

AGENDA
STATE OF CONNECTICUT
MUNICIPAL ACCOUNTABILITY REVIEW BOARD
REGULAR MEETING NOTICE AND AGENDA

Meeting Date and Time: Thursday, April 13, 2023, 10:00 AM –12:00 PM

Meeting Location: Board of Regents
61 Woodland Street
Hartford, CT 06105

Meeting materials can be found at
<https://portal.ct.gov/OPM/Marb/Full-Board-Meetings-and-Materials>

Call-In Instructions: Telephone: 1 860-840-2075
Meeting ID: 203 089 029

Agenda

- I. Call to Order & Opening Remarks by Secretary Jeff Beckham and Treasurer Erick Russell

- II. Public Comment Period – *The Public Comment portion of the agenda will be announced by the Chair. Members of the public will be provided an opportunity to speak. Before making their comments, members of the public wishing to speak must be recognized by the Chair. Speakers may be asked to limit their comments due to time constraints of this meeting. Speakers who called in by phone please press *6 to unmute.*

- III. Approval of Minutes:
 - a. March 9, 2023, Regular Meeting

- IV. City of Hartford
 - a. Subcommittee Update
 - b. Review, Discussion and Possible Action: Resolution Authorizing the Issuance and Sale refunding bonds up to \$138,000,000
 - c. Review, Discussion and Possible Action - Labor Contracts
 - i. Tentative Agreement Between Hartford BOE and Child Development Associates, Local 1018F
 - d. Review and Discussion: Board of Education Proposed Budget FY 2024
 - e. Review and Discussion: Monthly Financial Report: February 2023

- V. City of West Haven
 - a. Subcommittee Update
 - b. Review and Discussion:
 - i. FY 2024 BOE Recommended Budget
 - ii. FY 2024 Mayor's Proposed Budget and 5-Year Plan
 - c. Tier IV Updates:
 - i. Update on Whittlesey's Assessment
 - ii. Update on RFP for Finance Manager
 - d. Review and Discussion: Monthly Financial Report: February 2023
 - e. Review, Discussion and Possible Action: Non-Labor Contracts:
 - i. Laydon Industries, LLC – Beach Street Roadway Improvements – Phase 2
 - ii. Northwest Hills Automative, LLC – Purchase of Two Fire Chief Vehicles
 - iii. Columbus House, Inc. – 2022 Housing Crisis Response Program
 - iv. Tilcon Connecticut Inc. – Paving Service for Several Streets
 - f. Review and Discussion: Contracts over \$50,000 not Approved by MARB
 - i. Process for Approval of Contracts
 - ii. List of the Contracts and Supporting Documents – City's New Vehicle for Mayor
 - g. Open Item List
- VI. Town of Sprague
 - a. Subcommittee Update
 - b. Review and Discussion: Monthly Financial Report: February 2023
- VII. Other Business
- VIII. Adjourn

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STATE OF CONNECTICUT
MUNICIPAL ACCOUNTABILITY REVIEW BOARD
REGULAR MEETING MINUTES

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Call-In Instructions: Telephone: 1 860-840-2075
Meeting ID: 416 044 470

Members in Attendance: Jeff Beckham (Secretary), Stephen Falcigno, Thomas Hamilton, and Robert White. **Teams Attendees:** Andrea Comer (State Treasurer’s Designee), David Biller, Sal Luciano, and Mark Waxenberg.

Tier IV Members in Attendance via Teams: Nancy Rossi, Colleen O’Connor

Municipal Officials in Attendance: Mayor Luke Bronin, Leigh Ann Ralls, Julian Freund, Phillip Penn, David Taylor, Lee Tiernan, Tom McCarthy, Matthew Cavallaro, and Rick Spreyer.

Staff in Attendance: Kimberly Kennison, James Caley, Chris Collibee, Simon Jiang, Bill Plummer, Michael Reis, Lori Granato and Lori McLoughlin.

I. Call to Order & Opening Remarks by Secretary Jeff Beckham

The meeting was called to order at 10:04 AM. Mr. Beckham welcomed everyone in attendance and thanked those attending in person. He then acknowledged his new Co-chair, Andrea Comer from the Treasurer's office, representing Treasurer Russell.

II. Public Comment Period – *The Public Comment portion of the agenda will be announced by the Chair. Members of the public will be provided an opportunity to speak. Before making their comments, members of the public wishing to speak must be recognized by the Chair. Speakers may be asked to limit their comments due to time constraints of this meeting.*

Paige Weinstein addressed the Board on behalf of West Haven. Ms. Weinstein disclosed that she is running for mayor for West Haven, however, this was unrelated to her comments. She expressed concern for the continual (negative publicity) bad press faced by West Haven residents. She appealed to the board for prompt resolutions to the many issues facing the Town. She suggested that MARB hold a town hall meeting after business hours which would allow working residents to address their concerns regarding the community, antiquated sewers, lack of

economic growth and the uninsured theft. Alternatively, a specific email address could be created for West Haven residents to voice their concerns. Mr. Beckham indicated that a special meeting would be given consideration.

III. Approval of Minutes:

a. February 16, 2023 Regular Meeting

Mr. White made a motion to approve the minutes, with a second by Mr. Falcigno. The minutes were approved by a vote of 7-0-1, with Secretary Beckham abstaining.

IV. Discussion: Process for Municipality Inquiries

A Waste, Fraud and Abuse Statement was included in the meeting materials. Mr. Beckham stated that this information provided the public with instructions for filing a complaint with the Auditors of Public Accounts (APA), the Federal Bureau of Investigation (FBI), and other law enforcement officials. The nature of complaints would pertain to violations of law or financial impropriety. This statement will be posted on the OMP website.

V. City of Hartford

a. Subcommittee Update

No meeting was held in February 2022.

b. Refunding of Hartford Bonds

Sarah Sanders, Deputy State Treasurer and Ernest Lorimer from Soeder & Associates, provided a transaction summary of the Special Obligation Refunding bonds. In 2018, the State Treasurer and the Secretary of OPM entered into a contract assistance agreement with the City of Hartford to pay contract assistance equal to the debt service on their general obligation bonds. Several of those bonds are callable with a larger chunk becoming callable on April 1, 2023. At the request of the State Treasurer and the Secretary of OPM, the City is working to execute a refunding. Based on current market conditions, this will save the State's General Fund approximately \$10 million over the next 10 years. Currently, the preliminary issue amount is not to exceed \$138 million, with a targeted sale date of April 26th and closing date of May 10th. A review of the transaction will be discussed at the March 26th MARB Hartford Subcommittee Meeting. On April 13th, the full MARB will be asked to approve the sale.

Ms. Sanders stated that these bonds have 5% coupons which make them economical to refund. If interest rates continue to rise, the savings will be reduced and or they may not be worthwhile to refund in the future. Mr. Bronin stated he believes \$396 million is outstanding of the original contract of \$540 million. Mr. Beckham thanked Ms. Sanders for the report and timeline information.

c. Review and Discussion: Monthly Financial Report: January 2023

Mayor Bronin presented the January 2023 financial report. He indicated that the City of Hartford is projecting a net positive variance at the end of the fiscal year of \$7.18 million. This increase, just shy of \$1,000,000 over last month, is driven primarily by positivity in interest rates. In addition, there has been positive tax collection projecting about \$374,000. There are some increases in overtime as well as legal fees, due to a \$300,000 settlement on a workers' compensation case involving COVID. Mr. Bronin stated that any surplus would be used to build on the fund balance to reach the target set with the MARB and secondly, to continue to invest in the City's capital needs without additional borrowing.

Mr. Penn provided an update on January 2023 Board of Education finances. He noted the attached memo had an incorrect date which should show March 2, 2023. Mr. Penn noted a decrease in the expected deficit from the previous month's projection to \$3.5-4.5 million. This is a conservative projection as non-personnel expense does not get fully expended. Also, vacancies and grant funds are being looked at to see if there are opportunities that some expenses can be moved to produce further savings. He is guardedly optimistic that a balanced budget will be achieved by year end. The Board of Education recently adopted a balanced budget, within \$2 million. The board agreed to incorporate a budget task of just under \$2,000,000 and continue to work to identify additional savings between now and the end of FY 23-24. The revenue estimate changes include 1) a new state grant designed to offset an increase in magnet tuition costs, anticipated to be \$3 million benefit, 2) an increase in special education billing for students outside of district of \$500,000, and 3) the board agreed to use about \$9.2 million worth of ESSR funds to help balance the FY 23-24 budget. Mr. Penn cautioned that this will directly impact FY 24-25. The board will need to work on a new cost structure that addresses some of the systemic deficit issues because of declining enrollment and the loss of ESSR funds. Mr. Penn warned that the 24-25 budget deficit could be as high as \$25 million due to inflation.

Ms. Kennison mentioned that there is a reserve of 2% or approximately \$8 million that's already a portion of the fund balance within the city. Mr. Penn stated that this year's plan contemplates use of those reserves. If there is a surplus by the end of the year, this would be refunded. He noted that there had been decrease of \$7.7 million in the magnet school operating grant from a drop in enrollment of approximately 500 suburban students. In addition, there are 300 more Hartford residents attending CREC schools along with a three-year tuition hike.

Mayor Bronin shared that the future fiscal pressure is partly the result of the Sheff settlement. As more students attend magnet schools, increased tuition payments and reduced student count will create a significant fiscal uncertainty. He indicated upcoming proposed legislation, House Bill 5003, will among other things, shift the cost of magnet tuition directly to the state.

VI. City of West Haven

i. Subcommittee Update

A written update was provided in the meeting materials. Ms. Kennison highlighted that the Board of Education presented their FY 24 Proposed Budget at the March Subcommittee meeting. Superintendent Neil Cavallaro stated they were in good shape for the fiscal year. Mayor Rossi has committed, in the 5-Year plan, to provide additional funds to the Board of Education as they've been flat funded for several years. The board agreed that the budget review would be performed at the Full MARB board meeting instead of at the Subcommittee level as this is the first-Tier IV budget review for West Haven. Both the budget and the 5-Year plan will be presented. A number of open items were either resolved or discussed. Considering the firefighters hazardous pay, they are looking to have a tentative agreement to put forth before us by the April subcommittee is what we heard any open items that were about the five-year plan that will be included in the FY 24 Proposed Budget.

b. Presentation: FY 2021 Audit Reports and Update on FY 2022 Audit

Mr. Joseph Centofanti, Partner from PKF O'Connor Davies presented the City's FY 2021 Financial Statements and Federal and State Single Audit. The audit firm issued an unmodified opinion on the City's financial statements and three findings in the report on internal controls and compliance. Net position for governmental activities, which is reported on a full accrual basis, increased by \$2.09 million to \$5.666 million as of June 30, 2021. Four programs were tested during Federal Single Audit and three findings were reported. Eight programs were tested during State Single Audit and three findings were reported. Management Letter is pending. Mr. Centofanti also noted that GASB 87 will be implemented in FY 2022, and GASB 96 in FY 2023.

c. Tier IV Updates:

i: Update on Whittlesey's Assessment

Mr. Engberg described Phase 1 of the engagement includes their assessment of Inventory of Policies and Procedures, Cash Disbursements, Procurement, an Update on the 2018 BlumShapiro Munis Report, an Update to Human Resources Consulting Group reports, Information Technology, and Cybersecurity. Mr. Engberg has provided several reports to the City and Board of Education for their feedback. He is available to meet with Mayor Rossi at her earliest convenience.

ii: OAG Research Legislative Language

There was a request made to an Assistant Attorney General at the last Full MARB meeting to research the legislative language for the Waterbury takeover in preparation for West Haven's consideration. Secretary Beckham reported that the AG's office is not in a position to undertake such research at this time. Furthermore, Secretary Beckham indicated that he does not support this request at this time as such a request is premature to the plan agreed to by the board for the Tier IV oversight and the reform of the city's governance and financial controls. The Secretary wants to see the conclusion of an ongoing financial assessment of the City by Whittlesey as planned. Whittlesey has been under contract for

just over three months and the RFP Proposals for a Financial Manager is underway. If any research or drafting of language is needed, it will be completed by the Secretary's Office.

iii: Update on RFP for Finance Manager

Ms. Kennison reported that the committee is in the process of reviewing the proposals and will meet immediately following this meeting to evaluate the bidders. Interviews will be scheduled and conducted once there is a decision.

d. Review and Discussion West Haven Bond Issuance:

i: West Haven Proposed Bond Anticipation Notes, Issue of 2023

City has \$6,530,000 in short term General Obligation Bond Anticipation Notes (BANs) which were issued on 9/29/22 and mature on 3/28/23. Mr. Bill Lindsay from Munistat provided and presented a Summary of the Plan to finance the refinancing of the debt that is proposed to extend 6 months to September 28, 2023.

ii: 2022-2023 Capital Project Bonding Ordinance

Mr. Lindsay also presented City's proposal of issuance of \$13,894,100 Bonds to appropriate and finance a portion of City's FY 2022-2023 Capital Budget.

e. Review and Discussion: Monthly Financial Report: January 2023

Mr. Taylor provided commentary on the projections in the materials presented to the Board. He noted that property tax revenue continues to proceed in line with expectations. Another significant revenue item, licenses and permits, are slightly below expected. Interest continues to be a significant driver of unanticipated revenue. These items, in addition to others discussed by Mr. Taylor projected revenue over expenses of \$2.5M. Other contingency anticipated expenses have been reduced by \$500K budgeted for potential COVID-related claw backs based on favorable determinations in federal court as well as OPM assistance in allowing for the reallocation of prior submitted expenditures flagged in December 2022 by CohnReznick, as well as \$150K for the police retention program now fully funded through ARPA. The City had a contingency for \$500,000 because of the disallowed items that were submitted for the CRF.

f. Review, Discussion and Possible Action: Non-Labor Contracts:

i. Shoreline Wellness Center, LLC. – Sale of William T. Blake Building

The purchase and sale agreement was reviewed and discussed in February 2023. The appraiser attended the subcommittee meeting and answered questions from board.

Mr. White made a motion to approve the contract, seconded by Ms. Comer. All in favor. Motion carries, the contract was approved.

ii. Greenskies Clean Energy, LLC. – Land Lease Option and Lease Agreement

This is a lease agreement between the city and Greenskies to lease approximately 15 acres of land. The term of the lease is 21 years with an option for 18 months. Lease income would be about \$35,000 annually. It has been reviewed, discussed, and recommended to the Full MARB for approval by the subcommittee.

Mr. Hamilton made a motion to approve the contract, seconded by Mr. White. All in favor. Motion carries, the contract was approved.

iii. Elm City Materials, Inc. – Rockdale Road Sewer Construction Services

This an agreement between the City and Elm City Materials for construction services of sanitary sewers in the Rockdale area. The agreement has a term of 240 calendar dates for \$579,400. It was reviewed, discussed, and recommended to the Full MARB for approval by the subcommittee.

Mr. White made a motion to approve the contract, seconded by Mr. Hamilton. All in favor. Motion carries, the contract was approved.

g. Open Items List

The list is included in the meeting materials. Discussion occurred during the subcommittee meeting. Mr. White suggested that an item to be added to the list to have the City provide a report on whether they have grounds to recover monies wrongly paid by municipal funds or bring action against such parties.

VII. Town of Sprague

b. Review and Discussion: Monthly Financial Report: January 2023

Mr. Beckham noted that the related materials were sent to the board members. OPM had a meeting with Sprague this week in preparation of a subcommittee meeting. FY 22 audit have been completed and will be presented at the next Subcommittee meeting, along with the FY 24 BOE and BOF Proposed Budget.

VIII. Other Business

Mr. Beckham made a motion to open the agenda to discuss the potential invitation to West Haven City Council members to join a special meeting of the MARB in April/May.

XI. Adjourn

Mr. Falcigno made a motion to adjourn, with a second by Mr. White. The meeting adjourned at 12:53 PM.

**MEMORANDUM
MUNICIPAL ACCOUNTABILITY REVIEW BOARD**

To: Municipal Accountability Review Board
From: Kimberly Kennison
Subject: Update on Hartford Subcommittee
Date: April 11, 2023

The Hartford Subcommittee last met on Thursday March 23, 2023.

Review, Discussion and Action Re: Hartford Contract Assistant and Bond Refunding: Sarah Sanders, Deputy State Treasurer provided an updated summary of savings anticipated from the bond refunding along with a draft MARB resolution. The Hartford's Subcommittee of Operation Management, Budget and Government Accountability approved the resolution that will be presented to the Hartford City Council on Monday, March 23, 2023 and then to the Full MARB on April 13, 2023. The Subcommittee recommended the full MARB to approve the City of Hartford's Resolution Authorizing the Issuance and Sale of Refunding Bonds not to exceed 138 million dollars.

Review, Discussion and Action Re: Tentative Agreement Between Hartford BOE and Child Development Associates, Local 1018F: Mr. Penn, Chief Financial Officer of BOE, presented a tentative agreement for a contract with a term of July 1, 2018–June 30, 2026. The agreement provides general wage increases (GWIs) of 2%, retroactive to August 25, 2022, and every year thereafter, plus step movements until June 30, 2026. There has been four years with no increase and no step. Mr. Penn reported that Child Development Associates are 10-month employees and are Pre-K co-head teachers in neighborhood schools, not magnet schools. They are 61 non-certified positions of which 57 are currently filled. This contract was ratified unanimously by the union members after the tentative agreement was in place. A legacy portion of the unit has a PPO which will now be moving to a high deductible plan effective July 1, 2023, with a front load or 75% for the first year of the group that will be transitioning to the new plan. After discussion this labor contract was recommended for approval of the full Board.

Update: Grand List: John Phillip, City Assessor, updated the subcommittee on the October 2022 Net Taxable Grand List. He stated there are no significant changes over last year. Per member's request, a complete report showing market value, ratios and specific categories of real property will be provided to April 2023 Subcommittee meeting.

Review and Discussion Re: Board of Education Budget Update and FY 2024 Budget: Mr. Penn presented the Hartford Board of Education FY 2024 budget which need to be updated after the public hearing. He discussed avenues to mitigate a \$24 million budget gap. Revenue increases included a newly available grant of approximately \$3 million, increases in special education billing of \$500,000 and the use of USSER Funds of \$9.2 million. On the expense side, elimination of twelve vacant positions central office eliminations, and savings from staff turnovers leaving a remaining gap of \$1.9 million. This has been

designated as a budget task line item to continue to work through FY 2023-3024. Mr. Penn indicated that the final budget book is in process and will be distributed when complete.

Update Re: Budget Mitigation Measures: Mr. Freund gave a brief update on the mitigation efforts highlighting the areas of tax collection efforts, MTS collections, and private duty jobs collections. Grant activity continues to be robust with a \$2 million increase. Two significant grants were \$9 million for Riverfront Park and \$2 million bond funding for the Bushnell Park Greenwalk. The Energy Efficiency Staff Coordinator has been vacant for some time. Workers' composition is projected to come in 5% under budget. PMA Care 24 is now live within all City departments, except the Fire Department which will be last to join the program.

Update Re: Milliman Contract: Mrs. Kennison noted that OPM will be hosting a kickoff meeting on March 24, 2023, with Milliman and Mr. Penn from the Board of Education to review the contract and look at the differences in health insurance plans and costs.

The next meeting of the Hartford Subcommittee is scheduled for April 27th.

RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF NOT EXCEEDING \$138,000,000 CITY OF HARTFORD, CONNECTICUT REFUNDING BONDS

WHEREAS, the City of Hartford, Connecticut (the “City”) had, prior to 2016, issued approximately \$542,000,000 general obligation bonds of the City to finance capital improvement projects of the City approved by the Common Council of the City, of which approximately \$ 383.3 million remain outstanding; and

WHEREAS, the General Assembly of the State of Connecticut (the “State”) adopted Public Act 17-2 of the June Special Session, Sections 349 through 376 (the “Act”), which, among other things, allows the State, acting through the Secretary of the Office of Policy and Management of the State (the “Secretary”) and the State Treasurer (“Treasurer”) (collectively the “State Representatives”), to provide contract assistance to certain municipalities meeting certain criteria, including the issuance by the municipality of refunding bonds secured by contract assistance provided by the State, all as set forth in the Act; and

WHEREAS, pursuant to the Act, the Mayor of the City applied to the Secretary for financial assistance and the City has been designated a “Tier III municipality” and has been referred to the Municipal Accountability Review Board established pursuant to the Act (“MARB”); and

WHEREAS, the City and the State entered into the Contract for Financial Assistance, dated March 27, 2018 (the “Contract for Financial Assistance”), which provided for the State to provide contract assistance equal to the debt service on all of City’s then outstanding general obligation bonds (the “Eligible Bonds”); and

WHEREAS, the Act and the Contract for Financial Assistance further provide for the issuance of refunding bonds (“Refunding Bonds”) pursuant to a trust indenture (“Indenture”) to refund the principal of and interest on Eligible Bonds, and for the State to provide contract assistance in respect of such Refunding Bonds; and

WHEREAS, the State Representatives and the City have determined to issue Refunding Bonds pursuant to the Act and the Contract for Financial Assistance for the purpose of reducing debt service on a portion of the Eligible Bonds, thus reducing the amount of contract assistance provided by the State; and

WHEREAS, the Refunding Bonds shall be payable from and secured solely by contract assistance provided by the State pursuant to the Act and the Contract for Financial Assistance; and

WHEREAS, such Refunding Bonds may be issued pursuant to the terms of the Indenture and supplements and amendments thereto (together, “Indentures”), by and between the City and one or more banks, trust companies or national banking associations (“Trustees”) to be appointed by the Mayor and the City Treasurer (the “Authorized City Officials”); and

WHEREAS, such Refunding Bonds may be sold in competitive offerings, negotiated underwritings with one or more underwriters or syndicate of underwriters to be selected by the State Representatives in consultation with the City Treasurer (“Underwriters”), or in private sales with one or more financial institutions to be selected by the State Representatives in consultation with the City Treasurer (“Purchasers”), pursuant to one or more bond purchase agreements (“Bond Purchase

Agreements”), by and between the City and the Underwriters or Purchasers, and through the use of one or more preliminary and final official statements or other offering documents (together, “Official Statements”) of the City; and

WHEREAS, the City desires to have the ability to refund all or a portion of the Eligible Bonds on terms to be negotiated by the Authorized City Officials utilizing the provisions of the Act and the Contract for Financial Assistance, as approved by the State Representatives, by issuing the Refunding Bonds from time to time; and

WHEREAS, the City by resolution of its City Council has approved the issuance of not exceeding \$400,000,000 aggregate principal amount of Refunding Bonds; and

WHEREAS, under Section 367(b)(3) of the Act (later codified in C.G.S. § 7-576d(b)(3)) the issuance by a Tier III municipality of refunding bonds pursuant to section 7-370c of the General Statutes requires the approval of MARB by a vote of five or more of its members, and, accordingly, the issuance by the City of the Refunding Bonds requires the approval of MARB; and

WHEREAS, the City has submitted a request to MARB that it approve the issuance by the City of the Refunding Bonds; and

WHEREAS, MARB shall only approve the issuance of such Refunding Bonds which in its judgment improves the financial condition of the City, and by adoption of this resolution MARB judges the issuance of such Refunding Bonds shall improve the financial condition of the City, inasmuch as the City will no longer be obligated to pay the refunded bonds from any of its resources or the Refunding Bonds from any sources other than the contract assistance provided by the State;

NOW, THEREFORE, BE IT RESOLVED:

The issuance by the City of up to but not to exceed \$138,000,000 aggregate principal amount of Refunding Bonds of the City, is hereby authorized to be issued to refund a portion of the Eligible Bonds to provide debt service savings on terms to be negotiated by the Authorized City Officials and approved by the State Representatives. No such Refunding Bonds shall be issued without the approval of the State Representatives and their determination that the refunding shall reduce the state contract assistance payments to be paid by the State under the Contract for Financial Assistance, based on a certificate by the City to the State Representatives which sets forth the amount of debt service and costs of issuance to be paid with respect to such Refunding Bonds as required by C.G.S. § 7-576j, which shall be conclusively evidenced by their execution and delivery of an amendment or supplement to the Contract for Financial Assistance providing for contract assistance with respect to the Refunding Bonds.

**AMENDMENT NO. 1 TO
CONTRACT FOR FINANCIAL ASSISTANCE**

This AMENDMENT NO. 1 TO CONTRACT FOR FINANCIAL ASSISTANCE (“Amendment No. 1”), amending and supplementing the Contract for Financial Assistance, dated as of March 27, 2018 (the “Contract”) between the City of Hartford, Connecticut (the “Issuer”) and the State of Connecticut (the “State”), acting by and through the Secretary of the Office of Policy and Management and the State Treasurer (together, the “State Representatives”), is entered into this __ day of May, 2023. Capitalized terms used herein and not otherwise defined are used with the meaning ascribed to such terms in the Contract.

WITNESSETH

WHEREAS, pursuant to the Act, the State, acting through the State Representatives, may execute a contract for the provision of contract assistance with respect to outstanding bonds of a municipality designated as a Tier III or Tier IV municipality, and with respect to bonds refunding such bonds, and to amend such contract to provide for the payment of annual debt service on bonds refunding such bonds; and

WHEREAS the Issuer is designated as a Tier III municipality, and the Issuer and the State, acting through the State Representatives, executed the Contract to provide contract assistance with respect to the outstanding Eligible Bonds set out on Exhibit A to the Contract; and

WHEREAS, the Issuer, at the request of the State Representatives, proposes to refund certain of the Eligible Bonds set out on **Schedule 1** hereto (the “2023 Refunded Bonds”) by issuing its City of Hartford, Connecticut Special Obligation Refunding Bonds (State Contract Assistance), Series 2023 (the “2023 Refunding Bonds”) and using the proceeds thereof to redeem the 2023 Refunded Bonds; and

WHEREAS, the 2023 Refunding Bonds will be issued pursuant to a Trust Indenture, dated as of May 1, 2023, between the Issuer and U.S. Bank Trust Company, National Association, as Trustee, as supplemented by a First Supplemental Indenture (the First Supplement”), dated as of May 1, 2023 (as supplemented, the “Indenture”), and the Issuer, as permitted by the Act, will pledge the contract assistance payments to be made by the State with respect to the 2023 Refunding Bonds to the Trustee; and

WHEREAS, to effect the redemption of the 2023 Refunded Bonds, the Issuer on April __, 2023 issued a conditional notice of redemption of the 2023 Refunded Bonds on May 10, 2023 (the “Redemption Date”) and on the Redemption Date will deposit _____ of the proceeds of the 2023 Refunding Bonds (the “Deposit Amount”), representing the principal, interest and redemption premium, if any, due on the 2023 Refunded Bonds on the Redemption Date, with the paying agent for the 2023 Refunded Bonds; and

WHEREAS, upon the redemption of the 2023 Refunded Bonds on the Redemption Date shall have been paid and the State will no longer be obligated to pay any further amounts of contract assistance with respect to the 2023 Refunded Bonds; and

WHEREAS, the effect of the issuance by the Issuer of the 2023 Refunding Bonds and the redemption of the 2023 Refunded Bonds as a result of such issuance shall be to reduce contract assistance payable by the State; and

WHEREAS, Section 12(b) of the Contract provides that if any bonds secured by contract assistance payments shall be redeemed in whole or in part, at the request of the State Representatives, the State and the Issuer shall amend Exhibits B and C thereto to reflect any reduction in debt service attributable to such redemption; and

WHEREAS, the Issuer and the State, acting by and through the State Representatives, now desire to amend the Contract to provide that contract assistance payments will be made with respect to the remaining Eligible Bonds, the 2023 Refunding Bonds, and to reflect the payment or deemed payment of the 2023 Refunded Bonds;

NOW THEREFORE, the State, acting by and through the State Representatives, and the Issuer agree as follows:

SECTION 1. Authority for this Amendment No. 1. This Amendment No. 1, amending and supplementing the Contract, is entered into by the Issuer and the State, acting by and through the State Representatives, in accordance with the provisions of the Act and Sections 2(a), 6 and 12(b) of the Contract.

