



STATE OF CONNECTICUT  
OFFICE OF THE STATE TREASURER

Unclaimed Property Division  
Verification and Checklist  
Calendar Year 2006

Holder Name \_\_\_\_\_ Tax ID Number \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

State of Incorporation \_\_\_\_\_ Date of Incorporation \_\_\_\_\_

Every person, corporation, or other business association, banking or financial organization, life insurance corporation, utility, court or public authority must complete the following checklist **before filing their Connecticut Report of Unclaimed Property (ST77)**. This checklist includes by way of illustration, but not limitation, those items which are covered by sections of the Connecticut Unclaimed Property Law.

Please complete the checklist by checking "Yes" by each property type you are reporting. Each item checked "Yes" must be enumerated in your Report of Unclaimed Property (ST77).

Please indicate the primary business activity of your company: \_\_\_\_\_

Did you file and remit a report of unclaimed property last year: Yes \_\_\_\_ No \_\_\_\_

If no, please explain: \_\_\_\_\_

If yes, please check appropriate property types:

Code	Code Description	Years
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Financial Institutions

___ AC01	Checking Accounts	3
___ AC02	Savings Accounts	3
___ AC03	Matured CD or Savings Certificates	3
___ AC04	Christmas or Vacation Club Funds	3
___ AC05	Money on Deposit to Secure Funds	3
___ AC06	Security Deposits	3
___ AC07	Unidentified Deposits	3
___ AC08	Suspense Accounts	3
___ AC99	Aggregate Account Balance Due	3

Official Checks

___ CK01	Cashier's Checks	3
___ CK02	Certified Checks	3
___ CK03	Registered Checks (incl. bank m.o.)	3
___ CK04	Treasurer's Checks	3
___ CK05	Drafts	3
___ CK06	Warrants	3
___ CK07	Money Orders	3
___ CK08	Traveler's Checks:	

*If banking or financial organization is directly liable* 3

*If business association is directly liable (i.e.: Travelers Express is considered a business association)* 15

___ CK09	Foreign Exchange Checks	3
___ CK10	Expense Checks	3
___ CK11	Pension Checks	3
___ CK12	Credit Checks or Memoranda	3
___ CK13	Vendor Checks	3
___ CK14	Checks Written Off to Income	3
___ CK15	Other Outstanding Official Checks	3
___ CK16	CD Interest Checks	3
___ CK99	Aggregate Uncashed Checks	3

Code	Code Description	Years
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Miscellaneous

___ MS01	Wages, Payroll, Salary	1
___ MS02	Commissions	1
___ MS03	Worker's Compensation Benefits	3
___ MS04	Payment for Goods and Services	3
___ MS05	Customer Overpayments	3
___ MS06	Unidentified Remittances	3
___ MS07	Unrefunded Overcharges	3
___ MS08	Accounts Payable	3
___ MS09	Credit Balances/Accounts Receivable	3
___ MS10	Discounts Due	3
___ MS11	Refunds Due	3
___ MS13	Unclaimed Loan Collateral	3
___ MS14	Pension and Profit sharing Plans	3
___ MS15	Dissolution or Liquidation	1
___ MS16	Miscellaneous Outstanding Checks	3
___ MS17	Miscellaneous Intangible Property	3
___ MS18	Suspense Liabilities	3
___ MS99	Aggregate Miscellaneous Property	3

Insurance

___ IN01	Individual Policy Benefits or Claims	3
___ IN02	Group Policy Benefits or Claims	3
___ IN03	Proceeds Due Beneficiaries	3
___ IN04	Proceeds From Matured Policies	3
___ IN05	Premium Refunds	3
___ IN06	Unidentified Remittances	3
___ IN07	Other Amounts Due Under Policy	3
___ IN08	Agent Balances	3
___ IN09	Limiting Age	3
___ IN10	Demutualization	3

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**Code Code Description Years**

Court Funds

\_\_\_ CT01 Escrow Funds 3  
\_\_\_ CT02 Condemnation Awards 3  
\_\_\_ CT03 Missing Heirs' Funds 1  
\_\_\_ CT04 Suspense Accounts 3  
\_\_\_ CT05 Other Court or Public Authority Funds 3  
\_\_\_ CT06 Bail Bonds 3  
\_\_\_ CT99 Aggregate Court Deposits 3

