



STATE OF CONNECTICUT  
OFFICE OF THE STATE TREASURER

**Unclaimed Property Division  
Verification and Checklist  
Calendar Year Ending December 31, 2018  
(Maintain with Your Records – Do Not Mail to UCP)**

Holder Name \_\_\_\_\_ Tax ID Number \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

State of Incorporation \_\_\_\_\_ Date of Incorporation \_\_\_\_\_

Every person, corporation, or other business association, banking or financial organization, life insurance corporation, utility, court or public authority must complete the following checklist **before filing their Connecticut Holder Report of Unclaimed Property**. This checklist includes by way of illustration, but not limitation, those items which are covered by sections of the Connecticut Unclaimed Property Law.

Please complete the checklist by checking "Yes" by each property type you are reporting. Each item checked "Yes" must be enumerated in your Holder Report of Unclaimed Property.

Please indicate the primary business activity of your company: \_\_\_\_\_

Did you file and remit a report of unclaimed property last year: Yes \_\_\_\_ No \_\_\_\_

If no, please explain: \_\_\_\_\_

Please check appropriate property types:

Code	Code Description	Years	Code	Code Description	Years
<u>Financial Institutions</u>			<u>Miscellaneous</u>		
___	AC01 Checking Accounts	3	___	MS01 Wages, Payroll, Salary	1
___	AC02 Savings Accounts	3	___	MS02 Commissions	1
___	AC03 Matured CD or Savings Certificates	3	___	MS03 Worker's Compensation Benefits	3
___	AC04 Christmas or Vacation Club Funds	3	___	MS04 Payment for Goods and Services	3
___	AC05 Money on Deposit to Secure Funds	3	___	MS05 Customer Overpayments	3
___	AC06 Security Deposits	3	___	MS06 Unidentified Remittances	3
___	AC07 Unidentified Deposits	3	___	MS07 Unrefunded Overcharges	3
___	AC08 Suspense Accounts	3	___	MS08 Accounts Payable	3
___	AC99 Aggregate CGS 3-65a(b)	3	___	MS09 Credit Balances/Accounts Receivable	3
___			___	MS10 Discounts Due	3
___			___	MS11 Refunds Due	3
___			___	MS13 Unclaimed Loan Collateral	3
___			___	MS14 Pension and Profit sharing Plans	3
___			___	MS15 Dissolution or Liquidation	1
___			___	MS16 Miscellaneous Outstanding Checks	3
___			___	MS17 Miscellaneous Intangible Property	3
___			___	MS18 Suspense Liabilities	3
___			___	MS99 Aggregate Miscellaneous Property	3
<u>Official Checks</u>			<u>Insurance</u>		
___	CK01 Cashier's Checks	3	___	IN01 Individual Policy Benefits or Claims	3
___	CK02 Certified Checks	3	___	IN02 Group Policy Benefits or Claims	3
___	CK03 Registered Checks (incl. bank m.o.)	3	___	IN03 Proceeds Due Beneficiaries	3
___	CK04 Treasurer's Checks	3	___	IN04 Proceeds From Matured Policies	3
___	CK05 Drafts	3	___	IN05 Premium Refunds	3
___	CK06 Warrants	3	___	IN06 Unidentified Remittances	3
___	CK07 Money Orders (non-bank)	7	___	IN07 Other Amounts Due Under Policy	3
___	CK08 Traveler's Checks:		___	IN08 Agent Balances	3
___	<i>If banking or financial organization is directly liable</i>	3	___	IN09 Limiting Age	3
___	<i>If business association is directly liable (i.e.: Travelers Express is considered a business association)</i>	15	___	IN10 Demutualization	3
___	CK09 Foreign Exchange Checks	3			
___	CK10 Expense Checks	3			
___	CK11 Pension Checks	3			
___	CK12 Credit Checks or Memoranda	3			
___	CK13 Vendor Checks	3			
___	CK14 Checks Written Off to Income	3			
___	CK15 Other Outstanding Official Checks	3			
___	CK16 CD Interest Checks	3			
___	CK99 Aggregate Uncashed Checks	3			

