

*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2015 through June 30, 2016*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
A. C. Advisory, Inc.	DEBT	Yes	No		
Aberdeen Asset Management Inc.	PFM	Yes	No		
ACA Financial Guaranty Corporation	DEBT	Yes	No		
Acacia Financial Group, Inc.	DEBT	Yes	No		
Acadian Asset Management, Inc.	PFM	Yes	No		
AEW Partners III, LP	PFM	Yes	No		
AIG Financial Products Corp.	DEBT	Yes	No		
Altaris Health Partners II, L.P.	PFM	Yes	Yes	Altaris Capital Partners, LLC was paid \$28,025, which includes an adjustment of \$17,657, in connection with management services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Altaris Health Partners III, L.P.	PFM	Yes	Yes	Altaris Capital Partners, LLC was paid \$850,828 in connection with management services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Altaris Health Partners, L.P.	PFM	Yes	Yes	Altaris Capital Partners, LLC was paid \$88,500 in connection with management services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Ambac Assurance Corporation	DEBT	Yes	No		
American Realty Advisors	PFM	Yes	No		
AMTEC Corp.	DEBT	No	No		
Anderson, Kill & Olick	PFM	Yes	No		
Aon Hewitt Investment Consulting, Inc.	PFM	Yes	No		
Apollo Capital Management VIII, LLC	PFM	Yes	No		
Apollo Real Estate Investment Fund, III, L.P.	PFM	Yes	No		
Appomattox Advisers, Inc. (Thomas Welles Fund I, LLC)	PFM	Yes	Yes	Squire Patton Boggs was paid \$3,234 in connection with legal services.  CITCO Fund Administration (Cayman Islands) was paid \$83,577 monthly as Administrator of the Fund.  CITCO Global Custody (N.A.) N.V. was paid a quarterly fee of \$25,908 in connection with custodial services.  CITCO Banking Corporation N.V. was paid \$3,728 in connection with banking services.  Deloitte Ltd. was paid \$36,875 in connection with auditing services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
AQR Capital Management, LLC	PFM	Yes	No		
Arclight Energy Partners Fund V, L.P.	PFM	Yes	No		

*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2015 through June 30, 2016*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Arclight Energy Partners Fund VI, L.P.	PFM	Yes	Yes	FAP USA LP was paid \$1,000,00 in connection with placement agent services. Citi PB was paid \$107,500 in connection with placement agent services. Barclays was paid \$1,810,000 in connection with placement agent services. Merrill Lynch was paid \$7,049,765 in connection with placement agent services.	Placement agent fees are permissible third party payments. (See C.G.S. § 3-13(b)(3)).
Ashmore Investment Management Limited	PFM	Yes	No		
Assured Guaranty Municipal Corp.	DEBT	Yes	No		
Audax Mezzanine Fund III, LP	PFM	Yes	No		
Audit Services, US, LLC	UCP	No	No		
Backstrom, McCarley, Berry & Co., L.L.C.	DEBT	Yes	No		
Bank of America, N.A.	CASH & DEBT	Yes	No		
Barclays Capital, Inc.	CASH & DEBT	Yes	No	Schiff Hardin LLP was paid \$20,000 in connection with legal services. Updike, Kelly & Spellacy was paid \$20,000 in connection with legal services. ImageMaster was paid \$3,750 in connection with marketing services. Maxus was paid \$45,702 in connection with marketing services.	Legal and marketing fees are permissible third party payments (See C.G.S. § 3-13/ (b)(1)(3)).
Barings Core Property Fund, LP <i>(f.k.a. Cornerstone Patriot Fund, LP)</i>	PFM	Yes	No		
BB&T & Company	CASH	Yes	No		
Bivium Capital Partners, LLC	PFM	Yes	No		
BlackRock Financial Management, Inc.	PFM	Yes	No		
BlackRock Investment Management, LLC	PFM	Yes	No		
Blackstone Real Estate Partners Europe III, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners VI, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners VIII, L.P.	PFM	Yes	No		
Blackstone Real Estate Special Situations Fund II, LP	PFM	Yes	No		
Blaylock Van LLC	DEBT	Yes	No		
BLX Group, LLC	DEBT	Yes	No		
BNP Paribas Securities Corp.	CASH	Yes	No		

