



**STATE OF CONNECTICUT
OFFICE OF THE TREASURER**

REQUIRED COMPLIANCE CHECKLIST

This form must accompany compliance document submission

<p>Firm Name:</p> <p>FEIN #:</p> <p>Contact Name:</p> <p>Contact Telephone:</p> <p>Contact Email:</p>
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Description	Attached
<p>Attachment 1A CHRO – Contract Compliance Report Parts I, II, III, and V</p>	<p><input type="checkbox"/></p>
<p>Attachment 1 B - CHRO Contract Compliance Report Part IV (EIR - Employer Information Report) (No Law Firm) <ul style="list-style-type: none"> Excel Format – submitted for all 3 years </p>	<p><input type="checkbox"/></p> <p><input type="checkbox"/> Signed Form</p> <p><input type="checkbox"/></p>
<p>Attachment 1B- CHRO Contract Compliance Report Part IV (EIR - Employer Information Report) (Law Firms only) <ul style="list-style-type: none"> Excel Format – submitted for all 3 years </p>	<p><input type="checkbox"/> Signed Form</p> <p><input type="checkbox"/></p>

<p><u>Attachment 2 Diversity, Equity and Inclusion and Connecticut Nexus Questionnaire</u></p> <ul style="list-style-type: none"> • Part 1 – Supplement • Part 2 -Supplement or ILPA 	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<p><u>Attachment 3 Third-Party Fees Affidavit</u></p> <ul style="list-style-type: none"> • Form Notarized 	<input type="checkbox"/> <input type="checkbox"/>
<p><u>Attachment 4A Conflict of Interest Certification</u></p>	<input type="checkbox"/>
<p><u>Attachment 4B Conflict of Interest – CRPTF Consultant Addendum</u></p>	<input type="checkbox"/>
<p><u>Attachment 5 Legal Proceedings and Organization Certification</u></p> <ul style="list-style-type: none"> • Supplement 	<input type="checkbox"/> <input type="checkbox"/>
<p><u>Attachment 6 Campaign Contribution Affidavit</u></p> <ul style="list-style-type: none"> • Form Notarized 	<input type="checkbox"/> <input type="checkbox"/>
<p><u>Attachment 7A Evaluation and Implementation of Sustainable Principles</u></p> <ul style="list-style-type: none"> • All Questions answered 	<input type="checkbox"/> <input type="checkbox"/>

Attachment 7B Sustainable Principles Real Estate Addendum

- Attachment to answers
- *Respondents who manage real estate asset classes for the CRPTF complete this supplemental addendum to Attachment 7A.*