
1.12 (New) Department will establish an intra-agency and inter-agency taskforce to address graduation, dropout and suspension and expulsion of students with and without disabilities.	2008-09 school year through 2011	<ul style="list-style-type: none"> • Department personnel • Other state agency personnel

Improvement Activities	Timelines	Resources
<p>1.13 (New) The Department has identified the Bureau of Health/Nutrition, Family Services and Adult Education to assume primary responsibility for dropout prevention services. An interagency taskforce will work with the Bureau and include representation from special education. The taskforce will implement the following recommendations from the Department’s report to the State Board of Education titled, “A Review of Programs for Reducing the Dropout and Suspension Rates of Those Children at Risk of Dropping Out or Being Suspended from School”:</p> <ol style="list-style-type: none"> 1. conduct in-depth analyses of dropout and suspension data among Connecticut’s school children; 2. identify individuals in the State with expertise in dropout prevention and reach out to national consultant; 3. complete an analysis of local programs in Connecticut to identify exemplary models; and 4. promote the use of Scientific Research-based Intervention (SRBI) to identify youth at risk of dropping out of school. 	<p>2008-09 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel.
<p>1.14 (New) The Connecticut proposals for secondary school reform will impact the graduation requirements. In addition to the IEP, the “Student Success Plan,” which includes features of the IEP and advisor–advisee programs, will be implemented to ensure that students with disabilities have appropriate post-school outcomes.</p>	<p>2008-09 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel • Proposed State legislation
<p>1.15 (New) In collaboration with the Governor’s P-20 Council, conduct a Dropout Prevention Summit.</p>	<p>Fall 2009</p>	<ul style="list-style-type: none"> • Grant funds from America’s Promise Alliance
<p>1.16 (New) Incorporate the Student Success plan and advisor-advisee program into the Special Education Manual and provide training.</p>	<p>2009-10 school year</p>	<ul style="list-style-type: none"> • Department personnel

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Overview, Page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: FAPE in the LRE

Indicator 2: Percent of youth with IEPs dropping out of high school compared to the percent of all youth in the State dropping out of high school.

(20 U.S.C. 1416 (a)(3)(A))

Measurement:

Measurement for youth with IEPs should be the same measurement as for all youth. Explain calculation.

Overview of Issue/Description of System or Process:

A required statewide register/unregister process associated with the State's existing Public School Information System (PSIS) database will be piloted in the 2005-06 school year and will be mandatory for all districts in the 2006-07 school year. This new process will allow the Department to collect all students' exit data, for both general and special education students, through one mechanism. This new system is anticipated to allow for the tracking of student movement within the State and will greatly affect the consistency and accuracy of state graduation and dropout information.

The dropout rate calculation for students with disabilities is consistent with the formula used for all Connecticut students. The formula is calculated by dividing the number of students with disabilities, in Grades 9-12, who dropped out in a given reporting year, by the total number of active students with disabilities, Grades 9-12 in the previous reporting year. Specifically, students who drop out are defined as: (1) 16-and 17-year-old students who notify the school of their intention to withdraw, with parental permission; (2) 18-year-old students who notify the school of their intention to withdraw; (3) students who enroll in a GED program; and (4) students who withdraw from the school, without notifying the district, and for whom no transfer information or transcript is requested by another school.

Baseline Data for FFY 2004 (2004-2005):

In the 2004-05 school year, the dropout rate for students with disabilities was 5.6 percent.

$$(1,284 \text{ 2004-05 dropouts} / 22,763 \text{ students with disabilities in Grades 9-12 in 2004-05}) \times 100 = 5.6\%$$

The Department all-student dropout rate was 1.7 percent for the same period.

Discussion of Baseline Data:

The Department has seen a six-year decline in the dropout rate for students with disabilities, significantly reducing the existing gap between students with disabilities and their nondisabled peers, using the same dropout formula for both groups. Data are collected from a statewide data source. Sampling is not used. Data are valid and reliable.

In the 2004-05 school year, the Department reported for the first time district dropout rate data for both students with disabilities and their nondisabled peers in the Special Education Strategic School Profiles. This process of illuminating exiting data as a rate figure rather than a count of exiters (since 1989 Special Education Strategic School Profiles have included a count of exiters) has increased the visibility of the data as well as the attention paid to it by local agencies. Additionally, a breakout of the dropout data by race and ethnicity was included.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	5.5%
2006 (2006-2007)	5.3%
2007 (2007-2008)	5.0%
2008 (2008-2009)	4.0%
2009 (2009-2010)	3.0%
2010 (2010-2011)	2.0%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
2.1 Disseminate state color-coded maps representing dropout rate for students with disabilities.	2005-06 school year	<ul style="list-style-type: none"> • Department personnel to design and disseminate the maps • Department website

Improvement Activities	Timelines	Resources
2.2 Revise Individualized Education Program (IEP) to include student’s projected graduation date to inform student, family and staff. Training on the revised IEP.	2005-06 school year	<ul style="list-style-type: none"> • Department personnel to revise IEP and provide training
2.3 Include in bureau information to school district personnel current research on dropout prevention and graduation.	September 2006	<ul style="list-style-type: none"> • Department personnel to review research and develop update to district personnel
2.4 Conduct statewide summit on dropout prevention, graduation and alternatives to suspension; incorporate into three day consortium.	2006-07 and 2007-08 school year	<ul style="list-style-type: none"> • Department personnel • SERC personnel • Allocate a portion of IDEA funds awarded to SERC
2.5 (Revised) Meet with State Education Resource Center (SERC) staff to discuss statewide and district-specific activities and training to address graduation and dropout.	2005-06 school year through 2011	<ul style="list-style-type: none"> • Allocate a portion of IDEA funds awarded to SERC to provide professional development activities • Department personnel • SERC personnel • CSPD Council
2.6 Use the resources and technical assistance of the National Dropout Prevention Center.	2005-06 school year through 2011	<ul style="list-style-type: none"> • The National Dropout Prevention Center
2.7 (Revised) Assign a consultant from the Bureau of Special Education for dropout prevention and graduation for students with disabilities. This person will work with the Department and other state agencies (Department of Children and Families and Department of Mental Health) to strengthen and promote interagency collaboration.	July 2006 through fall 2008	<ul style="list-style-type: none"> • Department personnel
2.8 Disseminate data to all school districts via District Annual Performance Reports and the Strategic School Profiles. Data are available on the Department website.	2006-07 school year thru 2011	<ul style="list-style-type: none"> • Department personnel

Improvement Activities	Timelines	Resources
<p>2.9 (New) Continue training through the Connecticut Accountability for Learning Initiative’s (CALI) module entitled Improving School Climate to Support Student Achievement to facilitate the reduction of suspensions/expulsion that impact graduation and dropout rates. The Department offers basic and certification training through our Connecticut Accountability for Learning Initiative (CALI) professional development offerings. Certification training gives participants license to conduct basic training in order to develop state capacity.</p>	<p>2006-07 school year through 2011</p>	<ul style="list-style-type: none"> • Bureau of School and District Improvement
<p>2.10 (New) Monitoring from the Bureau of Accountability, Compliance and Monitoring to require inclusion of strategies to decrease suspension rates in districts where discipline and behavior are significant concerns, contributing to graduation and dropout issues.</p>	<p>2007-08 school year through 2011</p>	<ul style="list-style-type: none"> • Consultants and managers with expertise in the education of students with disabilities are assigned full time to the Bureau of School and District Improvement and the Bureau of Accountability, Compliance and Monitoring
<p>2.11 (Revised) Explore components of school engagement model to be included in request for proposal (RFP) to develop demonstration programs aimed at increasing graduation rate and decreasing suspension, expulsion and dropout rates.</p>	<p>2007-08 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel and funding from IDEA
<p>2.12 (New) Department will establish an intra-agency and inter-agency taskforce to address graduation, dropout and suspension and expulsion of students with and without disabilities.</p>	<p>2008-09 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel • Other state agency personnel

Improvement Activities	Timelines	Resources
<p>2.13 (New) The Department has identified the Bureau of Health/Nutrition, Family Services and Adult Education to assume primary responsibility for dropout prevention services. An interagency taskforce will work with the Bureau and include representation from special education. The taskforce will implement the following recommendations from the Department’s report to the State Board of Education titled, “A Review of Programs for Reducing the Dropout and Suspension Rates of Those Children at Risk of Dropping Out or Being Suspended from School”:</p> <ol style="list-style-type: none"> 1. conduct in-depth analyses of dropout and suspension data among Connecticut’s school children; 2. identify individuals in the state with expertise in dropout prevention and reach out to national consultant; 3. complete an analysis of local programs in Connecticut to identify exemplary models; and 4. Promote the use of Scientific Research-based Intervention (SRBI) to identify youth at risk of dropping out of school. 	<p>2008-09 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel.
<p>2.14 (New) The Connecticut proposal for secondary school reform will impact the graduation requirements. In addition to the IEP, the “Student Success Plan,” which includes features of the IEP and advisor–advisee programs, will be implemented to ensure that students with disabilities have appropriate post-school outcomes.</p>	<p>2008-09 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel • Proposed State legislation
<p>2.15 (New) In collaboration with the Governor’s P-20 Council, conduct a Dropout Prevention Summit.</p>	<p>Fall 2009</p>	<ul style="list-style-type: none"> • Grant funds from America’s Promise Alliance
<p>2.16 (New) Incorporate the Student Success plan and advisor–advisee program into the Special Education Manual and provide training.</p>	<p>2009-10 school year</p>	<ul style="list-style-type: none"> • Department personnel

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Overview, Page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: FAPE in the LRE

Indicator 3: Participation and performance of children with disabilities on statewide assessments:

- A. Percent of districts meeting the state’s Adequate Yearly Progress (AYP) objectives for progress for disability subgroup.
- B. Participation rate for children with IEPs in a regular assessment with no accommodations; regular assessment with accommodations; alternate assessment against grade level standards; alternate assessment against alternate achievement standards.
- C. Proficiency rate for children with IEPs against grade level standards and alternate achievement standards.

(20 U.S.C. 1416 (a)(3)(A))

Measurement:

- A. Percent = $[(\# \text{ of districts meeting the state's AYP objectives for progress for the disability subgroup (children with IEPs)}) \text{ divided by the (total \# of districts that have a disability subgroup that meets the state's minimum "n" size in the state)}] \text{ times } 100.$
- B. Participation rate =
 - a. # of children with IEPs in assessed grades;
 - b. # of children with IEPs in regular assessment with no accommodations (percent = $[(b) \text{ divided by } (a)] \text{ times } 100$);
 - c. # of children with IEPs in regular assessment with accommodations (percent = $[(c) \text{ divided by } (a)] \text{ times } 100$);
 - d. # of children with IEPs in alternate assessment against grade level achievement standards (percent = $[(d) \text{ divided by } (a)] \text{ times } 100$); and
 - e. # of children with IEPs in alternate assessment against alternate achievement standards (percent = $[(e) \text{ divided by } (a)] \text{ times } 100$).

Account for any children included in a but not included in b, c, d, or e above.

Overall Percent = $[(b + c + d + e) \text{ divided by } (a)].$

C. Proficiency rate =

- a. # of children with IEPs in assessed grades;
- b. # of children with IEPs in assessed grades who are proficient or above as measured by the regular assessment with no accommodations (percent = $[(b) \text{ divided by } (a)] \text{ times } 100$);

- c. # of children with IEPs in assessed grades who are proficient or above as measured by the regular assessment with accommodations (percent = [(c) divided by (a)] times 100);
- d. # of children with IEPs in assessed grades who are proficient or above as measured by the alternate assessment against grade level achievement standards (percent = [(d) divided by (a)] times 100); and
- e. # of children with IEPs in assessed grades who are proficient or above as measured against alternate achievement standards (percent = [(e) divided by (a)] times 100).

Account for any children included in a but not included in b, c, d, or e above.

Overall Percent = [(b + c + d + e) divided by (a)].

Overview of Issue/Description of System or Process:

The Department is redesigning the state's alternate assessment to reflect grade-level content in language arts (reading) and math for implementation in March 2006. Out-of-level testing was eliminated effective spring 2004. This effected the 2003-04 school year administration of the Grade 10 Connecticut Academic Performance Test (CAPT) and the 2004-05 school year administrations of the Grades 4, 6, and 8 Connecticut Mastery Test (CMT). Departmental activities included application of the principles of universal design to the Generation 4 tests in development as well as access to the general curriculum (including access to standard assessments) in all initiatives and trainings. Extensive professional development was offered in the areas of Least Restrictive Environment (LRE) (37 trainings), access to the general curriculum and effective instruction (56 trainings). The Department designed additional trainings during the 2004-05 school year around state assessments and improving student performance and holistic scoring, in addition to the ongoing CMT and CAPT workshops. Since 2006, the Department requires CMT/CAPT Skills Checklist Training for special education teachers that will be administering the CMT or CAPT Skills Checklist. Training is also provided to educators on assessment accommodations for the CMT and CAPT. Training is also conducted to school personnel on making adequate yearly progress (AYP) for students with disabilities. This training is focused on those schools that have not made AYP for students with disabilities.

The Connecticut Accountability for Learning Initiative (CALI) contains the Department's professional development which is aligned to state standards and assessments. This is a state priority as it is aligned with Connecticut accountability legislation. Schools and districts that have not made AYP have access to these offerings. It is also required that districts in year 3 of not making AYP develop a data team structure, which includes district, school, grade and content level teams, as their accountability mechanism. Beginning in 2007-08, the Department monitors the data team structures within these districts.

Baseline Data for FFY 2004 (2004-2005):

In the school year 2004 – 05:

3A: In Connecticut, 39.4 percent of districts met the state's AYP objectives for special education students for the CMT (Grades 4, 6, and 8) and 45.0 percent of districts met the state's AYP objectives for special education students for the CAPT (Grade 10).

3B: Average Participation Rate for students with disabilities = 97.1 percent

3C: Average Proficiency for students with disabilities = 35.0 percent

Discussion of Baseline Data:

3A: Forty-one of 104 districts in Connecticut (with at least 40 students with disabilities), or 39.4 percent of districts met the state’s AYP objectives for special education students for the CMT (Grades 4, 6, & 8). Sixty-three districts did not meet the state’s AYP objectives for special education students for the CMT (Grades 4, 6, and 8).

Eighteen of 40 districts in Connecticut (with at least 40 students with disabilities), or 45.0 percent of districts met the state’s AYP objectives for special education students for the CAPT (Grade 10). Twenty-two districts did not meet the state’s AYP objectives for special education students for the CAPT (Grade 10).

- 3B: a. = 21,541 students with IEPs
- b. = 19,638 students took the standard math assessment
- b. = 19,654 students took the standard reading assessment
- c. = 0
- d. = 0
- e. = 1,291 students took the alternate assessment

Unaccounted for students = 612 in math and 596 in reading.

These students were absent or exempt due to English Language Learner (ELL) status.

Math Participation: $(19,638 + 1291) / 21,541 \times 100 = 97.2\%$

Reading Participation: $(19,654 + 1291) / 21,541 \times 100 = 97.2\%$

- 3C: a. = 21,541 students with IEPs
- b. = 7,397 students took the standard math assessment
- b. = 6,159 students took the standard reading assessment
- c. = 0
- d. = 0
- e. = 659 students were proficient on the math alternate assessment
- e. = 861 students were proficient on the reading alternate assessment

Math Proficiency: $(7397 + 659) / 21,541 \times 100 = 37.4\%$

Reading Proficiency: $(6159 + 861) / 21,541 \times 100 = 32.6\%$

Average Proficiency for students with disabilities = 35.0%

FFY	Measurable and Rigorous Target		
2005 (2005-2006)	3A: 35.0%	3B: 95.0%	3C: CMT reading = 68.0% CMT math = 74.0% CAPT reading = 72.0% CAPT math = 69.0%
2006	3A: 37.5%	3B: 96.0%	3C: CMT reading = 68.0% CMT math = 74.0% CAPT reading = 72.0%

FFY	Measurable and Rigorous Target		
(2006-2007)			CAPT math = 69.0%
2007 (2007-2008)	3A: 40.0%	3B: 97.0%	3C: CMT reading = 79.0% CMT math = 82.0% CAPT reading = 81.0% CAPT math = 80.0%
2008 (2008-2009)	3A: 50.0%	3B: 98.0%	3C: CMT reading = 79.0% CMT math = 82.0% CAPT reading = 81.0% CAPT math = 80.0%
2009 (2009-2010)	3A: 60.0%	3B: 99.0%	3C: CMT reading = 79.0% CMT math = 82.0% CAPT reading = 81.0% CAPT math = 80.0%
2010 (2010-2011)	3A: 70.0%	3B: 100%	3C: CMT reading = 89.0% CMT math = 91.0% CAPT reading = 91.0% CAPT math = 90.0%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
3.1 Provide Step by Step for Inclusive Schools © training to targeted districts and available statewide.	2005-06 school year	<ul style="list-style-type: none"> SERC
3.2 (Revised) Coordinate No Child Left Behind (NCLB) and Individuals with Disabilities Education Act (IDEA) activities at the Department as they relate to student achievement and districts making adequate yearly progress.	July 2005 through 2011	<ul style="list-style-type: none"> Consultants and managers with expertise in the education of students with disabilities are assigned full time to the Bureau of School and District Improvement and the Bureau of Accountability, Compliance and Monitoring

Improvement Activities	Timelines	Resources
<p>3.3 (Revised) Provide professional development activities statewide to better understand special education and effectively instruct students with disabilities:</p> <ul style="list-style-type: none"> • co-teaching facilitator training; • enhancing instructional programs within school: training for administrators; • linking IEPs to the general curriculum; • assessing and teaching in the differentiated classroom; • assistive technology; • making connections with writing; • classroom instruction that works; • bilingual education: what administrators need to know; • supporting students with disabilities on statewide assessments; • what every administrator should know about assessment accommodations for the CMT/CAPT. 	<p>July 2005 to 2011</p>	<ul style="list-style-type: none"> • Allocate a portion of IDEA and Title I funds to professional development providers
<p>3.4 (Revised) Provide training to school and district personnel by the Leadership and Learning Center on Data Teams and Data Driven Decision Making, Making Standards Work, Effective Teaching Strategies, Common Formative Assessments and Improving School Climate to Support Student Achievement. The Department offers basic and certification training through our Connecticut Accountability for Learning Initiative (CALI) professional development offerings. Certification training gives participants license to conduct basic training in order to develop state capacity.</p>	<p>2006-07 school year through 2011</p>	<ul style="list-style-type: none"> • Bureau of School and District Improvement

Improvement Activities	Timelines	Resources
<p>3.5 (Revised) Provide targeted training to districts and schools that do not make adequate yearly progress solely for the subgroup of students with disabilities (using March 2006 assessments). Training will be offered to all schools in Connecticut that have not made adequate yearly progress for students with disabilities beginning with the 2008-09 school year.</p>	<p>2006-07 through 2011</p>	<ul style="list-style-type: none"> • Training provided by the State Education Resource Center
<p>3.6 (New) Mandate Certified Rater Training for all special education teachers who administer the CMT/CAPT skills checklist.</p>	<p>2006-07 school year through 2011</p>	<ul style="list-style-type: none"> • Bureau of Student Assessment
<p>3.7 (Revised) Develop a menu of training opportunities for use by schools not making adequate yearly progress for students with disabilities, especially for those students who are increasing their time in regular classrooms. Components will include trainings by the Leadership and Learning Center on Data Teams and Data Driven Decision Making, Making Standards Work, and Effective Teaching Strategies for Leaders, as well as resources on differentiated instruction, co-teaching, gap analysis, Educational Benefit Review Process and excerpts from Step by Step.</p>	<p>2006-07 through 2011</p>	<ul style="list-style-type: none"> • Bureau of School and District Improvement • Bureau of Special Education • SERC
<p>3.8 (Revised) Disseminate information and partner with the Connecticut Institutes of Higher Education to provide resources and essential components of the Leadership and Learning Center trainings (Data Teams, Data-Driven Decision-Making, Making Standards Work Effective Teaching Strategies, Common Formative Assessment) so that these concepts can be integrated into teacher preparation programs. Beginning with the 2007-08 school year, partner with Connecticut Association of School Principals (CAS), Connecticut Association of Boards of Education (CABE) and the leadership of the state’s teachers’ unions about the Department’s CALI work with school and district personnel.</p>	<p>2006-07 school year through 2011</p>	<ul style="list-style-type: none"> • Bureau of School and District Improvement • Bureau of Accountability, Compliance and Monitoring • SERC/RESC Alliance • Institutes of Higher Education (IHE)

Improvement Activities	Timelines	Resources
3.9 Collaborate with transition initiatives to ensure that transition goals and objectives are in alignment with the revision of curriculum frameworks and standards.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Department personnel • Stakeholder groups, including the Interagency Transition Task Force
3.10 Disseminate data to all school districts via District Annual Performance Reports and the Strategic School Profiles. Data are available on the Department website.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Department personnel
3.11 Evaluate prior training activities to determine future technical assistance to school districts not making adequate yearly progress.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Bureau of School and District Improvement • SERC • RESC • IHE
3.12 (New) Develop publication and conduct statewide training on Scientific Research-Based Interventions (SRBI): Connecticut’s Response to Intervention Framework.	2007-08 school year through 2011	<ul style="list-style-type: none"> • Bureau of School and District Improvement
3.13 (New) Continue to implement legislation enacted in 2007 that focuses on school and district improvement relative to increased outcomes for all students. This law gives authority to the Department to conduct school and district assessments and monitor district improvement plans for those schools and districts that are in year 3 of not making adequate yearly progress under NCLB.	2007-08 school year through 2011	<ul style="list-style-type: none"> • Bureau of School and District Improvement • Bureau of Accountability, Compliance and Monitoring
3.14 (New) Through the work of the Bureau of Curriculum and Instruction, develop tools for school personnel to improve core instruction such as grade level expectations aligned with Curriculum Frameworks, Walkthrough Protocol, and a Model for Curriculum Development and Implementation Guide.	2007-08 school year through 2011	<ul style="list-style-type: none"> • Bureau of Curriculum and Instruction
3.15 (New) Provide training on assessment accommodations for the CMT/CAPT to ensure fidelity of implementing accommodations.	2007-08 school year through 2011	<ul style="list-style-type: none"> • Bureau of Student Assessment

Improvement Activities	Timelines	Resources
<p>3.16 (Revised) Develop math and reading benchmark assessments that would be available in the fall, winter and spring of grades 3 through 8 for educators to use with students. The assessments cover, at minimum, the math grade level expectations (GLEs) and the reading strands of the CMT. The Connecticut benchmark assessments are computer-based, using the Measurement Incorporated Secure Test. Volunteer districts provide feedback about the system with the anticipated statewide launch date of fall 2009.</p>	<p>2007-08 school year through 2011</p>	<ul style="list-style-type: none"> • Bureau of Curriculum and Instruction • Bureau of Student Assessment
<p>3.17 Revise Connecticut curriculum frameworks and standards to make them more user-friendly to teachers.</p>	<p>2007-08 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel
<p>3.18 (New) In the 2008-09 school year, the Bureau will be conducting focused monitoring visits in the area of participation and performance of students with disabilities on statewide assessments. The Focused Monitoring Steering Committee determined this to be the key performance indicator after looking at data from both compliance and performance indicators in the SPP. Focused monitoring visits in this area allows for alignment with the Bureau of Accountability, Compliance and Monitoring who conduct visits for districts for all students that are in need of improvement for four years under NCLB. Additionally, this allows districts to focus on improved outcomes for students with disabilities, in conjunction with compliance components that will occur within the visits.</p>	<p>2008-09 school year</p>	<ul style="list-style-type: none"> • Bureau of Special Education • Focused Monitoring Steering Committee

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Overview, Page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: FAPE in the LRE

Indicator 4: Rates of suspension and expulsion:

- A. Percent of districts identified by the State as having a significant discrepancy in the rates of suspensions and expulsions of children with disabilities for greater than 10 days in a school year; and
- B. Percent of districts identified by the State as having a significant discrepancy in the rates of suspensions and expulsions of greater than 10 days in a school year of children with disabilities by race and ethnicity.

(20 U.S.C. 1416(a)(3)(A); 1412(a)(22))

Measurement:

- A. Percent = [(# of districts identified by the State as having significant discrepancies in the rates of suspensions and expulsions of children with disabilities for greater than 10 days in a school year) divided by the (# of districts in the state)] times 100.
- B. Percent = [(# of districts identified by the State as having significant discrepancies in the rates of suspensions and expulsions for greater than 10 days in a school year of children with disabilities by race ethnicity) divided by the (# of districts in the state)] times 100.

Include State's definition of "significant discrepancy."

Overview of Issue/Description of System or Process:

Department consultants from the Bureau of Data Collection, Research and Evaluation and the Bureau of Special Education met to review suspension and expulsion data. The areas of suspension and expulsion reviewed included: special education out-of-school suspensions, special education overall (in- and out-of-school) suspension rates, and a calculated difference score between the overall suspension rates of students with disabilities and that of their nondisabled peers. Districts were ranked on these three indicators. A cut score for data of concern was established. Finally, districts were identified as belonging to one of three groups: districts with data below the state average, districts with data between the state average and the established cut score, and districts with data above the established cut score for suspension and expulsion rates of concern. Districts with atypical suspension and expulsion data (two or more indicators with data above the state established cut score) were notified in early spring 2005 and required to review their data and explain the patterns in the data.

The 2004-05 analysis discussed here using the 2003-04 school year suspension and expulsion data represents the establishment of a baseline for future comparison. The Department has been working diligently to clarify and consolidate the collection of suspension and expulsion data. During the 2004-05 school year the Department conducted a number of activities to address inappropriate use of suspension and expulsion. Technical assistance was provided to districts based upon the recommendation of the Continuous Improvement Partnership Team (CIPT).

During the 2003-04 school year, the Department published in the Special Education Strategic School Profiles suspension and expulsion information (2002-03 data) for each district. District counts and rates were illuminated for in-school suspensions, out-of-school suspensions and expulsion data for both general and special education students. Statewide data were included for comparison. This new public display of data drew attention to the disproportionate suspension of students with disabilities within a district as well as across districts.

In response to the FFY 2003 Annual Performance Report letter dated October 18, 2005, the Department will communicate with superintendents of LEAs about disproportionately suspending students with disabilities and for having a high rate of suspending students with disabilities. District personnel will be asked to review and revise policies, procedures and practices related to development of IEPs, use of behavioral interventions and procedural safeguards. District personnel will report to the Department on a review of policies, procedures and practices, and the development of a plan to reduce the rate of suspensions. Targeted assistance and training will then be provided by the Department. The Department will report on these interventions in the 2007 APR.

Baseline Data for FFY 2004 (2004-2005):

Sampling is not used. Data are valid and reliable.

4A. Thirty-six districts or 21.3 percent of the districts in Connecticut demonstrate a significant discrepancy for the suspension and expulsion of students with disabilities based on 2004-05 school year data.

$(36/169 \times 100 = 21.3\%)$

4B. Per OSEP instructions, not reporting at this time.

Discussion of Baseline Data:

4A. In order to address Indicator 4A, the Department calculated the greater than 10-day suspension and expulsion rates for each district for special education. The Department set a cut score of greater than 2 percent and determined which districts had a greater than 10-day suspension rate for students with disabilities. Thirty-six districts or 21.3 percent of the districts in Connecticut reported a suspension and expulsion rate of students with disabilities greater than 2 percent based on 2004-05 school year data.

Due to the data collection practices within the Department associated with the collection of suspension and expulsion data, it is not anticipated that these data will be reported within federal timelines (November 1 – Table 5; February 1 APR/SPP) for the foreseeable future. Currently, it is Department policy to open the discipline data collection in mid-July and allow reporting through late October. This timeline allows the Department to conduct multiple

validation checks and align the discipline file with the state’s Public School Information System (PSIS) and assessment data collection files. The Department will be meeting in spring 2007 to discuss how and when it will be possible to convert the discipline data collection to an online system linked directly to PSIS and enable collection in a manner that facilitates timely reporting of suspension and expulsion data.

Due to our data collection timelines, the 2004-05 school year suspension and expulsion data will be used as a data point to select districts for focused monitoring for the 2006-07 school year. Four data probes will be used: unique out-of-school suspension and expulsion rates for general education students; unique out-of-school suspension and expulsion rates for students with disabilities; difference between unique out-of-school suspension and expulsion rates for general and special education students; and greater than 10-days out-of-school suspension and expulsion rate for students with disabilities.

