Connecticut Part B FFY 2012 SPP/APR Response Table

Part B SPP/APR Indicators

- 1. Percent of youth with IEPs graduating from high school with a regular diploma. [Results Indicator]
- 2. Percent of youth with IEPs dropping out of high school. [Results Indicator]
- 3. Statewide assessments:
 - A. Percent of the districts with a disability subgroup that meets the State's minimum "n" size that meet the State's AYP/AMO targets for the disability subgroup. [Results Indicator]
 - B. Participation rate for children with IEPs on statewide assessments. [Results Indicator]
 - C. Proficiency rate for children with IEPs against grade level, modified and alternate academic achievement standards. [Results Indicator]
- 4. Rates of suspension and expulsion
 - A. Percent of districts that have a significant discrepancy in the rate of suspensions and expulsions of greater than 10 days in a school year for children with IEPs; [Results Indicator]
 - B. Percent of districts that have: (a) a significant discrepancy, by race or ethnicity, in the rate of suspensions and expulsions of greater than 10 days in a school year for children with IEPs; and (b) policies, procedures or practices that contribute to the significant discrepancy and do not comply with requirements relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards. [Compliance Indicator]
- 5. Percent of children with IEPs aged 6 through 21 served:
 - A. Inside the regular class 80% or more of the day;
 - B. Inside the regular class less than 40% of the day; or
 - C. In separate schools, residential facilities, or homebound/hospital placements. [Results Indicator]
- 6. Percent of children aged 3 through 5 with IEPs attending a:
 - A. Regular early childhood program and receiving the majority of special education and related services in the regular early childhood program; and
 - B. Separate special education class, separate school or residential facility. [Results Indicator]
- 7. Percent of preschool children age 3 through 5 with IEPs who demonstrate improved:
 - A. Positive social-emotional skills (including social relationships);
 - B. Acquisition and use of knowledge and skills (including early language/communication and early literacy); and
 - C. Use of appropriate behaviors to meet their needs. [Results Indicator]
- 8. Percent of parents with a child receiving special education services who report that schools facilitated parent involvement as a means of improving services and results for children with disabilities. [Results Indicator]
- 9. Percent of districts with disproportionate representation of racial and ethnic groups in special education and related services that is the result of inappropriate identification. [Compliance Indicator]

- 10. Percent of districts with disproportionate representation of racial and ethnic groups in specific disability categories that is the result of inappropriate identification. [Compliance Indicator]
- 11. Percent of children who were evaluated within 60 days of receiving parental consent for initial evaluation or, if the State establishes a timeframe within which the evaluation must be conducted, within that timeframe. [Compliance Indicator]
- 12. Percent of children referred by Part C prior to age 3, who are found eligible for Part B, and who have an IEP developed and implemented by their third birthdays. [Compliance Indicator]
- 13. Percent of youth with IEPs aged 16 and above with an IEP that includes appropriate measurable postsecondary goals that are annually updated and based upon an age appropriate transition assessment, transition services, including courses of study, that will reasonably enable the student to meet those postsecondary goals, and annual IEP goals related to the student's transition services needs. There also must be evidence that the student was invited to the IEP Team meeting where transition services are to be discussed and evidence that, if appropriate, a representative of any participating agency was invited to the IEP Team meeting with the prior consent of the parent or student who has reached the age of majority. [Compliance Indicator]
- 14. Percent of youth who are no longer in secondary school, had IEPs in effect at the time they left school, and were:
 - A. Enrolled in higher education within one year of leaving high school;
 - B. Enrolled in higher education or competitively employed within one year of leaving high school.
 - C. Enrolled in higher education or in some other postsecondary education or training program; or competitively employed or in some other employment within one year of leaving high school.

[Results Indicator]

- 15. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

 [Compliance Indicator]
- 18. Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements. [Results Indicator]
- 19. Percent of mediations held that resulted in mediation agreements. [Results Indicator]
- 20. State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate. [Compliance Indicator]

Timeliness of State Complaint and Due Process Hearing Decisions (Collected as Part of IDEA Section 618 Data rather than through an SPP/APR Indicator)

Timely Resolution of State Complaints: Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint, or because the parent (or individual or organization) and the public agency agree to extend the time to engage in mediation or other alternative means of dispute resolution, if available in the State.

Timely Adjudication of Due Process Hearing Requests: Percent of adjudicated due process hearing requests that were adjudicated within the timeline or a timeline that is properly extended by the hearing officer at the request of either party or in the case of an expedited hearing, within the required timelines.