SECTION 2. Effect on Contract. Except as expressly amended or supplemented herein, the Contract is in all respects ratified and confirmed, and all the terms, provisions, and conditions thereof shall be and remain in full force and effect, and this Amendment No. 1 and all of its terms, provisions and conditions shall be deemed to be a part of the Contract.

SECTION 3. Rules of Construction. All references in the Contract to “this Contract” or words of similar import, and terms “hereby,” “hereto,” “herein,” “hereunder” and any similar terms, as used in the Contract, shall be deemed to refer to the Contract as amended and supplemented by this Amendment No. 1.

SECTION 4. Restatement of Exhibits A, B and C. Exhibit A to the Contract is amended to restate the Eligible Bonds outstanding after the redemption of the 2023 Refunded Bonds and to read as set forth on **Exhibit A** attached hereto. Exhibit B to the Contract is amended to insert the Bond Debt Service schedules with respect to the 2023 Refunding Bonds as set forth on **Exhibit B** attached hereto. Exhibit C to the Contract is amended to delete the Bond Debt Service schedules with respect to the 2023 Refunded Bonds, and to read as set forth on **Exhibit C** attached hereto. Each amendment shall be effective at the time of issuance of the Series 2023 Refunding Bonds and the redemption of the 2023 Refunded Bonds. In accordance with the Indenture and the pledge of contract assistance payments with respect to the 2023 Refunding Bonds thereunder, payments of contract assistance with respect to the 2023 Refunding Bonds shall be made in accordance with the First Supplement by the State directly to the Trustee.

SECTION 5. Approval of the Pledge of Contract Assistance. By execution of this Amendment No. 1, the State Representatives hereby consent to the issuance of the 2023 Refunding Bonds pursuant to Section 9(d)(6) of the Contract and the pledge of contract assistance payments with respect to the 2023 Refunding Bonds to the Trustee as provided in the Indenture.

SECTION 6. Counterparts. This Amendment No. 1 may be executed in several counterparts, each of which shall be an original, and all of which shall constitute but one and the same instrument.

(The next page is the signature page.)

IN WITNESS WHEREOF, the parties have executed this Amendment No. 1 by their respective officers thereto duly authorized, as of the date first above written.

STATE OF CONNECTICUT

By: _____
Erick Russell
State Treasurer

By: _____
Jeffrey R. Beckham
Secretary of the Office of Policy
and Management

CITY OF HARTFORD, CONNECTICUT

By: _____
Luke A. Bronin
Mayor

By: _____
Carmen Sierra
City Treasurer

2023 REFUNDED BONDS

ELIGIBLE BONDS

DEBT SERVICE SCHEDULES FOR REFUNDING BONDS

As of May __, 2023, \$_____ of the Issuer’s Special Obligation Refunding Bonds (State Contract Assistance), Series 2023 (the “2023 Refunding Bonds”) are being issued to refund and defease \$_____ aggregate principal amount of the Issuer’s Eligible Bonds.

This Schedule states the Debt Service with respect to the 2023 Refunding Bonds as follows:

<u>Payment Date</u>	<u>Principal</u>	<u>Interest</u>	Total Debt <u>Service</u>
---------------------	------------------	-----------------	--------------------------------------

DEBT SERVICE SCHEDULES FOR ELIGIBLE BONDS

4857-5924-7955, v. 8

Refunding Issue—Book-Entry-Only

Ratings: Moody's: Aa3
S&P: AA-

In the opinion of Co-Bond Counsel, based on existing statutes and court decisions and assuming the accuracy of and continuing compliance with certain representations and covenants relating to requirements of the Internal Revenue Code of 1986, as amended (the "Code"), interest on the Bonds is excluded from gross income for federal income tax purposes and is not an item of tax preference for purposes of computing the federal alternative minimum tax, however, such interest is taken into account in determining the adjusted financial statement income of certain corporations for purposes of computing the alternative minimum tax imposed on such corporations for tax years beginning after December 31, 2022. In the opinion of Co-Bond Counsel, based on existing statutes, interest on the Bonds is excluded from Connecticut taxable income for purposes of the Connecticut income tax on individuals, trusts and estates, and is excluded from amounts on which the net Connecticut minimum tax is based in the case of individuals, trusts and estates required to pay the federal alternative minimum tax. See "Tax Matters" herein.



\$126,000,000*
CITY OF HARTFORD, CONNECTICUT
SPECIAL OBLIGATION REFUNDING BONDS (STATE CONTRACT ASSISTANCE),
SERIES 2023

DAC Bond[®]

Dated: Date of Delivery

Due: as shown on inside cover page

Interest on the \$126,000,000* City of Hartford, Connecticut, Special Obligation Refunding Bonds (State Contract Assistance), Series 2023 (the "Bonds"), will be payable on October 1, 2023 and semiannually thereafter on April 1 and October 1 in each year until maturity. The Bonds are issuable only as fully registered bonds, without coupons, and, when issued, will be registered in the name of Cede & Co., as Bondowner and nominee for The Depository Trust Company ("DTC"), New York, New York. DTC will act as securities depository for the Bonds. Purchases of the Bonds will be made in book-entry-only form, in denominations of \$5,000 or any multiple thereof. Purchasers will not receive certificates representing their ownership interest in the Bonds. So long as Cede & Co. is the Bondowner, as nominee for DTC, reference herein to the Bondowner or owners shall mean Cede & Co., as aforesaid, and shall not mean the Beneficial Owners (as defined herein) of the Bonds. See "Book-Entry-Only Transfer System" herein. The Bonds are not subject to redemption prior to maturity.

The Bonds will be special obligations of the City of Hartford, Connecticut (the "City") and will be issued pursuant to a Trust Indenture, dated as of May 1, 2023 (the "Original Indenture"), by and between the City and U.S. Bank Trust Company, National Association, as trustee (the "Trustee"), and a First Supplemental Indenture, dated as of May 1, 2023 (the "First Supplemental Indenture", and together with the Original Indenture, the "Indenture"), by and between the City and the Trustee, for the purpose of refunding the Refunded Bonds (as defined herein). The Bonds will be secured pursuant to a Contract for Financial Assistance, dated as of March 27, 2018 (the "Original Assistance Agreement"), by and between the City and the State of Connecticut (the "State"), as amended by Amendment No. 1 to the Contract for Financial Assistance, dated as of May 10, 2023 ("Amendment No. 1" and together with the Original Assistance Agreement, the "Assistance Agreement"), by and between the City and the State.

Pursuant to the Original Assistance Agreement, the State is obligated to make contract assistance payments in the amount of debt service on outstanding general obligation bonds of the City. Pursuant to Amendment No. 1, the State will make contract assistance payments in the amount of debt service on the Bonds and cease making payments in respect of debt service on the Refunded Bonds. Pursuant to the Indenture, the City has pledged its rights under the Assistance Agreement to such contract assistance payments with respect to the Bonds and future parity bonds to the Trustee as security for the Bonds and future parity bonds, and the Bonds and future parity bonds will be payable solely from such contract assistance payments. The obligation of the State to make such contract assistance payments is a full faith and credit obligation of the State for which amounts have been appropriated by the State. In the opinion of Co-Bond Counsel, the appropriation and payment by the State of the contract assistance payments under the Assistance Agreement do not require further legislative approval. See "Security for the Bonds" and "Contract for Financial Assistance" herein.

THE BONDS ARE PAYABLE SOLELY FROM CONTRACT ASSISTANCE PAYMENTS TO BE MADE BY THE STATE UNDER THE ASSISTANCE AGREEMENT. NEITHER THE STATE NOR ANY POLITICAL SUBDIVISION THEREOF, OTHER THAN THE CITY, SHALL BE OBLIGATED TO PAY THE PRINCIPAL OF OR THE INTEREST ON THE BONDS. NEITHER THE FULL FAITH AND CREDIT NOR THE TAXING POWER OF THE STATE OR OF ANY POLITICAL SUBDIVISION THEREOF, INCLUDING THE CITY, IS PLEDGED TO THE PAYMENT OF THE PRINCIPAL OF OR INTEREST ON THE BONDS.

The Bonds are offered for delivery when, as and if issued, and received by the Underwriters, subject to the final approving opinions of Robinson & Cole LLP, Co-Bond Counsel, of Hartford, Connecticut and Shipman & Goodwin LLP, Co-Bond Counsel, of Hartford, Connecticut. Certain matters will be passed upon for the Underwriters by Updike, Kelly & Spellacy, P.C., of Hartford, Connecticut and Hardwick Law Firm, LLC of Hartford, Connecticut. Certain matters will be passed upon for the State by its Disclosure Counsel, Day Pitney LLP, of Hartford, Connecticut. It is expected that delivery of the Bonds in definitive form will be made on or about May 10, 2023 through the facilities of DTC. The Trustee, Certifying Agent, Paying Agent, Registrar and Transfer Agent for the Bonds will be U.S. Bank Trust Company, National Association, CityPlace I, 185 Asylum Street, 27th Floor, Hartford, Connecticut.

This cover page contains certain information for quick reference only. It is not a summary of this issue. Investors must read the entire Official Statement to obtain information essential to the making of an informed investment decision.

SIEBERT WILLIAMS SHANK & CO., LLC

RAYMOND JAMES

AMERICAN VETERANS GROUP, PBC

RAMIREZ & CO., INC.

*Preliminary; subject to change.



CITY OF HARTFORD, CONNECTICUT

\$126,000,000*

CITY OF HARTFORD, CONNECTICUT SPECIAL OBLIGATION REFUNDING BONDS (STATE CONTRACT ASSISTANCE), SERIES 2023

Dated: Date of Delivery

Due: April 1, as shown below

Due	Amount*	Interest Rate	Yield	CUSIP Number¹
2024	\$12,515,000	%	%	
2025	12,351,000			
2026	10,270,000			
2027	12,825,000			
2028	15,825,000			
2029	15,650,000			
2030	14,095,000			
2031	15,295,000			
2032	14,580,000			
2033	2,630,000			

*Preliminary; subject to change.

¹ CUSIP® is a registered trademark of the American Bankers Association. CUSIP data herein are provided by CUSIP Global Services, managed on behalf of the American Bankers Association by FactSet Research Systems Inc., which is not affiliated with the City, and are included solely for the convenience of the holders of the Bonds. The City is not responsible for the selection or use of these CUSIP numbers, does not undertake any responsibility for their accuracy, and makes no representation as to their correctness on the Bonds or as indicated above. The CUSIP number for a specific maturity is subject to being changed after the issuance of the Bonds as a result of various subsequent actions including, but not limited to, a refunding in whole or in part of such maturity or as a result of the procurement of secondary market portfolio insurance or other similar enhancement by investors that is applicable to all or a portion of certain maturities of the Bonds.

No dealer, broker, salesman or other person has been authorized by the City of Hartford, Connecticut (the “City”), the State of Connecticut (the “State”), the Municipal Advisor (as defined herein) or the Underwriters (as defined herein) to give any information or to make any representations, other than those contained in this Official Statement, and if given or made, such other information or representations must not be relied upon as having been authorized by the City, the State, the Municipal Advisor or the Underwriters. This Official Statement does not constitute an offer to sell or the solicitation of an offer to buy, nor shall there be any sale of the Bonds by any person in any jurisdiction in which it is unlawful for such person to make such offer, solicitation or sale. The information set forth herein has been obtained by the City and the State from sources which are believed to be reliable but it is not guaranteed as to accuracy or completeness. The City and the Underwriters have relied entirely on the State for information pertaining to the financial condition of the State. Neither the City nor the Underwriters make any representations as to the accuracy or completeness of such information.

The Official Statement has been prepared only in connection with the initial offering and sale of the Bonds and may not be reproduced or used in whole or in part for any other purpose.

The information, estimates and expressions of opinion herein are subject to change without notice and neither the delivery of this Official Statement nor any sale made hereunder shall, under any circumstances, create any implication that there has been no change in the affairs of the City or the State since the date of this Official Statement.

Other than matters expressly set forth in Appendix I-B herein, Co-Bond Counsel is not passing on and does not assume any responsibility for the accuracy or adequacy of the statements made in this Official Statement and makes no representation that it has independently verified the same.

The City deems this Official Statement to be “final” as of its date for purposes of Securities and Exchange Commission Rule 15c2-12(b)(1), but it is subject to revision or amendment as permitted by the Rule.

Munistat Services, Inc., the municipal advisor to the City (the “Municipal Advisor”), has provided the following sentence for inclusion in this Official Statement. The Municipal Advisor has reviewed the information in this Official Statement in accordance with, and as part of, its responsibilities to the City and, as applicable, to investors under the federal securities laws as applied to the facts and circumstances of this transaction, but the Municipal Advisor does not guarantee the accuracy or completeness of such information.

The Underwriters have provided the following sentence for inclusion in this Official Statement. The Underwriters have reviewed the information in this Official Statement in accordance with, and as part of, their responsibilities to investors under the federal securities law as applied to the facts and circumstances of this transaction, but the Underwriters do not guarantee the accuracy or completeness of such information.

IN CONNECTION WITH THIS OFFERING, THE UNDERWRITERS MAY OVER-ALLOT OR EFFECT TRANSACTIONS WHICH STABILIZE OR MAINTAIN THE MARKET PRICES OF THE BONDS AT LEVELS ABOVE THOSE WHICH MIGHT OTHERWISE PREVAIL IN THE OPEN MARKET. SUCH STABILIZING, IF COMMENCED, MAY BE DISCONTINUED AT ANY TIME.

CO-BOND COUNSEL TO THE CITY

ROBINSON & COLE LLP
Hartford, Connecticut
(860) 275-8200

MUNICIPAL ADVISOR TO THE CITY

MUNISTAT SERVICES, INC.
Madison, Connecticut
(203) 421-2880

SHIPMAN & GOODWIN LLP

Hartford, Connecticut
(860) 251-5000

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DATED FEBRUARY 15, 2023 WITH SUPPLEMENTARY INFORMATION AS OF APRIL 13, 2023

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PART I

INFORMATION CONCERNING THE BONDS

\$126,000,000*
CITY OF HARTFORD, CONNECTICUT
SPECIAL OBLIGATION REFUNDING BONDS (STATE CONTRACT ASSISTANCE),
SERIES 2023

INTRODUCTION

This Official Statement, including the cover page, inside cover page, Part I, Part II, and the appendices thereto, is provided for the purpose of presenting certain information relevant to the City of Hartford, Connecticut (the “City”) and the State of Connecticut (the “State”) in connection with the issuance and sale of the \$126,000,000* City of Hartford, Connecticut, Special Obligation Refunding Bonds (State Contract Assistance), Series 2023 (the “Bonds”).

The Official Statement is not to be construed as a contract or agreement between the City and the purchasers or holders of any of the Bonds. Any statements made in this Official Statement involving matters of opinion or estimates are not intended to be representations of fact, and no representation is made that any such opinion or estimate will be realized. No representation is made that past experience, as might be shown by financial or other information herein, will necessarily continue to be repeated in the future. All quotations and summaries and explanations of statutes, charters or other laws and acts and proceedings of the City and the State contained herein do not purport to be complete, and are qualified in their entirety by reference to the original and all reference to the Bonds and the proceedings of the City and the State relating thereto are qualified in their entirety by reference to the definitive form of the Bonds and such proceedings, and reference is made to said laws for full and complete statements of their provisions.

All references herein to the Indenture and the Bonds are qualified in their entirety by reference to the definitive documents. All capitalized terms used herein shall have the meaning given to them in this Official Statement, including Appendix I-A.

Part I of this Official Statement, including the cover page, inside cover page and appendices thereto, contains information relating to the Bonds. Part II of this Official Statement is the most recent Information Statement of the State and contains certain information about the State as of its date. The cover page, inside cover page, this Introduction, Parts I and Part II and the appendices thereto should be read collectively and in their entirety.

* Preliminary, subject to change.

DESCRIPTION OF THE BONDS

The Bonds will be dated the date of delivery and will mature in annual installments on April 1 in each of the years and in the principal amounts set forth on the inside cover page hereof. The Bonds will be issued in denominations of \$5,000 or any integral multiples thereof. Interest on the Bonds will be payable semiannually on April 1 and October 1 in each year until maturity, at the rate or rates indicated on the inside cover page hereof commencing on October 1, 2023, and will be payable to the registered owners of the Bonds as of the close of business on the fifteenth of March and September in each year, or the preceding business day if the fifteenth is not a business day. Interest will be calculated on the basis of a 360-day year, consisting of twelve 30-day months. A book-entry-only transfer system will be employed evidencing ownership of the Bonds with transfers of ownership on the records of The Depository Trust Company, New York, New York (“DTC”), and its participants pursuant to rules and procedures established by DTC and its participants. See “Book-Entry-Only Transfer System” herein. The Trustee, Certifying Agent, Paying Agent, Registrar, and Transfer Agent for the Bonds will be U.S. Bank Trust Company, National Association, CityPlace I, 185 Asylum Street, 27th Floor, Hartford, Connecticut. The legal opinions on the Bonds will be rendered by co-bond counsel, Robinson & Cole LLP, of Hartford, Connecticut and Shipman & Goodwin LLP of Hartford, Connecticut, in substantially the form set forth in Appendix I-B to this Official Statement. The Bonds are not subject to redemption prior to maturity.

SECURITY FOR THE BONDS

The Bonds will be special obligations of the City of Hartford, Connecticut (the “City”) and will be issued pursuant to a Trust Indenture, dated as of May 1, 2023 (the “Original Indenture”), by and between the City and U.S. Bank Trust Company, National Association, as trustee (the “Trustee”), and a First Supplemental Indenture, dated as of May 1, 2023 (the “First Supplemental Indenture”, and together with the Original Indenture, the “Indenture”), by and between the City and the Trustee, for the purpose of refunding the Refunded Bonds (as defined herein). The Bonds will be secured pursuant to a Contract for Financial Assistance, dated as of March 27, 2018 (the “Original Assistance Agreement”), by and between the City and the State of Connecticut (the “State”), as amended by Amendment No. 1 to Contract for Financial Assistance, dated as of May 10, 2023 (“Amendment No. 1” and together with the Original Assistance Agreement, the “Assistance Agreement”), by and between the City and the State.

THE BONDS ARE PAYABLE SOLELY FROM CONTRACT ASSISTANCE PAYMENTS TO BE MADE BY THE STATE UNDER THE ASSISTANCE AGREEMENT. NEITHER THE STATE NOR ANY POLITICAL SUBDIVISION THEREOF, OTHER THAN THE CITY, SHALL BE OBLIGATED TO PAY THE PRINCIPAL OF OR THE INTEREST ON THE BONDS. NEITHER THE FULL FAITH AND CREDIT NOR THE TAXING POWER OF THE STATE OR OF ANY POLITICAL SUBDIVISION THEREOF, INCLUDING THE CITY, IS PLEDGED TO THE PAYMENT OF THE PRINCIPAL OF OR INTEREST ON THE BONDS.

Assistance Agreement

Pursuant to the Original Assistance Agreement, the State is obligated to make contract assistance payments in the amount of debt service on outstanding general obligation bonds of the City. Pursuant to Amendment No. 1, the State will make contract assistance payments in the amount of debt service on the Bonds and cease making payments in respect of debt service on the Refunded Bonds. Pursuant to the Indenture, the City has pledged its rights under the Assistance Agreement to such contract assistance payments with respect to the Bonds and future parity bonds to the Trustee as security for the Bonds and future parity bonds, and the Bonds and future parity bonds will be payable solely from such contract assistance payments. The obligation of the State to make such contract assistance payments is a full faith and credit obligation of the State for which amounts have been appropriated by the State. In the opinion of Co-Bond Counsel, the appropriation and payment by the State of the contract assistance payments under the Assistance Agreement do not require further legislative approval.

The Indenture

The Indenture provides for the absolute and irrevocable pledge and assignment to the Trustee of all right, title and interest of the City in and to the following (the “Trust Estate”):

- (1) all of the City’s right, title and interest in the Pledged Revenues (as defined herein);

(2) all of the City's right, title and interest in the Bond Proceeds Fund and the Debt Service Fund, together with any and all receipts, funds or moneys, investments and other property of every kind and nature from time to time hereafter on deposit in or payable to such funds and accounts thereof except, with respect to the foregoing, but excluding the Rebate Fund and moneys and securities in the Rebate Fund; and

(3) any and all other property of every kind and nature from time to time hereafter, by delivery or by writing of any kind, conveyed, pledged, assigned or transferred as and for additional security under the Original Indenture by the City or the State or by anyone on their behalf to the Trustee, including without limitation, funds of the City held by the Trustee and the City as security for the Bonds.

See Appendix I-A, Trust Indenture, Granting Clauses. "Pledged Revenues" are defined as (i) the Contract Assistance Payments (as defined in the Assistance Agreement) to be made by the State pursuant to the Assistance Agreement with respect to Refunding Bonds (as defined in the Assistance Agreement) listed on Exhibit B to the Assistance Agreement and (ii) any interest earned or gains realized by the investment of moneys held by the Trustee in the Funds and Accounts created under the Indenture, which are treated under the Indenture as Pledged Revenues and which constitute a part of the Trust Estate. Pledged Revenues do not include Contract Assistance Payments with respect to Eligible Bonds (as defined below).

The Indenture further provides for the issuance of refunding bonds in addition to the Bonds secured on a parity basis with the Bonds so long as the issuance of such additional bonds is approved by the State, acting by and through the State Treasurer and the Secretary of the Office of Policy and Management and the original Assistance Agreement is amended to provide for contract assistance payments of debt service with respect thereto.

Pursuant to the Indenture, the Bonds shall be special, limited obligations of the City, payable solely from the Trust Estate. The Bonds shall constitute a valid claim of the respective owners thereof against the Trust Estate, which is pledged to secure the payment of the principal of and redemption premium, if any, and interest on the Bonds, and which shall be utilized for no other purpose, except as expressly authorized in the Indenture and the First Supplemental Indenture. The Bonds shall not constitute general obligations of the City and under no circumstances shall the Bonds be payable from, nor shall the holders thereof have any rightful claim to, any income, revenues, funds or assets of the City other than those pledged thereunder as security for the payment of the Bonds.

Pursuant to the Indenture, each of the following is an "Event of Default":

- (a) Default in the payment of any installment of interest on any Bond when it becomes due and payable;
- (b) Default in the payment of principal or sinking fund installment of (or redemption premium, if any) on any Bond when it becomes due and payable whether at maturity or upon call for redemption or otherwise;
- (c) The amounts as shall be required to be paid pursuant to the Assistance Agreement shall not be allotted and paid by the State for deposit with the Trustee when due;
- (d)(1) An Event of Bankruptcy of the City; (2) the appointment of a receiver, liquidator, assignee, custodian, trustee, sequestrator or other similar official for the City or for any substantial portion of its property; or (3) the ordering of the winding up or liquidation of the affairs of the City; or
- (e) Subject to the provisions of Section 7.07 of the Indenture, default in the performance, or breach, of any covenant, warranty or representation of the City contained in the Indenture (other than a default under subsections (a) and (b) above).

See Appendix I-A, Trust Indenture, Section 7.01.

Upon the happening and continuance of any Event of Default, the Trustee, in its own name, shall proceed to protect and enforce the rights of Bondholders by the following remedies, as the Trustee, to the extent permitted by law, being advised by counsel, shall deem most effectual to protect and enforce such rights:

- (1) by mandamus or other suit, action or proceeding at law or in equity, to enforce all rights of the Bondholders, including the right of the City to receive Pledged Revenues adequate to carry out the

covenants and agreements as to, and the pledge of, such Pledged Revenues and to require the City to carry out any other covenants or agreements with Bondholders and to perform its duties under the Act;

(2) by bringing suit upon the Bonds;

(3) by action or suit in equity, to require the City to account as if it were the trustee of an express trust for the holders of the Bonds;

(4) by action against the State to require payment of Contract Assistance Payments with respect to Refunding Bonds, including by filing a claim with the Claims Commissioner of the State as provided by the Connecticut General Statutes; or

(5) by action or suit in equity, to enjoin any acts or things which may be unlawful or in violation of the rights of the holders of the Bonds;

provided, however, that there shall be no right under any circumstances to accelerate the maturity of the Bonds. See Appendix I-A, Trust Indenture, Section 7.02.

Pursuant to Amendment No. 1, the State has agreed to make contract assistance payments with respect to the Bonds directly to the Trustee in amounts sufficient to pay the principal of and interest on the Bonds. In the event the Trustee does not receive immediately available funds on each Interest Payment Date and each principal payment date in amounts sufficient to pay the principal of and redemption premium, if any, and interest on the Bonds then due and payable, the Trustee shall notify the City and the State.

The State has never failed to provide funds for the payments required under any contract for financial assistance supporting bonds and has never attempted to prevent or delay such required payments. In addition, the State has never failed to provide funds for the punctual payment of principal or interest on any general obligation indebtedness and has never attempted to prevent or delay such required payments.

Section 7-566 of the Connecticut General Statutes, as amended, provides that no Connecticut municipality shall file a petition in bankruptcy without the express prior written consent of the Governor. This prohibition applies to any town, city, borough, metropolitan district and any other political subdivision of the State having the power to levy taxes and issue bonds or other obligations. Under the Federal bankruptcy code, an involuntary petition cannot be filed against a municipality.

CONTRACT FOR FINANCIAL ASSISTANCE

Public Act No. 17-2 (the "Act") established the Municipal Accountability Review Board ("MARB") to supervise distressed municipalities in the State. The State, acting through the Treasurer and the Secretary of the Office of Policy and Management, is authorized under Section 376 of the Act to enter into contract assistance agreements with municipalities operating as "Tier III or "Tier IV" municipalities under the MARB. Such contract assistance may provide for payment by the State of all or a portion of annual debt service on refunding bonds of a municipality issued to refund outstanding indebtedness of such a municipality, plus costs of issuance. The legislation also authorized the State to provide alternate forms of credit support, provided the alternate support is not in excess of the amount of contract assistance otherwise available. In December 2017, the City applied for and was certified as a Tier III municipality and the City has remained a Tier III municipality since 2017.