4B. The Department is designing a data analysis that mirrors our current disproportionality initiative to address disproportionate suspension of students with disabilities. The Department’s planning group has reviewed guidance from OSEP, NCCRESt, and other states through the NASDE survey to assist with determining our definition of significant disproportionality in these categories. The Department continues to struggle with concerns regarding small *n* size when assessing racial disproportionality in subgroups of subgroups of the students with disabilities subgroup in our state (i.e., students with disabilities suspended greater than 10 days). In the meantime, the Department is conducting focused monitoring visits on suspension of students with disabilities, which include an analysis of disproportionality and policies, practices and procedures as part of the site visits. Additionally, discussions center on the determination of directing funds for disproportionate suspension. To date, the Department has directed funds for disproportionate identification by disability only.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	4A: 30.0%
2006 (2006-2007)	4A: 30.0%
2007 (2007-2008)	4A: 25.0%
2008 (2008-2009)	4A: 20.0%
2009	4A: 15.0%

FFY	Measurable and Rigorous Target
(2009-2010)	
2010 (2010-2011)	4A: 10%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
4.1 Provide professional development activities statewide on: <ul style="list-style-type: none"> • Positive Behavior Supports: A Systems Approach to Effective School-wide Management; and • Challenging Behaviors: A Series of Three Workshops 	2005-06 school year	<ul style="list-style-type: none"> • Statewide training provided by SERC
4.2 (Revised) Disseminate state color-coded maps representing suspension/expulsion rates for students with disabilities.	2005-06 school year	<ul style="list-style-type: none"> • Department personnel to design and disseminate the maps • Department website
4.3 Include in bureau information to school district personnel current research on alternatives to suspension and expulsion.	September 2006	<ul style="list-style-type: none"> • Department personnel to review research and develop update to district personnel
4.4 Use suspension and expulsion data as a data point to select districts for focused monitoring.	2005-06 and 2007-08 school year	<ul style="list-style-type: none"> • Department personnel and focused monitoring procedures
4.5 Conduct statewide summit on dropout prevention, graduation and alternatives to suspension; incorporate into three day consortium.	2006-07 and 2007-08 school year	<ul style="list-style-type: none"> • Department personnel • SERC personnel • Allocate a portion of IDEA funds awarded to SERC for summit activities
4.6 (Revised) Meet with State Education Resource Center (SERC) staff to discuss statewide and district-specific activities and training to address rates of suspension and expulsion.	2005-06 school year through 2011	<ul style="list-style-type: none"> • Allocate a portion of IDEA funds awarded to SERC to provide professional development activities • Department personnel • SERC personnel • CSPD Council
4.7 Provide targeted training to individual districts on positive behavior supports.	2005-06 school year through 2011	<ul style="list-style-type: none"> • District and school-wide training provided by SERC

Improvement Activities	Timelines	Resources
4.8 (Revised) Assign a consultant from the Bureau of Special Education for suspension and expulsion of students with disabilities. This person will work with Department and other state agencies (Department of Children and Families and Department of Mental Health) to strengthen and promote interagency collaboration.	July 2005 through fall 2008	<ul style="list-style-type: none"> • Department personnel
4.9 Disseminate data to all school districts via District Annual Performance Reports and the Strategic School Profiles. Data are available on the Department website.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Department personnel
4.10 Use the resources and technical assistance of The Center on Positive Behavioral Interventions and Supports.	2005-06 school year through 2011	<ul style="list-style-type: none"> • The Center on Positive Behavioral Interventions and Supports
4.11 Identify and disseminate information regarding model programs in the area of reducing suspension and expulsion.	April 2006 through 2011	<ul style="list-style-type: none"> • Department personnel and funding from the State Personnel Development Grant (SPDG)
4.12 (Revised) Explore components of school engagement model to be included in request for proposal (RFP) to develop demonstration programs aimed at increasing graduation rate and decreasing suspension, expulsion and dropout rates.	2007-08 school year through 2011	<ul style="list-style-type: none"> • Department personnel and IDEA funding
4.13 (New) Monitoring from the Bureau of Accountability, Compliance and Monitoring to require inclusion of strategies to decrease suspension rates in districts where discipline and behavior are significant concerns, contributing to graduation and dropout issues.	2007-08 school year through 2011	<ul style="list-style-type: none"> • Consultants and managers with expertise in the education of students with disabilities are assigned full time to the Bureau of School and District Improvement and the Bureau of Accountability, Compliance and Monitoring

Improvement Activities	Timelines	Resources
<p>4.14 (New) The Department has identified the Bureau of Health/Nutrition, Family Services and Adult Education to assume primary responsibility for dropout prevention services. This intra-agency taskforce will work with the Bureaus of Special Education, Curriculum and Instruction and Accountability, Compliance and Monitoring. The taskforce will implement the following recommendations:</p> <ol style="list-style-type: none"> 1. conduct in-depth analyses of dropout and suspension data among Connecticut’s school children; 2. identify individuals in the state with expertise in dropout prevention and reach out to national consultant; 3. complete an analysis of local programs in Connecticut to identify exemplary models; and 4. promote the use of Scientific Research-based Intervention (SRBI) to identify youth at risk of dropping out of school. 	<p>2008-09 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel
<p>4.15 (New) Continue training through the Connecticut Accountability for Learning Initiative’s (CALI) module entitled Improving School Climate to Support Student Achievement to facilitate the reduction of suspensions/expulsion that impact graduation and dropout rates. The Department offers basic and certification training through our Connecticut Accountability for Learning Initiative (CALI) professional development offerings. Certification training gives participants license to conduct basic training in order to develop state capacity.</p>	<p>2006-07 school year through 2011</p>	<ul style="list-style-type: none"> • Bureau of School and District Improvement
<p>4.16 (New) The Department to release official guidance to districts regarding in-school and out-of-school suspensions as passed via state legislation to be effective July 1, 2009. The Department to host a statewide conference and regional training for all districts on implementation of in-school suspension guidelines.</p>	<p>2008-09 school year through 2010</p>	<ul style="list-style-type: none"> • Department personnel

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Overview, Page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: FAPE in the LRE**Indicator 5:** Percent of children with IEPs aged 6 through 21:

- A. Removed from regular class less than 21% of the day;¹
- B. Removed from regular class greater than 60% of the day; or
- C. Served in public or private separate schools, residential placements, or homebound or hospital placements.

(20 U.S.C. 1416(a)(3)(A))

Measurement:

- A. Percent = [(# of children with IEPs removed from regular class less than 21% of the day) divided by the (total # of students aged 6 through 21 with IEPs)] times 100.
- B. Percent = [(# of children with IEPs removed from regular class greater than 60% of the day) divided by the (total # of students aged 6 through 21 with IEPs)] times 100.
- C. Percent = [(# of children with IEPs served in public or private separate schools, residential placements, or homebound or hospital placements) divided by the (total # of students aged 6 through 21 with IEPs)] times 100.

Overview of Issue/Description of System or Process:

In the 2004-05 school year, 169 school districts in Connecticut provided special education and related services to 65,050 children with disabilities ages 6 - 21. This represents 11.4 percent of the total school population of children ages 6-21. The overwhelming majority of children, 93.9 percent, are educated in public schools, in either the LEA in which they live or another LEA.

Given the very small and rural nature of many of Connecticut's school districts, a Regional Educational Service Center (RESC) network, along with private special education schools, are available to the 169 public schools to provide services and educational programs to students. The Department of Corrections, the Department of Children and Families and the Connecticut Technical High School System each operate a public school district for students in their jurisdiction as defined by Connecticut state law. These students are not reported by any other school district identified in this report.

¹ At the time of the release of this package, revised forms for collection of 618 State reported data had not yet been approved. Indicators will be revised as needed to align with language in the 2005-2006 State reported data collections.

Baseline Data for FFY 2004 (2004-2005):

For the school year 2004 – 05:

5A. The percentage of students with disabilities aged 6 - 21 removed from regular class less than 21 percent of the day was 60.7 percent $(39,480 / 65,052) \times 100 = 60.7\%$

5B. The percentage of students with disabilities aged 6 through 21 removed from regular class greater than 60 percent of the day was 10.3 percent $(6,687 / 65,052) \times 100 = 10.3\%$

5C. The percentage of students with disabilities aged 6 - 21 served in public or private separate schools, residential placements, or homebound or hospital placements was 6.1 percent $(3,999 / 65,052) \times 100 = 6.1 \%$

Discussion of Baseline Data:

Data indicate a continuous increase for Indicator 5A and a continuous decrease for Indicator 5B for the past three years. Indicator 5C has decreased from the 2002-03 school year. Due to data trends moving in the appropriate direction, many of the interventions previously used that have contributed to achieving these data outcomes will continue (see improvement activities section that follows).

Annually, LEAs in Connecticut report to the Department the number of hours that students receiving special education and related services spend in school in any given week, along with the number of nondisabled peer hours per week. Nondisabled peer hours are determined by counting the number of hours a student spends with his or her nondisabled peers, including both special education and non-special education hours. This information is recorded on the IEP and submitted on December 1 of the school year. The Department calculates what proportion of time each student spends with nondisabled peers per week by dividing the number of nondisabled peer hours by the total school hours and multiplying by 100. Using this calculation, every student with an IEP is assigned a code to represent one of the three federal categories for educational environment of children with disabilities: removed from the regular class less than 21 percent of the day; removed from the regular class at least 21 percent of the day but no more than 60 percent of the day; and removed from the regular class greater than 60 percent of the day. The Department collects this information for every student with an IEP or service plan in the state. For the purposes of Part B Section 618 data reporting, students served in public or private separate schools, residential placements or homebound or hospital placements are not included in the educational environments categories. These students are considered in Least Restrictive Environment (LRE) data monitoring and auditing activities that examine LEAs' time with nondisabled peer data.

Disaggregation of Indicators 5A, 5B and 5C by age, disability type and location of service may be useful for further development of interventions. Additionally, stakeholders suggest that accuracy of this data may be influencing the rate of improvement as state terms being translated to federal terms may influence the way in which subindicator data is reported. Also, previous data audits of Indicator 5A for students with an intellectual disability have suggested an error rate that needs improvement and may be effecting data accuracy of other disabilities' data for Indicators 5A and 5B.

FFY	Measurable and Rigorous Target		
2005 (2005-2006)	5A: 62.5%	5B: 10.0%	5C: 6.0%
2006 (2006-2007)	5A: 65.0%	5B: 9.0%	5C: 5.8%
2007 (2007-2008)	5A: 67.5%	5B: 8.0%	5C: 5.6%
2008 (2008-2009)	5A: 70.0%	5B: 7.0%	5C: 5.4%
2009 (2009-2010)	5A: 72.5%	5B: 6.0%	5C: 5.2%
2010 (2010-2011)	5A: 75.0%	5B: 5.0%	5C: 5.0%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
5.1 (Revised) Begin implementation of the newly developed CMT/CAPT Skills Checklist, which is aligned with the state grade- level Connecticut Curriculum Frameworks to be used for assessment and instructional planning.	Spring 2006	<ul style="list-style-type: none"> Two consultants from the Bureau of Research, Evaluation and Assessment to work on training and development of CMT/CAPT standard and checklist assessment
5.2 Examine mentoring teacher qualifications and training, and availability for student teaching placements in LRE settings.	Spring 2006	<ul style="list-style-type: none"> Bureau of Special Education facilitator and Bureau of Research, Evaluation and Assessment data analyst available for discussions with appropriate stakeholders (higher education personnel and district personnel)
5.3 Examine data definitions used for reporting to determine how to best report data to accurately reflect state and district activities that address LRE indicators.	Spring 2006	<ul style="list-style-type: none"> Bureau of Special Education facilitator and Bureau of Data Collection, Research and Evaluation data analyst to examine data definitions and reporting practices

Improvement Activities	Timelines	Resources
<p>5.4 Hold a forum with Superintendents, lead by the Connecticut Commissioner of Education, to discuss student participation in home school and general education classes.</p>	<p>Spring 2006</p>	<ul style="list-style-type: none"> • Five consultants from the Bureau of Special Education (one assigned full time) to work on the LRE initiative
<p>5.5 (Revised) Conduct statewide focused monitoring on LRE as a key performance indicator focusing on percentage of regular class placement; percentage of separate class placement; percentage of out of district placement; mean percentage of time with nondisabled peers (TWNDP) in-district (K-12); and mean percentage of TWNDP (PK) to ensure that LRE decisions are made on an individualized basis in accordance with applicable regulations. Review to include low performing districts chosen from four population groups.</p>	<p>2005-06 school year</p>	<ul style="list-style-type: none"> • 14 consultants from the Department to conduct focused monitoring site visits on LRE, including focused monitoring coordinator; and five consultants from the Department (one assigned full time) to work on the LRE initiative
<p>5.6 (Revised) Disseminate state color-coded map, by district, representing LRE data and goals of the <i>P.J. et al. v. State of Connecticut, Board of Education, et al. Settlement Agreement</i></p>	<p>2005-06 school year</p>	<ul style="list-style-type: none"> • Department personnel to design and disseminate the map • Department website • Map dissemination
<p>5.7 Support implementation of academy to train coaches to provide in-district support to teachers educating students with disabilities in the general education classroom.</p>	<p>2005-06 and 2006-07</p>	<ul style="list-style-type: none"> • Allocate \$270,000 to an organization to conduct a Coaches Academy per year
<p>5.8 Support implementation of a statewide technical assistance team to respond to districts and parents in need of immediate technical assistance to assist in helping a specific student to remain/return appropriately in/to the student’s home school and/or general education classroom.</p>	<p>2005-06 and 2006-07</p>	<ul style="list-style-type: none"> • Allocate \$200,000 to an organization to operate a technical assistance team to assist with student specific needs in home school and general education class placement
<p>5.9 Conduct parent support in LRE through training and material dissemination.</p>	<p>2005-06 and 2006-07</p>	<ul style="list-style-type: none"> • Allocate \$20,000 of IDEA funds awarded to the Parent Training and Information Center (PTI) – The Connecticut Parent Advocacy Center (CPAC) for parent training on LRE related activities

Improvement Activities	Timelines	Resources
<p>5.10 Provide “Families as Partners” training to parents and districts participating in STARS and Coaches Academy.</p>	<p>2006-07 school year</p>	<ul style="list-style-type: none"> • Provide \$10,000 to joint university project through the University Center on Excellence in Developmental Disabilities (UCEDD) to conduct training
<p>5.11 Meet three times a year with the Expert Advisory Panel (EAP) of the <i>P.J. et al. v. State of Connecticut, Board of Education, et al.</i> Settlement Agreement to advise the state in increasing home school and regular class placement and examining out-of-district placements for students with intellectual disabilities.</p>	<p>2005-06 school year through December 2007</p>	<ul style="list-style-type: none"> • Allocate \$85,000 for Expert Advisory Panel expenses per year
<p>5.12 Discussions with the Department of Children and Families (DCF) about placement boundaries and impact on out-of-state and out-of-district placements, and determine next steps.</p>	<p>2007-08 school year</p>	<ul style="list-style-type: none"> • Department personnel to meet with DCF staff
<p>5.13 (Revised) Disseminate <i>P.J. et al. v. State of Connecticut, Board of Education, et al.</i> Settlement Agreement data to all school districts via District Annual Performance Reports. Data are available on website.</p>	<p>2005-06 school year through 2010</p>	<ul style="list-style-type: none"> • Department personnel
<p>5.14 (Revised) Continue to conduct general supervision and monitoring of targeted districts in the area of LRE/ID (intellectual disabilities). This is to include requiring targeted districts to submit action plans and multiple data reports per year on LRE/ID.</p>	<p>2006-07 school year through 2010</p>	<ul style="list-style-type: none"> • Five consultants from the Department (one assigned full time) to work on the LRE initiative
<p>5.15 (Revised) Use nationally available resources and research to guide the development of implementation strategies, such as the work of the Consortium on Inclusive School Practices to examine state and local policies on inclusion.</p>	<p>2005-06 through 2011</p>	<ul style="list-style-type: none"> • Five consultants from the Department (one assigned full time) to work on the LRE initiative • SERC
<p>5.16 Provide training and technical assistance to all <i>P.J. et al. v. State of Connecticut, Board of Education, et al.</i> Settlement Agreement targeted districts through the State Education Resource Center (SERC) in the areas of LRE/Inclusion.</p>	<p>2005-06 school year through 2011</p>	<ul style="list-style-type: none"> • Allocate a portion of IDEA funds awarded to the State Education Resource Center (SERC) to provide district specific training

Improvement Activities	Timelines	Resources
5.17 (Revised) Use National Center for Special Education Accountability Monitoring (NCSEAM) and LRE Part B Community of Practice to assist in informing best practice in monitoring.	2005-06 through 2011	<ul style="list-style-type: none"> • NCSEAM • Regional Resource Centers (RRC)
5.18 (Revised) Offer an annual statewide inclusion conference.	2005-06 school year through 2011	<ul style="list-style-type: none"> • Allocate a portion of IDEA funds awarded to the State Education Resource Center (SERC) to conduct the conference and support the celebration
5.19 (Revised) A Department committee will determine alternative methods of displaying data outside of the use of the District APR that serve to highlight district standing on state SPP targets.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Department personnel
5.20 (Revised) Provide resources and training to districts regarding transition services in college, university and community settings for at-risk and 18 - 21 year old students. Meet with State Education Resource Center (SERC) staff to discuss statewide and district-specific activities and training to address graduation and dropout.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Transition and LRE Workgroups • National Organization on Disability – Start on Success Programs (SOS) • CSPD Council
5.21 (Revised) Investigate alternative strategies to separate programming for students with ED and autism to educate in-district and increase their time with nondisabled peers. Continue emphasis on Positive Behavior Support training and technical assistance.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Department to review resources, visit programs, gather information to inform these issues • Allocate a portion funds awarded to the State Education Resource Center (SERC)
5.22 (Revised) Use LRE stakeholder group to provide in-depth examination of data to uncover underlying issues in order to generate activities that address specific issues affecting the data (specifically examine specific disability groups such as emotional disturbance and other health impaired, 18 to 21-year-olds placement; placement locations such as private separate and public separate).	2006-07 through 2011	<ul style="list-style-type: none"> • Bureau of Special Education facilitator and Bureau of Data Collection, Research and Evaluation data analyst

Improvement Activities	Timelines	Resources
<p>5.23 (Revised) Examine state agency placements, private placements and RESC options and current practices with each of these to illuminate future intervention strategies.</p>	<p>Spring 2006 through 2011</p>	<ul style="list-style-type: none"> • Bureau of Special Education facilitator and Bureau of Data Collection, Research and Evaluation data analyst
<p>5.24 (Revised) Increase focus on professional development and monitoring to assist districts in supporting students to remain in district that are being considered or are at risk for out-of-district placement, as well as to transition students back into district.</p>	<p>Spring 2007 through 2011</p>	<ul style="list-style-type: none"> • Allocate a portion of IDEA funds awarded to the State Education Resource Center (SERC) to offer statewide professional development training on LRE/Inclusion
<p>5.25 (Revised) Support training and information sharing sessions conducted by other public or private agencies on LRE for families and school/agency personnel.</p>	<p>2005-06 school year through 2011</p>	<ul style="list-style-type: none"> • Allocate up to \$200,000 per year from IDEA funds for supporting LRE activities or other public and private organizations with advisement from CSDE Parent Workgroup
<p>5.26 Provide professional development activities statewide on:</p> <ul style="list-style-type: none"> • co-teaching • differentiated instruction and assessment • principal training • nursing services and the IEP • curriculum topics • learning strategies • collaborative teaching; • speech pathologists as co-teachers • positive behavior supports 	<p>Spring 2007 through 2011</p>	<ul style="list-style-type: none"> • Allocate a portion of IDEA funds awarded to the State Education Resource Center (SERC) to offer statewide professional development training on LRE/Inclusion
<p>5.27 (Revised) Develop a menu of training opportunities for use by schools not making adequate yearly progress for students with disabilities, especially for those students who are increasing their time in regular classrooms. Components will include trainings by the Leadership and Learning Center on Data Teams and Data Driven Decision Making, Making Standards Work, and Effective Teaching Strategies for Leaders, as well as resources on differentiated instruction, co-teaching, gap analysis, Educational Benefit Review Process and excerpts from Step by Step.</p>	<p>Spring 2007 through 2011</p>	<ul style="list-style-type: none"> • SERC personnel • Bureau of School and District Improvement • Bureau of Special Education

Improvement Activities	Timelines	Resources
<p>5.28 (Revised) Continue to examine data on expansion of out-of-district placement and causal factors, and the quality of programming at separate and out-of-district placements to determine next steps. Explore additional statistical techniques to more accurately represent this data.</p>	<p>2007-08 school year through 2011</p>	<ul style="list-style-type: none"> • Bureau of Special Education and Bureau of Data Collection, Research and Evaluation staff to collaborate to examine data and to review findings of private facilities/RESC monitoring
<p>5.29 (Revised) Investigate reading and behavioral supports and methods of delivery that can be implemented at younger ages to reduce later out-of-district placements of students for reading difficulties and behavioral concerns.</p>	<p>2007-08 school year through 2011</p>	<ul style="list-style-type: none"> • Department to review resources, visit programs, gather information to inform these issues

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

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(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: FAPE in the LRE

Indicator 6: Percent of preschool children with Individualized Education Programs (IEPs) who received special education and related services in settings with typically developing peers (e.g., early childhood settings, home, and part-time early childhood/part-time early childhood special education settings).

(20 U.S.C. 1416(a)(3)(A))

Measurement:

Percent = # of preschool children with IEPs who received special education services in settings with typically developing peers divided by the total # of preschool children with IEPs times 100.

Overview of Issue/Description of System or Process:

There are 159 school districts in Connecticut that provide special education and related services to eligible 3-, 4- and 5-year-old children with disabilities. Since the initial submission of the SPP in December 2005, Connecticut served the following numbers of children ages 3 through 5 with an IEP:

School Year	Number of 3-, 4-, and 5-year-olds
2004-05	7,978
2005-06	7,881
2006-07	6,833*

* CT changed from a Dec. 1 to an Oct. 1 child count collection date

In Connecticut, kindergarten is provided to all children who turn age 5 on or before January 1st of a school year while preschool education for all children 3 and 4 years old is not a component of compulsory public elementary school education. Besides the availability of private community-based early childhood programs for the preschool-age population, the state has two publicly funded early childhood programs: a federally funded program, Head Start which also receives state funds, and a state funded early childhood program called School Readiness. Each of the two publicly funded programs has their own specific eligibility criteria. A number of children with disabilities who meet the eligibility requirements of either Head Start or School Readiness have access to and are included in these two publicly funded programs either as a part of or in addition to the special education and related services children received through an IEP. Children with disabilities also have access to and participate in private community-based early childhood programs which may or may not be a component of a child's IEP.

All school districts provide special education and related services to eligible 3-, 4- and 5-year-old children with disabilities and use a variety of service delivery options including an integrated program service model (e.g., ‘reverse mainstream program’) and itinerant services which may be provided at a community-based early childhood program and/or at a public school facility. Some school districts provide a preschool program specifically designed for typically developing 3- and 4-year-old children and use that particular program setting to provide services to preschool-age children with an IEP.

The state is working toward the goal of ultimately having preschool universally available for all children 3 and 4 years old in the next 10 years. There is a Governor’s Early Childhood Cabinet. Personnel from the State Department of Education are members of the Governor’s Early Childhood Cabinet and members of related work groups established by the Cabinet. The Governor’s Early Childhood Cabinet and the related work groups are building an inter-agency statewide collaborative network that will design and build an infrastructure for access to universal preschool for all children in the state.

State Data Collection System:

On an annual basis, the Department collects data from all school districts on the educational environments of 3-, 4- and 5-year-old children with disabilities receiving special education and related services. Data are provided to the Department through an electronic data submission. This data collection falls under the Section 618 data collection requirements in the IDEA. The data are collected annually in accordance with the established timelines for federal reporting. Data are not obtained from sampling.

Assurance of Data Accuracy

The Department has unique student identification numbers for students ages 3 through 21. Each school district has a mechanism to annually input required data on an individual student basis. Data is submitted electronically from each school district to the state’s data system. The data submitted to the Department are verified by the Superintendent of Schools as accurate. In addition, the Department data system has data verifications and checks that are a part of the data system to ensure the accuracy and validity of the data that are submitted by each school district.

Another activity to ensure the accuracy and validity of the data is the annual and on-going training and technical assistance provided to all school districts by the Department. Specific training and technical assistance is provided to the data managers in each school district to ensure their understandings of the data required and ultimately ensure accuracy in the information provided to the state. Upon collection of the data at the state level, Department personnel follow-up with individual school districts when unusual or outlier data have been provided to the state. Targeted technical assistance and guidance are available by the Department to assist school districts in their data reporting.

Implementation of a New Statewide Data Collection System 2006-07

A new state data system was implemented in the 2006-07 school year. The state's data system is known as SEDAC, the Special Education Data Application and Collection. The new data system was launched in 2006-07. Analysis of special education data for this indicator demonstrated a need for improved (1) understanding at the district level of new data elements and definitions; (2) data entry and accuracy at the district level and (3) ability to provide the needed comprehensive, individualized and targeted training and technical assistance to school districts. The data system's data verifications and checks assisted the Department in identifying needed improvements in the operation of the data system and the training needs of school districts.

LRE Data Collection 2004-05

The data collected on the IDEA 619 educational environments in 2004 included eight categories, two of which were optional reporting categories. The eight educational environment categories were those identified and defined by the U.S. Department of Education. The Department's data collection and analysis for 2004 reflected all eight federally identified and defined educational environment categories for children ages 3 through 5 with an IEP and included: early childhood setting; early childhood special education setting; part-time early childhood and part-time early childhood special education setting; separate school; residential facility; home; reverse mainstream setting, and itinerant services. The Department used the federal data definitions for each of the eight educational environments for data collection and analysis. Department training, technical assistance and data verification activities for these eight environment categories reflected strict adherence to the federal definition for each educational setting. The Department collected this data statewide on educational environments for each individual 3-, 4- and 5-year-old child with an IEP. This information was used to submit the federally required 618 data to the U.S. Department of Education, Office of Special Education Programs for 2004-05 and for reporting in the SPP submitted December 2005.

LRE Data Collection 2005-06

In 2005, the Department began revising its data collection to reflect the new educational environment categories approved by the U.S. Department's Office of Management and Budget (OMB). For 2005, the Department began implementation of the new federal educational environment categories using the new federal definitions to collect data from each school district. This information would ultimately be used to report on the educational environments of children ages 3 through 5 with an IEP. The new educational environments include: early childhood program, separate class (e.g., early childhood special education), separate school, residential facility, home and service provider location. In 2005, the Department created a crosswalk between the old and new categories to assist in the collection and analysis of data.

For one of the new reporting categories, the "early childhood program" environment, those children will be identified as: (a) spending 80 -100 percent of the time in regular education; (b) 40-79 percent of the time in regular education; or (c) 0-39 percent of the time in regular education. Statewide training and technical assistance was available in 2005-06 to ensure that special education personnel, including district data managers, were introduced to and understood the changes in the data collection to assist ensuring accuracy in data reporting.

Related state forms, state databases and other infrastructure elements were adjusted accordingly.

LRE Data Collection 2006-07

Beginning in the 2006-07 school year and annually thereafter, the state will collect and analyze information based upon new federal categories and the federal definitions on the educational environments of children ages 3 through 5 with an IEP. The new educational environments include: early childhood program, separate class (e.g., early childhood special education), separate school, residential facility, home and service provider location.

The new statewide data system, SEDAC, will be used to collect information from each school district in the state on each 3-, 4-, and 5-year-old child with an IEP. While the data collection in 2005-06 was cross-walked between the old and new educational environment categories, this is no longer required. The crosswalk was helpful in transitioning data managers to the new educational environment categories, but is not longer necessary in the second year of collection. General training and technical assistance was provided statewide to assist school districts in their understanding of the new system as well as the new data elements implemented and required for reporting in SEDAC.

LRE Data Reported 2004-05

Data collected by the Department from all school districts in the 2004-05 school year indicate that 61.0 percent of children 3, 4 and 5 years old with an IEP are receiving their special education and related services with typically developing peers in either an early childhood setting, a reverse mainstream setting or by receiving itinerant services (see italicized chart below).

Early Childhood Environments	2004-05
<i>Early Childhood Setting</i>	<i>22.1</i>
<i>Reverse Mainstream Setting</i>	<i>21.7</i>
<i>Itinerant Services</i>	<i>17.2</i>
Part-Time EC/Part-Time ECSE	18.8
Home	0.1
Early Childhood Special Education	19.3
Residential Facility	0.0
Separate School	0.7

A total of 1,767 children or 22.1 percent of children 3, 4 and 5 years old with an IEP received services in an early childhood setting. A total of 1,247 children 5 years of age (40.2 percent) received their services in a regular education kindergarten while the preschool population was served in a variety of early childhood settings that included private community-based programs, publicly funded preschool programs or preschool programs offered by the public schools. Specifically 8.1 percent of 3-year-olds and 12.6 percent of 4-year-olds were served in these three types of setting that offered time with typically developing peers.

A total of 21.7 percent or 1730 children ages 3 through 5 were served in a reverse mainstream setting. A reverse mainstream setting was utilized primarily for the preschool age population with 34.9 percent of 3-year-olds and 30.0 percent of 4-year-olds respectively served in a reverse mainstream setting while only 5.3 percent or 163, 5 year old children received their special educated services in a reverse mainstream setting. In Connecticut, itinerant services were utilized for the preschool population with 27.9 percent of 3 year old children and 24.2 percent of 4 year old children receiving itinerant services. Only 3.6 percent of 5year old population of kindergarten-aged children received itinerant services.

Regular education kindergarten appeared to be the least LRE for 5 year old children while the utilization of reverse mainstream settings and the delivery of itinerant services appeared to be the LRE for 3 and 4 year old children. More restrictive settings such as residential facilities, separate schools and home are rarely used in the state to deliver special education and related services to the eligible population of young children with an IEP.

LRE Data Reported 2005-06

The data obtained through a data crosswalk using the old and new data elements in the 2005-06 school year, indicate that 70.0 percent (n = 5520) of all children ages 3 through 5 with an IEP spend 80-100 percent of their time in a regular early childhood program. In drilling down into the data by age, of those children spending 80-100 percent of time in a regular early childhood environment, the data indicate that 60.9 percent of 3-year-olds, 69.3 percent of 4-year-olds and 76.7 percent of 5-year-olds spend 80-100 percent of their time in an early childhood environment, including kindergarten. The percentages of children spending 80-100 percent of their time with typically developing peers increase by age with the greatest percentage being reported for 5-year-olds. This increase by age may be due to the availability of more preschool opportunities for 4-year-olds and a public elementary education opportunity for 5-year-old children in kindergarten.

Within the federal definition of an early childhood program there are two other categories that are defined by the amount of time children with an IEP spend with typically developing peers. There were 731 children, or 9.3 percent of children ages 3 through 5 with an IEP spending 40-79 percent of time with typically developing peers. There were 164 children, or 2.1 percent of children ages 3 through 5 with an IEP spending 0-39 percent of time with typically developing peers. If the Department were to include these two groups of children in the SPP/APR reporting, the total percent of children ages 3 through 5 with an IEP in an early childhood environment would represent 81.4 percent of all children ages 3 through 5 with an IEP.

The Department examined the reporting of children ages 3 through 5 using the old federal educational environment categories and the new federal educational environment categories. This first year analysis indicated no significant difference in the educational environment categories that included separate school, residential facility, or home.

Children previously reported in the old category of reverse mainstream were now all in the new category “early childhood.” The children previously in a part-time early childhood, early childhood special education program were now in the new category “early childhood.” The children receiving itinerant services in 2004-05 school year either stayed in the itinerant

category as “service provider location only” or moved to the category “early childhood” depending on whether they were attending any program or service with typically developing peers. Essentially, the first year crosswalk showed no significant difference as the state began to move from one data collection of educational environments to the new categories approved by the U.S. Department of Education.

LRE Data Reported 2006-07

The data obtained through the new statewide data system, SEDAC, in the 2006-07 school year, indicate that 62.3 percent (n = 4259) of all children ages 3 through 5 with an IEP spend 80-100 percent of their time in the educational environment category of a regular early childhood program. The educational environment category of “early childhood” also indicates that there were 501 children, or 7.3 percent of children ages 3 through 5 with an IEP spending 40-79 percent of time with typically developing peers. There were 237 children, or 3.5 percent of children ages 3 through 5 with an IEP spending 0-39 percent of their time with typically developing peers. The total percent of children ages 3 through 5 with an IEP in an early childhood environment would represent 73.1 percent of all children ages 3 through 5 with an IEP.

This data analysis in the 2006-07 school year indicates that there continue to be no significant difference in the educational environment categories that included separate school, residential facility, or home. The data indicate that 1.0 percent of children were served in a separate school, 0.2 percent served in a residential facility and 0.2 percent were served at home. A total of 443 children or 6.5 percent received services through a service provider location and 1300 children or 19.0 percent were served in an early childhood special education program that had less than a 50-50 ratio of typically developing children to children with an IEP.

Assurance of Valid, reliable Data in 2006-07 and Thereafter

Note that due to changes in the 618 State-reported data collection, this indicator for the educational environment categories for children ages 3 through 5 with an IEP changed for the 2006 APR, due February 1, 2008. The Department emphasis in the 2006-07 school year and annually thereafter will be focused on the collection of valid and reliable data in order to provide baseline and targets in the 2007 APR, due February 1, 2009.

