

*Office of State Treasurer
Summary of Responses to Request for Disclosure
July 1, 2010 through June 30, 2011*

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
A. C. Advisory, Inc.	DEBT	Yes	No		
Aberdeen Asset Management Inc.	PFM	Yes	No		
ACA Financial Guaranty Corporation	DEBT	Yes	No		
Acacia Financial Group, Inc.	DEBT	Yes	No		
Acadian Asset Management, Inc.	PFM	Yes	No		
ACS State & Local Solutions, Inc. (wholly owned subsidiary of Xerox)	UCP	No	No		
ACS Unclaimed Property Clearinghouse, Inc.	UCP	No	No		
AEW Capital Management, LP	PFM	Yes	No		
AEW Partners III, LP	PFM	Yes	No		
AIG Financial Products Corp.	DEBT	Yes	No		
AllianceBernstein Legacy Securities (Delaware) L.P.	PFM	Yes	No		
Altaris Health Partners II, L.P. (formerly AIG Altaris Health Partners II, L.P.)	PFM	Yes	Yes	Altaris Capital Partners, LLC were paid management fees totalling \$494,565 pursuant to the Limited Partnership Agreement.	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2)).
Altaris Health Partners, L.P. (formerly AIG Altaris Health Partners, L.P.)	PFM	Yes	Yes	Altaris Capital Partners, LLC were paid management fees totalling \$307,312 pursuant to the Limited Partnership Agreement.	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2)).
Ambac Assurance Corporation	DEBT	Yes	No		
Ambassador Capital Management	PFM	Yes	No		
AMTEC Corp.	DEBT	No	No		
Apollo Real Estate Investment Fund III, LP	PFM	Yes	No		
AQR Capital Management, LLC	PFM	Yes	No		
Artio Global Management, LLC	PFM	Yes	No		
Ashmore EMM, LLC (formerly Emerging Markets Management, LLC)	PFM	Yes	No		
Ashmore Investment Management Limited	PFM	Yes	No		
Assured Guaranty Municipal Corp.	DEBT	Yes	No		
Audax Mezzanine Fund III, LP	PFM	Yes	No		
Audit Services, US, LLC	UCP	No	No		
Baker Botts, L.L.P.	PFM	Yes	No		
Bank of America, N.A.	CASH & DEBT	Yes	No		
Barclays Capital, Inc.	CASH & DEBT	Yes	No		
Bayerische Landesbank	DEBT	Yes	No		
BB&T Capital Markets, a division of Branch Banking & Trust Company	CASH	Yes	No		
Belle Haven Investments, L.P.	DEBT	Yes	No		
Bivium Capital Partners, LLC	PFM	Yes	No		
BlackRock Financial Management, Inc.	PFM	Yes	No		
BlackRock Institutional Trust Company, N.A.	PFM	Yes	No		
BlackRock Investment Management, LLC	PFM	Yes	No		
Blackstone Capital Partners III Merchant Banking Fund, LP	PFM	Yes	No		
Blackstone Real Estate Partners Europe III, L.P.	PFM	Yes	No		
Blackstone Real Estate Partners VI, L.P.	PFM	Yes	No		
Blackstone Real Estate Special Situations Fund II, LP	PFM	Yes	Yes	Park Hill Real Estate Group L.L.C. was paid \$375,000 in connection with placement agent services.	Vendor identified exemption. Placement agent fees are permissible third party payments. (See C.G.S. § 3-131(b)(3)).