On March 27, 2018, acting under the authority of the Act and with the approval of MARB, the State and the City entered into the Original Assistance Agreement which obligated the State to make contract assistance payments equal to the principal of and interest on, when due, all of the City's then outstanding general obligation bonds ("Eligible Bonds"). Under the Act, the obligation of the State to make such contract assistance payments has been appropriated and constitutes a full faith and credit obligation of the State. The State Treasurer is mandated to make such contract assistance payments when due. Under the Original Assistance Agreement, the State has the right to direct the City to refinance the Eligible Bonds with refunding bonds, at which time the Original Assistance Agreement is to be amended to reflect that the payment obligation with respect to refunded bonds will cease and the payment obligation will inure to the refunding bonds. As part of the issuance of the Bonds, the Original Assistance Agreement will be amended pursuant to the execution of Amendment No. 1 to achieve the foregoing purpose.

The Assistance Agreement further provides that the obligation of the State to make contract assistance payments is unconditional and without right of setoff. The Assistance Agreement contains certain rights of the State and

obligations of the City with respect to each other. Notwithstanding the State’s unconditional obligation to make contract assistance payments, the City receives a significant amount of annual discretionary grants from the State, which are subject to appropriation. There is no assurance that the amount of the State’s discretionary grants to the City will be maintained at any particular level. However, the State obligation to make contract assistance payments is not affected by the City’s compliance with the Assistance Agreement or the level of State grants. The Assistance Agreement shall only terminate at such time that there are no longer any obligations of the State to pay contract assistance payments pursuant to the Assistance Agreement.

AUTHORIZATION AND PURPOSE

Authorization. The Bonds are being issued pursuant to the Act, the Assistance Agreement, Title 7 of the General Statutes of Connecticut, as amended, a resolution adopted by the Common Council of the City on March 27, 2023 authorizing the issuance of refunding bonds in an amount not to exceed \$400,000,000 and a resolution adopted by MARB on April 10, 2023 authorizing the issuance of the Bonds in an amount not to exceed \$138,000,000.

Purpose. The Bonds are being issued to refund all or a portion of the aggregate principal amount outstanding of certain general obligation bonds of the City (the “Refunded Bonds”) as shown in the “Plan of Refunding”, attached hereto as Exhibit D.

SOURCES AND USES OF BOND PROCEEDS

Proceeds of the Bonds will be applied as follows:

Sources:	
Proceeds of the Bonds	\$
Net Original Issue Premium (Discount)	
Total Sources	\$
 Uses:	
Redemption of Refunded Bonds	
Costs of Issuance	
Underwriters’ Discount	
Total Uses	\$

VERIFICATION OF MATHEMATICAL COMPUTATIONS

American Municipal Tax Exempt Compliance Corporation, d/b/a AMTEC of Avon, Connecticut (the “Verification Agent”) will deliver to the City and the Underwriters on or before the date of delivery of the Bonds its verification report indicating that it has verified the mathematical accuracy of certain computations showing the adequacy of the cash to provide for the payment when due of the principal of and interest and redemption premiums, if any, on the Refunded Bonds. The verification report will state that the Verification Agent has no obligation to update the report because of events occurring, or data or information coming to its attention, subsequent to the date of the verification report.

QUALIFICATION FOR FINANCIAL INSTITUTIONS

The Bonds shall not be designated by the City as qualified tax-exempt obligations under the provisions of Section 265(b) of the Internal Revenue Code of 1986, as amended, for purposes of the deduction by financial institutions for interest expense allocable to the Bonds.

BOOK-ENTRY-ONLY-TRANSFER SYSTEM

Unless otherwise noted, the description which follows of the procedures and recordkeeping with respect to beneficial ownership interests in the Bonds, payment of interest and other payments on the Bonds to DTC participants or beneficial owners of the Bonds, confirmation and transfer of beneficial ownership interest in the Bonds and other bond-related transactions by and between DTC, the DTC participants and beneficial owners of the

Bonds is based solely on information provided on DTC's website and presumed to be reliable. Accordingly, neither the City nor the Underwriters make any representation as to the completeness or the accuracy of such information or as to the absence of material adverse changes in such information subsequent to the date hereof.

The Depository Trust Company ("DTC"), New York, NY, will act as securities depository for the Bonds. The Bonds will be issued as fully-registered Bonds registered in the name of Cede & Co. (DTC's partnership nominee) or such other name as may be requested by an authorized representative of DTC. One fully-registered Bond certificate will be issued for each maturity of the Bonds, each in the aggregate principal amount of such maturity, and will be deposited with DTC.

DTC, the world's largest securities depository, is a limited-purpose trust company organized under the New York Banking Law, a "banking organization" within the meaning of the New York Banking Law, a member of the Federal Reserve System, a "clearing corporation" within the meaning of the New York Uniform Commercial Code, and a "clearing agency" registered pursuant to the provisions of Section 17A of the Securities Exchange Act of 1934. DTC holds and provides asset servicing for over 3.5 million issues of U.S. and non-U.S. equity issues, corporate and municipal debt issues, and money market instruments (from over 100 countries) that DTC's participants ("Direct Participants") deposit with DTC. DTC also facilitates the post-trade settlement among Direct Participants of sales and other securities transactions in deposited securities, through electronic computerized book-entry transfers and pledges between Direct Participants' accounts. This eliminates the need for physical movement of securities certificates. Direct Participants include both U.S. and non-U.S. securities brokers and dealers, banks, trust companies, clearing corporations, and certain other organizations. DTC is a wholly-owned subsidiary of The Depository Trust & Clearing Corporation ("DTCC"). DTCC is the holding company for DTC, National Securities Clearing Corporation and Fixed Income Clearing Corporation, all of which are registered clearing agencies. DTCC is owned by the users of its regulated subsidiaries. Access to the DTC system is also available to others such as both U.S. and non-U.S. securities brokers and dealers, banks, trust companies, and clearing corporations that clear through or maintain a custodial relationship with a Direct Participant, either directly or indirectly ("Indirect Participants"). DTC has a Standard & Poor's rating of "AA+". The DTC Rules applicable to its Participants are on file with the Securities and Exchange Commission. More information about DTC can be found at www.dtcc.com.

Purchases of the Bonds under the DTC system must be made by or through Direct Participants, which will receive a credit for the Bonds on DTC's records. The ownership interest of each actual purchaser of each Bond ("Beneficial Owner") is in turn to be recorded on the Direct and Indirect Participants' records. Beneficial Owners will not receive written confirmation from DTC of their purchase. Beneficial Owners are, however, expected to receive written confirmation providing details of the transaction, as well as periodic statements of their holdings, from the Direct or Indirect Participant through which the Beneficial Owner entered into the transaction. Transfers of ownership interests in the Bonds are to be accomplished by entries made on the books of Direct and Indirect Participants acting on behalf of Beneficial Owners. Beneficial Owners will not receive certificates representing their ownership interests in the Bonds, except in the event that use of the book-entry system for the Bonds is discontinued.

To facilitate subsequent transfers, all Bonds deposited by Direct Participants with DTC are registered in the name of DTC's partnership nominee, Cede & Co. or such other name as may be requested by an authorized representative of DTC. The deposit of the Bonds with DTC and their registration in the name of Cede & Co. or such other DTC nominee does not affect any change in the beneficial ownership. DTC has no knowledge of the actual Beneficial Owners of the Bonds; DTC's records reflect only the identity of the Direct Participants to whose accounts such Bonds are credited, which may or may not be the Beneficial Owners. The Direct and Indirect Participants will remain responsible for keeping account of their holdings on behalf of their customers.

Conveyance of notices and other communications by DTC to Direct Participants, by Direct Participants to Indirect Participants, and by Direct Participants and Indirect Participants to Beneficial Owners will be governed by arrangements among them, subject to any statutory or regulatory requirements as may be in effect from time to time.

Neither DTC nor Cede & Co. (nor any other DTC nominee) will consent or vote with respect to the Bonds unless authorized by a Direct Participant in accordance with DTC's MMI Procedures. Under its usual procedures, DTC mails an Omnibus Proxy to the City as soon as possible after the record date. The Omnibus Proxy assigns Cede & Co.'s consenting or voting rights to those Direct Participants to whose accounts the Bonds are credited on the record date (identified in a listing attached to the Omnibus Proxy).

Principal and interest payments on, and redemption premium, if any, with respect to the Bonds will be made to Cede & Co. or such other nominee as may be requested by an authorized representative of DTC. DTC's practice is to credit Direct Participants' accounts upon DTC's receipt of funds and corresponding detail information from the City

or the Trustee on the payable date in accordance with their respective holdings shown on DTC's records. Payments by Participants to Beneficial Owners will be governed by standing instructions and customary practices, as is the case with securities held for the accounts of customers in bearer form or registered in "street name," and will be the responsibility of such Participant and not of DTC, nor its nominee, the Trustee or the City, subject to any statutory or regulatory requirements as may be in effect from time to time. Payment of principal and interest payments, and redemption premium, if any, to Cede & Co. (or such other nominee as may be requested by an authorized representative of DTC) is the responsibility of the City or the Trustee, disbursement of such payments to Direct Participants will be the responsibility of DTC, and disbursement of such payments to the Beneficial Owners will be the responsibility of Direct and Indirect Participants.

DTC may discontinue providing its services as depository with respect to the Bonds at any time by giving reasonable notice to the City or the Trustee. Under such circumstances, in the event that a successor securities depository is not obtained, Bond certificates are required to be printed and delivered.

The City may decide to discontinue the use of the system of the book-entry-only transfers through DTC (or a successor securities depository). In that event, Bond certificates will be printed and delivered to DTC.

The information in this section concerning DTC and DTC's book-entry system has been obtained from sources that the City and the Underwriters believe to be reliable, but the City and the Underwriters take no responsibility for the accuracy thereof. Neither the City, the Trustee nor any Underwriter has any responsibility or obligation to DTC's Direct Participants or Indirect Participants or Beneficial Owners with respect to (1) the accuracy of any records maintained by DTC or its Direct Participants or Indirect Participants, (2) the payments by DTC or its Direct Participants or Indirect Participants with respect to the principal of or premium, if any, or interest on the Bonds, (3) any notice which is permitted or required to be given to Bondowners, (4) any consent given by DTC or other action taken by DTC on behalf of Cede & Co. as Bondowner or (5) the selection by DTC or any of its Direct Participants or any Indirect Participants or any Beneficial Owners to receive payment in the event of a partial redemption of the Bonds.

For so long as Cede & Co. is the registered owner of the Bonds, all references herein to the Bondowner or owners of the Bonds shall mean Cede & Co. and shall not mean any Beneficial Owner or Beneficial Owners of the Bonds nor any Direct Participant or Indirect Participant, unless specific exception has been expressed herein.

REPLACEMENT BONDS

The determination of the City officials authorizing the issuance of the Bonds provides for the issuance of fully-registered Bond certificates directly to Beneficial Owners of the Bonds and or their nominees in the event that: (a) DTC determines not to continue to act as securities depository for the Bonds, and the City fails to identify another qualified securities depository for the Bonds to replace DTC; or (b) the City determines to discontinue the book-entry-only system of evidence and transfer of ownership of the Bonds. A Beneficial Owner of the Bonds, upon registration of certificates held in such Beneficial Owner's name, will become the registered owner of the Bonds.

DTC PRACTICES

Neither the City nor the Underwriters can make assurances that DTC, Direct Participants, Indirect Participants or other nominees of the Beneficial Owners of the Bonds will act in a manner described in this Official Statement. DTC is required to act according to rules and procedures established by DTC and its participants which are on file with the Securities and Exchange Commission.

TAX MATTERS

Federal Income Taxes

In the opinion of Co-Bond Counsel, based on existing statutes and court decisions and assuming the accuracy of and continuing compliance by the City with its representations and covenants contained in the Tax Regulatory Agreement, interest on the Bonds is excluded from gross income for federal income tax purposes and is not an item of tax preference for purposes of computing the federal alternative minimum tax, however, such interest is taken into account in determining the adjusted financial statement income of certain corporations for the purpose of computing the federal alternative minimum tax imposed on corporations for tax years beginning after December 31, 2022.

The Internal Revenue Code of 1986, as amended (the "Code"), imposes certain requirements which must be met at and subsequent to delivery of the Bonds in order for interest on the Bonds to be and remain excluded from gross income for federal income tax purposes under Section 103 of the Code. The Tax Regulatory Agreement of the City, which will be

delivered concurrently with the delivery of the Bonds, will contain representations, covenants and procedures relating to compliance with such requirements of the Code. Pursuant to the Tax Regulatory Agreement, the City will covenant and agree at all times to perform all acts and things necessary or appropriate under any valid provision of law in order to ensure that interest on the Bonds shall be excluded from gross income for federal income tax purposes under the Code. Failure to comply with certain requirements of the Code may cause the interest on the Bonds to be included in gross income for federal income tax purposes retroactive to the date of issuance of the Bonds.

No other opinion is expressed by Co-Bond Counsel regarding the federal tax consequences of the ownership of, or the receipt or accrual of interest on, the Bonds.

Original Issue Discount

The initial public offering price of certain maturities of the Bonds are less than their stated principal amounts (the "OID Bonds"). Under existing law, the difference between the stated principal amount and the initial offering price of each maturity of the OID Bonds to the public (excluding bond houses and brokers) at which a substantial amount of such maturity of the OID Bonds is sold will constitute original issue discount ("OID"). The offering prices relating to the yields set forth on the inside cover page of this Official Statement for the OID Bonds are expected to be the initial offering prices to the public at which a substantial amount of each maturity of the OID Bonds were ultimately sold to the public. Under existing law, OID on the OID Bonds accrued and properly allocable to the owners thereof under the Code is excluded from gross income for federal income tax purposes if interest on the OID Bonds is excluded from gross income for federal income tax purposes.

Under the Code, for purposes of determining an owner's adjusted basis in an OID Bond, OID treated as having accrued while the owner holds the OID Bond will be added to the owner's basis. OID will accrue on a constant semiannual compounding method using the yield-to-maturity on such OID Bond. The owner's adjusted basis will be used to determine taxable gain or loss upon the sale or other disposition (including redemption or payment at maturity) of an OID Bond.

Prospective purchasers of OID Bonds should consult their tax advisors regarding the calculation of accrued OID, the accrual of OID in the case of owners of the OID Bonds purchasing such OID Bonds after the initial offering and sale, and the state and local tax consequences of owning or disposing of such OID Bonds.

Original Issue Premium

The initial public offering price of certain maturities of the Bonds are greater than their stated principal amounts (the "OIP Bonds"). The excess of the initial public offering price at which a substantial amount of each OIP Bond is sold over the principal amount payable at maturity or on an earlier call date constitutes original issue premium. The offering prices relating to the yields set forth on the inside cover page of the Official Statement are expected to be the initial public offering prices at which a substantial amount of each maturity of the OIP Bonds were ultimately sold to the public. An owner who purchases an OIP Bond must amortize bond premium as provided in applicable Treasury Regulations, and amortized premium reduces the owner's basis in the OIP Bond for purposes of determining gain or loss upon the sale or other disposition of such OIP Bond by such owner. Amortized original issue premium on an OIP Bond is not treated as a deduction from gross income for federal income tax purposes. Prospective purchasers of OIP Bonds, whether at the date of original issue or subsequent thereto, should consult their tax advisors regarding the amortization of premium and its effect upon basis.

Other Federal Tax Matters

In addition to the matters addressed above, prospective purchasers of the Bonds should be aware that the ownership of tax-exempt obligations, such as the Bonds, may result in collateral federal income tax consequences to certain taxpayers, including without limitation, taxpayers eligible for the earned income credit, recipients of Social Security and certain Railroad Retirement benefits, taxpayers that may be deemed to have incurred or continued indebtedness to purchase or carry tax-exempt obligations, financial institutions, certain insurance companies, certain S corporations with excess net passive income, and foreign corporations subject to the branch profits tax. Prospective purchasers of the Bonds, particularly those subject to special rules, should consult their tax advisors regarding the applicability and impact of such consequences. Prospective purchasers of the Bonds may also wish to consult with their tax advisors with respect to the need to furnish certain taxpayer information in order to avoid backup withholding.

State Taxes

In the opinion of Co-Bond Counsel, under existing statutes, interest on the Bonds is excluded from Connecticut taxable income for purposes of the Connecticut income tax on individuals, trusts and estates and is excluded from amounts on which the net Connecticut minimum tax is based in the case of individuals, trusts and estates required to pay the federal alternative minimum tax.

Interest on the Bonds is included in gross income for purposes of the Connecticut corporation business tax.

Accrued original issue discount on an OID Bond is also excluded from Connecticut taxable income for purposes of the Connecticut income tax on individuals, trusts and estates and is excluded from amounts on which the net Connecticut minimum tax is based in the case of individuals, trusts and estates required to pay the federal alternative minimum tax.

Owners of OID Bonds or OIP Bonds should consult their tax advisors with respect to the determination for state and local income tax purposes of original issue discount or original issue premium accrued upon sale or redemption thereof, and with respect to the state and local tax consequences of owning or disposing of OID Bonds or OIP Bonds.

Owners of the Bonds should consult their tax advisors with respect to other applicable state and local tax consequences of ownership of the Bonds and the disposition thereof.

General

The opinions of Co-Bond Counsel are rendered as of their date and Co-Bond Counsel assumes no obligation to update or supplement their opinions to reflect any facts or circumstances that may come to their attention or any changes in law or the interpretation thereof that may occur after the date of their opinions.

Federal, state or local legislation, administrative pronouncements or court decisions may affect the tax-exempt status of interest on the Bonds, gain from the sale or other disposition of the Bonds, the market value of the Bonds, or the marketability of the Bonds, or otherwise prevent the owners of the Bonds from realizing the full current benefit of the exclusion from gross income of the interest thereon. From time to time, there are legislative proposals pending in the Congress of the United States that, if enacted, could alter or amend the exclusion from gross income of interest on the Bonds. Such proposals, whether or not enacted, also could adversely affect the market price for, or marketability of, the Bonds. Prospective purchasers of the Bonds should consult their own tax and financial advisors regarding such matters.

The discussion above does not purport to address all aspects of federal, state or local taxation that may be relevant to a particular owner of a Bond. Prospective owners of the Bonds, particularly those who may be subject to special rules, are advised to consult their tax advisors regarding the federal, state and local tax consequences of owning and disposing of the Bonds.

RATINGS

Moody's Investors Service ("Moody's") and S&P Global Ratings ("S&P") have assigned their municipal bond ratings of Aa3 and AA- respectively, to the Bonds. S&P has simultaneously affirmed its AA- rating on the balance of the outstanding City General Obligation Bonds guaranteed under the original Assistance Agreement with the State. Each such rating and credit outlook reflects only the views of the respective rating agency, and an explanation of the significance of such rating and credit outlook may be obtained from such rating agency. There is no assurance that such ratings will continue for any given period of time or that they will not be revised or withdrawn entirely by such rating agency if in the judgment of such rating agency circumstances so warrant. A downward revision or withdrawal of any such rating may have an adverse effect on the market prices of the Bonds.

Neither the City, the State nor the Underwriters have agreed to take any action with respect to any proposed rating change or to bring such change, if any, to the attention of owners of the Bonds, other than as required by the City's Continuing Disclosure Agreement and the State's Continuing Disclosure Agreement.

UNDERWRITING

Siebert Williams Shank & Co., LLC, Raymond James & Associates, Inc., American Veterans Group, PBC and Ramirez & Co., Inc. (collectively, the “Underwriters”) have jointly and severally agreed, subject to certain conditions precedent to closing, to purchase the Bonds from the City at an aggregate purchase price of \$_____ (consisting of the principal amount of \$126,000,000*, plus original issue premium of \$_____ and less an Underwriters’ discount of \$_____) pursuant to the terms of a contract of purchase for the Bonds between the City and the Underwriters (the “Contract of Purchase”).

The Underwriters for the Bonds will be obligated to purchase all of the Bonds, if any such bonds are purchased. The Bonds may be offered and sold to certain dealers (including unit investment trusts and other affiliated portfolios of certain underwriters and other dealers depositing the Bonds into investment trusts) at prices lower than such initial public offering prices stated on the inside cover page hereof, and such initial public offering prices may be changed, from time to time, by the Underwriters.

NO LITIGATION; LEGAL MATTERS

There is not now pending or threatened against the City any litigation restraining or enjoining the issuance or delivery of the Bonds, or questioning the validity of such Bonds or the pledge of contract assistance payments as security for the Bonds or the proceedings and authority under which such Bonds are to be issued.

CONTINUING DISCLOSURE

Section 3-20e of the General Statutes of Connecticut gives the State and any municipality of the State such as the City the specific authority to enter into continuing disclosure agreements in accordance with the requirements of Securities and Exchange Commission Rule 15c2-12 (the “Rule”).

The City will enter into a Continuing Disclosure Agreement with respect to the Bonds, substantially in the form attached hereto as Appendix I-C-1, to provide or cause to be provided, in accordance with the requirements of the Rule timely, but not in excess of ten (10) business days after the occurrence of the event, notice of the occurrence of certain events with respect to the Bonds.

To its knowledge, in the last five years the City has not failed to comply in any material respect with its undertakings pursuant to a continuing disclosure agreement executed by the City.

The State will enter into a Continuing Disclosure Agreement, substantially in the form attached hereto as Appendix I-C-2, to provide or cause to be provided, in accordance with the requirements of the Rule (i) annual financial information and operating data, (ii) timely, but not in excess of ten (10) business days after the occurrence of the event, notice of the occurrence of certain events, and (iii) timely notice of a failure by the State to provide the required annual financial information on or before the date specified in the Continuing Disclosure Agreement for the Bonds.

To its knowledge, in the last five years the State has not failed to comply in any material respect with its undertakings pursuant to a continuing disclosure agreement executed by the State. The State has determined it did not timely file an event notice for (i) a rating downgrade of its Certificates of Participation (Connecticut Juvenile Training School Energy Center Project) in April 2018, (ii) a rating upgrade of the short-term rating on the State’s General Obligation Bonds (2016 Series C) (Variable Rate Demand Bonds) in June 2018 and (iii) a notice of the incurrence of financial obligation in connection with the Connecticut Higher Education Supplemental Loan Authority State Supported Revenue Bonds (CHESLA Loan Program) 2020 Series B-AMT and State Supported Revenue Refunding Bonds (CHESLA Loan Program) 2020 Series C Non-AMT in June 2020. The State promptly filed such notices after discovering each omission. In making this disclosure, the State has not concluded and does not admit that these omissions are a material failure to comply with its continuing disclosure obligations. The State has modified its disclosure practices to prevent such failures in the future. Certain prior annual reports of the State and other required reports are available from the Electronic Municipal Market Access website (“EMMA”) of the Municipal Securities Rulemaking Board (the “MSRB”), or such other website as may be designated from time to time by the MSRB or the Securities and Exchange Commission. Filings through EMMA are linked to particular obligations by a 9-digit CUSIP number, based on base (6-digit) CUSIP numbers, which are subject to being changed

* Preliminary, subject to change.

after the issuance of obligations as a result of various actions. The State has entered into continuing disclosure agreements requiring filings to be made with respect to thousands of CUSIP numbers. Most filings by the State through EMMA, such as annual reports, are made using the base 6-digit CUSIP numbers. Although the State endeavors through this process to link each report filed through EMMA to the correct CUSIP number (including those assigned without its knowledge), there can be no guarantee of complete accuracy in this process, given the large number of 9-digit CUSIP numbers assigned to the State's obligations. The State does not believe an inaccuracy resulting from such CUSIP process is a material failure to comply with its continuing disclosure obligations.

TRANSCRIPT AND CLOSING DOCUMENTS

Upon delivery of the Bonds, the Underwriters will be furnished with the following:

1. A Signature and No Litigation Certificate stating that at the time of delivery no litigation is pending or threatened affecting the validity of the Bonds or the pledge of contract assistance payments as security therefor;
2. The approving opinions of Co-Bond Counsel to the City, Robinson & Cole LLP of Hartford, Connecticut and Shipman & Goodwin LLP of Hartford, Connecticut, in substantially the form attached hereto as Appendix I-B;
3. An executed Continuing Disclosure Agreement for the Bonds by the City, in substantially the form attached hereto as Appendix I-C-1;
4. An executed Continuing Disclosure Agreement for the Bonds by the State, in substantially the form attached hereto as Appendix I-C-2;
5. A receipt for the purchase price of the Bonds; and
6. Any other documents required by the Contract of Purchase.

The City has prepared this Official Statement for the Bonds which is dated April [], 2023. The City deems such Official Statement final as of its date for the purposes of SEC Rule 15c2-12(b)(1), but it is subject to revision or amendment. Within seven business days of the execution of the Contract of Purchase, the City will furnish the Underwriters with a reasonable number of copies of the Official Statement, as prepared for this issue at the City's expense.

CONCLUDING STATEMENT

This Official Statement is not to be construed as a contract or agreement between the City and the purchaser or holders of any of the Bonds. Any statements made in this Official Statement involving matters of opinion or estimates are not intended to be representations of fact, and no representation is made that any of such opinion or estimate will be realized.

This Official Statement is submitted only in connection with the sale of the Bonds by the City and may not be reproduced or used in whole or in part for any other purpose.