Activities for the collection of accurate, timely, reliable and valid data will include:

- Reviewing and amending any directions and definitions in the SEDAC user manual utilized by school districts to ensure that data directions and guidance guarantee data accuracy, validity and reliability.
- Reviewing and revising the statewide training and technical assistance system for school district data managers and other personnel to ensure that the training informs the knowledge base of personnel providing and entering the data.
- Reviewing and amending any state documents such as the statewide IEP Form and Manual to ensure that the data elements that must be collected are aligned to the IEP Form and Manual. The information will be made publicly available through the Department’s web site.

- Providing individual and group targeted technical assistance for school district personnel and parents through the Department and the state Parent Information and Training Center, the Connecticut Parent Advocacy Center (CPAC).
- Contacting and working with private companies and purchased service vendors that provide school districts computerized IEPs to ensure that the purchased programs and IEP forms address all required data elements conform to and align with the collection requirements for the 618 data on the educational environments for children ages 3 through 5 with an IEP.
- Reviewing the 2006-07 and 2007-08 data collection to identify needs and ensure that data reporting by school districts is valid and reliable.

Measurable and Rigorous Targets

To be established after the reporting of baseline in accordance with the timelines established by the SPP/APR and the U.S. Department of Education, Office of Special Education Programs.

FFY	Measurable and Rigorous Targets
2005 (2005-2006)	* Not required per OSEP instructions for FFY 2006.
2006 (2006-2007)	* Not required per OSEP instructions for FFY 2006.
2007 (2007-2008)	* Not required per OSEP instructions for FFY 2006.
2008 (2008-2009)	* Not required per OSEP instructions for FFY 2006.
2009 (2009-2010)	* Not required per OSEP instructions for FFY 2006.
2010 (2010-2011)	* Not required per OSEP instructions for FFY 2006.

** Note: The Department recognizes that while it is not inconsistent with the IDEA Part B to include numerical targets to increase the number of preschool and kindergarten-age children with IEPs to receive their special education and related services with typically developing peers, the Department will monitor school districts to ensure that placement decisions are made on an individual basis in conformity with 34 CFR sections 300.550 through 300.556 and that decisions regarding educational placements are not based upon any numerical target established by the Department.*

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
6.1 Provide targeted training and technical assistance to ensure the accuracy, validity and reliability of data reported.	2005-06 through 2011	• Department personnel
6.2 Develop and disseminate policy guidance documents specific to ensuring that children ages 3 through 5 with an IEP are provided a FAPE in the LRE.	2006-07 school year through 2011	• Department personnel

Improvement Activities	Timelines	Resources
<p>6.3 Develop and disseminate policy guidance and other documents to ensure that the child’s IEP team understands the new data definitions for the educational environment of children ages 3 through 5 with an IEP.</p>	<p>2006-07 through 2011</p>	<ul style="list-style-type: none"> • Department personnel
<p>6.4 Provide professional development opportunities for the broad early childhood and early childhood special education community including specific training and technical assistance on LRE and related issues for 3- and 4-year-old children.</p>	<p>2005-06 through 2011</p>	<ul style="list-style-type: none"> • Department and State Education Resource Center (SERC) personnel • Comprehensive System of Personnel Development (CSPD)
<p>6.5 Use the resources and training opportunities of the National Early Childhood Technical Assistance Center (NECTAC), the National Professional Development Center on Inclusion and other national centers, resources and training opportunities.</p>	<p>2005-06 through 2011</p>	<ul style="list-style-type: none"> • NECTAC, NPDCI resource and training opportunities
<p>6.6 Include a specific LRE focus in the Department’s general supervision efforts and activities related to FAPE in the LRE for 3-, 4- and 5-year-old children with disabilities.</p>	<p>2005-06 through 2011</p>	<ul style="list-style-type: none"> • Department personnel
<p>6.7 Conduct Department monitoring to ensure that decisions regarding educational placements for 3-, 4- and 5-year-old children with an IEP are made on an individual basis in accordance with all applicable federal and state laws. Monitoring tools used will include: reviews of student records; interviews with administrators, teachers (general and special education), related service professionals; soliciting input from parents through forums and other venues; and conducting observations of the implementation of a children’s IEPs.</p>	<p>2005-06 through 2011; activities will be continued until such time when no longer appropriate as indicated by data or other sources.</p>	<ul style="list-style-type: none"> • Department personnel

Improvement Activities	Timelines	Resources
<p>6.8 Provide financial resources to support early childhood accreditation through the National Association for the Education of Young Children (NAEYC) for programs serving 3-, 4-and 5-year-old children with an IEP as an incentive for providing an LRE and provide related training and technical assistance to support programs in the NAEYC accreditation process.</p>	<p>2005-06 through 2008</p>	<ul style="list-style-type: none"> • Funds up to \$40,000 annually • Department personnel • SERC personnel
<p>6.9 Provide a statewide coaching model to support early childhood community-based programs and personnel in meeting the needs of children with social-emotional, challenging behavioral and/or mental health needs.</p>	<p>2005-06 through 2010</p>	<ul style="list-style-type: none"> • Funds up to \$100,000 annually • Department personnel • Partnership with state agency for children’s mental health
<p>6.10 Provide a statewide coaching model and training and technical assistance to support early childhood community-based programs and personnel in implementing a Response to Intervention (RtI) model for preschool-age children called Recognition and Response.</p>	<p>2005-06 through 2009</p>	<ul style="list-style-type: none"> • Funds up to \$40,000 annually • Department personnel
<p>6.11 Provide parent training opportunities to ensure that parents are informed and knowledgeable about LRE settings for children 3, 4, and 5 years of age with disabilities, particularly those families transitioning from the Connecticut Birth to Three System.</p>	<p>2005-06 through 2011</p>	<ul style="list-style-type: none"> • Part C personnel • Department personnel • Parent Training and Information Center (PTI) – The Connecticut Parent Advocacy Center (CPAC)
<p>6.12 Work with Higher Education institutions preparing early childhood personnel, particularly two- and four-year institutions, to ensure that individuals working with young children in community-based settings are prepared to serve all children of varying abilities, including children with disabilities.</p>	<p>2005-06 through 2010</p>	<ul style="list-style-type: none"> • Department personnel
<p>6.13 Ensure that children with disabilities are included in the design and infrastructure development as the state works toward universal preschool for all children.</p>	<p>2005-06 through 2015</p>	<ul style="list-style-type: none"> • Department personnel

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

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Monitoring Priority: FAPE in the LRE**Indicator 7:** Percent of preschool children with IEPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/communication and early literacy); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416 (a)(3)(A))

Measurement:

- A. Positive social-emotional skills (including social relationships):
 - a. Percent of preschool children who did not improve functioning = [(# of preschool children who did not improve functioning) divided by the (# of preschool children with IEPs assessed)] times 100.
 - b. Percent of preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by the (# of preschool children with IEPs assessed)] times 100.
 - c. Percent of preschool children who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of preschool children who improved functioning to a level nearer to same-aged peers but did not reach it) divided by the (# of preschool children with IEPs assessed)] times 100.
 - d. Percent of preschool children who improved functioning to reach a level comparable to same-aged peers = [(# of preschool children who improved functioning to reach a level comparable to same-aged peers) divided by the (# of preschool children with IEPs assessed)] times 100.
 - e. Percent of preschool children who maintained functioning at a level comparable to same-aged peers = [(# of preschool children who maintained functioning at a level comparable to same-aged peers) divided by the (# of preschool children with IEPs assessed)] times 100.

If a + b + c + d + e does not sum to 100%, explain the difference.
- B. Acquisition and use of knowledge and skills (including early language/communication and early literacy)
 - a. Percent of preschool children who did not improve functioning = [(# of preschool children who did not improve functioning) divided by the (# of preschool children with IEPs assessed)] times 100.

- b. Percent of preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = $[(\# \text{ of preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers}) \div (\# \text{ of preschool children with IEPs assessed})] \times 100$.
- c. Percent of preschool children who improved functioning to a level nearer to same-aged peers but did not reach it = $[(\# \text{ of preschool children who improved functioning to a level nearer to same-aged peers but did not reach it}) \div (\# \text{ of preschool children with IEPs assessed})] \times 100$.
- d. Percent of preschool children who improved functioning to reach a level comparable to same-aged peers = $[(\# \text{ of preschool children who improved functioning to reach a level comparable to same-aged peers}) \div (\# \text{ of preschool children with IEPs assessed})] \times 100$.
- e. Percent of preschool children who maintained functioning at a level comparable to same-aged peers = $[(\# \text{ of preschool children who maintained functioning at a level comparable to same-aged peers}) \div (\# \text{ of preschool children with IEPs assessed})] \times 100$.

If a + b + c + d + e does not sum to 100%, explain the difference.

C. Use of appropriate behaviors to meet their needs:

- a. Percent of preschool children who did not improve functioning = $[(\# \text{ of preschool children who did not improve functioning}) \div (\# \text{ of preschool children with IEPs assessed})] \times 100$.
- b. Percent of preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = $[(\# \text{ of preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers}) \div (\# \text{ of preschool children with IEPs assessed})] \times 100$.
- c. Percent of preschool children who improved functioning to a level nearer to same-aged peers but did not reach it = $[(\# \text{ of preschool children who improved functioning to a level nearer to same-aged peers but did not reach it}) \div (\# \text{ of preschool children with IEPs assessed})] \times 100$.
- d. Percent of preschool children who improved functioning to reach a level comparable to same-aged peers = $[(\# \text{ of preschool children who improved functioning to reach a level comparable to same-aged peers}) \div (\# \text{ of preschool children with IEPs assessed})] \times 100$.
- e. Percent of preschool children who maintained functioning at a level comparable to same-aged peers = $[(\# \text{ of preschool children who maintained functioning at a level comparable to same-aged peers}) \div (\# \text{ of preschool children with IEPs assessed})] \times 100$.

If a + b + c + d + e does not sum to 100%, explain the difference.

The February 2009 SPP for this has been updated since the last submission.

Overview of Issue/Description of System or Process:

The Department established a statewide system to collect data on the developmental and functional progress of 3-, 4- and 5-year-old children with IEPs in the preschool grade. Information obtained through a statewide data collection system are used to report on the three early childhood outcome measurement areas: positive social-emotional skills, including social relationships; acquisition and use of knowledge and skills, including early language/communication and early literacy; and use of appropriate behaviors to meet needs.

Connecticut's statewide early childhood outcome measurement system for 3-, 4- and 5-year-old children receiving special education and related services consists of three major activities: (1) the development, implementation, evaluation and continued refinement of policies, procedures and practices for measuring child outcomes; (2) the development, implementation, evaluation and continued refinement of training and technical assistance; and (3) the development, implementation, evaluation and continued refinement of a statewide data collection system.

The first major activity, the development and implementation of statewide policies, procedures and practices, is specific to the early childhood outcome reporting requirement. The Department has developed policies and procedures that establish state guidance on how the early childhood outcome assessment is to be conducted, by whom, when and within what prescribed timeline. The established policies and procedures were used to develop and disseminate an Early Childhood Outcome (ECO) Question and Answer document which has been updated annually. The document outlines the requirements for all school districts and personnel in the state working with the population of children ages 3 through 5 with an IEP. This ECO Question and Answer document was used as the framework for informing school district personnel of their obligations to collect and report information for this indicator. The Department utilized feedback from school district personnel to evaluate the Department's policies and procedures and amended them as appropriate over the course of the implementation of the early childhood outcome requirement starting in 2005-06 and then again in each subsequent year. The Department will continue to re-evaluate state policies and procedures for this indicator. Policies and procedures will be updated annually by evaluating implementation at the state and local level and by obtaining continuous feedback from school personnel, families and other stakeholders.

The second major activity, the provision of training and technical assistance to school district administrators and personnel, was a major focus in the 2005-06 and again in each subsequent school year. The major goal of the training and technical assistance was to ensure that all school district personnel were knowledgeable about the early childhood outcome requirement and that personnel had the skills and competencies in the use of the assessment instrument in order to ensure consistency, reliability and validity in conducting the assessment and ultimately in ensuring accuracy in the measurement reporting. Focused training and technical assistance has taken place annually since the 2005-06 school year. Targeted training and technical assistance has been available on the administration of the assessment tool, state policies and procedures for meeting this requirement and the requirements and mechanism for data collection and reporting. Within the context of developing and implementing the training and technical assistance, Department activities embedded evidence-based practices in child assessment in meeting the early childhood outcome requirement. Beginning in 2006-07 and annually thereafter, the Department enlisted the state's Regional Educational Service Centers (RESCs) to provide more

regionally-based and individualized training and technical assistance to their member school districts. Training and technical assistance materials were developed over the course of the three years of the implementation of this requirement and were made available during the trainings and in targeted technical assistance to school districts. All trainings were evaluated by a feedback form completed by participants. The evaluation information from participants was used to review and revise policies and procedures as well as inform future training and technical assistance activities. This type of training and technical assistance and the cycle of evaluation and refinement will continue on an annual basis.

It is anticipated that in future years statewide and regional training and technical assistance will be directed to topics and areas that are identified as a result of the analysis of the early childhood outcome data on child progress. An example of a training need that could potentially be identified through an analysis of the data might be targeted training and technical assistance on the collection and reporting of accurate and valid data. Another possible example of targeted training and technical assistance could occur if the state data indicates that children do not appear to be making progress in the area of early literacy. Consequently, the Department could then focus its training and technical assistance resources on early reading and literacy development.

In relation to general pre-service and in-service training, the Department does have an active Comprehensive System of Personnel Development (CSPD) Council that can advise and assist in the identification of training and technical assistance as well as make recommendations in areas identified in the implementation of the early childhood outcome data collection. The CSPD Council may also be instrumental in helping to advise higher education institutions of the state's needs relating to personnel competencies and knowledge. Higher education's attention to the identified needs of the state can lead to better prepared personnel entering the field of early childhood special education particularly as it relates to child assessment and to creating teaching and learning environments that maximize children's developmental and functional skills.

The third activity is the development, implementation and evaluation of a statewide data system for data input, data verification and data analysis. A data collection system for the 2005-06 school year was developed and implemented. The data collection in 2005-06 consisted of a data disc to collect Point 1 information on all children with an IEP entering special education for reporting in the SPP submitted in February 2007. In the 2006-07 school year, the Department developed and implemented a web-based data collection system. The web-based data collection system developed and implemented is 'live' meaning that access by school districts for reporting child information is available throughout the calendar year except for a small portion of time in which the Department closes the system to capture and analyze the data for reporting in the SPP/APR. The data collection system captures the child's name, birth date, unique student identification number, the dates the test administration was completed and the specific data collected about the child's developmental and functional progress. The web-based data collection system collects Point 1 and Point 2 data on individual children which provides the aggregate child progress information analyzed and subsequently reported in the SPP and APR.

The Department also collects and maintains a distribution list of all individuals within a school district who have responsibilities related to the early childhood outcome requirement, including the data managers at the school district level who are responsible for the data. This mechanism for e-mail communication with the district allows the Department to ensure that school districts

have up-to-date information and allows for a system of communication for data verification and correction of missing or inaccurate data.

The parameters for the statewide early childhood outcome measurement system consist of the following:

Who will be included in the State’s early childhood outcome system?

For progress reporting on this indicator, all 3-, 4- and 5-year-old children who have been determined eligible for special education and who have an IEP in place for at least six months before entering kindergarten will be included in the early childhood outcome assessment system. All eligible children with an IEP will be administered a single state specific identified assessment tool to collect child specific data in each of the three early childhood outcome areas. There are no child exceptions for the exclusion of any child for any particular disability category, for the developmental and functional abilities of any child or for the level of service a given child receives. The Department’s requirement is that every child, ages 3-, 4- and 5-years-old, found eligible for special education and related services and who receives at least six months of special education before kindergarten entry will be included in the progress reporting for this indicator.

The state will not employ a sampling methodology to address this indicator.

Who will conduct the assessment and when?

The child’s primary early childhood special education service provider, either the child’s early childhood special education teacher and/or the child’s speech and language pathologist, will conduct the assessment to collect Point 1 and Point 2 data. The child’s early childhood special education service provider must conduct the assessment to collect Point 1 data within the first four weeks that the child begins receiving their special education and related services. It will be the early childhood special education service provider’s responsibility to collect Point 2 data for those children exiting preschool special education for any reason and/or prior to entering kindergarten. The guidance for the collection of Point 2 for those children entering kindergarten is that the collection of assessment information should take place in the spring of the school year and at most within eight to ten weeks of a child’s exit from the grade preschool.

What assessment instrument was selected by the state and why?

The Department selected a single statewide assessment instrument, the Brigance Diagnostic Inventory of Early Development II[®] (Brigance), a criterion-referenced assessment instrument, for the collection and reporting of early childhood outcome data. One reason for the selection of the Brigance was that many of the sub-domains of the Brigance correspond to the early childhood outcome areas that states are required to measure. Another reason for the selection of the Brigance is that many of the Brigance’s sub-domain areas could be cross-walked to the Connecticut Preschool Curriculum Framework (2006) and the Connecticut Preschool Assessment Framework (2005).

The Department requires school districts to administer the Brigance as a criterion-reference instrument, not as a standardized instrument, which is one of the options of the Brigance Diagnostic Inventory of Early Development II[®]. By using the Brigance as a criterion-referenced instrument, school district personnel can obtain information from direct testing when required, through observation in a natural setting, through parent report or through the reporting of a

child's skills and behaviors by individuals close to the child (e.g., the child's early childhood teacher). The Brigance also allows for the test administration of items to be modified or adapted based upon the needs of individual children. It can be administered across settings and situations with a focus on a child's functioning and performance. The purpose of administering the Brigance over the course of weeks by the professionals with the primary responsibility for the education of the child, is to obtain child specific information based upon an authentic assessment of a child. Observing the child within the context of their environment and using information from a child's parents and others close to the child allows for richer and more authentic information regarding a child's developmental and functional skills to be obtained both at entry to and at exit from preschool special education.

It should be noted that Connecticut is not utilizing the same assessment instrument as the state's Part C Program. In Connecticut, the Part C program chose the Hawaii Early Learning Profile (HELP) and the Carolina Curriculum for Infants and Toddlers with Special Needs as the assessment instruments that would be administered in the Part C Program in order to collect child specific information. The HELP and the Carolina Curriculum are the two curriculum-based assessment options that Part C providers are required to use to collect early childhood outcome information. When Part C collects information on how each child is functioning, that information is then converted to the seven-point ECO scale for those children receiving early intervention services in the state. The Department's IDEA 619 program did not select either curriculum-based assessment tool opting instead to support the state curriculum framework, the Connecticut Preschool Curriculum Framework (2006), for all children, including children receiving special education and related services.

The Department did purchase a Brigance testing manual for each school district. Each school district also received a small number of Brigance Developmental Record Booklets to be used to collect child specific Point 1 and Point 2 information. The state purchase was intended to off-set some of the initial costs that a school district would incur in order to move forward in the collection of child progress information, most specifically the collection of Point 1 information in 2005-06 and progress data for 2006-07 and for subsequent years. As needed, school districts are expected to purchase additional testing manuals and record booklets.

What is the Department's purpose in selecting the Brigance?

The Department selected the Brigance Diagnostic Inventory of Early Development II[®] primarily for the purpose of utilizing the assessment instrument for federal reporting purposes and for evaluating, monitoring and publicly reporting on the status of individual school districts in the state with regard to this indicator. The Brigance was not selected, and school districts are not required, to utilize this instrument to determine a child's eligibility for special education. The process of a child's eligibility determination, including the selection of assessment instruments, personnel, methods and procedures should be individually designed by the child's IEP Team with the parent's participation and based upon the presenting concerns that prompted a child's referral to special education. The Brigance was also not selected for the purpose of curriculum planning for a child or for a group of children. The Connecticut State Board of Education endorses and supports the Connecticut Preschool Curriculum Framework (2006) which defines the state's early learning standards. The Connecticut State Board of Education also endorses and supports the Connecticut Preschool Assessment Framework (2005). Both documents are used statewide for all programs serving the preschool-age population, including preschool-age

children with disabilities. The Department supports the utilization of curricula for typically developing children in a teaching and learning environment which provides a focus on high expectations for all learners. Separate disability specific curricula could supplement but could and generally should not replace nor serve as the sole basis for planning programs and delivering instruction to young children with an IEP.

What Brigance sub-tests will be administered?

The state selected a subset of Brigance sub-tests which correlate to the early childhood outcome questions for test administration and reporting. The Department sent the list of selected sub-tests to the Brigance test developer and publisher for review and approval. Feedback from both the developer and publisher of the Brigance IED-II was that the sub-tests selected were sufficiently varied and representative of the instrument, hence not compromising neither the intent nor the integrity of the instrument and were felt to sufficiently answer the federal questions regarding child progress. The Brigance sub-tests selected by the Department are required to be administered to all children 3, 4 and 5-years of age with an IEP entering preschool special education and will be used to collect data, at a child's entry to and exit from special education at the preschool grade level.

In selecting specific sub-tests of the Brigance for administration, the Department selected sub-tests of the Brigance that (a) sufficiently answered the three federally required early childhood outcome questions regarding a child's developmental and functional skills and (b) were as inclusive as possible of the skills and behaviors children might potentially demonstrate between the ages of birth through age 6 or 7. The Department's goal was to ensure that the sub-tests and the sub-test items were as varied and yet as inclusive as possible in order to capture information on children who might be demonstrating skills and behaviors either significantly below or significantly above age expectations. The Department recognizes that children's early learning and development are multidimensional and that the developmental and functional skill skills of children are inter-related. Children are capable and competent learners and they can exhibit, at any point in time, individual differences in their developmental and functional growth with a range of skills and competencies that can be quite varied. The Department organized the Brigance sub-tests by outcome measurement area to assist service providers in seeing how the developmental domains areas of the assessment correspond to a child's skills and competencies in functional areas. Additionally, the selected sub-tests were organized by outcome area to allow for the analysis of data in relation to developmental domains, functional skills and pre-academic areas. Information obtained from analysis could ultimately allow for focused and targeted training and technical assistance in a teaching and learning area.

The state selected the following Brigance sub-tests to answer the three early childhood outcome questions:

Positive Social Relationships (*including social relationships*)

- Sub-Test G.1 General Social-Emotional Development
- Sub-Test G.3 Initiative, Engagement, Behaviors

Acquisition & Use Knowledge and Skills (*including early language/communication and early literacy*)

Language

- Sub-Test E.4 General Speech & Language Development
- Sub-Test E.7 Verbal Directions
- Sub-Test F.6 Directional

Cognition

- Sub-Test E.8 Picture Vocabulary
- Sub-Test F.5 Quantitative
- Sub-Test F.7 Classifying

Literacy

- Sub-Test C.3 Handwriting
- Sub-Test F.1 Response to Experience with Books

Action To Meet Needs

Motor – Gross Motor

- Sub-Test B-1 Standing
- Sub-Test B-2 Walking
- Sub-Test B-4 Running
- Sub-Test B-10 Rolling and Throwing

Motor – Fine Motor

- Sub-Test C.1 General Eye, Hand, Finger Skills

Self-Help

- Sub-Test D-1 Feeding and Eating
- Sub-Test D-3 Dressing
- Sub-Test D-6 Toileting

The Department reviewed the cross-walk made available by the Early Childhood Outcome (ECO) Center which cross-walked various sub-test of the Brigance to the early childhood outcome questions. The Department also created a cross-walk of some of the selected sub-tests of the Brigance with the Connecticut Preschool Curriculum Framework (2006) and the Connecticut Preschool Assessment Framework (2005). Curriculum Associates, the publisher’s of the Brigance did this as well. The time and attention devoted to completing various cross-walks was an effort to: (a) ensure that the federal questions regarding child progress could be sufficiently answered and (b) identify that information obtained from the administration of the Brigance could be helpful to the child’s service provider because of its link to the state’s early learning standards, the Connecticut Preschool Curriculum Framework (2006) and the state’s on-going progress measurement system, the Connecticut Preschool Assessment Framework (2005). The two state documents serve as the template for the cycle of intentional teaching to all young children, including children with disabilities.

What about an alternate assessment?

The Department identified that the state selected sub-tests in the three early childhood outcome areas might not be sufficient for all children receiving special education and related services. In order to ensure that the state was able to collect information on the entire population of children receiving special education at the preschool grade level, the state selected sub-tests of the Brigance IED-II assessment instrument which could be administered as an *alternate assessment* when a specific selected sub-test did not include developmental and functional skills that went down to birth.

The following alternate sub-tests were identified:

Acquisition & Use Knowledge and SkillsLanguage

- Sub-Test E.2 Pre-speech Gestures
- Sub-Test E.3 Pre-speech Vocalization

Cognition

- Sub-Test E.1 Pre-speech Receptive Language

Action To Meet NeedsMotor – Pre-Ambulatory

- Sub-Test A-1 Supine
- Sub-Test A-2 Prone
- Sub-Test A-3 Sitting
- Sub-Test A-4 Standing

The direction rules for when to administer an alternate skills sub-test include the following:

“Some subtests are required to be administered only if a child’s mastery cannot be demonstrated on the following specific required subtests including:

- a) For sub-test B-1 - If that sub-test cannot be administered because a child’s developmental and functional skills are below the first item on B-1, the state is requiring that sub-tests A1, A-2, A-3 and A-4 be administered as an alternate assessment in place of sub-tests B-1, B-2, B-4 and B-10. The data collection system includes an automatic prompt for the district to provide data using the alternate assessment.
- b) For sub-test E-4 - If that sub-test cannot be administered because a child’s developmental and functional skills are below the first item on E-4, the state is requiring that sub-tests E-2 and E-3 be administered as an alternate assessment in place of sub-tests E-4, E-7 and F-6. The data collection system includes an automatic prompt for the district to provide data using the alternate assessment.
- c) For sub-test E-8 (2) - If that sub-test cannot be administered because a child’s developmental and functional skills are below the first item on E-8 (2), the state is requiring that sub-tests E-1 be administered as an alternate assessment in place of E-8 (2). The data collection system includes an automatic prompt for the district to provide data using the alternate assessment.

The Department anticipates that most children will not require assessment with the alternate assessment sub-tests (optional subtests) unless the child presents with physical, language and/or cognitive delays so significant that the child's skills cannot be appropriately measured by the required sub-tests selected by the Department.

Who will report the data to whom, in what form and how often?

The Department is not using the Early Childhood Outcome Summary Form (ECOSF) to collect and/or report child progress data for this indicator.

The Department relied on a data disc in 2005-06 to collect information from across the state on all children who entered special education as a preschool-age child. The data disc was sent to all school districts. Districts entered the required information and returned the disc with completed information back to the Department. Information from the discs were aggregated by the Department and used to present Point 1 information in the SPP submitted in February 2007.

In 2006-07, the Department developed and implemented a web-based system for the collection of early childhood outcome data. Information obtained from the data disc submitted the year previous was entered into the web-based data application. This web-based data collection system is the vehicle for collecting data and information in 2006-07 and in subsequent years. The data disc in 2005-06 and the web-based data collection system for 2006-07 includes elements for reporting on each required Brigance IED-II sub-test and each alternate sub-test, as appropriate. The data fields for entering the child's assessment results for each of the required sub-tests of the Brigance IED-II are organized by the instrument's sub-domain headings. Two types of information are collected on each sub-test and include: (1) the highest skill item number that the child successfully demonstrated (mastery item) in a specific sub-test and (2) the number of missed items between the first item of the sub-test and the last item that the child demonstrated mastery. The same information is collected at Point 1 and Point 2.

The collective information on Point 1 data representing each child that entered special education from May 1, 2006 to June 30, 2006 was aggregated and used for federal reporting in the SPP submitted February 2007. Beginning in 2006-07, Point 1 and Point 2 data are analyzed and used for reporting progress information in the SPP. The state analysis will be able to answer the following questions:

- Q2A.** Did the child not improve functioning?
- Q2B.** Did the child improve but not at a level comparable to same-age peers?
- Q2C.** Did the child improve functioning close to same-age peers?
- Q2D.** Did the child reach functioning at a level comparable to same-age peers?
- Q2E.** Did the child maintain functioning at a level comparable to same-age peers?

How will 'comparable to same age peers' be determined?

The Department's decisions regarding data analysis and reporting is based upon the validity of the Brigance items which provide reference points for skills and behaviors expected of children within certain age bands. The Brigance test items are a result of extensive research and multiple

validation studies. The items within each sub-test of the Brigance IED-II are hierarchically ordered to reflect the typical developmental trend of the increasing skill acquisition of children over time. In order to assist test administrators with the interpretation of results when the test is administered as a criterion-referenced assessment, certain items within each sub-test were determined by the developers of the Brigance IED-II to serve as age-specific benchmarks of skill acquisition. In conjunction with information gathered from validation and standardization studies, the Brigance IED-II developers determined the developmental age notations ascribed to specific items by compiling information from a comprehensive research base in the area of infant and early childhood development (a detailed bibliography is provided on pages 292-294 of the Brigance IED-II assessment). The ages (in months) ascribed to specific items increase from benchmark item to benchmark item. This corresponds to and reflects the hierarchical order of the items within each sub-test. Due to the inclusion of age-related benchmark items, the Brigance IED-II permits conclusions to be drawn about a child's performance on a sub-test relative to their chronological age and provides for comparison of skills and behaviors expected of a child's chronological age. The Department uses the instrument's age-related benchmarks to determine *comparable to same-age peers* in the data analysis.

What is the state's ability to collect and analyze data?

The Department has an electronic statewide data collection system for every student attending public school and an electronic statewide data collection system for every child receiving special education and related services. The statewide electronic data collection system for every student attending public schools is the Public School Information System (PSIS). The statewide electronic data collection system for students with an IEP is the Special Education Data Application and Collection (SEDAC). Both data systems are based upon a unique student identification number. The unique student identification number is called the State Assigned Student Identification Number (SASID). That number stays with the child throughout their school career and is used across data systems to capture individual student information.

In 2006-07 and thereafter, the Department assigns each child receiving special education and related services in the state's Part C program a SASID. That same number would stay with the child into their entry to the Part B system and/or entry to public school education. The utilization of the SASID number will assist the Department in using information from multiple database systems in any future analysis. Possible available future information from an analysis of the data could reflect and relate to the children who received or did not receive Part C services, the length of time children received preschool special education, the disability category of children who received preschool special education, the settings in which children received their services, etc., all of which could provide additional information and insight in relation to looking at the data on child progress.

The data collected and aggregated at the state level can also potentially reflect children's progress within various areas of development and functioning beyond the scope of the areas used for reporting on this indicator. For example, the data system would be able to identify how children in the state are functioning in language, literacy or in their independence and self-help skills. This data set will allow the Department to not only identify child progress in each of the three early childhood outcome areas as defined by this indicator but will also be able to provide information on children in each sub-test identified under the outcome questions. This type of analysis may assist the Department in identifying content and curriculum areas for targeted

training and technical assistance. On a school district level, this data may provide additional information as a program evaluation tool to assist individual school districts with improving their services and programs for children ages 3 through 5 with an IEP.

