

*Office of State Treasurer
Summary of Responses to Request for Disclosure
July 1, 2009 through June 30, 2010*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
A. C. Advisory, Inc.	DEBT	Yes	No		
Aberdeen Asset Management Inc.	PFM	Yes	No		
ACA Financial Guaranty Corporation	DEBT	Yes	No		
Acacia Financial Group, Inc.	DEBT	Yes	No		
Acadian Asset Management, Inc.	PFM	Yes	No		
ACS State & Local Solutions, Inc. (wholly owned subsidiary of Xerox)	UCP	No	No		
ACS Unclaimed Property Clearinghouse, Inc.	UCP	No	No		
AEW Capital Management, LP	PFM	Yes	No		
AEW Partners III, LP	PFM	Yes	No		
AIG Financial Products Corp.	DEBT	Yes	No		
AllianceBernstein Legacy Securities (Delaware) LP	PFM	Yes	No		
Altaris Health Partners II, L.P. (formerly AIG Altaris Health Partners II, L.P.)	PFM	Yes	Yes	Altaris Capital Partners, LLC were paid management fees totaling \$1,033,732 pursuant to the Limited Partnership Agreement.	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Altaris Health Partners, L.P. (formerly AIG Altaris Health Partners, L.P.)	PFM	Yes	Yes	Altaris Capital Partners, LLC were paid management fees totaling \$504,218 pursuant to the Limited Partnership Agreement.	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2).
AMBAC Assurance Corporation	DEBT	Yes	No		
Ambassador Capital Management	PFM	Yes	No		
AMTEC Corp.	DEBT	No	No		
Apollo Real Estate Investment Fund III, LP	PFM	Yes	No		
AQR Capital Management, LLC	PFM	Yes	No		
Artio Global Management, LLC (formerly Julius Baer Investment Management, LLC)	PFM	Yes	No		
Ashmore Investment Management Limited	PFM	Yes	No		
Assured Guaranty Municipal Corp. (formerly Financial Security Assurance, Inc.)	DEBT	Yes	No		
Audax Mezzanine Fund III, LP	PFM	Yes	No		
Audit Services, US, LLC	UCP	No	No		
Banc of America Securities, LLC	CASH	Yes	No		
Bank of America Merrill Lynch	CASH & DEBT	Yes	No		
Barclays Capital, Inc.	CASH & DEBT	Yes	No		
Bayerische Landesbank	DEBT	Yes	No		
BB&T and Company	CASH	Yes	No		
Belle Haven Investments, L.P.	DEBT	Yes	No		
Bivium Capital Partners, LLC	PFM	Yes	No		
BlackRock Financial Management, Inc. (formerly Barclays Global Investors, N.A.)	PFM	Yes	No		
BlackRock Institutional Trust Company, N.A.	PFM	Yes	No		

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BlackRock Investment Management, LLC <i>(formerly Merrill Lynch Investment Managers)</i>	PFM	Yes	No		
Blackstone Capital Partners III Merchant Banking Fund, LP	PFM	Yes	No		
Blackstone Real Estate Partners Europe III, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners VI, L.P.	PFM	Yes	No		
Blaylock Robert Van LLC <i>(formerly SBK - Brooks Investment Corp.)</i>	DEBT	Yes	No		
BLX Group, LLC <i>(formerly BondLogistix LLC)</i>	DEBT	Yes	No		
BNY ConvergeEX Group	PFM	Yes	No		
Boston Ventures VII, L.P.	PFM	Yes	No		
Brown Brothers Harriman & Co.	PFM	Yes	No		
Bryant Miller Olive, PA	DEBT	Yes	No		
Cabrera Capital Markets, Inc.	CASH & DEBT	Yes	No		
Candover 2008 Fund (The)	PFM	Yes	Yes	Benedetto, Gartland & Co. were paid \$125,000 to serve as placement agent for the Fund.	Vendor identified exemption. Placement agent fees are permissible third party payments. (See C.G.S. § 3-131(b)(3)).
Canyon-Johnson Urban Fund II, LP	PFM	Yes	No		
Canyon-Johnson Urban Fund III, LP	PFM	Yes	No		
Capital Prospects, LLC	PFM	Yes	No		
Capri Select Income II, LLC	PFM	Yes	No		
Carlin, Charron & Rosen LLP	DEBT	No	No		
Carlyle Asia Partners, L.P.	PFM	Yes	No		
Carlyle Europe Partners, L.P.	PFM	Yes	No		
CCP Equity Partners <i>(a.k.a. Conning Investment Partners V, LLC)</i>	PFM	Yes	No		
Charterhouse Group International, Inc.	PFM	Yes	Yes	CHUSA Equity Managers IV, LLC were paid \$1,049,634 for investment services pursuant to the Limited Partnership Agreement.	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2)).
Citibank, N.A.	CASH	Yes	No		
Citigroup Global Markets, Inc.	DEBT	Yes	Yes	Orrick, Herrington & Sutcliffe LLP were paid \$25,000 to serve as Underwriter's Counsel. Hardwick Law Firm, LLC was paid \$25,000 to serve as Underwriter's Counsel.	Vendor identified exemption. Legal fees, as well as fees related to the issuance of bonds, are permissible third party payments. (See C.G.S. § 3-131(b)(1)).
Citigroup Global Markets, Inc. (CGMI)	CASH	Yes	No		
Citizen's Bank <i>(a wholly-owned subsidiary of RBS Citizens, N.A.)</i>	CASH	Yes	No		
Colchester Global Investors, Inc.	PFM	Yes	No		