CITY OF HARTFORD, CONNECTICUT

By: _____
Luke A. Bronin, Mayor

By: _____
Carmen Sierra, Treasurer

Dated: April __, 2023

APPENDIX I-A – TRUST INDENTURE AND FIRST SUPPLEMENTAL INDENTURE

R&C DRAFT 04/07/2023

TRUST INDENTURE

between

CITY OF HARTFORD, CONNECTICUT

and

**U.S. BANK TRUST COMPANY, NATIONAL ASSOCIATION,
as Trustee**

Dated as of May 1, 2023

**CITY OF HARTFORD, CONNECTICUT
SPECIAL OBLIGATION REFUNDING BONDS
(STATE CONTRACT ASSISTANCE)**

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TRUST INDENTURE

THIS TRUST INDENTURE, dated as of May 1, 2023 (this “Indenture”), is made by and between the **CITY OF HARTFORD, CONNECTICUT** (the “City”), a political subdivision of the State of Connecticut (the “State”), and **U.S. BANK TRUST COMPANY, NATIONAL ASSOCIATION**, a national banking association, as trustee (the “Trustee”), authorized to accept and execute the duties and obligations of the character herein set out, with its principal corporate trust office located in Hartford, Connecticut:

WITNESSETH:

WHEREAS, pursuant to Chapter 109 of the General Statutes of Connecticut, Revision of 1958, as amended (the “Connecticut General Statutes”), and various bond ordinances adopted by the Common Council of the City (the “Common Council”), the City has issued numerous series of its general obligation bonds (the “Prior Bonds”);

WHEREAS, the General Assembly of the State has adopted Public Act No. 17-2, June Special Session, Sections 349 to 376, as may be amended from time to time (the “Act”), which provides for the certification and designation of financially distressed Connecticut municipalities into various tiers and provides various means of financial assistance to such municipalities;

WHEREAS, pursuant to the Act, the Mayor of the City applied to the Secretary of the Office of Policy and Management of the State (the “Secretary”) for financial assistance and the City has been designated a “Tier III municipality” and has been referred to the Municipal Accountability Review Board established pursuant to the Act;

WHEREAS, pursuant to Section 376 of the Act, the Secretary and the State Treasurer of the State (the “State Treasurer”) may enter the State into a contract with any designated Tier III or Tier IV municipality for the provision of contract assistance to such municipality in accordance with the provisions of Section 376 of the Act, including making debt service payment on behalf of such municipality directly to the municipality, trustee, paying agent or holder of refunding bonds, other bonds or notes that are the subject of such contract, and the costs of issuance of any refunding bonds;

WHEREAS, pursuant to Section 376 of the Act, a contract providing for contract assistance shall constitute a full faith and credit obligation of the State and as part of such contractual obligation of the State to such municipality, trustee, paying agent or holder of any such refunding bonds, other bonds or notes, as applicable, appropriation of all amounts necessary to timely meet the terms of such contractual obligation is made pursuant to the Act and the State Treasurer shall pay such amounts as the same become due to such municipality, trustee, paying agent or holder, as applicable;

WHEREAS, pursuant to Section 376 of the Act, any designated Tier III or Tier IV municipality that enters into such a contract may pledge such contract assistance of the State as security for the payment of refunding bonds issued by such municipality;

WHEREAS, pursuant to the Act, the Secretary and the State Treasurer have entered into a Contract for Financial Assistance, dated March 27, 2018, as may be amended, modified and supplemented from time to time as provided therein (the “Assistance Agreement”), with the City, which provides for the State to provide contract assistance equal to the debt service on certain Prior Bonds listed on Exhibit A thereto (“Eligible Bonds”);

WHEREAS, the Act and the Assistance Agreement further provide for the issuance of refunding bonds pursuant to an indenture of trust to refund the principal of and interest on Eligible Bonds, and for the State to provide contract assistance in respect of such refunding bonds;

WHEREAS, the Secretary, the State Treasurer and the City have determined to authorize the issuance of, from time to time, the City’s special obligation refunding bonds, in one or more series (“Bonds”), and use the proceeds derived from the sale thereof to refund certain Eligible Bonds; and

WHEREAS, all things necessary to make the Bonds, when issued, executed and delivered by the City and authenticated by the Trustee, to the extent required pursuant to this Indenture, the valid, binding and legal special

limited obligations of the City, and to constitute this Indenture as a valid assignment and pledge of the revenues herein pledged to the payment of the principal of, redemption premium, if any, and interest on the Bonds and a valid assignment and pledge of certain rights of the City has been done and performed, and the creation, execution and delivery of this Indenture, and the execution, issuance and delivery of the Bonds, subject to the terms hereof, have in all respects been duly authorized;

NOW, THEREFORE, THIS INDENTURE WITNESSETH, that to secure the payment of principal of, redemption premium, if any, and interest on the Bonds according to their true intent and meaning, and all other amounts due from time to time under this Indenture, including those due to the Trustee, to secure the performance and observance of all of the covenants, agreements, obligations and conditions contained in the Bonds and in this Indenture, and to declare the terms and conditions upon and subject to which the Bonds are and are intended to be issued, held, secured and enforced and in consideration of the premises and the acceptance by the Trustee of the trusts created herein and of the purchase and acceptance of the Bonds by the Bondholders and for other good and valuable consideration, the receipt of which is acknowledged, the City has executed and delivered this Indenture and absolutely and irrevocably pledges and assigns to the Trustee and to its successors in trust, on the basis set forth herein, and its and their assigns, and grants a security interest in and a lien on, all right, title and interest of the City in and to the following (the "Trust Estate"):

(1) all of the City's right, title and interest in the Pledged Revenues;

(2) all of the City's right, title and interest in the Bond Proceeds Fund and the Debt Service Fund, together with any and all receipts, funds or moneys, investments and other property of every kind and nature from time to time hereafter on deposit in or payable to such funds and accounts thereof except, with respect to the foregoing, but excluding the Rebate Fund and moneys and securities in the Rebate Fund; and

(3) any and all other property of every kind and nature from time to time hereafter, by delivery or by writing of any kind, conveyed, pledged, assigned or transferred as and for additional security hereunder by the City or the State or by anyone on their behalf to the Trustee, including without limitation, funds of the City held by the Trustee and the City as security for the Bonds.

TO HAVE AND TO HOLD all and singular the Trust Estate, whether now owned or hereafter acquired, unto the Trustee in trust and its successors and assigns forever, subject, however, in all cases to the application thereof for the purposes and on the terms and conditions hereinafter set forth in this Indenture;

IN TRUST, NEVERTHELESS, and subject to the provisions hereof, (a) for the equal and proportionate benefit, security and protection of all future Owners of Bonds, for the enforcement of the payment of the principal of redemption premium, if any, and interest on the Bonds, and all other amounts due from time to time under this Indenture, including those due to the Trustee, when payable, according to the true intent and meaning thereof and of this Indenture, and (b) to secure the performance and observance of and compliance with the covenants, agreements, obligations, terms and conditions of this Indenture, in each case, without preference, priority or distinction, as to lien or otherwise except as provided herein, of any one Bond over any other by reason of designation, number, date of the Bonds or of authorization, issuance, sale, execution, authentication, delivery or maturity thereof, or otherwise, so that each Bond and all Bonds shall have the same right, lien and privilege under this Indenture and shall be secured equally and proportionately by this Indenture, it being intended that the lien and security of this Indenture shall take effect from the date hereof, without regard to the date of the actual issue, sale or disposition of the Bonds, as though upon that date all of the Bonds were actually issued, sold and delivered to purchasers for value; provided, however, that, upon satisfaction of and in accordance with the provisions of Article IX, except as otherwise set forth herein, the rights assigned hereby shall cease, determine and be void to the extent described therein; otherwise, such rights shall be and remain in full force and effect;

PROVIDED, FURTHER, that the pledge of the right, title and interest of the City in and to the Trust Estate is given subject to the right of the City to issue Additional Bonds secured on a parity basis with the Bonds by the Trust Estate; and

IT IS DECLARED that all Bonds issued under and secured by this Indenture are to be issued, authenticated and delivered, and that all Pledged Revenues assigned or pledged hereby are to be dealt with and disposed of under, upon and subject to, the terms, conditions, stipulations, covenants, agreements, obligations, trusts,

uses and purposes provided in this Indenture; and the City has agreed and covenanted, and agrees and covenants with the Trustee and with each and all Bondholders, as follows:

ARTICLE I DEFINITIONS AND INTERPRETATIONS

Section 1.01 Definitions. Unless the context otherwise requires, the terms defined in this Article I shall, for all purposes of this Indenture and of any indenture supplemental hereto, have the meanings herein specified:

“*Act*” has the meaning set forth in the recitals to this Indenture.

“*Additional Bonds*” means additional parity bonds authorized to be issued by the City pursuant to Section 2.13, including bonds issued to refund Bonds.

“*Assistance Agreement*” has the meaning set forth in the recitals to this Indenture.

“*Attesting Officer*” means the Town and City Clerk of the City.

“*Authorized Denomination*” means for the Series of Bonds to be issued, \$5,000 or any integral multiple in excess thereof, or the amounts set forth in a Supplemental Indenture.

“*Authorized Officer*” means: (i) in the case of the City, the Mayor, the City Treasurer or the Finance Director, and when used with reference to any act or document also means any other person authorized to perform any act or sign any document by or pursuant to an ordinance or a resolution of the Common Council; (ii) in the case of the State, the Secretary, the State Treasurer or the Deputy Treasurer of the State; (iii) in the case of the Trustee, any officer in its corporate trust administration department, and when used with reference to any act or document also means any other person authorized to perform any act or sign any document by or pursuant to a resolution of the governing body of the Trustee; and (iv) in the case of the Paying Agent, any officer in its corporate trust administration department, and when used with reference to any act or document, including any authentication of the Bonds, also means any other person authorized to perform any act or sign any document by or pursuant to a resolution of the governing body of the Paying Agent.

“*Authorized Officer’s Certificate*” or “*Officer’s Certificate*” means a certificate signed by an Authorized Officer.

“*Bankruptcy Law*” means Title 11 of the United States Code, as it is amended from time to time and any successor to or replacement of such Title and any other applicable federal or state bankruptcy, insolvency or other similar law.

“*Beneficial Owner*” means, for any Bond which is held by a nominee, the beneficial owner of such Bond.

“*Bond*” or “*Bonds*” means the Series 2023 Bonds and any Additional Bonds issued under this Indenture.

“*Bond Counsel*” means, with respect to the Bonds, Robinson & Cole LLP and Shipman & Goodwin LLP, both of Hartford, Connecticut, or any other nationally recognized bond counsel firm selected by the City and acceptable to the Trustee.

“*Bond Proceeds Fund*” means the trust fund so designated and established by Section 4.01, which is described in Section 4.02.

“*Bondholder*” or “*holder of Bonds*” or “*Owner*” or “*Owners*” means the Person who owns a Bond, provided that, pursuant to Section 2.08, the Person in whose name a Bond is registered in the Bond Register shall be regarded for all purposes as such owner.

“*Bond Register*” and “*Bond Registrar*” shall have the respective meanings specified in Section 2.08.

“*Book Entry Bonds*” means that part of a Series of Bonds for which a Securities Depository or its nominee is the Bondholder.

“*Business Day*” means any day of the year other than (a) a Saturday or Sunday, (b) any day on which banks located in Connecticut, Minnesota or the city in which the Office of the Trustee or the Office of the Paying Agent is located are closed, (c) any day on which the New York Stock Exchange is closed or (d) any day on which the Federal Reserve payment system is not operational.

“*City*” means the City of Hartford, Connecticut and its successors and assigns.

“*Code*” means the Internal Revenue Code of 1986, as amended, and the Regulations.

“*Conditional Redemption*” means a redemption where the City has stated in the redemption notice to the Trustee that (a) the redemption is conditioned upon the deposit of funds, the issuance of Bonds, or for other reasons or (b) the City has retained the right to rescind the redemption, as further described in Section 3.03.

“*Common Council*” has the meaning set forth in the recitals to this Indenture.

“*Connecticut General Statutes*” has the meaning set forth in the recitals to this Indenture.

“*Continuing Disclosure Agreements*” means (a) the Continuing Disclosure Agreement of the State, pertaining to disclosure of certain annual financial information and operating data of the State, including timely notice of a failure by the State to provide the required annual financial information, in accordance with Rule 15c2-12 of the Securities Exchange Commission, and (b) the Continuing Disclosure Agreement of the City, pertaining to disclosure by the City of the occurrence of certain events in a timely manner not in excess of ten (10) business days after the occurrence of such event, in accordance with Rule 15c2-12 of the Securities Exchange Commission.

“*Costs of Issuance*” means all costs related to the proceedings under which Bonds are issued hereunder, including but not limited to administrative expenses, insurance premiums, auditing and legal expenses, fees and expenses incurred for professional consultants, financial advisors and fiduciaries, fees and expenses of the Trustee, fees for issuing and paying agents, fees and expenses of remarketing agents and dealers, fees and expenses of the Underwriters if payable other than as a result of a discount on the purchase price of Bonds, fees and expenses of rating agencies, transfer or information agents, the publication of advertisements and notices, printers’ fees or charges incurred by the City to comply with applicable federal and State securities or tax laws; and with respect to Bonds the interest on which is excludable from gross income of the recipient under the Code means only the costs of issuance of a Series of Bonds which may be paid with Bond proceeds as shall be consistent with Section 6.03 hereof.

“*Costs of Issuance Account*” means such account established and governed by Section 4.01 hereof.

“*Counsel*” means an attorney or law firm (who may be counsel for the City), acceptable to the City, the State and the Trustee.

“*Debt Service Fund*” means the trust fund so designated which is described in Section 4.03.

“*Defeasance Obligations*” means: (i) non-callable direct obligations of, or obligations the timely payment of principal of and interest on which are unconditionally guaranteed by, the United States of America, including State and Local Government Series securities; and (ii) any bonds or other obligations of any state of the United States of America or of any agency, instrumentality or local government unit of any such state (a) which are not callable prior to maturity or as to which irrevocable instructions have been given to the trustee of such bonds or other obligations by the obligor to give due notice of redemption and to call such bonds for redemption on the date or dates specified in such instructions, (b) which are secured as to principal and interest and redemption premium by a fund consisting only of cash or bonds or other obligations of the character described in clause (i) hereof which fund may be applied only to the payment of such principal of and interest and redemption premium, if any, on such bonds or other obligations on the maturity date or dates thereof or the redemption date or dates specified in the irrevocable instructions referred to in subclause (a) of this clause (ii), as appropriate, (c) as to which the principal of and interest on the bonds and obligations of the character described in clause (i) hereof which have been deposited in

such fund along with any cash on deposit in such fund are sufficient to pay principal of and interest and redemption premium, if any, on the bonds or other obligations described in this clause (ii) on the maturity date or dates thereof or on the redemption date or dates specified in the irrevocable instructions referred to in subclause (a) of this clause (ii) as appropriate, and (d) which are rated “AAA” by S&P or “Aaa” by Moody’s.

“*Depository Participants*” means any Person for which the Securities Depository holds Bonds as securities depository.

“*DTC*” shall have the meaning given to such term in Section 2.12.

“*Eligible Bonds*” has the meaning set forth in the recitals to this Indenture

“*Eligible Investments*” means Governmental Obligations and STIF.

“*Event of Bankruptcy*” means the filing of a petition in bankruptcy (or other commencement of a bankruptcy or similar proceedings) by the City, as debtor, under Bankruptcy Law.

“*Event of Default*” means any of the events specified in Section 7.01 to be an Event of Default. A “default” means any event which, with the giving of notice or the lapse of time or both, would constitute an Event of Default.

“*Funds*” means (a) the Bond Proceeds Fund, the Debt Service Fund, and the Rebate Fund, including any account within each such Fund, and (b) any other Fund designated as such with respect to a Series of Bonds pursuant to a Supplemental Indenture.

“*Governmental Obligations*” means (a) noncallable, nonredeemable direct obligations of the United States of America, including State and Local Government Series securities, and (b) obligations the timely payment of the principal of, and interest on, which is fully and unconditionally guaranteed by the United States of America, and (c) securities or receipts evidencing ownership interests in obligations or specified portions (such as principal or interest) of obligations described in (a) or (b); provided that such Governmental Obligations are readily available to the Trustee.

“*Immediate Notice*” means notice transmitted by electronic means, in writing, or by telephone (promptly confirmed in writing) and received by the party addressed.

“*Indenture*” means this Trust Indenture, as amended or supplemented from time to time.

“*Interest Payment Date*” shall mean for the Series of Bonds to be issued, the dates designated for the payment of interest set forth in a Supplemental Indenture.

“*Issue Date*” shall mean for the Series of Bonds to be issued, the date of issuance and delivery of such Bonds to the Underwriters or purchasers thereof set forth in a Supplemental Indenture.

“*Letter of Representations*” shall mean when all the Bonds of a Series are Book Entry Bonds, the related Letter of Representations, dated March 14, 2023, executed by the City and delivered to the Securities Depository and any amendments thereto or successor agreements between the City or the Trustee and any successor Securities Depository, relating to a system of Book Entry Bonds to be maintained by the Securities Depository with respect to the Bonds of such Series.

“*MARB Act*” means Chapter 117, Sections 7-560 through 7-599, of the Connecticut General Statutes.

“*Moody’s*” means Moody’s Investors Service Inc., a corporation organized and existing under the laws of the State of Delaware, its successors and assigns, and, if such corporation shall be dissolved or liquidated or shall no longer perform the functions of a securities rating agency, “Moody’s” shall be deemed to refer to any other nationally recognized securities rating agency designated by the City, by notice to the Trustee.

“Municipal Accountability Review Board” means the Municipal Accountability Review Board established pursuant to Section 7-576d of the MARB Act.

“Office of the Paying Agent” means the designated office or offices of the Paying Agent, which office or offices at the date hereof are set out in Section 11.04.

“Office of the Trustee” means the designated corporate trust office or offices of the Trustee, which office or offices at the date of acceptance by the Trustee of the duties and obligations imposed on the Trustee by this Indenture are set out in Section 11.04.

“Official Statement” means an Official Statement of the City relating to a Series of Bonds, containing information, data and statistics concerning the City, the State, the Bonds and other information, and the appendices thereto.

“Outstanding,” in connection with Bonds means, as of the date in question, all Bonds authenticated and delivered under this Indenture, except:

- (a) Bonds theretofore cancelled or delivered to the Trustee for cancellation under Section 2.11;
- (b) Bonds which are deemed to be no longer Outstanding in accordance with Article IX; and
- (c) Bonds in substitution for which other Bonds have been authenticated and delivered pursuant to Article II.

In determining whether the owners of a requisite aggregate principal amount of Bonds Outstanding have concurred in any request, demand, authorization, direction, notice, consent or waiver under the provisions hereof, Bonds which are held by or on behalf of the City or the State (unless all of the Outstanding Bonds are then owned by the City or the State) shall be disregarded for the purpose of any such determination.

“Paying Agent” or *“Co-Paying Agent”* means any national banking association, state bank, bank and trust company or trust company appointed by the City and meeting the qualifications of, and subject to the obligations of, the Trustee in Article VIII. Initially, the Trustee shall be the Paying Agent.

“Person” or *“person”* means an individual, corporation, firm, association, partnership, limited liability company, trust, or other legal entity or group of entities, including a governmental entity or any agency or political subdivision thereof.

“Pledged Revenues” means (i) the Contract Assistance Payments (as defined in the Assistance Agreement) to be made by the State pursuant to the Assistance Agreement with respect to Refunding Bonds (as defined in the Assistance Agreement) listed on Exhibit B to the Assistance Agreement and (ii) any interest earned or gains realized by the investment of moneys held by the Trustee in the Funds and Accounts created under this Indenture, which are treated hereunder as Pledged Revenues and which constitute a part of the Trust Estate. Pledged Revenues do not include Contract Assistance Payments with respect to Eligible Bonds (as defined in the Assistance Agreement).

“Rating Service” means Moody’s, S&P, and any nationally recognized securities rating service that shall have assigned a rating that is then in effect with respect to the Bonds upon application of the City.

“Rebate Amount” has the meaning ascribed in Section 1.148-3(b) of the Regulations and generally means the excess as of any date of the future value of all receipts on nonpurpose investments over the future value of all payments on nonpurpose investments all as determined in accordance with Section 1.148-3 of the Regulations.

“Rebate Fund” means the fund so designated which is described in Section 4.06.

“Record Date” shall mean for the Series of Bonds to be issued, the dates set forth in a Supplemental Indenture.

“*Regulations*” means any applicable Internal Revenue Service Regulations promulgated in proposed, temporary or final form. Proposed regulations are “applicable” only if, in the event they are adopted in final form, such regulations would apply to the Bonds.

“*Responsible Officer*,” when used with respect to the Trustee, means any officer in the corporate trust department (or any successor thereto) of the Trustee, including any vice president, assistant vice president, assistant secretary, assistant treasurer, trust officer or any other officer of the Trustee who customarily performs functions similar to those performed by the persons who at the time shall be such officers, respectively, or to whom any corporate trust matter is referred because of such person’s knowledge of and familiarity with the particular subject and who shall have direct responsibility for the administration of this Indenture.

“*Resolution*” means the bond resolution adopted by the Common Council on March 27, 2023, authorizing the issuance of the Bonds.

“*Securities Depository*” means DTC or any other Person that is registered as a clearing agency under Section 17A of the Securities Exchange Act of 1934 or whose business is confined to the performance of the functions of a clearing agency with respect to exempted securities, as defined in Section 3(a)(12) of such Act for the purposes of Section 17A thereof.

“*Series*” or “*Series of Bonds*” means the Series 2023 Bonds and any Additional Bonds so designated pursuant to a Supplemental Indenture in compliance with Section 2.07 or Section 2.13 hereof, as applicable.

“*Series 2023 Bonds*” means the City’s \$[_____]000 aggregate principal amount of Special Obligation Refunding Bonds (State Contract Assistance), Series 2023, the first Series of Bonds issued pursuant to this Indenture.

“*S&P*” means S&P Global Ratings, a corporation organized and existing under the laws of the State of New York, its successors and assigns, and, if such corporation shall be dissolved or liquidated or shall no longer perform the functions of a securities rating agency, “S&P” shall be deemed to refer to any other nationally recognized securities rating agency designated by the City, by notice to the Trustee.

“*State*” means the State of Connecticut.

“*STIF*” means the Short-Term Investment Fund established under Section 3-27a of the Connecticut General Statutes.

“*Supplemental Indenture*” means any supplemental indenture entered into by the City and the Trustee pursuant to and in compliance with the provisions of Article X hereof providing for the issuance of Bonds pursuant to this Indenture, and shall also mean any other supplemental indenture between the same parties entered into pursuant to and in compliance with the provisions of Article X hereof amending or supplementing the provisions of this Indenture as originally executed or as theretofore amended or supplemented.

“*Tax-Exempt Bonds*” means any Series of Bonds issued hereunder the interest on which is intended to be excluded from gross income for federal income tax purposes under the Code.

“*Tax Regulatory Agreement*” means a Tax Regulatory Agreement, by City, including all appendices, certificates and attachments thereto, executed on the date of issuance and delivery of Tax-Exempt Bonds, as it may be amended from time to time.

“*Trust Estate*” has the meaning set forth in the recitals to this Indenture.

“*Trustee*” means U.S. Bank Trust Company, National Association, a national banking association, and any successor trustee under this Indenture, acting in its trust capacity.

“*Underwriters*” means for a Series of Bonds to be issued, the underwriters named in the Supplemental Indenture related to such Series of Bonds, if any.

Section 1.02 Rules of Interpretation. For purposes of this Indenture, except as otherwise expressly provided or the context otherwise requires:

(a) The words “herein,” “hereof” and “hereunder” and other similar words refer to this Indenture as a whole and not to any particular Article, Section or other subdivision.

(b) The definitions in this Article are applicable whether the terms defined are used in the singular or the plural.

(c) All accounting terms which are not defined in this Indenture have the meanings assigned to them in accordance with then applicable generally accepted accounting principles.

(d) Any pronouns used in this Indenture include both the singular and the plural and cover both genders.

(e) Any terms not defined in this Indenture but which are defined in the Assistance Agreement have the same meaning in this Indenture as are given to them in the Assistance Agreement.

(f) Any terms defined elsewhere in this Indenture have the meanings attributed to them where defined.

(g) Words referring to the redemption or calling for redemption of Bonds shall not be deemed to refer to the payment of Bonds at their stated maturity.

(h) The captions or headings herein are for convenience only and in no way define, limit or describe the scope or intent, or control or affect the meaning or construction, of any provisions or sections hereof.

(i) Any references to Section numbers are to Sections of this Indenture unless stated otherwise.

ARTICLE II AUTHORIZATION, EXECUTION, AUTHENTICATION, REGISTRATION AND DELIVERY OF BONDS

Section 2.01 Authorization of Bonds; Limitation. The Bonds shall be authorized by the City pursuant to a Resolution adopted by the Common Council, subject to the approval of the Secretary and the State Treasurer pursuant to the Assistance Agreement. The Bonds shall be issued as special, limited bonds of the City in the aggregate principal amount set forth in a Supplemental Indenture and shall be designated “City of Hartford, Connecticut Special Obligation Refunding Bonds (State Contract Assistance), Series 20__”, or such other name as shall be determined by the City, the Secretary and the State Treasurer. Bonds shall be issued pursuant to the requirements of Section 2.07 and a Supplemental Indenture described in Article X. Additional Bonds shall be issued pursuant to the requirements of Section 2.13 and a Supplemental Indenture described in Article X. No obligations may be issued by the City (a) which are senior in claim on the Trust Estate to the Bonds, (b) which, other than Additional Bonds, have a claim on the Trust Estate on parity with the Bonds or (c) which are junior or subordinate in claim on the Trust Estate to the Bonds.

Section 2.02 Bonds Special Limited Obligations. The Bonds shall be special, limited obligations of the City, payable solely from the Trust Estate. The Bonds shall constitute a valid claim of the respective owners thereof against the Trust Estate, which is pledged to secure the payment of the principal of and redemption premium, if any, and interest on the Bonds, and which shall be utilized for no other purpose, except as expressly authorized in this Indenture and the respective Supplemental Indenture. The Bonds shall not constitute general obligations of the City and under no circumstances shall the Bonds be payable from, nor shall the holders thereof have any rightful claim to, any income, revenues, funds or assets of the City other than those pledged hereunder as security for the payment of the Bonds.

All Bonds shall contain on the face thereof a statement to the effect that:

THE BONDS ARE PAYABLE SOLELY FROM CONTRACT ASSISTANCE PAYMENTS BY THE STATE UNDER THE ASSISTANCE AGREEMENT. NEITHER THE STATE NOR ANY POLITICAL SUBDIVISION THEREOF, OTHER THAN THE CITY, SHALL BE OBLIGATED TO PAY THE PRINCIPAL OF OR THE INTEREST ON THE BONDS. NEITHER THE FULL FAITH AND CREDIT NOR THE TAXING POWER OF THE STATE OR OF ANY POLITICAL SUBDIVISION THEREOF, INCLUDING THE CITY, IS PLEDGED TO THE PAYMENT OF THE PRINCIPAL OF OR INTEREST ON THE BONDS.

Section 2.03Details of Bonds. The Bonds shall be issued in Authorized Denominations, shall be dated, shall be numbered, shall bear interest from the Issue Date or another date at the rates per annum calculated on a basis, payable on the Interest Payment Dates, and mature on the dates set forth in a Supplemental Indenture.

The principal of and redemption premium, if any, and interest on the Bonds shall be payable in lawful money of the United States of America. Principal of and redemption premium, if any, the Bonds shall be payable by the Paying Agent upon presentation and surrender of the Bonds as they become due at the principal Office of the Paying Agent. Interest on Bonds shall be payable by the Paying Agent to the Bondholders of Bonds by check or draft mailed to such Bondholders at their addresses as they appear on the Bond Register on the Record Date.

If any principal of, redemption premium, if any, or interest on a Bond is not paid when due (whether at maturity or call for redemption or otherwise), then the overdue installments of principal and, to the extent permitted by law, interest and redemption premium, if any, shall bear interest until paid at the same rate set forth in such Bonds.

Section 2.04Execution of Bonds. The Bonds shall be signed by the manual or facsimile signature of the Authorized Officers of the City and approved as to form by the Corporation Counsel of the City. The Bonds shall bear the seal of the City or a facsimile thereof will be affixed to or imprinted on the Bonds. In case any officer whose signature or a facsimile of whose signature shall appear on any Bond shall cease to be such officer before the delivery of such Bond, such signature or facsimile shall nevertheless be valid and sufficient for all purposes as if such officer had remained in office until such delivery.