How will the state ensure valid and reliable data?

The Department chose a single assessment to be used to collect this information, the Brigance Diagnostic Inventory of Early Development II[®]. The instrument chosen has undergone rigorous reliability and validity studies. The available training and technical assistance on the administration of the assessment instrument and the reporting of data is designed to help ensure consistency in the test protocols and data reporting. There is also data verification that occurs by a series of validation checks built into the data collection system which helps ensure the reporting of valid and reliable data.

To ensure that the data collected are valid and reliable, consultants in the RESCs were trained and provide support to school districts on test administration and scoring. The item scoring is directly related to data collection and data entry thereby helping to ensure the validity and reliability of data. The Department also has trained personnel that can provide targeted training and technical assistance to school districts regarding the child specific assessment information that must be entered into the ECO web-based data collection system. Department personnel that provide technical assistance with regard to the data collection include: IDEA Part B personnel, the IDEA Part B data manager and data personnel, including PSIS and SEDAC staff and the Part B 619 coordinator.

The web-based data application also provides data checks against the entry of inaccurate data and requires users to enter complete student records prior to having the ability to save a child's test item scores. For each test item, the data menu only allows the selections (i.e., mastery item, number of items missed) appropriate to individual sub-tests. The data application allows for a review of the data in relation to individual children as well in the aggregate. Periodic review and analysis of the data by Department personnel occur to assist in the assurance of valid and reliable data.

What are the data checks for ensuring valid and reliable data?

Connecticut's ECO web-based data collection system is a secure, web-based application that is accessible by all school districts in the state. Data users at the school district level must receive an individual user pass code from the Department. The policies and procedures developed around the ECO web-based data collection ensure that student data is confidential and protected. The ECO web site for data entry has been available to districts since the spring of 2007. The data collection system uses Edit Checks/Business Rules specific to the Brigance IED-II assessment to ensure the validity of the data collected on individual children. Since all of the Department's data systems rely on a SASID number, ECO data on individual children can be validated against the SEDAC system, the PSIS, and Department's Student Registration Records. The Student Registration Records are constantly updated, hence the student record system is used for tracking student movement between schools and school districts. The PSIS data system collects student information in October, January, and June which assists the Department by providing a snapshot of a district's student roster which allows for validating a student's enrollment as well as for the tracking of students.

In 2007-08, the ECO data collection was refined and changed from a passive data collection to an active collection. Districts are only allowed to add data for students who at the time of data entry are actively registered with their school district. In addition, the PSIS data collections can be used to generate reports for use by school districts. The reports list all students in the preschool grade that are reported by a school district as a student who is receiving special education in the October, January or June data collections and who did not yet have Point 1 data entered on the ECO web site. There are additional separate data reports which can be used by school districts including a report which lists all of the students that had Point 1 data on ECO and for whom Point 2 data should have been provided or will need to be provided at a future date. The ECO data system also allows for school districts to explain to the Department why Point 2 data might not be available. The Department allows for school districts to report that (a) a child received less than 6 months of service or (b) the child suddenly moved and became unavailable. Both reasons can be verified by the Department through the PSIS and SEDAC systems and Student Registration information collected throughout the year.

How will the data be analyzed?

From data collected in 2005-06 and reported in the SPP in February 2007, the Department reported on the percent of children in each outcome area whose Point 1 data indicated that they were performing at a level comparable to same-age peers. This was determined by the proportion of children for whom the skills and behaviors on each sub-test within an outcome area demonstrated mastery at or above an age appropriate level (e.g., the child fell within an age band commensurate with the child’s chronological age). Similarly, the percent of children whose Point 1 data indicate that they were not performing at a level comparable to same-age peers was determined by the proportion of children for whom the skills and behaviors in one or more of the sub-tests within an outcome area demonstrated that a child was not demonstrating skills comparable to same-age peers (e.g., the child fell outside of an age band commensurate with the child’s chronological age).

From data collected in 2006-07 and reported in the SPP in February 2008 and thereafter, the Department is able to report the developmental and functional progress for any child for whom both Point 1 and Point 2 data are available. The Point 1 child specific data will be compared to Point 2 data in order to identify where a child’s progress falls across the five measurement categories. That information will be aggregated for federal reporting. The current general decision points for answering each of the five child progress measures for each of the three early childhood outcome questions include the following:

Progress Question	Decision Point
<p>Q2A. Did the child not improve functioning?</p>	<p>The child’s Point 2 data indicate that the child did not demonstrate skills at a level comparable to same age peers (“post-test score age” <i>determined by the average of highest post-test score items mastered</i> was less than actual post-test age) and at Point 2 the child did not demonstrate any new skill on any of the sub-tests administered for a particular outcome question (on all subtests, post-test highest item</p>

Progress Question	Decision Point
	mastered is less than or equal to pre-test highest item mastered).
Q2B. Did the child improve but not at a level comparable to same-age peers?	The child’s Point 2 data indicate that the child did not demonstrate skills at a level comparable to same age peers (“post-test score age” <i>determined by the average of highest post-test score items mastered</i> was less than actual post-test age) and between Point 1 and Point 2 the child was able to demonstrate the acquisition of one or more new skills, although the growth in score age was less than the growth in actual age (amount of learning is less than the amount of passed time in special education).
Q2C. Did the child improve functioning close to same-age peers?	The child’s Point 2 data indicate that the child did not demonstrate skills at a level comparable to same age peers (“post-test score age” <i>determined by the average of highest post-test score items mastered</i> was less than actual post-test age) and between Point 1 and Point 2 the child was able to demonstrate the acquisition of one or more new skills AND the growth in score age was equal to or greater than the growth in actual age (the amount of learning is greater than or equal to the amount of passed time in special education).
Q2D. Did the child reach functioning at a level comparable to same-age peers?	The child’s Point 1 data indicate that the child did not demonstrate skills at a level comparable to same age peers and at Point 2 the child was able to demonstrate skills at a level comparable to same age peers.
Q2E. Did the child maintain functioning at a level comparable to same-age peers?	The child’s Point 1 data indicate the child was demonstrating skills at a level comparable to same age peers and at Point 2 the child continued to demonstrate skills at a level comparable to same age peers.

The data collected and analyzed are consistent with the measurement expectations outlined in the early childhood outcome indicator. All data was analyzed using the above decision rules and method of analysis.

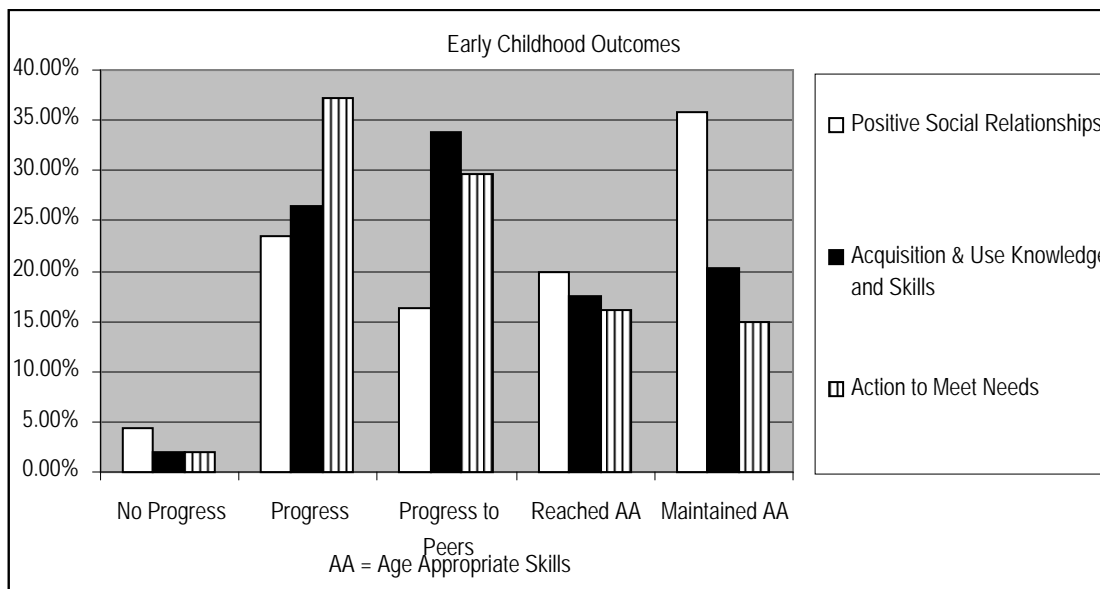
State Data:

Child Progress Data in 2007-08

The following chart provides child progress information by percent with the actual numbers used in the calculation and represents the state’s early childhood outcome data collected from July 1, 2007, to June 30, 2008.

Functional Area	A Did Not Make Progress	B Made Progress Not Close to Same Age Peers	C Made Progress Close to Same Age Peers	D Reached Age Level of Same Age Peers	E Maintained Age Level Growth	Total Percent
Positive Social Emotional Skills	4.4% (n = 85)	23.4% (n = 448)	16.3% (n = 313)	20.0% (n = 384)	35.9% (n = 688)	100% (n = 1918)
Acquisition and Use of Knowledge and Skills	1.9% (n = 36)	26.5% (n = 509)	33.8% (n = 648)	17.6% (n = 337)	20.2% (n = 388)	100% (n = 1918)
Action to Meet Needs	1.9% (n = 37)	37.3% (n = 716)	29.6% (n = 568)	16.1% (n = 309)	15.0% (n = 288)	100% (N = 1918)

The following chart was developed to provide a representation of children’s progress across the three early childhood outcome areas:



Discussion of Child Progress:

The Department analyzed data regarding children’s developmental and functional progress. The data analyzed include all children for whom Point 1 and Point 2 information was available from July 1, 2007, through June 30, 2008. Data indicate that there were 2013 children in the data system who had both Point 1 and Point 2 assessment information reflecting the 2006-07 school year ending June 30, 2008. Of the 2,013 children, there were 1,918 children, or 95.3 percent, that

had complete data for analysis. Reasons for incomplete data included the fact that some children were missing the dates of assessment so age-benchmark performance could not be determined and some children were missing valid assessment data (e.g., missing or invalid entry and/or exit data). Hence, of the entire number of children (2,013) there were 1,918 children that had valid and reliable data from both the Point 1 and Point 2 assessment information from July 1, 2007, through to June 30, 2008, and who had received services under an IEP for at least six months.

The average length of time for the receipt of special education and related services for the children on who progress data is reported is 14.2 months of special education and related services. The following chart is representative of the amount of time that the 1,918 children received special education and related services:

Time (in months) Children Received Special Education	Number of Children	Percent
0-6	259	13.5
7-12	499	26.0
13-24	1117	58.2
25-36	43	2.2
Total	1918	100.0

Of the 1,918 children, the following chart represents the race/ethnicity and gender of the children for whom progress information was reported.

	Number of Children	Percent
Gender		
Female	551	28.7
Male	1366	71.3
Race/Ethnicity		
American Indian/Native Alaskan	8	0.4
Asian	79	4.1
Black	225	11.7
White	1285	67.1
Hispanic	320	16.7
Total	1918	100.0

- At the Point 1 data collection there were: 27 children who entered between the ages of 2 and 3; 1,189 children who entered between the ages of 3 and 4; and 702 children who entered between ages of 4 and 5. The mean age at entry was 3.55 years old.
- At the Point 2 data collection there were: 48 children exited between the ages of 3 and 4; 1,026 children who exited between the ages of 4 and 5; and 844 children who exited after age 5. The mean age at exit was 4.71 years old.

In 2007-08, there were 98 children who “exited” with no Point 2 data. Of the 98 children, 69 children were reported to have “exited unexpectedly” while 29 children had no Point 2 data

because they had received less than 6 months of service. By cross-referencing data systems, the Department was able to account for the reasons for the children's exit (e.g., transfer to other public or private facilities in-state or out-of-state, exit from school/special education, moved, etc.). The Department's goal is to become more sophisticated in its data and tracking system so that it can account for all children with an IEP, ages 3 through 5, for whom the state should be reporting data on children's progress.

Of the 1918 children, a number of children were administered at least one of the three alternate subtests at either Point 1 and/or at Point 2.

At Point 1 there were:

- 72 children were required to take sub-tests A1, A2, A3 and A4 as an alternate assessment in place of sub-tests B1, B2, B4 and B10;
- 77 children were required to take sub-tests E2 and E3 as an alternate assessment in place of sub-tests E4, E7 and F6;
- 217 children were required to take sub-test E1 as an alternate assessment in place of sub-test E8; and
- 23 children were administered an alternate assessment in all the above areas.

At Point 2 there were:

- 32 children were required to take sub-tests A1, A2, A3 and A4 as an alternate assessment in place of sub-tests B1, B2, B4 and B10;
- 33 children were required to take sub-tests E2 and E3 as an alternate assessment in place of sub-tests E4, E7 and F6;
- 71 children were required to take sub-test E1 as an alternate assessment in place of sub-test E8; and
- 14 children were administered an alternate assessment in all the above areas.

The 1,918 children for whom the Department had child progress data came from 148 of the 159 Connecticut public school districts that provide special education and related services to the population of young children with an IEP.

Discussion of Baseline Data:

Baseline data will be presented using the child progress data submitted in the SPP in February 2010.

Measurable and Rigorous Targets:

Measurable and rigorous targets will be determined with stakeholder input using child progress baseline data submitted in the SPP in February 2010.

Improvement Activities/Timelines/Resources

The following Improvement Activities, Timelines and Resources are provided in the SPP submission in February 2008 and highlight the state priorities relative to this indicator.

Improvement Activities	Timelines	Resources
<ul style="list-style-type: none"> Use information and feedback obtained from school district administrators and personnel, from families and from other stakeholders to update the state’s policies and procedures on the implementation of the early childhood outcome requirement. 	2008-09 school year through 2010-2011	<ul style="list-style-type: none"> 619 Coordinator Department personnel SPP Workgroup RESC training and technical assistance providers
<ul style="list-style-type: none"> Develop and update materials to be used in training and technical assistance, in outreach and public awareness and in other professional development and informational venues. 	2008-09 school year through 2010-2011	<ul style="list-style-type: none"> 619 Coordinator Department personnel SPP Workgroup RESC training and technical assistance providers
<ul style="list-style-type: none"> Provide and evaluate training and technical assistance and revise and refine the training and technical assistance and other professional development opportunities based on evaluation feedback. 	2008-09 school year through 2010-2011	<ul style="list-style-type: none"> 619 Coordinator Department personnel SPP Workgroup RESC training and technical assistance providers
<ul style="list-style-type: none"> Ensure that materials and other information developed and disseminated is coordinated with the state’s Part C program and Department personnel working on other Part B SPP/APR indicators. 	2008-09 school year through 2010-2011	<ul style="list-style-type: none"> 619 Coordinator Part C Coordinator Department personnel SPP Workgroup
<ul style="list-style-type: none"> Use the annual data collection and analysis to inform and refine the data collection, the decision rules and the analysis in future reporting. 	2008-09 school year through 2010-2011	<ul style="list-style-type: none"> 619 Coordinator Department personnel
<ul style="list-style-type: none"> Utilize national resources to inform the state system, including accessing professional development opportunities and training and technical assistance through the National Early Childhood Technical Assistance Center (NECTAC), US DOE/OSEP, and the Early Childhood Outcomes Center (ECO Center). 	2008-09 school year through 2010-2011	<ul style="list-style-type: none"> 619 Coordinator Department personnel
<ul style="list-style-type: none"> Utilize information and resources from national professional organizations to embed evidence-based practices into the state ECO system (e.g., National Association for the Education of Young Children, the Division of Early Childhood, etc). 	2008-09 school year through 2010-2011	<ul style="list-style-type: none"> 619 Coordinator Department personnel

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Overview, Page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: FAPE in the LRE

Indicator 8: Percent of parents with a child receiving special education services who report that schools facilitated parent involvement as a means of improving services and results for children with disabilities.

(20 U.S.C. 1416(a)(3)(A))

Measurement:

Percent = [(# of respondent parents who report schools facilitated parent involvement as a means of improving services and results for children with disabilities) divided by the (total # of respondent parents of children with disabilities)] times 100.

Overview of Issue/Description of System or Process:

In the 2004-05 school year, the Department developed and disseminated a Special Education Parent Survey. The survey was developed with guidance from the CSDE Parent Workgroup. The questionnaire asked parents of children ages 3 - 21 with disabilities to respond to a series of statements related to 1) satisfaction with their child's special education program; 2) participation in developing and implementing their child's program; 3) their child's participation in the IEP process; 4) transition planning for preschool children and secondary students; 5) parent training and support; and 6) the child's skills. In 2005-06 the Parent Survey was revised to collect data that directly addresses Indicator 8. Parents were asked to respond to survey statements according to their experiences over the past 12 months using a 6-point scale ranging from strongly agree to strongly disagree, with the option of responding don't know or not applicable. Parents were also asked to provide demographic data on their child, including age, gender, race and ethnicity, grade level and primary eligibility for services.

Responses from the 2005-06 school year survey were analyzed using factor analysis to determine which subset of other items from the survey could provide further evidence of a school's facilitation of parent involvement as a means of improving services and results for children with disabilities. Factor analysis is a statistical technique which uses the correlations between observed variables to estimate common factors or the structural relationships linking the observed variables together. These data generated relationships or constructs are examined for their ability to explain the variation among the items in the survey. Understanding how items are interpreted and linked together by survey respondents and the variation in responses among the items allows for the derived factor or set of related survey items to be used as supporting evidence for the conclusions drawn from the specific question asked in response to Indicator 8.

Survey item 12 was included in a factor with 10 other items, all with factor loadings from 0.609 to 0.882. The conclusion that can be drawn from the factor analysis is that yes, the survey items appear to be valid; they are measuring what the survey was intended to measure about parental involvement in improving services and outcomes for their child.

Alpha reliability was conducted for this factor and indicated a very high overall internal-consistency reliability ($\alpha = 0.932$; $\alpha > 0.70$ are generally considered high or acceptable in survey research). The issue that a reliability analysis helps to address is measurement error. When a survey uses a single survey item to measure an intended outcome, conclusions can be drawn that are completely invalid because the measurement error cannot be determined accurately. The construct validity analysis, above, identified other survey items that appear to measure the same intended outcome. The reliability analysis of this group of survey items helps to ascertain the consistency or reliability that can be assumed of the conclusions drawn from the survey responses to the items. The conclusion from this reliability analysis is that yes, the parent survey is measuring the intended outcome regarding parental involvement in improving services and outcomes for their child both consistently and reliably.

In each year, 2005-06 through 2011, the Department will survey a statewide representative sample of parents of students with disabilities (see attached revised sampling plan). In the 2005-06 school year, LEAs were assigned to one of six data collection years based on demographic characteristics. Particular attention was paid to the representativeness of the sample with respect to disability, gender, race and ethnicity and age. Data will be reported out on specific LEAs in the LEA-Level Annual Performance Report each winter, as long as the number of responses for a given LEA meets the Department's minimum standard for the reporting of LEA-level data ($n = 20$). This rule safeguards against possible breaches in confidentiality. By 2010-11, data will have been collected from every LEA in Connecticut.

Baseline Data for FFY 2004 (2004-2005):

Of parents surveyed from 21 LEAs in Connecticut during the 2005-06 school year, 86.9 percent agreed that their school(s) facilitated parent involvement as a means of improving services and results for their children with disabilities. Data are valid and reliable.

(1,141 agreements with item 12 / 1,313 survey respondents x 100 = 86.9%)

Discussion of Baseline Data:

The survey sample included 21 districts and had an overall response rate of 21.7 percent. Thirteen districts had an overall response rate between 20 percent and 30 percent; four districts had an overall response rate greater than 30 percent.

Parent responses to survey item 12, "In my child's school, administrators and teachers encourage parent involvement in order to improve services and results for children with disabilities," were analyzed to determine state performance on Indicator 8. Parent responses in the categories of strongly agree, moderately agree, and slightly agree constitute the 86.9 percent reported above.

The responses collected from 21 districts in this year's survey sample were analyzed for representativeness by age, gender, race and ethnicity, grade and disability as compared to the total statewide population of students with disabilities. The analysis for response

representativeness was conducted using both a statistical significance test (chi-square) and a practical or meaningful significance test (effect size). Below are the actual proportions for each area assessed.

Variable	Grouping	2005-06 statewide data	2005-06 survey data
Age	3 – 5	10.9%	14.3%
	6 – 12	45.5%	46.2%
	13 – 14	15.7%	14.6%
	15 – 17	22.5%	19.9%
	18 - 21	5.2%	5.1%
Gender	Male	69.3%	70.3%
	Female	30.7%	29.7%
Race/Ethnicity	American Indian/ Alaskan Native	0.4%	1.7%
	Asian	1.5%	2.2%
	Black	16.0%	12.5%
	White	64.7%	68.2%
	Hispanic	17.4%	15.4%
Grade	PK	7.2%	12.4%
	Elementary	37.3%	39.6%
	Middle	23.8%	25.4%
	High	26.3%	19.9%
	Transition	5.2%	2.6%
Disability	LD	34.1%	28.9%
	ID/MR	4.2%	4.6%
	ED	9.1%	4.6%
	Speech/LI	21.5%	17.9%
	OHI	15.4%	19.0%
	Autism	4.5%	11.3%
	Other	11.1%	13.7%

Of the five areas assessed, only gender demonstrated no statistical difference between the sample and statewide population proportions (see below). While there was statistical support for differences between the sample and the statewide population of students with disabilities across age, race and ethnicity, grade and disability, only disability had an effect size or practical significance level that warranted consideration. All effect sizes for age, race and ethnicity and grade were small (below 0.30) and do not indicate a practical or meaningful difference between the sample and the actual population. It is important to assess the effect size of any statistical significance test outcome as statistical significance tests are highly influenced by sample size. Effect sizes are not influenced by sample size and thus allow for the interpretation of statistical differences for their meaningful and practical application when drawing conclusions from the data. Below are the chi-square and effect size results for each of the areas assessed.

Variable	Chi-Sq Test (χ^2)	Cohen's Effect Size	Interpretation
Age	$\chi^2 = 19.2$	0.12	Small
Gender	$\chi^2 = 0.6$ (not sign.)	n/a	

Variable	Chi-Sq Test (χ^2)	Cohen’s Effect Size	Interpretation
Race/Ethnicity	$\chi^2 = 34.4$	0.16	Small
Grade	$\chi^2 = 91.8$	0.26	Small
Disability	$\chi^2 = 201.1$	0.39	Moderate

One issue to be considered when interpreting the disability representativeness of the sample is that parents tend to identify more than one disability when asked to select only their child’s primary disability. Therefore, interpretation of the disability data from the survey is suspect regarding accuracy. The Department and Parent Workgroup will continue to stress the importance of accurate reporting of disability on the parent survey and investigate methods for ensuring the validity of the disability data collected in order to improve the representativeness of parent survey data collected and reported for this indicator. Considering the effect sizes of the other indicators, the Department is satisfied with the overall representativeness of the survey sampled in 2006 and feel the conclusions drawn from this sample are both valid and reliable.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	Not applicable/baseline data year
2006 (2006-2007)	87.0%
2007 (2007-2008)	87.1%
2008 (2008-2009)	87.5%
2009 (2009-2010)	88.0%
2010 (2010-2011)	90.0%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
8.1 Provide parent training opportunities to ensure that parents are informed and knowledgeable about LRE settings for children 3, 4, and 5 years of age with disabilities, particularly those families	2005-06 school year through 2011	<ul style="list-style-type: none"> • Department personnel • Parent Training and Information Center (PTI) – The Connecticut Parent Advocacy Center (CPAC)

Improvement Activities	Timelines	Resources
transitioning from the CT Birth to Three System.		
8.2 Participate in statewide summit on dropout prevention, graduation and alternatives to suspension.	2006–07 school year	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Parent Workgroup • CSDE Transition Task Force members • Allocate a portion of the IDEA funds to SERC
8.3 Update the Department’s Special Education Policy and Procedures Manual for district adoption.	2006-07 school year	<ul style="list-style-type: none"> • Department personnel
8.4 Update the Department’s “A Parent’s Guide to Special Education in Connecticut” in English and Spanish and disseminate to parents, districts and public.	2006-07 school year	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Parent Workgroup • translation service • outside evaluator
8.5 Prepare Department brochure on dispute resolution options entitled “Opportunities for Solutions” and disseminate to parents, districts and public.	2006-07 school year	<ul style="list-style-type: none"> • Department personnel • CSDE Parent Workgroup
8.6 Offer statewide workshops to parents and districts on effective transitions for children with special needs in early childhood education, diversity in education, integrated student support services, and resolving disputes in special education.	2006-07 school year	<ul style="list-style-type: none"> • SERC personnel
8.7 Assign a consultant from the Department to act as the liaison with the Parent Information Resource Center at SERC (PIRC@SERC).	2006-07 school year	<ul style="list-style-type: none"> • Department personnel • SERC personnel
8.8 Provide a 3-part parent training “Promoting School Success” in four communities in collaboration with the Connecticut Department of Mental Retardation and CPAC.	2006-07 school year	<ul style="list-style-type: none"> • Department personnel • SERC personnel • Parent Training and Information Center (PTI) – The Connecticut Parent Advocacy Center (CPAC) • Connecticut Coalition for Inclusive Education (CCIE) • Connecticut Department of Mental Retardation

Improvement Activities	Timelines	Resources
8.9 Present at statewide inclusion conference to parents and district staff on making the most of PPT meetings.	2006-07 school year	<ul style="list-style-type: none"> • Department personnel • SERC personnel • Parent Training and Information Center (PTI) – The Connecticut Parent Advocacy Center (CPAC)
8.10 Provide one, day long training to parent trainers on the education benefit review process.	2006-07 school year	<ul style="list-style-type: none"> • CSDE Parent Workgroup • SERC personnel
8.11 Pilot use of pre-PPT meeting checklist in two districts.	2006-07 school year	<ul style="list-style-type: none"> • Department personnel • CSDE Parent Workgroup • CPAC with funding from the State Personnel Development Grant (SPDG)
8.12 Pilot use of post-PPT meeting comment postcard in two districts.	2006-07 school year	<ul style="list-style-type: none"> • Department personnel • CSDE Parent Workgroup • CPAC with funding from the State Personnel Development Grant (SPDG)
8.13 Administer the Department’s Parent Survey in English and Spanish.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Parent Workgroup • translation service • outside evaluator
8.14 Analyze and disseminate the results of the Department’s Parent Survey to the public in English and Spanish.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Parent Workgroup • translation service • outside evaluator
8.15 Include a parent representative on the Department’s focused monitoring teams.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Department personnel
8.16 Include parent forums as part of the Department’s focused monitoring system.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Department personnel
8.17 (Revised) Provide “Families as Partners” training to parents and districts.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Provide \$10,000 to joint university project through the University Center on Excellence in Developmental Disabilities (UCEDD) to conduct training

Improvement Activities	Timelines	Resources
8.18 In connection with SPDG, partner with selected districts to develop and implement individualized local plans to enhance collaboration between families and schools.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Department personnel • CPAC with funding from the State Personnel Development Grant (SPDG)
8.19 Develop and provide training to districts and families regarding tools for writing measurable postsecondary goals and objectives (e.g., checklist, Summary of Progress, CT Frameworks) to improve transition services.	2007-08 school year through 2011	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Transition Task Force members • CPAC with funding from the State Personnel Development Grant (SPDG) • Allocate a portion of the IDEA funds to SERC

2005-2006 CT Special Education Parent Survey
Selecting LEAs into the First Year Group

Steps Taken:

- 1) All 169 LEAs are sorted according to the number of special education students served. The LEAs are divided into four strata, large to x-small. The four strata are further categorized into two reference groups: (1) ERGs A, B, C, D or (2) E, F, G, H, I.

State wide Size Strata	N	State wide ERG Strata			
		A,B,C,D		E,F,G,H,I	
		N	%	N	%
Large (LEA size > 899)	16	3	1.8%	13	7.7%
Medium (399 < LEA size < 900)	38	22	13.0%	16	9.5%
Small (99 < LEA size < 400)	70	43	25.4%	27	16.0%
X-Small (LEA < 100)	45	23	13.6%	22	13.0%
Totals	169	91	53.8%	78	46.2%

- 2) Proportional allocation is used to determine the number of LEAs to be drawn from each stratum. The target LEA sample size for the first year was set at 20¹.
- 3) The target *n* for each stratum is calculated by taking the percentage of LEAs in each stratum and multiplying this number by the target sample of 20.

Sample Size Strata	Sample ERG Strata				actual sample size
	A,B,C,D		E,F,G,H,I		
	target n	actual n	target n	actual n	
Large (LEA size > 899)	0.36	0	1.5	2	
Medium (399 < LEA size < 900)	2.60	3	1.9	2	
Small (99 < LEA size < 400)	5.09	5	3.2	3	
X-Small (LEA < 100)	2.72	3	2.6	3	
Totals	10.8	11	9.2	10	

- 4) The first year sample of LEAs can now be selected. The selection is done by taking a simple random sample within each stratum.

¹ In the 2005 SPP, Connecticut submitted a sampling plan for the dissemination of the CT Special Education Parent Survey for the years 2005-2010. In this plan, we presented an example of a sampling procedure in which each of the 169 LEAs in Connecticut would be sampled once over the course of six years. This was to be accomplished by drawing a sample of 29 districts in the first year, and 28 in each of the next five years. Since this is the first year that the Department is engaging in such a sophisticated sampling design, we revised the number of districts targeted for the sample pool in the first year. In this way, should we encounter any unforeseen problems with the sampling protocol in this first year, a smaller number of districts will be affected. We will adjust the sample size in future years to ensure that every district sampled by 2010.

Sample Size Strata	Sample ERG Strata	
	A,B,C,D	E,F,G,H,I
	Selected LEAs	Selected LEAs
Large (LEA size > 899)	-	Waterbury, New Britain
Medium (399 < LEA size < 900)	Wilton, Madison, Windsor	Killingly, New London
Small (99 < LEA size < 400)	Orange, Canton, Preston, East Lyme, Shelton	Lebanon, North Stonington, Derby
X-Small (LEA < 100)	Easton, Andover, Westbrook	Ashford, Chester, Sharon
Totals	11	10

Selecting Students into the First Year Sample

Steps Taken:

- 1) The number of students to be taken from each district is calculated by the sample size needed to have a 95% confidence interval, +-5% ME and a 40% response rate (assuming a population proportion of 50%).
- 2) As a result, all students in the following districts will be included in the survey.

