



**“SECURITIES COUNSEL”
STATE OF CONNECTICUT
OFFICE OF THE TREASURER**

Applications are being accepted for the position of Securities Counsel, a full-time position with the State of Connecticut Office of the Treasurer. The position has primary responsibility for providing legal advice and counsel to the Treasurer and her professional staff concerning all legal matters relative to the Office including, without limitation, contracting, dispute resolution, litigation management, legislation, regulations and administrative policy; works closely with the Office of the Attorney General on all legal matters. The successful candidate will work under the general direction of the General Counsel. May supervise staff as assigned; May manage relationships with outside counsel, The Office of the Attorney General and other state and federal entities, including without limitation: The Securities and Exchange Commission, the Commodities and Futures Exchange commission and the Municipal securities Rulemaking Board. Base salary is \$90,000.

Qualifications: Considerable knowledge of relevant state and federal laws, statutes and regulations; considerable knowledge of the Uniform Administrative Procedures Act; considerable knowledge of the legislative process; considerable interpersonal skills; considerable oral and written communication skills; considerable ability to interpret, analyze and prepare legislation and other legal documents; supervisory ability. Must be a member of the Connecticut Bar.

Preferred Skills and Related Experience:

- 5 years' experience in negotiation of sophisticated financial transactions (involving private equity, hedge fund, real estate, commodities, special situations), mergers and acquisitions work, public finance, custody work, structured finance – preferably representing institutional investors.
- Understanding of corporate governance initiatives.
- Working knowledge of Delaware corporate, partnership and limited liability law.
- Experience with SEC, FINRA, MSRB, CFTC, regulation and compliance, including developments under Dodd/Frank
- Experience advising fiduciaries
- Experience with international securities law regulation and compliance
- Experience with OSE, FOIA, SEEC compliance
- Experience with litigation management and trial monitoring
- Ability to work independently and manage competing deadlines in a quick paced, challenging environment
- Willingness to provide flexibility with respect to work hours, as needed
- Exceptional oral and written skills. Tenacious attention to detail
- Ability to quickly learn clients' operational needs and further ability to anticipate needs based upon this understanding
- Commitment to life-long learning

Application Instructions: Interested and qualified candidates who meet the above requirements should submit a cover letter describing your interest and suitability for the position, resume, and Application for Employment (CT-HR-12) http://das.ct.gov/HR/Forms/CT-HR-12_Application.pdf (CT-HR-12) via U.S Mail, e-mail or fax by **October 2, 2015 to:**

**State of Connecticut
Office of the Treasurer
Attn: Theodore Janiszewski
Personnel Administrator
55 Elm Street
Hartford, CT 06106-1773
E-mail Address: Theodore.Janiszewski@ct.gov
Fax Number: (860) 622-4905
Subject line **MUST** include: **SECURITIES COUNSEL** and your last name.**

The Office of the Treasurer is an affirmative action/equal opportunity employer and strongly encourages the application of women, minorities, and disabled persons.