*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2015 through June 30, 2016*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Boston Ventures VII, L.P.	PFM	Yes	No		
Bryant Miller Olive, PA	DEBT	Yes	No		
Cabrera Capital Markets, Inc.	DEBT	Yes	No		
Cambridge International Securities, Inc.	CASH	Yes	No		
Candover 2008 Fund (Arle Capital Partners, Limited)	PFM	Yes	No		
Cantor Fitzgerald & Co.	CASH	Yes	No		
Canyon-Johnson Urban Fund II, LP	PFM	Yes	Yes	Canyon-Johnson Urban Fund II, L.P. was paid \$80,424 in connection with management services.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2)).
Canyon-Johnson Urban Fund III, LP	PFM	Yes	Yes	Canyon-Johnson Urban Fund II, L.P. was paid \$91,023 in connection with management services.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2)).
Capital Prospects, LLC	PFM	Yes	No		
Capri Select Income II, LLC	PFM	Yes	No		
Carlyle Asia Partners, L.P.	PFM	Yes	No		
Carlyle Europe Partners, L.P.	PFM	Yes	No		
Carlyle GMS Finance, Inc.	PFM	Yes	No		
Carlyle NF Investment Corp.	PFM	Yes	No		
Castlelake, L.P. (f.k.a TPG Credit Strategies Fund II, L.P.)	PFM	Yes	No		
CastleOak Securities, L.P.	CASH	Yes	No		
Charterhouse Group International, Inc.	PFM	Yes	No		
Citigroup Global Markets, Inc.	CASH & DEBT	Yes	No		
Citizens Bank N.A.	CASH	Yes	No		
Citizens Bank of Pennsylvania	CASH	Yes	No		
Clarion-Lion Industrial Trust	PFM	Yes	No		
Clay Hill, LLC (CT Horizon Legacy Fund, L.P.)	PFM	Yes	Yes	Muller & Monroe Asset Management, LLC was paid \$50,000 in connection with management fees pursuant to the Limited Partnership Agreement.  Schiff Hardin LLP was paid \$9,898 in connection with legal services.  RSM McGladrey was paid \$24,275 in connection with tax and audit services.  GreatBanc Trust Company was paid \$5,625 in connection with custody services.	Management, legal, tax, auditing, and custody fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2)).

*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2015 through June 30, 2016*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Clearlake Capital Partners III, L.P.	PFM	Yes	Yes	Clearlake Capital Management was paid \$216,008 in connection with management services pursuant to the Limited Partnership Agreement.  Park Hill Group, LLC was paid \$141,617 in connection with placement agent services pursuant to the Limited Partnership Agreement.	Management fees and placement agent fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2)(3).
Clearlake Capital Partners IV, L.P.	PFM	Yes	Yes	Clearlake Capital Management was paid \$631,434 in connection with management services pursuant to the Limited Partnership Agreement.  Park Hill Group, LLC was paid \$18,750 in connection with placement agent services pursuant to the Limited Partnership Agreement.	Management fees and placement agent fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2)(3).
Cliffwater, LLC	PFM	Yes	No		
Cohen Milstein Sellers & Toll PLLC	PFM	Yes	No		
Colchester Global Investors, Inc.	PFM	Yes	No		
Colony Realty Partners II, LP	PFM	Yes	No		
Connecticut Growth Capital, LLC (Balance Point Capital CT Advisors, LLC)	PFM	Yes	Yes	Balance Point Capital CT Advisors, LLC was paid \$614,470 in connection with management fees.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Connex Credit Union	CASH	Yes	No		
Constitution Liquidating Fund I, LP	PFM	Yes	No		
Court Square Capital Partners II, LLC	PFM	Yes	Yes	Kirkland & Ellis was paid \$440 in connection with legal services.  Various fees totalling \$44,130 were paid in connection with auditing, tax, fund administration, and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Court Square Capital Partners III, LLC	PFM	Yes	Yes	Kirkland & Ellis was paid \$846 in connection with ongoing legal services.  Court Square Capital Management III, LP was paid \$331,792 in connection with management services.  Various fees totalling \$24,387 were paid in connection with auditing, accounting, fund administration, and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Covenant Apartment Fund V (Institutional - B), L.P.	PFM	Yes	Yes	Plante & Moran, PLLC was paid \$7,084 in connection with audit and tax return services.	Audit and tax fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Covenant Apartment Fund (Institutional) VI, L.P.	PFM	Yes	Yes	Covenant Management Services, Inc. was paid \$75,554 in connection with management services.  Plante & Moran, PLLC was paid \$6,943 in connection with audit and tax return services.	Management, audit and tax fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Covenant Apartment Fund VIII, L.P.	PFM	Yes	Yes	Covenant Management Services, Inc. was paid \$281,250 in connection with management services pursuant to the Limited Partnership agreement.  Plante & Moran, PLLC was paid \$3,203 in connection with audit and tax return services.	Management, audit and tax fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).

