

*Office of the State Treasurer  
Summary of Responses to Request for Disclosure  
July 1, 2021 through June 30, 2022*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
abrdrn Inc	PFM	Yes	No		
Acacia Financial Group, Inc.	DEBT	No	No		
Academy Securities, Inc.	DEBT	No	No		
Acadian Asset Management, Inc.	PFM	Yes	No		
Advent Capital Management LLC	PFM	Yes	No		
Aksia LLC	PFM	Yes	No		
Altaris Constellation Partners IV, L.P.	PFM	Yes	No		
Altaris Health Partners II, L.P.	PFM	Yes	No		
Altaris Health Partners III, L.P.	PFM	Yes	No		
Altaris Health Partners IV, L.P.	PFM	Yes	No		
Altaris Health Partners V, L.P.	PFM	Yes	No		
American Realty Advisors	PFM	Yes	No		
AMTEC Corp.	DEBT	No	No		
Anchorage Capital Group, LLC	PFM	Yes	No		
Aon Hewitt Investment Consulting, Inc.	PFM	Yes	No		
Apollo Capital Management IX, LLC	PFM	Yes	No		
Apollo Capital Management VIII, LLC	PFM	Yes	No		
Appomattox Advisory, Inc. (Thomas Welles Fund I, LLC)	PFM	Yes	No		
Arclight Energy Partners Fund V, L.P.	PFM	Yes	Yes	<b>Financial Advisory:</b> RBC Capital Markets - \$2,901,750 TD Securities (USA), LLC - \$2,805,905 Evercore Group, LLC - \$2,501,253 Piper Sandler - \$1,009,397 Houlihan Lokey Capital, Inc - \$65,971 <b>Legal Services:</b> Latham & Watkins, LLP - \$607,828 Ropes & Gray, LLP - \$223,056 <b>Tax Services:</b> Deloitte Tax, LLP - \$374,340	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
				<b>Legal Services:</b> Orrick, Herrington & Sutcliffe - \$1,534,387	

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Arclight Energy Partners Fund VI, L.P.	PFM	Yes	Yes	Latham & Watkins, LLP - \$535,363 Dudley Newman Feuerzeig, LP - \$86,625 <b>Tax Services:</b> Deloitte Tax, LLP - \$747,194 <b>Transaction Advisory:</b> Intrepid Partners, LLC - \$250,000 Jeffries, LLC - \$3,603,500	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
ArentFox Schiff LLP <i>successor to Schiff Hardin, LLP</i>	(as) LEGAL	Yes	No		
ARES Real Estate Enhanced Income Fund	PFM	Yes	No		
ARGA Investment Management, LP	PFM	Yes	No		
Artemis Real Estate Partners, LLC	PFM	Yes	Yes	Artemis Real Estate Partners, LLC was paid management fees totaling \$1,061,855 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Attucks Asset Management, LLC <i>Capital Prospects, LLC</i>	(f.k.a) PFM	Yes	No		
Audax Management Company (NY), LLC Mezzanine Fund III, LP)	(Audax) PFM	Yes	Yes	Audax Management Company (NY), LLC was paid management fees totaling \$86,796 pursuant to the Limited Partnership Agreement.  Audax Mezzanine Business III, LP was paid carried interest totaling \$562,500 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Audit Services, US LLC	SIF & UCP	No	No		
Avance Investment Management, LLC	PFM	Yes	No		
Avenu SLS Holdings, L.L.C. <i>(Avenu Insights)</i>	SIF	No	No		
Backstrom, McCarley, Berry & Co., L.L.C.	DEBT	No	No		
Balance Point Capital Partners III, L.P. <i>(Connecticut Growth Capital, LLC)</i>	PFM	Yes	Yes	Balance Point Capital CT Advisors, LLC was paid management fees totaling \$259,815 (quarterly in advance) pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Bank of America, N.A.	CASH & DEBT	No	No		
Bank of Nova Scotia <i>(Scotiabank)</i>	CASH	No	No		
Barclays Capital, Inc.	CASH & DEBT	No	Yes	Updike, Kelly & Spellacy, PC was paid \$40,000 in connection with legal services. ImageMaster, LLC was paid \$2,000 in connection with marketing services. ptarmigan media was paid \$40,000 in connection with marketing services. Digital Assurance Certification, LLC was paid \$500 in connection with due diligence services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Barings LLC <i>(formerly Cornerstone Patriot Fund)</i>	PFM	Yes	No		