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Colony Realty Partners II, LP	PFM	Yes	Yes	Diamond Edge Capital Partners, LLC were paid \$158,730 in connection with placement agent services.	Vendor identified exemption. Placement agent fees are permissible third party payments. (See C.G.S. § 3-131(b)(3).
Compass Partners European Equity Fund	PFM	Yes	No		
Connecticut Bank and Trust Company (The)	CASH	Yes	No		
Connecticut Community Bank, N.A.	CASH	Yes	No		
Connex Credit Union	CASH	Yes	No		
Corby Capital Markets, Inc.	DEBT	Yes	No		
Cornerstone Patriot Fund, LP	PFM	Yes	No		
Court Square Capital Partners II, LLC	PFM	Yes	No		
Covenant Apartment Fund V (Institutional - B), L.P.	PFM	Yes	Yes	Covenant Management Services, Inc. was paid \$308,628 for management services pursuant to the Limited Partnership Agreement. Plante & Moran, PLLC were paid \$13,304 for accounting services rendered. Waller Lansden Dortch & Davis, LLP were paid \$874 for legal services rendered.	Vendor identified exemption. Management fees, legal and accounting services, are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2).
Covenant Apartment Fund VI, L.P.	PFM	Yes	Yes	Placement Agent Services: - Quince Hill Partners - \$81,074 Management Fee: - Covenant Management Services, Inc. - \$410,319 Legal Services: - Mayer Brown, LLP - \$11,757 - Waller Lansden Dortch & Davis, LLP - \$6,139 Accounting Services: Plante & Moran, PLLC - \$6,665 Miscellaneous: - RCS Equities, LLC - \$1,412.	Vendor identified exemption. All fees disclosed are permissible third party payments. (See C.G.S. § 3-131(b)(2)(3).
Coventry Health Care Workers' Compensation, Inc.	SIF	No	No		
Credit Suisse First Securities (USA) LLC	CASH	Yes	No		
Crescendo Ventures III, LP	PFM	Yes	No		
Crescendo World Fund LLC	PFM	Yes	No		

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CS/CT Cleantech Opportunities Fund, L.P. (a.k.a. DLJ Fund Partners, II, L.P.)	PFM	Yes	Yes	DLJMB Advisors, Inc. shall be paid quarterly management fees of 0.75 per annum pursuant to the Limited Partnership Agreement. Legal Services: - Morgan Lewis - \$1,627 - Nixon Peabody - \$ 756 Financial Analytics Services: - Copal Partners (UK) Limited - \$288 - Roger Ammoun - \$4,277 - Henri Arif - \$1,094 - Wall Street Options - \$46 - Two-Four Holdings - \$132 - Merrill Communications - \$1,757 Accounting Services: Cognizant Technology Solutions - \$5,795	Vendor identified exemption. Management fees and financial analytics services are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Day Pitney, LLP	DEBT & PFM	Yes	No		
Deutsche Bank Securities, Inc.	CASH	Yes	No		
Dexia Credit Local	DEBT	Yes	No		
Dimensional Fund Advisors LP	PFM	Yes	No		
DLJ Merchant Banking Partners II, LP	PFM	Yes	No		
Edwards, Angell, Palmer & Dodge	DEBT & PFM	Yes	No		
Emerging Markets Management, LLC	PFM	Yes	No		
Estrada, Hinojosa & Company, Inc.	DEBT	Yes	No		
Ethos Private Equity Fund V	PFM	Yes	Yes	Ethos Private Equity Limited earned management fees totaling \$4,926,363, but was paid \$4,530, 967 during the reporting period. (Cumulative management fees deferred totaled \$3,679,309 pursuant to the partnership agreement.)	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Fairview Capital Partners, Inc. (a.k.a. Constitution Liquidating Fund, LP)	PFM	Yes	No		
Fairview Constitution Fund II, LP	PFM	Yes	No		
Fairview Constitution Fund III, LP	PFM	Yes	No		
Farmington Bank (formerly Farmington Savings Bank)	CASH	Yes	No		
Fifth Third Bank	CASH	Yes	No		

