

*Office of the State Treasurer
Summary of Responses to Request for Disclosure
July 1, 2019 through June 30, 2020*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
ACA Financial Guaranty Corporation	DEBT	No	No		
Acacia Financial Group, Inc.	DEBT	Yes	No		
Academy Securities, Inc.	DEBT	Yes	No		
Acadian Asset Management, Inc.	PFM	Yes	No		
AIG Financial Products Corp.	DEBT	No	No		
Alliance Bernstein ("AB")	PFM	Yes	No		
Altaris Constellation Partners IV, L.P.	PFM	Yes	No		
Altaris Health Partners II, L.P.	PFM	Yes	No		
Altaris Health Partners III, L.P.	PFM	Yes	No		
Altaris Health Partners IV, L.P.	PFM	Yes	No		
Ambac Assurance Corporation	DEBT	No	No		
American Realty Advisors	PFM	Yes	No		
AMTEC Corp.	DEBT	No	No		
Anchorage Capital Group, LLC	PFM	Yes	No		
Anderson, Kill & Olick	PFM	Yes	No		
Aon Hewitt Investment Consulting, Inc.	PFM	Yes	No		
Apollo Capital Management IX, LLC	PFM	Yes	No		
Apollo Capital Management VIII, LLC	PFM	Yes	No		
Appomattox Advisers, Inc. (Thomas Welles Fund I, LLC)	PFM	Yes	No		
Arclight Energy Partners Fund V, L.P.	PFM	Yes	No		
Arclight Energy Partners Fund VI, L.P.	PFM	Yes	No		
ARES Real Estate Enhanced Income Fund	PFM	Yes	No		
ARGA Investment Management, LP	PFM	Yes	No		
Artemis Real Estate Partners, LLC	PFM	Yes	No		
Assured Guaranty Municipal Corp.	DEBT	No	No		

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Audax Mezzanine Fund III, LP	PFM	Yes	Yes	Audax Management Company (NY), LLC was paid management fees totaling \$176,248 pursuant to the Limited Partnership Agreement. Audiax Mezzanine Business III, LP was paid carried interest totaling \$750,000 pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Avenu SLS Holdings, L.L.C. <i>(Avenu Insights)</i>	SIF	No	No		
Backstrom, McCarley, Berry & Co., L.L.C.	DEBT	Yes	No		
Balance Point Capital Partners III, L.P. <i>(Connecticut Growth Capital, LLC)</i>	PFM	Yes	Yes	Balance Point Capital CT Advisors, LLC was paid management fees totaling \$750,000 (4 installments of \$187,500 each) pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Bank of America, N.A.	CASH & DEBT	Yes	No		
Bank of Nova Scotia <i>(Scotiabank)</i>	CASH	Yes	No		
Barclays Capital, Inc.	CASH & DEBT	Yes	No		
Barings LLC <i>(Cornerstone Patriot Fund)</i>	PFM	Yes	No		
BCEC Management X Limited <i>(BC Partners)</i>	PFM	Yes	No		
Berkshire Bank	CASH	Yes	No		
BIG Real Estate Fund I, LP <i>(Basis Investment Group)</i>	PFM	Yes	No		
Bivium Capital Partners, LLC	PFM	Yes	No		
BLA Schwartz PC	PFM	Yes	No		
BlackRock Financial Management, Inc.	PFM	Yes	No		
Blackstone Real Estate Partners Europe III, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners Europe V, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners VI, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners VIII, L.P.	PFM	Yes	No		
Blackstone Real Estate Special Situations Fund II, LP	PFM	Yes	No		
Blaylock Van LLC	DEBT	Yes	No		
BLX Group, LLC	DEBT	Yes	No		
BNY Mellon Capital Markets	CASH	Yes	No		
BofA Securities, Inc. <i>(formerly Bank of America Merrill Lynch)</i>	CASH & DEBT	Yes	No		