*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2015 through June 30, 2016*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Coventry Health Care Workers' Compensation, Inc.	SIF	No	No		
Crescendo Ventures III, LP	PFM	Yes	No		
Crow Holdings Realty Partners VII, LP	PFM	Yes	Yes	HFF Securities was paid \$1,312,500 in connection with placement agent services pursuant to the Limited Partnership Agreement.	Placement agent fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(3).
Cypress Acquisition Partners Retail Fund, L.P.	PFM	Yes	Yes	Credit Suisse was paid \$380,831 (16.78% of a total \$2,269,116) in connection with placement agent services pursuant to the Limited Partnership Agreement.	Placement agent fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(3).
Daiwa Capital Markets America, Inc.	CASH	Yes	No		
Day Pitney, LLP	DEBT & PFM	Yes	No		
Deminor International SCRL	PFM	Yes	No		
Deutsche Bank AG, New York Branch	PFM	Yes	No		
Deutsche Bank Securities, Inc.	CASH	Yes	No		
Dimensional Fund Advisors LP	PFM	Yes	No		
Drexel Hamilton, LLC	DEBT	Yes	No		
Energy Fund XV, L.P. <i>(TCW-EIG Alternative Investments, LLC)</i>	PFM	Yes	Yes	Credit Suisse was paid \$170,873 in connection with placement agent services pursuant to the Limited Partnership Agreement.	Placement agent fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(3).
Entrust Permal Management, LLC <i>(f.k.a. Permal Group, LTD)</i> <i>(Prudence Crandall Fund I, LLC)</i>	PFM	Yes	No		
Estrada, Hinojosa & Company, Inc.	DEBT	Yes	No		
Environmental Capital, LLC	DEBT	Yes	Yes	Environmental Attributes Associates was paid \$675 per hour for expertise on environmental matters related to Connecticut Green Bank.	Registered Municipal Advisor fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Ethos Private Equity Fund V, LP	PFM	Yes	Yes	Ethos Private Equity (Pty) Limited was paid \$1,244,504 (deferred on 1/7/15) for management services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Fairview Constitution Fund II, LP	PFM	Yes	No		
Fairview Constitution Fund III, LP	PFM	Yes	No		
Fairview Constitution Fund IV, LP	PFM	Yes	No		
Fidelity Capital Markets <i>(division of National Financial, LLC)</i>	DEBT	Yes	No		
Fidelity Institutional Asset Management ("FIAM") <i>(f.k.a. Pyramis Global Advisors Trust Company)</i>	PFM	Yes	No		
First Quadrant, L.P.	PFM	Yes	No		
First Southwest Company	DEBT	Yes	No		
FIS Group, Inc.	PFM	Yes	No		
Frasca & Associates, LLC	DEBT	Yes	No		
Frontier Capital Management Company	PFM	Yes	No		

*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2015 through June 30, 2016*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
FS Equity Partners V, LP	PFM	Yes	Yes	Freeman Spogli Management Co., L.P. was paid management fees totalling \$43,924 pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
FS Equity Partners VI, LP	PFM	Yes	Yes	Freeman Spogli Management Co., L.P. was paid management fees totalling \$309,067 pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
FTN Financial	CASH	Yes	No		
GarMark Partners II, L.P.	PFM	Yes	No		
Garmark Partners, L.P. Liquidating Trust	PFM	Yes	No		
GCM Customized Fund Investment Group, L.P. (CT Cleantech Opportunities Fund, L.P.)	PFM	Yes	Yes	GCM Customized Fund Investment Group, L.P. was paid \$139,700 in connection with fund advisory services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
GenNx360 Capital Partners II, LP	PFM	Yes	No		
Gerding Edlen Green Cities II, LP	PFM	Yes	Yes	Gerding Edlen Investment Management was paid \$393,650 in connection with management services.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Gilbert Global Equity Partners, L.P.	PFM	Yes	No		
Goldman Sachs Bank USA	DEBT	Yes	No		
Goldman, Sachs & Co.	CASH & DEBT	Yes	Yes	Shipman & Goodwin LLP was paid \$65,000 in connection with legal services as Underwriter's Counsel.	Fees related to the issuance of bonds are permissible third party payments. (See C.G.S. § 3-13/ b)(1).
Goldman, Sachs Mitsui Marine Derivative Products, L.P.	DEBT	Yes	No		
Goodwin Capital Advisers, Inc.	PFM	Yes	No		
Grant & Eisenhofer, PA	PFM	Yes	No		
Grantham, Mayo, Van Otterloo & Co.	PFM	Yes	No		
Great Pacific Securities	CASH	Yes	No		
Hardwick Law Firm, LLC	DEBT	Yes	No		
Hart Realty Advisers, Inc.	PFM	Yes	No		
Hartford Life Insurance Company	EXEC	Yes	Yes	Sutherland, Asbill & Brennan LLP was paid \$8,111 in connection with legal services. State Street Bank & Trust Company was paid \$21,545 in connection with custodial services. Ascensus College Savings was paid \$710,474 in connection with administration fees for record-keeping services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Hawkins, Delafield & Wood, LLP	DEBT	Yes	No		
Hicks, Muse, Tate & Furst Equity Fund III, LP	PFM	Yes	No		
ICV Capital Partners II, LP	PFM	Yes	No		
IL&FS India Realty Fund II, LLC	PFM	Yes	No		
ImageMaster, Inc.	DEBT	No	No		