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Financial Guaranty Insurance Company (FGIC)	DEBT	Yes	No		
Finn Dixon & Herling LLP	DEBT	Yes	No		
First Southwest Company	DEBT	Yes	No		
FIS Group, Inc.	PFM	Yes	No		
Forstmann Little Equity VI	PFM	Yes	No		
Forstmann Little MBO VII	PFM	Yes	No		
Franklin Park Associates, LLC	PFM	Yes	No		
FS Equity Partners V, LP	PFM	Yes	Yes	Freeman Spogli & Co. V, L.P. was paid a management fee of \$94,055 pursuant to the Limited Partnership Agreement.	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2).
FS Equity Partners VI, LP	PFM	Yes	Yes	Freeman Spogli & Co. VI, L.P. was paid a management fee of \$1,603,591 pursuant to the Limited Partnership Agreement.	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2).
GarMark Partners II, L.P.	PFM	Yes	No		
Garmark Partners, LP	PFM	Yes	No		
GE Capital Corporation	CASH	Yes	No		
Gilbert Global Equity Partners, L.P.	PFM	Yes	Yes	Gilbert Global Equity Partners were paid a management fee of \$146,298 pursuant to the Limited Partnership Agreement.	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Goldman Sachs Bank USA	DEBT	Yes	Yes	Pullman & Comley, LLC were paid \$30,000 to serve as Underwriter's Counsel.	Vendor identified exemption. Legal fees, as well as fees related to the issuance of bonds, are permissible third party payments. (See C.G.S. § 3-131(b)(1).
Goldman, Sachs & Co.	CASH	Yes	No		
Goldman, Sachs Private Equity Partners Connecticut, L.P.	PFM	Yes	Yes	State Street Bank was paid \$123,654 for administration and custodial services. Resources Global Professionals were paid \$9,169 in connection with consulting services. Pro Unlimited was paid \$582.98 in connection with consulting services. Various miscellaneous fees were paid for expenses, reports and annual return preparation.	Vendor identified exemption. Accounting, consulting and administration services are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Goodwin Capital Advisers, Inc.	PFM	Yes	No		
Grant & Eisenhofer, PA	PFM	Yes	No		
Grantham, Mayo, Van Otterloo & Co.	PFM	Yes	No		