Section 2.05Authentication of Bonds. The Bonds shall bear a certificate of authentication, substantially in the form set forth in Appendix A, duly executed by the Trustee. The Trustee shall authenticate each Bond with the manual signature of a Responsible Officer of the Trustee, but it shall not be necessary for the same Responsible Officer to authenticate all of the Bonds of a Series. Only such authenticated Bonds shall be entitled to any right or benefit under this Indenture. Such certificate on any Bond issued hereunder shall be conclusive evidence that the Bond has been duly issued and is secured by the provisions hereof.

Section 2.06Forms of Bonds. The form of each Series of Bonds shall be substantially in the form set forth in Appendix A, with such appropriate variations, legends, omissions and insertions as permitted or required by this Indenture and the Supplemental Indenture.

Section 2.07Delivery of the Series 2023 Bonds. The Trustee shall authenticate and deliver the Series 2023 Bonds when there have been filed with it the following:

(a) a copy certified by the Attesting Officer of the City of the Resolution authorizing (1) the execution and delivery of this Indenture and the Supplemental Indenture for the Series 2023 Bonds, providing for, among other things, the date, rate or rates of interest on, Interest Payment Dates, maturity dates and redemption provisions of the Series 2023 Bonds and (2) the sale, issuance, execution and delivery of the Series 2023 Bonds;

(b) an executed counterpart of this Indenture;

(c) an executed counterpart of the Supplemental Indenture for the Series 2023 Bonds;

(d) an amendment or supplement to the Assistance Agreement providing for the payments on the Series 2023 Bonds to be supported, including the amount, time and manner of payments pursuant to the Assistance Agreement;

(e)an opinion or opinions of Bond Counsel, addressed to the City and the Trustee, to the effect that the Indenture or the Supplemental Indenture and the Series 2023 Bonds have each been validly authorized, are binding and enforceable against the City, subject to bankruptcy and equitable principles, that the issuance of the Series 2023 Bonds has been duly authorized, and with respect to the federal and state tax treatment of the interest on the Series 2023 Bonds;

(f)evidence of the approval of the issuance of the Series 2023 Bonds by the Municipal Accountability Review Board, if required under the MARB Act;

(g)evidence of the approval of the issuance of the Series 2023 Bonds by the Secretary and the State Treasurer, which will be conclusively evidenced by the execution and delivery of the amendment or supplement to the Assistance Agreement referred to in subsection (d) above;

(h)an executed Tax Regulatory Agreement;

(i)executed Continuing Disclosure Agreements; and

(j)a request and authorization of the City, signed by an Authorized Officer, to the Trustee to authenticate and deliver the Series 2023 Bonds to such person or persons named therein upon confirmation of payment for the account of the City, or its designee, of a specified sum with directions as to the disposition of such of such sum.

Simultaneously with the delivery of the Series 2023 Bonds, the Trustee shall apply, or arrange for the application of, the proceeds thereof in accordance with the written directions of the City dated the Issue Date.

Section 2.08Registration of Transfer and Exchange of Bonds; Persons Treated as Bondholders. The Trustee shall act as initial bond registrar (the “Bond Registrar”) and in such capacity shall maintain a bond register (the “Bond Register”) for the registration and transfer of Bonds. Upon surrender of any Bonds at the Office of the Trustee, together with an assignment duly executed by the current Bondholder of such Bonds or such Bondholder’s duly authorized attorney or legal representative in such form as shall be satisfactory to the Trustee, such Bonds may, at the option of the Bondholder, be exchanged for an equal aggregate principal amount of Bonds of the same Series and maturity, of Authorized Denominations and bearing interest at the same rate and in the same form as the Bonds surrendered for exchange, registered in the name or names requested by the assignee of the then Bondholder; provided the Trustee is not required to exchange or register the transfer of Bonds after the giving of notice calling such Bond for redemption, in whole or in part. The City shall execute and the Trustee shall authenticate any Bonds whose execution and authentication is necessary to provide for exchange of Bonds pursuant to this Section and the City may rely on a representation from the Trustee that such execution is required.

The Trustee may make a charge to any Bondholder requesting such exchange or registration in the amount of any tax or other governmental charge required to be paid with respect thereto but will not impose any other charge.

Prior to due presentment for registration of transfer of any Bond, the Trustee shall treat the Person shown on the Bond Register as owning a Bond as the Bondholder and the Person exclusively entitled to payment of principal thereof, redemption premium, if any, and interest thereon and, except as otherwise expressly provided herein, the exercise of all other rights and powers of the owner thereof, and neither the City, the Trustee nor any agent of the City or the Trustee shall be affected by notice to the contrary.

Section 2.09Temporary Bonds. Prior to the preparation of definitive Bonds of a Series, the City may issue temporary Bonds in registered form and in such denominations as the City may determine but otherwise in substantially the form provided for definitive Bonds of such Series with appropriate variations, omissions and insertions. The City shall promptly prepare, execute and deliver to the Trustee before the first Interest Payment Date for such Bonds, definitive Bonds and, upon presentation and surrender of Bonds in temporary form, the Trustee shall authenticate and deliver in exchange therefor definitive Bonds the same maturity for the same aggregate principal amount. Until exchanged for definitive Bonds, Bonds in temporary form shall be entitled to the lien and benefit of this Indenture.

Section 2.10 Mutilated, Lost or Destroyed Bonds. If any Bond has been mutilated, lost or destroyed, the City shall execute, and the Trustee shall authenticate and deliver to the Bondholder, a new Bond of like date and tenor in exchange and substitution for, and upon cancellation of, such mutilated Bond or in lieu of and in substitution for such lost or destroyed Bond but only if the Bondholder has paid the reasonable expenses and charges of the City and the Trustee (including attorneys' fees) in connection therewith and, in the case of a lost or destroyed Bond, (a) filed with the Trustee evidence satisfactory to the Trustee that such Bond was lost or destroyed and (b) furnished to the Trustee and the City indemnity satisfactory to each. If any such Bond has matured or been called for redemption and is payable, instead of issuing a new Bond the Trustee may pay the same without issuing a replacement Bond.

If, after the delivery of such replacement Bond, the original Bond in lieu of which such replacement Bond was issued is presented for payment or registration, the Trustee shall seek to recover such replacement Bond from the person to whom it was delivered or any person taking therefrom and shall be entitled to recover from the security or indemnity provided therefor to the extent of any loss, damage, cost or expense incurred by the Trustee or the City in connection therewith.

Section 2.11 Cancellation and Disposition of Bonds. The City may deliver Bonds to the Trustee for cancellation at any time and for any reason and the Trustee is hereby authorized to cancel such Bonds. All Bonds that have been paid (whether at maturity, upon redemption or pursuant to Section 3.04) or delivered to the Trustee for cancellation shall not be reissued. Unless otherwise directed by the City, the Trustee shall treat such Bonds in accordance with its document retention policies or as may be directed by state law.

Section 2.12 Securities Depository Provisions. Bonds shall be issued as Book Entry Bonds or physical Bonds registered in the name of the purchaser. Book Entry Bonds shall be registered in the name of Cede & Co., as nominee of The Depository Trust Company ("DTC"), and the City shall execute and deliver a Letter of Representations with DTC. All payments of principal of and redemption premium, if any, and interest on Book Entry Bonds and all notices with respect thereto, including notices of full or partial redemption, shall be made and given at the times and in the manner set out in the Letter of Representations. The terms and provisions of the Letter of Representations shall govern in the event of any inconsistency between the provisions of this Indenture and the Letter of Representations. The Letter of Representations may be amended without Bondholder consent.

The book-entry registration system for Book Entry Bonds may be terminated and certificates delivered to and registered in the name of the Beneficial Owners as directed by the City to the Registrar, under either of the following circumstances:

(a) DTC notifies the City and the Trustee that it is no longer willing or able to act as Securities Depository for the Book Entry Bonds and a successor Securities Depository for the Book Entry Bonds is not appointed by the City prior to the effective date of such discontinuation; or

(b) The City determines that continuation of the book-entry system through DTC (or a successor securities depository) is not in the best interest of the Owners of the Book Entry Bonds.

In the event a successor Securities Depository is appointed by the City, the Book Entry Bonds will be registered in the name of such successor Securities Depository or its nominee. In the event certificates are required to be issued to Beneficial Owners, the Trustee and the City shall be fully protected in relying upon a certificate of DTC or any DTC participant as to the identity of and the principal amount of Book Entry Bonds held by such Beneficial Owners.

The Beneficial Owners of Book Entry Bonds will not receive physical delivery of certificates except as provided herein. For so long as there is a Securities Depository for Bonds, all of such Bonds shall be registered in the name of the nominee of the Securities Depository, all transfers of beneficial ownership interests in such Bonds will be made in accordance with the rules of the Securities Depository, and no investor or other party purchasing, selling or otherwise transferring beneficial ownership of such Bonds is to receive, hold or deliver any certificate. The City and the Trustee shall have no responsibility or liability for transfers of beneficial ownership interests in such Bonds.

The City and the Trustee will recognize the Securities Depository or its nominee as the Bondholder of Book Entry Bonds for all purposes, including receipt of payments, notices and voting; provided the Trustee may recognize votes by or on behalf of Beneficial Owners as if such votes were made by Bondholders of a related portion of the Bonds when such votes are received in compliance with an omnibus proxy of the Securities Depository or otherwise pursuant to the rules of the Securities Depository or the provisions of the Letter of Representations or other comparable evidence delivered to the Trustee by the Bondholders or as provided in Sections 8.10 and 11.11 of this Indenture.

With respect to Book Entry Bonds, the City and the Trustee shall be entitled to treat the Person in whose name such Bond is registered as the absolute owner of such Bond for all purposes of this Indenture, and neither the City nor the Trustee shall have any responsibility or obligation to any Beneficial Owner of such Book Entry Bond. Without limiting the immediately preceding sentence, neither the City nor the Trustee shall have any responsibility or obligation with respect to (a) the accuracy of the records of any Securities Depository or any other Person with respect to any ownership interest in Book Entry Bonds, (b) the delivery to any Person, other than a Bondholder, of any notice with respect to Book Entry Bonds, including any notice of redemption or refunding, (c) the selection of the particular Bonds or portions thereof to be redeemed or refunded in the event of a partial redemption or refunding of part of the Bonds Outstanding or (d) the payment to any Person, other than a Bondholder, of any amount with respect to the principal of, redemption premium, if any, or interest on Book Entry Bonds.

Section 2.13 Additional Bonds. The City will not issue any other bonds or obligations having a lien on the Trust Estate except for Additional Bonds issued pursuant to this Section. Additional Bonds may be issued, and the Trustee shall authenticate and deliver such Additional Bonds, when there have been filed with it the following:

(a) a copy of the Resolution certified by the Attesting Officer of the City that such Resolution, as amended, modified or supplemented to date, has not been repealed, rescinded or revoked and remains in full force and effect;

(b) an executed counterpart of the Supplemental Indenture;

(c) an executed amendment or supplement to the Assistance Agreement providing for the payments on the Bonds to be supported, including the amount, time and manner of payments pursuant to the Assistance Agreement;

(d) an opinion or opinions of Bond Counsel, addressed to the City and the Trustee, to the effect that issuance of the Additional Bonds is permitted under this Indenture, the Supplemental Indenture and the Additional Bonds have each been validly authorized, are binding and enforceable against the City, subject to bankruptcy and equitable principles, the issuance of the Additional Bonds has been duly authorized, and with respect to the federal and state tax treatment of the interest on the Additional Bonds;

(e) evidence of the approval of the issuance of the Series of Bonds by the Municipal Accountability Review Board, if required under the MARB Act;

(f) evidence of the approval of the issuance of the Additional Bonds by the Secretary and the State Treasurer, which will be conclusively evidenced by the execution and delivery of the amendment or supplement to the Assistance Agreement referred to in subsection (c) above;

(g) a certificate of the City, signed by an Authorized Officer, that an Event of Default under subsections (a), (b), (c) or (d) of Section 7.01 hereof has not occurred and is continuing;

(h) an executed Tax Regulatory Agreement with respect to Additional Bonds which are Tax Exempt Bonds;

(i) executed Continuing Disclosure Agreements; and

(j) a request and authorization of the City, signed by an Authorized Officer, to the Trustee to authenticate and deliver the Additional Bonds to such person or persons named therein upon confirmation of payment for the account of the City of a specified sum with directions as to the disposition of such of such sum.

Simultaneously with the delivery of Additional Bonds, the Trustee shall apply, or arrange for the application of, the proceeds thereof in accordance with directions of the City dated the Issue Date.

ARTICLE III REDEMPTION OF BONDS

Section 3.01Redemption Dates and Prices. The Bonds shall be subject to redemption as set forth in a Supplemental Indenture and this Article III.

Section 3.02Selection of Bonds for Redemption. If less than all of a Series of Bonds are called for redemption, they shall be redeemed from maturities in such order as determined in writing by the City and the State Treasurer, and by lot within any maturity (provided, however, that if an Event of Default has occurred and is continuing, any Bonds called for redemption shall be redeemed in proportion by maturity and within maturities by lot), subject to selection by the Trustee as provided below. The portion of any Bond to be redeemed shall be an Authorized Denomination or any multiple thereof and in selecting Bonds for redemption, each Bond shall be considered as representing that number of Bonds which is obtained by dividing the principal amount of such Bond by the minimum Authorized Denomination. If a portion of a Bond shall be called for redemption pursuant to Article IX, a new Bond in principal amount equal to the unredeemed portion thereof shall be issued to the Bondholder upon the surrender thereof. If for any reason the principal amount of Bonds called for redemption would result in a redemption of Bonds less than the Authorized Denomination, the Trustee, to the extent possible within the principal amount of Bonds to be redeemed, is hereby authorized to adjust the selection of Bonds for such purpose in order to minimize any such redemption. Notwithstanding the foregoing, the Securities Depository for Book Entry Bonds shall select the Bonds for redemption within particular maturities according to its stated procedures.

Section 3.03Notice of Redemption.

(a)When Bonds (or portions thereof) are to be redeemed, the City shall give or cause to be given notice of the redemption of the Bonds to the Trustee no less than forty-five (45) days prior to the redemption date or such shorter time as may be set forth in the applicable Supplemental Indenture and acceptable to the Trustee. In the case of an optional redemption, the notice may state (1) that it is conditioned upon the deposit of moneys, in an amount equal to the amount necessary to effect the redemption, with the Trustee no later than the redemption date or (2) that the City retains the right to rescind such notice on or prior to the scheduled redemption date (in either case, a “Conditional Redemption”), and such notice and optional redemption shall be of no effect if such moneys are not so deposited or if the notice is rescinded as described in subsection (d) of this Section. The Trustee, at the expense of the City, shall send notice of any redemption, identifying the Bonds to be redeemed, the redemption date and the method and place of payment and the information required by subsection (b) of this Section, by first class mail to each holder of a Bond called for redemption to the holder’s address listed on the Bond Register. Such notice shall be sent by the Trustee by first class mail no less than thirty (30) days, but no more than sixty (60) days prior to the scheduled redemption date. With respect to Book Entry Bonds, if the Trustee sends notice of redemption to the Securities Depository pursuant to the Letter of Representations, the Trustee shall not be required to give the notice set forth in the immediately preceding sentence. If notice is given as stated in this paragraph (a), failure of any Bondholder to receive such notice, or any defect in the notice, shall not affect the redemption or the validity of the proceedings for the redemption of the Bonds.

(b)In addition to the foregoing, the redemption notice shall contain with respect to each Bond being redeemed, (1) the CUSIP number, (2) the date of issue, (3) the interest rate, (4) the maturity date, (5) the principal amount being redeemed, (6) the redemption premium, if any, and (7) any other descriptive information determined by the Trustee to be needed to identify the Bonds. If a redemption is a Conditional Redemption, the notice shall so state. The Trustee shall also send each notice of redemption at least thirty (30) days before the redemption date to (A) the Electronic Municipal Market Access (“EMMA”) website of the Municipal Securities Rulemaking Board (“MSRB”) or such other website as may be designated from time to time by the MSRB or the Securities and Exchange Commission, and (B) as directed in writing by the City in such manner as may be required or suggested by regulations or market practice at the applicable time.

(c)On or before the date fixed for redemption, subject to the provisions of subsections (a) and (d) of this Section, moneys shall be deposited with the Trustee, not later than 11:00 a.m. (New York City time), to pay the principal of and redemption premium, if any, and interest accrued to the redemption date on the Bonds called for redemption. Upon the deposit of such moneys, unless the City has given notice of rescission as described in subsections (a) and (d) of this Section, the Bonds shall cease to bear interest on the redemption date and shall no

longer be entitled to the benefits of this Indenture (other than for payment and transfer and exchange) and shall no longer be considered Outstanding.

(d) Any Conditional Redemption may be rescinded in whole or in part at any time prior to the redemption date if the City delivers written directions not later than 5:00 p.m. (New York City time) on the second Business Day prior to the scheduled redemption date, to the Trustee instructing the Trustee to rescind the redemption notice. The Trustee shall give prompt notice of such rescission to the affected Bondholders in the same manner in which the notice of redemption was given. Any Bonds subject to Conditional Redemption where redemption has been rescinded shall remain Outstanding, and the rescission shall not constitute an Event of Default. Further, in the case of a Conditional Redemption, the failure of the City to make funds available in part or in whole on or before the redemption date shall not constitute an Event of Default.

Section 3.04 Purchase at Any Time. The Trustee, upon the written request of the City at the direction of the State Treasurer, shall purchase Bonds as specified by the City at the direction of the State Treasurer in the open market at a price not exceeding a price set by City and the State Treasurer. Such purchase of Bonds shall be made with funds provided separately by the State. Upon purchase by the Trustee, such Bonds shall be treated as delivered for cancellation pursuant to Section 2.11. Nothing in this Indenture shall prevent the City or the State from purchasing Bonds on the open market without the involvement of the Trustee and delivering such Bonds to the Trustee for cancellation pursuant to Section 2.11 and in accordance with the procedures of the Securities Depository. The principal amount of Bonds to be redeemed by optional redemption under this Indenture may be reduced by the principal amount of Bonds purchased by the City or the State and delivered to the Trustee for cancellation at least forty-five (45) days prior to the redemption date.

ARTICLE IV FUNDS AND ACCOUNTS

Section 4.01 Creation of Funds and Accounts. The following funds are hereby authorized to be created and the proceeds of the Bonds and all Pledged Revenues received by the Trustee are, subject to the provisions of Section 7.08, to be deposited by it in the Funds described herein and held in trust for the purposes set forth herein:

- (a) Bond Proceeds Fund;
 - (1) Costs of Issuance Account;
- (b) Debt Service Fund; and
- (c) Rebate Fund.

Section 4.02 Bond Proceeds Fund. The Bond Proceeds Fund shall be used for the receipt of the proceeds of Bonds and the payment of Costs of Issuance to be paid from the proceeds of Bonds. The Bond Proceeds Fund shall consist of the amounts required or permitted to be deposited therein pursuant to any provision hereof, and the proceeds of the Bonds shall be deposited therein in the amount set out in written direction of the City. Separate accounts within the Bond Proceeds Fund shall be maintained by the Trustee if the City determines that separate accounts are desirable with respect to each Series of Bonds. There shall be deposited into the applicable Series Account of the Bond Proceeds Fund, only the amount of the proceeds of the Bonds of any Series required to be deposited therein as shall be specified and determined by the Supplemental Indenture authorizing such Series of Bonds, in accordance with and subject to the provisions of Article X hereof. Payments from the Bond Proceeds Fund, including any account so established, shall be made by the Trustee as follows:

(a) Payments from the Bond Proceeds Fund shall be made as set forth in a Supplemental Indenture allocating such amounts or upon receipt by the Trustee of a written direction or requisition executed by an Authorized Officer of the City in the form acceptable to the Trustee.

(b) Notwithstanding anything to the contrary herein, to the extent an Event of Default described in Subsection (a) or (b) of Section 7.01 shall have occurred and be continuing and no other moneys are available under

this Indenture to cure such Event of Default, moneys on deposit in the Bond Proceeds Fund shall be applied by the Trustee in accordance with Article VII.

Section 4.03 Debt Service Fund.

(a) The Trustee shall deposit into the Debt Service Fund, or transfer to the Paying Agent if then due to be paid, (1) all payments hereafter received from the State for deposit in such Fund pursuant to the Assistance Agreement and (2) all other amounts required or permitted hereunder to be deposited in the Debt Service Fund.

(b) Moneys on deposit in the Debt Service Fund, or transferred to the Paying Agent, shall be applied as follows:

(1) to the payment of interest, when due, on all Outstanding Bonds, including any accrued interest due in connection with redemptions of Bonds; and

(2) to the payment, when due, of the principal or sinking fund installment of or redemption premium on the Bonds then payable at maturity or upon redemption.

Section 4.04 Reserved.

Section 4.05 Pledged Revenues to Be Held for All Bondholders. With certain exceptions, until applied as herein provided and except where moneys have been deposited with or paid to the Trustee pursuant to an instrument restricting the application of such moneys to particular Bonds, the moneys and investments held in all Funds (other than amounts required to be on deposit in the Rebate Fund) established hereunder and the proceeds of any remedies exercised under Article VII hereof shall be held in trust pursuant to the terms of this Indenture for the equal and proportionate benefit of the holders of all Outstanding Bonds, except that: (a) on and after the date on which the interest or redemption premium on or principal of any particular Bond or Bonds is due and payable from the Debt Service Fund or, with respect to which a call for redemption has been given and funds for such redemption have been deposited with the Trustee and, if a Conditional Redemption, the rescission date has passed, the unexpended balance of the amount deposited or reserved in the Debt Service Fund for the making of such payments shall, to the extent necessary therefor, be held solely for the benefit of the Bondholder or Bondholders entitled thereto; and (b) any special redemption or escrow fund established pursuant to a Supplemental Indenture or otherwise in connection with the defeasance of any Bonds in accordance with Article IX shall be held for the benefit of the holders of Bonds being defeased.

Section 4.06 Rebate Fund. With respect to a Series of Bonds sold under this Indenture or any Supplemental Indenture hereto issued as Tax-Exempt Bonds, when so directed in writing by an Authorized Officer of the City or the State, the Trustee shall deposit to the Rebate Fund any moneys (i) held by it under any funds or accounts pursuant to this Indenture, (ii) delivered to it by any qualified person for deposit in the Rebate Fund, or (iii) transferred or paid to it by the City in accordance with the provisions of this Section 4.06 for deposit therein. An Authorized Officer of the City shall make such deposit to the Rebate Fund and at such times and in such amounts as shall be set forth in a written determination by an Authorized Officer of the City as necessary to comply with the Code with respect to such Series of Bonds. The City, by written direction to the Trustee, shall cause to be transferred at such times legally available funds of the City as an Authorized Officer of the City shall determine to be necessary to comply with the Code with the respect to such Series of Bonds.

Moneys on deposit in the Rebate Fund shall be applied by the Trustee as directed in writing by an Authorized Officer of the City to pay Rebate Amounts to the Department of the Treasury of the United States of America at such times as the City shall determine to be required by the Code to be rebated to the Department of the Treasury of the United States of America. At any time and from time to time, moneys which the Authorized Officer of the City determines to be in excess of the amount required to be so rebated shall be deposited to any fund or account held pursuant to this Indenture, including the Bond Proceeds Fund, in accordance with a written direction of such Authorized Officer.

Section 4.07 Disposition of Unclaimed Funds. Notwithstanding any provisions of this Indenture, and subject to applicable unclaimed property laws, any money deposited with the Trustee or any Paying Agent for the payment of principal of, redemption premium of or interest on the Bonds remaining unclaimed for three (3) years

after the payment thereof, to the extent permitted by applicable law, shall be returned to the State, whereupon all liability of the City and the Trustee with respect to such money shall cease, and the holders of the Bonds shall thereafter look solely to the State for payment of any amounts then due.

Section 4.08 Additional Funds and Accounts. In addition to the funds and accounts specifically authorized under this Article, the Trustee shall have the authority to create and maintain such other funds and accounts as it may deem necessary for proper administration hereunder.

ARTICLE V INVESTMENT OR DEPOSIT OF FUNDS

Section 5.01 Deposits and Security Therefor. All moneys received by the Trustee under this Indenture for deposit in any Fund established hereunder shall be considered trust funds. All moneys on deposit with the Trustee shall, to the extent not insured, be secured in the manner required or permitted by State or other applicable law. Subject to the foregoing requirements as to security, if at any time the commercial department of the Trustee advises that the City is unwilling to accept such deposits or unable to secure them as provided above, the Trustee will, at the written direction of an Authorized Officer of the City, deposit such moneys with any other depository which is authorized to receive and secure them as aforesaid and the deposits of which are insured by the Federal Deposit Insurance Corporation. All security for deposits shall be perfected in such manner as may be required or permitted under applicable law in order to grant to the Trustee a perfected lien on or security interest in such security.

Section 5.02 Investment or Deposit of Funds. Moneys on deposit in the Funds established pursuant to Article IV shall be invested and reinvested by the Trustee pursuant to a written direction of an Authorized Officer of the City, as follows:

(a) All moneys on deposit in Funds shall be invested in Eligible Investments which shall mature, or be subject to repurchase, withdrawal without penalty or redemption at the option of the holder on or before the dates on which the amounts invested are reasonably expected to be needed for the purposes hereof.

(b) All purchases or sales of Eligible Investments shall be made at the written direction of the City, or in the absence of such direction, by the Trustee in STIF.

(c) (1) Any securities or investments held by the Trustee may be transferred by the Trustee, if required in writing by the City, from any of the Funds or accounts mentioned in Article IV to any other Fund or account mentioned in Article IV at the then current market value thereof without having to be sold and purchased or repurchased; provided, however, that after any such transfer or transfers, the investments in each such Fund or account shall be in accordance with the provisions as stated in this Indenture; and (2) whenever any other transfer or payment is required to be made from any particular Fund, such transfer or payment shall be made from such combination of maturing principal, redemption premiums, liquidation proceeds and withdrawals of principal as the Trustee deems appropriate for such purpose.

(d) The Trustee shall not be accountable for any depreciation in the value of Eligible Investments or for any losses incurred upon any authorized disposition thereof.

(e) Subject to the foregoing, the Trustee is expressly authorized to invest moneys in two or more Funds in a single investment, provided that a portion of the investment allocable to each such Fund, and all payments received with respect to such allocable portion, shall be applied in accordance with the applicable provisions governing such Fund hereunder.

(f) The Trustee will furnish each of the City and the State (as set forth in Section 11.04 hereto) monthly cash transaction statements that include, among other information, detail for all investment transactions made by the Trustee hereunder. The City acknowledges that, to the extent regulations of the Comptroller of the Currency or other applicable regulatory entity grant the City the right to receive individual confirmations of security transactions at no additional cost and as they occur, the City specifically waives receipt of such confirmation to the extent permitted by law.