*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2015 through June 30, 2016*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Institutional Shareholder Services, Inc.	PFM	No	No		
Int'l FCStone Partners, L.P.	CASH	Yes	No		
J M Lummis & Co.	CASH	Yes	No		
J.P. Morgan Chase Strategic Property Fund <i>(J.P. Morgan Investment Management)</i>	PFM	Yes	No		
J.P. Morgan Securities, LLC	CASH & DEBT	Yes	No		
Janney Montgomery Scott LLC	DEBT	Yes	No		
Jefferies, LLC	DEBT	Yes	No		
JFL Equity Investors III, LP	PFM	Yes	Yes	Debevoise & Plimpton was paid \$7,619 in connection with legal services.	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1))
JPMorgan Chase Bank, N.A.	CASH & DEBT	Yes	No		
K2 Advisors, LLC <i>(Prudence Crandall Fund IV, LLC)</i>	PFM	Yes	No		
Kessler Topaz Meltzer & Check, LLP	PFM	Yes	No		
KeyBanc Capital Markets, Inc.	DEBT	Yes	No		
KKR 2006 Fund	PFM	Yes	No		
KKR Millennium Fund	PFM	Yes	No		
KPS Special Situations Fund II, LP	PFM	Yes	No		
Labaton Sucharow, LLP	PFM	Yes	No		
Lamont Financial Services Corporation	DEBT	Yes	No		
Landmark Equity Partners XIV, L.P.	PFM	Yes	No		
Landmark Equity Partners XV, L.P.	PFM	Yes	No		
Landmark Primary Partners, L.P.	PFM	Yes	No		
Landmark Real Estate Partners VII, LP	PFM	Yes	No		
Law Offices of Joseph C. Reid, P.A.	DEBT	Yes	No		
Lazard Asset Management, LLC	PFM	Yes	No		
Leeds Equity Partners V, L.P.	PFM	Yes	No		
Levine Leichtman Capital Partners IV, LLC	PFM	Yes	No		
Levine Leichtman Capital Partners V, LLC	PFM	Yes	No		
Lewis & Munday, P.C.	DEBT	Yes	No		
Lexington Capital Partners II, L.P.	PFM	Yes	No		
Lonestar Real Estate Partners II, L.P.	PFM	Yes	No		
Loomis, Sayles & Company, L.P.	PFM	Yes	No		

*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2015 through June 30, 2016*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Loop Capital Markets, LLC	CASH & DEBT	Yes	No		
Lowey Dannenberg Cohen & Hart, P.C.	PFM	Yes	No		
MacFarlane Urban Real Estate Fund II, LP	PFM	Yes	Yes	Paul Hastings LLP was paid \$17,215 in connection with legal services.	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1).
Mahoney Sabol & Company, LLP	DEBT	Yes	No		
Marathon European Credit Opportunity Fund, L.P.	PFM	Yes	Yes	Aggregate legal fees paid by the Fund totaled \$15,087, of which a pro rata portion of 4.56% is allocable to the State of Connecticut.	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1).
McCarter & English, LLP	PFM	Yes	No		
Melvin Securities, LLC	DEBT	Yes	No		
Merrill, Lynch, Pierce, Fenner & Smith	CASH	Yes	No		
Merrill, Lynch, Pierce, Fenner & Smith (d/b/a Bank of America Merrill Lynch)	DEBT	Yes	No		
MFS Institutional Advisors, Inc.	PFM	Yes	No		
Mizuho Securities USA, Inc.	CASH	Yes	No		
Morgan Stanley & Co. Incorporated	CASH & DEBT	Yes	No		
Morgan Stanley Alternative Investment, Partners, Inc. (Thomas Welles Fund II, LLC)	PFM	Yes	Yes	Morgan Stanley AIP (GP), LP was paid \$501,009 in connection with management services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Morgan Stanley Investment Partners, Inc.	CASH	Yes	No		
Muller & Monroe Asset Management, LLC (CT Emerging Private Equity Fund of Funds L.P.)	PFM	Yes	Yes	Muller & Monroe Asset Management, LLC was paid \$5,732 in connection with management services pursuant to the Limited Partnership Agreement.  Schiff Hardin LLP was paid \$25,139 in connection with legal services.  McGladrey LLP was paid \$8,000 in connection with audit and tax services.  GreatBanc Trust Company was paid \$31,815 in connection with custody services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
National Public Finance Guarantee Corp.	DEBT	Yes	No		
New Boston Individual and Institutional Investment Fund IV, LP	PFM	Yes	No		
New Boston Urban Strategy America Fund, L.P.	PFM	Yes	No		
New Century Advisors	PFM	Yes	No		
Nogales Investors Fund II, LP	PFM	Yes	No		
Nordea Markets	CASH	Yes	No		
Northern Trust Investments, Inc.	PFM	Yes	No		
Nutmeg Opportunities Investment Fund, L.P. (L.P. Morgan Investment Management, Inc.)	PFM	Yes	No		