Blaylock Robert Van LLC	DEBT	Yes	No		

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BLX Group, LLC	DEBT	Yes	No		
BNP Paribas Securities Corp.	CASH	Yes	No		
BNY ConvergeEx Execution Solutions, LLC	PFM	Yes	No		
Boston Ventures VII, L.P.	PFM	Yes	No		
Branch Banking & Trust Company	CASH	Yes	No		
Brown Brothers Harriman & Co.	PFM	Yes	No		
Bryant Miller Olive, PA	DEBT	Yes	No		
Cabrera Capital Markets, Inc.	DEBT	Yes	No		
Candover 2008 Fund (The) (Arle Capital Partners, Limited)	PFM	Yes	No		
Canyon-Johnson Urban Fund II, LP	PFM	Yes	No		
Canyon-Johnson Urban Fund III, LP	PFM	Yes	No		
Capital Prospects, LLC	PFM	Yes	No		
Capri Select Income II, LLC	PFM	Yes	No		
Carlin, Charron & Rosen LLP	DEBT	No	No		
Carlyle Asia Partners, L.P.	PFM	Yes	No		
Carlyle Europe Partners, L.P.	PFM	Yes	No		
CastleOak Securities, L.P.	CASH	Yes	No		
CCP Equity Partners (a.k.a. Conning Investment Partners V, LLC)	PFM	Yes	No		
Charterhouse Group International, Inc.	PFM	Yes	No		
Citibank, N.A.	CASH	Yes	No		
Citigroup Global Markets, Inc.	CASH & DEBT	Yes	Yes	Pullman & Comley LLC were paid \$25,000 in connection with services provided as Co-Underwriter's Counsel. Bryant Miller Olive P.A. was paid \$25,000 in connection with services provided as Co-Underwriter's Counsel.	Vendor identified exemption. Legal fees are permissible third party payments. (See C.G.S. § 3-131(b)(1).
Clay Hill, LLC (CT Horizon Legacy Fund, L.P.)	PFM	Yes	Yes	Muller & Monroe Asset Management, LLC were paid management fees totalling \$50,000 during the reporting period pursuant to the Limited Partnership Agreement. Schiff Hardin LLP was paid \$12,013 in connection with legal services. RSM McGladrey was paid \$21,250 in connection with audit services.	Vendor identified exemption. Management, legal and audit fees are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2).
Colchester Global Investors, Inc.	PFM	Yes	No		
Colony Realty Partners II, LP	PFM	Yes	Yes	Diamond Edge Capital Partners, LLC were paid a final payment of \$39,683 on July 2, 2010 in connection with placement agent services.	Vendor identified exemption. Placement agent fees are permissible third party payments. (See C.G.S. § 3-131(b)(3).
Community's Bank (The)	CASH	Yes	No		
Compass Partners European Equity Fund	PFM	Yes	No		
Connecticut Bank and Trust Company (The)	CASH	Yes	No		
Connecticut Community Bank, N.A.	CASH	Yes	No		
Connex Credit Union	CASH	Yes	No		
Constitution Liquidating Fund I, LP	PFM	Yes	No		

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Corby Capital Markets, Inc.	DEBT	Yes	No		
Cornerstone Patriot Fund, LP	PFM	Yes	No		
Court Square Capital Partners II, LLC	PFM	Yes	No		
Covenant Apartment Fund V (Institutional - B), L.P.	PFM	Yes	Yes	Covenant Management Services, Inc. was paid management fees totalling \$312,488 (four installments) pursuant to the Limited Partnership Agreement. Plante & Moran, PLLC were paid \$3,204 in connection with accounting services. Waller Lansden Dortch & Davis, LLP were paid \$477 in connection with legal services.	Vendor identified exemption. Management, legal and accounting fees are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2)).
Covenant Apartment Fund VI, L.P.	PFM	Yes	Yes	Quince Hill Partners were paid \$52,378 in connection with placement agent services. Covenant Management Services, Inc. was paid management fees totalling \$314,616 pursuant to the Limited Partnership Agreement. Mayer Brown, LLP was paid \$119 in connection with legal services. Plant & Moran, PLLC were paid \$5,841 in connection with accounting services. Waller Lansden Dortch & Davis, LLP were paid \$5,518 in connection with legal services. RCS Equities, LLC was paid an annual administrative fee of \$1,412.	Vendor identified exemption. Management, placement agent, legal and accounting fees are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2)(3)).
