



STATE OF CONNECTICUT
OFFICE OF THE STATE TREASURER
Unclaimed Property Division

Verification and Checklist for Calendar Year 2007
(Maintain with Your Records for UCP Audits – Do Not Mail to UCP)

Holder Name _____ Tax ID Number _____

Street Address _____

City _____ State _____ Zip Code _____

State of Incorporation _____ Date of Incorporation _____

Every person, corporation, or other business association, banking or financial organization, life insurance corporation, utility, court or public authority must complete the following checklist **before filing their Connecticut Report of Unclaimed Property (ST77)**. This checklist includes by way of illustration, but not limitation, those items which are covered by sections of the Connecticut Unclaimed Property Law.

Please complete the checklist by checking "Yes" by each property type you are reporting. Each item checked "Yes" must be enumerated in your Report of Unclaimed Property (ST77).

Please indicate the primary business activity of your company: _____

Did you file and remit a report of unclaimed property last year: Yes ____ No ____

If no, please explain: _____

Please check appropriate property types:

Code	Code Description	Years	Code	Code Description	Years
<u>Financial Institutions</u>			<u>Miscellaneous</u>		
___ AC01	Checking Accounts	3	___ MS01	Wages, Payroll, Salary	1
___ AC02	Savings Accounts	3	___ MS02	Commissions	1
___ AC03	Matured CD or Savings Certificates	3	___ MS03	Worker's Compensation Benefits	3
___ AC04	Christmas or Vacation Club Funds	3	___ MS04	Payment for Goods and Services	3
___ AC05	Money on Deposit to Secure Funds	3	___ MS05	Customer Overpayments	3
___ AC06	Security Deposits	3	___ MS06	Unidentified Remittances	3
___ AC07	Unidentified Deposits	3	___ MS07	Unrefunded Overcharges	3
___ AC08	Suspense Accounts	3	___ MS08	Accounts Payable	3
___ AC99	Aggregate CGS 3-65a(b)	3	___ MS09	Credit Balances/Accounts Receivable	3
<u>Official Checks</u>			___ MS10	Discounts Due	3
___ CK01	Cashier's Checks	3	___ MS11	Refunds Due	3
___ CK02	Certified Checks	3	___ MS13	Unclaimed Loan Collateral	3
___ CK03	Registered Checks (incl. bank m.o.)	3	___ MS14	Pension and Profit sharing Plans	3
___ CK04	Treasurer's Checks	3	___ MS15	Dissolution or Liquidation	1
___ CK05	Drafts	3	___ MS16	Miscellaneous Outstanding Checks	3
___ CK06	Warrants	3	___ MS17	Miscellaneous Intangible Property	3
___ CK07	Money Orders (non-bank)	7	___ MS18	Suspense Liabilities	3
___ CK08	Traveler's Checks:		___ MS99	Aggregate Miscellaneous Property	3
<i>If banking or financial organization is directly liable</i>			<u>Insurance</u>		
		3	___ IN01	Individual Policy Benefits or Claims	3
<i>If business association is directly liable (i.e.: Travelers Express is considered a business association)</i>			___ IN02	Group Policy Benefits or Claims	3
		15	___ IN03	Proceeds Due Beneficiaries	3
___ CK09	Foreign Exchange Checks	3	___ IN04	Proceeds From Matured Policies	3
___ CK10	Expense Checks	3	___ IN05	Premium Refunds	3
___ CK11	Pension Checks	3	___ IN06	Unidentified Remittances	3
___ CK12	Credit Checks or Memoranda	3	___ IN07	Other Amounts Due Under Policy	3
___ CK13	Vendor Checks	3	___ IN08	Agent Balances	3
___ CK14	Checks Written Off to Income	3	___ IN09	Limiting Age	3
___ CK15	Other Outstanding Official Checks	3	___ IN10	Demutualization	3
___ CK16	CD Interest Checks	3			
___ CK99	Aggregate Uncashed Checks	3			

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Unclaimed Property Division, Verification and Checklist, Calendar Year 2007

Code Code Description Years

Court Funds

___ CT01 Escrow Funds	3
___ CT02 Condemnation Awards	3
___ CT03 Missing Heirs' Funds	1
___ CT04 Suspense Accounts	3
___ CT05 Other Court or Public Authority Funds	3
___ CT99 Aggregate Court Deposits	3

Safe Deposit/Safekeeping

___ SD01 Safe Deposit Contents	5
___ SD01 Other Safekeeping	5
___ SD03 Other Tangible Property	5

Other Insurance

___ IN01 Individual Policy Benefits or Claims	3
___ IN02 Group Policy Benefits or Claims	3
___ IN03 Proceeds Due Beneficiaries	3
___ IN04 Proceeds From Matured Policies	3
___ IN05 Premium Refunds	3
___ IN06 Unidentified Remittances	3
___ IN07 Other Amounts Due Under Policy	3
___ IN08 Agent Credit Balances	3
___ IN99 Aggregate Insurance Property	3

Mineral Proceeds

___ MI01 Net Revenue Interest	3
___ MI02 Royalties	3
___ MI03 Overriding Royalties	3
___ MI04 Production Payments	3
___ MI05 Working Interests	3
___ MI06 Bonuses	3
___ MI07 Delay Rentals	3
___ MI08 Shut-In Royalties	3
___ MI09 Minimum Royalties	3
___ MI99 Aggregate Mineral Interests	3

Continue to use the following Connecticut

Trust Property codes:

___ TR01 Paying Agent Accounts	3
___ TR02 Undelivered or Uncashed Dividends	3
___ TR03 Funds Held in Fiduciary Capacity	7
___ TR04 Escrow Accounts	3
___ TR05 Trust vouchers	3
___ TR99 Aggregate Plus Property	3

Code Code Description Years

Utilities

___ UT01 Utility Deposits	1
___ UT02 Membership Fees	1
___ UT03 Refunds Or Rebates	1
___ UT04 Capital Credit Distributions	1
___ UT99 Aggregate Utility Property	1

Securities

___ SC01 Dividends	3
___ SC02 Interest (Bonds Coupons)	3
___ SC03 Principal Payments	3
___ SC04 Equity Payments	3
___ SC05 Profits (Capital Gains)	3
___ SC06 Funds Paid to Purchase Shares	3
___ SC07 Funds for Stocks and Bonds	3
___ SC08 Shares of Stock (Returned by PO)	3
___ SC09 Cash for Fractional Shares	3
___ SC10 Unexchanged Stock of Successor	3
___ SC11 Other Certificates of Ownership	3
___ SC12 Underlying/Outstanding Shares	3
___ SC13 From Liquidated/Redemption of Stock	3
___ SC14 Debentures	3
___ SC15 US Government Securities	3
___ SC16 Mutual Fund Shares	3
___ SC17 Warrants (Rights)	3
___ SC18 Matured Bond Principal	3
___ SC19 Dividend Reinvestment Plans	3
___ SC20 Credit Balances	3
___ SC21 Distributions-Mutual Fund A	3
___ SC99 Aggregate Securities-related	3

All Other Property

___ ZZZZ Properties Not Identified Above	3
___ MUNI Municipal and Governmental Funds	3