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Boston Ventures VII, L.P.	PFM	Yes	No		
Cabrera Capital Markets, LLC	DEBT	Yes	No		
Capital Prospects, LLC (Attucks Asset Management, LLC)	PFM	Yes	No		
Castlelake, L.P. (f.k.a TPG Credit Strategies Fund II, L.P.)	PFM	Yes	No		
CastleOak Securities, L.P.	CASH	Yes	No		
Causeway Capital Management, LLC	PFM	Yes	No		
Citigroup Global Markets, Inc.	CASH & DEBT	Yes	Yes	Locke Lord LLP was paid \$40,300 for services rendered as Underwriters's Counsel. Adams Knight was paid \$48,991 in connection with ad design and placement services.	Legal, ad design and placement services fees are permissible third party payments. (See C.G.S. § 3-13(b)(1)(2).
Citizens Bank N.A.	CASH	Yes	No		
Clarion-Lion Industrial Trust	PFM	Yes	No		
Clay Hill, LLC (CT Horizon Legacy Fund, L.P.)	PFM	Yes	Yes	Muller & Monroe Asset Management, LLC was paid \$50,000 in connection with management services. Schiff Hardin LLP was paid \$70,000 in connection with legal services. RSM McGladrey was paid \$22,000 in connection with audit/tax services. GreatBanc Trust Company was paid \$4,500 in connection with custody services.	Management, legal, audit and custody fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Clearlake Capital Partners III, L.P.	PFM	Yes	Yes	Clearlake Capital Management III, L.P. was paid management fees totaling \$210,094 pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Clearlake Capital Partners IV, L.P.	PFM	Yes	Yes	Clearlake Capital Management IV, L.P. was paid management fees totaling \$540,442 pursuant to the Limited Partnership Agreement. Park Hill Group, LLC was paid \$18,750 in connection with placement agent services.	Management and placement agent fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2)(3).
Clearlake Capital Partners V, L.P.	PFM	Yes	Yes	Clearlake Capital Management V, L.P. was paid management fees totaling \$208,639 pursuant to the Limited Partnership Agreement. The Credit Suisse Private Fund Group was paid \$19,388 in connection with placement agent services.	Management and placement agent fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2)(3).

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Clearlake Capital Management VI, L.P.	PFM	Yes	Yes	Clearlake Capital Management VI, L.P. was paid management fees totaling \$133,347 pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Cohen Milstein Sellers & Toll PLLC	PFM	Yes	No		
Columbia Management Investment Advisers, LLC	PFM	Yes	No		
Connex Credit Union	CASH	Yes	No		
Constitution Fund V (Co-Invest), LLC <i>(managed by Fairview)</i>	PFM	Yes	No		
Constitution Liquidating Fund I, LP	PFM	Yes	No		
Cooperatieve Rabobank NY Branch <i>(a.k.a. Rabo Securities USA, Inc.)</i>	CASH	Yes	No		
Court Square Capital Partners II, LLC	PFM	Yes	Yes	Kirkland & Ellis was paid \$967 in connection with ongoing legal services. Various fees totaling \$23,652 were paid in connection with auditing, tax preparation, fund administration and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Court Square Capital Partners III, LLC	PFM	Yes	Yes	Court Square Capital Management, LP was paid management fees totaling \$362,966 pursuant to the Limited Partnership Agreement. Kirkland & Ellis was paid \$21 in connection with ongoing legal services. Various fees totaling \$21,094 were paid in connection with auditing, tax preparation, fund administration, and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Covenant Apartment Fund VIII, L.P.	PFM	Yes	Yes	Covenant Management Services, Inc. was paid management fees totaling \$261,448 pursuant to the Limited Partnership Agreement. Plante & Moran PLLC was paid \$13,327 in connection with audit and tax services.	Management, audit and tax fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Covenant Apartment Fund IX, L.P.	PFM	Yes	Yes	Covenant Apartment Fund IX, L.P. was paid management fees totaling \$625,000 pursuant to the Limited Partnership Agreement. Plante & Moran PLLC was paid \$14,557 in connection with audit and tax services.	Management, audit and tax fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Coventry Health Care Workers Compensation, Inc.	SIF	No	No		
Crescendo Ventures III, LP	PFM	Yes	No		
Crow Holdings Realty Partners VII, LP	PFM	Yes	No		

