



STATE OF CONNECTICUT  
OFFICE OF THE STATE TREASURER

Unclaimed Property Division  
Verification and Checklist  
Calendar Year 2006

Holder Name \_\_\_\_\_ Tax ID Number \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

State of Incorporation \_\_\_\_\_ Date of Incorporation \_\_\_\_\_

Every person, corporation, or other business association, banking or financial organization, life insurance corporation, utility, court or public authority must complete the following checklist **before filing their Connecticut Report of Unclaimed Property (ST77)**. This checklist includes by way of illustration, but not limitation, those items which are covered by sections of the Connecticut Unclaimed Property Law.

Please complete the checklist by checking "Yes" by each property type you are reporting. Each item checked "Yes" must be enumerated in your Report of Unclaimed Property (ST77).

Please indicate the primary business activity of your company: \_\_\_\_\_

Did you file and remit a report of unclaimed property last year: Yes \_\_\_\_\_ No \_\_\_\_\_

If no, please explain: \_\_\_\_\_

If yes, please check appropriate property types:

Code	Code Description	Years	Code	Code Description	Years			
<b>Financial Institutions</b>								
<input type="checkbox"/> AC01	Checking Accounts	3	<input type="checkbox"/> MS01	Wages, Payroll, Salary	1			
<input type="checkbox"/> AC02	Savings Accounts	3	<input type="checkbox"/> MS02	Commissions	1			
<input type="checkbox"/> AC03	Matured CD or Savings Certificates	3	<input type="checkbox"/> MS03	Worker's Compensation Benefits	3			
<input type="checkbox"/> AC04	Christmas or Vacation Club Funds	3	<input type="checkbox"/> MS04	Payment for Goods and Services	3			
<input type="checkbox"/> AC05	Money on Deposit to Secure Funds	3	<input type="checkbox"/> MS05	Customer Overpayments	3			
<input type="checkbox"/> AC06	Security Deposits	3	<input type="checkbox"/> MS06	Unidentified Remittances	3			
<input type="checkbox"/> AC07	Unidentified Deposits	3	<input type="checkbox"/> MS07	Unrefunded Overcharges	3			
<input type="checkbox"/> AC08	Suspense Accounts	3	<input type="checkbox"/> MS08	Accounts Payable	3			
<input type="checkbox"/> AC99	Aggregate Account Balance Due	3	<input type="checkbox"/> MS09	Credit Balances/Accounts Receivable	3			
<b>Official Checks</b>								
<input type="checkbox"/> CK01	Cashier's Checks	3	<input type="checkbox"/> MS10	Discounts Due	3			
<input type="checkbox"/> CK02	Certified Checks	3	<input type="checkbox"/> MS11	Refunds Due	3			
<input type="checkbox"/> CK03	Registered Checks (incl. bank m.o.)	3	<input type="checkbox"/> MS13	Unclaimed Loan Collateral	3			
<input type="checkbox"/> CK04	Treasurer's Checks	3	<input type="checkbox"/> MS14	Pension and Profit sharing Plans	3			
<input type="checkbox"/> CK05	Drafts	3	<input type="checkbox"/> MS15	Dissolution or Liquidation	1			
<input type="checkbox"/> CK06	Warrants	3	<input type="checkbox"/> MS16	Miscellaneous Outstanding Checks	3			
<input type="checkbox"/> CK07	Money Orders	3	<input type="checkbox"/> MS17	Miscellaneous Intangible Property	3			
<input type="checkbox"/> CK08	Traveler's Checks:	3	<input type="checkbox"/> MS18	Suspense Liabilities	3			
<i>If banking or financial organization is directly liable</i>								
		3	<input type="checkbox"/> MS99	Aggregate Miscellaneous Property	3			
<i>If business association is directly liable (i.e.: Travelers Express is considered a business association)</i>								
		15	<b>Insurance</b>					
<input type="checkbox"/> CK09	Foreign Exchange Checks	3	<input type="checkbox"/> IN01	Individual Policy Benefits or Claims	3			
<input type="checkbox"/> CK10	Expense Checks	3	<input type="checkbox"/> IN02	Group Policy Benefits or Claims	3			
<input type="checkbox"/> CK11	Pension Checks	3	<input type="checkbox"/> IN03	Proceeds Due Beneficiaries	3			
<input type="checkbox"/> CK12	Credit Checks or Memoranda	3	<input type="checkbox"/> IN04	Proceeds From Matured Policies	3			
<input type="checkbox"/> CK13	Vendor Checks	3	<input type="checkbox"/> IN05	Premium Refunds	3			
<input type="checkbox"/> CK14	Checks Written Off to Income	3	<input type="checkbox"/> IN06	Unidentified Remittances	3			
<input type="checkbox"/> CK15	Other Outstanding Official Checks	3	<input type="checkbox"/> IN07	Other Amounts Due Under Policy	3			
<input type="checkbox"/> CK16	CD Interest Checks	3	<input type="checkbox"/> IN08	Agent Balances	3			
<input type="checkbox"/> CK99	Aggregate Uncashed Checks	3	<input type="checkbox"/> IN09	Limiting Age	3			
			<input type="checkbox"/> IN10	Demutualization	3			

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**Code    Code Description    Years**