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Code	Code Description	Years
<u>Court Funds</u>		
___ CT01	Escrow Funds	3
___ CT02	Condemnation Awards	3
___ CT03	Missing Heirs' Funds	1
___ CT04	Suspense Accounts	3
___ CT 05	Other Court or Public Authority Funds	3
___ CT 06	Bail Bonds	3
___ CT99	Aggregate Court Deposits	3
<u>Safe Deposit/Safekeeping</u>		
___ SD01	Safe Deposit Contents	5
___ SD01	Other Safekeeping	5
___ SD03	Other Tangible Property	5
<u>Other Insurance</u>		
___ IN01	Individual Policy Benefits or Claims	3
___ IN02	Group Policy Benefits or Claims	3
___ IN03	Proceeds Due Beneficiaries	3
___ IN04	Proceeds From Matured Policies	3
___ IN05	Premium Refunds	3
___ IN06	Unidentified Remittances	3
___ IN07	Other Amounts Due Under Policy	3
___ IN08	Agent Credit Balances	3
___ IN09	Limiting Age	3
___ IN10	Demutualization	3
___ IN99	Aggregate Insurance Property	3
<u>Mineral Proceeds</u>		
___ MI01	Net Revenue Interest	3
___ MI02	Royalties	3
___ MI03	Overriding Royalties	3
___ MI04	Production Payments	3
___ MI05	Working Interests	3
___ MI06	Bonuses	3
___ MI07	Delay Rentals	3
___ MI08	Shut-In Royalties	3
___ MI09	Minimum Royalties	3
___ MI99	Aggregate Mineral Interests	3
<u>Trust Property</u>		
___ TR01	Paying Agent Accounts	3
___ TR02	Undelivered or Uncashed Dividends	3
___ TR03	Funds Held in Fiduciary Capacity	7
___ TR04	Escrow Accounts – (ie: IOLTA)	3
___ TR05	Trust vouchers	3
___ TR06	Pre-need Funeral Plans	3
___ TR99	Aggregate Plus Property	3

Code	Code Description	Years
<u>Utilities</u>		
___ UT01	Utility Deposits	1
___ UT02	Membership Fees	1
___ UT03	Refunds Or Rebates	1
___ UT04	Capital Credit Distributions	1
___ UT99	Aggregate Utility Property	1
<u>Securities</u>		
___ SC01	Dividends (\$)	3
___ SC02	Interest(Bonds/Debenture Coupons) (\$)	3
___ SC03	Principal(Bonds/Debenture)Payments(\$)	3
___ SC04	Equity Payments (\$)	3
___ SC05	Profits (Capital Gains) (\$)	3
___ SC06	Funds Paid to Purchase Shares (\$)	3
___ SC07	Funds for Stocks and Bonds (\$)	3
___ SC08	Shares of Stock (Certs Retd by PO) (sh)	3
___ SC09	Cash for Fractional Shares (\$)	3
___ SC10	Unexchanged Stock of Successor (sh)	3
___ SC11	Other Certificates of Ownership (sh)	3
___ SC12	Underlying/Outstanding Shares (sh)	3
___ SC13	From Liquidated/Redempt of Stock (sh)	3
___ SC14	Debentures/Bonds (sh)	3
___ SC15	US Government Securities (sh)	3
___ SC16	Mutual Fund Shares (sh)	3
___ SC17	Warrants (Rights) (sh)	3
___ SC18	Matured Bond/Debenture Principal (\$)	3
___ SC19	Dividend Reinvestment Plans (sh)	3
___ SC20	Credit Balances (\$)	3
___ SC21	Distributions-Mutual Fund Accounts (\$)	3
___ SC99	Aggregate Securities-Related (\$)	3
<u>Traditional IRA, SEP IRA, SARSEP IRA, Simple IRA</u>		
___ IR01	Cash	3
___ IR02	Mutual Funds	3
___ IR03	Securities	3
___ IR04	Reserved for Traditional IRA (Code Reserved for Future Use)	
<u>All Other Property</u>		
___ ZZZZ	Properties Not Identified Above	3