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Crow Holdings Realty Partners VIII, LP	PFM	Yes	No		
Cypress Acquisition Partners Retail Fund, L.P. (d/b/a Arrow Retail)	PFM	Yes	No		
Day Pitney, LLP	DEBT & PFM	Yes	No		
DDJ Capital Management, LLC	PFM	Yes	No		
Deminor International SCRL (DRS Belgium SCRL)	PFM	Yes	No		
Deutsche Bank AG, New York Branch	PFM	Yes	No		
DLA Piper LLP (US)	PFM	Yes	No		
Drexel Hamilton, LLC	DEBT	Yes	No		
Driehaus Capital Management, LLC	PFM	Yes	No		
EQT	PFM	Yes	No		
Energy Fund XV, L.P. (TCW-EIG Alternative Investments, LLC)	PFM	Yes	No		
Estrada, Hinojosa & Company, Inc.	DEBT	Yes	No		
Ethos Private Equity Fund V, LP	PFM	Yes	No		
Fairview Constitution Fund II, LP	PFM	Yes	No		
Fairview Constitution Fund III, LP	PFM	Yes	No		
Fairview Constitution Fund IV, LP	PFM	Yes	No		
FHN Financial Capital Markets (formerly FTN Financial Capital Markets)	CASH & DEBT	Yes	No		
Fidelity Capital Markets (division of National Financial, LLC)	DEBT	Yes	No		
Fiera Capital, Inc.	PFM	Yes	No		
Financial Recovery Technologies	PFM	Yes	No		
First Republic Bank	CASH	Yes	No		
Freeman CT Horizon Investment Fund, LLC	PFM	Yes	Yes	Muller & Monroe Asset Management, LLC was paid management fees totaling \$375,000. Schiff Hardin LLP was paid \$123,616 in connection with legal services. GreatBanc Trust Company was paid \$37,500 in connection with custody services.	Management, legal, and custody fees are permissible third party payments. (See C.G.S. § 3-13(b)(1)(2).
FS Equity Partners V, LP	PFM	Yes	No		

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FS Equity Partners VI, LP	PFM	Yes	Yes	Freeman Spogli Management Co., L.P was paid management fees totaling \$152,085 pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
GarMark Partners II, L.P.	PFM	Yes	No		
GCM Customized Fund Investment Group, L.P. (CT Cleantech Opportunities Fund, L.P.)	PFM	Yes	No		
GenNx360 Capital Partners II, LP	PFM	Yes	No		
Gerding Edlen Investment Management (Green Cities II, LP & Green Cities III, LP, Green Cities IV, LP)	PFM	Yes	Yes	Gerding Edlen Investment Management was paid management fees totaling \$1,490,647 pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Global Infrastructure Management, LLC	PFM	Yes	No		
Global Payments Direct, Inc.	CASH	No	No		
Goldman Sachs Bank USA	DEBT	Yes	No		
Goldman, Sachs & Co.	CASH & DEBT	Yes	No		
Goodwin Capital Advisers, Inc. (Conning)	PFM	Yes	No		
GQG Partners LLC	PFM	Yes	No		
Grant & Eisenhofer, PA	PFM	Yes	No		
Great Pacific Securities, Inc.	CASH	Yes	No		
Hardwick Law Firm, LLC	DEBT	Yes	No		
Hart Realty Advisers, Inc.	PFM	Yes	No		
Hartford Funds Management Company	PFM	Yes	Yes	State Street Bank & Trust Company was paid \$40,570 in connection with Fund accounting services. Ascensus College Savings was paid \$1,233,423 in connection with record-keeping services. Deloitte & Touche LLP was paid \$120,000 in connection with audit fees paid to independent auditor. BlackRock Investments, LLC and two other BlackRock entities were paid \$36,032 in connection with administrative services. Invesco Advisers, Inc. was paid \$56,180 in connection with administrative services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2).
Hawkins, Delafield & Wood, LLP	DEBT	Yes	No		
HG Saturn 2 A, L.P (HG Pooled Management Limited)	PFM	Yes	No		