*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2015 through June 30, 2016*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Oaktree Capital Management, L.P.	PFM	Yes	No		
Oppenheimer & Co., Inc.	CASH	Yes	No		
Orrick, Herrington & Sutcliffe, LLP	DEBT & PFM	Yes	No		
Pacific Investment Management Company (PIMCO)	PFM	Yes	No		
Payden & Rygel Investment Management	PFM	Yes	No		
Pegasus Partners IV, LP	PFM	Yes	No		
Pegasus Partners V, LP	PFM	Yes	No		
People's United Bank	CASH	Yes	No		
PFM Financial Advisors, LLC (f.k.a. Public Financial Management, Inc.)	DEBT	Yes	No		
PGIM Real Estate (f.k.a. Prudential Property Investments S/A, LP)	PFM	Yes	No		
Piper, Jaffray & Co.	CASH & DEBT	Yes	No		
PineBridge Global Emerging Markets Partners, L.L.C.	PFM	Yes	No		
Prager & Co., LLC	DEBT	Yes	No		
Prime Property Fund, LLC	PFM	Yes	No		
Prisma Capital Management, LLC (Prudence Crandall Fund II, LLC)	PFM	Yes	No		
Progress Investment Management Company, LLC	PFM	Yes	No		
Public Resources Advisory Group, Inc.	DEBT	Yes	Yes	Milliman was paid \$4,038 in connection with consulting services.	Consulting services and other fees related to the issuance of bonds are permissible third party payments. (See C.G.S. § 3-13/b)(3).
Pullman & Comley, LLC	DEBT & PFM	Yes	No		
Rabo Securities USA, Inc.	CASH	Yes	No		
Raymond James & Associates, Inc.	CASH & DEBT	Yes	No		
RBC Capital Markets, LLC	CASH & DEBT	Yes	No		
RBS Securities, Inc.	CASH	Yes	No		
Reinhart, Boerner, Van Deuren, sc	PFM	Yes	No		
RFE Investment Partners VII, L.P.	PFM	Yes	No		
RFE Investment Partners VIII, L.P.	PFM	Yes	No		
Rice Financial Products Company	CASH & DEBT	Yes	No		
Robert W. Baird & Co., Incorporated	DEBT	Yes	No		
Robinson & Cole, LLP	DEBT & PFM	Yes	No		
Robbins Geller Rudman & Dowd, LLLP	PFM	Yes	No		

*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2015 through June 30, 2016*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Rock Creek Connecticut, LLC (Prudence Crandall Fund III, LLC)	PFM	Yes	No		
Rockfleet Financial Services, Inc.	DEBT	Yes	No		
Rockwood Capital Real Estate Partners Fund V, LP	PFM	Yes	Yes	Rockwood Capital, LLC was paid \$16,980 in connection with management services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Rockwood Capital Real Estate Partners Fund VI, LP	PFM	Yes	Yes	Rockwood Capital, LLC was paid \$206,608 in connection with management services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Rockwood Capital Real Estate Partners Fund VII, LP	PFM	Yes	Yes	Rockwood Capital, LLC was paid \$685,000 in connection with management services pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Roosevelt & Cross, Incorporated	DEBT	Yes	No		
Russell Implementation Services, Inc.	PFM	Yes	No		
Samuel A. Ramirez & Co., Inc.	CASH & DEBT	Yes	Yes	Various fees totalling \$66,367 were paid to 4 firms in connection with the issuance of bonds.  Bryant Miller Olive PC was paid \$20,000 in connection with legal services as Underwriter's Counsel.  Hinckley, Allen & Snyder was paid \$20,000 in connection with legal services as Underwriter's Counsel.	Fees related to the issuance of bonds are permissible third party payments. (See C.G.S. § 3-13/ b)(1)(2).
Santander Bank, N.A.	CASH	Yes	No		
Schroder Investment Management North America, Inc.	PFM	Yes	No		
SCP Private Equity Partners, LP	PFM	Yes	No		
Seward & Monde, CPAs	DEBT	No	No		
Shenkman Capital Management, Inc.	PFM	Yes	No		
Shipman & Goodwin, LLP	DEBT & PFM	Yes	No		
Siebert Cisneros Shank & Co., LLC (f.k.a. Siebert Brandford Shank & Co., LLC)	DEBT	Yes	Yes	Bryant Miller Olive was paid \$25,000 in connection with legal services as Underwriter's Counsel.  Pullman & Comley was paid \$25,000 in connection with legal services as Underwriter's Counsel.	Fees related to the issuance of bonds are permissible third party payments. (See C.G.S. § 3-13/ b)(1).
Societe Generale	DEBT	Yes	No		
Soeder & Associates LLC	DEBT	Yes	No		
Squire, Patton Boggs, LLP (f.k.a. Squire Sanders (US) LLP & Patton Boggs, LLP)	DEBT & PFM	Yes	No		