Section 5.03 Valuation of Funds. The Trustee shall determine the market value of the assets in each of the Funds established hereunder upon the written request of an Authorized Officer of the City prior to the date of issuance for a Series of Additional Bonds. As soon as practicable after each such valuation date, the Trustee shall furnish to the City and the State a report of the status of each Fund as of such date. At the written request of the City or the State, the Trustee shall also advise the State of any amount then available in the Debt Service Fund as a credit against the State's obligation to make any deposits to the Debt Service Fund prior to the next Interest Payment Date on Bonds Outstanding. In computing the value of assets in any Fund, investments shall be valued at the fair market value thereof and shall include accrued but unpaid interest on each investment, and all investments (valued as aforesaid) and accrued interest thereon shall be deemed a part of such Funds. All Eligible Investments that mature within six (6) months of any valuation date or are payable on demand shall be valued at par plus any accrued and unpaid interest.

ARTICLE VI COVENANTS AND AGREEMENTS OF THE CITY

Section 6.01 Covenants and Agreements of the City. In addition to any other covenants and agreements of the City contained in this Indenture, the City further covenants and agrees with the Bondholders and the Trustee as follows:

(a) Payment of Principal, Interest and Redemption Premium. The City will pay all principal of, redemption premium, if any, and interest on the Bonds or cause them to be paid, solely from the sources provided herein, on the dates, at the places and in the manner provided in this Indenture and as set forth in a Supplemental Indenture.

(b) Pledged Revenues and Assignment of Pledged Revenues. The City will not assign the Pledged Revenues or create or authorize to be created any debt, lien or charge thereon, other than the assignment thereof under this Indenture.

(c) Extension of Payment of Bonds. The City shall not directly or indirectly extend or assent to the extension of the maturity of any of the Bonds or the time of payment of interest by the purchase or funding of such Bonds or claims for interest or by any other arrangement and in case the maturity of any of the Bonds or the time for payment of interest shall be extended, such Bonds or claims for interest shall not be entitled in case of any default under this Indenture to the benefit of this Indenture or to any payment out of any assets of the City or the funds (except funds held in trust for the payment of particular Bonds or claims for interest pursuant to this Indenture) held by the Trustee or any Paying Agent, except subject to the prior payment of the principal of all Bonds issued and Outstanding the maturity of which has not been extended and of such portion of the accrued interest on the Bonds as shall not be represented by such extended claims for interest.

(d) Offices for Payment and Registration of the Bonds. If Bonds are issued as physical bonds, the City shall at all times maintain an office or agency in the State where such Bonds may be presented for payment. The City may, pursuant to any Supplemental Indenture or pursuant to a resolution adopted in accordance with Article X hereof, designate an additional Paying Agent or Paying Agents where such Bonds of the Series authorized thereby or referred to therein may be presented for payment. The City shall at all times maintain an office or agency in the State where Bonds issued as physical bonds may be presented for registration, transfer or exchange and the Trustee is hereby appointed as its agent to maintain such office of agency for the registration, transfer or exchange of such Bonds.

(e) Rights and Enforcement of the Assistance Agreement. The Trustee may enforce, in its name or in the name of the City, all rights of the City for and on behalf of the Bondholders, and may enforce all covenants, agreements and obligations of the State under and pursuant to the Assistance Agreement, regardless of whether the City is in default in the pursuit or enforcement of those rights, covenants, agreements or obligations. The City, however, will do all things and take all actions on its part necessary to comply with covenants, agreements, obligations, duties and responsibilities on its part to be observed or performed under the Assistance Agreement, and will take all actions within its authority to keep the Assistance Agreement in effect in accordance with the terms thereof.

Section 6.02 Observance and Performance of Covenants, Agreements, Authority and Actions. The City hereby agrees to observe and perform at all times all covenants, agreements, authority, actions, undertakings, stipulations and provisions to be observed or performed on its part under the Assistance Agreement, the Resolution, this Indenture, Supplemental Indentures and the Bonds, which are executed, authenticated and delivered under this Indenture, and under all proceedings of the Common Council pertaining thereto.

The City represents and warrants that:

(a) It is duly authorized by the Constitution and laws of the State, including particularly and without limitation the Act, to issue the Bonds, to execute and deliver the Assistance Agreement, this Indenture, Supplemental Indentures and to provide the security for payment of the principal of, redemption premium, if any, and interest on the Bonds in the manner and to the extent set forth in this Indenture.

(b) All actions required on its part to be performed for the issuance, sale and delivery of the Bonds and for the execution and delivery of the Assistance Agreement and this Indenture have been or will be taken duly and effectively; provided no representation is made as to compliance with any state securities or “Blue Sky” laws.

(c) The Bonds will be valid and enforceable special limited obligations of the City according to their terms, subject to bankruptcy and equitable principles.

Section 6.03 Tax Covenants.

(a) The City covenants that it will neither make nor direct the Trustee to make any investment or other use of the proceeds of any Series of Tax-Exempt Bonds issued hereunder that would cause such Series of Tax-Exempt Bonds to be “arbitrage bonds” as that term is defined in Section 148(a) of the Code and that it will comply with the requirements of the Code throughout the term of such Series of Tax-Exempt Bonds.

(b) Notwithstanding the foregoing, and subject to any limitations set forth in the Assistance Agreement, the City hereby reserves the right to issue Bonds the interest on which is not excluded from gross income for purposes for federal income tax purposes, and the covenants contained in this Section shall not apply to such Series of Bonds.

(c) The City covenants that it (1) will take, or use its best efforts to require to be taken, all actions that may be required of the City for the interest on Tax-Exempt Bonds to be and remain excluded from gross income for federal income tax purposes and (2) will not take or authorize to be taken any actions within its control that would adversely affect that status under the provisions of the Code.

**ARTICLE VII
EVENTS OF DEFAULT AND REMEDIES**

Section 7.01 Events of Default Defined. Each of the following is an “Event of Default” hereunder:

(a) Default in the payment of any installment of interest on any Bond when it becomes due and payable;

(b) Default in the payment of principal or sinking fund installment of (or redemption premium, if any) on any Bond when it becomes due and payable whether at maturity or upon call for redemption or otherwise;

(c) The amounts as shall be required to be paid pursuant to the Assistance Agreement shall not be allotted and paid by the State for deposit with the Trustee when due;

(d) (1) An Event of Bankruptcy of the City; (2) the appointment of a receiver, liquidator, assignee, custodian, trustee, sequestrator or other similar official for the City or for any substantial portion of its property; or (3) the ordering of the winding up or liquidation of the affairs of the City; or

(e) Subject to the provisions of Section 7.07, default in the performance, or breach, of any covenant, warranty or representation of the City contained in this Indenture (other than a default under subsections (a) and (b) of this Section).

Section 7.02 Remedies Upon Default.

(a) Upon the happening and continuance of any Event of Default specified in Subsections (a), (b), (c) and (d) of Section 7.01 hereof, the Trustee shall proceed or, upon the happening and continuance of any Event of Default specified in Subsection (e) of Section 7.01 hereof, the Trustee may proceed and, upon the written request of the holders of not less than a majority in aggregate principal amount of the Bonds then Outstanding, or if the Event of Default arising from the failure of the City to duly and punctually perform the covenants contained in Section 6.03 hereof which results in the interest on Tax-Exempt Bonds of the Series to which such covenant applies being no longer excluded from gross income under Section 103(a) of the Code, not less than a majority in aggregate principal amount of Bonds then Outstanding of such Series affected thereby, shall proceed, in its own name, subject to the provisions of Section 8.02(d) hereof, to protect and enforce the rights of the Bondholders by such of the following remedies, as the Trustee, to the extent permitted by law, being advised by counsel, shall deem most effectual to protect and enforce such rights:

(1) by mandamus or other suit, action or proceeding at law or in equity, to enforce all rights of the Bondholders, including the right of the City to receive Pledged Revenues adequate to carry out the covenants and agreements as to, and the pledge of, such Pledged Revenues and to require the City to carry out any other covenants or agreements with Bondholders and to perform its duties under the Act;

(2) by bringing suit upon the Bonds;

(3) by action or suit in equity, to require the City to account as if it were the trustee of an express trust for the holders of the Bonds;

(4) by action against the State, to the extent permitted by law, to require payment of Contract Assistance Payments with respect to the Bonds, including by filing a claim with the Claims Commissioner of the State as provided by the Connecticut General Statutes; or

(5) by action or suit in equity, to enjoin any acts or things which may be unlawful or in violation of the rights of the holders of the Bonds;

provided, however, that there shall be no right under any circumstances to accelerate the maturity of the Bonds.

(b) In the enforcement of any rights and remedies under this Indenture, the Trustee shall be entitled to sue for, enforce payment on and receive any and all amounts then or during any default becoming, due from the City for principal, redemption premium, if any, interest or otherwise, under any provision of this Indenture or any Supplemental Indenture or of the Bonds, and unpaid, with interest on overdue payments at the rate or rates of interest specified in such Bonds, together with any and all costs and expenses of collection and of all proceedings hereunder and under such Bonds, without prejudice to any other right or remedy of the Trustee or of the Bondholders, and to recover and enforce a judgment or decree against the City for any portion of such amounts remaining unpaid, with interest, costs and expenses, and to collect from any moneys available for such purpose, in any manner provided by law, the moneys adjudged or decreed to be payable.

(c) At any time after the declaration of an Event of Default specified in Subsections (a) or (b) of Section 7.01 hereof and before the entry of a judgment or decree for payment of the money due, the Trustee may, or the holders of not less than a majority in aggregate principal amount of the Bonds then Outstanding, may by written notice to the City, the State, and the Trustee, and subject to the requirements of Section 8.02(d), direct the Trustee to, rescind and annul such declaration and its consequences if:

(1) there has been paid to or deposited with the Trustee by or for the account of the City, or provision satisfactory to the Trustee has been made for the payment of a sum sufficient to pay: (A) all overdue installments of interest on the Bonds; (B) the principal of, and redemption premium, if any, on any Bonds which have become due and interest thereon; (C) to the extent lawful, interest upon overdue

installments of interest and redemption premium, if any; and (D) all sums paid or advanced by the Trustee hereunder, together with the reasonable compensation, expenses, disbursements and advances of the Trustee, its agents and Counsel prior to the date of notice of rescission; and

(2) all other Events of Default, other than the nonpayment of principal of, redemption premium, if any, and interest on the Bonds, have been cured or waived.

(d) No such rescission and annulment shall affect any subsequent default or impair any consequent right.

Section 7.03 Additional Remedies.

(a) The Trustee, upon the occurrence of an Event of Default may, and upon the written request of the holders of not less than a majority in aggregate principal amount of the Bonds Outstanding, and subject to the requirements of Section 8.02(e), shall: (a) exercise any or all rights of the City under the Assistance Agreement; and (b) proceed to protect and enforce its rights and the rights of the holders of the Bonds under this Indenture by a suit or suits in equity or at law, either for the specific performance of any covenant or agreement contained in this Indenture or in aid of the execution of any power herein or therein granted, or for the enforcement of any other appropriate legal or equitable remedy, and the Trustee in reliance upon the advice of Counsel may deem most effective to protect and enforce any of the rights or interests of the holders of the Bonds under the Bonds or this Indenture.

(b) Without limiting the generality of the foregoing, the Trustee shall at all times, to the extent permitted by law, have the power to institute and maintain such proceedings as it may deem expedient: (1) to prevent any impairment of the Trust Estate by any acts which may be unlawful or in violation of this Indenture, and (2) to protect its interests and the interests of the Bondholders in the Trust Estate and in the issues, profits, revenues and other income arising therefrom, including the power to maintain proceedings to restrain the enforcement of or compliance with any governmental enactment, rule or order which may be unconstitutional or otherwise invalid, if the enforcement of, or compliance with, such enactment, rule or order would impair the Trust Estate or be prejudicial to the interests of the Bondholders or the Trustee.

Section 7.04 Marshaling of Assets.

Upon the occurrence of an Event of Default, all moneys in all Funds (other than moneys in the Rebate Fund) shall be available to be utilized by the Trustee in accordance with this Article. The rights of the Trustee under Section 8.05 shall be applicable. During the continuance of any such Event of Default, all provisions of this Indenture relating to the utilization of Funds, including but not limited to those set out in Article IV, shall be superseded by this Article. Subsequent to the curing or waiver of any such Event of Default, the provisions of this Indenture relating to utilization of Funds, including the provisions of Article IV, shall be reinstated.

Section 7.05 Trustee May File Proofs of Claim.

(a) In case of the pendency of any receivership, insolvency, liquidation, bankruptcy, reorganization, arrangement, adjustment, composition or other judicial proceeding under the Bankruptcy Code relating to the City, any other obligor upon the Bonds or any property of the City, the Trustee (whether or not the principal of the Bonds shall then be due and payable, and whether or not the Trustee shall have made any demand upon the City and the State for the payment of overdue principal, redemption premium, if any, and interest) shall be entitled and empowered, by intervention in such proceeding or other means:

(1) to file and prove a claim for the whole amount of the principal, redemption premium, if any, and interest owing and unpaid in respect of the Bonds then Outstanding or for breach of this Indenture and to file such other papers or documents as may be necessary or advisable in order to have the claims of the Trustee (including any claim for the reasonable compensation, expenses, disbursements and advances of the Trustee, its agents and Counsel) and of the holders allowed in such proceeding; and

(2) to collect and receive any moneys or other property payable or deliverable on any such claims and to distribute the same;

and any receiver, assignee, trustee, liquidator, sequestrator or similar official in any such judicial proceeding is hereby authorized by each holder to make such payments to the Trustee, and, in the event that the Trustee shall consent to the making of such payments directly to the holders, to pay to the Trustee any amount due it for the expenses (including attorneys' fees), disbursements, advances and reasonable compensation of the Trustee, its agents and Counsel, and any other amounts due the Trustee under Section 8.05.

(b) No provision of this Indenture empowers the Trustee to authorize or consent to or accept or adopt on behalf of any holders of the Bonds any plan of reorganization, arrangement, adjustment or composition affecting any of the Bonds or the rights of any holder thereof, or to authorize the Trustee to vote in respect of the claim of any holder in any proceeding described in subsection (a) of this Section.

Section 7.06 Possession of Bonds Not Required. All rights under this Indenture and the Bonds may be enforced by the Trustee without possession of any Bonds or the production of them at trial or other proceedings. Any proceedings instituted by the Trustee may be brought in its name for itself or as representative of the Bondholders without the necessity of joining Bondholders as parties, and any recovery resulting from such proceedings shall, subject to Section 7.08, be for the ratable benefit of the Bondholders.

Section 7.07 Notice and Opportunity to Cure Certain Defaults. No default under Section 7.01(e) shall constitute an Event of Default until written notice of such default shall have been given to the City by the Trustee, with a copy to the State, or by the holders of not less than a majority in aggregate principal amount of the Bonds Outstanding, and the City shall have had thirty (30) days after receipt of such notice to correct such default or cause such default to be corrected, and shall have failed to do so. The Trustee shall send a copy of each such notice to the City, but receipt of such notice by the City and the State shall not be a condition precedent to further action by the Trustee.

Section 7.08 Priority of Payment Following Event of Default.

(a) If at any time after the occurrence of an Event of Default the moneys held by the Trustee under this Indenture (other than amounts in the Rebate Fund) shall not be sufficient to pay the principal of and interest on the Bonds as the same become due and payable, such moneys, together with any moneys then available or thereafter becoming available for such purpose, whether through the exercise of remedies in this Article or otherwise, shall, subject to subsections (b) and (c) of this Section, be applied by the Trustee as follows:

(1) first, to the payment of all amounts due the Trustee under Section 8:05;

(2) second, to the payment of all installments of interest on the Bonds then due and payable in the order in which such installments became due and payable, and, if the amount available shall not be sufficient to pay in full any particular installment, then to the payment, ratably, according to the amounts due on such installments, without discrimination or preference;

(3) third, to the payment of the unpaid principal amount of any of the Bonds which shall have become due and payable, in the order of due dates (other than Bonds called for redemption or contracted to be purchased for the payment of which moneys are held pursuant to the provisions of this Indenture), with interest upon the principal amount of the Bonds from the respective dates upon which they shall have become due and payable, and, if the amount available shall not be sufficient to pay in full the principal of such Bonds due and payable on any particular due date, together with such interest, then to the payment first of such interest, ratably, according to the amount of principal due on such date, without any discrimination or preference; and

(4) fourth, to the payment of principal of, interest on and redemption premium, if any, on Bonds called for redemption under Section 3.02, if any;

provided, that funds derived from an Assistance Agreement shall be applied only to Bonds secured by such Assistance Agreement, if any, and such applications shall be made in the above order prior to the application of any other funds to the payment of such Bonds.

(b) If the principal of all Bonds shall have become due and payable, and subject to subsection (a)(1) of this Section regarding payment to the Trustee, all such moneys shall be applied to the payment of the principal and interest then due and unpaid upon the Bonds, without preference or priority of principal over interest or of interest over principal, or of any installment of interest over any other installment of interest, or of any Bond over any other Bond, ratably, according to the amounts due respectively for principal and interest, without any discrimination or preference.

(c) Whenever moneys are to be applied pursuant to the provisions of this Section, the Trustee may, in its discretion, establish and maintain a reserve for future fees and expenses, and may apply moneys to be distributed at such times, and from time to time, as the Trustee shall determine, having due regard for the amount of such moneys available for application and the likelihood of additional moneys becoming available for such application in the future. Whenever the Trustee shall apply such funds, it shall fix a date (which shall be an Interest Payment Date unless it shall deem another date more suitable) upon which such application is to be made and upon such date interest on the amounts of principal to be paid on such dates, and for which moneys are available, shall cease to accrue. The Trustee shall also select a Record Date for such payment date. The Trustee shall give such notice as it may deem appropriate of the deposit with it of any moneys and of the fixing of any such Record Date and payment date, and shall not be required to make payment to the holder of any Bond until such Bond shall be presented to the Trustee for appropriate endorsement or for cancellation if fully paid.

Section 7.09 Bondholders May Direct Proceedings. The owners of not less than a majority in aggregate principal amount of the Bonds Outstanding shall, subject to the requirements of Section 8.02(e), have the right, by an instrument or instruments in writing executed and delivered to the Trustee, to direct the method and place of conducting all remedial proceedings by the Trustee hereunder, provided that such direction shall not be in conflict with any rule of law or this Indenture and that the Trustee shall have the right to decline to follow any such direction which in the opinion of the Trustee would be unduly prejudicial to the rights of Bondholders not parties to such direction or would subject the Trustee to personal liability or expense. Notwithstanding the foregoing, the Trustee shall have the right to select and retain Counsel of its choosing to represent it in any such proceedings. The Trustee may take any other action which is not inconsistent with any direction under this Section.

Section 7.10 Limitations on Rights of Bondholders.

(a) No Bondholder shall have any right to pursue any other remedy under this Indenture or the Bonds unless: (1) an Event of Default shall have occurred and is continuing; (2) the owners of not less than a majority in aggregate principal amount of all Bonds then Outstanding have requested the Trustee, in writing, to exercise the powers hereinabove granted or to pursue such remedy in its or their name or names; (3) the Trustee has been offered indemnity satisfactory to it against costs, expenses and liabilities reasonably anticipated to be incurred; (4) the Trustee has declined to comply with such request, or has failed to do so, within sixty (60) days after its receipt of such written request and offer of indemnity; and (5) no direction inconsistent with such request has been given to the Trustee during such 60-day period by the holders of not less than a majority in aggregate principal amount of the Bonds Outstanding.

(b) The provisions of subsection (a) of this Section are conditions precedent to the exercise by any Bondholder of any remedy hereunder. The exercise of such rights is further subject to the provisions of Sections 7.09, 7.11 and 7.14. No one or more Bondholders shall have any right in any manner whatever to enforce any right under this Indenture, except in the manner herein provided. All proceedings at law or in equity with respect to an Event of Default shall be instituted and maintained in the manner herein provided for the equal and ratable benefit of the Bondholders of all Bonds Outstanding.

Section 7.11 Unconditional Right of Bondholder to Receive Payment. Notwithstanding any other provision of this Indenture, any Bondholder shall have the absolute and unconditional right to receive payment of principal of, redemption premium, if any, and interest on the Bonds on and after the due date thereof, and to institute suit for the enforcement of any such payment.

Section 7.12 Restoration of Rights and Remedies. If the Trustee or any Bondholder has instituted any proceeding to enforce any right or remedy under this Indenture, and any such proceeding has been discontinued or abandoned for any reason, or has been determined adversely to the Trustee or such Bondholder, then the Trustee and the Bondholders shall, subject to any determination in such proceeding, be restored to their former positions

hereunder, and all rights and remedies of the Trustee and the Bondholders shall continue as though no such proceeding had been instituted.

Section 7.13 Rights and Remedies Cumulative. No right or remedy herein conferred upon or reserved to the Trustee is intended to be exclusive of any other right or remedy, but each such right or remedy shall, to the extent permitted by law, be cumulative of and in addition to every other right or remedy given hereunder or now or hereafter existing at law, in equity or otherwise. The assertion or employment of any right or remedy hereunder shall not prevent the concurrent assertion or employment of any other appropriate right or remedy.

Section 7.14 Delay or Omission Not Waiver. No delay or omission by the Trustee or any Bondholder to exercise any right or remedy accruing upon any Event of Default shall impair any such right or remedy or constitute a waiver of such Event of Default. Every right and remedy given by this Article or by law to the Trustee or the Bondholders may be exercised from time to time, and as often as may be deemed expedient, by the Trustee or the Bondholders, as the case may be.

Section 7.15 Waiver of Defaults.

(a) The holders of not less than a majority in aggregate principal amount of the Outstanding Bonds may, by written notice to the Trustee and subject to the requirements of Section 8.02(e), waive any existing default or Event of Default and its consequences, except an Event of Default under Section 7.01(a) or (b). Upon any such waiver, the default or Event of Default shall be deemed cured and shall cease to exist for all purposes. No waiver of any default or Event of Default shall extend to or effect any subsequent default or Event of Default or shall impair any right or remedy consequent thereto.

(b) Notwithstanding any provision of this Indenture, in no event shall any Person, other than all of the affected Bondholders, have the ability to waive any Event of Default under this Indenture if such event results or may result, in the opinion of Bond Counsel, in interest on any of the Tax Exempt Bonds becoming includable in gross income for federal income tax purposes.

Section 7.16 Notice of Events of Default. If an Event of Default occurs of which the Trustee has or is deemed to have notice under Section 8.02(h), the Trustee shall give Immediate Notice thereof to the City and the State. Within ninety (90) days thereafter (unless such Event of Default has been cured or waived), the Trustee shall give notice of such Event of Default to each holder of Bonds then Outstanding, provided, however, that except in the instance of an Event of Default under Section 7.01(a) or (b), the Trustee may withhold such notice to Bondholders if and so long as the Trustee in good faith determines that the withholding of such notice is in the interests of Bondholders, and provided, further, that notice to Bondholders of any Event of Default under Section 7.01(e) shall be subject to the provisions of Section 7.07 and shall not be given until the grace period has expired.

Section 7.17 Right to Cure. If the City shall, for whatever reason, at any time fail to pay any amount or perform any act which it is obligated to pay or perform and as a result, a default or Event of Default occurs or may occur, the State shall have the right to perform such act or pay such amount on behalf of the City and thereby cure or prevent such default or Event of Default.

ARTICLE VIII THE TRUSTEE

Section 8.01 Duties and Responsibilities of the Trustee.

(a) Prior to the occurrence of an Event of Default of which it has or is deemed to have notice hereunder, and after the curing or waiver of any Event of Default which may have occurred:

(1) the Trustee undertakes to perform such duties and only such duties as are specifically set forth in this Indenture, and no implied covenants or obligations shall be read into this Indenture against the Trustee; and

(2)the Trustee may conclusively rely, as to the truth of the statements and the correctness of the opinions expressed therein, upon certificates or opinions furnished to the Trustee that conform to the requirements of this Indenture; but the Trustee is under a duty to examine such certificates and opinions to determine whether they conform to the requirements of this Indenture.

(b)In case an Event of Default of which the Trustee has or is deemed to have notice hereunder has occurred and is continuing and has not been cured within any applicable grace period, the Trustee shall exercise such of the rights and powers vested in it by this Indenture and use the same degree of care and skill in their exercise, as a prudent person would exercise or use in the conduct of such person's own affairs.

(c)No provision of this Indenture shall be construed to relieve the Trustee from liability for its own negligent action, its own negligent failure to act, or its own willful misconduct, except that:

(1)this subsection shall not be construed to limit the effect of subsection (a) of this Section;

(2)the Trustee is not liable for any error of judgment made in good faith by a Responsible Officer, unless it is proven that the Trustee was grossly negligent in ascertaining the pertinent facts;

(3)the Trustee is not liable with respect to any action it takes or omits to be taken by it in good faith in accordance with the direction of the Bondholders under any provision of this Indenture relating to the time, method and place of conducting any proceeding for any remedy available to the Trustee, or exercising any trust or power conferred upon the Trustee under this Indenture; and

(4)no provision of this Indenture shall require the Trustee to expend or risk its own funds or otherwise incur any liability in the performance of any of its duties hereunder, or in the exercise of any of its rights or powers, if it has reasonable grounds for believing that the repayment of such funds or adequate indemnity against such risk or liability is not reasonably assured to it.

(d)The Trustee shall maintain records of all investments and disbursements of proceeds in the funds and accounts established pursuant to this Indenture through the date ending six (6) years following the date on which all the Bonds and Additional Bonds have been retired.

(e)Whether or not expressly so provided, every provision of this Indenture relating to the conduct or affecting the liability of or affording protection to the Trustee is subject to the provisions of this Section.