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HG Genesis 9 A, L.P. (HP Pooled Management Limited)	PFM	Yes	No		
Hilltop Securities, Inc.	DEBT	Yes	No		
Hinckley Allen & Snyder, LLP	PFM	Yes	No		
Hollyport Capital, LLP	PFM	Yes	No		
ICG Europe Fund VII	PFM	Yes	No		
ICV Capital Partners, LLC	PFM	Yes	No		
IFM Investors (US) Advisor, LLC	PFM	Yes	No		
IL&FS India Realty Fund II, LLC	PFM	Yes	No		
ImageMaster, Inc.	DEBT	No	No		
Institutional Shareholder Services, Inc.	PFM	No	No		
Int'l FCStone Partners, L.P.	CASH	Yes	No		
Ironwood Mezzanine Fund IV, L.P.	PFM	Yes	No		
J M Lummis & Company	CASH	Yes	No		
J.P. Morgan Chase Strategic Property Fund (J.P. Morgan Investment Management, Inc.)	PFM	Yes	No		
J.P. Morgan Securities, LLC	CASH & DEBT	Yes	No		
Jackson Walker LLP	PFM	Yes	No		
Janney Montgomery Scott LLC	DEBT	Yes	No		
Jefferies, LLC	DEBT	Yes	No		
JFL Equity Investors III, LP	PFM	Yes	No		
JFL Equity Investors IV, LP	PFM	Yes	No		
JFL Equity Investors V, LP	PFM	Yes	Yes	Jones Day was paid \$855,000 (CT share \$78,953) in connection with legal services. Caplan Consulting was paid \$395,000 (CT share \$36,475) in connection with legal services.	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1).
JPMorgan Chase Bank, N.A.	CASH & DEBT	Yes	No		
K2 Advisors, LLC / D&S Management Co., L.L.C. (Prudence Crandall Fund IV, LLC)	PFM	Yes	No		
Kelmar Associates, LLC	UCP	No	No		

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Kessler Topaz Meltzer & Check, LLP	PFM	Yes	No		
KeyBanc Capital Markets	CASH	Yes	No		
KeyBank National Association	CASH	Yes	Yes	JP Morgan Chase was paid a quarterly fee totaling \$53,785 in connection with banking services, including account maintenance, wire transfers, ACH, direct deposit and check cashing services.	Fees related to banking services are permissible third party payments. (See C.G.S. § 3-13/ b)(2).
KKR 2006 Fund	PFM	Yes	No		
KKR Millennium Fund	PFM	Yes	No		
Labaton Sucharow, LLP	PFM	Yes	No		
Landmark Equity Partners XIV, L.P.	PFM	Yes	No		
Landmark Equity Partners XV, L.P.	PFM	Yes	No		
Landmark Equity Partners XVI, L.P.	PFM	Yes	Yes	<p>Legal services:</p> <ul style="list-style-type: none"> - Gibson, Dunn and Crutcher - \$52,936 - Kirkland and Ellis - \$845,008 - Mayer Brown LLP - \$3,357 - Reid and Riege, P.C. - \$291,770 - Walkers - \$15,859 <p>Placement Agent:</p> <ul style="list-style-type: none"> - JP Morgan Securities - \$3,040,305 - Larrain Vial - \$309,936 - Merrill Lynch Pierce Fenner & Smith - \$5,678,925 - WAI Alternative Investments - \$158,437 - Wells Fargo Investment Institute - \$410,800 <p>Consulting:</p> <ul style="list-style-type: none"> - Two Six Capital - \$186,956+E154 	Management, legal and placement agent fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2)(3).
Landmark Real Estate Partners VII, LP	PFM	Yes	Yes	<p>Legal services:</p> <ul style="list-style-type: none"> - Greenhill & Co., Inc. - \$464,837 <p>Management fee outside of commitment:</p> <ul style="list-style-type: none"> - Lomard International Life Assurance Company - \$88,150 - NCH New Europe Property Invest - \$258,373 - DLJ Real Estate Capital Partners - \$935,650 - Silverpeak Real Estate Partners - \$193,104 - North Haven Real Estate Fund VII Global - \$177,614 <p>Consulting:</p> <ul style="list-style-type: none"> - Virginia R. Fleisher - \$4,959 	Management, legal and consulting fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2)(3).