Coventry Health Care Workers' Compensation, Inc.	SIF	No	No		
Credit Suisse Securities (USA) LLC	CASH	Yes	No		
Crescendo Ventures III, LP	PFM	Yes	No		
Crescendo World Fund LLC	PFM	Yes	No		
CS/CT Cleantech Opportunities Fund, L.P. (a.k.a. DLJ Fund Partners, II, L.P.)	PFM	Yes	No		
Day Pitney, LLP	DEBT & PFM	Yes	No		
Deutsche Bank Securities, Inc.	CASH	Yes	No		
Dexia Credit Local	DEBT	Yes	No		
Dimensional Fund Advisors LP	PFM	Yes	No		
DLJ Merchant Banking Partners II, LP	PFM	Yes	No		
Edwards, Angell, Palmer & Dodge	DEBT & PFM	Yes	No		
Estrada, Hinojosa & Company, Inc.	DEBT	Yes	No		

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Ethos Private Equity Fund V, LP	PFM	Yes	Yes	Ethos Private Equity Limited was paid management fees totaling \$5,974,004 (semi-annual period) pursuant to the Limited Partnership Agreement.	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Fairview Constitution Fund II, LP	PFM	Yes	No		
Fairview Constitution Fund III, LP	PFM	Yes	No		
Farmington Bank	CASH	Yes	No		
Fifth Third Bank	CASH	Yes	No		
Financial Guaranty Insurance Company (FGIC)	DEBT	Yes	No		
Finn Dixon & Herling LLP	DEBT	Yes	No		
First Southwest Company	DEBT	Yes	No		
FIS Group, Inc.	PFM	Yes	No		
Franklin Park Associates, LLC	PFM	Yes	No		
Frontier Capital Management Company	PFM	Yes	No		
FS Equity Partners V, LP	PFM	Yes	No		
FS Equity Partners VI, LP	PFM	Yes	No		
GarMark Partners II, L.P.	PFM	Yes	No		
Garmark Partners, L.P. Liquidating Trust	PFM	Yes	No		
GE Capital Corporation	CASH	Yes	No		
Gilbert Global Equity Partners, L.P.	PFM	Yes	No		
Gleacher & Company Securities, Inc.	CASH	Yes	No		
Goldman Sachs Bank USA	DEBT	Yes	No		
Goldman, Sachs & Co.	CASH & DEBT	Yes	Yes	Edwards, Angell, Palmer & Dodge, LLP were paid \$150,000 in connection with legal services.	Vendor identified exemption. Legal fees are permissible third party payments. (See C.G.S. § 3-131(b)(1).
Goldman, Sachs Mitsui Marine Derivative Products, L.P.	DEBT	Yes	No		
Goldman, Sachs Private Equity Partners Connecticut, L.P.	PFM	Yes	Yes	Legal: - Ropes & Gray - \$ 265 - Fried, Frank, Harris, Shriver & Jacobson - \$ 635 - Morris Cohen - \$5,498 Custodian/Administration: - State Street Bank - \$92,998 - Goldman Sachs - \$12,523 - Citi Private Equity Services - \$4,975 Accounting (tax and audit): - Jefferson Wells - \$1,195 - KPMG - \$1,793 - The Siegfried Group - \$ 376 - PWC - \$92,764 Consulting: - Resources Global - \$ 7,685 - RR Donnelly - \$81 Miscellaneous: - DG3 North America - \$ 706 - Williams Lee - \$ 239	Vendor identified exemption. All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2).
Goodwin Capital Advisers, Inc.	PFM	Yes	No		
Grant & Eisenhofer, PA	PFM	Yes	No		
Grantham, Mayo, Van Otterloo & Co.	PFM	Yes	No		

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Great Pacific Securities	CASH	Yes	No		
Green Equity Investors, III, L.P.	PFM	Yes	No		
Greenwich Street Capital Partners II, L.P.	PFM	Yes	Unknown		Disclosure form was not provided. Partnership agreement terminated on December 31, 2010.
Grigsby & Associates, Inc.	DEBT	Yes	No		
Groom Law Group, Chartered	PFM	Yes	No		
Grotech Partners V, L.P.	PFM	Yes	No		
Hardwick Law Firm, LLC	DEBT	Yes	No		
Hartford Investment Management Company	PFM	Yes	No		
Hartford Life Insurance Company	EXEC	Yes	Yes	Sutherland, Asbill & Brennan, LLP were paid \$11,095 in connection with legal services for the CHET program. State Street Bank and Trust Company was paid \$8,916 for custodial services. Upromise Investment Advisors, LLC were paid \$33,410 in connection with record-keeping services	Vendor identified exemption. All disclosed fees are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2)).