Connecticut Part B FFY 2012 SPP/APR Results Data Summary

| INDICATOR | FFY 2011 DATA | FFY 2012 DATA | FFY 2012 TARGET |
|---|-----------------------|-----------------------|-----------------------|
| 1. Graduation | 62.4% | 64.4% | $\geq 64.7\%^{1}$ |
| 2. Drop Out | 15.7% | 15.7% | $\leq 15.0\%^2$ |
| 3. A. Percent of Districts Meeting AYP for Disability Subgroup – CMT | | 20.0% | Baseline Data |
| A. Percent of Districts Meeting AYP for Disability Subgroup – CAPT | | 30.5% | Baseline Data |
| B. Statewide Assessment Participation Rate – CMT Reading | 98.3% | 98.1% | ≥ 95.0% |
| B. Statewide Assessment Participation Rate – CMT Math | 98.7% | 98.9% | ≥ 95.0% |
| B. Statewide Assessment Participation Rate – CAPT Reading | 92.4% | 91.1% | ≥ 95.0% |
| B. Statewide Assessment Participation Rate – CAPT Math | 90.8% | 92.3% | ≥ 95.0% |
| C. Statewide Assessment Proficiency Rate – CMT Reading | 51.9% | 50.6% | ≥ 89.0% |
| C. Statewide Assessment Proficiency Rate – CMT Math | 56.2% | 53.2% | ≥ 91.0% |
| C. Statewide Assessment Proficiency Rate – CAPT Reading | 47.9% | 51.4% | ≥ 91.0% |
| C. Statewide Assessment Proficiency Rate – CAPT Math | 39.0% | 37.2% | ≥ 90.0% |
| 4. A. Percent of Districts with Significant Discrepancy in Suspension/Expulsion | 9.41% | 10.59% | ≤ 10% |
| 5. Educational Environment for Children with IEPs 6-21A. In Regular Education 80% or More of Day | 69.5% | 69.4% | ≥ 72.0% |
| B. In Regular Education Less than 40% of Day | 5.6% | 5.7% | ≤ 6.0% |
| C. In Separate Schools, Residential Facilities, or Homebound/Hospitals | 7.3% | 7.2% | ≤ 6.0% |
| 6. Percent of children aged 3 through 5 with IEPs attending: A. Regular early childhood program and receiving majority of special education and related services in regular early childhood program; | 72.0% | 73.5% | ≥ 72.0% |
| B. Separate special education class, separate school or residential facility. | 15.0% | 13.2% | ≤ 15.0% |
| 7. Preschool Outcomes | See Attached Table | See Attached Table | See Attached Table |
| 8. Parents Reporting Schools Facilitated Parent Involvement | 88.0% | 87.5% | ≥ 90.0% |
| 14. Percent of Youth No Longer in School, within One Year of Leaving High School: A. Enrolled in Higher Education | 44.8% | 51.8% | ≥ 46.4% |
| B. Enrolled in Higher Education or Competitively Employed | 60.1% | 67.4% | ≥ 61.3% |
| C. Enrolled in Higher Education or Other Postsecondary Education or Training or Competitively Employed or in Some Other Employment | 79.1% | 83.8% | ≥ 78.8% |

As used in this table, the symbol "\geq" means that, to meet the target, the State's data must be greater than or equal to the established target.

As used in this table, the symbol "\seq" means that, to meet the target, the State's data must be less than or equal to the established target.

| INDICATOR | FFY 2011 DATA | FFY 2012 DATA | FFY 2012 TARGET |
|---|------------------|------------------|--------------------|
| 18. Hearing Requests Resolved through Resolution Session Agreements | 56.1% | 57.1% | ≥ 67.9% |
| 19. Mediations Held that Resulted in Mediation Agreements | 65.7% | 66.8% | ≥ 72.0% |

7. Percent of Preschool Children Age 3 through 5 with IEPs Who Demonstrate Improved Outcomes

| Summary Statement 1 ³ | FFY 2011 Data | FFY 2012 Data | FFY 2012 Target |
|--|---------------|---------------|-----------------|
| Outcome A: Positive social-emotional skills (including social relationships) (%) | 54.2% | 57.3% | ≥ 56.1% |
| Outcome B: | | | |
| Acquisition and use of knowledge and skills (including early language/ communication) (%) | 64.0% | 67.8% | ≥ 59.1% |
| Outcome C: Use of appropriate behaviors to meet their needs (%) | 52.1% | 53.6% | ≥ 48.1% |
| Summary Statement 2 ⁴ | FFY 2011 Data | FFY 2012 Data | FFY 2012 Target |
| Outcome A: Positive social-emotional skills (including social relationships) (%) | 52.9% | 55.6% | ≥ 52.1% |
| Outcome B: Acquisition and use of knowledge and skills (including early language/ communication) (%) | 34.7% | 34.0% | ≥ 31.1% |
| Outcome C: Use of appropriate behaviors to meet their needs (%) | 26.5% | 26.0% | ≥ 24.1% |

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³ **Summary Statement 1:** Of those preschool children who entered or exited the preschool program below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 6 years of age or exited the program.