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BCEC Management X Limited <i>(BC Partners)</i>	PFM	Yes	No		
Berkshire Bank	CASH	No	No		
Bernstein Litowitz Berger & Grossman LLP	LEGAL	No	No		
BIG Real Estate Fund I, II, LP <i>(Basis Investment Group)</i>	PFM	Yes	No		
Bivium Capital Partners, LLC	PFM	Yes	No		
BlackRock Financial Management, Inc.	PFM	Yes	No		
Blackstone Property Partners Life Sciences, LP	PFM	Yes	No		
Blackstone Real Estate Partners Europe III, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners Europe V, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners VI, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners VIII, L.P.	PFM	Yes	No		
Blaylock Van LLC	DEBT	No	No		
BLX Group, LLC	DEBT	No	No		
BNY Mellon Capital Markets	CASH	No	No		
BofA Securities, Inc. <i>(formerly Bank of America Merrill Lynch)</i>	CASH & DEBT	No	No		
Boston Ventures VII, L.P.	PFM	Yes	No		
Cabrera Capital Markets, LLC	DEBT	No	No		
Calamos Advisors LLC	PFM	Yes	No		
Carlyle Realty Partners IX	PFM	Yes	No		
Castlelake, L.P. <i>(f.k.a TPG Credit Strategies Fund II, L.P.)</i>	PFM	Yes	No		
CastleOak Securities, L.P.	CASH	No	No		
Causeway Capital Management, LLC	PFM	Yes	No		

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Centerbridge Partners LP	PFM	Yes	Yes	Hodes Weill Securities, LLC was paid \$1,416,888 in connection with placement agent fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Citigroup Global Markets, Inc.	CASH & DEBT	No	Yes	Digital Assurance Certification was paid \$450 in connection with placement agent fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Citizens Bank N.A.	CASH	No	No		
Clarion Partners, LLC	PFM	Yes	No		
Clay Hill, LLC (CT Horizon Legacy Fund, L.P.)	PFM	Yes	Yes	Muller & Monroe Asset Management, LLC was paid management fees totaling \$50,000 pursuant to the Limited Partnership Agreement. Schiff Hardin, LLP was paid \$17,254 in connection with legal services. RSM McGladrey was paid \$6,490 in connection with tax fees. GreatBanc Trust Company was paid \$4,500 in connection with custody services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Capital Partners III, L.P.	PFM	Yes	Yes	Clearlake Capital Management III, L.P. was paid management fees totaling \$145,805 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Capital Partners IV, L.P.	PFM	Yes	Yes	Clearlake Capital Management IV, L.P. was paid management fees totaling \$281,140 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Capital Partners V, L.P.	PFM	Yes	Yes	Clearlake Capital Management V, LP, was paid management fees totaling \$27,069 (inclusive of the amounts paid to Credit Suisse Private Fund, as described below) pursuant to the Limited Partnership Agreement. The Credit Suisse Private Fund Group was paid \$9,694 in connection with placement agent services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Capital Management VI, L.P.	PFM	Yes	Yes	Clearlake Capital Management VI, LP, was paid management fees totaling \$26,027,981 (inclusive of the amounts paid to Credit Suisse Private Fund, as described below) pursuant to the Limited Partnership Agreement. The Credit Suisse Private Fund Group was paid \$2,231,250 in connection with placement agent services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Capital Management VII, L.P.	PFM	Yes	Yes	Clearlake Capital Management VII, LP, was paid management fees totaling \$1,117,715 (inclusive of the amounts paid to Credit Suisse Private Fund, as described below) pursuant to the Limited Partnership Agreement. The Credit Suisse Private Fund Group was paid \$7,881 in connection with placement agent services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Capital Management Flagship Plus Fund	PFM	Yes	Yes	Clearlake Capital Management Flagship Plus, LP was paid management fees totaling \$11,811 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Clearlake Opportunities Fund II LP	PFM	Yes	Yes	Clearlake Capital Management Opportunities II, LP, was paid management fees totaling \$351,646 (inclusive of the amounts paid to Credit Suisse Private Fund, as described below) pursuant to the Limited Partnership Agreement. The Credit Suisse Private Fund Group was paid \$16,019 in connection with placement agent services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))

