

Meeting-Level Statistical Issues Summary (SIS) Report

Reporting Period: 10/01/2021 to 12/31/2021

Location(s): State of Connecticut Retirement Plans and Trust Funds (3879)

Institution Account(s): All institution accounts

| | Instructions | | | | | | | Management | | | | |
|---|--------------|------------|------------|-----------|----------|----------|----------|------------|----------|----------|------------|-----------|
| | Meetings | Proposals | For | Against | Abstain | Withhold | DNV | 1 YR | 2 YRS | 3 YRS | With | Against |
| M00 Preferred/Bondholder | | | | | | | | | | | | |
| Indicate That You Do Not Have Personal Interest in Proposed Agenda Item | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Totals for M00 Preferred/Bondholder : | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| M01 Routine/Business | | | | | | | | | | | | |
| Accept Financial Statements and Statutory Reports | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| Adopt New Articles of Association/Charter | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Adopt the Jurisdiction of Incorporation as the Exclusive Forum for Certain Disputes | 4 | 5 | 0 | 4 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 4 |
| Amend Articles/Bylaws/Charter -- Non-Routine | 6 | 8 | 6 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 2 |
| Amend Articles/Bylaws/Charter -- Routine | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Appoint Appraiser/Special Auditor/Liquidator | 1 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| Approve Auditors and Authorize Board to Fix Their Remuneration Auditors | 5 | 5 | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 1 |
| Approve Special/Interim Dividends | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Authorize Board to Fix Remuneration of External Auditor(s) | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| Authorize Board to Ratify and Execute Approved Resolutions | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| Change Company Name | 4 | 4 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 |
| Other Business | 2 | 2 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| Ratify Auditors | 153 | 155 | 146 | 7 | 0 | 0 | 2 | 0 | 0 | 0 | 146 | 7 |
| Totals for M01 Routine/Business : | 166 | 190 | 171 | 16 | 0 | 0 | 3 | 0 | 0 | 0 | 171 | 16 |

M02 Directors Related

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| | Instructions | | | | | | | Management | | | | |
|--|--------------|-------------|------------|------------|----------|------------|----------|------------|----------|----------|------------|------------|
| | Meetings | Proposals | For | Against | Abstain | Withhold | DNV | 1 YR | 2 YRS | 3 YRS | With | Against |
| Adopt or Amend Board Powers/Procedures/Qualifications | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Amend Articles Board-Related | 2 | 3 | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 1 |
| Approve Director/Officer Liability and Indemnification | 1 | 6 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 0 |
| Approve Discharge of Board and President | 1 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| Approve Increase in Size of Board | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| Authorize Board to Fill Vacancies | 1 | 2 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| Classify the Board of Directors | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Declassify the Board of Directors | 2 | 3 | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 2 | 0 |
| Elect Director | 165 | 1041 | 728 | 97 | 0 | 216 | 0 | 0 | 0 | 0 | 728 | 313 |
| Elect Director (Cumulative Voting or More Nominees Than Board Seats) | 1 | 9 | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 | 0 |
| Elect Director (Management) | 2 | 11 | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 11 | 0 |
| Eliminate Cumulative Voting | 1 | 2 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 |
| Fix Number of Directors and/or Auditors | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Provide Proxy Access Right | 1 | 2 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 |
| Totals for M02 Directors Related : | 168 | 1086 | 765 | 100 | 0 | 216 | 5 | 0 | 0 | 0 | 765 | 316 |
| M03 Capitalization | | | | | | | | | | | | |
| Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Approve Issuance of Shares for a Private Placement | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Approve Reduction in Share Capital | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |

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|--|--------------|-----------|-----------|----------|----------|----------|----------|------------|----------|----------|-----------|----------|
| | Meetings | Proposals | For | Against | Abstain | Withhold | DNV | 1 YR | 2 YRS | 3 YRS | With | Against |
| Approve/Amend Conversion of Securities | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Authorize Reissuance of Repurchased Shares | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Authorize Share Repurchase Program | 3 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 |
| Company Specific - Equity Related | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Increase Authorized Common Stock | 8 | 8 | 2 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 6 |
| Ratify Past Issuance of Shares | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Totals for M03 Capitalization : | 15 | 19 | 12 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 12 | 7 |
| M04 Reorg. and Mergers | | | | | | | | | | | | |
| Approve Acquisition OR Issue Shares in Connection with Acquisition | 16 | 17 | 17 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 17 | 0 |
| Approve Merger Agreement | 32 | 32 | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 0 |
| Approve Spin-Off Agreement | 1 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| Approve Transaction with a Related Party | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Change Jurisdiction of Incorporation [] | 4 | 5 | 4 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 4 | 0 |
| Totals for M04 Reorg. and Mergers : | 54 | 57 | 56 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 56 | 0 |
| M05 Non-Salary Comp. | | | | | | | | | | | | |
| Advisory Vote on Golden Parachutes | 29 | 29 | 16 | 13 | 0 | 0 | 0 | 0 | 0 | 0 | 16 | 13 |
| Advisory Vote on Say on Pay Frequency | 15 | 15 | 0 | 0 | 0 | 0 | 0 | 15 | 0 | 0 | 14 | 1 |
| Advisory Vote to Ratify Named Executive Officers' Compensation | 131 | 133 | 100 | 31 | 0 | 0 | 2 | 0 | 0 | 0 | 100 | 31 |
| Amend Omnibus Stock Plan | 25 | 26 | 11 | 14 | 0 | 0 | 1 | 0 | 0 | 0 | 11 | 14 |
| Amend Qualified Employee Stock Purchase Plan | 3 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 |