Court Funds

<input type="checkbox"/> CT01 Escrow Funds	3
<input type="checkbox"/> CT02 Condemnation Awards	3
<input type="checkbox"/> CT03 Missing Heirs' Funds	1
<input type="checkbox"/> CT04 Suspense Accounts	3
<input type="checkbox"/> CT05 Other Court or Public Authority Funds	3
<input type="checkbox"/> CT06 Bail Bonds	3
<input type="checkbox"/> CT99 Aggregate Court Deposits	3

Other Insurance

<input type="checkbox"/> IN01 Individual Policy Benefits or Claims	3
<input type="checkbox"/> IN02 Group Policy Benefits or Claims	3
<input type="checkbox"/> IN03 Proceeds Due Beneficiaries	3
<input type="checkbox"/> IN04 Proceeds From Matured Policies	3
<input type="checkbox"/> IN05 Premium Refunds	3
<input type="checkbox"/> IN06 Unidentified Remittances	3
<input type="checkbox"/> IN07 Other Amounts Due Under Policy	3
<input type="checkbox"/> IN08 Agent Credit Balances	3
<input type="checkbox"/> IN99 Aggregate Insurance Property	3

Mineral Proceeds

<input type="checkbox"/> MI01 Net Revenue Interest	3
<input type="checkbox"/> MI02 Royalties	3
<input type="checkbox"/> MI03 Overriding Royalties	3
<input type="checkbox"/> MI04 Production Payments	3
<input type="checkbox"/> MI05 Working Interests	3
<input type="checkbox"/> MI06 Bonuses	3
<input type="checkbox"/> MI07 Delay Rentals	3
<input type="checkbox"/> MI08 Shut-In Royalties	3
<input type="checkbox"/> MI09 Minimum Royalties	3
<input type="checkbox"/> MI99 Aggregate Mineral Interests	3

Continue to use the following Connecticut  
Trust Property codes:

<input type="checkbox"/> TR01 Paying Agent Accounts	3
<input type="checkbox"/> TR02 Undelivered or Uncashed	3
<input type="checkbox"/> TR03 Funds Held in Fiduciary Capacity	7
<input type="checkbox"/> TR04 Escrow Accounts	3
<input type="checkbox"/> TR05 Trust vouchers	3
<input type="checkbox"/> TR06 Pre-need Funeral Plans	3
<input type="checkbox"/> TR99 Aggregate Plus Property	3

**Code    Code Description    Years**

Utilities

<input type="checkbox"/> UT01 Utility Deposits	1
<input type="checkbox"/> UT02 Membership Fees	1
<input type="checkbox"/> UT03 Refunds Or Rebates	1
<input type="checkbox"/> UT04 Capital Credit Distributions	1
<input type="checkbox"/> UT99 Aggregate Utility Property	1

Securities

<input type="checkbox"/> SC01 Dividends	3
<input type="checkbox"/> SC02 Interest (Bonds Coupons)	3
<input type="checkbox"/> SC03 Principal Payments	3
<input type="checkbox"/> SC04 Equity Payments	3
<input type="checkbox"/> SC05 Profits (Capital Gains)	3
<input type="checkbox"/> SC06 Funds Paid to Purchase Shares	3
<input type="checkbox"/> SC07 Funds for Stocks and Bonds	3
<input type="checkbox"/> SC08 Shares of Stock (Returned by PO)	3
<input type="checkbox"/> SC09 Cash for Fractional Shares	3
<input type="checkbox"/> SC10 Unexchanged Stock of Successor	3
<input type="checkbox"/> SC11 Other Certificates of Ownership	3
<input type="checkbox"/> SC12 Underlying/Outstanding Shares	3
<input type="checkbox"/> SC13 From Liquidated/Redemption of Stock	3
<input type="checkbox"/> SC14 Debentures	3
<input type="checkbox"/> SC15 US Government Securities	3
<input type="checkbox"/> SC16 Mutual Fund Shares	3
<input type="checkbox"/> SC17 Warrants (Rights)	3
<input type="checkbox"/> SC18 Matured Bond Principal	3
<input type="checkbox"/> SC19 Dividend Reinvestment Plans	3
<input type="checkbox"/> SC20 Credit Balances	3
<input type="checkbox"/> SC21 Distributions-Mutual Fund A	3
<input type="checkbox"/> SC99 Aggregate Securities-related	3

Safe Deposit/Safekeeping

<input type="checkbox"/> SD01 Safe Deposit Contents	5
<input type="checkbox"/> SD01 Other Safekeeping	5
<input type="checkbox"/> SD03 Other Tangible Property	5

All Other Property

<input type="checkbox"/> ZZZZ Properties Not Identified Above	3
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Contact Person \_\_\_\_\_

Contact Person's Telephone Number \_\_\_\_\_ E-mail Address \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

I, \_\_\_\_\_, being first duly sworn, on oath depose and state that I have caused to be prepared and have examined this checklist as to unclaimed property pursuant to Connecticut Unclaimed Property Law for the year ending as stated.

I am duly authorized to execute this verification by the holder and by law and that I believe that said Document is true, correct and complete as of said date.

Signature \_\_\_\_\_ Title \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

Notary Signature (and Seal) \_\_\_\_\_  
UCPD-5 Rev. 10/2007