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CliftonLarsonAllen LLP	DEBT	No	No		
Cohen Milstein Sellers & Toll PLLC	PFM	Yes	No		
Columbia Management Investment Advisers, LLC	PFM	Yes	No		
Connex Credit Union	CASH	No	No		
Constitution Liquidating Fund I, LP	PFM	Yes	No		
Cooperative Rabobank NY Branch (a.k.a. Rabo Securities USA, Inc.)	CASH	No	No		
Court Square Capital Partners II, LLC	PFM	Yes	Yes	Kirkland & Ellis was paid \$166 in connection with ongoing legal services.  Various fees totaling \$13,900 were paid in connection with auditing, tax preparation, fund administration and insurance	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Court Square Capital Partners III, LLC	PFM	Yes	Yes	Court Square Capital Management, LP was paid management fees totaling \$294,671 pursuant to the Limited Partnership Agreement.  Kirkland & Ellis was paid \$8,289 in connection with ongoing legal services.  Various fees totaling \$17,870 were paid in connection with auditing, tax preparation, fund administration, and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Covenant Apartment Fund VIII, L.P.	PFM	Yes	Yes	Covenant Management Services, Inc. was paid management fees totaling \$17,011 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Covenant Apartment Fund IX, L.P.	PFM	Yes	Yes	Covenant Management Services, Inc. was paid management fees totaling \$566,327 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Covenant Apartment Fund X, L.P.	PFM	Yes	Yes	Covenant Management Services, Inc. was paid management fees totaling \$1,250,000 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Coventry Health Care Workers Compensation, Inc.	SIF	No	No		
Crow Holdings Realty Partners VII, LP	PFM	Yes	No		
Crow Holdings Realty Partners VIII, LP	PFM	Yes	No		
Daiwa Capital Markets America, Inc.	CASH	No	No		
Day Pitney, LLP	DEBT & LEGAL	No	No		
DRS Belgium SRL (Deminor International SCRL)	PFM	No	No		
Denali Advisors, LLC Capital Partners)	(Bivium PFM	Yes	No		
Deutsche Bank AG, New York Branch	PFM	Yes	No		

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DLA Piper LLP (US)	LEGAL	Yes	No		
Dornenburg Group, LLC	DEBT	No	No		
Drexel Hamilton, LLC	DEBT	No	No		
Driehaus Capital Management, LLC	PFM	Yes	No		
Eaton Vance Management (Morgan Stanley)	PFM	Yes	Yes	Orrick was paid \$1,173 in connection with financial and legal services. Newstate was paid \$2,493 in connection with financial and legal services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
EQT VIII (No. 2) SCSp	PFM	Yes	No		
Essex Investment Management (Bivium Capital Partners)	PFM	Yes	Yes	Arrow Partners was paid \$25,000 in connection with marketing services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Estrada, Hinojosa & Company, Inc.	DEBT	No	No		
ExamWorks, LLC	SIF & UCP	No	No		
Fairview Constitution Fund II, LP	PFM	Yes	No		
Fairview Constitution Fund III, LP	PFM	Yes	No		
Fairview Constitution Fund IV, LP	PFM	Yes	No		
Fairview Constituion Fund V, LP	PFM	Yes	No		
FHN Financial Capital Markets (formerly FTN Financial Capital Markets)	CASH & DEBT	No	No		
Fidelity Capital Markets (division of National Financial, LLC)	DEBT	No	No		
Fiera Capital, Inc.	PFM	Yes	Yes	StonePine Asset Management was paid management fees totaling \$867,663.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
First Republic Bank	CASH	No	No		
Freeman CT Horizon Investment Fund, LLC (Muller & Monroe Asset Management, LLC)	PFM	Yes	Yes	Muller & Monroe Asset Management, LLC was paid management fees totaling \$359,375. Schiff Hardin, LLP was paid \$120,465 in connection with legal services. GreatBanc Trust Company was paid \$30,000 in connection with custody services. RSM US, LLP was paid \$4,325 in connection with tax fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
FS Equity Partners V, LP (Freeman Spogli Management Co., LP)	PFM	Yes	No		
FS Equity Partners VI, LP (Freeman Spogli Management Co., LP)	PFM	Yes	Yes	Freeman Spogli Management Co., LP was paid management fees totaling \$269,374	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
GenNx360 Capital Partners II, LP	PFM	Yes	No		
Global Infrastructure Management, LLC	PFM	Yes	No		
Global Payments Direct, Inc.	CASH	No	No		