*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2015 through June 30, 2016*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Starwood Distressed Opportunity Fund IX, L.P.	PFM	Yes	Yes	<b>Legal Services:</b> - Rinaldi Finkelstein & Franklin - \$1,317,663 - Clifford Chance LLP - \$1,182,636 - Kirkland & Ellis - \$1,004,710 - Linklaters LLP - \$1,060,962 - Paul Hastings, LLP - \$567,478 - Dechert LLP - \$200,496 - Skadden, Arps Slate Meaher & Flom LLP - \$142,020 - Arthur Cox - \$63,474 - Mayer Brown LLP - \$52,300 - Thomson Reuters UK Limited - \$74,516	Legal fees are permissible third party payments. (See C.G.S. § 3-13/(b)(1).
Starwood Distressed Opportunity Fund IX, L.P. (Cont'd.)	PFM	Yes	Yes	<b>Consulting Services:</b> - Real Foundation, Inc. - \$363,636 - Deloitte, LLP - \$522,020 - ST Global Services LLC - \$80,000 - Northeast Series of Locton Companies LLC - \$73,375 - Sungard Avantgard LLC - \$70,313 - Yardi Systems, Inc. - \$60,055 - REIS Services LLC - \$58,695 - KPMG - \$55,837 - CBRE Hotels Limited - \$54,589  <b>Audit &amp; Tax Services:</b> - PriceWaterhouse Coopers LLP - \$1,712,879 - Frydland & Company, LLC - \$1,383,698 - Ernst & Young LLP - \$2,608,947	Consulting, audit and tax fees are permissible third party payments. (See C.G.S. § 3-13/(b)(2).
Starwood Global Opportunity Fund VII, LP	PFM	Yes	Yes	<b>Legal Services:</b> - Kirkland and Ellis - \$209,513 - Rinaldi, Finkelstein & Franklin LLC - \$470,251  <b>Audit Services:</b> - Deloitte, LLP - \$411,869  <b>Tax Services:</b> - Deloitte Tax, LLP - \$84,230 - Ernst & Young LLP - \$380,035  <b>Consulting Services:</b> - Beacon Advisers - \$89,405	Legal, audit, tax, and consulting fees are permissible third party payments. (See C.G.S. § 3-13/(b)(1)(2).

*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2015 through June 30, 2016*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Starwood Global Opportunity Fund VIII, LP	PFM	Yes	Yes	<p><b>Legal Services:</b>            - Machado, Meyer, Sendacz, Opice E Biscardi - \$67,103            - Rinaldi, Finkelstein &amp; Franklin LLC - \$379,503            - Latham &amp; Watkins LLP - \$63,332</p> <p><b>Consulting Services:</b>            - Real Foundation, Inc. - \$240,980            - Deloitte LLP - \$96,601</p> <p><b>Audit Services:</b>            - PriceWaterhouseCoopers - \$903,466</p> <p><b>Tax Services:</b>            - Ernst &amp; Young LLP - \$972,573            - Frydland &amp; Company - \$175,550</p>	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Starwood Opportunity Fund X Global, LP	PFM	Yes	Yes	<p><b>Legal Services:</b>            - Brownstein Hyatt Farber Schreck, LLP - \$84,208            - Dechert LLP - \$208,077            - Garrigues Uk LLP - \$516,077            - Hatfield Phillips Int Ltd. - \$56,380            - Jones Lang LaSalle S.P.A. - \$224,189            - Skadden, Arps, Slate Meaher &amp; Flom, LLP - \$88,486            - Kirkland &amp; Ellis LLP - \$2,052,430            - Latham &amp; Watkins, LLP - \$376,445            - Paul Hastings (Europe) LLP - \$2,313,836            - Rinaldi, Finkelstein &amp; Franklin, LLC - \$2,130,107            - Linklaters LLP - \$711,819            - BAHR - \$87,592            - Advokatfirman Cederquist KB - \$384,835            - Haynes &amp; Boone, LLP - \$116,994            - Greenberg Traurig - \$493,791            - DLA Piper US LLP - \$61,175            - Reed Smith, LLP - \$719,625            - Advokatfirmaet Thommessen AS - \$1,179,320            - Pircher, Nichols &amp; Meeks - \$64,780            - Marx/Okubo Associates, Inc. - \$72,179            - Skene Law Firm, P.C - \$65,181            - Allen &amp; Overy, LLP - \$317,184</p>	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1).