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Great Pacific Securities	CASH	Yes	No		
Green Equity Investors, III, L.P.	PFM	Yes	No		
Greenwich Street Capital Partners II, L.P.	PFM	Yes	Unknown		Disclosure form was not provided. Partnership expired on July 31, 2010.
Grigsby & Associates, Inc.	DEBT	Yes	No		
Groom Law Group, Chartered	PFM	Yes	No		
Grotech Partners V, L.P.	PFM	Yes	Yes	Grotech Management Company was paid management fees totaling \$451,482 pursuant to the Limited Partnership Agreement.	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Hardwick Law Firm, LLC	DEBT	Yes	No		
Hartford Investment Management Company	PFM	Yes	No		
Hawkins, Delafield & Wood, LLP	DEBT	Yes	No		
Hicks, Muse, Tate & Furst Equity Fund III, LP	PFM	Yes	No		
HSBC Securities (USA), Inc.	CASH	Yes	No		
ICV Capital Partners II, LP	PFM	Yes	No		
IL&FS India Realty Fund II, LLC	PFM	Yes	Yes	Presido Partners LLC were paid \$625,000 in connection with placement agent services.	Vendor identified exemption. Placement agent fees are permissible third party payments. (See C.G.S. § 3-131(b)(3).
ImageMaster, Inc.	DEBT	No	No		
ING Investment Management Co.	PFM	Yes	No		
Institutional Shareholder Services, Inc.	PFM	No	No		
Invesco Advisers, Inc. (formerly Invesco Global Asset Management (N.A.), Inc.)	PFM	Yes	No		
J.P. Morgan Investment Management, Inc. (Nutmeg Opportunities Investment Fund)	PFM	Yes	No		
Jackson Securities LLC	DEBT	Yes	No		
Janney Montgomery Scott LLC	CASH	Yes	No		
Jefferies & Company	CASH	Yes	No		
Jefferies First Albany Securities LLC (a division of Jefferies & Company)	DEBT	Yes	No		
J.P. Morgan Securities, Inc.	CASH	Yes	No		
J.P. Morgan Securities, Inc.	DEBT	Yes	Yes	Wiggin & Dana were paid \$20,000 to serve as Co-Underwriter's counsel. Levy & Droney were paid \$20,000 to serve as Co-Underwriter's counsel.	Vendor identified exemption. Legal fees, as well as fees related to the issuance of bonds, are permissible third party payments. (See C.G.S. § 3-131(b)(1).
JPMorgan Chase Bank, N.A.	CASH & DEBT	Yes	No		
Kaplan, Fox & Kilsheimer, LLP	PFM	Yes	No		
Kelso Investment Associates VI, L.P.	PFM	Yes	Unknown		Disclosure form was not provided. Partnership agreement terminated on December 31, 2010.
KKR 2006 Fund	PFM	Yes	No		
KKR Millennium Fund	PFM	Yes	No		

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Kohlberg Kravis Roberts 1996 Fund (KKR)	PFM	Yes	No		
KPS Special Situations Fund II, LP	PFM	Yes	No		
Labaton Sucharow, LLP	PFM	Yes	No		
Lamont Financial Services Corporation	DEBT	Yes	No		
Landesbank Hessen-Thuringen	DEBT	Yes	No		
Landmark Equity Partners XIV, L.P.	PFM	Yes	No		
Landmark Partners, Inc.	PFM	Yes	No		
Law Offices of Joseph C. Reid, P.A.	DEBT	Yes	No		
Lazard Asset Management, LLC	PFM	Yes	No		
Leeds Equity Partners V, L.P.	PFM	Yes	No		
Levine Leichtman Capital Partners, Inc.	PFM	Yes	Yes	DAV/Wetherly Financial, L.P. was paid placement agent fees totaling \$742,021 pursuant to a Settlement Agreement.	Vendor identified exemption. Placement agent services are permissible third party payments. (See C.G.S. § 3-131(b)(3)).
Levy & Droney, PC	PFM	Yes	No		
Lewis & Munday, P.C.	DEBT	Yes	No		
Lexington Capital Partners II, L.P.	PFM	Yes	No		
Loomis, Sayles & Company, L.P.	PFM	Yes	No		
Loop Capital Markets, LLC	DEBT	Yes	Yes	Bryant Miller & Olive were paid \$18,218 to serve as Co-Underwriter's Counsel. Updike, Kelly & Spellacy, P.C. were paid \$17,650 to serve as Co-Underwriter's Counsel.	Vendor identified exemption. Legal fees, as well as fees related to the issuance of bonds, are permissible third party payments. (See C.G.S. § 3-131(b)(1)).
M.R. Beal & Company	DEBT	Yes	No		
MacFarlane Urban Real Estate Fund II, LP	PFM	Yes	Yes	C.P. Eaton Partners, LLC were paid \$82,409 in connection with placement agent services. Paul, Hastings, Janofsky & Walker, LLP were paid \$24,227 for legal services rendered.	Vendor identified exemption. Placement agent and legal services are permissible third party payments. (See C.G.S. § 3-131(b)(1)(3)).
Marathon Legacy Securities Public-Private Investment Fund LP	PFM	Yes	Yes	Aggregate legal fees paid by the Fund are \$604,288 of which the State of Connecticut's pro rata share is approximately 10.5%.	Vendor identified exemption. Legal fees are permissible third party payments. (See C.G.S. § 3-131(b)(1)).
McCarter & English, LLP	PFM	Yes	No		
Melvin Securities, LLC	DEBT	Yes	No		
Mercer Investment Consulting	PFM	Yes	No		
MFS Institutional Advisors, Inc.	PFM	Yes	No		
Morgan Keegan & Company, Inc.	DEBT	Yes	No		

