



**STATE OF CONNECTICUT
 OFFICE OF THE TREASURER**

REQUIRED COMPLIANCE CHECKLIST

Firm Name:

FEIN #:

Contact Name:

Contact Telephone:

Contact Email:

Description	Check if Attached
<u>Attachment 1A CHRO – Contract Compliance Report Parts I, II, III, and V</u>	<input type="checkbox"/>
<u>CHRO Contract Compliance Report Part IV (EIR - Employer Information Report)</u> (No Law Firm) <ul style="list-style-type: none"> Excel Format – submitted for all 3 years 	<input type="checkbox"/> <input type="checkbox"/> Signed Form
<u>CHRO Contract Compliance Report Part IV (EIR - Employer Information Report)</u> (Law Firms only) <ul style="list-style-type: none"> Excel Format – submitted for all 3 years 	<input type="checkbox"/> <input type="checkbox"/> Signed Form
<u>Attachment 2 Diversity, Equity and Inclusion and Connecticut Nexus Questionnaire</u> <ul style="list-style-type: none"> Part 1 – Supplement Part 2 -Supplement or ILPA 	<input type="checkbox"/> <input type="checkbox"/>

<u>Attachment 3 Third-Party Fees Affidavit</u> <ul style="list-style-type: none"> • Form Notarized 	<input type="checkbox"/> <input type="checkbox"/>
<u>Attachment 4A Conflict of Interest Certification</u>	<input type="checkbox"/>
<u>Attachment 4B Conflict of Interest – CRPTF Consultant Addendum</u>	<input type="checkbox"/>
<u>Attachment 5 Legal Proceedings and Organization Certification</u> <ul style="list-style-type: none"> • Supplement 	<input type="checkbox"/> <input type="checkbox"/>
<u>Attachment 6 Campaign Contribution Affidavit</u> <ul style="list-style-type: none"> • Form Notarized 	<input type="checkbox"/> <input type="checkbox"/>
<u>Attachment 7A Evaluation and Implementation of Sustainable Principles</u> <ul style="list-style-type: none"> • All Questions answered 	<input type="checkbox"/> <input type="checkbox"/>
<u>Attachment 7B Sustainable Principles Real Estate Addendum</u> <ul style="list-style-type: none"> • Attachment to answers • <i>Respondents who manage real estate asset classes for the CRPTF complete this supplemental addendum to Attachment 7A.</i> 	<input type="checkbox"/> <input type="checkbox"/>