**Office of the State Treasurer**  
**Summary of Responses to Request for Disclosure**  
**July 1, 2015 through June 30, 2016**

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Starwood Opportunity Fund X Global, LP (Cont'd.)	PFM	Yes	Yes	<b>Consulting Services:</b> - Altus Grou U.s. Inc. - \$81,000 - Arctic Securities - \$1,000,000 - Arent Fox - \$303,150 - BNP Paribas Real Estate - \$140,813 - Callison, LLC - \$89,387 - Colliers International - \$1,227,248 - Copernicus Servicing, S.I. - \$114,000 - Costar Realty Information Group, Inc. - \$63,920 - Currie & Brown Uk Ltd. - \$277,200 - Dunnettcraven - \$106,599 - ECS Corporate LLC - \$215,057 - Enviro-Sciences, Inc. - \$160,904 - Klavins Ellex - \$74,741 - Mark G. Anderson Consultants, Inc. - \$61,577 - Matheson - \$674,578 - Multiconsult Asa - \$93,123 - Norton Rose Fullbright LLP - \$195,566 - One Works Spa - \$60,050 - Pangea Property Partners AS - \$1,022,644 - Perkins Cole LLP - \$59,560 - Resolute Asset Management LLP - \$131,530 - Sankaty Advisors, LLC - \$80,796 - Savills Commercial Limited - \$131,568 - SLPowers - \$55,129 - ST Global Services, LLC - \$80,000	Consulting fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Starwood Opportunity Fund X Global, LP (Cont'd.)	PFM	Yes	Yes	<b>Consulting Services:</b> - Stam Europe SAS - \$83,636 - The Planning & Zoning Resource Corp. - \$92,088 - Thomson Reuters Uk Limited - \$99,355 - Tirelli & Partners Srl - \$60,813 - VALTEQ Gesellschaft mbH - \$167,994 - Wertgrund Immobilien AG - \$105,452 - Willis of New York, Inc. - \$1,006,926 - WSP Sverige AB - \$108,512 - Saltin AS - \$301,077 - Yardi Systems, Inc. - \$79,368 - Sweett Iberia SLU - \$267,130 - CBRE, Inc. - \$331,394 - Real Foundation, Inc. - \$434,912 - REIS Services LLC - \$78,261 - CICI Utility - \$72,428 - SunGard AvantGard LLC - \$93,751 - Northeast Series of Lockton Companies LLC - \$100,351 - LNR Partners Germany Gmbh - \$252,681  <b>Audit/Tax Services:</b> - PriceWaterhouse LLP - \$288,722 - Frydland Steven, LLC - \$2,876,744 - Deloitte LLP - \$4,272,750 - Ernst & Young LLP - \$3,197,554 - KPMG LLP - \$95,134	Consulting, audit and tax fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
State Street Global Advisors	PFM	Yes	No		