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Goldman Sachs Bank USA	DEBT	No	No		
Goldman, Sachs & Co.	CASH & DEBT	No	Yes	Katten Muchin Rosenman LLP was paid underwriter's counsel fees totaling \$150,000.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Goldman Sachs Mitsui Derivative Products LP	PFM	Yes	No		
Goodwin Capital Advisers, Inc.	PFM	Yes	No		
GQG Partners LLC	PFM	Yes	No		
Grain Management, LLC	PFM	Yes	No		
Grant & Eisenhofer, PA	PFM	Yes	No		
Great Pacific Securities	CASH	No	No		
Green Cities Investment Management (Green Cities II, LP & Green Cities III, LP, Green Cities IV, LP) (f.k.a. Gerding Edlen Investment Management)	PFM	Yes	Yes	Green Cities Investment Management was paid management fees totaling \$1,267,592.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Hamilton Lane Advisors, LLC	PFM	Yes	No		
HarbourVest Partners, LP	PFM	Yes	No		
Hardwick Law Firm, LLC	DEBT	No	No		
Hawkins, Delafield & Wood, LLP	DEBT	No	No		
HG CT 1 Co-Invest, LP (HG Pooled Management Limited)	PFM	Yes	No		
HG Saturn 2 A, L.P. (HG Pooled Management Limited)	PFM	Yes	No		
HG Saturn 3 A, L.P. (HG Pooled Management Limited)	PFM	Yes	No		
HG Genesis 9 A, L.P. (HG Pooled Management Limited)	PFM	Yes	No		
HG Genesis 10 A, LP (HG Pooled Management Limited)	PFM	Yes	No		
HG Titan 1 A, L.P. (HG Pooled Management Limited)	PFM	Yes	No		
Hilltop Securities, Inc.	DEBT	No	No		
Hinckley Allen & Snyder, LLP	LEGAL	Yes	No		
Hollyport Capital, LLP	PFM	Yes	No		
Hudepohl & Associates, Inc.	PFM	No	No		
ICG Europe Fund VII	PFM	Yes	No		

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ICG Europe Fund VIII	PFM	Yes	No		
IFM Investros (US) Advisor, LLC	PFM	Yes	No		
IL&FS India Realty Fund II, LLC	PFM	Yes	No		
ImageMaster, Inc.	DEBT	No	No		
InspereX (fka 280 Securities LLC)	DEBT	No	No		
IPI Partners LLC	PFM	Yes	Yes	IPI Partners, LLC was paid management fees totaling \$1,203,041 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Ironwood Mezzanine Fund IV, LP	PFM	Yes	No		
Ironwood Capital Management V, LP	PFM	Yes	Yes	Hogan Lovells, LLP was paid \$558,442 in connection with legal services fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
J M Lummis & Company	CASH	No	No		
J.P. Morgan Securities, LLC	CASH & DEBT	No	No		
Jackson Walker LLP	PFM	Yes	No		
Janney Montgomery Scott LLC	DEBT	No	No		
Jefferies, LLC	DEBT	No	No		
JFL Equity Investors III, LP	PFM	Yes	Yes	Debevoise & Plimpton was paid \$44,259 (CT share \$3,903) in connection with legal services. Caplan Consulting was paid \$16,666 (CT share \$1,470) in connection with legal services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
JFL Equity Investors IV, LP	PFM	Yes	Yes	Debevoise & Plimpton was paid \$133,105 (CT share \$12,365) in connection with legal services. Caplan Consulting was paid \$16,667 (CT share \$1,548) in connection with legal services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
JFL Equity Investors V, LP	PFM	Yes	Yes	Debevoise & Plimpton was paid \$9,766 (CT share \$902) in connection with legal services. Caplan Consulting was paid \$41,667 (CT share \$3,847) in connection with legal services. Credit Suisse was paid \$1,350,710 (CT share \$120,352) in connection with placement agent fees.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
K5 Private Investors LP <i>Investment Management, LLC</i>	(K1) PFM	Yes	No		
Kelmar Associates, LLC	UCP	No	No		
Kessler Topaz Meltzer & Check, LLP	PFM	Yes	No		
KeyBank National Association	CASH	No	No		
KKR 2006 Fund LP	PFM	Yes	No		
KKR Millennium Fund LP	PFM	Yes	No		
Kroll Government Solutions, LLC	SIF & UCP	No	No		