Year 1 District Group									
District #	District	Schools				Special Ed. Students			
		Elem.	Middle	High	Total	Elem.	Middle	High	Total
1	Andover	1	0	0	1	25	-	-	25
3	Ashford	1	0	0	1	66	-	-	66
23	Canton	2	1	1	4	55	38	59	152
26	Chester	1	0	0	1	46	-	-	46
37	Derby	2	0	1	3	72	-	77	149
45	Easton	1	1	0	2	0	57	-	57
46	East Lyme	3	1	1	5	110	89	148	347
69	Killingly	3	1	1	5	193	110	105	408
71	Lebanon	1	1	1	3	57	56	79	192
76	Madison	4	1	1	6	229	82	152	463
95	New London	6	2	1	9	210	135	119	464
102	North Stonington	1	1	1	3	49	19	32	100
107	Orange	4	0	0	4	134	-	-	134
114	Preston	1	1	0	2	67	33	-	100
125	Sharon	1	0	0	1	53	-	-	53
126	Shelton	6	1	2	9	206	62	131	399
154	Westbrook	1	1	1	3	31	23	27	81
161	Wilton	3	1	1	5	253	129	127	509
164	Windsor	5	1	1	7	194	158	190	542
Totals	21	47	14	13	74	2050	991	1246	4287

Special Education numbers represent 2004-2005 data received from the CT Dept. of Special Ed. on 11/8/05

- 3) A sample of students will be taken from the New Britain and the Waterbury School Districts. Using the same guidelines as above, a sample of 793 students will be needed from New Britain and a sample of 843 students will be needed from Waterbury, for a final sample size of approximately 5923.
- 4) This final sample size should result in a state-representative sample of 95% confidence, +-2% ME, and a 40% response rate (assuming a population proportion of 50%).

Selecting Students into the First Year Sample

- 5) Students from New Britain and Waterbury are chosen by disproportionate allocation to account for a higher response rate for parents of elementary school students, followed by parents of middle school students, followed by parents of high schools students.

School Type	Total Special Ed	Prop. Allocation	n1	Disprop. Allocation	n2
Waterbury					
Elementary	1448	53.1%	448	38.1%	321
Middle	650	23.8%	201	28.8%	243
High	628	23.0%	194	33.0%	279
Totals	2726		843	100.0%	843
New Britain					
Elementary	753	42.1%	334	27.1%	215
Middle	504	28.2%	223	33.2%	263
High	533	29.8%	236	39.8%	315
Totals	1790		793	100.0%	793

- 6) (If we have demographic information by school, we will also over-sample to account for differences in response rate by race.)
- 7) A process called the cumulative-size method will be used to determine the number of students taken from each school. Once the number of students is determined, students will be chosen by a random sample of student ID numbers.

First Year LEA Group Compared to State

2004-2005 Special Education Students

Disability	State wide		Year 1 District Group	
	N	Percent	N	Percent
Learning Disability	25919	35.5%	3290	36.7%
Intellectual Disability	3191	4.4%	463	5.2%
Emotional Disturbance	6957	9.5%	914	10.2%
Speech Impairment	15727	21.5%	1878	21.0%
Other Health Impairment	10443	14.3%	1359	15.2%
Other Disability	8044	11.0%	715	8.0%
Autism	2747	3.8%	341	3.8%
Total	73028	100.0%	8960	100.0%

Statewide data is from the Dept. of Special Ed. District information is from on-line district profiles and does not include Pre-K students.

2004-2005 Special Education Students

Race/Ethnicity	State wide		Year 1 District Group	
	N	Percent	N	Percent
Native American/Alaskan	271	0.4%	37	0.4%
Asian/Pacific Islander	1000	1.4%	102	1.1%
Black	11650	16.0%	1768	18.3%
White	47555	65.1%	5001	52.0%
Hispanic	12552	17.2%	2772	28.6%
Total	73028	100.0%	9680	100.0%

Statewide data is from the Dept. of Special Ed. District information is from on-line district profiles.

Year 1 District Group				
School Type	State wide		Year 1	
	N	%	n	%
Elementary School	685	64.5%	79	66.4%
Middle School	176	16.6%	21	17.6%
High School	201	18.9%	19	16.0%
Totals	1062	100.0%	119	100.0%
Special Education Students				
Special Education Students	State wide		Year 1	
	N	%	n	%
Elementary	30,643	47.5%	4,251	48.3%
Middle	13,873	21.5%	2,145	24.4%
High	20,045	31.0%	2,406	27.3%
Totals	64,561	100.0%	8,802	100.0%
Special Education numbers represent 2004-2005 data received from the CT Dept. of Special Ed. on 11/8/05				

First Year LEA Group Compared to State

Year 1 District Group				
ERG	All LEAs		Year 1	
	#	%	#	%
A	12	7.1%	2	9.5%
B	19	11.2%	2	9.5%
C	38	22.5%	4	19.0%
D	21	12.4%	3	14.3%
E	26	15.4%	5	23.8%
F	16	9.5%	0	0.0%
G	15	8.9%	0	0.0%
H	13	7.7%	2	9.5%
I	6	3.6%	3	14.3%
-	3	1.8%	0	0.0%
Total	169	100.0%	21	100.0%

The "-" represents the Unified School Districts #1, #2, and CT Technical High School System.

Year 1 District Group				
RESC	All LEAs		Year 1	
	#	%	#	%
CREC	36	21.3%	3	14.3%
CES	14	8.3%	2	9.5%
ACES	27	16.0%	4	19.0%
ED.C	30	17.8%	1	4.8%
LEARN	24	14.2%	7	33.3%
EASTCONN	35	20.7%	4	19.0%
-	3	1.8%	-	-
Total	169	100.0%	21	100.0%

The "-" represents the Unified School Districts #1, #2, and CT Technical High School System.



2005 – 2006 CT Special Education Parent Survey

Please share your thoughts and experiences regarding your child’s special education program. Information from this survey will be used to monitor progress in improving special education services in Connecticut.

Please mark the circles below to describe your child. If you have more than one child who receives special education services or who has an IEP, please complete the survey according to your experiences with the child identified on the front of your survey envelope. Please return the completed survey in the stamped envelope provided to:

SERC, 25 Industrial Park Road, Middletown, CT 06457-1520.

This information will help determine, as mandated by the U.S. Department of Education, whether the Parent Survey response properly represents the state as a whole. It will not be used to identify you, your child or your family in any way. All of your responses will be confidential. Only an independent evaluator will have direct access to this information.



Age	Gender	Race/Ethnicity [Choose One Only]	Grade Level
3 – 5 <input type="radio"/>	Male <input type="radio"/>	American Indian or Alaskan Native <input type="radio"/>	Pre-school <input type="radio"/>
6 – 12 <input type="radio"/>	Female <input type="radio"/>	Asian or Pacific Islander <input type="radio"/>	Elementary (includes Kindergarten) <input type="radio"/>
13 – 14 <input type="radio"/>		Black not Hispanic <input type="radio"/>	Middle <input type="radio"/>
15 – 17 <input type="radio"/>		Hispanic <input type="radio"/>	High <input type="radio"/>
18 – 21 <input type="radio"/>		White not Hispanic <input type="radio"/>	Transition/18-21 yrs. <input type="radio"/>

Primary Eligibility for Services [Choose One Only; Eligibility is listed on Page 1 of your child’s IEP.]			
Autism	<input type="radio"/>	Orthopedic Impairment	<input type="radio"/>
Deaf-Blindness	<input type="radio"/>	Other Health Impairment (OHI) ADD/ADHD? <input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/>
Developmental Delay (ages 3-5 only)	<input type="radio"/>	Speech or Language Impaired	<input type="radio"/>
Emotional Disturbance	<input type="radio"/>	Traumatic Brain Injury	<input type="radio"/>
Hearing Impairment	<input type="radio"/>	Visual Impairment	<input type="radio"/>
Intellectual Disability/Mental Retardation	<input type="radio"/>	To Be Determined	<input type="radio"/>
Specific Learning Disabilities	<input type="radio"/>	Other _____	<input type="radio"/>
Multiple Disabilities	<input type="radio"/>	Don’t Know	<input type="radio"/>

Type of Placement [Choose One Only]			
Public School	<input type="radio"/>	Out-of-State	<input type="radio"/>
Out-of-District Special Education School	<input type="radio"/>	Hospital/Homebound	<input type="radio"/>
Residential School	<input type="radio"/>	Other _____	<input type="radio"/>
Private/Parochial	<input type="radio"/>		

Please report your experience with your child's special education program over the past 12 months.

CT Special Education Parent Survey	STRONGLY Agree	MODERATELY Agree	SLIGHTLY Agree	SLIGHTLY Disagree	MODERATELY Disagree	STRONGLY Disagree	DON'T KNOW	NOT APPLICABLE
Satisfaction with My Child's Program								
1. I am satisfied with my child's overall special education program.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>
2. I have the opportunity to talk to my child's teachers on a regular basis to discuss my questions and concerns.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>
3. My child's school day has been shortened to accommodate his/her transportation needs.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>
4. My child has been sent home from school due to behavioral difficulties (not considered suspension).	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>
5. My child is accepted within the school community.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>
6. My child's IEP is meeting his or her educational needs.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
7. All special education services identified in my child's IEP have been provided.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
8. Staff is appropriately trained and able to provide my child's specific program and services.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
9. Special education teachers make accommodations and modifications as indicated on my child's IEP.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
10. General education teachers make accommodations and modifications as indicated on my child's IEP.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
11. General education and special education teachers work together to assure that my child's IEP is being implemented.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Participation in Developing and Implementing My Child's Program								
12. In my child's school, administrators and teachers encourage parent involvement in order to improve services and results for children with disabilities.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>
13. At meetings to develop my child's Individualized Education Plan (IEP), I feel encouraged to give input and express my concerns.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>
14. I understand what is discussed at meetings to develop my child's IEP.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>
15. My concerns and recommendations are documented in the development of my child's IEP.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>
16. My child's evaluation report is written in terms I understand.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>
17. PPT meetings for my child have been scheduled at times and places that met my needs.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>

CT Special Education Parent Survey	STRONGLY Agree	MODERATELY Agree	SLIGHTLY Agree	SLIGHTLY Disagree	MODERATELY Disagree	STRONGLY Disagree	DON'T KNOW	NOT APPLICABLE
18. At my child's PPT, the school district proposed programs and services to meet my child's <u>individual</u> needs.	○	○	○	○	○	○		○
19. When we implement my child's IEP, I am encouraged to be an equal partner with my child's teachers and other service providers.	○	○	○	○	○	○		○
20. I have received a copy of my child's IEP within 5 school days after the PPT.	○	○	○	○	○	○		○
21. If necessary, a translator was provided at the PPT meetings.	○	○	○	○	○	○		○
22. The translation services provided at the PPT meetings were useful and accurate.	○	○	○	○	○	○		○
23. The school district proposed the regular classroom for my child as the first placement option.	○	○	○	○	○	○	○	○
My Child's Participation								
24. My child has the opportunity to participate in school-sponsored activities such as field trips, assemblies and social events (dances, sports events).	○	○	○	○	○	○		○
25. My child has the opportunity to participate in extracurricular school activities such as sports or clubs with children without disabilities.	○	○	○	○	○	○		○
26. My child has been denied access to non-school sponsored community activities due to his/her disability.	○	○	○	○	○	○		○
27. My child's school provides supports, such as extra staff, that are necessary for my child to participate in extracurricular school activities (for example, clubs and sports).	○	○	○	○	○	○	○	○
Transition Planning for Preschoolers								
<i>Answer <u>only</u> if your child has transitioned from early intervention (Birth to Three System) to Preschool in the past 3 years.</i>								
28. I am satisfied with the school district's transition activities that took place when my child left Birth to Three.	○	○	○	○	○	○		○
Transition Planning for Secondary Students								
29. I am satisfied with the way <u>secondary</u> transition services were implemented for my child.	○	○	○	○	○	○		○
30. When appropriate, outside agencies have been invited to participate in <u>secondary</u> transition planning.	○	○	○	○	○	○	○	○
<i>Answer <u>only</u> if your child was age 13 at his/her last PPT meeting.</i>								
31. My child is age 13 and the PPT introduced planning for his/her transition to adulthood.	○	○	○	○	○	○		○

CT Special Education Parent Survey	STRONGLY Agree	MODERATELY Agree	SLIGHTLY Agree	SLIGHTLY Disagree	MODERATELY Disagree	STRONGLY Disagree	DON'T KNOW	NOT APPLICABLE
<i>Answer <u>only</u> if your child is age 13 or older.</i>								
32. The school district actively encourages my child to attend and participate in PPT meetings.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>
<i>Answer <u>only</u> if your child was age 13 or 14 at his/her last PPT meeting.</i>								
33. My child is age 13 or 14 and the PPT discussed an appropriate course of study at the high school.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>
<i>Answer <u>only</u> if your child is age 15 or older.</i>								
34. My child is age 15 or older and the PPT developed individualized goals related to employment/postsecondary education, independent living and community participation, if appropriate.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>
Parent Training and Support								
35. In the past year, I have attended parent training or information sessions (provided by my district, other districts or agencies) that addressed the needs of parents and of children with disabilities.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>
36. I am involved in a support network for parents of students with disabilities available through my school district or other sources.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>
37. There are opportunities for parent training or information sessions regarding special education provided by my child's school district.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
38. A support network for parents of students with disabilities is available to me through my school district or other sources.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
My Child's Skills								
39. My child is learning skills that will enable him/her to be as independent as possible.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>
40. My child is learning skills that will lead to a high school diploma, further education, or a job.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>		<input type="radio"/>

COMMENTS: Please use this space to comment on your experience with your child's special education program. These comments may refer to your experiences overall and are not limited to the past 12 months.

Thank you for your valuable response!

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Overview, Page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Disproportionality

Indicator 9: Percent of districts with disproportionate representation of racial and ethnic groups in special education and related services that is the result of inappropriate identification.

(20 U.S.C. 1416(a)(3)(C))

Measurement:

Percent = [(# of districts with disproportionate representation of racial and ethnic groups in special education and related services that is the result of inappropriate identification) divided by the (# of districts in the State)] times 100.

Include State's definition of "disproportionate representation."

Describe how the State determined that disproportionate representation of racial and ethnic groups in special education and related services was the result of inappropriate identification, e.g., monitoring data, review of policies, practices and procedures under 618(d), etc.

Overview of Issue/Description of System or Process:

In response to the FFY 2003 Annual Performance Report letter dated October 18, 2005, the following information is provided.

Race and ethnic disproportionate representation will be assessed by comparing the proportion of students with disabilities by race and ethnicity (from the State 618 data) to the expected race and ethnicity proportion found in the LEA or state all student data. This analysis will be conducted using the formula $\pm 1.96 \sqrt{(P \times Q) / n}$ for the standard error of the sample proportion. For areas of identified statistically significant overrepresentation, relative risk index will be calculated to aid in the interpretation of the overrepresentation.

To determine "disproportionate representation" statistical overrepresentation is identified. LEAs, where statistical overrepresentation is identified, will receive correspondence from the Department requiring them to conduct a self-assessment and develop an action plan with improvement strategies to address the following areas:

- verification that data are accurate;
- review of how disproportionate identification is being addressed through district and school improvement plans;
- examination of special education eligibility policies, procedures and practices to ensure that they are race neutral; and

- examination of professional development activities, curriculum and instructional practices and early intervening services, including academic and behavioral interventions.

Upon receipt of the LEA's self-assessment, the Department will conduct a desk audit to review the responses. A rubric will be used to categorize responses into one of three groups: proposed action plan is accepted; proposed action plan is accepted with revisions; or a site visit is recommended to investigate district's practices, policies and procedures to determine if inappropriate identification exists and results in overrepresentation.

Baseline Data for FFY 2004 (2004-2005):

In the 2005-06 school year, zero districts in Connecticut had disproportionate representation of racial and ethnic groups in special education and related services that was the result of inappropriate identification.

$$0/169 \times 100 = 0\%$$

Discussion of Baseline Data:

Beginning in the 2002-03 school year, a Department initiative called "Closing the Achievement Gaps: Connecticut Summit on Overidentification and Disproportion in Special Education" began with the creation of a statewide stakeholder group. There began a focus on district and statewide data in the area of identification and placement by race and ethnicity. Suspension, graduation and dropout analyses by race were also included in this initiative's annual activities including data, a statewide summit, and technical assistance.

Connecticut was one of nine states that received a grant from the National Center for Culturally Responsive Educational Systems (NCCRESt) in the 2004-05 school year, which included technical assistance from the center. The Department and the State Education Resource Center (SERC) presented at the national conference hosted by NCCRESt in February 2006 regarding the Department's efforts to eliminate disproportionate representation of students with disabilities by race and ethnicity. Currently, the center is conducting two case studies of Connecticut school districts that have made significant progress in the area of overrepresentation. Data is obtained from a statewide data source. Sampling is not used. Data are valid and reliable.

Analysis of disproportionate representation of students with disabilities by race and ethnicity began in the 2002-03 school year using 2001-02 data and continues to date using the same data reported to OSEP under section 618 (Table 1). In the 2004-05 school year, using 2003-04 data, the Department identified, for the first time, overrepresentation as a result of inappropriate identification. In that year, multiple districts demonstrated data of concern in this area; through Connecticut's System of General Supervision and Focused Monitoring it was determined that one of these districts demonstrated overrepresentation as a result of inappropriate identification. In 2005-06, using 2004-05 data, two additional districts were identified for overrepresentation as a result of inappropriate identification. Using 2005-06 data, zero districts demonstrated overrepresentation as a result of inappropriate identification.

Through Connecticut's System of General Supervision and Focused Monitoring an analysis of data is conducted to determine districts with data of concern. From that information, correspondence to the district requires an analysis of policies, procedures and practices and a response regarding issues contributing to the concerns seen in these data. The response from the district is analyzed to determine which districts receive a focused monitoring visit. The focused monitoring review consists of discussion with central office staff, a weeklong visit to district schools, student and staff interviews, file reviews, a parent forum and an exit conference. The Department issues a report that identifies both compliance issues and recommendations for improvement. Districts participate in an improvement planning session designed to outline technical assistance options provided by Department and SERC staff. A district plan is then developed in response to the report which includes the submission of periodic reviews of their plan implementation and progress.

Inappropriate identification is determined in the context of a focused monitoring site visit. Policies, practices and procedures are reviewed. Administrators, staff and students are interviewed, a parent forum is conducted, records are reviewed and students are observed. The combination of these activities may result in inappropriate identification practices. Findings of noncompliance are identified in the preliminary report with corresponding required corrective actions and timelines. Completion of corrective actions is tracked through ongoing program reports, provision of technical assistance, and ongoing contact with the lead consultant who conducted the review.

In closing, the state's system of general supervision for identified noncompliance, used both quantitative and qualitative information data to analyze and drill down to identify specific issues, followed up with school districts by providing targeted technical assistance and guidance to correct noncompliance, and followed up to ensure that noncompliance was addressed before notifying school districts that they would no longer be monitored. All activities took place within one year of the identification of noncompliance.

State's Definition of Disproportionate Representation

The Department has adopted a two-step process for the analysis of disproportionate representation: the use of a confidence interval to adjust for the effect of sample size and the calculation and interpretation of a relative risk index (RRI).

Confidence Interval

To ensure that the determination of disproportionate representation is not adversely affected by sampling error, a confidence interval is calculated and used to make certain that analyses are conducted free from the effects of random error and, therefore, are beyond any reasonable doubt of the accuracy or reliability of these determinations.

Within the disproportionality analysis, the major source of error is sampling error which varies as a function of the size of the group being analyzed. As a group gets larger, this error is reduced because larger groups are more resistant to the fluctuations of percentages calculated using small counts (n 's). Sampling error is controlled for by calculating a 95 percent confidence interval around the subgroup racial composition. In doing this, we are more confident that the disproportionality identification is accurate for a subgroup.

Without using the confidence interval, districts that are close to, but above, the comparison district all-student racial composition statistics could be adversely affected by the identification of a single student. Because of this, the final disproportionality identification is made after giving a district every reasonable benefit of doubt. It is especially important, however, to note that the confidence interval will be an aid only to districts with small group or subgroup *n*'s and racial compositions that are close to the district all student composition for that year.

The formula $\pm 1.96 \sqrt{\frac{P \times Q}{n}}$ for the standard error of the sample proportion is used to calculate the 95 percent confidence interval. (Where P = composition of the subgroup being assessed, Q = 100 – P, and n = the number of students in the subgroup being assessed for overrepresentation.)

Confidence Interval Example:

Learning Disability = 1,000 students

0: Native American	0: Asian	200: Black	700: White	100: Hispanic
0%	0%	20%	70%	10%

District Enrollment = 10,000 students

0: Native American	0: Asian	2,500: Black	7,200: White	300: Hispanic
0%	0%	25%	72%	3%

A) If 100 Hispanic LD students are 10 percent of the district's total LD population (1,000): take 0.10 times 0.90 which is equal to 0.09. Divide that by 100 (number of Hispanic LD students) and take the square root which is 0.03. Multiply this by 1.96 which equals 0.059. Add and subtract this to the original 0.10 composition statistic and you have a confidence interval of 4.1 percent to 15.9 percent.

B) If these same students were 10 percent of a district with only 500 LD students, the confidence interval would be 1.6 percent to 18.3 percent. (The smaller the population, the larger the confidence interval will be.)

Finally compare the district all-student Hispanic population percentage to the established confidence interval. In this scenario, the district has 3 percent of its students identified as Hispanic. In example A (10 percent of 1,000 LD) the Hispanic LD students would be potentially overidentified and a Relative Risk Index (RRI) should be calculated. In example B (10 percent of 500 LD) the data would not indicate concern regarding overidentification.

Relative Risk Index

For areas indicating possible overrepresentation using the 95 percent confidence interval test, a RRI should be calculated to aid in the interpretation of the identified overrepresentation.

Learning Disability = 1,000 students

0: Native American	0: Asian	200: Black	700: White	100: Hispanic
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District Enrollment = 10,000 students

0: Native American	0: Asian	2,500: Black	7,200: White	300: Hispanic
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First, the Hispanic LD Risk should be calculated by dividing the number of Hispanic LD students by the number of all district Hispanic students ($100 / 300 = 0.333$). Second, calculate the Risk for all other races to be identified as LD by dividing the number of all other LD students by the number of all district students from all racial categories except Hispanic ($900 / 9,700 = 0.093$).

Finally, calculate the Relative Risk by dividing the Risk for Hispanic LD by the LD Risk for all other races ($0.333 / .093 = 3.6$). In this scenario, Hispanic students are 3.6 times as likely as their non-Hispanic peers to be identified as students with learning disabilities.

Interpretation Criteria for Disproportionality

No guidance is provided in the IDEA statutes or regulations regarding the criteria for a RRI to be considered “significant.” Absent guidelines from the Office of Special Education Programs (OSEP), each SEA must establish guidelines regarding significant disproportionality that are flexible enough to avoid violating the Grutter and Gratz prohibitions of numerical quotas (*Grutter v. Bollinger et al.*, No. 02-241 U.S. Supreme Court, opinion June 23, 2003 and *Gratz v. Bollinger et al.*, No. 02-516 U.S. Supreme Court, opinion June 23, 2003).

The following criteria have been adopted by the Department as flexible guidelines regarding the identification of significant disproportionality based on race and ethnicity with respect to the identification of children as students with disabilities [20 U.S.C. 1418(d); 34 CFR §300.646].

- 0.25 < RRI < 2.0 RRI is not significant; disproportionate representation not indicated – district receives data
- 0.25 ≥ RRI ≥ 2.0 RRI of concern; district receives correspondence from and has discussion with the Department; district submits self assessment of data, policies and practices to the Department in addition to an action plan based on their review. As a result of the review of action plan, the plan is either accepted, accepted with revisions, or not accepted. For those districts whose plan is not accepted, the Department conducts a site visit and further steps, including sanctions are reviewed with district personnel.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	0%
2006 (2006-2007)	0%
2007 (2007-2008)	0%

FFY	Measurable and Rigorous Target
2008 (2008-2009)	0%
2009 (2009-2010)	0%
2010 (2010-2011)	0%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
<p>9.1 Reissue updated versions of identification guidelines documents, including those for intellectual disability, speech and language, learning disabilities and emotional disturbance. Provide statewide training on appropriate identification of these disability categories.</p>	<p>2006-07 school year until completed</p>	<ul style="list-style-type: none"> • Department personnel • Statewide stakeholder groups
<p>9.2 Continue to gather data on disproportionate identification of racial and ethnic groups in special education and disseminate to stakeholders through a variety of media, including the Department website.</p>	<p>2006-07 school year; annually as needed</p>	<ul style="list-style-type: none"> • Department personnel
<p>9.3 (Revised) Our statewide symposium now entitled The Intersection of Race and Education has replaced the former yearly summit called Closing CT Achievement Gaps. The goal of this training is to focus on the issue of race as it relates to disproportionality by identification, learning achievement gaps and suspension and expulsion. This session continues to bring together policymakers, administrators, families, teachers, university faculty, students and advocates to isolate the issue of race and outcome data for students in the state.</p>	<p>2006-07 school year; annually as needed</p>	<ul style="list-style-type: none"> • Department and SERC personnel • Stakeholder Planning Group

Improvement Activities	Timelines	Resources
<p>9.4 (Revised) Provide statewide professional development on topics based upon an analysis of state data, trends and research in order to reduce disproportionate identification and close the racial achievement gap.</p>	<p>2006-07 school year; annually as needed annually</p>	<ul style="list-style-type: none"> • Bureau of School and District Improvement • Bureau of Special Education • CSPD Council
<p>9.5 (Revised) Provide training to school and district personnel by the Leadership and Learning Center on Data Teams and Data Driven Decision Making, Making Standards Work, Effective Teaching Strategies, Common Formative Assessments and Improving School Climate to Support Student Achievement. The Department offers basic and certification training through our Connecticut Accountability for Learning Initiative (CALI) professional development offerings. Certification training gives participants license to conduct basic training in order to develop state capacity.</p>	<p>2006-07 school year through 2011</p>	<ul style="list-style-type: none"> • Bureau of School and District Improvement
<p>9.6 Coordinate activities with early intervention initiatives, including Connecticut’s Response to Intervention (RtI) framework called Scientific Research Based Interventions (SRBI) to ensure appropriate identification of students with disabilities.</p>	<p>2006-07 school year through 2011</p>	<ul style="list-style-type: none"> • Department and SERC personnel • RtI Statewide Planning Team
<p>9.7 (Revised) Districts who fail to meet the target to be closely monitored through their action plans (see indicator 15). All districts are given data through electronic correspondence and districts with a $0.25 \geq RRI \geq 2.0$ are considered districts of concern and receive correspondence from the Department. These districts examine their policies and practices through a self assessment adapted from NCCRESt. The districts then develop action steps based on the self assessment that are aligned with their District Improvement Plan. Department personnel examine the self assessment and action plan to determine if plan is accepted, rejected or needs revisions.</p>	<p>2007-08 school year; annually as needed</p>	<ul style="list-style-type: none"> • Bureau of School and District Improvement • Bureau of Special Education

Improvement Activities	Timelines	Resources
<p>9.8 (Revised) Coordinate Department activities concerning the development of students’ social, emotional, behavioral, physical and mental health. Create a blueprint to assist school personnel in the provision of a comprehensive continuum of supports for students. Provide training and technical assistance in implementing the blueprint.</p>	<p>2006-07 through 2011</p>	<ul style="list-style-type: none"> • Department and SERC personnel
<p>9.9 (New) As a result of our persistent achievement gaps, Department personnel created a Racial Equity Team. The Racial Equity Team consists of representatives from across the Department whose purpose is to evaluate and provide recommendations to the State Board of Education regarding Department policies and practices as they pertain to racial equity and Department employee interactions internally and externally. A secondary purpose of this team is to increase the number of Department personnel who effectively communicate about issues of race in all areas of the Department’s work.</p>	<p>2007-08, 2008-09</p>	<ul style="list-style-type: none"> • Department personnel

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Overview, Page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Disproportionality

Indicator 10: Percent of districts with disproportionate representation of racial and ethnic groups in specific disability categories that is the result of inappropriate identification.

(20 U.S.C. 1416(a)(3)(C))

Measurement:

Percent = [(# of districts with disproportionate representation of racial and ethnic groups in specific disability categories that is the result of inappropriate identification) divided by the (# of districts in the State)] times 100.

Include State’s definition of “disproportionate representation.”

Describe how the State determined that disproportionate representation of racial and ethnic groups in specific disability categories was the result of inappropriate identification, e.g., monitoring data, review of policies, practices and procedures under 618(d), etc.

Overview of Issue/Description of System or Process:

In response to the FFY 2003 Annual Performance Report letter dated October 18, 2005, the following information is provided.

Race and ethnic disproportionate representation will be assessed by comparing the proportion of students with disabilities by race and ethnicity within each disability category (from the State 618 data) to the expected race and ethnicity proportion found in the LEA or state all-student data. This analysis will be conducted using the formula $\pm 1.96 \sqrt{\frac{P \times Q}{n}}$ for the standard error of the sample proportion. For areas of identified statistically significant overrepresentation, relative risk index will be calculated to aid in the interpretation of the overrepresentation.

To determine “disproportionate representation” statistical overrepresentation is identified. LEAs, where statistical overrepresentation is identified, will receive correspondence from the Department requiring them to conduct a self-assessment and develop an action plan with improvement strategies to address the following areas:

- verification that data are accurate;
- review of how disproportionate identification is being addressed through district and school improvement plans;
- examination of special education eligibility policies, procedures and practices to ensure that they are race neutral; and

- examination of professional development activities, curriculum and instructional practices and early intervening services, including academic and behavioral interventions.

Upon receipt of the LEA's self-assessment, the Department will conduct a desk audit to review the responses. A rubric will be used to categorize responses into one of three groups: proposed action plan is accepted; proposed action plan is accepted with revisions; or a site visit is recommended to investigate district's practices, policies and procedures to determine if inappropriate identification exists and results in overrepresentation.

Baseline Data for FFY 2004 (2004-2005):

In the 2005-06 school year, four districts (2.4 percent) in Connecticut had disproportionate representation of racial and ethnic groups in specific disability categories that was the result of inappropriate identification.

$$4/169 \times 100 = 2.4\%$$

Discussion of Baseline Data:

Beginning in the 2002-03 school year, a Department initiative called "Closing the Achievement Gap: Connecticut Summit on Overidentification and Disproportion in Special Education" began with the creation of a statewide stakeholder group. There began a focus on district and statewide data in the area of identification and placement by race and ethnicity. Suspension, graduation and dropout analyses by race were also included in this initiative's annual activities including data, a statewide summit, and technical assistance.

Connecticut was one of nine states that received a grant from the National Center for Culturally Responsive Educational Systems (NCCREST) in the 2004-05 school year, which included technical assistance from the Center. The Department and the State Education Resource Center (SERC) presented at the national conference hosted by NCCREST in February 2006 regarding the Department's efforts to eliminate disproportionate representation of students with disabilities by race and ethnicity. Currently, the Center is conducting two case studies of Connecticut school districts that have made significant progress in the area of overrepresentation.

Analysis of disproportionate representation of students with disabilities in specific disability categories by race and ethnicity began in the 2002-03 school year using 2001-02 data and continues to date using the same data reported to OSEP under section 618 (Table 1). In the 2004-05 school year, using 2003-04 data, the Department identified, for the first time, overrepresentation as a result of inappropriate identification. In that year, multiple districts demonstrated data of concern in this area; through Connecticut's System of General Supervision and Focused Monitoring it was determined that three of these districts demonstrated overrepresentation as a result of inappropriate identification. In 2005-06, using 2004-05 data, two additional districts were identified for overrepresentation as a result of inappropriate identification. Using 2005-06 data, a total of four districts demonstrated overrepresentation in specific disability categories as a result of inappropriate identification. Two of these districts were included in previous year identification and two were new identifications.