⁴ **Summary Statement 2:** The percent of preschool children who were functioning within age expectations in each Outcome by the time they turned 6 years of age or exited the program.

Connecticut FFY 2012 Results Data Summary Notes

INDICATOR 3:

3A: The State is reporting Annual Measurable Objectives (AMOs) data used for accountability reporting under Title I of the Elementary and Secondary Education Act (ESEA) as a result of ESEA flexibility. The State has reported FFY 2012 baseline data based for this indicator based on AMOs.

3B and 3C: The State provided a Web link to 2012 publicly-reported assessment results.

INDICATOR 4A: The State reported its definition of "significant discrepancy."

The State reported that it does not use a minimum "n" size requirement.

The State reported that 18 districts were identified as having a significant discrepancy in the rate of suspensions and expulsions of greater than ten days in a school year for children with IEPs.

The State reported that it reviewed the districts' policies, procedures, and practices relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards to ensure compliance with the IDEA, as required by 34 CFR §300.170(b) for the districts identified with significant discrepancies in FFY 2012. The State did not identify noncompliance through this review.

INDICATOR 7:

REQUIRED ACTIONS

The State must report progress data and actual target data for FFY 2013 in the FFY 2013 APR.

INDICATOR 8: The State reported that the data for this indicator were collected from a response group that was not representative of the population. OSEP notes that the State included strategies or improvement activities to address this issue in the future.

REQUIRED ACTIONS

In the FFY 2013 APR, the State must report whether its FFY 2013 data are from a group representative of the population, and if not, the action the State is taking to address this issue.

INDICATOR 14: The State reported that the data for this indicator were collected from a response group that was not representative of the population. OSEP notes that the State included strategies or improvement activities to address this issue in the future.

REQUIRED ACTIONS

In the FFY 2013 APR, the State must report whether its FFY 2013 data are from a group representative of the population, and if not, the action the State is taking to address this issue.

Connecticut Part B FFY 2012 SPP/APR Compliance Summary

| INDICATOR | FFY 2011 DATA | FFY 2012 DATA | FFY 2012 TARGET | CORRECTION OF FINDINGS OF NONCOMPLIANCE IDENTIFIED IN FFY 2011 |
|---|------------------|------------------|--------------------|---|
| 4B. Significant disproportionality in suspension/expulsion by race/ethnicity, and policies, procedures or practices that contribute to the significant discrepancy and do not comply with specified requirements. | 0% | 0% | 0% | The State reported that it did not identify any findings of noncompliance in FFY 2011. |
| 9. Disproportionate representation of racial and ethnic groups in special education and related services that is the result of inappropriate identification. | 0% | 0% | 0% | The State reported that it did not identify any findings of noncompliance in FFY 2011. |
| 10. Disproportionate representation by disability of racial and ethnic groups in specific disability categories that is the result of inappropriate identification. | 0% | 0% | 0% | The State reported that it did not identify any findings of noncompliance in FFY 2011. |
| 11. Timely Initial Evaluation | 99.3% | 99.3% | 100% | The State reported that all 26 of its findings of noncompliance identified in FFY 2011 were corrected in a timely manner. |
| 12. Early Childhood Transition | 100% | 99.9% | 100% | The State reported that it did not identify any findings of noncompliance in FFY 2011. |
| 13. Secondary Transition | 99.7% | 99.98% | 100% | The State reported that all 13 of its findings of noncompliance identified in FFY 2011 were corrected in a timely manner. |

| INDICATOR | FFY 2011 DATA | FFY 2012 DATA | FFY 2012 TARGET | CORRECTION OF FINDINGS OF NONCOMPLIANCE IDENTIFIED IN FFY 2011 |
|------------------------------|------------------|------------------|--------------------|---|
| 15. Timely Correction | 99.3% | 97.5% | 100% | The State reported that 885 of 908 findings of noncompliance identified in FFY 2011 were corrected in a timely manner and that 12 findings were subsequently corrected by February 3, 2014. The State reported on the actions it took to address the uncorrected noncompliance. |
| 20. Timely and Accurate Data | 95.65% | 97.82% | 100% | |

Connecticut Part B FFY 2012 State Complaint and Hearing Data from IDEA Section 618 Data Reports

| REQUIREMENT | FFY 2011 DATA | FFY 2012 DATA |
|---|---------------|--------------------------------|
| Timely resolution of complaints | 90% | 91.9% |
| Timely adjudication of due process hearing requests | 100% | 100% (based on six complaints) |

Connecticut FFY 2012 Compliance Data Summary Notes

INDICATOR 4B: The State reported its definition of "significant discrepancy."

