

Meeting-Level Statistical Issues Summary (SIS) Report

Reporting Period: 07/01/2021 to 09/30/2021

Location(s): State of Connecticut Retirement Plans and Trust Funds (3879)

Institution Account(s): All institution accounts

| | Instructions | | | | | | | Management | | | | |
|---|--------------|------------|------------|-----------|----------|----------|----------|------------|----------|----------|------------|-----------|
| | Meetings | Proposals | For | Against | Abstain | Withhold | DNV | 1 YR | 2 YRS | 3 YRS | With | Against |
| M00 Preferred/Bondholder | | | | | | | | | | | | |
| The Undersigned Hereby Certifies that the Shares Represented by this Proxy are Owned and Controlled by a @ Citizen | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Totals for M00 Preferred/Bondholder : | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| M01 Routine/Business | | | | | | | | | | | | |
| Accept Financial Statements and Statutory Reports | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Amend Articles/Bylaws/Charter -- Non-Routine | 3 | 4 | 1 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 3 |
| Approve Auditors and Authorize Board to Fix Their Remuneration Auditors | 5 | 5 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 0 |
| Authorize Board to Fix Remuneration of External Auditor(s) | 3 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 |
| Change Company Name | 3 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 |
| In the Event of a Second Call, the Voting Instructions Contained in this Proxy Card may also be Considered for the Second Call? | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Other Business | 4 | 4 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| Ratify Auditors | 180 | 184 | 166 | 16 | 0 | 0 | 2 | 0 | 0 | 0 | 166 | 16 |
| Totals for M01 Routine/Business : | 190 | 205 | 180 | 23 | 0 | 0 | 2 | 0 | 0 | 0 | 180 | 23 |
| M02 Directors Related | | | | | | | | | | | | |
| Adopt Cumulative Voting for the Election of the Members of the Board of Directors at this Meeting | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Amend Articles Board-Related | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Appoint Internal Statutory Auditor(s) [and Approve Auditor's/Auditors' Remuneration] | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Approve Discharge of Management Board | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |

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| | Meetings | Proposals | For | Against | Abstain | Withhold | DNV | 1 YR | 2 YRS | 3 YRS | With | Against |
| Approve Discharge of Supervisory Board | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Approve Executive Appointment | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Approve Increase in Size of Board | 2 | 2 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| Approve Remuneration of Directors and/or Committee Members | 3 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 |
| Classify the Board of Directors | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Company Specific--Board-Related | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Declassify the Board of Directors | 4 | 4 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 |
| Elect Board Chairman/Vice-Chairman | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Elect Director | 190 | 1135 | 742 | 133 | 0 | 260 | 0 | 0 | 0 | 0 | 742 | 393 |
| Elect Director (Cumulative Voting or More Nominees Than Board Seats) | 1 | 8 | 0 | 0 | 8 | 0 | 0 | 0 | 0 | 0 | 8 | 0 |
| Elect Director (Management) | 2 | 12 | 11 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 11 | 1 |
| Elect Directors (Bundled) | 2 | 2 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| In Case There is Any Change to the Board Slate Composition, May Your Votes Still be Counted for the Proposed Slate? | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Totals for M02 Directors Related : | 193 | 1176 | 769 | 138 | 8 | 261 | 0 | 0 | 0 | 0 | 778 | 398 |
| M03 Capitalization | | | | | | | | | | | | |
| Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | 3 | 3 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 1 |
| Approve Issuance of Shares for a Private Placement | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| Approve Reverse Stock Split | 2 | 2 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |

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|--|--------------|-----------|-----------|-----------|----------|----------|----------|------------|----------|----------|-----------|-----------|
| | Meetings | Proposals | For | Against | Abstain | Withhold | DNV | 1 YR | 2 YRS | 3 YRS | With | Against |
| Authorize Reissuance of Repurchased Shares | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Authorize Share Repurchase Program | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Company Specific - Equity Related | 3 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 |
| Increase Authorized Common Stock | 15 | 15 | 7 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 8 |
| Reduce Authorized Common and/or Preferred Stock | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Totals for M03 Capitalization : | 25 | 29 | 18 | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 18 | 11 |
| M04 Reorg. and Mergers | | | | | | | | | | | | |
| Approve Acquisition OR Issue Shares in Connection with Acquisition | 24 | 24 | 24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 24 | 0 |
| Approve Merger Agreement | 43 | 45 | 40 | 3 | 0 | 0 | 2 | 0 | 0 | 0 | 41 | 2 |
| Change Jurisdiction of Incorporation [] | 2 | 2 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| Change of Corporate Form | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Company Specific Organization Related | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Totals for M04 Reorg. and Mergers : | 68 | 73 | 67 | 4 | 0 | 0 | 2 | 0 | 0 | 0 | 68 | 3 |
| M05 Non-Salary Comp. | | | | | | | | | | | | |
| Advisory Vote on Golden Parachutes | 39 | 41 | 25 | 14 | 0 | 0 | 2 | 0 | 0 | 0 | 26 | 13 |
| Advisory Vote on Say on Pay Frequency | 15 | 15 | 0 | 0 | 0 | 0 | 0 | 15 | 0 | 0 | 10 | 5 |
| Advisory Vote to Ratify Named Executive Officers' Compensation | 142 | 145 | 114 | 29 | 0 | 0 | 2 | 0 | 0 | 0 | 114 | 29 |
| Amend Executive Share Option Plan | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Amend Omnibus Stock Plan | 37 | 38 | 9 | 29 | 0 | 0 | 0 | 0 | 0 | 0 | 9 | 29 |
| Amend Qualified Employee Stock Purchase Plan | 8 | 9 | 8 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 8 | 0 |