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Landmark Equity Partners XIV, L.P. Management LLC (Ares)	PFM	Yes	Yes	Ernst & Young was paid \$105,525 in connection with accounting services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Landmark Equity Partners XV, L.P. Management LLC (Ares)	PFM	Yes	Yes	Ernst & Young was paid \$175,875 in connection with accounting services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Landmark Equity Partners XVI, L.P. Management LLC (Ares)	PFM	Yes	Yes	<b>Legal Fees:</b> Jones Day - \$187,500 Kirkland and Ellis - \$970,711 Latham & Watkins, LLP - \$108,097 KBRA Holdings, LLC - \$175,000 Proskauer Rose - \$114,134 <b>Accounting:</b> Ernst & Young - \$214,112	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Landmark Equity Partners XVII, L.P. Management LLC (Ares)	PFM	Yes	Yes	<b>Legal Fees:</b> Cadwalader, Wickersham & Taft, LLP - \$231,442 Kirkland and Ellis - \$457,098 Mayer Brown LLP - \$51,605 <b>Consulting:</b> Validus NA Inc. - \$120,000 Kroll Bond Rating Agency, LLC - \$175,000 <b>Facility:</b> Citibank - \$714,063 JP Morgan Securities - \$1,612,500 Massachusetts Mutual Life Insurance Company - \$2,832,918 Northern Trust Bank - \$265,069 Signature Bank - \$353,425	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Landmark Real Estate Partners VII, LP Management LLC (Ares)	PFM	Yes	Yes	<b>Management Fees outside of Commitment:</b> Lombard International Life Assurance Company - \$88,266 NCH New Europe Property Investors - \$246,212 DLJ Real Estate Capital Partners - \$389,152 Silverpeak Real Estate Partners - \$121,820 North Haven Real Estate Fund VII Global - \$99,279 <b>Accounting:</b> Ernst & Young - \$163,906 <b>Consulting:</b> M. Wistar Wood - \$61,428	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Landmark Real Estate Partners VIII, LP Management LLC (Ares)	PFM	Yes	Yes	<b>Legal Fees:</b> Kirkland & Ellis, LLP - \$99,293 <b>Management Fees outside of Commitment:</b> Aegon Multi-Family Equity Fund, LLC - \$146,608 Aegon Workforce Housing JV 4A, LLC - \$173,276 Aegon Workforce Housing JV 4B, LLC - \$220,653 Aegon Workforce Housing JV4C, LLC - \$160,167 NCH New Europe Property Fund II, LP - \$74,583 Crow Holdings Realty Fund II, LP - \$120,144 Declaration Partners Opportunity III, LP - \$79,367 Iron Point Real Estate Partners - TE (H) LP - \$135,892 Iron Point Real Estate Partners II - TE (H), LP - \$68,779 Montford Charlotte Apartments Portfolio, LLC - \$613,834 O'Conner North American Property Partners, LP - \$185,595 Rockpoint Real Estate Fund IV GP, LLC - \$260,564 Rockpoint Real Estate Fund V, GP, LLC - \$311,706 <b>Consulting Fees:</b> IQ EQ Administration Services (UK) Ltd - \$90,679	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))

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Landmark Real Estate Partners IX, LP	PFM	Yes	Yes	<b>Legal Fees:</b> Cadwalader, Wickersham & Taft, LLP - \$305,772 Kirkland & Ellis, LP - \$315,122	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Lazard Asset Management, LLC	PFM	Yes	No		
Leeds Equity Partners	PFM	Yes	Yes	UBS Securities LLC was paid \$1,730,957 in connection with placement agent services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Levine Leichtman Capital Partners IV, LLC	PFM	Yes	No		
Levine Leichtman Capital Partners V, LLC	PFM	Yes	No		
Lewis & Munday, P.C.	DEBT	No	No		
Lieff, Cabraser, Heimann & Bernstein, LLP	LEGAL	No	No		
Livingbridge EP LLP	PFM	Yes	No		
Locke Lord LLP	LEGAL	Yes	No		
Lonestar Real Estate Partners II, L.P.	PFM	Yes	No		
Loop Capital Markets, LLC	CASH & DEBT	No	No		
Lowey Dannenberg, P.C.	PFM	Yes	No		
McCarter & English, LLP	LEGAL	Yes	No		
Meketa Investment Group, Inc.	PFM	Yes	No		
Mesirow Financial, Inc.	DEBT	No	No		
Mesirow Financial REVF IV-GP, LLC	PFM	Yes	Yes	\$131,250 was accrued for management fees payable to Mesirow Financial Investment Management, Inc.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Mischler Financial Group, Inc.	DEBT	Yes	No		
Mizuho Securities USA, Inc.	CASH	Yes	No		
Monarch Partners Asset Management, LLC <i>(Bivium Capital Partners)</i>	PFM	Yes	No		
Morgan Stanley & Co. LLC	DEBT	Yes	No		
Motley Rice, LLC	LEGAL	No	No		
M&T Bank <i>(f.k.a People's United Bank, N.A.)</i>	CASH	No	No		
Muller & Monroe Asset Management, LLC <i>(CT Emerging Private Equity Fund of Funds L.P.)</i>	PFM	Yes	Yes	Schiff Hardin, LLP was paid \$9,829 in connection with legal services. RSM US, LLP was paid \$38,020 in connection with audit/tax fees. GreatBanc Trust Company was paid \$32,314 in connection with custody services. Upwelling was paid \$10,000 in connection with secondary sale advisor services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))

