

RFP 2023-03 PBR PHASE 2 CLARIFYING QUESTIONS

Responses in red

1. Is the Consultant expected to lead the development of materials for the RE02 Technical Meetings only, and not for the RE01 Solutions Day Workshop, RE02 Work Group Meetings, or Hearings under either docket?
 - a. The Consultant is expected to contribute to Technical Meetings, Solutions Day Workshops, Working Group Meetings, and Hearings as follows:
 - i. **RE01**
 1. **Solutions Day Workshop:**
 - a. Support preparation of a staff presentation by contributing to (1) the documentation and assessment of existing Connecticut Revenue Adjustment Mechanisms, and (2) the comparison of Revenue Adjustment Mechanism design and implementation in peer jurisdictions.
 - b. Attend and support facilitation, as requested by PURA staff, of the Solutions Day Workshop.
 2. **Technical Meetings:**
 - a. Contribute to the preparation of meeting materials as requested by PURA staff. Responsibilities could include developing or providing input on agendas, slides, or other meeting materials.
 - b. Attend Technical Meetings and present or facilitate portions of the meeting agenda as requested by PURA staff.
 3. **Working Group Meetings: N/A**
 4. **Hearings:** No hearings are currently scheduled; however, PURA reserves the right to request Consultant support preparing for and executing such hearings.
 - ii. **RE02**
 1. **Solutions Day Workshop: N/A**
 2. **Technical Meetings:**
 - a. Assist staff in developing goals, agendas, and meeting materials for PIM-related Technical Meetings.
 - b. Attend Technical Meetings and present or facilitate portions of the meeting agenda as requested by PURA staff.
 3. **Working Group Meetings:**
 - a. Review and comment on staff slide deck on the current state of performance metrics in Connecticut.
 - b. Attend Working Group Meetings and present or facilitate portions of the meeting agenda as requested by PURA staff.
 4. **Hearings:** No hearings are currently scheduled; however PURA reserves the right to request Consultant support preparing for and executing such hearings.

2. RE02 references Working Group Meetings in Milestone 1, Technical Meetings in Milestone 2, and Additional Technical Meetings/Hearings in Milestone 4.
 - a. Can PURA please elaborate on the anticipated number of each of these events, expected duration of each event, and clarify the different objectives/purposes of each?
 - i. The number of Working Group Meetings, Technical Meetings, and Additional Technical Meetings or Hearings has not been definitively determined and will be subject to change. Similarly, the duration of each event is not currently known and will vary depending on the agenda. Broadly, the purpose of each meeting series is described as follows:
 1. **Working Group Meetings** | This series of meetings will focus on documenting existing Reported Metrics, Scorecards, and PIMs in Connecticut.
 2. **Technical Meetings** | This series of meetings will focus on reviewing, refining, and narrowing a list of Reported Metrics, Scorecards, and PIMs.
 3. **Additional Technical Meetings or Hearings** | This series of meetings will focus on further reviewing, refining, and narrowing Reported Metrics, Scorecards, and PIMs to determine the final mechanisms for inclusion in the Proposed Final Decision.
 - b. Please also clarify the Consultant's expected role during, to prep for, and following after each event
 - i. Please see the response to Question #1 above.
 - c. Are any of these meetings expected to be in-person? If so, which? Would the Consultant be expected to attend in-person, if so?
 - i. The determination of whether any of the PBR Phase 2 meetings will be held in person has not yet been made. Though a small subset of the meetings may be held in-person, including potentially RE02 working group meetings, virtual meetings are likely preferable for most as they are accessible to a broader set of docket Participants and stakeholders.
3. In RE01, is PURA expecting Technical Meetings during Investigation, Part 2? The docket schedule indicates so, but the term "additional" implies that there are Technical Meetings slated for Investigation, Part 1, and that does not appear to be the case in RE01.
 - a. In RE01, PURA expects to hold Technical Meetings in both Part 1 and Part 2 of the investigation. Technical Meetings are also referred to as Workshops in Appendix A of the Decision dated April 26, 2023 in Docket No. 21-05-15.
4. To what extent is PURA open to considering consultant proposals that deviate from the timeline proposed in the RFP, assuming the Consultant justifies the rationale behind their proposed deviation?
 - a. The scheduled deadlines of the proposed and final decisions in each docket are not subject to deviation. The Authority will consider proposed consultant

modifications to timelines for the other docket tasks provided they are supported by sufficient justification narratives and align with docket objectives. PURA staff are currently developing more detailed docket schedules, though such schedules are not yet available and are subject to change in the service of docket objectives.

5. Pages 10 and 13 of the RFP request the Applicants expertise and experience “[w]orking with financial systems and ensuring all data systems maintain accurate, secure, and accessible data.” Could you please provide the project context for this requirement? That is, does it relate to how the consultant will handle data received as part of the project, or does it imply working with PURA and/or utilities on their auditing practices and/or data handling methods?
 - a. The scope of work in this RFP involves being able to interpret and understand utility financial systems and to securely maintain confidential information provided by the utilities and others. Additionally, it will involve preparing workbooks used to perform analysis and clear findings related to revenue adjustment mechanism and performance incentive mechanism designs. The consultant is expected to prepare these workbooks and related analyses in ways that are accurate, secure, and accessible to PURA staff, and in many cases, docket participants.