Hawkins, Delafield & Wood, LLP	DEBT	Yes	No		
Hicks, Muse, Tate & Furst Equity Fund III, LP	PFM	Yes	No		
HSBC Securities (USA), Inc.	CASH	Yes	No		
ICV Capital Partners II, LP	PFM	Yes	No		
IL&FS India Realty Fund II, LLC	PFM	Yes	Yes	Presido Partners LLC was paid a one-time fee of \$625,000, payable in installments, for placement agent services.	Vendor identified exemption. Placement agent fees are permissible third party payments. (See C.G.S. § 3-131(b)(3)).
ImageMaster, Inc.	DEBT	No	No		
ING Investment Management Co.	PFM	Yes	No		
Institutional Shareholder Services, Inc.	PFM	No	No		
Invesco Advisers, Inc. (formerly Invesco Global Asset Management (N.A.), Inc.)	PFM	Yes	No		
J.P. Morgan Investment Management, Inc. (Nutmeg Opportunities Investment Fund, L.P.)	PFM	Yes	No		
Jackson Securities LLC	DEBT	Yes	No		
Janney Montgomery Scott LLC	CASH	Yes	No		
Jefferies & Company, Inc.	CASH & DEBT	Yes	No		
J.P. Morgan Securities, LLC	CASH & DEBT	Yes	No		
JPMorgan Chase Bank, N.A.	CASH & DEBT	Yes	No		
K2 Advisors, LLC (Prudence Crandall Fund IV, LLC)	PFM	Yes	No		
Kaplan, Fox & Kilsheimer, LLP	PFM	Yes	No		
KKR 2006 Fund	PFM	Yes	No		
KKR Millennium Fund	PFM	Yes	No		
Kohlberg Kravis Roberts 1996 Fund (KKR)	PFM	Yes	No		
KPS Special Situations Fund II, LP	PFM	Yes	No		
Labaton Sucharow, LLP	PFM	Yes	No		
Lamont Financial Services Corporation	DEBT	Yes	No		

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Landesbank Hessen-Thuringen	DEBT	Yes	No		
Landmark Equity Partners XIV, L.P.	PFM	Yes	No		
Landmark Partners, Inc.	PFM	Yes	No		
Law Offices of Joseph C. Reid, P.A.	DEBT	Yes	No		
Lazard Asset Management, LLC	PFM	Yes	No		
Leeds Equity Partners V, L.P.	PFM	Yes	No		
Levine Leichtman Capital Partners, Inc.	PFM	Yes	Yes	DAV/Wetherly Financial, L.P. was paid a placement agent fee of \$119,681.	Vendor identified exemption. Placement agent fees are permissible third party payments. (See C.G.S. § 3-131(b)(3)).
Levy & Droney, PC	PFM	Yes	No		
Lewis & Munday, P.C.	DEBT & PFM	Yes	No		
Lexington Capital Partners II, L.P.	PFM	Yes	No		
Lonestar Real Estate Partners II, L.P.	PFM	Yes	No		
Loomis, Sayles & Company, L.P.	PFM	Yes	No		
Loop Capital Markets, LLC	CASH & DEBT	Yes	No		
Lowe & Associates, LLC	PFM	Yes	No		
M.R. Beal & Company	CASH & DEBT	Yes	No		
MacFarlane Urban Real Estate Fund II, LP	PFM	Yes	Yes	Paul, Hastings, Janofsky & Walker LLP were paid \$16,896 in connection with legal services.	Vendor identified exemption. Legal fees are permissible third party payments. (See C.G.S. § 3-131(b)(1)).
Marathon Legacy Securities Public-Private Investment Fund LP	PFM	Yes	Yes	Aggregate legal fees paid by the Fund are \$41,851 of which the State of Connecticut's pro rata portion is approximately 10.5%.	Vendor identified exemption. Legal fees are permissible third party payments. (See C.G.S. § 3-131(b)(1)).