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				Greenberg Traurig was paid \$1,728 in connection with secondary sale advisory services. Ropes & Gray was paid \$1,435 in connection with secondary sale advisory services.	
Muller & Monroe Asset Management, LLC <i>(Freeman CT Horizon Investment Fund, LLC)</i>	PFM	Yes	No		
Natwest Markets <i>(f.k.a. RBS Securities, Inc.)</i>	PFM	Yes	No		
New Haven Bank <i>(formerly Start Community Bank)</i>	CASH	No	No		
Nomura Corporate Research and Asset Management, Inc.	PFM	Yes	No		
Nordea	CASH	No	No		
Northern Trust Investments, Inc.	PFM	Yes	No		
Nossaman LLP	LEGAL	Yes	No		
Nutmeg Opportunities Fund, L.P. <i>(J.P. Morgan Investment Management, Inc.)</i>	PFM	Yes	No		
Nutmeg Opportunities Fund II, LLC <i>(J.P. Morgan Investment Management, Inc.)</i>	PFM	Yes	No		
NW Financial Group, LLC <i>(parent company of NW Capital Markets, Inc.)</i>	DEBT	No	No		
Oak Street Real Estate Capital, LLC	PFM	Yes	No		
O'Brien-Staley Partners	PFM	Yes	No		
One Rock Capital Partners LLC	PFM	Yes	No		
Oppenheimer & Co., Inc.	CASH & DEBT	No	No		
Orrick, Herrington & Sutcliffe, LLP	LEGAL	Yes	No		
Payden & Rygel	PFM	Yes	No		
Pegasus Partners IV, LP	PFM	Yes	No		
Pegasus Partners V, LP	PFM	Yes	No		
Penzance DC Real Estate Fund II, LP	PFM	Yes	No		
PFM Financial Advisors, LLC <i>(f.k.a. Public Financial Management, Inc.)</i>	DEBT	No	No		

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PGIM Real Estate <i>(f.k.a. Prudential Property Investments S/A, LP)</i>	PFM	Yes	No		
PineBridge Investments, LLC	PFM	Yes	No		
Piper Sandler & Co. <i>(formerly Piper Jaffray &amp; Co.)</i>	CASH & DEBT	No	No		
PNC Capital Markets, LLC	DEBT	No	No		
Polen Capital Credit, LLC <i>DDJ Capital Management, LLC)</i>	<i>(aka)</i> PFM	Yes	No		
Prime Property Fund, LLC <i>(Morgan Stanley Real Estate Advisor)</i>	PFM	Yes	No		
Pugh Capital Management, Inc.	PFM	Yes	No		
Pullman & Comley, LLC	DEBT & LEGAL	No	No		
Raymond James & Associates, Inc.	CASH & DEBT	No	Yes	Law Offices of Joseph C. Reid, PA was paid \$45,000 in connection with legal services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
RBC Capital Markets, LLC	CASH & DEBT	No	No		
R/C Renewable Alternative Energy Fund II LP Customized Fund Investment)	(GCM) PFM	Yes	Yes	Riverstone/Carlyle Renewable and Alternative Energy Fund was paid management fees totaling \$1,093.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Reinhart, Boerner, Van Deuren, sc	PFM	Yes	No		
RhumbLine Advisers LP	PFM	Yes	No		
Rice Financial Products Company	DEBT	No	No		
Robbins Geller Rudman & Dowd, LLLP	PFM	Yes	No		
Robert W. Baird & Co., Incorporated	DEBT	No	No		
Robinson & Cole, LLP	DEBT & LEGAL	No	No		
Robinson Bradshaw & Hinson, P.A.	PFM	Yes	No		
Rockfleet Financial Services, Inc.	DEBT	No	No		
Rockpoint Group, LLC	PFM	Yes	Yes	Rockpoint Group, LLC was paid management fees totaling \$1,547,963.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Roosevelt & Cross, Incorporated	DEBT	No	No		
Rubicon Point Partners, LLC	PFM	Yes	Yes	Trident Fund Services Inc., was paid \$153,969 in connection with fund administration services. WithumSmith+Brown, PC was paid \$52,474 in connection with fund auditing services. Goodwin Procter was paid \$98,994 in connection with legal services. Ernst & Young was paid \$12,360 in connection with tax advisor services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Samuel A. Ramirez & Co., Inc.	DEBT	No	No		