Other Insurance

\_\_\_ IN01 Individual Policy Benefits or Claims 3  
\_\_\_ IN02 Group Policy Benefits or Claims 3  
\_\_\_ IN03 Proceeds Due Beneficiaries 3  
\_\_\_ IN04 Proceeds From Matured Policies 3  
\_\_\_ IN05 Premium Refunds 3  
\_\_\_ IN06 Unidentified Remittances 3  
\_\_\_ IN07 Other Amounts Due Under Policy 3  
\_\_\_ IN08 Agent Credit Balances 3  
\_\_\_ IN99 Aggregate Insurance Property 3

Mineral Proceeds

\_\_\_ MI01 Net Revenue Interest 3  
\_\_\_ MI02 Royalties 3  
\_\_\_ MI03 Overriding Royalties 3  
\_\_\_ MI04 Production Payments 3  
\_\_\_ MI05 Working Interests 3  
\_\_\_ MI06 Bonuses 3  
\_\_\_ MI07 Delay Rentals 3  
\_\_\_ MI08 Shut-In Royalties 3  
\_\_\_ MI09 Minimum Royalties 3  
\_\_\_ MI99 Aggregate Mineral Interests 3

Continue to use the following Connecticut

Trust Property codes:

\_\_\_ TR01 Paying Agent Accounts 3  
\_\_\_ TR02 Undelivered or Uncashed 3  
\_\_\_ TR03 Funds Held in Fiduciary Capacity 7  
\_\_\_ TR04 Escrow Accounts 3  
\_\_\_ TR05 Trust vouchers 3  
\_\_\_ TR06 Pre-need Funeral Plans 3  
\_\_\_ TR99 Aggregate Plus Property 3

**Code Code Description Years**

Utilities

\_\_\_ UT01 Utility Deposits 1  
\_\_\_ UT02 Membership Fees 1  
\_\_\_ UT03 Refunds Or Rebates 1  
\_\_\_ UT04 Capital Credit Distributions 1  
\_\_\_ UT99 Aggregate Utility Property 1

Securities

\_\_\_ SC01 Dividends 3  
\_\_\_ SC02 Interest (Bonds Coupons) 3  
\_\_\_ SC03 Principal Payments 3  
\_\_\_ SC04 Equity Payments 3  
\_\_\_ SC05 Profits (Capital Gains) 3  
\_\_\_ SC06 Funds Paid to Purchase Shares 3  
\_\_\_ SC07 Funds for Stocks and Bonds 3  
\_\_\_ SC08 Shares of Stock (Returned by PO) 3  
\_\_\_ SC09 Cash for Fractional Shares 3  
\_\_\_ SC10 Unexchanged Stock of Successor 3  
\_\_\_ SC11 Other Certificates of Ownership 3  
\_\_\_ SC12 Underlying/Outstanding Shares 3  
\_\_\_ SC13 From Liquidated/Redemption of Stock 3  
\_\_\_ SC14 Debentures 3  
\_\_\_ SC15 US Government Securities 3  
\_\_\_ SC16 Mutual Fund Shares 3  
\_\_\_ SC17 Warrants (Rights) 3  
\_\_\_ SC18 Matured Bond Principal 3  
\_\_\_ SC19 Dividend Reinvestment Plans 3  
\_\_\_ SC20 Credit Balances 3  
\_\_\_ SC21 Distributions-Mutual Fund A 3  
\_\_\_ SC99 Aggregate Securities-related 3

Safe Deposit/Safekeeping

\_\_\_ SD01 Safe Deposit Contents 5  
\_\_\_ SD01 Other Safekeeping 5  
\_\_\_ SD03 Other Tangible Property 5

All Other Property

\_\_\_ ZZZZ Properties Not Identified Above 3

Contact Person \_\_\_\_\_

Contact Person's Telephone Number \_\_\_\_\_ E-mail Address \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

I, \_\_\_\_\_, being first duly sworn, on oath depose and state that I have caused to be prepared and have examined this checklist as to unclaimed property pursuant to Connecticut Unclaimed Property Law for the year ending as stated.

I am duly authorized to execute this verification by the holder and by law and that I believe that said Document is true, correct and complete as of said date.

Signature \_\_\_\_\_ Title \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

Notary Signature (and Seal) \_\_\_\_\_