



STATE OF CONNECTICUT

PUBLIC UTILITIES REGULATORY AUTHORITY

Application for a Class III Source Certification

In order to apply for certification as a Class III source, the generation facility or project **must be located in Connecticut, currently operating** and must be **registered** with either the Independent System Operator of New England (ISO-NE) or the New England Power Pool **Generation Information System** (NEPOOL-GIS).

Please Note:

- Regarding Filing Instructions, **an electronic copy** of the Application must be submitted via the Public Utility Regulatory Authority's (Authority) Web Filing System. To file an Application electronically, visit the Authority's website at www.ct.gov/pura. Under the Docket Services Section, click the link 'Make a Web Filing' and then click the link 'Docket Database Web Filing System'. Follow steps 1 through 3 on this page to complete the filing process. If you already have obtained a Web Filing Account proceed directly to the link 'File a New Docket Application' under Step 3. (All attachments should be clearly identified).
- One original hard copy** of the Application (including all attachments) must also be sent to:

**Executive Secretary
Public Utilities Regulatory Authority
Ten Franklin Square
New Britain, CT 06051**

- All information submitted on, or attached to, the application is considered to be a public record in accordance with The Freedom of Information Act, the General Statutes of Connecticut (Conn. Gen. Stat.) §1-210, except for any confidential materials filed under protective order.
- Applicants are responsible for promptly notifying the Authority of any future changes pertaining to the information contained within this application.
- Please **keep a copy** of the application for your records.
- Regarding any **questions**, contact **Donna Devino** via email at donna.devino@ct.gov or directly at 860-827-2873.

Part I: Type of Certification

Check one: <input type="checkbox"/> New Certification (Complete entire application) <input type="checkbox"/> *Amendment to Certification (Complete entire application)	If an Amendment , provide original Docket Number: Docket No: _____
*The Owner/Authorized Representative must also inform NEPOOL GIS (www.nepoolgis.com) of any Amendments . Regarding an Amendment , describe exactly what has changed:	

Part II: Generation Facility or Project Information

NOTE: Section 16-243t of the General Statutes of Connecticut states that a “customer who implements energy conservation or customer-side distributed resources shall be eligible for Class III credits. Therefore, the NEPOOL-GIS Account Holder must be the “customer”.

1. Generation Facility or Project

Name of Generation Facility or Project: _____
(**Must** match name on the NEPOOL-GIS “Plant–Unit” Identification)

Location of Generation Facility or Project: _____

City/Town: _____ State: Connecticut Zip Code: _____

2. Owner of Generation Facility or Project (Must match NEPOOL-GIS Account Holder or “Customer”)

Name: _____
(If company, must also provide a contact name)

Mailing Address: _____

City/Town: _____ State: _____ Zip Code: _____

Business Phone: _____ ext.: _____

*Email: _____

3. Authorized Representative of Owner of Generation Facility or Project [if applicable]

Name: _____
(If company, must also provide a contact name)

Mailing Address: _____

City/Town: _____ State: _____ Zip Code: _____

Business Phone: _____ ext.: _____

*Email: _____

NOTE: By providing an **email address** above you are agreeing to receive official correspondence from DEEP regarding the subject application. Please remember to check your security settings to be sure you can receive emails from “ct.gov” addresses. In addition, please notify DEEP if your e-mail address changes.

4. If Generation Facility, Nameplate Capacity (in megawatts): _____

If Conservation Project, Savings (in megawatt hours): _____

5. Did the Facility/Project receive any Conservation and Load Management (C&LM) Funding? _____

6. Project Completion Date: _____ or

Commercial Operation Date: _____

7. ISO-NE Generation Unit Asset ID No. (MSS#): _____ or

NEPOOL GIS ID No. (NON#): _____

NOTE: visit www.nepoolgis.com and click on the blue “Register” button located under the “Registry Login” area. Complete only the required fields on the first page of the generator form and click the submit button at the bottom of the second page. The second page denotes RPS eligibilities and the NEPOOL-GIS will update that field after the facility has been approved. When you return to your home page, you will see your newly registered generator, with a corresponding Unit ID number that begins with “NON” followed by a series of numbers.