*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2015 through June 30, 2016*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Stepstone Pioneer Capital Buyout Fund II, LP <i>(f.k.a. Parish Capital Advisors Buyout Fund II, LP)</i>	PFM	Yes	Yes	Ernst & Young, LLP was paid \$131,378 in connection with the annual audit and tax services.  SEI, Inc. was paid \$111,183 in connection with annual administration services.  Robinson Bradshaw & Hinson was paid \$1,782 in connection with legal services billed on an hourly basis.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Stepstone Pioneer Capital I, L.P. <i>(f.k.a. Parish Capital Advisors I, L.P.)</i>	PFM	Yes	Yes	Ernst & Young, LLP was paid \$168,236 in connection with the annual audit and tax services.  Robinson Brandshaw & Hinson was paid \$4,944 in connection with legal services billed on an hourly basis.  SEI, Inc. was paid \$168,350 in connection with annual administration services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Stifel Nicolaus & Company, Inc.	CASH & DEBT	Yes	No		
Stone Castle Partners, LLC	CASH	Yes	No		
Stone Harbor Investment Partners	PFM	Yes	No		
Sturdivant & Co.	DEBT	Yes	No		
Svenska Handelsbanken	CASH	Yes	No		
SW Pelham Fund II, LP	PFM	Yes	Yes	Shipman & Goodwin LLP was paid \$22,777 in connection with legal services.  Whittlesley & Hadley, PC was paid \$14,000 in connection with audit services.  The Spilotro Law Group, LLC was paid \$9,000 in connection with legal services.  Michael Z. Gurland was paid \$540.00 in connection with legal services.	Legal and audit fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
SW Pelham Fund, L.P	PFM	Yes	Yes	Whittlesley & Hadley was paid \$14,000 in connection with audit services.	Audit fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Syncom Ventures V, LP	PFM	Yes	No		
T. Rowe Price Associates, Inc.	PFM	Yes	No		
TA XI, L.P.	PFM	Yes	Yes	TA Associates XI GP, LP was paid management fees, including carried interest, totaling \$4,624,264 pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
TD Bank, N.A.	CASH	Yes	No		
TD Securities (USA) LLC	CASH & DEBT	Yes	No		

*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2015 through June 30, 2016*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Teigland-Hunt LLP	PFM	Yes	No		
The Bank of New York Mellon	PFM	Yes	No		
The Townsend Group	PFM	Yes	No		
The Williams Capital Group, L.P.	DEBT	Yes	No		
Thomas H. Lee Equity Fund VI, LLC	PFM	Yes	No		
TIAA-CREF Tuition Financing, Inc.	EXEC	Yes	Yes	Sutherland, Asbill & Brennan LLP was paid \$9,739 in connection with legal services.	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1).
Toussaint Capital Partners, LLC	DEBT	Yes	No		
Trinity Plus Funding Co., LLC	DEBT	Yes	No		
U.S. Bancorp Municipal Securities Group	DEBT	Yes	No		
U.S. Bank National Association	CASH & DEBT	Yes	No		
UBS Trumbull Property Fund	PFM	Yes	Yes	Trumbull Property Fund was paid \$816,517 in connection with advisory services pursuant to the Advisory Agreement. (Includes an incentive fee that is paid each 3 year anniversary.)	Advisory fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
UBS Trumbull Property Growth & Income Fund	PFM	Yes	Yes	Trumbull Property Growth & Income Fund was paid \$538,290 in connection with advisory services pursuant to the Advisory Agreement. Also included is an incentive fee that is paid each 3 year anniversary.	Advisory fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
UBS Trumbull Property Income Fund	PFM	Yes	Yes	Trumbull Property Income Fund was paid \$448,204 in connection with advisory services pursuant to the Advisory Agreement.	Advisory fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Updike, Kelly & Spellacy, P.C.	DEBT	Yes	No		
USAA Eagle Real Estate Fund	PFM	Yes	No		
Verus Financial, LLC	SIF	No	No		
Vining Sparks IBG, L.P.	CASH	Yes	No		
Vista Equity Partners Fund III, L.P.	PFM	Yes	Yes	Vista Equity Partners Fund III, LP was paid \$17,717 in connection with management services, including, but not limited to, administrator, audit, tax preparation, legal fees and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Vista Equity Partners Fund IV, L.P.	PFM	Yes	Yes	Vista Equity Partners Fund IV, LP was paid \$139,375 in connection with management services, including, but not limited to, administrator, audit, tax preparation, legal fees and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Walton Street Real Estate Fund II, LP	PFM	Yes	No		
Webster Bank, N.A.	CASH	Yes	No		
Wellington Management Company	PFM	Yes	No		
Wells Fargo Bank, National Association	CASH & DEBT	Yes	No		

*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2015 through June 30, 2016*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Wells Fargo Securities, LLC	CASH	Yes	No		
Wellspring Capital Partners III LP	PFM	Yes	No		
Wellspring Capital Partners V, L.P.	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund III, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund VIII, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund X, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund XI, LP	PFM	Yes	No		
Westport Senior Living Investment Fund, LP	PFM	Yes	No		
William Blair and Company, LLC	DEBT & PFM	Yes	No		
WLR IV PPIP Co-Invest, L.P.	PFM	Yes	No		
WLR Recovery Fund IV, L.P.	PFM	Yes	No		
Xerox State & Local Solutions, Inc.	UCP	No	No		
Yucaipa American Alliance Fund II, LP	PFM	Yes	No		