McCarter & English, LLP	PFM	Yes	No		
McElroy, Deutsch, Mulvaney & Carpenter, LLP	PFM	Yes	No		
Melvin Securities, LLC	DEBT	Yes	No		
Mercer Investment Consulting	PFM	Yes	No		
Merrill, Lynch, Pierce, Fenner & Smith (formerly Banc of America Securities)	CASH	Yes	No		
Merrill, Lynch, Pierce, Fenner & Smith (d/b/a Bank of America Merrill Lynch)	DEBT	Yes	No		
Mesirow Financial, Inc.	CASH	Yes	No		
MFS Institutional Advisors, Inc.	PFM	Yes	No		
Mizuho Securities USA, Inc.	CASH	Yes	No		
Morgan Keegan & Company, Inc.	DEBT	Yes	No		
Morgan Stanley & Co. Incorporated	CASH & DEBT	Yes	Yes	Orrick, Herrington & Sutcliffe LLP were paid two payments totaling \$47,500 in connection with legal services as Underwriter's Counsel. Law Offices of Joseph C. Reid, P.A. were paid \$25,000 in connection with legal services as Underwriter's Counsel. Gonzalez, Saggio & Harlan, LLP were paid \$22,500 in connection with legal services as Underwriter's Counsel.	Vendor identified exemption. Legal fees, as well as fees related to the issuance of bonds, are permissible third party payments. (See C.G.S. § 3-131(b)(1)).

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Muller & Monroe Asset Management, LLC (CT Emerging Private Equity Fund of Funds L.P.)	PFM	Yes		Muller & Monroe Asset Management, LLC was paid management fees totaling \$10,606 during the reporting period pursuant to the Limited Partnership Agreement. Schiff Hardin LLP was paid \$207,932 in connection with legal services. RSM McGladrey was paid \$26,250 to perform accounting and auditing services.	Vendor identified exemption. Management, legal and accounting fees are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2)).
National Public Finance Guarantee Corp.	DEBT	Yes	No		
NATIXIS Funding Corp.	DEBT	Yes	No		
NEPC, LLC	PFM	Yes	No		
New Boston Individual and Institutional Investment Fund IV, LP (a.k.a. AEW Trust 221)	PFM	Yes	No		
New Boston Urban Strategy America Fund, LP	PFM	Yes	No		
Nogales Investors Fund II, LP	PFM	Yes	No		
Nomura Holding America, Inc.	CASH	Yes	No		
Northern Trust Investments, Inc.	PFM	Yes	No		
Northwest Community Bank	CASH	Yes	No		
Numeric Amplified Core Onshore Fund II, L.P.	PFM	Yes	Yes	Numeric Investors LLC were paid management fees totalling \$2,346,045 pursuant to the Limited Partnership Agreement. Goldman Sachs Fund Administration was paid \$283,587 in connection with fund administration services. Wolf & Company PC were paid \$22,309 in connection with auditing and tax services. KPMG was paid \$2,325 in connection with accounting services. Commonwealth of Massachusetts was paid an annual fee of \$312. CT Corporation System was paid \$267 for annual incorporation fees.	Vendor identified exemption. All fees disclosed are permissible third party payments. (See C.G.S. § 3-131(b)(2)).
Oaktree Capital Management, L.P.	PFM	Yes	Yes	Bank of New York Mellon was paid \$20,000 for back-office services such as accounting, reconciliation and settlement. ISS was paid \$7,838 in connection with the Northern Ireland service update list. CSAG was paid \$12,500 in connection with its global security risk monitoring service.	Vendor identified exemption. Back-office and research services are permissible third party payments. (See C.G.S. § 3-131(b)(2)).
Oppenheimer & Co., Inc.	CASH	Yes	No		

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Orrick, Herrington & Sutcliffe, LLP	DEBT & PFM	Yes	No		
P.G. Corbin & Company, Inc.	DEBT	Yes	No		
Pacific Investment Management Company (PIMCO)	PFM	Yes	No		
Pareto Investment Management Limited	PFM	Yes	Yes	Pareto New York LLC was paid monthly increments (intra-group cost plus arrangement) totaling \$5,107,860 in connection with marketing services for the currency overlay program.	Vendor identified exemption. Marketing services are permissible third party payments. (See C.G.S. § 3-131(b)(3).