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Santander Bank, N.A.	CASH	No	No		
Saxena White, PA	PFM	Yes	No		
Schroder Investment Management North America, Inc.	PFM	Yes	No		
Security Capital Brokerage, Inc.	DEBT	No	No		
Sedgwick Claims Management Services, Inc.	SIF & UCP	No	No		
Seward & Monde, CPAs	DEBT	No	No		
Shenkman Capital Management, Inc.	PFM	Yes	No		
SHI International Corp.	DEBT	No	No		
Shipman & Goodwin, LLP	DEBT	No	No		
Siebert Williams Shank & Co., LLC <i>(f.k.a. Siebert Cisneros Shank &amp; Co., LLC)</i>	DEBT	No	No		
Siris Partners IV, L.P. (Siris Capital Group, LLC)	PFM	Yes	No		
Sixth Street TAO GenPar, LP	PFM	Yes	No		
Soeder & Associates LLC	DEBT	No	No		
Squire, Patton Boggs, LLP	DEBT & LEGAL	Yes	No		
Starwood Distressed Opportunity Fund IX, L.P.	PFM	Yes	Yes	<b>Tax Advisor:</b> Ernst & Young, LLP - \$852,889 Forecastle Advisors, LLC - \$635,000 Haynes And Boone, LLP - \$61,934 <b>Legal Services:</b> Paul Hastings, LLP - \$79,472 Kirkland & Ellis - \$65,985 Rinaldi, Finkelstein & Franklin, LLC - \$406,471 <b>Consulting :</b> Grant Thornton, LLP - \$79,530 ST Global Services, LLC - \$131,513 Madison Hotel Advisors, LLC - \$184,174 Endurance Services Limited - \$54,450 <b>Audit / Tax:</b> Pricewaterhousecoopers, Llp - \$503,140	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
				Beacon Advisors was paid \$90,246 in connection with consulting services. Deloitte & Touche LLP was paid \$183,609 in connection with auditing services.	

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Starwood Global Opportunity Fund VII, LP	PFM	Yes	Yes	Ernst & Young LLP was paid \$111,945 in connection with tax advisor services. Rinaldi, Finkelstein & Franklin LLC was paid \$54,312 in connection with legal services. Kirkland & Ellis was paid \$53,885 in connection with legal services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Starwood Global Opportunity Fund VIII, LP	PFM	Yes	Yes	Ernst & Young LLP was paid \$490,835 in connection with tax advisor services. Pricewaterhousecoopers, LLP was paid \$328,700 in connection with audit/tax services. Forecastle Advisors, LLC was paid \$107,500 in connection with tax advisor services. Haynes & Boone, LLP was paid \$81,810 in connection with legal services. Rinaldi, Finkelstein & Franklin, LLC was paid \$59,865 in connection with legal services. ST Global Services, LLC was paid \$19,860 in connection with consulting services. Kirkland & Ellis was paid \$117,087 in connection with legal services. Bank of America NA was paid \$310,825 in connection with advisor services. JPM Fees was paid \$93,066 in connection with advisor services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Starwood Opportunity Fund X Global, LP	PFM	Yes	Yes	<b>Audit / Tax:</b> Deloitte & Touche, LLP \$1,023,850 <b>Tax Advisor:</b> Ernst & Young LLP - \$1,348,445 Forecastle Advisors, LLC - \$870,000 <b>Consulting :</b> Grant Thornton, LLP - \$103,300 Paul Hastings, LLP - \$407,002 John Burns Real Estate Consulting - \$52,500 <b>Legal:</b> Kirkland & Ellis - \$358,443 Rinaldi, Finkelstein & Franklin, LLC - \$397,729 Haynes And Boone, LLP - \$224,024 <b>Insurance:</b> Northeast Series of Lockton Companies, LLC - \$134,936 Endurance Services Limited - \$98,463	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Starwood Opportunity Fund XI, LP	PFM	Yes	Yes	<b>Consulting:</b> A5 REIT Services, LLC - \$318,720 Citibank, N.A., Hong Kong Branch - \$110,000 Costar Realty Information, Inc. - \$63,475 Grant Thornton, LLP - \$902,580 Madison Hotel Advisors, LLC - \$265,212 Paul Hastings, LLP - \$66,616 ST Global Services, LLC - \$120,078 Thomson Reuters Uk Limited - \$91,308 Urban Land Institute - Lb Advertising - \$52,456 <b>Tax Advisor:</b> Deloitte Tax, LLP - \$84,000 Ernst & Young, LLP - \$1,136,833 Forecastle Advisors, LLC - \$625,000 <b>Legal:</b> Haynes And Boone, LLP - \$149,717 Kirkland & Ellis - \$203,517	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))