Overrepresentation by disability, 2005-06 school year data:

District	Overrepresentation Category
11	black LD
103	black ED
135	black ED
155	black ED

Through Connecticut's System of General Supervision and Focused Monitoring an analysis of data is conducted to determine districts with data of concern. From that information, correspondence to the district requires an analysis of policies, procedures and practices and a response regarding issues contributing to the concerns seen in these data. The response from the districts is analyzed to determine which districts receive a focused monitoring visit. The focused monitoring review consists of discussion with central office staff, a week long visit to district schools, student and staff interviews, file reviews, a parent forum and an exit conference. The Department issues a report that identifies both compliance issues and recommendations for improvement. Districts participate in an improvement planning session designed to outline technical assistance options provided by Department and SERC staff. A district plan is then developed in response to the report which includes the submission of periodic reviews of their plan implementation and progress.

Inappropriate identification is determined in the context of a focused monitoring site visit. Policies, practices and procedures are reviewed. Administrators, staff and students are interviewed, a parent forum is conducted, records are reviewed and students are observed. The combination of these activities may result in inappropriate identification practices. Findings of noncompliance are identified in the preliminary report with corresponding required corrective actions and timelines. Completion of corrective actions is tracked through ongoing program reports, provision of technical assistance, and ongoing contact with the lead consultant who conducted the review.

In closing, the state's system of general supervision for identified noncompliance, used both quantitative and qualitative information data to analyze and drill down to identify specific issues, followed up with school districts by providing targeted technical assistance and guidance to correct noncompliance, and followed up to ensure that noncompliance was addressed before notifying school districts that they would no longer be monitored. All activities took place within one year of the identification of noncompliance.

State's Definition of Disproportionate Representation

The Department has adopted a two-step process for the analysis of disproportionate representation: the use of a confidence interval to adjust for the effect of sample size and the calculation and interpretation of a relative risk index (RRI).

Confidence Interval

To ensure that the determination of disproportionate representation is not adversely affected by sampling error, a confidence interval is calculated and used to make certain that analyses are conducted free from the effects of random error and, therefore, are beyond any reasonable doubt of the accuracy or reliability of these determinations.

Within the disproportionality analysis, the major source of error is sampling error which varies as a function of the size of the group being analyzed. As a group gets larger, this error is reduced because larger groups are more resistant to the fluctuations of percentages calculated using small counts (n 's). Sampling error is controlled for by calculating a 95 percent confidence interval around the subgroup racial composition. In doing this, we are more confident that the disproportionality identification is accurate for a subgroup.

Without using the confidence interval, districts that are close to, but above, the comparison district all-student racial composition statistics could be adversely affected by the identification of a single student. Because of this, the final disproportionality identification is made after giving a district every reasonable benefit of doubt. It is especially important, however, to note that the confidence interval will be an aid only to districts with small group or subgroup n 's and racial compositions that are close to the district all student composition for that year.

The formula $\pm 1.96 \{\sqrt{[(P \times Q) / n]}\}$ for the standard error of the sample proportion is used to calculate the 95 percent confidence interval. (Where P = composition of the subgroup being assessed, Q = 100 – P, and n = the number of students in the subgroup being assessed for overrepresentation).

Confidence Interval Example:

Learning Disability = 1,000 students

0: Native American	0: Asian	200: Black	700: White	100: Hispanic
0%	0%	20%	70%	10%

District Enrollment = 10,000 students

0: Native American	0: Asian	2,500: Black	7,200: White	300: Hispanic
0%	0%	25%	72%	3%

A) If 100 Hispanic LD students are 10 percent of the districts total LD population (1,000): take 0.10 times 0.90 which is equal to 0.09. Divide that by 100 (number of Hispanic LD students) and take the square root which is 0.03. Multiply this by 1.96 which equals 0.059. Add and subtract this to the original 0.10 composition statistic and you have a confidence interval of 4.1 percent to 15.9 percent.

B) If these same students were 10 percent of a district with only 500 LD students, the confidence interval would be 1.6 percent to 18.3 percent. (The smaller the population, the larger the confidence interval will be.)

Finally compare the district all-student Hispanic population percentage to the established confidence interval. In this scenario, the district has 3vpercent of its students identified as Hispanic. In example A (10 percent of 1,000 LD) the Hispanic LD students would be potentially overidentified and a Relative Risk Index (RRI) should be calculated. In example B (10 percent of 500 LD) the data would not indicate concern regarding overidentification.

Relative Risk Index

For areas indicating possible overrepresentation using the 95 percent confidence interval test, a RRI should be calculated to aid in the interpretation of the identified overrepresentation.

Learning Disability = 1,000 students

0: Native American 0: Asian 200: Black 700: White 100: Hispanic

District Enrollment = 10,000 students

0: Native American 0: Asian 2,500: Black 7,200: White 300: Hispanic

First, the Hispanic LD Risk should be calculated by dividing the number of Hispanic LD students by the number of all district Hispanic students ($100 / 300 = 0.333$). Second, calculate the Risk for all other races to be identified as LD by dividing the number of all other LD students by the number of all district students from all racial categories except Hispanic ($900 / 9,700 = 0.093$).

Finally, calculate the Relative Risk by dividing the Risk for Hispanic LD by the LD Risk for all other races ($0.333 / .093 = 3.6$). In this scenario, Hispanic students are 3.6 times as likely as their non-Hispanic peers to be identified as students with learning disabilities.

Interpretation Criteria for Disproportionality

No guidance is provided in the IDEA statutes or regulations regarding the criteria for a RRI to be considered “significant.” Absent guidelines from the Office of Special Education Programs (OSEP), each SEA must establish guidelines regarding significant disproportionality that are flexible enough to avoid violating the Grutter and Gratz prohibitions of numerical quotas (*Grutter v. Bollinger et al.*, No. 02-241 U.S. Supreme Court, opinion June 23, 2003 and *Gratz v. Bollinger et al.*, No. 02-516 U.S. Supreme Court, opinion June 23, 2003).

The following criteria have been adopted by the Department as flexible guidelines regarding the identification of significant disproportionality based on race and ethnicity with respect to the identification of children as students with disabilities [20 U.S.C. 1418(d); 34 CFR §300.646].

- 0.25 < RRI < 2.0 RRI is not significant; disproportionate representation not indicated – district receives data.
- 0.25 ≥ RRI ≥ 2.0 RRI of concern; district receives correspondence from and has discussion with the Department; district submits self assessment of data, policies and practices to the Department in addition to an action plan based on their review. As a result of the review of action plan, the plan is either accepted, accepted with revisions, or not accepted. For those districts

whose plan is not accepted, the Department conducts a site visit and further steps, including sanctions are reviewed with district personnel.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	0%
2006 (2006-2007)	0%
2007 (2007-2008)	0%
2008 (2008-2009)	0%
2009 (2009-2010)	0%
2010 (2010-2011)	0%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
10.1 Reissue updated versions of identification guidelines documents, including those for intellectual disability, speech and language, learning disabilities and emotional disturbance. Provide statewide training on appropriate identification of these disability categories.	2006-07 school year until completed	<ul style="list-style-type: none"> • Department personnel • Statewide stakeholder groups
10.2 Continue to gather data on disproportionate identification of racial and ethnic groups in special education and disseminate to stakeholders through a variety of media, including the Department website.	2006-07 school year; annually as needed	<ul style="list-style-type: none"> • Department personnel

Improvement Activities	Timelines	Resources
<p>10.3 (Revised) Our statewide symposium now entitled The Intersection of Race and Education has replaced the former yearly summit called Closing CT Achievement Gaps. The goal of this training is to focus on the issue of race as it relates to disproportionality by identification, learning achievement gaps and suspension and expulsion. This session continues to bring together policymakers, administrators, families, teachers, university faculty, students and advocates to isolate the issue of race and outcome data for students in the state.</p>	<p>2006-07 school year; annually as needed</p>	<ul style="list-style-type: none"> • Department and SERC personnel • Stakeholder Planning Group
<p>10.4 (Revised) Provide statewide professional development on topics based upon an analysis of state data, trends and research in order to reduce disproportionate identification and close the racial achievement gap.</p>	<p>2006-07 school year; annually as needed annually</p>	<ul style="list-style-type: none"> • Bureau of School and District Improvement • Bureau of Special Education • CSPD Council
<p>10.5 (Revised) Provide training to school and district personnel by the Leadership and Learning Center on Data Teams and Data Driven Decision Making, Making Standards Work, Effective Teaching Strategies, Common Formative Assessments and Improving School Climate to Support Student Achievement. The Department offers basic and certification training through our Connecticut Accountability for Learning Initiative (CALI) professional development offerings. Certification training gives participants license to conduct basic training in order to develop state capacity.</p>	<p>2006-07 school year through 2011</p>	<ul style="list-style-type: none"> • Bureau of School and District Improvement
<p>10.6 (Revised) Coordinate Department activities concerning the development of students’ social, emotional, behavioral, physical and mental health. Create a blueprint to assist school personnel in the provision of a comprehensive continuum of supports for students. Provide training and technical assistance in implementing the blueprint.</p>	<p>2006-07 school year through 2011</p>	<ul style="list-style-type: none"> • Department and SERC personnel • RtI Statewide Planning Team

Improvement Activities	Timelines	Resources
<p>10.7 (Revised) Districts who fail to meet the target continue to be closely monitored through their action plans (see Indicator 15). All districts are given data through electronic correspondence and districts with a $0.25 \geq RRI \geq 2.0$ are considered districts of concern and receive correspondence from the Department. These districts examine their policies and practices through a self assessment adapted from NCCRESt. The districts then develop action steps based on the self assessment that are aligned with their District Improvement Plan. Department personnel examine the self assessment and action plan to determine if plan is accepted, rejected or needs revisions.</p>	<p>2007-08 school year; annually as needed</p>	<p>Bureau of School and District Improvement Bureau of Special Education</p>
<p>10.8 (Revised) Coordinate Department activities with social, emotional, behavioral, physical and mental health to create a system’s approach to effective school-wide management that provides a comprehensive continuum of supports.</p>	<p>2007-08 through 2011</p>	<p>Department and SERC personnel</p>
<p>10.9 (New) As a result of our persistent achievement gaps, Department personnel created a Racial Equity Team. The Racial Equity Team consists of representatives from across the Department whose purpose is to evaluate and provide recommendations to the State Board of Education regarding Department policies and practices as they pertain to racial equity and Department employee interactions internally and externally. A secondary purpose of this team is to increase the number of Department personnel who effectively communicate about issues of race in all areas of the Department’s work.</p>	<p>2007-08, 2008-09</p>	<p>Department personnel</p>

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Overview, Page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part B / Child Find

Indicator 11: Percent of children with parental consent to evaluate, who were evaluated within 60 days (or State established timeline).

(20 U.S.C. 1416(a)(3)(B))

Measurement:

- | |
|--|
| <ul style="list-style-type: none"> a. # of children for whom parental consent to evaluate was received. b. # determined not eligible whose evaluations were completed within 60 days (or State established timeline). c. # determined eligible whose evaluations were completed within 60 days (or State established timeline). |
|--|

<p>Account for children included in a but not included in b or c. Indicate the range of days beyond the timeline when the evaluation was completed and any reasons for the delays.</p>
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<p>Percent = [(b + c) divided by (a)] times 100.</p>
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Overview of Issue/Description of System or Process:

Regulations of Connecticut State Agencies (RCSA) Section 10-76d-13 provides that “special education and related services shall be provided as soon as possible after the planning and placement team meeting held to review, revise or develop the child’s individualized education program (IEP), but in any event not later than the following timelines:

- (a) School year. In the case of a referral made during the academic year, the timelines shall be as follows.
 - i. The IEP shall be implemented within 45 days of referral or notice, exclusive of the time required to obtain parental consent.
 - ii. In the case of a child whose IEP calls for out-of-district or private placement, the IEP shall be implemented within 60 days of referral or notice, exclusive of the time required to obtain parental consent.
- (b) Between school years. In case of a referral made in between school years, the effective date of the referral may be deemed to be the first school day of the next school year.”

The Department developed and implemented a new online data collection system for this indicator for the purpose of meeting the reporting requirements of the SPP. The Department required electronic data submission of referral and evaluation data by each LEA for the previous fiscal year. Data was collected beginning July 1, 2006 – August 15, 2006, and will be collected annually thereafter.

Data were collected for all children for whom parental consent to evaluate was received, including children placed by their parents in private/religiously affiliated schools. Data were not obtained from sampling. Data included:

- the number of children for whom parental consent to evaluate was received;
- the number of children determined not eligible whose evaluations and eligibility determinations were completed within 45 school days;
- the number of children determined eligible whose evaluations and eligibility determinations were completed within 45 school days;
- for any child not evaluated within 45 school days and determined not eligible, the number of days beyond the 45-day school-day timeline when eligibility was determined and the reasons (if known) that the evaluation was not completed according to required timelines;
- for any child not evaluated within 45 school days and determined eligible, the number of days beyond the 45-day school-day timeline when eligibility was determined and the reasons (if known) that the evaluation was not completed according to required timelines; and
- required explanation regarding all evaluations not completed within timelines including the number of days beyond the timeline and the reason for the delay.

Baseline Data for FFY 2004 (2004-2005):

Percentage of children with parental consent to evaluate, who were evaluated within 45 school days (state established timeline) was 87.5 percent in the 2005-06 school year.

$(12,856 / 14,697 \times 100 = 87.5\%)$

A report of the range of days beyond the timeline when the evaluations were completed was between one and 129 days.

Discussion of Baseline Data:

As addressed in the overview, five data points were collected to address this indicator:

- a. Consent to evaluate = 14,697
- b. Not eligible within timeline = 4,324
- c. Eligible within timeline = 7,948
- d. Not eligible beyond timeline = 913
- e. Eligible beyond timeline = 1,512

Using this data, the percent of children with parental consent to evaluate, who were evaluated within 45 school days (state established timeline) was 83.5 percent $((4,324 + 7,948) / 14,697 \times 100 = 83.5\%)$. Baseline was established after reviewing the explanations regarding failure to meet timelines and an additional 584 students were recoded as “within timeline” due to reasonable explanations. The baseline was recalculated to include the 584 recoded students, which resulted in a new percentage of 87.5 percent.

$(4,324 + 7,948 + 584) / 14,697 \times 100 = 87.5\%$

Each LEA was required to submit with its data an explanation regarding all evaluations not completed within timelines and the reason for the delay. These explanations were used to

conduct the above recoding. Criteria were established to determine acceptable and unacceptable reasons. The Department individually analyzed each of these cases to determine if the reason was acceptable or unacceptable. If the reason was determined to be acceptable and the evaluation was completed, the case was included in the recalculation of the “within timeline” percentage. The following is a list of the criteria used for the analysis.

Acceptable explanations regarding failure to meet the evaluation timelines criteria:

1. Excessive student absences and/or hospitalization with medical documentation
2. School closures (holiday/weather/emergency)
3. Evaluation completed/IEP team meeting scheduling conflict
4. Requests for additional outside evaluation in order to determine eligibility
5. Parent request for delay
6. Trial placement for diagnostic purposes to determine eligibility
7. Student moved/withdrew from school
8. FAPE at age three; child transitioning from Connecticut Birth to Three System, referral made at 90 day transition conference, evaluation completed by third birthday

Unacceptable explanations regarding failure to meet the evaluation timelines criteria:

1. Parent did not return phone calls
2. Parent did not have transportation
3. CMT/CAPT testing (statewide assessments)
4. Staff illness
5. Extensive observation needed
6. Need time to determine student’s dominant language
7. Not enough staff/staff schedules/increases in staff caseloads
8. Student not available due to school activities
9. Eye issues (student needs testing and/or reading glasses)
10. Parent schedule inflexible
11. Student refusal

The data utilized to report on this indicator are statewide data that are inclusive of every school district in the state that provides special education and related services. The data are collected annually in accordance with the established timelines for federal reporting. Data are not obtained from sampling. Inclusive of the recode, data reported here are valid and reliable.

The data indicate that we are below the required 100 percent target. The data analysis and subsequent recoding revealed a need for clarification to LEAs regarding acceptable reasons for delay. The Department has responded with policy clarification to the field in preparation for data collection this year. In addition, this is the first year many LEAs collected and analyzed their local data to this extent. It is expected that the statewide policy clarification and local analysis will lead to significant improvements in the 2006-07 school year.

In addition, some of the delays reported for evaluations conducted for students in nonpublic settings were due to the changes in IDEA regulations regarding which LEA is responsible to conduct these evaluations.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	100%
2006 (2006-2007)	100%
2007 (2007-2008)	100%
2008 (2008-2009)	100%
2009 (2009-2010)	100%
2010 (2010-2011)	100%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
11.1 Develop data collection system for evaluation timelines.	2005-06 school year	<ul style="list-style-type: none"> Department Office of Information Systems database development
11.2 Enhance data collection system for evaluation timelines.	2006-07 school year	<ul style="list-style-type: none"> Department Office of Information Systems database development
11.3 Issue policy memo for clarification of evaluation timelines.	2006-07 school year	<ul style="list-style-type: none"> Department personnel
11.4 Post policy memo on evaluation timelines on the Department website.	2006-07 school year	<ul style="list-style-type: none"> Department Bureau of Special Education Bureau Bulletin
11.5 Update the Department’s Special Education Policy and Procedures Manual for LEA adoption.	2006-07 school year	<ul style="list-style-type: none"> Department personnel and CSDE Parent Workgroup
11.6 Attestation by all LEAs for adoption of Policy and Procedures Manual to ensure.	2007-08 school year	<ul style="list-style-type: none"> Department personnel and IDEA grant application
11.7 Issue District Annual Performance Report and Determinations.	2006-07 school year through 2011	<ul style="list-style-type: none"> Department personnel

Improvement Activities	Timelines	Resources
<p>11.8 (Revised) Establish and implement enforcement system. Action Step: Establish criteria for corrective action Action Step: Notify districts of indicator status Action Step: Implement a series of sanctions and targeted technical assistance for programs that fail to meet 45-day timeline and/or have not corrected noncompliance within one year of identification. Action Step: Districts with less than 95 percent compliance on this indicator will be required to re-submit data three times a year for this indicator to the State to demonstrate correction of noncompliance.</p>	<p>2008-09 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • Regional Education Service Center personnel • Stakeholders representing districts, independent consultancies, due process, private schools, parent advocate, bilingual evaluation specialist, and recruitment shortage areas. • Bureau of Data Collection, Research and Evaluation
<p>11.9 Review data on complaints, mediations and due process hearings on an annual basis for trends related to evaluation timelines.</p>	<p>2006-07 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel
<p>11.10 Establish a Department leadership team and statewide stakeholder group to develop and provide guidance on RtI and for referral and evaluation for determining special education eligibility.</p>	<p>2006-07 school year through 2011</p>	<ul style="list-style-type: none"> • Associate Commissioner of Division of Family and Student Support Services • Associate Commissioner of Division of Teaching, Learning and Instructional Leadership • Department personnel • SERC personnel • Parent Training and Information Center (PTI) –Connecticut Parent Advocacy Center (CPAC)

Improvement Activities	Timelines	Resources
<p>11.11 (Revised) Increase awareness and availability of technical assistance aligned with noncompliance areas: Action Step: Analyze reasons for any noncompliance barriers to timely compliance. Action Step: Identify supports for districts based on a current review of the literature given needs of the districts. Action Step: Design or locate multi-media technical assistance support and disseminate using Bureau newsletter, SERC website, and electronic mailings to representative stakeholder groups.</p>	<p>2007-08 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel • Indicator 11 Work group • SERC personnel
<p>11.12 (New) Increase the quality of data received and verified by districts for this data collection. Action Step: Develop and implement training module for Evaluation Timelines Data Collection (online with a training segment for SEDAC). Action Step: Definition of terms handout. Action Step: Ensure compatibility of databases with data collection expectations.</p>	<p>2008-09 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • Bureau of Data Collection, Research and Evaluation
<p>11.13 (New) Assess appropriateness of data collection timing. Action Step: Review data collection timing and federal requirements. Action Step: Survey districts and programs for timing considerations.</p>	<p>2009-10 school year</p>	<ul style="list-style-type: none"> • Department personnel • Bureau of Data Collection, Research and Evaluation

Part B State Performance Plan (SPP) for 2005-2010

Overview of the State Performance Plan Development:

See Overview page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part B / Effective Transition

Indicator 12: Percent of children referred by Part C prior to age 3, who are found eligible for Part B, and who have an IEP developed and implemented by their third birthdays.

(20 U.S.C. 1416(a)(3)(B))

Measurement:

- a. # of children who have been served in Part C and referred to Part B for eligibility determination.
- b. # of those referred determined to be NOT eligible and whose eligibilities were determined prior to their third birthdays.
- c. # of those found eligible who have an IEP developed and implemented by their third birthdays.
- d. # of children for whom parent refusal to provide consent caused delays in evaluation or initial services.

Account for children included in a but not included in b, c or d. Indicate the range of days beyond the third birthday when eligibility was determined and the IEP developed and the reasons for the delays.

Percent = [(c) divided by (a – b – d)] times 100.

Overview of Issue/Description of System or Process:

The IDEA Part C Program is operated under the authority of the Connecticut State Department of Developmental Services (DDS) and is named the Connecticut Birth to Three System. The IDEA Part B falls under the authority of the Connecticut State Department of Education. Hence, the two IDEA programs in Connecticut operate out of two different state agencies.

Eligible toddlers from the Connecticut Birth to Three System transition from 33 early intervention programs into 159 school districts that provide special education and related services to eligible 3-year-old children with disabilities. Both state agencies work together to ensure that toddlers transitioning from the Connecticut Birth to Three System are referred to their school district no later than six months before their third birthday and that a transition conference is convened by the child’s early intervention program no later than 90 days before the child’s third birthday. School districts convene IEP team meetings to review referrals, conduct evaluations, determine eligibility and develop and implement a child’s IEP. These meetings are expected to take place by the third birthday in order to ensure that each eligible child receives a free and appropriate public education (FAPE) by their third birthday. If a child’s birthday falls over the summer months and the child is

not eligible for extended school year services then a FAPE must be provided to the child by the first day of school.

In the last few years, the two state agencies have engaged in multiple joint, coordinated policy and program activities. One of those activities includes a data merge to assist in the identification of both compliance and programmatic issues relative to transition from the Connecticut Birth to Three System to special education. The provision of a FAPE for children transitioning from the Connecticut Birth to Three System is a compliance issue that both state agencies work together to ensure a smooth and effective transition for children and their families. Reporting transition data is based upon a data merge activity conducted by the two state agencies. Data merge activities between the two state agencies reflect adherence to IDEA confidentiality requirements and the regulations under the Family Educational Rights and Privacy Act (FERPA).

The Department's data definition for this indicator reflects a stringent definition of the FAPE provisions of IDEA as reflected in statutory language in Section 612 (9) which states that "By the third birthday of such a child, an individualized education program or, if consistent with sections 614(d)(2)(B) and 636(d), an individualized family service plan, has been developed and is being implemented for the child." This statutory language has been defined for state data collection purposes. The data is collected by identifying the "start date" of services indicating that an IEP is "being implemented" for a child. The "start date" is compared to the child's birth date to match the date of a child's IEP implementation with the child's third birthday. Statewide data collection and analysis do not allow for variability in the data relative to situations such as differing start and end days of school (which vary across the state), weekends, holidays, etc., hence the data reported may under-represent the actual numbers of children who received a FAPE by their third birthday. Compliance monitoring and follow up on this indicator in previous years indicates that there are other variables which the data does not capture. Those variables include parental choice to refuse and/or delay the provision of a FAPE, mobility of children and their families, nexus and no-nexus issues for children in foster care, etc., all of which may be factors that under-represent the actual number and percent of children who have an IEP in effect by their third birthday.

Annually, the two state agencies engage in collaborative and coordinated activities to ensure accurate data and reporting of children. These activities address compliance as well as program challenges and barriers for those children who transition from one service system to another. Activities include: refining of data and data verification, training and technical assistance on transition issues, on-going refinement of policies and procedures across the two service systems, and joint development of information, materials and products.

Baseline Data for FFY 2004 (2004-2005):

For the 2004-05 school year, a data merge of Part C and Part B found that 85.4 percent of children that were referred and found eligible for special education and related services had an IEP developed and implemented by their third birthday.

Discussion of Baseline Data:

The data merge of Part C and Part B data and analysis indicates that a total of 1,543 children were referred by the Connecticut Birth to Three System to school districts. Of the 1,543 children referred, 459 or 29.7 percent were determined not eligible for special education. Of the 1,084 children found eligible, 926 children or 85.4 percent received a FAPE by their third birthday. There were 158

children who did not receive a FAPE by their third birthday. The data indicates that the range of days beyond a child’s receipt of a FAPE was between eight to 365 calendar days. The majority received a FAPE within 60 days.

Children who did not receive a FAPE by their third birthday in 2004-05 school year came from 68 school districts. The data indicated that there were 32 school districts that had one child and 15 school districts that had two children who did not receive a FAPE by their third birthday. Of the remaining school districts: eleven school districts had three children, four school districts had four children, two school districts had six children, two school districts had seven children, one school district had eight children and one school district had 13 children who did not have a FAPE by their third birthday. Since the provision of a FAPE by the third birthday is a compliance issue for children transitioning from the IDEA Part C Program, the state will follow-up with all school districts in the 2005-06 school year by contacting each school district and engaging in dialogue to ascertain the accuracy of data. If noncompliance in this area is identified, follow up activities will include one or more of the following: dialogue with school districts, data verification activities, record review, interviews with parents and/or school personnel, recommended and/or required training and technical assistance, and the development of a corrective action plan if necessary. Follow up information on monitored school districts will be available in the February 2007 Annual Performance Report (APR).

The two state agencies will work together to resolve any challenges or barriers that may be identified that cross the two service delivery systems.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	100%
2006 (2006-2007)	100%
2007 (2007-2008)	100%
2008 (2008-2009)	100%
2009 (2009-2010)	100%
2010 (2010-2011)	100%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
12.1 (Revised) Conduct data merge activities between IDEA’s Part C and Part B to inform and guide future collaborative activities, including reporting activities, while ensuring compliance with IDEA and FERPA.	2005-06 school year through 2011	<ul style="list-style-type: none"> • Department personnel • Part C personnel
12.2 (Revised) Work with Part C to provide unique student identification numbers that could follow a child from Part C to Part B to enhance the current data merge activities.	2007-08 school year	<ul style="list-style-type: none"> • Department personnel • Part C personnel
12.3 Refine Department systematic follow-up and corrective action activities with school districts to ensure that the free and appropriate public education (FAPE) by age 3 demonstrates 100 percent compliance.	2005-06 school year through 2011	<ul style="list-style-type: none"> • Department personnel
12.4 Provide parent training opportunities across both service delivery systems to ensure that parents are familiar with transition activities and that parents understand the similarities and differences between the Part C and Part B.	2005-06 school year through 2011	<ul style="list-style-type: none"> • Department personnel • Part C personnel • Parent Training and Information Center (PTI) – Connecticut Parent Advocacy Center (CPAC)
12.5 Work with Part C to encourage early intervention programs to provide small and large group opportunities for children transitioning in order to introduce the child to activities outside of the home in groups with other children.	2005-06 school year through 2008	<ul style="list-style-type: none"> • Department personnel • Birth-3 providers
12.6 Redefine current policies and procedures across both service delivery systems to reflect the reauthorized IDEA and the new regulations.	2008-09 school year	<ul style="list-style-type: none"> • Department personnel • Part C personnel

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Overview page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part B / Effective Transition

Indicator 13: Percent of youth aged 16 and above with an IEP that includes coordinated, measurable, annual IEP goals and transition services that will reasonably enable the student to meet the post-secondary goals.

(20 U.S.C. 1416(a)(3)(B))

Measurement:

Percent = # of youth with disabilities aged 16 and above with an IEP that includes coordinated, measurable, annual IEP goals and transition services that will reasonably enable the student to meet the post-secondary goals divided by # of youth with an IEP age 16 and above times 100.

Overview of Issue/Description of System or Process:

The Department has added a new data element to the 2005-06 state data system to collect whether or not students' Individualized Education Programs (IEPs) include coordinated, measurable, annual IEP goals and transition services. This element will be collected annually for all youth with disabilities aged 16 and above with an IEP. The state will ensure the accuracy of this data through the general supervision system such as data system accuracy checks, monitoring and complaints. The Department has created a Transition IEP Goals, Objectives and Services Checklist for use by LEAs and the state to assess whether or not the IEP goals and transition services are coordinated, measurable, and will reasonably enable the student to meet their postsecondary goals. The checklist covers four major outcome areas that must be considered in the development of student transition goals and objectives. These outcomes areas are postsecondary education, employment, independent living, and community participation. The Transition Checklist was created in collaboration with the Interagency Transition Task Force. It is coordinated with the State Initiative for Transition Services as well as all transition training and technical assistance offered through the State Education Resource Center (SERC).

Baseline Data for FFY 2004 (2004-2005):

Youth with disabilities aged 16 and above who have an IEP that includes coordinated, measurable, annual IEP goals and transition services is 97.8 percent in the 2005-06 school year.

$$13,278/13,580 \times 100 = 97.8\%$$

Discussion of Baseline Data:

The data utilized to report on this indicator are statewide data that are inclusive of every school district in the state that provides special education and related services. The data are the federally required Section 618 data. The data are collected annually in accordance with the established

timelines for federal reporting. Data were not obtained from sampling. All data reported here are valid and reliable.

Of the 141 districts in Connecticut who service those youth with disabilities aged 16 and above who have an IEP that includes coordinated, measurable, annual IEP goals and transition services, only 12 contributed to the 2.2 percent of students ($n = 302$) without coordinated, measurable, annual IEP goals and transition services. One district of these 12 contributes to 62.6 percent of the students without specific transition goals. In follow-up with this district, it was determined that the district provides transition services for all students embedded within their vocational curriculum. Department personnel will provide technical assistance to ensure compliance with the individualization of transition goals and services requirements.