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Morgan Stanley & Co. Incorporated	CASH & DEBT	Yes	Yes	Updike, Kelly & Spellacy were paid \$27,500 to serve as Underwriters' Counsel. Graves & Horton were paid \$17,500 to serve as Underwriter's Counsel.	Vendor identified exemption. Legal fees, as well as fees related to the issuance of bonds, are permissible third party payments. (See C.G.S. § 3-131(b)(1)).
Muller & Monroe Asset Management, LLC	PFM	Yes	Yes	Knowles Hall Consulting was paid \$183,334 in connection with placement agent services. Muller & Monroe Asset Management, LLC was paid a management fee of \$10,239 for investment services. Schiff Hardin LLP was paid \$109,614 in connection with legal services. RSM McGladrey was paid \$24,500 in connection with tax return preparation.	Vendor identified exemption. Management, placement agent, legal and accounting services are all permissible third party payments. (See C.G.S. § 3-131(b)(1)(2)(3)).
National Public Finance Guarantee Corp. (formerly MBIA, Inc.)	DEBT	Yes	No		
Natixis Funding Corp.	DEBT	Yes	No		
NEPC, LLC	PFM	Yes	No		
New Boston Individual and Institutional Investment Fund IV, LP (a.k.a. AEW Trust 221)	PFM	Yes	No		
New Boston Urban Strategy America Fund, LP	PFM	Yes	No		
Nogales Investors Fund II, LP	PFM	Yes	No		
Northern Trust Investments, N.A.	PFM	Yes	No		
Northwest Community Bank	CASH	Yes	No		

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Numeric Amplified Core Onshore Fund II, L.P.	PFM	Yes	Yes	<p>Numeric Investors LLC were paid management fees totaling \$1,733,525 pursuant to the Limited Partnership Agreement.</p> <p>Goldman Sachs Fund Administration was paid \$210,537 for fund administration fees.</p> <p>Wolf & Company PC were paid \$15,131 in connection with auditing and tax services.</p> <p>KPMG was paid \$2,743 for accounting services rendered.</p> <p>Wilmer Hale was paid \$337 for legal services rendered.</p> <p>CT Corporation System was paid \$171 for annual incorporation fees.</p>	Vendor identified exemption. All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Oaktree Capital Management, L.P.	PFM	Yes	Yes	<p>Bank of New York Mellon was paid \$22,000 to perform back office services, such as accounting, reconciliation, settlement, etc.</p> <p>ISS was paid \$7,838 in connection with the Northern Ireland service update list.</p> <p>CSAG was paid \$8,500 in connection with the global security risk monitoring service.</p>	Vendor identified exemption. Back-office and research services are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Orrick, Herrington & Sutcliffe, LLP	DEBT & PFM	Yes	No		
P.G. Corbin & Company, Inc.	DEBT	Yes	No		
Pacific Investment Management Company (PIMCO)	PFM	Yes	No		
Pareto Investment Management Limited	PFM	Yes	Yes	<p>Pareto New York LLC was paid monthly increments (intra-group cost plus arrangement) totaling \$5,677,766 in connection with marketing services for the currency overlay program.</p>	Vendor identified exemption. Marketing services are permissible third party payments. (See C.G.S. § 3-131(b)(3).