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				Rinaldi, Finkelstein & Franklin, LLC - \$532,214 <b>Insurance:</b> Northeast Series of Lockton Companies, LLC - \$75,096 <b>Auditor:</b> Pricewaterhousecoopers, LLP - \$877,552 <b>Fund Administration:</b> UMB Fund Services, Inc. - \$111,918	
State Street Global Advisors Trust Company	PFM	Yes	No		
Stellex Capital Partners II, LP	PFM	Yes	Yes	Stellex Management II was paid management fees totaling \$1,349,900 pursuant to the Limited Partnership Agreement. <b>Placement Fee:</b> Credit Suisse - \$15,570 Six Point - \$36,158	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Stern Brothers & Co.	DEBT	No	No		
Stifel Nicolaus & Company, Incorporated	CASH & DEBT	No	No		
StoneCastle Cash Management, LLC	CASH	No	No		
Stonepeak Partners LP	PFM	Yes	No		
Stone X Financial, Inc. <i>(formerly Int'l FCStone Partners, L.P.)</i>	CASH	No	No		
Svenska Handelsbanken New York Branch	CASH	No	No		
Sycamore Advisors, LLC	DEBT	No	No		
T. Rowe Price Associates, Inc.	PFM	Yes	No		
TA XI, L.P.	PFM	Yes	Yes	TA Associates XI GP, LP was paid management fees totalling \$11,664,688 pursuant to the Limited Partnership Agreement.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
TD Bank, N.A.	CASH	No	No		
TD Securities (USA) LLC	CASH & DEBT	No	No		
TKG & Associates	DEBT	No	No		
T&M USA, LLC	SIF & UCP	No	No		
The Bank of New York Mellon	PFM	Yes	No		
The Rock Creek Group, LP	PFM	Yes	No		
The Vistria Group, LP	PFM	Yes	No		
Tiger Infrastructure Partners LP	PFM	Yes	Yes	Threadmark Partners Ltd was paid \$1,700,000 in connection with placement agent services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Torchlight Investors LLC	PFM	Yes	No		

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Toyota Financial Services Credit Corporation (Toyota Motor)	CASH	No	No		
TruAmerica Multifamily LLC	PFM	Yes	Yes	Lightpath Capital was paid \$183,333 in connection with investment and placement agent services.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
TSCG Investors LLC (f.k.a Hart Realty Advisers, Inc.)	PFM	Yes	No		
U.S. Bank National Association	CASH & DEBT	No	No		
UBS Financial Services, Inc.	DEBT	No	No		
UBS Trumbull Property Fund (managed by UBS Realty Investors LLC)	PFM	Yes	Yes	Trumbull Property Fund was paid advisory fees totaling \$507,066.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
UBS Trumbull Property Growth & Income Fund (managed by UBS Realty Investors LLC)	PFM	Yes	Yes	Trumbull Property Growth & Income Fund was paid advisory fees totaling \$846,953.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
UBS Trumbull Property Income Fund (managed by UBS Realty Investors LLC)	PFM	Yes	Yes	Trumbull Property Income Fund was paid advisory fees totaling \$524,522.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
UMB Bank, n.a.	DEBT	No	No		
US RE Company LLC (fka USAA Eagle Real Estate Fund)	PFM	Yes	No		
Vista Equity Partners Fund III, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$6,614 including, but not limited to administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Vista Equity Partners Fund IV, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$38,445 including, but not limited to administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Vista Equity Partners Fund VI, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$80,274 including, but not limited to administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Vista Equity Partners Fund VII, L.P.	PFM	Yes	Yes	Various fees were paid totaling \$111,367 including, but not limited to administrator fees, audit fees, tax preparation fees, legal expenses and insurance.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-13(b)(2))
Waterton	PFM	Yes	No		
Webster Bank, N.A.	CASH	No	No		
Wellington Management Company	PFM	Yes	No		
Wells Fargo Bank, National Association	CASH & DEBT	No	No		
Wells Fargo Securities, LLC	CASH	No	No		
Wellspring Capital Partners V, L.P.	PFM	Yes	No		
Wellspring Capital Partners VI, L.P.	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund XI, LP	PFM	Yes	No		



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Welsh Carson Anderson & Stowe Fund XII, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund XIII, LP	PFM	Yes	No		
WL Ross & Co LLC	PFM	Yes	Yes	Broadpeak Global, LP was paid investment services totaling \$1,979,772 pursuant to the Sub-Advisory Agreements.	All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(2))
Xponance, Inc. (formerly FIS Group)	PFM	Yes	No		
Yucaipa American Alliance Fund II, LP	PFM	Yes	No		
Yucaipa American Alliance Fund III, LP	PFM	Yes	No		