



Reporting and Delivery of Securities Property

Please note - Connecticut requires that all security property be reported and delivered in the same asset form as it is held by the rightful owner.

The only authorized vendor to have custody of State of Connecticut Unclaimed Property securities is Mellon Bank.

When reporting securities, please remember that your report is not complete until you provide evidence of the property being transferred into the ownership of the state. The required evidence depends on the type of security and how it will be delivered to our custodian on behalf of the state.

Two days prior to delivery, you **MUST** fax an intent to deliver to our custodian – see instructions below.

All DTC eligible shares MUST be deposited through DTC or DWAC (if not DTC participant) as follows:

Nominee Name:
Nutmeg & Co.
FEIN# 56-2420244

DTC Participant # 0954
Agent Bank # 26017
Account # AUZF0184702

Register Book Entry Shares/ Direct Registration Shares (DRS)/ Dividend Reinvestment Shares (DRP) as follows:

Nominee Name:
Nutmeg & Co.
FEIN# 56-2420244

c/o ACS Unclaimed Property Clearinghouse
260 Franklin St, 11th floor
Boston, MA 02110

A confirmation statement showing Nutmeg & Co as the owner of the shares must accompany your Report of Unclaimed Property for your report to be considered complete.

Register and deliver Physical Certificates ONLY for non-DTC eligible shares as follows:

Certificates must be registered as shown below, the original certificate sent to our custodian at the address listed below and a **photocopy of the certificate should be delivered with your report – DO NOT deliver the original certificate to the State of Connecticut with your report.** Please be advised that certificates registered incorrectly will be returned to you for re-registration and your report will be considered incomplete. **Only one certificate must be delivered for each security position reported.**

Nominee Name:
Nutmeg & Co.
FEIN# 56-2420244

Mellon Security Trust Company
120 Broadway ATTN. Mike Visone
13th Floor-Teller Window
New York, NY 10271

For ALL stock remittances, two days prior to actual delivery:

Fax an intent to deliver list of the securities to ACS Unclaimed Property Clearinghouse at (617) 722-9660, Attn: Vilka Markovich and to the Unclaimed Property Division at (860) 702-3021 Attn. Controller. This list should include cusip numbers, number of shares, issue names and delivering party's DTC #. If physical certificates are being reported, a certificate number and registration for each security to be delivered should be included. If statement (DRS/Book Shares) a copy of the statement should be faxed to ACS Unclaimed Property Clearinghouse and the State of Connecticut. (See special instructions for DRP shares)

Fractional Shares – Connecticut is recommending you sell any fractional shares and report as “cash in lieu” on your holder report.

Worthless Securities - Connecticut no longer requires a report for worthless securities. If the security returns to a position of value, it must then be reported based on the last activity date in effect when it would have originally been reported.

Foreign Securities – Connecticut is recommending you to contact by e-mail to Vilka Markovich at Vilka.Markovich@acs-inc.com and Marge Supple at Marge.Supple@po.state.ct.us before attempting the transfer of foreign securities. You will need to provide the issue name, CUSIP number and number of shares for our review. Then we will provide you with further instructions as to how to proceed with delivery. **If you can not delivery foreign securities to the State of Connecticut, then don't report them on your holder report. Only report what securities you have delivered to the State of Connecticut.**

Non-Transferable Securities – Connecticut is recommending you to contact by e-mail to Vilka Markovich at Vilka.Markovich@acs-inc.com and Marge Supple at Marge.Supple@po.state.ct.us before attempting the transfer of non-transferable securities. You will need to provide the issue name, CUSIP number and number of shares for our review. Then we will provide you with further instructions as to how to proceed with delivery. **If you can not delivery non-transferable securities to the State of Connecticut, then don't report them on your holder report. Only report what securities you have delivered to the State of Connecticut.**