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Parish Capital Advisors (Buyout) Fund II, L.P.	PFM	Yes	Yes	Ernst & Young LLP were paid \$77,972 in connection with an annual audit and tax services. Robinson Bradshaw & Hinson were paid \$14,361 in connection with legal services. SEI Inc. was paid \$102,313 in connection with annual administrator services.	Vendor identified exemption. Legal, accounting and administrator services are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2).)
Parish Capital Advisors I, L.P.	PFM	Yes	Yes	Ernst & Young LLP were paid \$136,027 in connection with an annual audit and tax services. Robinson Bradshaw & Hinson were paid \$10,290 in connection with legal services. SEI, Inc. was paid \$153,568 in connection with annual administrator services.	Vendor identified exemption. Legal, accounting and administrator services are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2).)
Payden & Rygel Investment Management	PFM	Yes	No		
Pegasus Capital Advisors, LP	PFM	Yes	No		
People's United Bank	PFM	Yes	No		
Pepe & Hazard, LLP	PFM	Yes	No		
Phoenix Capital Partners, LLP	DEBT	Yes	No		
PineBridge Global Emerging Markets Partners, L.L.C. (formerly AIG Global Emerging Markets Fund, LLC)	PFM	Yes	No		
Prager Sealy & Co., LLC	DEBT	Yes	No		
Prime Property Fund, LLC	PFM	Yes	No		
Progress Investment Management Company, LLC	PFM	Yes	No		
Public Resources Advisory Group, Inc.	DEBT	Yes	Yes	Milliman was paid \$1,380 to perform an analysis for the pension obligation bond issue.	Vendor identified exemption. Fees related to the issuance of bonds are permissible third party payments. (See C.G.S. § 3-131(b)(2).)
Pullman & Comley, LLC	DEBT	Yes	No		
Pyramis Global Advisors Trust Company	PFM	Yes	No		
Rabo Securities USA, Inc.	CASH	Yes	No		
Ramirez & Co., Inc.	DEBT	Yes	No		
Raymond James & Associates, Inc.	CASH & DEBT	Yes	No		
RBC Capital Markets Corporation	DEBT	Yes	No		
Reich & Tang Asset Management, L.P.	DEBT	Yes	Yes	Tax Exempt Proceeds Fund, Inc. was paid \$293,490 in connection with investment services.	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2).)
Reinhart, Boerner, Van Deuren, sc	PFM	Yes	No		

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RFE Investment Partners VI, L.P.	PFM	Yes	No		
RFE Investment Partners VII, L.P.	PFM	Yes	No		
Rice Financial Products Company	DEBT	Yes	No		
RLJ Lodging Fund II, L.P.	PFM	Yes	No		
RLJ Real Estate Fund III, LP	PFM	Yes	Yes	Presido Partners were paid a placement agent fee of \$68,473 (State's pro rata share of \$1,630, 314).	Vendor identified exemption. Placement agent fees are permissible third party payments. (See C.G.S. § 3-131(b)(3).
Robinson & Cole, LLP	DEBT	Yes	No		
Rockwood Capital Real Estate Partners Fund V, LP	PFM	Yes	No		
Rockwood Capital Real Estate Partners Fund VI, LP	PFM	Yes	No		
Rockwood Capital Real Estate Partners Fund VII, LP	PFM	Yes	No		
Rogerscasey, Inc.	PFM	Yes	No		
Roosevelt & Cross, Incorporated	DEBT	Yes	No		
Russell Implementation Services, Inc.	PFM	Yes	No		
Schroder Investment Management North America, Inc.	PFM	Yes	No		
SCP Private Equity Partners, LP	PFM	Yes	No		
Shenkman Capital Management, Inc.	PFM	Yes	No		
Shipman & Goodwin, LLP	DEBT	Yes	No		
Siebert Brandford Shank Co., LLC	DEBT	Yes	Yes	Squire, Sanders & Dempsey L.L.P. were paid \$27,500 to serve as Underwriter's Counsel. Joseph C. Reid was paid \$27,500 to serve as Underwriter's Counsel.	Vendor identified exemption. Legal fees, as well as fees related to the issuance of bonds, are permissible third party payments. (See C.G.S. § 3-131(b)(1).
SMBC Capital Markets, Inc.	DEBT	Yes	No		
Societe Generale	CASH & DEBT	Yes	No		
Soeder & Associates LLC	DEBT	Yes	No		
Sovereign Bank	CASH	Yes	No		
Squire, Sanders & Dempsey LLP	DEBT	Yes	No		

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Starwood Global Opportunity Fund VII, LP	PFM	Yes	Yes	Investment Services: Tishman Realty - \$4,410 Legal Services: Haynes & Boone - \$329,824 Zhonglun Law Firm - \$2,211 Patton Boggs LLP - \$1,260 Mourant Du Feu & Jeune - \$968 Mayer Brown Rowe & Mew - \$109,377 Rinaldi Finkelstein & Franklin - \$232,822 White & Case - \$617,623 Auditor: Deloitte Tax LLP - \$17,352 Lubbockfine Chartered Accountants - \$4,474 Tax Advisor: Ernst & Young - \$68,265 Frydland Stevens - \$750,668 PriceWaterhouse - \$9,769 FX Consultant: Chatham Financial Corp. - \$28,160 Mortgage Consultant: Terence J. Hatton - \$6,000 Rosseau Capital LLC - \$19,000	Vendor identified exemption. Investment, legal, accounting and consulting services are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2)(3).