The State reported that 15 districts were identified as having a significant discrepancy, by race or ethnicity, in the rate of suspensions and expulsions of greater than ten days in a school year for children with IEPs.

The State reported that it reviewed the districts' policies, procedures, and practices relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards to ensure compliance with the IDEA, as required by 34 CFR §300.170(b) for the districts identified with significant discrepancies in FFY 2011. The State did not identify any noncompliance through this review.

The State reported that 23 of 170 districts did not meet the State-established minimum "n" size requirement of a minimum of five students with disabilities in the district suspended/expelled for greater than ten days or a minimum of ten students with disabilities in the district in each race category, and were excluded from the calculation.

INDICATOR 9: The State reported that two districts were identified with disproportionate representation of racial and ethnic groups in special education and related services. The State also reported that no districts were identified with disproportionate representation of racial and ethnic groups in special education and related services that was the result of inappropriate identification.

The State provided its definition of "disproportionate representation."

The State reported that it does not use a minimum "n" size requirement.

INDICATOR 10: The State reported that 26 districts were identified with disproportionate representation of racial and ethnic groups in specific disability categories. The State also reported that no districts were identified with disproportionate representation of racial and ethnic groups in specific disability categories that was the result of inappropriate identification.

The State provided its definition of "disproportionate representation."

The State reported that it does not use a minimum "n" size requirement.

INDICATOR 11:

REQUIRED ACTIONS

Because the State reported less than 100% compliance for FFY 2012, the State must report on the status of correction of noncompliance identified in FFY 2012 for this indicator. When reporting on the correction of noncompliance, the State must report, in its FFY 2013 APR, that it has verified that each LEA with noncompliance identified in FFY 2012 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the LEA, consistent with OSEP Memo 09-02.⁵ In the FFY 2013 APR, the State must describe the specific actions that were taken to verify the correction.

INDICATOR 12:

REQUIRED ACTIONS

Because the State reported less than 100% compliance for FFY 2012, the State must report on the status of correction of noncompliance identified in FFY 2012 for this indicator. When reporting on the correction of noncompliance, the State must report, in its FFY 2013 APR, that it has verified that each LEA with noncompliance identified in FFY 2012 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the LEA, consistent with OSEP Memo 09-02. In the FFY 2013 APR, the State must describe the specific actions that were taken to verify the correction.

INDICATOR 13:

REQUIRED ACTIONS

Because the State reported less than 100% compliance for FFY 2012, the State must report on the status of correction of noncompliance identified in FFY 2012 for this indicator. When reporting on the correction of noncompliance, the State must report, in its FFY 2013 APR, that it has verified that each LEA with noncompliance identified in FFY 2012 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the LEA, consistent with OSEP Memo 09-02. In the FFY 2013 APR, the State must describe the specific actions that were taken to verify the correction.

⁵ OSEP Memorandum 09-02 (OSEP Memo 09-02), dated October 17, 2008, requires that the State report that it verified that each LEA with noncompliance: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the LEA.

INDICATOR 15: The State reported that none of the four findings of noncompliance identified in FFY 2010 were corrected. For the uncorrected noncompliance, the State reported on the actions it took to address the uncorrected noncompliance.

REQUIRED ACTIONS

The State must report, with the FFY 2013 SPP/APR, that it has corrected the remaining 23 findings of noncompliance identified in FFY 2011 and four findings of noncompliance identified in FFY 2010 that were not reported as corrected in the FFY 2012 APR.

When reporting with the FFY 2013 APR on the correction of the remaining findings of noncompliance identified in FFY 2011 and FFY 2010, the State must report that it verified that each LEA with remaining findings of noncompliance: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the LEA, consistent with OSEP Memo 09-02.

The State's failure to correct longstanding noncompliance raises serious questions about the effectiveness of the State's general supervision system. The State must take the steps necessary to ensure that it can report, with the FFY 2013 SPP/APR, that it has corrected this noncompliance.

In addition, in responding to Indicators 11, 12, and 13 in the FFY 2013 SPP/APR, the State must report on correction of the noncompliance described in this table under those indicators.