Section 8.02Certain Rights of the Trustee. Except as otherwise provided in Section 8.01:

(a)the Trustee may rely and is protected in acting or refraining from acting upon any resolution, certificate, statement, instrument, opinion, report, notice, request, direction, consent, order, approval, bond, debenture or other paper or document believed by it to be genuine and to have been signed or presented by the proper party or parties;

(b)whenever in the administration of this Indenture the Trustee deems it desirable that a matter be proved or established prior to taking, suffering or omitting any action hereunder, the Trustee (unless other evidence thereof is specifically prescribed) may, in the absence of gross negligence or willful misconduct on its part, rely upon an Officer's Certificate;

(c)the Trustee may consult with Counsel and the written advice of such Counsel or an opinion of Counsel shall be full and complete authorization and protection for any action taken, suffered or omitted by it and in accordance with such advice or opinion;

(d)the Trustee is under no obligation to exercise any of the rights or powers vested in it by this Indenture at the request or direction of any of the Bondholders unless such holders have offered to the Trustee security or indemnity satisfactory to the Trustee as to its terms, coverage, duration, amount and otherwise with respect to the costs, expenses and liabilities which may be incurred by it in compliance with such request or direction, and the provision of such indemnity shall be mandatory for any remedy taken upon direction of the holders of not less than a majority in aggregate principal amount of the Bonds;

(e) the Trustee is not required to make any inquiry or investigation into the facts or matters stated in any resolution, certificate, statement, instrument, opinion, report, notice, request, direction, consent, order, approval, bond, debenture or other paper or document but the Trustee, in its discretion, may make such further inquiry or investigation into such facts or matters as it may see fit and, if the Trustee determines to make such further inquiry or investigation, it is entitled to examine the books, records and premises of the City, in person or by agent or attorney;

(f) the Trustee may execute any of its trusts or powers or perform any duties under this Indenture either directly or by or through agents or attorneys, and may in all cases pay, subject to reimbursement as provided in Section 8.05, such reasonable compensation as it deems proper to all such agents and attorneys reasonably employed or retained by it, and the Trustee shall not be responsible for any misconduct or negligence of any agent or attorney appointed with due care by it;

(g) the Trustee is not required to take notice or deemed to have notice of any default or Event of Default hereunder, except Events of Default under Section 7.01(a) and (b), unless a Responsible Officer of the Trustee has actual knowledge thereof or has received notice in writing of such default or Event of Default from the City, the holders of at least 25% in aggregate principal amount of the Outstanding Bonds, and in the absence of any such notice, the Trustee may conclusively assume that no such default or Event of Default exists;

(h) the Trustee is not required to give any bond or surety with respect to the performance of its duties or the exercise of its powers under this Indenture;

(i) in the event the Trustee receives inconsistent or conflicting requests and indemnity from two or more groups of holders of Bonds, each representing less than a majority in aggregate principal amount of the Bonds Outstanding, pursuant to the provisions of this Indenture, the Trustee, in its sole discretion, may determine what action, if any, shall be taken;

(j) the Trustee's immunities and protections from liability and its right to indemnification in connection with the performance of its duties under this Indenture shall extend to the Trustee's officers, directors, agents, attorneys and employees. Such immunities and protections and right to indemnification, together with the Trustee's right to compensation, shall survive the Trustee's resignation or removal, the defeasance or discharge of this Indenture and final payment of the Bonds;

(k) the permissive right of the Trustee to take the actions permitted by this Indenture shall not be construed as an obligation or duty to do so; and

(l) except for information provided by the Trustee concerning the Trustee, the Trustee shall have no responsibility for any information in any offering memorandum or other disclosure material distributed with respect to the Bonds, and the Trustee shall have no responsibility for compliance with any state or federal securities laws in connection with the Bonds.

Section 8.03 Trustee Not Responsible for Recitals. The recitals contained in this Indenture and in the Bonds (other than the certificate of authentication on the Bonds) are statements of the City and the Trustee assumes no responsibility for their correctness. The Trustee makes no representations as to the value, condition or sufficiency of any assets pledged or assigned as security for the Bonds, the right, title or interest of the City therein, the security provided thereby or by this Indenture, or the tax-exempt status of the Bonds. The Trustee is not accountable for the use or application by the City of any of the Bonds or the proceeds of the Bonds, or for the use or application of any moneys paid over by the Trustee in accordance with any provision of this Indenture or the Assistance Agreement.

Section 8.04 Trustee May Own Bonds. The Trustee, in its commercial banking or in any other capacity, may in good faith buy, sell, own, hold and deal in any of the Bonds and may join in any action which any Bondholder may be entitled to take with like effect as if it were not Trustee. The Trustee, in its commercial banking or in any other capacity, may also engage in or be interested in any financial or other transaction with the City and may act as depository, trustee or agent for any committee of Bondholders secured hereby or other obligations of the City as freely as if it were not Trustee. The provisions of this Section shall extend to affiliates of the Trustee.

Section 8.05 Compensation and Expenses of the Trustee. The City covenants and agrees:

(a) to pay to the Trustee compensation for all services rendered by it hereunder and under the other agreements relating to the Bonds to which the Trustee is a party in accordance with terms agreed to from time to time, and, subsequent to default, in accordance with the Trustee's then-current fee schedule for default administration (the entirety of which compensation shall not be limited by any provision of law regarding compensation of a trustee of an express trust);

(b) to reimburse the Trustee upon its request for all reasonable expenses, disbursements and advances incurred or made by the Trustee (for its services as Trustee, Bond Registrar, Paying Agent, certifying agent, authenticating agent, transfer agent or as otherwise set forth herein) in accordance with any provision of this Indenture, any other agreement relating to the Bonds to which it is a party or in complying with any request by the City or any Rating Service with respect to the Bonds, including the reasonable compensation, expenses and disbursements of its agents and Counsel, except any such expense, disbursement or advance attributable to the Trustee's gross negligence or willful misconduct; and

(c) to indemnify, defend and hold the Trustee harmless from and against any loss, liability or expense incurred without gross negligence or willful misconduct on its part, arising out of or in connection with the acceptance or administration of the office of Trustee under this Indenture, including the costs of defending itself against any claim or liability in connection with the exercise or performance of any of its powers or duties hereunder or thereunder.

In the event the Trustee incurs expenses or renders services in any proceedings under Bankruptcy Law relating to the City, the expenses so incurred and compensation for services so rendered are intended to constitute expenses of administration under Bankruptcy Law.

As security for the performance of the obligations of the City under this Section, the Trustee shall have a lien prior to the lien securing the Bonds, which it may exercise through a right of setoff, upon all property or funds held or collected by the Trustee pursuant to this Indenture (other than moneys in the Rebate Fund). The obligations of the City to make the payments described in this Section shall survive discharge of this Indenture, the resignation or removal of the Trustee and payment in full of the Bonds.

Section 8.06 Qualifications of Trustee. There shall at all times be a trustee hereunder which shall be a corporation or banking association organized and doing business under the laws of the United States of America or of any state, authorized under such laws to exercise corporate trust powers, which has a combined capital and surplus of at least \$50,000,000, or is an affiliate of, or has a contractual relationship with, a corporation or banking association meeting such capital and surplus requirement which guarantees the obligations and liabilities of the proposed trustee, and which is subject to supervision or examination by federal or state banking authority. If such corporation or banking association publishes reports of condition at least annually, pursuant to law or the requirements of any supervising or examining authority above referred to, then for purposes of this Section, the combined capital and surplus of such corporation or banking association shall be deemed to be its combined capital and surplus as set forth in its most recent report of condition so published. If at any time the Trustee shall cease to be eligible in accordance with the provisions of this Section, it shall resign promptly in the manner and with the effect specified in this Article.

Section 8.07 Resignation or Removal of Trustee; Appointment of Successor Trustee.

(a) No resignation or removal of the Trustee and no appointment of a successor Trustee pursuant to this Article shall become effective until the acceptance of appointment by the successor Trustee under Section 8.08.

(b) The Trustee may resign at any time by giving written notice to the City and the State. Upon receiving such notice of resignation, the City, with the written approval of the State, shall promptly appoint a successor Trustee by an instrument in writing. If an instrument of acceptance has not been delivered to the resigning Trustee within thirty (30) days after the giving of such notice of resignation, the resigning Trustee or any holder of a Bond then Outstanding may petition a court of competent jurisdiction for the appointment of a successor Trustee.

(c) Prior to the occurrence and continuance of an Event of Default hereunder, or after the curing or waiver of any such Event of Default, the City, with the written approval of the State, or the holders of not less than a majority in aggregate principal amount of the Outstanding Bonds, may remove the Trustee and shall appoint a

successor Trustee. In the event there shall have occurred and be continuing an Event of Default hereunder, the holders of not less than a majority in aggregate principal amount of the Outstanding Bonds may remove the Trustee and shall appoint a successor Trustee. In each instance such removal and appointment shall be accomplished by an instrument or concurrent instruments in writing signed by an Authorized Officer of the City or such holders, as the case may be, and delivered to the Trustee, the City and holders of the Outstanding Bonds.

(d) If at any time: (1) the Trustee shall cease to be eligible and qualified under Section 8.06 and shall fail or refuse to resign after written request to do so by the City or the holder of any Bond, or (2) the Trustee shall become incapable of acting or shall be adjudged insolvent, or a receiver of the Trustee or its property shall be appointed, or any public officer shall take charge or control of the Trustee, its property or affairs for the purpose of rehabilitation, conservation or liquidation, then in either such case (A) the City may remove the Trustee and appoint a successor Trustee in accordance with the provisions of subsection (c) of this Section; or (B) any holder of a Bond then Outstanding may, on behalf of the holders of all Outstanding Bonds, petition a court of competent jurisdiction for removal of the Trustee and appointment of a successor Trustee.

(e) The City shall give written notice of each resignation or removal of the Trustee and each appointment of a successor Trustee to the State and each holder of Bonds then Outstanding as listed in the Bond Register. Each such notice shall include the name and address of the applicable corporate trust office of the successor Trustee.

Section 8.08 Acceptance of Appointment by Successor Trustee.

(a) Every successor Trustee appointed hereunder shall execute, acknowledge and deliver to the City, the State and the predecessor Trustee an instrument accepting its appointment. The resignation or removal of the retiring Trustee shall thereupon become effective, and the successor Trustee shall, without further act, deed or conveyance become vested with all the estates, properties, rights, powers and duties of the predecessor Trustee. Upon the request of the City or the successor Trustee, the predecessor Trustee shall execute and deliver an instrument transferring to the successor Trustee all the estates, properties, rights, powers and duties of the predecessor Trustee under this Indenture, and shall duly assign, transfer, deliver and pay over to the successor Trustee all the Trust Estate and moneys and other property then held under this Indenture, subject, however, to the lien provided for in Section 8.05. The successor Trustee shall promptly give written notice of its appointment to the holders of all Bonds Outstanding in the manner prescribed herein, unless such notice has previously been given.

(b) No successor Trustee shall accept appointment as provided in this Section unless, as of the date of such acceptance, it is eligible and qualified under the provisions of Section 8.06.

Section 8.09 Merger, Succession or Consolidation of Trustee. Any corporation or association: (a) into which the Trustee is merged, converted or with which it is consolidated; (b) resulting from any merger or consolidation to which the Trustee is a party; or (c) succeeding to all or substantially all of the corporate trust business of the Trustee, shall be the successor Trustee without the execution or filing of any document or the taking of any further action on the part of any parties hereto. Any such successor must nevertheless be eligible and qualified under the provisions of Section 8.06.

Section 8.10 Notices to Bondholders; Waiver. Where this Indenture provides for notice to Bondholders of any event, such notice shall be sufficiently given (unless otherwise herein expressly provided) if in writing and mailed, first-class postage prepaid, to each Bondholder affected by each event, at his or her address as it appears on the Bond Register, not later than the latest date, and not earlier than the earliest date, prescribed for the first giving of such notice. In any case where notice to Bondholders is given by mail, neither the failure to mail such notice, nor any default in any notice so mailed to any particular Bondholder shall affect the sufficiency of such notice with respect to other Bondholders. Where this Indenture provides for notice in any manner, such notice may be waived in writing by the Person entitled to receive such notice, either before or after the event, and such waiver shall be the equivalent of such notice. Waivers of notice by Bondholders shall be filed with the Trustee, but such filing shall not be a condition precedent to the validity of any action taken in reliance upon such waiver.

For so long as the Bonds are registered solely in the name of the Securities Depository or its nominee, the Trustee, on behalf of the City, at the expense of the City, shall maintain a register (the "Beneficial Owner Register") in which the Trustee shall record the name and address of any person that is identified to the Trustee as a beneficial owner of an interest in the Bonds and for which the Trustee has: (i) information sufficient to permit delivery of first

class mail and (ii) either: (x) a certificate executed, as depository or securities intermediary, by any trust company, bank, banker or member of a national securities exchange (wherever situated), if such certificate is in form satisfactory to the Trustee, or (y) a certificate or affidavit of the Person executing such instrument or writing as a beneficial owner if such certificate or affidavit is in form satisfactory to the Trustee or (z) such other instrument or writing as the Trustee deems sufficient for the purposes of this Section. The Trustee and the City shall not be responsible for the accuracy of the Beneficial Owner Register, and no Person listed in the Beneficial Owner Register shall be entitled to any rights under this Indenture other than the right to receive notices in the manner provided in the following paragraph.

For so long as the Bonds are registered solely in the name of the Securities Depository or its nominee, where this Indenture provides for notice to the Bondholders of the existence of, or during the continuance of, any Event of Default or at any time upon the written request of the City or the State, the Trustee, at the expense of the City, shall: (i) establish a record date (the "Record Date") for determination of the Persons entitled to receive such notice; (ii) request a securities position listing from the Securities Depository showing the Depository Participants holding positions in the Bonds affected by such notice as of the Record Date for such notice; (iii) mail, first class postage prepaid, copies of the notice as provided above to each Depository Participant identified in the securities position listing as holding a position in the Bonds as of the Record Date for the notice, to each Person listed in the Beneficial Owner Register, to each nationally recognized municipal securities information repository and state information depository (within the meaning of Rule 15c2-12 of the United States Securities and Exchange Commission under the Securities Exchange Act of 1934), and to any Person identified to the Trustee as a non-objecting beneficial owner pursuant to the immediately following clause; (iv) request that the Depository Participant retransmit the notice to all Persons for which it served as nominee on the Record Date, including non-objecting beneficial owners, or retransmit the notice to objecting beneficial owners and provide a listing of nonobjecting beneficial owners for whom the Depository Participant served as nominee on the Record Date to the Trustee, (v) provide on behalf of the State and not as its agent, an undertaking of the City to pay to any Depository Participant or other nominee (other than the Securities Depository) the reasonable costs of transmitting the notice to Persons for whom the Depository Participant acts as nominee; and (vi) provide as many copies of the notice as may be requested by any nominee owner of the Bonds. Any default in performance of the duties required by this paragraph shall not affect the sufficiency of notice to the Bondholders given in accordance with the first paragraph of this Section, nor the validity of any action taken under this Indenture in reliance on such notice to Bondholders.

Where this Indenture provides for notice to the Bondholders of any event, the form of the notice shall prominently include a title block, separate from the body of the notice, which shall include the following information: (i) the complete title of the Bonds; (ii) the complete name of the City; (iii) the entire nine-digit CUSIP number of each affected maturity of the Bonds; (iv) the Record Date; and (v) a summary that is no more than the maximum number of characters permitted by the Securities Depository.

Any notice required or permitted by this Indenture to be given to the Securities Depository shall be given to it in the manner provided by this Section for giving notice to Bondholders and shall be sent to: The Depository Trust Company, Proxy Department, 55 Water Street, 50th Floor, New York, New York 10041-0099, (telecop: (212) 855-5181), or such other address as may be specified by the Securities Depository in writing to the Trustee.

ARTICLE IX DISCHARGE AND DEFEASANCE

Section 9.01 Discharge.

If (a) the principal of any Series of Bonds and the interest due or to become due thereon together with any redemption premium required by redemption of any of the Bonds prior to maturity shall be paid, or is caused to be paid, or is provided for under Section 9.02, at the times and in the manner to which reference is made in the Bonds, according to the true intent and meaning thereof, or the outstanding Bonds shall have been paid and discharged in accordance with this Article, and (b) all of the covenants, agreements, obligations, terms and conditions of the City under this Indenture shall have been kept, performed and observed and there shall have been paid to the Trustee, the Bond Registrar and the Paying Agents all sums of money due or to become due to them in accordance with the terms and provisions hereof, then the right, title and interest of the Trustee in the Trust Estate shall thereupon cease and the Trustee, on request of the City, shall release this Indenture and the Trust Estate and shall execute such

documents to evidence such release as may be reasonably required by the City and shall turn over to the State, or to such other Person as may be entitled to receive the same, all balances remaining in any Funds hereunder except for amounts required to pay such Bonds or held pursuant to Section 4.07.

Section 9.02 Defeasance; Deposit of Funds for Payment of Bonds.

If the City or the State deposits with the Trustee moneys or Defeasance Obligations which, together with the earnings thereon, are sufficient to pay the principal of and redemption premium on any particular Bond or Bonds, or portions thereof, becoming due, either at maturity, by means of mandatory sinking fund redemption or by call for optional redemption or otherwise, together with all interest accruing thereon to the due date or Redemption Date, and pays or makes provision for payment of all fees, costs and expenses of the City and the Trustee due or to become due with respect to such Bonds, all liability of the City with respect to such Bond or Bonds (or portions thereof) shall cease, such Bond or Bonds (or portions thereof) shall be deemed not to be Outstanding hereunder and the holder or holders of such Bond or Bonds (or portions thereof) shall be restricted exclusively to the moneys or Defeasance Obligations so deposited, together with any earnings thereon, for any claim of whatsoever nature with respect to such Bond or Bonds (or portions thereof), and the Trustee shall hold such moneys, Defeasance Obligations and earnings in trust exclusively for such holder or holders and such moneys, Defeasance Obligations and earnings shall not be a part of the Trust Estate securing any other Bonds under this Indenture. In determining the sufficiency of the moneys and Defeasance Obligations deposited pursuant to this Section, the Trustee shall receive, at the expense of the City or the State, and may rely upon: (a) a verification report of a firm of nationally recognized independent certified public accountants or other qualified firm acceptable to the City, the State, and the Trustee; and (b) an opinion of Bond Counsel to the effect that (1) all conditions set forth in this Article have been satisfied and (2) that defeasance of the Bonds will not cause interest on the Bonds to be includable in gross income for federal income tax purposes. Upon such defeasance all rights of the City including its right to provide for optional redemption of Bonds on dates other than planned pursuant to such defeasance, shall cease unless specifically retained by filing a written notification thereof with the Trustee on or prior to the date the Defeasance Obligations are deposited with the Trustee.

When a Bond is deemed to be paid hereunder, as aforesaid, it shall no longer be secured by or entitled to the benefits of this Indenture, except for the purposes of any such payment from such money or Defeasance Obligations and except for the provisions of this Section, Section 2.03, 2.04, 2.05, 2.07, 2.08, 2.10, 2.11, 2.12, 4.03 and 11.08 and Article X and the City shall continue to be subject to the provisions of Section 8.05.

Section 9.03 Notice of Defeasance.

(a) If moneys or Defeasance Obligations have been deposited with the Trustee pursuant to Section 9.02 for payment of less than all Bonds of a Series and maturity, the Bonds of such Series and maturity to be so paid from such deposit shall be selected by the Trustee by lot by such method as shall provide for the selection of portions (in Authorized Denominations) of the principal of Bonds of such Series and maturity of a denomination larger than the smallest Authorized Denomination. Such selection shall be made within seven (7) days after the moneys or Defeasance Obligations have been deposited with the Trustee. This selection process shall be in lieu of the selection process otherwise provided with respect to redemption of Bonds in Article III. After such selection is made, Bonds that are to be paid from such deposit (including Bonds issued in exchange for such Bonds pursuant to the transfer or exchange provisions of this Indenture) shall be identified by a separate CUSIP number or other designation satisfactory to the Trustee. The Trustee shall notify Bondholders whose Bonds (or portions thereof) have been selected for payment from the moneys or Defeasance Obligations on deposit and shall direct such Bondholders to surrender their Bonds to the Trustee in exchange for Bonds with the appropriate designation. The selection of Bonds for payment from such deposit pursuant to this subsection shall be conclusive and binding on the City.

The City shall give to the Trustee in form satisfactory to it irrevocable instructions to give notice of the deposit of moneys or Defeasance Obligations, the selection of Bonds to be redeemed including CUSIP numbers and the anticipated date of redemption. The Trustee shall promptly give such notice to the Bondholders including the information required under Section 3.04.

(b) In case any of the Bonds, for the payment of which moneys or Defeasance Obligations have been deposited with the Trustee pursuant to Section 9.02, are to be redeemed on any date prior to their maturity, the City, in addition to any notice required under subsection (a) of this Section, shall give to the Trustee in form satisfactory

to it irrevocable instructions to give notice of redemption of such Bonds on the redemption date for such Bonds as provided in Section 3.04.

(c) In addition to the foregoing notice, in the event such Bonds to be redeemed are not by their terms subject to redemption within the next succeeding ninety (90) days, the Trustee shall give further notice to the Bondholders that the deposit required by Section 9.02 has been made with the Trustee and that said Bonds are deemed to have been paid in accordance with this Article and stating the maturity or redemption date or dates upon which moneys are to be available for the payment of the principal of and redemption premium, if any, on said Bonds; such further notice shall be given promptly following the making of the deposit required by Section 9.02; and such further notice also shall be given in the manner set forth in Section 3.04(b); but no defect in said further notice nor any failure to give all or any portion of such further notice shall in any manner defeat the effectiveness of the deposit.

(d) If the City has retained any rights pursuant to the last sentence of Section 9.02, notice thereof shall be sent to Bondholders of such Bonds as soon as practicable and not later than any notice required by subsections (a) or (b) of this Section.

ARTICLE X SUPPLEMENTAL INDENTURES AND AMENDMENTS

Section 10.01 Supplemental Indentures Without Bondholders' Consent. The City and the Trustee may from time to time and at any time enter into Supplemental Indentures, without the consent of or notice to any Bondholder, to effect any one or more of the following:

(a) cure any ambiguity or defect or omission or correct or supplement any provision herein or in any Supplemental Indenture;

(b) grant to or confer upon the Trustee for the benefit of the Bondholders any additional rights, remedies, powers, authority or security that may lawfully be granted to or conferred upon the Bondholders or the Trustee which are not contrary to or inconsistent with this Indenture as then in effect or to subject to the pledge and lien of this Indenture additional revenues, properties or collateral including Defeasance Obligations;

(c) add to the covenants and agreements of the City in this Indenture other covenants and agreements thereafter to be observed by the City or to surrender any right or power herein reserved to or conferred upon the City which are not contrary to or inconsistent with this Indenture as then in effect or of the State in any Assistance Agreement;

(d) permit the appointment of a co-trustee under this Indenture;

(e) modify, alter, supplement or amend this Indenture in such manner as shall permit the qualification of this Indenture, if required, under the Trust Indenture Act of 1939 or, the Securities Act of 1933, as from time to time amended, or any similar federal statute hereafter in effect;

(f) (make any other change herein that is determined by the Trustee to be not materially adverse to the interests of the Bondholders and which does not involve a change described in Section 10.02 requiring consents of specific Bondholders;

(g) implement the issuance of Additional Bonds as provided by Section 2.13; or

(h) if a Series of Bonds are all Book Entry Bonds, amend, modify, alter or replace the Letter of Representations as provided in Section 2.12 or other provisions relating to Book Entry Bonds.

The Trustee shall not be obligated to enter into any such Supplemental Indenture which adversely affects the Trustee's own rights, duties or immunities under this Indenture.

Section 10.02 Supplemental Indentures Requiring Bondholders' Consent. The City and the Trustee, at any time and from time to time, may execute and deliver a Supplemental Indenture for the purpose of making any modification or amendment to this Indenture, but only with the written consent, given as provided in Section 10.03, of the holders of not less than a majority in aggregate principal amount of the Bonds Outstanding at the time such consent is given, and in case less than all of the Bonds then Outstanding are affected by the modification or amendment, of the holders of not less than a majority in aggregate principal amount of the Bonds so affected and Outstanding at the time such consent is given; provided, however, that if such modification or amendment will, by its terms, not take effect so long as any Bonds so affected remain Outstanding, the consent of the holders of such Bonds shall not be required and such Bonds shall not be deemed to be Outstanding for the purpose of any calculation of Outstanding Bonds under this Section. Notwithstanding the foregoing, no modification or amendment contained in any such Supplemental Indenture shall permit any of the following, without the consent of each Bondholder whose rights are affected thereby: (a) a change in the terms of stated maturity or redemption of any Bond or of any installment of interest thereon; (b) a reduction in the principal amount of or redemption premium on any Bond or in the rate of interest thereon or a change in the coin or currency in which such Bond is payable; (c) the creation of a lien on or a pledge of any part of the Trust Estate, or the money or assets pledged under this Indenture or any part thereof; (d) the granting of a preference or priority of any Bond or Bonds over any other Bond or Bonds; (e) a reduction in the aggregate principal amount of Bonds of which the consent of the Bondholders is required to effect any such modification or amendment; or (f) a change in the provisions of Section 7.15. Notwithstanding the foregoing, the holder of any Bond may extend the time for payment of the principal of or interest on such Bond; provided, however, that upon the occurrence of an Event of Default, funds available hereunder for the payment of the principal of and interest on the Bonds shall not be applied to any payment so extended until all principal and interest payments which have not been extended have first been paid in full. Notice of any Supplemental Indenture executed pursuant to this Section or Section 10.01 shall be given to the Bondholders promptly following the execution thereof by the City and the Trustee.

Section 10.03 Consents of Bondholders and Opinions. Each Supplemental Indenture executed and delivered pursuant to the provisions of Section 10.02 shall take effect only when and as provided in this Section 10.03. A copy of such Supplemental Indenture (or brief summary thereof or reference thereto in form approved by the Trustee), together with a request to Bondholders for their consent thereto in form satisfactory to the Trustee, shall be sent by the Trustee to Bondholders, at the expense of the City, by registered or certified class mail, postage prepaid, provided that a failure to mail such request shall not affect the validity of the Supplemental Indenture when consented to as provided hereinafter. Such Supplemental Indenture shall not be effective unless and until there shall have been filed with the Trustee (a) the written consents of Bondholders of the percentage of Bonds specified in Section 10.02 given as provided in Section 11.11, and (b) the opinion of Counsel described in Section 10.06. Any such consent shall be binding upon the Bondholder giving such consent and upon any subsequent holder of such Bonds and of any Bonds issued in exchange therefor or in lieu thereof (whether or not such subsequent Bondholder has notice thereof), unless such consent is revoked in writing by the Bondholder giving such consent or a subsequent holder of such Bonds by filing such revocation with the Trustee prior to the date the Trustee receives the material required in subsections (a) and (b) of this Section.

Notwithstanding anything else herein, if a Supplemental Indenture is to become effective under Section 10.02 on the same date as the date of issuance of Additional Bonds, the consents of the Underwriters or purchasers of such Additional Bonds shall be counted for purposes of Section 10.02 and this Section.

Section 10.04 Exclusion of Certain Bonds. Bonds which are to be disregarded under the last sentence of the definition of "Outstanding" shall not be deemed Outstanding for the purpose of consent or other action or any calculation of Outstanding Bonds provided for in this Article. At the time of any consent or other action taken under this Article or elsewhere in this Indenture, the City shall furnish the Trustee an Officer's Certificate of the City upon which the Trustee may rely, describing all Bonds so to be excluded.

Section 10.05 Notation on Bonds. Bonds authenticated and delivered after the effective date of any action taken as provided in this Article may, and, if the City so determines, shall bear a notation by endorsement or otherwise in form approved by the Trustee as to such action, and in that case upon demand of the holder of any Outstanding Bond at such effective date and presentation of such Bond for the purpose at the Office of the Trustee, or upon any transfer of any Bond Outstanding at such effective date, suitable notation shall be made on such Bond or upon any Bond issued upon any such transfer by the Trustee as to any such action. If the City shall so determine, new Bonds so modified as in the opinion of the Trustee and the City to conform to such action shall be prepared,

authenticated and delivered, and upon demand of the holder of any Bond then Outstanding shall be exchanged, without cost to such Bondholder for Bonds then Outstanding, upon surrender of such Bonds for Bonds of an equal aggregate principal amount and of the same Series, maturity and interest rate, in any Authorized Denomination.