Parish Capital Advisors (Buyout) Fund II, L.P.	PFM	Yes	Yes	Ernst & Young, LLP were paid \$81,573 in connection with auditing and tax services. Robinson Bradshaw & Hinson were paid \$3,543 in connection with legal services.	Vendor identified exemption. Legal, accounting and fund administration fees are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2).
Parish Capital Advisors I, L.P.	PFM	Yes	Yes	SEI, Inc. was paid \$108,775 in connection with fund administration services. Ernst & Young, LLP were paid \$100,878 in connection with auditing and tax services. Robinson Bradshaw & Hinson were paid \$2,188 in connection with legal services. SEI, Inc. was paid \$162,449 in connection with fund administration services.	Vendor identified exemption. Legal, accounting and fund administration fees are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2).
Payden & Rygel Investment Management	PFM	Yes	No		
Pegasus IV, LP	PFM	Yes	No		
People's United Bank	CASH	Yes	No		
Permal Group, LTD (Prudence Crandall Fund I, LLC)	PFM	Yes	No		
Phoenix Capital Partners, LLP	DEBT	Yes	No		
PineBridge Global Emerging Markets Partners, L.L.C.	PFM	Yes	No		
Prager Sealy & Co., LLC	DEBT	Yes	No		
Prime Property Fund, LLC	PFM	Yes	No		
Prisma Capital Management, LLC (Prudence Crandall Fund II, LLC)	PFM	Yes	No		
Progress Investment Management Company, LLC	PFM	Yes	No		
Public Resources Advisory Group, Inc.	DEBT	Yes	Yes	Milliman was paid \$14,820 for actuarial services in connection with pension funding issues.	Vendor identified exemption. Actuarial services are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Pullman & Comley, LLC	DEBT & PFM	Yes	No		
Pyramis Global Advisors Trust Company	PFM	Yes	No		
Rabo Securities USA, Inc.	CASH	Yes	No		
Raymond James & Associates, Inc.	CASH & DEBT	Yes	No		
RBC Capital Markets, LLC	CASH & DEBT	Yes	No		
RBS Citizens Bank, NA	CASH	Yes	No		
RBS Securities, Inc.	CASH	Yes	No		

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Reich & Tang Asset Management, L.P.	DEBT	Yes	Yes	Tax Exempt Proceeds Fund, Inc. was paid \$265,738 in connection with investment management services.	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2).
Reinhart, Boerner, Van Deuren, sc	PFM	Yes	No		
RFE Investment Partners VI, L.P.	PFM	Yes	No		
RFE Investment Partners VII, L.P.	PFM	Yes	No		
Rice Financial Products Company	DEBT	Yes	No		
RLJ Lodging Fund II, L.P.	PFM	Yes	No		
RLJ Real Estate Fund III, LP	PFM	Yes	No		
Robinson & Cole, LLP	DEBT & PFM	Yes	No		
Rock Creek Connecticut, LLC (Prudence Crandall Fund III, LLC)	PFM	Yes	No		
Rockwood Capital Real Estate Partners Fund V, LP	PFM	Yes	No		
Rockwood Capital Real Estate Partners Fund VI, LP	PFM	Yes	No		
Rockwood Capital Real Estate Partners Fund VII, LP	PFM	Yes	No		
Rogerscasey, Inc.	PFM	Yes	No		
Roosevelt & Cross, Incorporated	DEBT	Yes	No		
Russell Implementation Services, Inc.	PFM	Yes	No		
Samuel A. Ramirez & Co., Inc.	DEBT	Yes	Yes	Pullman & Comley, LLC were paid \$45,000 in connection with legal services. The costs for advertising in various newspapers totalled \$39,755. Miscellaneous expenses related to municipal bond underwriting totalled \$15,930.	Vendor identified exemption. Legal fees, as well as fees related to the issuance of bonds, are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2))