There was communication to school district personnel regarding this initial data collection. Additional follow up is planned for the 12 districts without 100 percent compliance. Initial investigation indicates a trend toward failure to understand the reporting parameters, as well as need for clarification on the new regulations and mandated IEP forms as they pertain to transition services.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	Not applicable/baseline data year
2006 (2006-2007)	100%
2007 (2007-2008)	100%
2008 (2008-2009)	100%
2009 (2009-2010)	100%
2010 (2010-2011)	100%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
13.1 Revise Individualized Education Program (IEP) and Summary of Performance (SOP) to reflect IDEA regulations related to transition and provide training on revised forms.	2006-07 school year	<ul style="list-style-type: none"> • Department personnel • SERC personnel • Transition Task Force (TTF)
13.2 Develop a comprehensive manual of age-appropriate transition assessment tools and processes to support districts in their transition planning efforts.	2006-07 school year	<ul style="list-style-type: none"> • SERC personnel • TTF members
13.3 Provide the Transition IEP Goals, Objectives and Services Checklist and topic brief on Writing Transition Goals and Objectives to special education directors and transition contact persons via email and post document on the Department, CPAC and SERC websites.	2006-07 school year	<ul style="list-style-type: none"> • Department personnel • SERC personnel • Parent Training and Information Center (PTI)-CT • Parent Advocacy Center (CPAC)
13.4 Follow up with districts reporting “No” responses to transition data point to identify possible scenarios.	2006-07 school year	<ul style="list-style-type: none"> • Department personnel
13.5 Participate in statewide summit on dropout prevention, graduation and alternatives to suspension.	2006–07 school year	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Parent and Transition Workgroups
13.6 (Revised) Develop a comprehensive manual of age-appropriate transition assessment tools and processes to support districts in their transition planning efforts.	2006-07 school year through 2007-08	<ul style="list-style-type: none"> • SERC personnel • TTF members
13.7 Clarify and provide training regarding transition data collection parameters for special education directors, teachers, and data entry personnel.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Department personnel
13.8 Develop and conduct a Train-the-Trainer series using the revised <i>Transition Resource Manual</i> to prepare individuals to offer transition training to districts, families and agency personnel.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Transition and Parent Workgroups • CPAC • Connecticut Council for Administrators of Special Education (ConnCASE)

Improvement Activities	Timelines	Resources
<p>13.9 Provide training to assist districts to implement a review process to assess the quality of observable measurable postsecondary goals and objectives over a period of years to determine if there has been educational benefit.</p>	<p>2006-07 school year through 2011</p>	<ul style="list-style-type: none"> • SERC personnel • TTF members • CPAC
<p>13.10 (Revised) Provide training to districts, families, state agency personnel, and other relevant stakeholder groups regarding transition and post-school services available via state agencies.</p>	<p>2006-07 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Transition and Parent Workgroups • Parent Training and Information Center (PTI) – CT Parent Advocacy Center (CPAC) • Department of Social Services, Bureau of Rehabilitation Services (BRS) • Department of Developmental Disabilities (DDS) • DDS, Division of Autism Spectrum Services (DASS) • Bureau of Education and Services for the Blind (BESB) • Department of Mental Health and Addiction Services (DMHAS)
<p>13.11 Provide training to Department personnel and relevant stakeholder groups to support best practices in secondary transition that will contribute to successful post-school outcomes.</p>	<p>2006-07 school year; annually as needed</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • TTF members • CPAC • ConnCASE
<p>13.12 (Revised) Provide resources and training to districts regarding transition services in college, university and community settings for at-risk and 18 – 21 year old students. Meet with State Education Resource Center (SERC) staff to discuss statewide and district-specific activities and training to address graduation and dropout.</p>	<p>2006-07 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Transition and LRE Workgroups • National Organization on Disability – Start on Success Programs (SOS) • CSPD Council

Improvement Activities	Timelines	Resources
<p>13.13 Develop and implement a process to conduct annual secondary transition on-site training visits to selected districts to ensure that the IEPs of youth aged 16 and above include coordinated, measurable annual IEP goals and transition services that are reasonably designed to enable the student to meet the postsecondary goals.</p>	<p>2007-08 school year</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • TTF members
<p>13.14 Develop and provide training to districts and families regarding tools for differentiating instruction, and writing measurable post-school outcome goal statements, functional performance statements, and annual IEP goals and objectives within the general curriculum (e.g., checklist, Summary of Performance guidance and instructions, CT Frameworks and Content Standards) to improve transition services.</p>	<p>2007-08 school year</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • TTF members • CPAC

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Overview page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part B / Effective Transition

Indicator 14: Percent of youth who had IEPs, are no longer in secondary school and who have been competitively employed, enrolled in some type of postsecondary school, or both, within one year of leaving high school.

(20 U.S.C. 1416(a)(3)(B))

Measurement:

Percent = # of youth who had IEPs, are no longer in secondary school and who have been competitively employed, enrolled in some type of postsecondary school, or both, within one year of leaving high school divided by # of youth assessed who had IEPs and are no longer in secondary school times 100.
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Overview of Issue/Description of System or Process:

The Department has been collecting outcome data on all (approximately 4,500) exiters from special education who are no longer in secondary school (graduates with regular diplomas and certificates of completion, exiters reaching maximum age and dropouts) for the years 2000, 2002 and 2004 using census methodology. The Department has a broad stakeholder group represented on the Transition Task Force (TTF) whose input led to the decision to align the data collection on all exiters to the SPP requirements of every year, one year after they exit special education and are no longer in secondary school. This alignment will be effective with the 2006–07 school year exiters, to be reported in the February 2009 APR. While the Department has already shifted to a yearly survey, we will continue, as approved by OSEP, to survey one year after exiting for the February 2009 APR.

The instrument to be used was developed in 2000 by the Department with input from the TTF. A panel of content experts with expertise in special education, transition and/or survey methodology reviewed the original instrument. The 2002 survey was subsequently revised based upon this feedback. The 2004 instrument was shortened from 24 items to eight items and was also reviewed by a panel of young adults with disabilities. Information was elicited related to the clarity and readability of each item and the instructions. With input from National Post-School Outcomes Center (NPSO) resources and the Transition Workgroup, the current instrument underwent additional minor modifications. The Department has a Memorandum of Agreement with the University of Connecticut to conduct data collection activities for indicator 14. See attached 2005 survey.

The instrument consisted of items across three main categories: 1) Employment Status; 2) Postsecondary Education and Training Status; and 3) Access to State Agency Support Systems. Most items are formatted with a stem and list of options from which respondents select either the single most appropriate response or all responses that apply. The final item on the survey is open-ended and offers respondents an opportunity to provide additional information about life after high school.

For the purposes of the post-school outcomes survey, the Department uses the Rehabilitation Act definition of competitive employment: “Competitive employment means work, in the competitive labor market that is performed on a full-time or part-time basis in an integrated setting, and for which an individual is compensated at or above the minimum wage, but not less than the customary wage and level of benefits paid by the employer for the same or similar work performed by individuals who are not disabled” (Authority: Sections 7(11) and 12(c) of the Act; 29U.S.C. 705(11) and 709(c)). Therefore, exiters who responded YES to either full-time or part-time work in Question 1 of the survey and received minimum or above minimum wage on Question 2 would be classified as competitively employed.

The Department is using the general definitions of institutions of higher education found in the 1998 Amendments to the Higher Education Act of 1965 (P.L. 105-244) when referring to postsecondary education and training in the post-school outcomes survey. Postsecondary school means an educational institution that admits students having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such a certificate; is legally authorized to provide a program of education beyond secondary education; provides an educational program for which the institution awards a bachelor's degree or provides not less than a two-year program that is acceptable for full credit toward such a degree; is a public or other nonprofit institution; and is accredited by a nationally recognized accrediting agency or association. Postsecondary school also includes any school that provides not less than a one-year program of training to prepare students for gainful employment in a recognized occupation; and a public or nonprofit private educational institution that admits, as regular students, persons who are beyond the age of compulsory school attendance in Connecticut. Therefore, exiters who respond YES to being a full-time (12 or more credits per semester) or part-time (less than 12 credits per semester) student in Question 3 and are enrolled in any of the following postsecondary schools listed in Question 4 (community or two-year college, four-year college or university, technical/trade school, military training school or program, or a college-prep or postgraduate program) would be counted as participating in postsecondary education. Responses under Other will be recoded as appropriate (e.g., Army would be recoded as military training school or program, Certified Nurses Assistant – CNA would be recoded as technical/trade school).

A letter explaining the purpose of the survey and inviting participation was created. The letter explained that the completed survey would be sent to the project principal investigator at the University of Connecticut for data entry. A consent form that outlines the respondent’s rights and protections as a participant in this research was created. Both the cover letter and the consent form indicate that completion and return of the survey are an expression of consent to participate. The completed instrument, letter of participation, and consent form were submitted to the University of Connecticut Institutional Review Board and were approved. The initial mailing was conducted by the Department in collaboration with the University of Connecticut. The

survey was mailed during the first week of April 2006. There was a follow-up mailing in an effort to increase response rate. The Department provided the data analysis.

The data obtained from the spring 2006 survey informed our decisions about activities, interventions, technical assistance, professional development and monitoring activities for the 2006-07 school year. As noted, the Transition Task Force recommended collecting post-school outcome data via a census method using an annual collection cycle on students one year after they exit special education and are no longer in secondary school. Particular attention was paid to the representativeness of the survey responses received with respect to disability, gender, race and ethnicity and age of the total surveyed population. Because the Department changed to a new exit data collection system statewide, effective during the 2006–07 school year, students who exited special education and secondary school in 2004–05 and were reported to the Department in December 2005 were surveyed in spring 2007. Data will be reported out on specific LEAs in the District Annual Performance Report each winter, as long as the number of responses for a given LEA meets the Department’s minimum standard for the reporting of LEA-level data (n = 20). This rule safeguards against possible breaches in confidentiality. Beginning with the 2007-08 school year students will be surveyed one year after exiting special education and secondary school. Therefore, students who exited special education and secondary school in 2006–07 and were reported to the Department in October 2007 will be surveyed in spring 2008 and reported in the February 2009 APR.

Baseline Data for FFY 2004 (2004-2005):

The Department’s 2007 survey of students who exited special education in 2004-05 and were no longer in secondary education found that 81.1 percent of survey respondents were competitively employed and/or enrolled in post-secondary education within two years after their exit.

$$715/882 \times 100 = 81.1\%$$

2007 survey administration sample total:

surveys sent = 4,864 exiters

surveys returned completed = 882

response rate = 18.1%

surveys returned non-deliverable = 1, 077

non-deliverable rate = 22.1%

While an 18.1 percent response rate with a 22.1 percent non-deliverable rate is not what the Department hoped for, we are well aware that the current practice (for which we have an approved waiver from OSEP) of surveying two years out is a major influence. Our existing practice was a recommendation of our stakeholder group. Connecticut will be changing to the OSEP standard of surveying exiters one year out effective the 2006-07 exiters, to be surveyed in the spring of 2008 and reported in the February 2009 APR. The Department would expect an increase in response rate at the time this change in method is implemented.

Discussion of Baseline Data:

The Department’s spring 2007 survey of students who exited special education in 2004-05 and were no longer in secondary education found that 81.1 percent were competitively employed and/or enrolled in post-secondary education within two years after their exit. Of these, 28.1 percent were only competitively employed, 15.7 percent were only enrolled in post-secondary education and 56.2 percent were both competitively employed and enrolled in post-secondary education.

While the Department sought survey participation from all 2004-05 exiters (4,864), many could not be located and ultimately 882 students or 18.1 percent of all exiters participated in the survey. Data related to the exceptionality type, gender, minority status and dropout rate of both the survey responders (n = 882) and the total population of exiters of special education and secondary school in 2005 (n = 4,864) were examined for representativeness using the National Post-School Outcomes Center’s Response Calculator (www.psocenter.org). Results indicate that minority exiters were underrepresented in the final respondent sample, as were exiters who dropped out of school. Differences by exceptionality category and gender were not significant.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	81.1% baseline data
2006 (2006-2007)	81.2%
2007 (2007-2008)	81.5%
2008 (2008-2009)	82%
2009 (2009-2010)	84%
2010 (2010-2011)	85%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
<p>14.1 Develop pilot program process for using district personnel to follow up on post-school outcome non-responders.</p>	<p>2007 -08 school year</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • TTF Members • University of Connecticut (UCONN)
<p>14.2 (Revised) Develop post-school outcomes survey informational materials for distribution to students, families, and district personnel at student Summary of Performance (SOP) interviews or final IEP Meetings. Develop and provide training to districts and families regarding post-school outcomes survey and its impact on transition services and the SOP. Incorporate into existing training.</p>	<p>2007 -08 school year</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • TTF Members • Parent Training and Information Center (PTI) – CT Parent Advocacy Center (CPAC)
<p>14.3 (Revised) Develop Executive Summary with graphics of 2005 Exiter Survey results for dissemination to districts and posting on Department website. Use data from Executive Summary of 2005 Exiter Survey results with graphics and suggestions for improving secondary transition services for dissemination to districts; use this data to evaluate current measurable and rigorous targets.</p>	<p>2007-08 school year</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • TTF Members • UCONN personnel
<p>14.4 Provide training regarding post-school outcomes survey data collection for special education directors and transition personnel.</p>	<p>2007-08 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • Connecticut Council for Administrators of Special Education (ConnCASE)

Improvement Activities	Timelines	Resources
<p>14.5 Provide training to districts, families, state agency personnel, and other relevant stakeholder groups regarding transition and post-school services available via state agencies.</p>	<p>2007-08 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Transition and Parent Workgroups • CPAC • Department of Social Services, Bureau of Rehabilitation Services (BRS) • Department of Developmental Disabilities (DDS) • DDS, Division of Autism Spectrum Services (DASS) • Bureau of Education and Services for the Blind (BESB) • Department of Mental Health and Addiction Services (DMHAS)
<p>14.6 Provide training to Department personnel and relevant stakeholder groups to support best practices in secondary transition that will contribute to successful post-school outcomes.</p>	<p>2007-08 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • Transition Task Force (TTF) • CPAC • ConnCASE
<p>14.7 Display Post-School Outcomes Survey results on Department website.</p>	<p>2007-08 school year through 2011</p>	<ul style="list-style-type: none"> • Department personnel • UCONN personnel
<p>14.8 Rewrite post-school outcomes survey letter of instructions to better assist students and their assistors in completing the survey.</p>	<p>2008-09 school year</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Transition Workgroup • UCONN personnel
<p>14.9 (New) Develop protocol report format and dissemination process for districts that received 20 or more completed survey responses to allow them to incorporate specific data elements into transition planning efforts.</p>	<p>2008-09 school year</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Transition Workgroup • UCONN personnel
<p>14.10 (Revised) Translate post-school outcomes survey and letter of instructions into Spanish.</p>	<p>2009-10 school year</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Transition Workgroup
<p>14.11 (Revised) Explore options for using web-based/on-line post-school outcomes survey.</p>	<p>2009-10 school year</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Transition Workgroup • UCONN personnel

Improvement Activities	Timelines	Resources
<p>14.12 (Revised) Research and develop additional methods to improve survey response by following up on dropouts and non-responders.</p>	<p>2009-10 school year</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Transition and School Engagement and Completion Workgroup • UCONN personnel
<p>14.13 (Revised) Identify and collaborate with districts who already collect post-school outcome data on either general and/or special education exiters to prevent duplication of efforts and develop comparison to general education outcomes.</p>	<p>2009-10 school year</p>	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSDE Transition Workgroup • UCONN personnel • ConnCASE

Survey of 2005 Graduates/Exiters of Connecticut High Schools

Introduction: This survey gathers information about your employment and/or postsecondary school experiences since you left high school. **Please fill it out and return to us in the enclosed envelope.** If you have any difficulty answering the survey questions, please ask someone who knows you well to help you complete it. All information you provide will be kept confidential. Please place a “✓” mark in front of the appropriate response.

Part I: Employment Status

1. Have you been employed since leaving high school? (**check only one**)

<input type="checkbox"/>	Yes, Full-time (35 hours or more, per week)
<input type="checkbox"/>	Yes, Part-time (less than 35 hours per week)
<input type="checkbox"/>	Yes, I was employed at one time but am not now
<input type="checkbox"/>	No, I have not been employed (go to question #4)

2. If yes to Question 1, how much do you currently earn (or did you earn at your most recent job)?

<input type="checkbox"/>	Below minimum wage (less than \$7.65/hr.)
<input type="checkbox"/>	Minimum wage (\$7.65/hr.)
<input type="checkbox"/>	Above minimum wage (greater than \$7.65/hr.)

Part II: Postsecondary Education and Training Status

3. Have you enrolled in any type of postsecondary school (college or training program) since leaving high school? (**check only one**)

<input type="checkbox"/>	Yes, I am a full-time student (12 or more credits per semester)
<input type="checkbox"/>	Yes, I am a part-time student (less than 12 credits per semester)
<input type="checkbox"/>	Yes, I was enrolled but am not now
<input type="checkbox"/>	No, I have never enrolled in postsecondary education or a training program

4. If yes to Question 3, what type of postsecondary school did you enroll in? (**Check only one**)

<input type="checkbox"/>	Community or two-year college
<input type="checkbox"/>	Four-year college or university
<input type="checkbox"/>	Technical/trade School (e.g., hairdressing, welding, computing, bartending)
<input type="checkbox"/>	Military or Military Training School or Program
<input type="checkbox"/>	Adult Education (e.g., GED, High School Completion Program, Continuing Education)
<input type="checkbox"/>	College Prep/Postgraduate Program
<input type="checkbox"/>	Other – Please specify:

Please continue to last page . . .

Part III: Additional Information

5. Have you received services through any of these agencies since leaving high school?
 (**Check all that apply**)

<input type="checkbox"/>	Bureau of Rehabilitation Services (BRS)
<input type="checkbox"/>	Department of Mental Retardation (DMR)
<input type="checkbox"/>	Department of Mental Health and Addiction Services (DMHAS)
<input type="checkbox"/>	Board of Education and Services for the Blind (BESB)
<input type="checkbox"/>	Department of Social Services (DSS) (e.g., Medicaid/Title 19; Food stamps; Care for Kids)
<input type="checkbox"/>	Social Security Administration (SSA) (e.g., SSI, SSDI, Medicare)
<input type="checkbox"/>	Department of Labor One-Stop Centers e.g., Employment Centers, Career Centers)
<input type="checkbox"/>	Other agencies: please specify
<input type="checkbox"/>	No help received, it is not necessary
<input type="checkbox"/>	No help received; Did not know that any agencies were available

6. If you are not employed, or are not enrolled in postsecondary school, are you participating in any of the following?

<input type="checkbox"/>	Adult day service programs
<input type="checkbox"/>	Adult day vocational programs
<input type="checkbox"/>	Independent living skills programs
<input type="checkbox"/>	Volunteer work/community service
<input type="checkbox"/>	Other, please specify:

7. Please circle the statement that best describes your agreement with this statement:

"I am satisfied with my life since leaving high school"

Strongly Disagree Disagree Unsure Agree Strongly Agree

8. Is there anything else you would like to tell us about your life after you have left high school? (Please continue to the back of this page if necessary)

**Thank you very much for completing this survey!
 Please return in the enclosed envelope**

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

The Department has a Focused Monitoring Steering Committee comprised of parents, advocates, Department consultants, training and technical assistance providers from the State Education Resource Center (SERC) and district directors of special education. This group was originally convened in 2003-04 to assist the Department in designing the current system of General Supervision and Focused Monitoring. The group continues to meet on a regular basis to review the results of general supervision and monitoring activities, advise the Department on improvement activities, analyze statewide data, develop strategies for public dissemination of data, determine the key performance indicators for the upcoming year and advise on implementation of the SPP.

Due to the treatment of the 2003-04 school year as a planning year, there were a limited number of findings of noncompliance in that year. The baseline data is significantly impacted by the on-going issues of noncompliance with one district currently in level four of sanctions.

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part B / General Supervision
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Indicator 15: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416 (a)(3)(B))

Measurement:

Percent of noncompliance corrected within one year of identification:

- a. # of findings of noncompliance.
- b. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = [(b) divided by (a)] times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and enforcement actions that the State has taken.

Overview of Issue/Description of System or Process:

The Department has multiple methods of oversight to ensure compliance with IDEA. These methods together make up the system of general supervision. A description of the system is available on the Department website at www.sde.ct.gov in a manual titled: *Connecticut's System of General Supervision and Focused Monitoring for Continuous Improvement for Students with*

Disabilities. A copy of the manual is also included with this SPP packet. The range of supervision and monitoring activities includes:

- ongoing meetings of the Focused Monitoring Steering Committee;
- the annual review and dissemination of data for LEAs through the Special Education Strategic School Profiles;
- the annual training of data submission and verification of data through preliminary profiles and focused monitoring data reports;
- ongoing analysis of trends in complaints, mediations and due process hearings;
- self-assessments conducted by LEAs on identified areas of concern;
- a desk audit of LEA IDEA applications for funding;
- an attestation by each district of their adoption and use of the Department issued Policy and Procedure Manual and IEP form;
- training and technical assistance on guidelines and publications created by the Department and supported through training and technical assistance from SERC;
- monitoring of corrective actions issued through complaints or due process hearings, and focused monitoring; and
- focused monitoring of key performance indicators.

The Department spent the 2003-04 school year as a transition from our program review process of monitoring LEAs on a cyclical basis to the current system of focused monitoring. The new system of focused monitoring incorporates the information gleaned from all existing data sources include complaints, mediations and due process hearings, as well as the other methods of general supervision described above.

The data from the above resources is used by the steering committee to determine key performance indicators (KPIs) for focused monitoring on an annual basis. Three data probes are identified for each key performance indicator. Criteria is established for each data point and district data are displayed on data maps in one of three categories: 1) an area of significant concern requiring data verification, data analysis and potential need for monitoring and improvement planning; 2) an area of concern requiring data verification and analysis; and 3) an area of strength indicating strong performance in the area identified. A copy of the data maps for 2005-06 is included in this SPP packet and available on the Department website at www.sde.ct.gov.

The data maps are disseminated to superintendents, special education directors, and parent organizations. The data maps are used to determine which districts will receive specific communications regarding their performance and requests for a self-assessment to analyze data and indicate improvement activities. This information is reviewed and used to determine which districts will subsequently receive a focused monitoring site visit.

Site visits were conducted for 10 districts in the 2004-05 school year and will be conducted for 10 districts in the 2005-06 school year. Site visits include a review of district policies, practices and procedures, a record review, observation of students, interviews with staff and administration and a public forum for parents. The site visit team includes representatives from the Department's Bureau of Special Education and Bureau of School and District Improvement,

training and technical assistance providers from SERC, parents, Department data managers and special education directors from districts not being monitored.

The site visits in the 2004-05 and 2005-06 school years focused on two key performance indicators. The key performance indicators for both years are: (1) monitor any overrepresentation of students with disabilities, in specific disability categories, for all racial and ethnic groups, in comparison to the population of the district's general education enrollment; and (2) decrease the number of students in all disability categories who spend time in segregated settings as defined by 0-40 percent of their day with nondisabled peers.

Within six weeks of the conclusion of a site visit, a preliminary report is issued by the Department with findings that identify strengths, recommendations for improved performance, and areas of noncompliance. Any findings of noncompliance are coupled with corrective actions for addressing the noncompliance, including timelines within one year of date of issue of the report, and requirements for reporting to the Department regarding implementation of the required corrective actions. Upon completion of the corrective actions, a final letter indicating compliance is issued by the Department. In addition, all districts that are reviewed for each key performance indicator convene a district team of general and special education representatives to attend a day-long improvement planning session with the Department to address the recommendations for improved performance. These plans are reviewed prior to approval by the Department and incorporated into any existing NCLB improvement plan or district strategic plan.

Districts are offered training, technical assistance and the opportunity for grant funds to assist with implementing the plans. Districts report on implementation of the improvement plans every six months. The plans are designed to be implemented over the course of 18 months. The improvement plans contain activities to address improved performance, not issues of noncompliance. At the end of the 18 months, upon review of data in the key performance area, districts will be issued a letter indicating they met the requirements as identified in the improvement plan or indicating the need for continued planning and improvement.

The Department has designed a series of sanctions that may be used to facilitate change in district performance or compliance as required. These include: a review of progress on plans on a quarterly basis, notification to superintendent and board of education regarding lack of progress and redesign of plan, release of IDEA funds on a conditional basis, and appointing a consultant at district expense to ensure correction of findings of noncompliance.

Baseline Data for FFY 2004 (2004-2005):

- A) Ninety-five percent of findings of noncompliance findings from 2003-04 school year were completed within the one year timeline (2004-05) or the findings were issued less than one year ago. The 5 percent represents one district with ongoing issues of noncompliance. This district is on level four of graduated sanctions by the Department.
 - a. 20 findings of noncompliance
 - b. 19 findings of noncompliance from 19 districts have been corrected.
19 / 20 = 95% of noncompliance corrections completed within one year.

- B) Zero percent of findings of noncompliance from 2003-04 school year were completed within the one year time line. This percentage represents three findings of noncompliance for the same district indicated in A. There were no findings of noncompliance for other districts.
- a. 3 findings of noncompliance
 - b. 0 findings of noncompliance have been corrected.
 $3 / 0 = 0\%$ of noncompliance corrections completed within one year.
- C) One hundred percent of findings of noncompliance from 2003-04 school year were completed within the one year time line.
- a. 39 agencies with findings of noncompliance
 - b. 99 findings of noncompliance
 - c. 99 findings of noncompliance have been corrected
 $99 / 99 = 100\%$ of noncompliance corrections completed within one year.

Discussion of Baseline Data:

- A) As reported in the 2005 APR, one urban school district remains with ongoing issues of noncompliance identified in the 1999-2003 cycle of review, in spite of the continued efforts of the Department to facilitate resolution of these issues. These issues include: ensuring that IEPs are implemented and that each student's IEP is available at the school that he/she is attending, placement decisions for children with disabilities are made on an individual basis, all students have access to the general education curriculum with corresponding materials and all services identified on IEPs are delivered.

A staff member from the Bureau of Special Education was assigned to work with a staff member from the Equity Unit of the Department to ensure that students are receiving a free and appropriate public education in the least restrictive environment. Since the last report in the 2005 APR, the Department has maintained an ongoing presence in the district through the following activities:

- May 2005: Department audit of students' IEPs to monitor delivery of services – six schools were chosen for the review, four were visited. Ten of 16 files continued to demonstrate noncompliance;
- June and October 2005: Meeting of superintendent, assistant superintendent, chief of staff and director of special education with the Department associate commissioner and Department district monitors to discuss issues of noncompliance;
- Fall 2005: Meeting of Department district monitors with the administration of the teacher's union and district administration to discuss issues relating to noncompliance;
- Fall 2005: Provision of technical assistance to district through Department funded intervention teams in 15 schools (\$30,000);
- Fall 2005: Planning for district Coaches Academy in Spring 2006;
- Fall 2005: Implementation of Level Four of Sanctions; and
- December 2005: Focused Monitoring site visit scheduled.

The Department has a menu of four levels of graduated sanctions that may be employed in response to district noncompliance. As indicated through the 2005 APR and the list of Department interventions, three of these sanctions had been employed. On November 1, 2005,

Department communicated to the district movement to level four of sanctions which includes appointing a special education consultant at district expense to assist with implementation of corrective actions. The Department required the district to redirect part of their 2006 IDEA appropriations to ensure that students were receiving their mandated IEP services. The mandated consultant will be required to conduct school and classroom visits, prepare and write monthly reports on district progress in implementing its special education policies and procedures and in demonstrating compliance with state and federal mandates. The reports will be submitted to the superintendent, Chair of the board of education, and the Department associate commissioner of the Division of Family and Student Support Services.

As described above, the Department had minimal findings of noncompliance in 2003-04 outside of those identified through dispute resolution. This data are presented in the attached data template and used to establish the required baselines. In an effort to more accurately communicate the current system of general supervision and monitoring, findings for the 2004-05 year are included in this report. The Department conducted 10 focused monitoring site visits in the 2004-05 year. No findings of noncompliance have exceeded the one year time line. The following chart summarizes findings of noncompliance and timelines for completion of corrective actions:

District	Issue(s)	One year timeline	Status
064	Provision of IEP services General education teacher access to IEP Access to general education curriculum Placement decisions made on individual basis	February 2002	Open Level Four of sanctions
163	Evaluation practices Provision of IEP services	December 17, 2005	Open
077	Evaluation practices Provision of IEP services	December 7, 2005	Closed
103	Evaluation practices Length of instructional day	December 22, 2005	Closed
164	IEP goals and services individualized for student need	January 21, 2006	Closed
147	Notice of planning and placement team meeting Out-of-district IEP implementation and notice of placement	March 28, 2006	Closed
111	Data collection for time with nondisabled peers Evaluation practices Procedural violations Eligibility for speech/language services	March 29, 2006	Closed

District	Issue(s)	One year timeline	Status
069	Functional behavioral assessment and behavioral support plans Involvement of parents in PPT meetings Evaluation practices Documentation of service delivery	April 25, 2006	Closed
132	No findings of noncompliance	NA	NA
043	IEP link to general education curriculum Service delivery on IEP Transition planning Eligibility for speech/language services Regular education teacher present at PPT	May 11, 2006	Open
136	Completeness of IEP document	May 11, 2006	Closed

- A) An analysis of data for 2004-05, demonstrates that the Department will be at 100 percent for that year pending resolution of the issues in the same district that impacted the 2003-04 school year.
- B) The three findings all relate to the same district described in section A.
- C) Target met.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	100%
2006 (2006-2007)	100%
2007 (2007-2008)	100%
2008 (2008-2009)	100%
2009 (2009-2010)	100%
2010 (2010-2011)	100%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
15.1 Implementation of NCSEAM State Partner Work Plan.	2005-06 school year	<ul style="list-style-type: none"> National Center for Special Education Accountability Monitoring (NCSEAM)
15.2 Addition of student interview into FM process.	2005-06 school year	<ul style="list-style-type: none"> Students attending CT Youth Leadership Forum
15.3 (Revised) Disseminate state color-coded maps representing key performance indicators.	2005-06 school year	<ul style="list-style-type: none"> Department personnel to design and disseminate the maps Department website
15.4 Arrange for Focused Monitoring Steering Committee to meet three times on an annual basis to review data, determine key performance indicators and advise on implementation of SPP.	2005-06 school year through 2011	<ul style="list-style-type: none"> Stipends for parents NCSEAM
15.5 Revision of data maps and District APRs on an annual basis.	2005-06 school year through 2011	<ul style="list-style-type: none"> Upgraded software Special Education Data Application and Collection (SEDAC)
15.6 Complete annual revision of focused monitoring self assessments and site visit protocols.	2005-06 school year through 2011	<ul style="list-style-type: none"> Focused Monitoring Coordinator Lead consultants for FM
15.7 (Revised) Conduct focused monitoring to ensure compliance with IDEA. The monitoring tools will be utilized to review student records; interview with administrators, teachers (general and special education), related service professionals; solicit input from parent through forums; and conduct observations of implementation of student IEPs.	2005-06 school year through 2011	<ul style="list-style-type: none"> Fourteen consultants from the Department to conduct focused monitoring site visits, including focused monitoring coordinator
15.8 Distribute district grant funds to implement improvement plans.	2005-06 school year through 2011	<ul style="list-style-type: none"> \$10,000 per district – IDEA discretionary funds
15.9 Conduct external evaluation of focused monitoring system.	2006-07 school year	<ul style="list-style-type: none"> External evaluator NCSEAM technical assistance
15.10 Revise state IEP form and manual – training in new form.	2006-07 school year	<ul style="list-style-type: none"> Department personnel Printing costs
15.11 Update Department’s Policy and Procedure Manual for districts.	2006-07 school year	<ul style="list-style-type: none"> Department personnel

Improvement Activities	Timelines	Resources
15.12 Provide oversight by Department consultants to districts with ongoing noncompliance and district consultant to provide monitoring and technical assistance in district and report to the Department on correction of noncompliance.	2006-07 school year	<ul style="list-style-type: none"> • District IDEA funds
15.13 Review of system of sanctions for districts with ongoing noncompliance.	2006-07 school year	<ul style="list-style-type: none"> • Department personnel
15.14 Provide training and technical assistance tied to district improvement plans.	2006-07 school year	<ul style="list-style-type: none"> • SERC personnel
15.15 Implement new Department data collection system to assist with tracking noncompliance identified through complaints, mediations and due process hearings.	2006-07 school year	<ul style="list-style-type: none"> • Office of Information Systems database development
15.16 (Revised) Meet with SERC to discuss statewide and district specific activities and training to address general supervision and monitoring.	2005-06 school year through 2011	<ul style="list-style-type: none"> • Department personnel • SERC personnel • CSPD Council
15.17 Develop and implement GSS Strategic Planning and Internal Evaluation Protocol	2007-08 school year until complete	<ul style="list-style-type: none"> • Department personnel • SERC personnel
15.18 Conduct alignment and coordinate activities such as notification methods, data collection and methods, database infrastructure among all components of general supervision and state accountability measures to ensure an integrated system.	2007-08 school year through 2011	<ul style="list-style-type: none"> • Department personnel • SERC personnel • Other state agency personnel as needed
15.19 Coordinate compliance planning and revision of procedures for timelines and findings, develop a glossary to ensure common use of terms. Enhance methods of disseminating data to stakeholders, districts, and families through use of visual depictions.	2007-08 through 2011	<ul style="list-style-type: none"> • Department personnel • SERC personnel
15.20 Hire FTE consultant to oversee development and implementation of the Department’s system of general supervision	2007-08 school year, maintain indefinitely	<ul style="list-style-type: none"> • Department personnel

Improvement Activities	Timelines	Resources
<p>15.21 (New) Develop and implement comprehensive general supervision electronic information system.</p>	<p>2008-09 until complete</p>	<ul style="list-style-type: none"> • Department personnel to design and implement • Independent contractors to develop • Training to use the system • Fiscal support for resources to build and maintain system
<p>15.22 (New) Develop monitoring checklists and technical assistance protocols for reducing district-level suspension/ expulsion rates among children with disabilities.</p>	<p>2008-09 through 2011</p>	<ul style="list-style-type: none"> • Department personnel to design and disseminate • Independent contractors to pilot and validate tools • Stakeholder reviewers for validation and feedback
<p>15.23 (New) Re-examination of current enforcement procedures with emphasis on (1) including parents in the state monitoring process, (2) focusing monitoring efforts on the issues that are most critical to ensuring appropriate education to children with disabilities, and (3) timely follow-up to ensure that appropriate actions to demonstrate compliance with the law are taken across all monitoring areas.</p>	<p>2008-09 through 2011</p>	<ul style="list-style-type: none"> • Department personnel • Electronic data and tracking system • SERC program evaluation staff
<p>15.24 (New) Fully incorporate other monitoring activities into a comprehensive system of general supervision with common protocol and practices regarding oversight:</p> <ul style="list-style-type: none"> • <i>P.J. et al .v. State of Connecticut, State Board of Education et al.</i>; • Grant application submissions; • Approved private special education program reviews; • CEIS; • Parentally placed private school students; and • Fiscal verification. 	<p>2008-09 through 2011.</p>	<ul style="list-style-type: none"> • Department personnel • Electronic data and tracking system • Staff development • Revised General Supervision manual, guidelines, and protocol.