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Starwood Global Opportunity Fund VIII, LP	PFM	Yes	Yes	Investment Services: Albanese Development Corp. - \$26,506 DTZ Rockwood LLC - \$172,693 HVS International - \$6,951 PKF Consulting - \$20,022 Smith Brandon International, Inc. \$7,000 Speer Consulting - \$5,654 Stonehouse Capital, Inc. - \$3,378 The Concord Group - \$11,375 Legal Services: Brownstein Hyatt Farber Schreck - \$71,998 Cadwalder Wickerstein & Taft - \$4,677 Commercial Construction Consulting - \$3,500 E C Harris LLP - \$16,550 Greenberg Traurig - \$159,157 Hayes & Boone, LLP - \$231,586 Hogan & Hartson LLP - \$143,935 Holland & Knight LLP - \$29,378 J. Callahan Consulting, Inc. - \$1,050 Kramer Levin Naftalis & Frankel - \$15,999 Loyens & Loft - \$30,841 Mayer Brown LLP - \$150,809 McDonald Ingram Limited - \$6,137 MHD Funding LLC - \$4,000 Pircher Nichols & Meeks - \$8,983 Proskauer Rose LLP - \$34,049 Richards Layton & Finger P.A. - \$1,098 Rinaldi Finkelstein & Franklin, LLC - \$598,958 Roylance, Abrams, Berdo & Goodwin - \$1,101	Vendor identified exemption. Investment, legal, accounting and consulting services are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2)(3).

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Starwood Global Opportunity Fund VIII, LP (Cont'd.)				<p>Legal Services: Schulte Roth & Zabel LLP - \$3,285 Shipman & Goodwin LLP - \$6,860 Simmons & Simmons - \$44,785 Sonnenschein Nath & Rosenthal LLP - \$10,696 The Myler Law Firm, P.C. - \$11,918 The Situs Companies - \$5,438 Tron Abogados S.C. - \$6,791 Wachtell, Lipton, Rosen & Katz - \$53,986 White & Case - \$690,086</p> <p>FX Consultant: Chatham Financial Corp. - \$15,515</p> <p>Mortgage Consultant: Rosseau Capital LLC - \$71,315 Terence J. Hatton - \$51,699</p> <p>Realtor: AMG Realty Investors - \$34,182</p> <p>Auditing: Deloitte - \$21,573 Ernst & Young - \$34,200 PriceWaterhouse Coopers - \$567,577</p> <p>Tax Advisor: Frydland Stevens, LLC - \$606,513</p> <p>Appraisal: InsideValuation - \$11,760 Smartnumber LLC - \$4,677</p> <p>Miscellaneous: Jones Lang Lasalle Limited - \$24,502 TM Davis & Associates - \$943</p>	Vendor identified exemption. Investment, legal, accounting and consulting services are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2)(3).
State Street Bank & Trust Company	CASH & PFM	Yes	No		
State Street Global Advisors	PFM	Yes	No		
Sterne, Agee & Leach, Inc.	DEBT	Yes	No		
Stifel Nicolaus & Company, Inc.	CASH	Yes	No		
Stone Harbor Investment Partners, LP	PFM	Yes	No		
Sturdivant & Co.	DEBT	Yes	No		
SW Pelham Fund II, LP	PFM	Yes	Yes	<p>Pricewaterhouse Coopers, LLP was paid \$38,400 for accounting services rendered.</p> <p>Shipman & Goodwin, LLP were paid \$28,044 for legal services rendered.</p> <p>Citi Private Equity Services was paid \$78,463 for back-office support in connection with fund accounting and reporting.</p>	Vendor identified exemption. Legal, accounting and back-office services are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2).