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| | Meetings | Proposals | For | Against | Abstain | Withhold | DNV | 1 YR | 2 YRS | 3 YRS | With | Against |
| Approve Executive Share Option Plan | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Approve Omnibus Stock Plan | 17 | 17 | 6 | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 11 |
| Approve Qualified Employee Stock Purchase Plan | 4 | 4 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 2 |
| Approve Remuneration Policy | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| Approve Repricing of Options | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Approve Share Plan Grant | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Approve/Amend Stock-for-Salary/Bonus Plan | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Company-Specific Compensation-Related | 1 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| Totals for M05 Non-Salary Comp. : | 202 | 278 | 169 | 89 | 0 | 0 | 5 | 15 | 0 | 0 | 180 | 93 |
| M06 Antitakeover Related | | | | | | | | | | | | |
| Adjourn Meeting | 70 | 72 | 63 | 7 | 0 | 0 | 2 | 0 | 0 | 0 | 64 | 6 |
| Adopt, Renew or Amend Shareholder Rights Plan (Poison Pill) | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Amend Articles/Charter Governance-Related | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Provide Right to Act by Written Consent | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| Reduce Supermajority Vote Requirement | 2 | 3 | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 2 | 0 |
| Totals for M06 Antitakeover Related : | 76 | 79 | 69 | 7 | 0 | 0 | 3 | 0 | 0 | 0 | 70 | 6 |
| S01 SH-Routine/Business | | | | | | | | | | | | |
| Require Independent Board Chairman | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| Totals for S01 SH-Routine/Business : | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| S02 SH-Dirs' Related | | | | | | | | | | | | |
| Board Diversity | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |

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| | Meetings | Proposals | For | Against | Abstain | Withhold | DNV | 1 YR | 2 YRS | 3 YRS | With | Against |
| Elect Director (Cumulative Voting or More Nominees Than Board Seats) | 1 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 |
| Elect Director (Dissident) | 2 | 12 | 0 | 0 | 0 | 0 | 12 | 0 | 0 | 0 | 0 | 0 |
| Establish Mandatory Retirement Age for Directors | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Provide Right to Act by Written Consent | 4 | 4 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| Require a Majority Vote for the Election of Directors | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Totals for S02 SH-Dirs' Related : | 10 | 22 | 9 | 1 | 0 | 0 | 12 | 0 | 0 | 0 | 5 | 5 |
| S03 SH-Corp Governance | | | | | | | | | | | | |
| Approve Recapitalization Plan for all Stock to Have One-vote per Share | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Company-Specific--Governance-Related | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Submit Severance Agreement (Change-in-Control) to Shareholder Vote | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Totals for S03 SH-Corp Governance : | 3 | 3 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 2 |
| S04 SH-Soc./Human Rights | | | | | | | | | | | | |
| Improve Human Rights Standards or Policies | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Totals for S04 SH-Soc./Human Rights : | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| S07 SH-Health/Environ. | | | | | | | | | | | | |
| Report on Climate Change | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Totals for S07 SH-Health/Environ. : | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| S08 SH-Other/misc. | | | | | | | | | | | | |
| Labor Issues - Discrimination and Miscellaneous | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Political Contributions Disclosure | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |

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|---------------------------------|--------------|-----------|------|---------|---------|----------|-----|------|-------|-------|------------|---------|
| | Meetings | Proposals | For | Against | Abstain | Withhold | DNV | 1 YR | 2 YRS | 3 YRS | With | Against |
| Political Lobbying Disclosure | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Totals for S08 SH-Other/misc. : | 1 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| Totals for the report : | 267 | 1873 | 1291 | 274 | 8 | 261 | 24 | 15 | 0 | 0 | 1301 | 548 |

PARAMETERS

ADR Filter: All Markets

Ballot Status(es): All ballot statuses

Market(s): All markets

Voting Policy(ies): All voting policies

Record date market filter: All Markets

Share blocking market filter: All Markets

Proposal Code(s): All Codes

Location(s): State of Connecticut Retirement Plans and Trust Funds (3879)

Account Group(s): All account groups

Institution Account(s): All institution accounts

Custodian Account(s): All custodian accounts