Part III: Class III Source

Class III – Check as many as apply.	Required Documentation:
<input type="checkbox"/> Combined Heat and Power System	<ol style="list-style-type: none"> 1. Attach a detailed description of the system. 2. Is the operating efficiency level equal to or greater than 50% <input type="checkbox"/> Yes <input type="checkbox"/> No 3. Is the system part of customer-side distributed resources? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, check type: <input type="checkbox"/> fuel cell generation <input type="checkbox"/> photovoltaic generation <input type="checkbox"/> small wind turbine generation <input type="checkbox"/> reduction in demand for electricity <input type="checkbox"/> other: _____ 4. Was it developed at a commercial and/or industrial facility in Connecticut? <input type="checkbox"/> Yes <input type="checkbox"/> No 5. Was it developed on or after January 1, 2006? <input type="checkbox"/> Yes <input type="checkbox"/> No 6. Provide the percent of energy output contributed by electricity _____ and the percent of energy output contributed by thermal energy _____. (Must attach CHP Performance Data spreadsheet and both percentages must be equal to or greater than 20%)
<input type="checkbox"/> Waste Heat Recovery System	<ol style="list-style-type: none"> 1. Attach a detailed description of the system. 2. Installed on or after April 1, 2007? <input type="checkbox"/> Yes <input type="checkbox"/> No 3. Produces electrical <input type="checkbox"/> or thermal <input type="checkbox"/> energy by capturing preexisting waste heat <input type="checkbox"/> or pressure <input type="checkbox"/> from industrial <input type="checkbox"/> or commercial processes.
<input type="checkbox"/> Conservation and Load Management Program	<ol style="list-style-type: none"> 1. Attach a detailed description of the program. 2. Began on or after January 1, 2006? <input type="checkbox"/> Yes <input type="checkbox"/> No 3. Demand-side project? <input type="checkbox"/> Yes <input type="checkbox"/> No 4. Awarded a contract with any state agency, CL&P, UI or other? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, was it approved by the Authority? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, attach a copy of the contract.

Part IV: Measurement and Verification Plan (M&V Plan)

1. Attach M&V Plan.
2. Provide name of Professional Engineer Certifying M&V Plan: _____

Part V: Owner Certification of Authorized Representative (Required only if an Authorized Rep. is listed in Part II, No. 3. If so, the individual listed in Part II, No. 2 must sign this Certification)

"I, as owner of the generation facility or project and under the pains and penalties of perjury, hereby certify that the representative named in **Part II, No. 3** is authorized to act on behalf of the generation facility or project and to execute the attached application for a Class III Source Certification."

Signature of Generation Facility or Project Owner

Date

Print Name of Generation Facility or Project Owner

Title (if applicable)

Part VI: Required Attachments:

1. Attach a copy of an electric bill for the Facility or Project.
2. Attach a copy of the NEPOOL-GIS registration displaying the NON Identification Number.

Part VII: Certification

The **Owner (Part II, No. 2) and Authorized Representative (Part II, No. 3)**, if applicable, must sign this Certification. An application shall be considered incomplete unless all required signatures are provided.

I hereby certify, under pains and penalty of perjury, that I have personally examined and am familiar with the information submitted herein and based upon my inquiry of those individuals immediately responsible for obtaining the information, believe that the submitted information is true, accurate and complete. I am aware that there are significant penalties, both civil and criminal, for submitting false information, including possible fines and punishment. My signature below certifies all of the information submitted on this Class III Source Certification Application. I acknowledge that the Authority shall be promptly notified in the event of a change in its eligibility status. If, in the Authority's opinion after due consideration, there is a material change in the characteristics of the Generation Facility or Project or its fuel stream that could alter eligibility, an Amendment to Registration shall be submitted to the Authority. I further acknowledge that all required reports shall be maintained at the Generation Facility or Project. I understand that the Generation Facility or Project shall be immediately de-registered if it fails to retain such reports. The Generation Facility or Project meets the mandated requirements as a Class III source as specified in this application, and shall abide by all mandated requirements as stated in Part III of this application, pursuant to Conn. Gen. Stat. §16-1(a)(44).

I understand that a false statement regarding the submitted information, depending on the circumstances, may disqualify the Generation Facility or Project and the owner/authorized representative may be subject to penalties. Additionally, the owner/authorized representative shall not in any circumstance be able to file future Connecticut Renewable Portfolio Standard Applications in the State of Connecticut. I also understand that the Generation Facility or Project is subject to audit (physical and/or verbal) by the Authority or an entity hired by the Authority regarding generation output and renewable energy certificates (RECs).

In submitting this application with the appropriate documentation, the owner/authorized representative acknowledges that the Generation Facility's or Project's renewable attributes to be used for compliance with the Connecticut Renewable Portfolio Standard, have not otherwise been, nor shall be, sold, retired, claimed or represented as part of electricity output or sales, or used to satisfy obligations in jurisdictions other than Connecticut.

Signature of Owner

Date

Print Name of Owner

Title (if applicable)

Signature of Authorized Representative (if applicable)

Date

Print Name of Authorized Representative (if applicable)

Title (if applicable)