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Landmark Real Estate Partners VIII, LP	PFM	Yes	Yes	<p>Legal fees: - IQ-EQ - \$65,727 - Kirkland & Ellis LLP - \$422,020 - Proskauer Rose LLP - \$196,192 - Reid & Reige P.C. - \$61,023</p> <p>Management fee outside of commitment: - Aegon Multi-Family Equity Fund, LLC - \$102,473 - Crow Holdings Realty Fund II, L.P. - \$144,318 - CIM Fund IX, L.P. - \$124,710 - Crow Holdings Realty Partners VII, L.P. - \$319,187 - Gateway IV GP Ltd. - \$67,172 - Gateway V GP Ltd. - \$130,518 - Iron Point Real Estate Partners II, LP - \$118,801 - NCH New Europe Property Investors Corp. - \$777,284 - Rockpoint Real Estate Fund V GP, LLC - \$202,528 - Westbrook Strategic Management II, L.L.C. - \$312,134</p> <p>Placement / Finder Fees: - Larrain Vial Investment Inc. - \$579-812</p>	Management, legal and placement agent fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2)(3).
Law Offices of Joseph C. Reid, P.A.	DEBT	Yes	No		
Lazard Asset Management, LLC	PFM	Yes	No		
Leeds Equity Partners V, L.P.	PFM	Yes	Yes	Leeds Equity Advisors, Inc. was paid management fees and carried interest totaling \$1,072,112 pursuant to the Limited Partnership Agreement.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
Levine Leichtman Capital Partners IV, LLC	PFM	Yes	No		
Levine Leichtman Capital Partners V, LLC	PFM	Yes	No		
Lewis & Munday, P.C.	DEBT	Yes	No		
Lieff, Cabraser, Heimann & Bernstein, LLP	PFM	Yes	No		
Lonestar Real Estate Partners II, L.P.	PFM	Yes	No		
Loop Capital Markets, LLC	CASH & DEBT	Yes	No		
Lowey Dannenberg Cohen & Hart, P.C.	PFM	Yes	No		
Mahoney Sabol & Company, LLP	DEBT	Yes	No		
Marathon European Credit Opportunity Fund, L.P.	PFM	Yes	Yes	Aggregate legal fees paid by the Fund total \$20,538 of which 5% is allocable to the State of Connecticut.	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1).
McCarter & English, LLP	PFM	Yes	No		
Meketa Investment Group, Inc.	PFM	Yes	No		
Mesirow Financial Inc.	DEBT	Yes	No		
Mischler Financial Group, Inc.	DEBT	Yes	No		