Schroder Investment Management North America, Inc.	PFM	Yes	No		
SCP Private Equity Partners, LP	PFM	Yes	No		
Shenkman Capital Management, Inc.	PFM	Yes	No		
Shipman & Goodwin, LLP	DEBT	Yes	No		
Siebert Brandford Shank Co., LLC	DEBT	Yes	No		
Siebert Capital Markets (a division of Muriel Siebert & Co., Inc.)	CASH	Yes	No		
SMBC Capital Markets, Inc.	DEBT	Yes	No		
Societe Generale	CASH & DEBT	Yes	No		
Soeder & Associates LLC	DEBT	Yes	No		
Sovereign Bank	CASH	Yes	No		
Squire, Sanders & Dempsey LLP	DEBT	Yes	No		
Starwood Global Opportunity Fund VII, LP	PFM	Yes	Yes	Audit and Tax: Deloitte & Touche, LP - \$483,525. Ernst & Young were - \$56,475 Frydland Stevens, LLC - \$452,567 Legal: - Hogan Lovell US, LLP - \$145,516. - Mayer, Brown, Rowe & Mew - \$64,543 - Rinaldi, Finkelstein & Franklin - \$230,943 - White & Case - \$156,747	Vendor identified exemption. Accounting and legal services are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2).

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Starwood Global Opportunity Fund VIII, LP	PFM	Yes	Yes	Legal: - Brownstein Hyatt Farber Schreck, LLP - \$80,085 - Greenberg Traurig - \$360,844 - Haynes and Boone, LLP - \$155,789 - Hogan & Hartson LLP - \$104,692 - Kirkland & Ellis LLP - \$208,041 - Marx/Okubo Associates, Inc. - \$51,250 - Mayer Brown LLP - \$260,378 - McKenna Long & Aldridge, LLP - \$92,309 - Pircher, Nichols & Meeks - \$284,827 - Skadden, Arps, Slate Meagher & Flom, LLC - \$667,989 - Smith Brandon International, Inc. - \$65,550 - Wachtell, Lipton, Rosen & Katz - \$225,000 - White & Case - \$869,473 Valuation: - Altus Group U.S. Inc. - \$159,500 - Arcadis U.S., Inc. - \$57,500 - Jones Lang LaSalle Limited - \$255,122 Auditing - Ernst & Young - \$178,000	Vendor identified exemption. Accounting, legal and valuation services are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2)).
State Street Bank & Trust Company	CASH & PFM	Yes	No		
State Street Global Advisors	PFM	Yes	No		
State Street Global Markets, LLC	CASH	Yes	No		
Sterne, Agee & Leach, Inc.	DEBT	Yes	No		
Stifel Nicolaus & Company, Inc.	CASH	Yes	No		
Stone Harbor Investment Partners, LP	PFM	Yes	No		
Sturdivant & Co.	DEBT	Yes	No		
Susquehanna Financial Group LLLP	CASH	Yes	No		
SW Pelham Fund II, LP	PFM	Yes	Yes	Pricewaterhouse Coopers, LLP was paid \$29,695 for accounting services rendered. Shipman & Goodwin, LLP were paid \$10,474 for legal services rendered. Citi Private Equity Services was paid \$78,988 for back-office support in connection with fund accounting and reporting.	Vendor identified exemption. Legal, accounting and back-office services are permissible third party payments. (See C.G.S. § 3-131(b)(1)(2)).

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Summary of Responses to Request for Disclosure
July 1, 2010 through June 30, 2011

COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
SW Pelham Fund, L.P	PFM	Yes	Yes	Shipman & Goodwin LLP were paid \$6,064 for legal services rendered. Skadden, Arps, Slate, Meagher & Flom, LLP were paid \$149,500 for legal services rendered.	Vendor identified exemption. Legal fees are permissible third party payments. (See C.G.S. § 3-131(b)(1).
