

# Attachment 3: School Meals Assistance Revenue for Transition (SMART) Funds

## ATTESTATION STATEMENT

**Instructions:** Complete all information below. All school food authorities (SFAs) must complete section 3. This form must be signed by the SFA's authorized signer 1 or 2, as approved on the ED-099 Agreement for Child Nutrition Programs. Return this form to [CNPermanentAgreement@ct.gov](mailto:CNPermanentAgreement@ct.gov) by **August 19, 2022**.

Sponsor name: \_\_\_\_\_

Sponsor agreement number: \_\_\_\_\_ Unique Entity Identifier (UEI): \_\_\_\_\_

### Part 1: Accept Funds

Complete part 1 only if the SFA is **accepting** the SMART funds. The SMART funds are federal funds received by the State of Connecticut through the federal American Rescue Plan Act of 2021. The SFA must also complete parts 2 and 4.

As the local educational agency's (LEA) duly authorized representative of the Child Nutrition Programs (CNP), I do hereby:

**Accept** the SMART funds and attest that the aforementioned SFA and all eligible schools under its jurisdiction operating the National School Lunch Program (NSLP) and School Breakfast Program (SBP), will comply with the criteria below. **Note:** Check () the applicable box to indicate if the SFA meets each criterion. **A "No" answer to any criterion will disqualify the SFA from receipt of SMART funds.**

- The SFA will offer at no cost reimbursable lunch and/or breakfast meals to all students at all eligible sites participating under their CNP sponsor agreement for the duration of the availability of the SMART funds allocation and notify parents, guardians, and students as such.  Yes  No
- The SFA will not charge students for reimbursable lunch and/or breakfast meals regardless of their individual student eligibility.  Yes  No
- The SFA will utilize the Direct Certification list to identify individual student eligibility.  Yes  No
- The SFA will collect free and reduced-price meal applications to determine individual student eligibility.  Yes  No
- The SFA will collect proper point of service counts based on individual student eligibility.  Yes  No
- The SFA will claim reimbursable meals by individual student eligibility.  Yes  No
- The SFA will submit monthly claims for reimbursement to the Connecticut State Department of Education (CSDE) in accordance with the current schedule for submitting online reimbursement claim data.  Yes  No
- The SFA will use SMART funds only to support providing the NSLP and SBP at no cost to all students under their CNP sponsor agreement.  Yes  No

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- The SFA will notify the CSDE at least 30 days prior to the conclusion of offering meals at no cost and will utilize remaining funds in accordance with 7 CFR 210 and 7 CFR 220.  Yes  No
- The SFA will notify the parents/guardians of students eligible for reduced-price and paid meals at least 30 days prior to the conclusion of offering meals at no cost.  Yes  No
- The SFA will comply with all applicable Federal procurement and financial management requirements per 2 CFR 200.  Yes  No
- The SFA will comply with all recordkeeping and review requirements per 7 CFR 210.9(b)(17) and 7 CFR 210.18, including maintaining documentation demonstrating appropriate use of SMART funds.  Yes  No
- The SFA recognizes that SMART funds cannot be used for the following reasons:  Yes  No
  - a. to indirectly or directly offset a reduction in net tax revenue resulting from a change in law, regulation, or administrative interpretation beginning on March 3, 2021, through the last day of the fiscal year in which the funds provided have been spent;
  - b. to make a deposit to a pension fund;
  - c. for debt service or replenishing financial reserves;
  - d. to satisfy any obligation of settlements and judgments;
  - e. for a project that conflicts with or contravenes the purpose of the American Rescue Plan Act statute (e.g., uses of funds that undermine COVID-19 mitigation practices in line with Centers for Disease Control and Prevention (CDC) guidance and recommendations); and
  - f. in violation of the Award Terms and Conditions or conflict of interest requirements under the Uniform Guidance (2 CFR Part 200);
- The SFA recognizes that the CSDE may seek recovery of SMART funds if the SFA does not meet the conditions outlined in this Attestation Statement.  Yes  No

### Part 2: School Breakfast Program Participation for School Year (SY) 2022-23:

LEAs that accept SMART funds must attach a list of all sites under the sponsor agreement that will be participating in the SBP for the entirety of SY 2022-23.

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## Part 3: Decline Funds

Complete part 3 only if the SFA is **declining** the SMART funds. The SFA must also complete part 4.

**Note:** Once SMART funds are declined, they will be immediately reallocated. The SFA will **not** have another opportunity to accept these funds.

As the duly authorized representative of the Child Nutrition Programs, I do hereby:

**Decline** the SMART funds.

## Part 4: Signature of Authorized Representative

I certify that the information provided in Part 1 or Part 2 is true and correct.

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*Signature of authorized representative (Signer #1 or #2 on ED-099 Agreement)*

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*Printed name of authorized representative*

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*Title of authorized representative*

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*Date*

# Attachment 3: School Meals Assistance Revenue for Transition (SMART) Funds

## ATTESTATION STATEMENT

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Program information may be made available in languages other than English. Persons with disabilities who require alternative means of communication to obtain program information (e.g., Braille, large print, audiotope, American Sign Language), should contact the responsible state or local agency that administers the program or USDA's TARGET Center at (202) 720-2600 (voice and TTY) or contact USDA through the Federal Relay Service at (800) 877-8339.

To file a program discrimination complaint, a Complainant should complete a Form AD-3027, USDA Program Discrimination Complaint Form which can be obtained online at: <https://www.usda.gov/sites/default/files/documents/USDA-OASCR%20P-Complaint-Form-0508-0002-508-11-28-17Fax2Mail.pdf>, from any USDA office, by calling (866) 632-9992, or by writing a letter addressed to USDA. The letter must contain the complainant's name, address, telephone number, and a written description of the alleged discriminatory action in sufficient detail to inform the Assistant Secretary for Civil Rights (ASCR) about the nature and date of an alleged civil rights violation. The completed AD-3027 form or letter must be submitted to USDA by:

1. **mail:** U.S. Department of Agriculture  
Office of the Assistant Secretary for Civil Rights  
1400 Independence Avenue, SW  
Washington, D.C. 20250-9410; or
2. **fax:** (833) 256-1665 or (202) 690-7442; or
3. **email:** [program.intake@usda.gov](mailto:program.intake@usda.gov)

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Inquiries regarding the Connecticut State Department of Education's nondiscrimination policies should be directed to: Alicia Nunez, Human Resources Administrator, Equal Employment Opportunity, Department of Administrative Services, 450 Columbus Boulevard, Suite 1501, Hartford, CT 06103, 860-713-5317, [alicia.nunez@ct.gov](mailto:alicia.nunez@ct.gov).