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Mizuho Securities USA, Inc.	CASH	Yes	No		
Morgan Stanley & Co. LLC	DEBT	Yes	Yes	Updike, Kelly & Spellacy, P.C. was paid \$15,000 in connection with services rendered as Underwriter's Counsel. Bryant, Miller, Olive was paid \$15,000 in connection with services rendered as Underwriter's Counsel.	Legal fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1).
Muller & Monroe Asset Management, LLC (<i>CT Emerging Private Equity Fund of Funds L.P.</i>)	PFM	Yes	Yes	Muller & Monroe Asset Management, LLC was paid management fees totaling \$149,004. Schiff Hardin LLP was paid \$30,644 in connection with legal services. RSM US, LLP was paid \$27,750 in connection with audit/tax fees. GreatBanc Trust Company was paid \$23,945 in connection with custody services.	Management, legal, audit, tax and custody fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
National Public Finance Guarantee Corp.	DEBT	No	No		
Natwest Securities (<i>f.k.a. RBS Securities, Inc.</i>)	PFM	Yes	No		
New Boston Urban Strategy America Fund, L.P.	PFM	Yes	No		
New Haven Bank (<i>formerly Start Community Bank</i>)	CASH	Yes	No		
Nomura Asset Management USA, Inc.	PFM	Yes	No		
Nordea	CASH	Yes	No		
Northern Trust Investments, Inc.	PFM	Yes	No		
Nossaman LLP	PFM	Yes	No		
Nutmeg Opportunities Investment Fund, L.P. (<i>J.P. Morgan Investment Management, Inc.</i>)	PFM	Yes	No		
NW Capital Markets, Inc. (<i>formerly NW Financial Group, LLC</i>)	DEBT	Yes	No		
Oak Street Real Estate Capital, LLC	PFM	Yes	No		
Oppenheimer & Co., Inc.	CASH & DEBT	Yes	No		
Orrick, Herrington & Sutcliffe, LLP	DEBT & PFM	Yes	No		
Owl Rock Capital Advisors, LLC	PFM	Yes	No		
Payden & Rygel	PFM	Yes	No		
Pegasus Partners IV, LP	PFM	Yes	No		
Pegasus Partners V, LP	PFM	Yes	No		

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People's United Bank, N.A.	CASH	Yes	No		
PFM Financial Advisors, LLC <i>(f.k.a. Public Financial Management, Inc.)</i>	DEBT	Yes	No		
PGIM Real Estate <i>(f.k.a. Prudential Property Investments S/A, LP)</i>	PFM	Yes	No		
PineBridge Investments, LLC	PFM	Yes	No		
Piper Sandler & Co. <i>(formerly Piper Jaffray & Co.)</i>	CASH & DEBT	Yes	No		
PNC Capital Markets, LLC	DEBT	Yes	No		
Prime Property Fund, LLC <i>(Morgan Stanley Real Estate Advisor)</i>	PFM	Yes	No		
Pugh Capital Management, Inc.	PFM	Yes	No		
Pullman & Comley, LLC	DEBT & PFM	Yes	No		
Raymond James & Associates, Inc.	CASH & DEBT	Yes	No		
RBC Capital Markets, LLC	CASH & DEBT	Yes	No		
Reinhart, Boerner, Van Deuren, sc	PFM	Yes	No		
RFE Investment Partners VII, L.P.	PFM	Yes	No		
RFE Investment Partners VIII, L.P.	PFM	Yes	No		
Rice Financial Products Company	DEBT	Yes	No		
Robbins Geller Rudman & Dowd, LLLP	PFM	Yes	No		
Robert W. Baird & Co., Incorporated	DEBT	Yes	No		
Robinson & Cole, LLP	DEBT & PFM	Yes	No		
Robinson Bradshaw & Hinson, P.A.	PFM	Yes	No		
Rock Creek Connecticut, LLC <i>(Prudence Crandall Fund III, LLC)</i>	PFM	Yes	No		
Rockfleet Financial Services, Inc.	DEBT	Yes	No		
Rockwood Capital Real Estate Partners Fund VI, LP	PFM	Yes	No		
Rockwood Capital Real Estate Partners Fund VII, LP	PFM	Yes	No		
Roosevelt & Cross, Incorporated	DEBT	Yes	No		
Samuel A. Ramirez & Co., Inc.	DEBT	Yes	No		
Santander Bank, N.A.	CASH	Yes	No		
Saxena White, PA	PFM	Yes	No		