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SW Pelham Fund, L.P	PFM	Yes	Yes	Pricewaterhouse Coopers, LLP was paid \$10,700 for accounting services rendered. Shipman & Goodwin LLP were paid \$9,644 for legal services rendered. Skadden, Arps, Slate, Meagher & Flom, LLP were paid \$216,320 for legal services rendered.	Vendor identified exemption. Legal and accounting services are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2)).
Syncom Management Company, Inc.	PFM	Yes	Yes	DAV/Wetherly Financial, LP was paid \$45,884 in connection with placement agent services.	Vendor identified exemption. Placement agent fees are permissible third party payments. (See C.G.S. § 3-131(b)(3)).
T. Rowe Price Associates, Inc.	PFM	Yes	No		
TA XI, L.P.	PFM	Yes	Yes	Goodwin Procter LLP was paid \$1.5 million for legal services rendered in connection with the formation of the fund.	Vendor identified exemption. Legal fees are permissible third party payments. (See C.G.S. § 3-131(b)(1)).
TD Bank, N.A. (formerly TD Banknorth, N.A.)	CASH	Yes	No		
Thayer Equity Investors IV, LP	PFM	Yes	Yes	Thayer/Hidden Creek Management, L.P. was paid management fees of \$3,149,941 pursuant to the Limited Partnership Agreement.	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2)).
The Townsend Group	PFM	Yes	No		
Thomas H. Lee Equity Fund IV, LLC	PFM	Yes	No		
Thomas H. Lee Equity Fund VI, LLC	PFM	Yes	No		
TIAA-CREF Tuition Financing, Inc.	EXEC	Yes	Yes	Sutherland, Asbill & Brennan, LLP were paid \$42,986 for legal services rendered in connection with the CHET program..	Vendor identified exemption. Legal fees are permissible third party payments. (See C.G.S. § 3-131(b)(1)).
Trinity Plus Funding Co., LLC	DEBT	Yes	No		
U.S. Bank National Association	CASH & DEBT	Yes	Yes	Shipman & Goodwin were paid \$5,008 in connection with legal services. Murtha Cullina LLP was paid \$13,000 in connection with legal services.	Vendor identified exemption. Legal fees are permissible third party payments. (See C.G.S. § 3-131(b)(1)).
UBS Financial Services, Inc.	CASH	Yes	No		
UBS Global Asset Management (Americas), Inc.	PFM	Yes	No		
UBS Investment Bank (formerly UBS Securities, LLC)	DEBT	Yes	No		
Updike, Kelly & Spellacy, P.C.	DEBT	Yes	No		
Vista Equity Partners III, LLC	PFM	Yes	Yes	Diamond Edge Capital Partners, LLC were paid \$74,687 in connection with placement agent services.	Vendor identified exemption. Placement agent services are permissible third party payments. (See C.G.S. § 3-131(b)(3)).
Walton Street Real Estate Fund II, LP	PFM	Yes	No		

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Webster Bank, N.A.	CASH	Yes	No		
Wellington Management Company	PFM	Yes	No		
Wells Fargo Bank, N.A. <i>(formerly Wachovia Bank, N.A.)</i>	CASH & DEBT	Yes	Yes	Updike, Kelly & Spellacy were paid \$20,200 to serve as Co-Underwriter's Counsel. Bryant Miller Olive was paid \$21,202 to serve as Co-Underwriter's Counsel.	Vendor identified exemption. Legal fees, as well as fees related to the issuance of bonds, are permissible third party payments. (See C.G.S. § 3-131(b)(1).
Wellspring Capital Partners II, LP	PFM	Yes	No		
Wellspring Capital Partners III, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund III, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund VIII, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund X, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund XI, LP	PFM	Yes	No		
West LB AG	DEBT	Yes	No		
Westport Senior Living Investment Fund, LP	PFM	Yes	No		
Westrock Capital Management, Inc.	DEBT	Yes	No		
William Blair and Company, LLC	DEBT & PFM	Yes	No		
Windsor Federal Savings and Loan Association	CASH	Yes	No		
WLR IV PPIP Co-Invest, L.P.	PFM	Yes	No		
WLR Recovery Fund VI, L.P.	PFM	Yes	No		
Yucaipa American Alliance Fund II, LP	PFM	Yes	Yes	Atlantic-Pacific Capital, Inc. was paid \$450,000 in connection with global placement agent and advisory services.	Vendor identified exemption. Advisory and global placement agent services are permissible third party payments. (See C.G.S. § 3-131(b)(3).