Data for monitoring activities conducted during the 2003-04 year. 168/169 districts were closed out for all non-compliance in 2002-03. During the 2003-04 year the CSDE convened a Focused Monitoring Stakeholder Committee to revise the system of monitoring, so a limited number of site visits occurred and noncompliance identified. As described in the narrative, one district continues to have four ongoing issues of non-compliance. The data for non-compliance not corrected within one year all reflects the performance of this one district.

Table for #15 A

Monitoring Priority: Effective General Supervision Part B		
Indicator	Measurement Calculation	Explanation
<p>15. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.</p> <p>A. Percent of noncompliance related to monitoring priority areas and indicators corrected within one year of identification:</p> <p>a. # of findings of noncompliance made related to monitoring priority areas and indicators.</p> <p>b. # of corrections completed as soon as possible but in no case later than one year from identification.</p> <p>Percent = b divided by a times 100.</p>	<p>See attached Calculation Chart for specifications of data included here</p> <p>a = 20</p> <p>b = 19</p> <p>$b/a = 19/20$ $\times 100 = 95\%$</p>	<p>The one finding of non-compliance not corrected within one year applies to one district currently in level 4 of sanctions.</p>

Compilation Table

Indicator	Monitoring Mechanism	# Reviewed	# with Findings	a. # of Findings	b. # Corrected w/in 1 yr	% Corrected w/in 1 yr
1. Percent of youth with IEPs graduating from high school with a regular diploma.	Self-Assessment					
	On-site Visit					
	Data Review	169	0			
	Other: Specify					
2. Percent of youth with IEPs dropping of high school	Self-Assessment					
	On-site Visit	169	0			
	Data Review					
	Other: Specify					
3. Participation and performance of children with disabilities on statewide assessments	Self-Assessment					
	On-site Visit					
	Data Review	169	15	15	15	100
	Other: Specify					
4. Rates of suspension and expulsion	Self-Assessment					
	On-site Visit					
	Data Review	169				
	Other: Specify					
5. Percent of children with IEPs aged 6 through 21 – educational placements	Self-Assessment	25	0			
	On-site Visit	9	5	5	4	80%
	Data Review	169	0			
	Other: Action Plans and quarterly data submission	24	0			

Indicator	Monitoring Mechanism	# Reviewed	# with Findings	a. # of Findings	b. # Corrected w/in 1 yr	% Corrected w/in 1 yr
6. Percent of preschool children who received special education and related services in settings with typically developing peers	Self-Assessment	24	0			
	On-site Visit	8	0			
	Data Review					
	Other: Action Plans and quarterly data submission					
7. Percent of preschool children with IEPs who demonstrated improved outcomes NEW INDICATOR NO DATA 2004-05	Self-Assessment					
	On-site Visit					
	Data Review					
	Other: Specify					
8. Percent of parents with a child receiving special education services who report that schools facilitated parents involvement NEW INDICATOR NO DATA 2004-05	Self-Assessment					
	On-site Visit					
	Data Review					
	Other: Specify					
9. & 10. Percent of districts with disproportionate representation of racial and ethnic groups in special education NEW INDICATOR NO DATA 2004-05	Self-Assessment					
	On-site Visit					
	Data Review					
	Other: Specify					
11. Percent of children with parental consent to evaluate, evaluated within State established timelines NEW INDICATOR NO DATA 2004-05	Self-Assessment					
	On-site Visit					
	Data Review					
	Other: Specify					

Indicator	Monitoring Mechanism	# Reviewed	# with Findings	a. # of Findings	b. # Corrected w/in 1 yr	% Corrected w/in 1 yr
12. Percent of children referred by Part C prior to age 3 have an IEP developed and implemented by their third birthday	Self-Assessment					
	On-site Visit	1	0			
	Data Review	159	0			
	Other: telephone technical assistance	8	0			
13. Percent of youth aged 16 and above with IEP that includes coordinated, measurable, annual IEP goals and transition services that will reasonably enable student to meet the post-secondary goals NEW INDICATOR NO DATA 2004-05	Self-Assessment					
	On-site Visit					
	Data Review					
	Other: Specify					
14. Percent of youth who had IEPs, are no longer in secondary school and who have been competitively employed, enrolled in some type of postsecondary school, or both, within one year of leaving high school NEW INDICATOR NO DATA 2004-05	Self-Assessment					
	On-site Visit					
	Data Review					
	Other: Specify					
TOTALS	SUM COLUMNS A AND B			20	19	

Table for #15B

Monitoring Priority: Effective General Supervision Part B		
Indicator	Measurement Calculation	Explanation
<p>15. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.</p> <p>B. Percent of noncompliance related to areas not included in the above monitoring priority areas and indicators corrected within one year of identification:</p> <p>a. # of findings of noncompliance made related to such areas.</p> <p>b. # of corrections completed as soon as possible but in no case later than one year from identification.</p> <p>Percent = b divided by a times 100.</p>	<p>a = 3</p> <p>b = 0</p> <p>b/a = 0/3 = 0%</p>	<p>The three findings of non-compliance not corrected within one year both apply to one district currently in level 4 of sanctions.</p> <p>Areas of noncompliance citations: Implementation of IEP services Placement decisions made on an individual basis Availability of IEP at the school of attendance</p>

Table for Indicator #15C

Monitoring Priority: Effective General Supervision Part B		
Indicator	Measurement Calculation	Explanation
<p>15. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.</p> <p>C. Percent of noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.) corrected within one year of identification:</p> <p>a. # of agencies in which noncompliance was identified through other mechanisms.</p> <p>b. # of findings of noncompliance made.</p> <p>c. # of corrections completed as soon as possible but in no case later than one year from identification.</p> <p>Percent = c divided by b times 100.</p>	<p>a = 39</p> <p>b = 99</p> <p>c = 99</p> <p>100%</p> <p>100% of noncompliance identified through other mechanisms were corrected in a timely manner</p>	<p>39 agencies/districts had issues in the dispute resolution system.</p> <p>There were 99 findings of noncompliance in the following areas:</p> <p>Educational Records Incomplete IEP Evaluation/Identification Timelines Truancy Manifestation Determination Suspension Failure to implement IEP Referral to PPT Homebound tutoring Denial of FAPE Progress reports Independent evaluation Procedural Safeguards Annual review Surrogate parent PPT Payment for DCF placement Consent/Notice ESY Ed Decisions outside of PPT Confidentiality Inaccurate IEP Section 504 Audio taping PPT 100% of the findings were corrected within one year from identification</p>

Part B State Performance Plan (SPP) for 2005-2010

Overview of the State Performance Plan Development:

See Overview, Page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part B / General Supervision

Indicator 16: Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

(20 U.S.C. 1416(a)(3)(B))

Measurement:

Percent = [(1.1(b) + 1.1(c)) divided by 1.1] times 100.

Overview of Issue/Description of System or Process:

The Department assigns a Bureau of Special Education consultant to coordinate the signed written complaint system. Signed written complaints are logged in and assigned to a Department consultant. The staff member completes the review of the complaint and issues a written final decision within 60 days of receipt of the complaint. The 60 day time limit for the review and resolution of a complaint may be extended if the parties agree to engage in mediation or alternative means of dispute resolution, or if exceptional circumstances exist with respect to the particular complaint in which case all parties will be informed in writing of the specific length of the extension and the circumstances required the extension.

Baseline Data for FFY 2004 (2004-2005):

$(63 + 13) / 76 \times 100 = 100\%$

Discussion of Baseline Data:

Target met. This data and analysis demonstrate full compliance in this indicator as required in the FFY 2003 Annual Performance Report letter dated October 18, 2005.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	100%
2006 (2006-2007)	100%
2007 (2007-2008)	100%

FFY	Measurable and Rigorous Target
2008 (2008-2009)	100%
2009 (2009-2010)	100%
2010 (2010-2011)	100%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
16.1 Develop brochure for LEAs and families on alternatives to dispute resolution.	2005-06 school year	<ul style="list-style-type: none"> State Education Resource Center (SERC)
16.2 Continue development of new data system to track complaints by district, issue, findings and timelines. Data system to have “tickler” system for corrective action timelines. Implement data system and identify training needs of bureau staff.	2006-07 until completed	<ul style="list-style-type: none"> Office of Information Systems database development Due Process Unit of Bureau of Special Education
16.3 Simplify the process for filing a complaint through explanation and sample request form in updated Parent’s Guide to Special Education in Connecticut.	2006-2007	<ul style="list-style-type: none"> CSDE Parent Workgroup
16.4 (Revised) Complete an assessment of the Dispute Resolution System and alignment to general supervision of compliance indicators. <i>Action Step:</i> Review practices and tools used for assigning and verifying corrective actions. <i>Action Step:</i> Revise procedures to include appropriate guidelines for applying sanctions for noncompliance. <i>Action Step:</i> Pursue development of a management table to track the various aspects of compliance and performance through the general supervision system. <i>Action Step:</i> Develop criteria to determine if district is in need of assistance, needs intervention, or needs substantial intervention consistent with Section 616 of IDEA 2004.	2008-09 through 2011	<ul style="list-style-type: none"> Department personnel Due Process Unit of Bureau of Special Education CADRE assessment tools Storage system to maintain results of Dispute Resolution System assessment

Improvement Activities	Timelines	Resources
16.5 Provide training for new consultants who work on complaints.	2005-06 school year through 2011	<ul style="list-style-type: none"> • Complaint Resolution Manual • Guidelines for granting extensions
16.6 Monitor timelines for completion of complaints or documentation of extensions for each consultant.	2005-06 school year through 2011	<ul style="list-style-type: none"> • Data System
16.7 Maintain increased staffing in Due Process Unit.	2005-06 school year through 2011	<ul style="list-style-type: none"> • 0.7 FTE staff added 2004-05 school year
16.8 Review data on annual basis to determine if there are trends in not meeting timelines with specific districts, consultants, across indicators, and specificities related to General Supervision expectations.	2005-06 school year through 2011	<ul style="list-style-type: none"> • Data System • Due Process Unit of Bureau of Special Education • Bureau of Special Education personnel
16.9 Provide complaint data reports to consultants for districts undergoing focused monitoring visits.	2005-06 school year through 2011	<ul style="list-style-type: none"> • Office of Information Systems database development
16.10 (New) Staff will participate in relevant professional development activities concerning complaint resolution. <i>Action Step:</i> Staff will continue to participate in professional development in effective complaint resolution with additional emphasis on timelines. <i>Action Step:</i> Train monitoring staff on what needs to be evident for one year closeouts.	2008-09 through 2011	<ul style="list-style-type: none"> • Department personnel • Due Process Unit of Bureau of Special Education • CADRE assessment tools

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Overview, Page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part B / General Supervision

Indicator 17: Percent of fully adjudicated due process hearing requests that were fully adjudicated within the 45-day timeline or a timeline that is properly extended by the hearing officer at the request of either party.

(20 U.S.C. 1416(a)(3)(B))

Measurement:

Percent = [(3.2(a) + 3.2(b)) divided by 3.2] times 100.

Overview of Issue/Description of System or Process:

The due process hearing system is overseen by the Department's Bureau of Special Education, which appoints contracted hearing officers. All 12 hearing officers are attorneys in good standing with their respective state bar associations and have experience in education.

Within 45 calendar days after receipt of a request for a hearing a final decision is rendered. Hearing officers may grant a specific extension of time beyond the 45 calendar day time line for certain reasons at the request of either party.

Prior to a due process hearing, mediation and advisory opinion are available through the state. Department consultants are assigned for mediations and hearing officers are assigned for advisory opinions.

Baseline Data for FFY 2004 (2004-2005):

$3 + 24 (27) / 29 \times 100 = 93\%$

Discussion of Baseline Data:

For the 2004-05 school year, 291 hearing requests were received by the Department. Of this total number of requests, 29 resulted in fully adjudicated hearing decisions. Twenty-seven of these were completed within required timelines. This represents a timely completion rate of 93 percent. This is an increase over the rate reported in 2005 APR (89.5 percent). The 7 percent of due process hearings not completed in a timely manner represent two hearing decisions. The Department continues to work diligently with hearing officers regarding adherence to timelines.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	100%
2006 (2006-2007)	100%
2007 (2007-2008)	100%
2008 (2008-2009)	100%
2009 (2009-2010)	100%
2010 (2010-2011)	100%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
17.1 Development of data collection system that tracks timelines and provides “tickler” system to distribute reminders to hearing officers.	2006-07 school year	<ul style="list-style-type: none"> • Office of Information Systems database development
17.2 Development of data collection system that integrates management of mediations, complaints and due process hearings.	2006-07 school year	<ul style="list-style-type: none"> • Office of Information Systems database development
17.3 Training to hearing officers in new timelines required by IDEA 2004 and system for tracking timelines.	2006-07 school year	<ul style="list-style-type: none"> • Due Process Unit
17.4 Provide training and technical assistance to mediators, LEAs and families on alternatives to dispute resolution including IEP facilitation.	2006-07 school year through 2008	<ul style="list-style-type: none"> • State Education Resource Center (SERC) • Consortium for Appropriate Dispute Resolution in Special Education (CADRE) • Parent Training and Information Center (PTI)- The Connecticut Parent Advocacy Center (CPAC)

Improvement Activities	Timelines	Resources
17.5 Develop a brochure on use of LEA and state alternatives to dispute resolution.	2006-07 school year	<ul style="list-style-type: none"> • SERC personnel • CADRE
17.6 Implement a supplemental tracking activity to ensure timely rendering of decisions.	2007-08 school year	<ul style="list-style-type: none"> • Hearing officer training • Department personnel
<p>17.7 (Revised) Complete an assessment of the Dispute Resolution System specific to hearing processes and procedures.</p> <p><i>Action Step:</i> Review practices and revise procedures for documenting and justifying extensions of hearing timelines.</p> <p><i>Action Step:</i> Collect data regarding satisfaction with due process hearings and individual practitioners, as well as the needs of district-level administrators.</p> <p><i>Action Step:</i> Interpret evaluation data obtained by SERC on hearing officer ratings of state sponsored workshops and conferences.</p> <p><i>Action Step:</i> Identify procedures for decreasing resources used for data entry and handling information requests.</p>	2007-08 school year	<ul style="list-style-type: none"> • Due Process Unit of Bureau of Special Education • CADRE assessment tools • Storage system to maintain results of Dispute Resolution System assessment
<p>17.8 (Revised) Sustain and improve existing professional development practices.</p> <p><i>Action Step:</i> Review number and nature of professional development activities occurring.</p> <p><i>Action Step:</i> Professional development for due process hearing officers, eight days per year.</p> <p><i>Action Step:</i> Individualized professional development for due process hearing officers.</p>	2006-07 through 2011	<ul style="list-style-type: none"> • Due Process Unit
17.9 Individualized professional development for due process hearing officers.	2006-07 school year through 2011	<ul style="list-style-type: none"> • \$400 per hearing officer per year
17.10 Provide a summary of due process hearing data and timely completions data to hearing officers on an annual basis.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Due Process Unit

Improvement Activities	Timelines	Resources
17.11 Annual review of data on due process hearing timelines to determine if trends exist with specific hearing officers.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Due Process Unit
17.12 Include timely hearing completions as a performance measure for annual hearing officer appraisal.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Due Process Unit
17.13 Provide frequent and regular reminders to hearing officer of required timelines	2006-07 school year through 2011	<ul style="list-style-type: none"> • Due Process Database

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Overview, Page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part B / General Supervision

Indicator 18: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements.

(20 U.S.C. 1416(a)(3(B))

Measurement: Percent = (3.1(a) divided by 3.1) times 100.

Overview of Issue/Description of System or Process:

The Department required data submission by each LEA for the 2005-06 school year. Data was collected beginning July 1, 2006 – August 15, 2006. The data collection included:

- the number of hearing requests submitted to the Department by parent(s) in the district;
- the number of hearing requests by parent(s) that went through a resolution session and were resolved as a result;
- the number of hearing requests by parent(s) that went through a resolution session and were not resolved; and
- the number of hearing requests by parent(s) in which the resolution session was waived.

For the 2006-07 school year, data will be collected from every district each time a hearing is requested. The data will include whether a resolution session was convened or waived and the outcome of the session if convened.

Baseline Data for FFY 2004 (2004-2005):

For the baseline year of 2005-06, the percent of resolution sessions successfully resolving disputes was 67.2 percent.

$(41/61 \times 100 = 67.2\%)$

Discussion of Baseline Data:

This was the first year districts were required to offer resolution sessions. A total of 41 resolution sessions were successful in resolving disputes. Data are valid and reliable. Although other methods of alternate dispute resolution have been available in the past, it appears that the addition of the resolution sessions has afforded parents an additional successful option in resolving disputes.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	Not applicable/baseline year data
2006 (2006-2007)	67.3%
2007 (2007-2008)	67.4%
2008 (2008-2009)	67.5%
2009 (2009-2010)	67.6%
2010 (2010-2011)	67.7%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
18.1 Development of data collection system that integrates management of mediations, complaints, resolution sessions and due process hearings.	2006-07 school year	<ul style="list-style-type: none"> • Department Office of Information Systems database development • Outside consultant
18.2 Develop a brochure on the use of alternatives to dispute resolution.	2006-07 school year	<ul style="list-style-type: none"> • SERC personnel • Consortium for Appropriate Dispute Resolution in Special Education (CADRE)
18.3 Provide training to hearing officers in new timelines required by IDEA 2004 and system for tracking timelines.	2006-07 school year	<ul style="list-style-type: none"> • Due Process Unit
18.4 Provide training to LEA attorneys on the requirements and effectiveness of resolution sessions.	2006-07 school year	<ul style="list-style-type: none"> • Due Process Unit
18.5 Include in the revision of the “Parent’s Guide to Special Education in Connecticut” information regarding the use of resolution sessions.	2006-07 school year	<ul style="list-style-type: none"> • CSDE Parent Workgroup

Improvement Activities	Timelines	Resources
18.6 Complete an assessment of the Dispute Resolution System and alignment to general supervision of compliance indicators	2007-08 school year	<ul style="list-style-type: none"> • Due Process Unit • CADRE assessment tools • Storage system to maintain results of Dispute Resolution System assessment
18.7 Notification to school districts of each hearing request will contain a form to be filled out and returned to the Department indicating whether a resolution session was convened or waived and the outcome of the session if convened.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Due Process Unit
18.8 Provide training and technical assistance to mediators, LEAs and families on alternatives to dispute resolution including IEP facilitation and resolution sessions.	2006-07 school year through 2008	<ul style="list-style-type: none"> • SERC personnel • CADRE • Parent Training and Information Center (PTI)- Connecticut Parent Advocacy Center (CPAC)
18.9 Provide training to hearing officers on the requirements for use of resolution sessions.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Due Process Unit
18.10 Provide data on the success of resolution sessions to hearing officers and LEAs on an annual basis.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Due Process Unit

Part B State Performance Plan (SPP) for 2005-2010

Overview of the State Performance Plan Development:

See Overview, Page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part B / General Supervision

Indicator 19: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B))

Measurement:

Percent = [(2.1(a)(i) + 2.1(b)(i)) divided by 2.1] times 100.

Overview of Issue/Description of System or Process:

Connecticut General Statutes Section 10-76h(f)(1)(a) provides that “the mediator shall attempt to resolve the issues in a manner which is acceptable to the parties within 30 days from the request of the mediation.”

Baseline Data for FFY 2004 (2004-2005):

Sixty-six percent of mediations resulted in agreements.

$$35 + 64 = 99 / 150 = 66\%$$

Discussion of Baseline Data:

The Department’s 2004-05 school year data shows a slight increase in the percent of mediations (66 percent) that resulted in agreements in comparison to the 2003-04 year (64 percent). The Department does not believe that tracking the number of agreements is an effective means of assessing the effectiveness of the mediation process. Fifty-one mediations did not result in agreements. Of these 51 non-agreements, only eight went on to hearing with four pending. Of the 150 mediations held, 142 did not result in an adjudicated hearing decision. In 95 percent of the cases (with four pending) a hearing was not pursued after mediation. The goal of mediation is to maximize the opportunity for the parties to reach a settlement. The effectiveness of mediation should not be rated on a percentage of written agreements.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	67%

FFY	Measurable and Rigorous Target
2006 (2006-2007)	68%
2007 (2007-2008)	69%
2008 (2008-2009)	70%
2009 (2009-2010)	71%
2010 (2010-2011)	72%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
19.1 Develop a brochure for LEAs and families on alternatives to dispute resolution.	2005-06 school year	<ul style="list-style-type: none"> • SERC personnel
19.2 Conduct mediator meetings and provide on-going functional supervision.	2005-06 school year through 2007	<ul style="list-style-type: none"> • Due Process Unit
19.3 Promote the use of mediation for families through updated Parent’s Guide to Special Education in Connecticut.	2005-06 school year through 2007	<ul style="list-style-type: none"> • CSDE Parent Workgroup • Parent Training and Information Center (PTI) - Connecticut Parent Advocacy Center (CPAC) • State Education Resource Center (SERC) personnel
19.4 Provide training and technical assistance to mediators, LEAs and families on alternatives to dispute resolution.	2005-06 school year through 2007	<ul style="list-style-type: none"> • SERC personnel • Consortium for Appropriate Dispute Resolution (CADRE) • CPAC
19.5 Develop and implement evaluation checklist and procedures for mediator selection.	2007-08 school year	<ul style="list-style-type: none"> • Department personnel

Improvement Activities	Timelines	Resources
<p>19.6 Monitor data on mediation agreements and track future use of due process system for non-agreements on an annual basis. Measure progress using both indicators.</p>	<p>2005-06 school year through 2011</p>	<ul style="list-style-type: none"> • Office of Information Systems database development
<p>19.7 Provide training and a mentorship program for new mediators.</p>	<p>2008-09 school year through 2011</p>	<ul style="list-style-type: none"> • Due Process Unit • Department personnel • SERC

**Report of Dispute Resolution Under Part B of the Individuals with Disabilities Education Act
Complaints, Mediations, Resolution Sessions, and Due Process Hearings**

SECTION A: Signed, written complaints	
(1) Signed, written complaints total	101
(1.1) Complaints with reports issued	76
(a) Reports with findings	56
(b) Reports within timeline	63
(c) Reports within extended timelines	13
(1.2) Complaints withdrawn or dismissed	25
(1.3) Complaints pending	0
(a) Complaint pending a due process hearing	0

SECTION B: Mediation requests	
(2) Mediation requests total	191
(2.1) Mediations	
(a) Mediations related to due process	54
(i) Mediation agreements	35
(b) Mediations not related to due process	96
(i) Mediation agreements	64
(2.2) Mediations not held (including pending)	41

SECTION C: Hearing requests	
(3) Hearing requests total	291
(3.1) Resolution sessions	0
(a) Settlement agreements	0
(3.2) Hearings (fully adjudicated)	29
(a) Decisions within timeline	3
(b) Decisions within extended timeline	24
(3.3) Resolved without a hearing	262

SECTION D: Expedited hearing requests (related to disciplinary decision)	
(4) Expedited hearing requests total	2
(4.1) Resolution sessions	0
(a) Settlement agreements	0
(4.2) Expedited hearings (fully adjudicated)	2
(a) Change of placement ordered	0

Part B State Performance Plan (SPP) for 2005-2010**Overview of the State Performance Plan Development:**

See Overview, Page i

(The following items are to be completed for each monitoring priority/indicator.)

Monitoring Priority: Effective General Supervision Part B / General Supervision

Indicator 20: State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B))

Measurement:

State reported data, including 618 data and annual performance reports, are:

- a. Submitted on or before due dates (February 1 for child count, including race and ethnicity; placement; November 1 for exiting, discipline, personnel; and February 1 for Annual Performance Reports); and
- b. Accurate (describe mechanisms for ensuring error free, consistent, valid and reliable data and evidence that these standards are met).

Overview of Issue/Description of System or Process:

Components of Department procedures utilized to ensure collection and reporting of accurate and timely data have been maintained (PC-ISSIS data collection software, data cleaning by data managers, production of special education profiles, and individual student file verification). Additionally, in the 2003-04 school year, the Department added a Focused Monitoring Data Verification report to provide for ease of identification of major data shifts in multiple areas of special education data used in state and federal reporting, as well as monitoring activities. The Department also added an additional data analyst to the staff for increased reporting, data cleaning and responsiveness to LEA data needs.

Baseline Data for FFY 2004 (2004-2005):

The Department has 100 percent rate of timely and accurate submittal of state reports.

Discussion of Baseline Data:

Regarding timely submittal of state reports (federal tables and APR), the Department submitted the 2004 Annual Performance Report (2002-03 reporting period) on time, March 31. Preliminary federal tables for child count, race and ethnicity, and educational placement were submitted on or before the February 1 due date. The preliminary federal personnel and suspension and expulsion tables were submitted on or before the November 1 due date. The preliminary federal exiting table was submitted on or before February 1 as outlined in the Department's request for reporting

timeline extension, rather than the November 1 due date outlined in regulations. This is 100 percent timely submittal of state reports therefore target met.

FFY	Measurable and Rigorous Target
2005 (2005-2006)	100%
2006 (2006-2007)	100%
2007 (2007-2008)	100%
2008 (2008-2009)	100%
2009 (2009-2010)	100%
2010 (2010-2011)	100%

Improvement Activities/Timelines/Resources:

Improvement Activities	Timelines	Resources
20.1 Continue all data collection, cleaning and reporting activities currently in place.	2005-06 school year through 2011	<ul style="list-style-type: none"> • Department personnel
20.2 (Revised) Publish state data maps for all focused monitoring indicators.	2005-06 school year	<ul style="list-style-type: none"> • Department Personnel • Focused Monitoring Steering Committee
20.3 Publicly disseminate district data on Department website.	2005-06 school year through 2011	<ul style="list-style-type: none"> • Department website
20.4 Implementation of mandatory PSIS registration system.	July 2006	<ul style="list-style-type: none"> • Department personnel
20.5 Change the Connecticut OSEP child count data collection from December 1 to October 1.	October 2006	<ul style="list-style-type: none"> • Department personnel

Improvement Activities	Timelines	Resources
20.6 Implementation of the Special Education Data Application and Collection (SEDAC).	November 2006	<ul style="list-style-type: none"> • Department personnel • Outside contractors to write program
20.7 (Revised) Convene meetings across multiple bureaus to address timely data collection and reporting.	2006-07 school year through 2011	<ul style="list-style-type: none"> • Department personnel
20.8 Convene meetings across multiple bureaus to address collection procedures and timelines for discipline data.	2006-07 school year	<ul style="list-style-type: none"> • Department personnel

Focused Monitoring Steering Committee

Sarah BARZEE
James BLAIR
Terri BRUCE
Nancy CAPPELLO
Joanna COOPER
Dana CORRIVEAU
Brian CUNNANE
Sherri EDGAR
Sally ESPOSITO
Brian FARRELL
Mary FORDE
Jacqueline KELLEHER
Marianne KIRNER
Kim MEARMAN
George MICHNA

Glen MCGRATH
Clint MONTGOMERY
Diane MURPHY
Sarah NEWKIRK
Joe ONOFRIO
Nancy PRESCOTT
Elena POMA
Deborah RICHARDS
Alice RIDGWAY
David SCATA
Maria SYNODI
Suzanne WRIGHT
Gary ZAREMSKI
KATE ZHAO