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Schiff Hardin, LLP	DEBT & PFM	Yes	No		
Schroder Investment Management North America, Inc.	PFM	Yes	No		
Scott+Scott Attorneys at Law LLP	PFM	Yes	No		
Seward & Monde, CPAs	DEBT	No	No		
Shenkman Capital Management, Inc.	PFM	Yes	No		
SHI International Corp.	DEBT	No	No		
Shipman & Goodwin, LLP	DEBT & PFM	Yes	No		
Siebert Williams Shank & Co., LLC <i>(f.k.a. Siebert Cisneros Shank & Co., LLC)</i>	DEBT	Yes	No		
Siris Partners IV, L.P.	PFM	Yes	No		
Societe Generale	DEBT	No	No		
Soeder & Associates LLC	DEBT	Yes	No		
Squire, Patton Boggs, LLP	DEBT & PFM	Yes	No		
Starwood Distressed Opportunity Fund IX, L.P.	PFM	Yes	Yes	Consulting: - Grant Thornton, LLP - \$156,701 - ST Global Services, LLC - \$154,681 Legal Services: - Haynes & Boone, LLP - \$53,378 - Kirkland & Ellis - \$54,419 - Paul Hastings, LLP - \$95,633 - Rinaldi, Finkelstein & Franklin, LLP - \$238,671 Tax Advisor: - Ernst & Young LLP - \$989,667 - Forecastle Advisors, LLC - \$620,000 Audit/Tax: - PriceWaterHouseCoopers - \$741,191	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2)(3).

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Starwood Global Opportunity Fund VII, LP	PFM	Yes	Yes	Consulting: - Beacon Advisors - \$98,986 Auditor: - Deloitte & Touche, LLP - \$195,959 Tax Advisor: - Ernst & Young LLP - \$175,096 Legal Services: - Rinaldi, Finkelstein & Franklin, LLC - \$112,588	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2)(3).
Starwood Global Opportunity Fund VIII, LP	PFM	Yes	Yes	Consulting: - ST Global Services, LLC Legal Services: - Dechert - \$66,367 - Kirkland & Ellis - \$54,419 Tax Advisor: - Ernst & Young LLP - \$660,399 - Forecastle Advisors, LLC - \$70,000 Auditor: - PriceWaterHouseCoopers - \$116,100	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2)(3).
Starwood Opportunity Fund X Global, LP	PFM	Yes	Yes	Consulting: - Costar Realty Information Group, Inc. - \$51,401 - Third & Pine LLC - \$360,000 - Grant Thornton LLP - \$587,329 - Callison LLC - \$159,765 - Northeast Series of Lockton Companies LLC - \$73,681 - Bayley Construction, G.p. - \$139,190 - Paul Hastings - \$308,471 - Global Services, LLC - \$186,348 Legal Services: - Haynes & Boone, LLP - \$59,491 - Kirkland & Ellis - \$709,929 - Rinaldi, Finklestein & Franklin, LLC - \$518,365 - Mayer Brown LLP - \$53,731 Audit/Tax: - Deloitte & Touche - \$778,622 Tax Adviser: - Ernst & Young - \$1,408,094 - Forecastle Advisors LLC - \$842,059	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2)(3).