Syncom Management Company, Inc.	PFM	Yes	No		
T. Rowe Price Associates, Inc.	PFM	Yes	No		
TA XI, L.P.	PFM	Yes	Yes	TA Associates XI GP, LP were paid \$602,326 in management fees (paid monthly) pursuant to the Limited Partnership Agreement.	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2).
TCW-EIG Alternative Investments, LLC (Energy Fund XV, L.P.)	PFM	Yes	Yes	Credit Suisse Securities, LLC was paid placement agent fees of \$1,350,000. Additional third party payment disclosures were made but not in connection with CRPTF's investment.	Vendor identified exemption. Placement agent fees are permissible third party payments. (See C.G.S. § 3-131(b)(3).
TD Bank, N.A.	CASH	Yes	No		
Teigland-Hunt LLP	PFM	Yes	No		
Thayer Equity Investors IV, LP	PFM	Yes	Yes	Thayer/Hidden Creek Management, LP was paid management fees totalling \$2,666,749 pursuant to the Limited Partnership Agreement.	Vendor identified exemption. Management fees are permissible third party payments. (See C.G.S. § 3-131(b)(2).
The Townsend Group	PFM	Yes	No		
Thomas H. Lee Equity Fund IV, LLC	PFM	Yes	No		
Thomas H. Lee Equity Fund VI, LLC	PFM	Yes	No		
TIAA-CREF Tuition Financing, Inc.	EXEC	Yes	Yes	Sutherland, Asbill & Brennan, LLP were paid \$85,978 in connection with legal services for the CHET program.	Vendor identified exemption. Legal fees are permissible third party payments. (See C.G.S. § 3-131(b)(1).
Trinity Plus Funding Co., LLC	DEBT	Yes	No		
U.S. Bank National Association	CASH & DEBT	Yes	Yes	Shipman & Goodwin LLP were paid \$1,775 in connection with legal services. Murtha Cullina LLP was paid \$4,200 in connection with legal services. Pullman & Comley LLC was paid \$9,485 in connection with legal services.	Vendor identified exemption. Legal fees are permissible third party payments. (See C.G.S. § 3-131(b)(1).
UBS Financial Services, Inc.	CASH & DEBT	Yes	No		
UBS Global Asset Management (Americas), Inc.	PFM	Yes	No		
Updike, Kelly & Spellacy, P.C.	DEBT	Yes	No		
Vista Equity Partners Fund III, LLC	PFM	Yes	Yes	Diamond Edge Capital Partners, LLC was paid \$208,955 for placement agent services.	Vendor identified exemption. Placement agent fees are permissible third party payments. (See C.G.S. § 3-131(b)(3).
Walton Street Real Estate Fund II, LP	PFM	Yes	No		
Webster Bank, N.A.	CASH	Yes	No		
Wellington Management Company	PFM	Yes	No		
Wells Fargo Bank, National Association	CASH & DEBT	Yes	No		

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COMPANY NAME	DIVISION	INVESTMENT SERVICES PROVIDER?	PAID 3RD PARTY FEES?	PAYMENT ARRANGEMENTS	COMMENTS
Wells Fargo Securities	CASH	Yes	No		
Wellspring Capital Partners II, LP	PFM	Yes	No		
Wellspring Capital Partners III, LP	PFM	Yes	No		
Wellspring Capital Partners V, L.P.	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund III, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund VIII, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund X, LP	PFM	Yes	No		
Welsh Carson Anderson & Stowe Fund XI, LP	PFM	Yes	No		
Westport Senior Living Investment Fund, LP	PFM	Yes	No		
Westrock Capital Management, Inc.	DEBT	Yes	No		
William Blair and Company, LLC	DEBT & PFM	Yes	No		
Windsor Federal Savings and Loan Association	CASH	Yes	No		
WLR IV PPIP Co-Invest, L.P.	PFM	Yes	No		
WLR Recovery Fund VI, L.P.	PFM	Yes	No		
Yucaipa American Alliance Fund II, LP	PFM	Yes	Yes	Atlantic-Pacific Capital, Inc. was paid \$450,000 in connection with global placement agent and advisory services.	Vendor identified exemption. Advisory and global placement agent services are permissible third party payments. (See C.G.S. § 3-131(b)(3)).