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|--|--------------|------------|------------|-----------|----------|----------|----------|------------|----------|----------|------------|-----------|
| | Meetings | Proposals | For | Against | Abstain | Withhold | DNV | 1 YR | 2 YRS | 3 YRS | With | Against |
| Approve Executive Share Option Plan | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Approve Increase in Aggregate Compensation Ceiling for Directors | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Approve Non-Employee Director Omnibus Stock Plan | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Approve Omnibus Stock Plan | 10 | 10 | 8 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 8 | 2 |
| Approve Qualified Employee Stock Purchase Plan | 4 | 4 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 |
| Approve Remuneration of Executive Directors and/or Non-Executive Directors | 2 | 2 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| Approve Restricted Stock Plan | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Approve Share Plan Grant | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Approve Stock Option Plan Grants | 2 | 9 | 1 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 8 |
| Company-Specific Compensation-Related | 2 | 2 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| Totals for M05 Non-Salary Comp. : | 170 | 238 | 145 | 75 | 0 | 0 | 3 | 15 | 0 | 0 | 159 | 76 |
| M06 Antitakeover Related | | | | | | | | | | | | |
| Adjourn Meeting | 43 | 43 | 41 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 41 | 2 |
| Adopt, Renew or Amend NOL Rights Plan (NOL Pill) | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Adopt, Renew or Amend Shareholder Rights Plan (Poison Pill) | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Amend Articles/Charter Governance-Related | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Amend Right to Call Special Meeting | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Eliminate/Restrict Right to Call a Special Meeting | 1 | 2 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| Provide Right to Call Special Meeting | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Reduce Supermajority Vote Requirement | 3 | 4 | 3 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 3 | 0 |

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|---|--------------|-----------|-----|---------|---------|----------|-----|------------|-------|-------|------|---------|
| | Meetings | Proposals | For | Against | Abstain | Withhold | DNV | 1 YR | 2 YRS | 3 YRS | With | Against |
| Totals for M06 Antitakeover Related : | 52 | 54 | 49 | 3 | 0 | 0 | 2 | 0 | 0 | 0 | 49 | 3 |
| M08 Other/Misc | | | | | | | | | | | | |
| Amend Certificate of Incorporation to Add Federal Forum Selection Provision | 2 | 3 | 0 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| Totals for M08 Other/Misc : | 2 | 3 | 0 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| S01 SH-Routine/Business | | | | | | | | | | | | |
| Allow Shareholder Meetings to be Held in Virtual-Only Format | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| Require Independent Board Chairman | 3 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| Totals for S01 SH-Routine/Business : | 5 | 5 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 |
| S02 SH-Dirs' Related | | | | | | | | | | | | |
| Adopt Proxy Access Right | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Amend Proxy Access Right | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Company-Specific Board-Related | 3 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| Declassify the Board of Directors | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| Elect Director (Cumulative Voting or More Nominees Than Board Seats) | 1 | 9 | 0 | 0 | 0 | 0 | 9 | 0 | 0 | 0 | 0 | 0 |
| Elect Director (Dissident) | 2 | 11 | 0 | 0 | 0 | 0 | 11 | 0 | 0 | 0 | 0 | 0 |
| Establish Environmental/Social Issue Board Committee | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Totals for S02 SH-Dirs' Related : | 9 | 28 | 8 | 0 | 0 | 0 | 20 | 0 | 0 | 0 | 0 | 8 |
| S03 SH-Corp Governance | | | | | | | | | | | | |
| Company-Specific--Governance-Related | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Reduce Supermajority Vote Requirement | 4 | 4 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |

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|--|--------------|-----------|-----|---------|---------|----------|-----|------------|-------|-------|------|---------|
| | Meetings | Proposals | For | Against | Abstain | Withhold | DNV | 1 YR | 2 YRS | 3 YRS | With | Against |
| Totals for S03 SH-Corp Governance : | 5 | 5 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 |
| S04 SH-Soc./Human Rights | | | | | | | | | | | | |
| Human Rights Risk Assessment | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| Totals for S04 SH-Soc./Human Rights : | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| S06 SH-Gen Econ Issues | | | | | | | | | | | | |
| Mandatory Arbitration on Employment Related Claims | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Totals for S06 SH-Gen Econ Issues : | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| S07 SH-Health/Environ. | | | | | | | | | | | | |
| GHG Emissions | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Report on Climate Change | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Totals for S07 SH-Health/Environ. : | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| S08 SH-Other/misc. | | | | | | | | | | | | |
| Gender Pay Gap | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| Labor Issues - Discrimination and Miscellaneous | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| Political Activities and Action | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Political Contributions Disclosure | 2 | 2 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| Political Lobbying Disclosure | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| Report on EEO | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| Workplace Sexual Harassment | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Totals for S08 SH-Other/misc. : | 6 | 12 | 11 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 11 |
| S09 Social Proposal | | | | | | | | | | | | |
| Miscellaneous Proposal -- Environmental & Social | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |

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|----------------------------------|--------------|-----------|------|---------|---------|----------|-----|------------|-------|-------|------|---------|
| | Meetings | Proposals | For | Against | Abstain | Withhold | DNV | 1 YR | 2 YRS | 3 YRS | With | Against |
| Totals for S09 Social Proposal : | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Totals for the report : | 226 | 1704 | 1234 | 204 | 0 | 216 | 35 | 15 | 0 | 0 | 1215 | 454 |

PARAMETERS

ADR Filter: All Markets

Ballot Status(es): All ballot statuses

Market(s): All markets

Voting Policy(ies): All voting policies

Record date market filter: All Markets

Share blocking market filter: All Markets

Proposal Code(s): All Codes

Location(s): State of Connecticut Retirement Plans and Trust Funds (3879)

Account Group(s): All account groups

Institution Account(s): All institution accounts

Custodian Account(s): All custodian accounts