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COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Starwood Opportunity Fund XI, LP	PFM	Yes	Yes	Consulting: - Costar Realty Information Group, Inc. - \$97,869 - Corporation System - \$241,548 - Grant Thornton - \$454,654 - Paul Hastings, LLP - \$49,562 - Standard & Poor's/capital Iq - \$51,869 - Sungard Avantgard LLC - \$65,320 - Thompson Reuters UK Limited - \$87,402 - Yardi Systems, Inc. - \$77,414 - AS REIT Services LLC - \$244,919 - Ryan Companies US Inc. - \$893,284 Tax Advisor: - Ernst & Young - \$1,665,874 - Forecastle Advisers LLC - \$967,500 - Deloitte Tax LLP - \$150,427 Auditor: -PriceWaterhouseCoopers LLC - \$1,167,955	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2)(3).
Starwood Opportunity Fund XI, LP (Cont'd.)	PFM	Yes	Yes	Legal Services: - Haynes & Boone, LLP - \$79,830 - Kirkland & Ellis - \$2,808,496 - Latham & Watkins LLP - \$12,324 - Mayer Brown LLP - \$191,411 - Rinaldi, Finklestein & Franklin, LLC - \$1,258,624 - Skene Law Firm PC - \$2,600 Fund Administration: UMB Fund Services, Inc. - \$132,350 Insurance: Northeast Series of Lockton Companies LLC - \$171,607	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
State Street Global Advisors Trust Company	PFM	Yes	No		
StepStone Group LP	PFM	Yes	No		
Stepstone Pioneer Capital Buyout Fund II, LP (f.k.a. Parish Capital Advisors Buyout Fund II, LP)	PFM	Yes	No		
Stern Brothers & Co.	DEBT	Yes	No		
Stifel Nicolaus & Company, Incorporated	CASH & DEBT	Yes	No		
StoneCastle Cash Management, LLC	CASH	Yes	No		
Svenska Handelsbanken	CASH	Yes	No		
Sycamore Advisors, LLC	DEBT	Yes	No		
T. Rowe Price Associates, Inc.	PFM	Yes	No		

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TA XI, L.P.	PFM	Yes	No		
TD Bank, N.A.	CASH	Yes	No		
TD Securities (USA) LLC	CASH & DEBT	Yes	No		
Teigland-Hunt LLP	PFM	Yes	No		
The Bank of New York Mellon	PFM	Yes	No		
The Vistria Group, LP	PFM	Yes	No		
Thomas H. Lee Equity Fund VI, LLC	PFM	Yes	No		
Toyota Financial Services	CASH	Yes	No		
Trinity Plus Funding Co., LLC	DEBT	No	No		
Truist (f.k.a. Branch Banking & Trust Company)	CASH	Yes	No		
U.S. Bank National Association	CASH & DEBT	Yes	No		
UBS Financial Services, Inc.	DEBT	Yes	No		
UBS Trumbull Property Fund	PFM	Yes	Yes	Trumbull Property Fund was paid management fees totaling \$620,718.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
UBS Trumbull Property Growth & Income Fund	PFM	Yes	Yes	Trumbull Property Growth & Income Fund was paid management fees totaling \$812,581.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
UBS Trumbull Property Income Fund	PFM	Yes	Yes	Trumbull Property Income Fund was paid management fees totaling \$502,95.	Management fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(2).
UMB Bank	DEBT	Yes	No		
USAA Eagle Real Estate Fund	PFM	Yes	No		
Verus Analytics LLC	SIF	No	No		
Vining Sparks IBG, L.P.	CASH	Yes	No		
Vista Equity Partners Fund III, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$11,467, including, but not limited to, administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Vista Equity Partners Fund IV, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$40,563, including, but not limited to, administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Vista Equity Partners Fund VI, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$54,370, including, but not limited to, administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Vista Equity Partners Fund VII, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$96,580, including, but not limited to, administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13/ (b)(1)(2).
Webster Bank, N.A.	CASH	Yes	No		

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Wellington Management Company	PFM	Yes	No		
Wells Fargo Bank, National Association	CASH & DEBT	Yes	No		
Wellspring Capital Partners V, L.P.	PFM	Yes	No		
Wellspring Capital Partners VI, L.P.	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund XI, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund XII, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund XIII, LP	PFM	Yes	No		
WLR IV PPIP Co-Invest, L.P.	PFM	Yes	No		
WLR Recovery Fund IV, L.P.	PFM	Yes	No		
Xponance, Inc. (formerly FIS Group)	PFM	Yes	No		
Yucaipa American Alliance Fund II, LP	PFM	Yes	No		
Yucaipa American Alliance Fund III, LP	PFM	Yes	No		