Section 10.06 Delivery of Counsel's Opinion with Respect to Supplemental Indentures. Subject to the provisions of Section 8.01, the Trustee in executing or accepting the additional trusts permitted by this Article or the modifications thereby of the trusts created by this Indenture may rely, and shall be fully protected in relying, on an opinion of Counsel acceptable to it stating that (a) the execution of such Supplemental Indenture is authorized or permitted by this Indenture and (b) all conditions precedent to the execution and delivery of such Supplemental Indenture have been complied with, and an opinion of Bond Counsel that the execution and performance of such Supplemental Indenture shall not, in and of itself, adversely affect the federal income tax status of Tax Exempt Bonds, if applicable. The Trustee may accept and rely upon such opinion of Counsel as conclusive evidence that any Supplemental Indenture executed pursuant to the provisions of this Article complies with the requirements of this Article.

Section 10.07 Effect of Supplemental Indentures. Upon the execution and delivery of any Supplemental Indenture under this Article, this Indenture shall be modified in accordance therewith, and such Supplemental Indenture shall form a part of this Indenture for all purposes; and every holder of any Bond theretofore or thereafter authenticated and delivered hereunder shall be bound thereby.

ARTICLE XI MISCELLANEOUS PROVISIONS

Section 11.01 Security Agreement; Financing Statements. In addition to the assignment by the City of its rights in the Trust Estate to the Trustee, the City hereby acknowledges that, in order to more fully protect, perfect and preserve the rights of the Trustee and the Bondholders in the Trust Estate, the City grants to the Trustee a security interest in and lien on the Trust Estate and the proceeds thereof. The City agrees to file, or cause to be filed, financing statements and continuations thereof in such manner and in such places as may be required by law in order to perfect such security interest and lien. At the time of the issuance of the Bonds and at the required intervals under applicable State law, the Trustee, at the expense of the City, may obtain an opinion of Counsel setting forth what, if any, actions by the City or Trustee should be taken in order to protect, perfect and preserve such security interest and lien. The Trustee shall cooperate with the City, including the filing of any necessary financing statements and continuations thereof.

Section 11.02 Limitation of Rights. With the exception of rights herein expressly conferred, nothing expressed or mentioned in or to be implied from this Indenture or the Bonds is intended or shall be construed to give to any Person other than the parties hereto, the Bondholders any legal or equitable right, remedy or claim under or in respect to this Indenture. This Indenture and all of the covenants, conditions and provisions hereof are intended to be and are for the sole and exclusive benefit of the parties hereto, the Bondholders as herein provided.

Section 11.03 Severability. If any term or provision of this Indenture or the Bonds shall be invalid, inoperative or unenforceable as applied in any particular case in any jurisdiction or jurisdictions or in all jurisdictions, or in all cases because it conflicts with any other provision or provisions hereof or any constitution or statute or rule of public policy, or for any other reason, such circumstances shall not have the effect of rendering the provision in question inoperative or unenforceable in any other case or circumstance, or of rendering any other provision or provisions herein contained invalid, inoperative, or unenforceable to any extent whatever, and such term and provision shall be valid and enforced to the fullest extent permitted by law.

Section 11.04 Notices. Except as otherwise provided herein, all notices, certificates or other communications hereunder shall be in writing and shall be deemed given upon receipt, by hand delivery, mail, overnight delivery or other electronic means addressed as follows:

City:

City of Hartford
Office of the City Treasurer
250 Constitution Plaza, 2nd Floor
Hartford, CT 06103

With a copy to:

Robinson & Cole LLP
280 Trumbull Street
Hartford, CT 06103
Attn: Keisha S. Palmer, Esq.

Trustee and Paying Agent:

U.S. Bank Trust Company, National Association
CityPlace I
185 Asylum Street, 27th Floor
Hartford, CT 06103
Attention: Laurel Casasanta and Juliet Taylor, Corporate Trust
Email: laurel.casasanta@usbank.com and juliet.taylor@usbank.com

State:

The State of Connecticut
Office of the State Treasurer
165 Capitol Avenue
Hartford, CT 06106
Attn: Deputy Treasurer

In case by reason of the suspension of regular mail service, it shall be impracticable to give notice by first class mail of any event to any Bondholder, the City when such notice is required to be given pursuant to any provisions of this Indenture, then any manner of giving such notice as shall be satisfactory to the Trustee shall be deemed to be sufficient giving of such notice. The City, the Trustee and the State may, by written notice pursuant to this Section, designate any different addresses to which subsequent notices, certificates or other communications shall be sent. A duplicate copy of each notice, approval, consent, request, complaint, demand or other communication given hereunder by the City, the State or the Trustee to any one of the others shall also be given to the others. For purposes of this Section and the definition of Immediate Notice, “electronic means” shall mean email or other similar electronic means of communication which produces evidence of transmission; provided, that notices given by email shall be by pdf attachment to such email and are considered received only upon confirmation of receipt by the receiving party (automatic system-generated receipts not constituting confirmation of receipt). All communications hereunder must be in writing (provided that any communication sent to Trustee hereunder must be in the form of a document that is signed manually or by way of a digital signature provided by DocuSign (or such other digital signature provider as specified in writing to Trustee by the authorized representative)), in English. The City agrees to assume all risks arising out of the use of using digital signatures and electronic methods to submit communications to Trustee, including without limitation, the risk of Trustee acting on unauthorized instructions, and the risk of interception and misuse by third parties.

Notwithstanding the foregoing, notices to the Trustee shall be effective only upon receipt.

Section 11.05Holidays. If the date for making any payment or the last date for performance of any act or the exercising of any right, as provided in this Indenture, is not a Business Day, such payment may be made or act performed or right exercised on the next succeeding Business Day with the same force and effect as if done on the nominal date provided in this Indenture and no interest shall accrue on the payment so deferred during the intervening period.

Section 11.06Counterparts. This Indenture may be executed in any number of counterparts, each of which when so executed and delivered shall constitute an original, but all of which, when taken together, shall

constitute but one and the same instrument, and shall become effective when copies hereof shall be delivered to each of the parties hereto, which copies, when taken together, bear the signatures of each of the parties hereto.

Section 11.07 Applicable Law. This Indenture shall be governed in all respects including validity, interpretation and effect by, and shall be enforceable in accordance with, the laws of the United States of America and of the State.

Section 11.08 Limitation of Liability of Officials of the City. Notwithstanding anything to the contrary contained herein, for payment of the obligations of the City under this Indenture and the Bonds, the Trustee, the Bondholders and any other party entitled to seek payment from the City under or to enforce this Indenture and the Bonds will be entitled to look solely to amounts on deposit with and held by the Trustee for the benefit of the Bondholders, subject to the terms of this Indenture and such collateral, if any, as may now or hereafter be given to secure the payment of the obligations of the City under this Indenture and the Bonds, and no other property or assets of the City or any officer or director of the City shall be subject to levy, execution or other enforcement procedure for the satisfaction of the remedies hereunder, or for any payment required to be made under this Indenture and the Bonds, or for the performance of any of the covenants or warranties contained herein.

Section 11.09 Successors and Assigns. All of the covenants, promises and agreements in this Indenture contained by or on behalf of the City, or by or on behalf of the Trustee, shall bind and inure to the benefit of their respective successors and assigns, whether so expressed or not.

Section 11.10 Form of Documents Delivered to Trustee. In any case where several matters are required to be certified by, or covered by an opinion of, any specified person, it is not necessary that all such matters be certified by, or covered by the opinion of, only one such person, or that they be so certified or covered by only one document, but one such person may certify or give an opinion with respect to some matters and one or more other such persons as to other matters, and any such person may certify or give an opinion as to such matters in one or several documents.

Any Officer's Certificate of the City may be based, insofar as it relates to legal matters, upon a certificate or opinion of, or representations by, Counsel, unless such official or officer knows, or in the exercise of reasonable care should know, that the certificate or opinion or representations with respect to the matters upon which his or her certificate or opinion is based are erroneous. Any opinion of Counsel may be based, insofar as it relates to factual matters, upon a certificate or opinion of, or representations by, an official or officials of the City stating that the information with respect to such factual matters is in the possession of the City. unless such Counsel knows, or in the exercise of reasonable care should know, that the certificate or opinion or representations with respect to such matters are erroneous.

Where any person is required to make, give or execute two or more applications, requests, consents, certificates, statements, opinions or other instruments under this Indenture, they may, but need not, be consolidated and form one instrument.

Section 11.11 Consent of Holders. Any consent, request, direction, approval, objection or other instrument required by this Indenture to be signed and executed by the Bondholders may be in any number of concurrent writings of similar tenor and must be signed or executed by such Bondholders in person or by agent appointed in writing. Proof of the execution of any such consent, request, direction, approval, objection or other instrument or of the writing appointing any such agent and of the ownership of Bonds, if made in the following manner, shall be sufficient for any of the purposes of this Indenture, and shall be conclusive in favor of the Trustee with regard to any action taken by it under such request or other instrument, namely:

(a) The fact and date of the execution by any person of any such writing may be proved by the certificate of any officer in any jurisdiction who by law has power to take acknowledgments within such jurisdiction that the person signing such writing acknowledged the execution thereof, or by an affidavit of any witness to such execution.

(b) The Trustee may establish a Record Date for the purpose of identifying Bondholders entitled to issue any such consent, request, direction, approval or instrument.

Section 11.12 PATRIOT Act Notice. The parties hereto acknowledge that in accordance with Section 326 of the U.S.A. Patriot Act, the Trustee, like all financial institutions and in order to help fight the funding of terrorism and money laundering, is required to obtain, verify, and record information that identifies each person or legal entity that establishes a relationship or opens an account with the Trustee. The parties to this Indenture agree that they will provide the Trustee with such information as it may request in order for the Trustee to satisfy the requirements of the U.S.A. Patriot Act.

(The next page is the signature page.)

IN WITNESS WHEREOF, the City has caused this Indenture to be signed in its name by its Authorized Officers and approved as to form by its Corporation Counsel, and the Trustee, in acceptance of the trusts created hereunder, has caused this Indenture to be signed in its corporate name by its officer thereunder duly authorized all as of the day and year first above written.

(Seal)

CITY OF HARTFORD, CONNECTICUT

By: _____
Name: Luke Bronin
Title: Mayor

By: _____
Name: Carmen Sierra
Title: City Treasurer

Approved as to form:

By: _____
Name: Howard Rifkin
Title: Corporation Counsel

**U.S. BANK TRUST COMPANY,
NATIONAL ASSOCIATION, as Trustee**

By: _____
Name:
Title:

APPENDIX A

FORM OF BOND

UNITED STATES OF AMERICA

STATE OF CONNECTICUT

AS PROVIDED IN THE INDENTURE REFERRED TO HEREIN, UNTIL THE TERMINATION OF THE SYSTEM OF BOOK-ENTRY-ONLY TRANSFERS THROUGH THE DEPOSITORY TRUST COMPANY (TOGETHER WITH ANY SUCCESSOR SECURITIES DEPOSITORY APPOINTED PURSUANT TO THE INDENTURE, "DTC"), AND NOTWITHSTANDING ANY OTHER PROVISION OF THE INDENTURE TO THE CONTRARY, A PORTION OF THE PRINCIPAL AMOUNT OF THIS BOND MAY BE PAID OR REDEEMED WITHOUT SURRENDER HEREOF TO THE TRUSTEE. DTC OR A NOMINEE, TRANSFEREE OR ASSIGNEE OF DTC AS OWNER OF THIS BOND MAY NOT RELY UPON THE PRINCIPAL AMOUNT INDICATED HEREON AS THE PRINCIPAL AMOUNT HEREOF OUTSTANDING AND UNPAID. THE PRINCIPAL AMOUNT HEREOF OUTSTANDING AND UNPAID SHALL FOR ALL PURPOSES BE THE AMOUNT DETERMINED IN THE MANNER PROVIDED IN THE INDENTURE.

UNLESS THIS BOND IS PRESENTED BY AN AUTHORIZED OFFICER OF DTC (A) TO THE TRUSTEE FOR REGISTRATION OF TRANSFER OR EXCHANGE OR (B) TO THE TRUSTEE FOR PAYMENT OF PRINCIPAL, AND ANY BOND ISSUED IN REPLACEMENT THEREOF OR SUBSTITUTION THEREFOR IS REGISTERED IN THE NAME OF DTC OR ITS NOMINEE CEDE & CO., OR SUCH OTHER NAME AS REQUESTED BY AN AUTHORIZED REPRESENTATIVE OF DTC AND ANY PAYMENT IS MADE TO DTC, ANY TRANSFER, PLEDGE OR OTHER USE HEREOF FOR VALUE OR OTHERWISE BY OR TO ANY PERSON IS WRONGFUL SINCE THE REGISTERED OWNER HEREOF, DTC OR ITS NOMINEE, CEDE & CO., HAS AN INTEREST HEREIN.

R-[]

CITY OF HARTFORD, CONNECTICUT
SPECIAL OBLIGATION REFUNDING BONDS
(STATE CONTRACT ASSISTANCE), SERIES 20__

<u>MATURITY DATE</u>	<u>DATED</u>	<u>CUSIP NO.</u>
[], 20__	[], 20__	[]
INTEREST RATE:	[]%	
PRINCIPAL AMOUNT:	[] DOLLARS (\$[],000)	
BONDHOLDER:	[]	

The City of Hartford, Connecticut, a political subdivision of the State of Connecticut (the "City"), for value received, hereby promises to pay (but only out of the Pledged Revenues, as defined in the Indenture hereinafter mentioned, and other assets pledged therefor as hereinafter mentioned) to the registered owner identified above, or registered assigns, on the maturity date identified above (subject to any right of prior redemption hereinafter mentioned), the principal amount identified above in lawful money of the United States of America; and to pay interest on the principal amount hereof in like lawful money from the date of initial authentication and delivery hereof until payment of such principal amount shall be discharged as provided in the Indenture, at the rates per annum as set forth, payable, on [] and [] (or, if such day is not a Business Day, on the next succeeding Business Day) in each year, commencing [], 20__ (each, an "Interest Payment Date"), computed on the

basis of a [360-day year consisting of twelve 30-day months]. The principal hereof is payable upon presentation hereof upon maturity or redemption, at the principal corporate trust office of U.S. Bank Trust Company, National Association, Hartford, Connecticut (together with any successor as paying agent under the Indenture, the “Paying Agent”). Interest hereon is payable to the person whose name appears on the bond registration books of the Trustee, as Bond Registrar, as the owner hereof as of the close of business on the [fifteenth calendar day of the calendar month preceding an Interest Payment Date (or the preceding Business Day if the fifteenth day is not a Business Day)], at such person’s address as it appears on such registration books.

THE BONDS ARE PAYABLE SOLELY FROM CONTRACT ASSISTANCE PAYMENTS BY THE STATE UNDER THE ASSISTANCE AGREEMENT. NEITHER THE STATE NOR ANY POLITICAL SUBDIVISION THEREOF, OTHER THAN THE CITY, SHALL BE OBLIGATED TO PAY THE PRINCIPAL OF OR THE INTEREST ON THE BONDS. NEITHER THE FULL FAITH AND CREDIT NOR THE TAXING POWER OF THE STATE OR OF ANY POLITICAL SUBDIVISION THEREOF, INCLUDING THE CITY, IS PLEDGED TO THE PAYMENT OF THE PRINCIPAL OF OR INTEREST ON THE BONDS.

Reference is hereby made to the reverse side of this Bond for additional provisions of this Bond.

It is hereby certified and recited that any and all conditions, things and acts required to exist, to have happened and to have been performed precedent to and in the issuance of this Bond do exist, have happened and have been performed in due time, form and manner as required by the Trust Indenture, dated as of May 1, 2023, (the “Original Indenture”), between the City and U.S. Bank Trust Company, National Association, as trustee, paying agent and registrar (herein “Trustee,” “Paying Agent” and “Bond Registrar,” respectively), as amended and supplemented by Supplemental Indentures executed and delivered from time to time pursuant to the terms of the Original Indenture, including the [_____] Supplemental Indenture, dated as of [_____] 20__ (the “[_____] Supplemental Indenture”, and together with the Original Indenture, the “Indenture”), between the City and the Trustee, and by the Constitution and laws of the State, and that the amount of this Bond is not in excess of the amount of Bonds permitted to be issued under the Indenture.

This Bond shall not be entitled to any benefit under the Indenture, or become valid or obligatory for any purpose, until the certificate of authentication hereon shall have been signed by the Trustee.

IN WITNESS WHEREOF, the City has caused this Bond to be executed in its name and on its behalf by the manual or facsimile signature of its Authorized Officers and approved as to form by the manual or facsimile signature of its Corporation Counsel and its corporate seal (or a facsimile thereof) to be impressed or imprinted hereon all as of the date of original issuance hereof.

(SEAL)

CITY OF HARTFORD, CONNECTICUT

By: _____
Name: Luke Bronin
Title: Mayor

By: _____
Name: Carmen Sierra
Title: City Treasurer

Approved as to form:

By: _____
Name: Howard Rifkin
Title: Corporation Counsel

CERTIFICATE OF AUTHENTICATION

This is one of the Bonds referred to in the within-mentioned Indenture and is one of the City's Special Obligation Refunding Bonds (State Contract Assistance), Series 20__.

**U.S. BANK TRUST COMPANY,
NATIONAL ASSOCIATION**, as Trustee

Date of Authentication: _____

By: _____
Authorized Signatory

(FORM OF REVERSE SIDE OF THE BONDS)

This Bond is one of a duly authorized issue of bonds of the City designated as “City of Hartford, Connecticut Special Obligation Refunding Bonds (State Contract Assistance), Series 20__” (the “Series 20__ Bonds”), issued in the aggregate principal amount of \$[____], pursuant to the provisions of a resolution of the City adopted on March 27, 2023 (the “Resolution”), and pursuant to the Indenture. The Bonds are issued for the purpose of refunding certain general obligation bonds of the City.

Reference is hereby made to the Indenture (copies of which are on file at the principal corporate trust office of the Trustee in Hartford, Connecticut and all Supplemental Indentures thereto) and to the Resolution for a description of the rights thereunder of the Bondholders of the Series 20__ Bonds, of the nature and extent of the security for the Series 20__ Bonds, of the rights, duties and immunities of the Trustee, and of the rights and obligations of the City thereunder, to all the provisions of which the Bondholder of this Series 20__ Bond, by acceptance hereof, assents and agrees.

The Series 20__ Bonds and the interest thereon are payable from Pledged Revenues that are available to the Trustee pursuant to the Contract for Financial Assistance, dated as of March 27, 2018, between the City and the State of Connecticut, acting by and through the Secretary of the Office of Policy and Management and the State Treasurer, as amended, modified and supplemented (the “Assistance Agreement”), providing for payments in respect of the Bonds pursuant to the Act, to the extent provided for in the Indenture. The Series 20__ Bonds and the interest thereon are secured by a pledge and assignment of said Pledged Revenues and of amounts held in certain funds and accounts established pursuant to the Indenture, subject only to the provisions of the Indenture permitting the application thereof for the purposes and on the terms and conditions set forth in the Indenture.

The Bonds are special, limited obligations of the City and are not a lien or charge upon the funds or property of the City, except to the extent of the aforementioned pledge and assignment. Under certain circumstances set forth in the Indenture and the Assistance Agreement, the City may issue Additional Bonds (as defined in the Indenture) pursuant to the Indenture ranking on a parity with the Series 20__ Bonds (the Series 20__ Bonds and any Additional Bonds are referred to herein collectively as the “Bonds”). Reference is hereby made to the Indenture, the Assistance Agreement for a description of the rights, duties and obligations of the City, the Trustee, the State, and the owners of the Bonds and the terms upon which the Bonds are issued and secured.

The Series 20__ Bonds maturing on or after [____], 20__ are subject to redemption by the City, at the direction of the State Treasurer, prior to maturity any time on or after [____], 20__, in whole or in part (and if in part from maturities in such order as determined by the City, at the direction of the State Treasurer, and by lot within any maturity, subject to selection by the Securities Depository or the Trustee), at the following redemption prices (expressed as a percentage of the principal amount thereof) plus accrued interest to and including the redemption date:

<u>Redemption Period</u>	<u>Redemption Price</u>
[____], 20__ and thereafter	100%

If this Bond is called for redemption and payment is duly provided therefor as specified in the Indenture, interest shall cease to accrue hereon from and after the date fixed for redemption.

Notice of any redemption of Bonds shall be given by mail to the Registered Owners of Bonds to be redeemed not less than thirty (30) days nor more than sixty (60) days prior to the date fixed for redemption.

(FORM OF ASSIGNMENT)

For value received (the undersigned does) hereby sell, assign and transfer unto _____
the within-mentioned registered Bond and hereby irrevocably constitute(s) and appoint(s) _____
attorney, to transfer the same on the books of the Bond Registrar with full power of substitution in the premises.

Bondholder

NOTE: The signature on this assignment must correspond with the name as it appears upon the face of the within Bond in every particular, without alteration or enlargement or any change whatever.

Dated: _____

Signature guaranteed:

NOTE: Signature(s) must be guaranteed by an “eligible guarantor institution” meeting the requirements of the Trustee, which requirements include membership or participation in the Medallion Signature Program.

FIRST SUPPLEMENTAL INDENTURE

Dated as of May 1, 2023

by and between the

CITY OF HARTFORD, CONNECTICUT

and

U.S. BANK TRUST COMPANY, NATIONAL ASSOCIATION
as Trustee

Supplementing the
Trust Indenture dated as of
May 1, 2023

\$_[]
City of Hartford, Connecticut
Special Obligation Refunding Bonds (State Contract Assistance)
Series 2023

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FIRST SUPPLEMENTAL INDENTURE

THIS FIRST SUPPLEMENTAL INDENTURE, dated as of May 1, 2023 (“First Supplemental Indenture”), by and between the City of Hartford, Connecticut (the “City”) and U.S. Bank Trust Company, National Association, as Trustee (the “Trustee”), supplements the Trust Indenture, dated as of May 1, 2023 (the “Original Indenture”, as amended and supplemented to date, the “Indenture”), by and between the City and the Trustee.

WITNESSETH:

WHEREAS, pursuant to Public Act No. 17-2, June Special Session, Sections 349 to 376, as may be amended from time to time (the “Act”), the Secretary and the State Treasurer have entered into a Contract for Financial Assistance, dated March 27, 2018, as may be amended, modified and supplemented from time to time as provided therein (the “Original Assistance Agreement”), with the City, which provides for the State to provide contract assistance equal to the debt service on certain Prior Bonds listed on Exhibit A thereto (“Eligible Bonds”);

WHEREAS, the Act and the Original Assistance Agreement further provide for the issuance of refunding bonds pursuant to a trust indenture to refund the principal of and interest on Eligible Bonds, and for the State to provide contract assistance in respect of such refunding bonds;

WHEREAS, pursuant to the Resolution, the City has authorized the issuance of not exceeding \$400,000,000 aggregate principal amount of special obligation revenue bonds (“Bonds”) to be repaid from revenues derived from the Original Assistance Agreement pursuant to the Indenture;

WHEREAS, pursuant to Article II of the Indenture, the City may issue Bonds, including Additional Bonds, having a lien on the Trust Estate, in one or more Series for any lawful purpose permitted by and relating to the Original Assistance Agreement;

WHEREAS, the Secretary, the State Treasurer, the Municipal Accountability Review Board and the City have determined to authorize the issuance of not exceeding \$[] aggregate principal amount of Bonds (the “Series 2023 Bonds”), and use the proceeds derived from the sale thereof to refund a portion of the outstanding Eligible Bonds pursuant to a Supplemental Indenture meeting the terms and conditions set forth in the Indenture, including specifically the requirements for the issuance of the Series 2023 Bonds under Section 2.07 of the Indenture; and

WHEREAS, the Secretary, the State Treasurer and the City will enter into Amendment No. 1 to Contract for Financial Assistance, dated as of May [], 2023 (“Amendment No. 1”), amending the Original Assistance Agreement (as amended, the “Assistance Agreement”), for the purpose of providing contract assistance payments with respect to the Series 2023 Bonds;

NOW, THEREFORE, in consideration of the premises contained herein and in compliance with and subject to the terms of the Indenture, the City and the Trustee hereby covenant and agree as follows:

(a) ARTICLE I

DEFINITIONS

Section 1.01 Definitions in this Supplemental Indenture. For purposes of this First Supplemental Indenture, the following terms shall have the following meanings:

“*Amendment No. 1*” has the meaning set forth in the recitals to this First Supplemental Indenture.

“*Assistance Agreement*” has the meaning set forth in the recitals to this First Supplemental Indenture.

“*Authorized Denomination*” shall mean for the Series 2023 Bonds, denominations of \$5,000 or any integral multiple in excess thereof.

“*Costs of Issuance*” shall mean the costs related to the issuance of the Series 2023 Bonds, including but not limited to, the administrative, printing, legal and financing costs related thereto.

“*Debt Service Fund*” shall mean the Debt Service Fund established pursuant to Section 4.01 of the Original Indenture.

“*Interest Payment Date*” means, with respect to the Series 2023 Bonds, April 1 and October 1 of each year, commencing October 1, 2023.

“*MARB Act*” means Chapter 117, Sections 7-560 through 7-599, of the Connecticut General Statutes.

“*Municipal Accountability Review Board*” means the Municipal Accountability Review Board established pursuant to Section 7-576d of the MARB Act.

“*Original Assistance Agreement*” has the meaning set forth in the recitals to this First Supplemental Indenture.

“*Prior Bonds*” shall mean the \$[] aggregate principal amount of the Eligible Bonds set forth on Schedule A hereto.

“*Record Date*” shall mean, for the Series 2023 Bonds, the close of business on the fifteenth of March and September in each year, or the preceding Business Day if the fifteenth day is not a Business Day.

“*Series 2023 Bonds*” shall mean the Bonds to be issued pursuant to Article II of this First Supplemental Indenture to refinance the Prior Bonds.

“*Series 2023 Costs of Issuance Account*” shall mean the Series 2023 Costs of Issuance Account of the Bond Proceeds Fund established pursuant to Section 2.04 hereof.

“*Series 2023 Rebate Account*” shall mean the Series 2023 Rebate Account of the Rebate Fund established pursuant to Section 2.04 hereof.

Section 1.02 Other Defined Terms. All capitalized terms used herein and not otherwise defined shall have the meaning ascribed to such terms in the Indenture.

(b) ARTICLE II

AUTHORIZATION AND DETAILS OF SERIES 2023 BONDS

Section 2.01 Authorization. (a) Pursuant to the Act and the Resolution, the City has authorized the issuance of not exceeding \$400,000,000 of the City’s Bonds to refinance Eligible Bonds. Pursuant to this First Supplemental Indenture, the City shall issue a Series of Bonds to be designated “City of Hartford, Connecticut Special Obligation Refunding Bonds (State Contract Assistance), Series 2023”, in an aggregate principal amount of \$[] (the “Series 2023 Bonds”) to refinance the Prior Bonds.

(b) The Series 2023 Bonds issued hereunder shall be special, limited obligations of the City and shall be payable solely out of Pledged Revenues and other receipts, funds and moneys pledged therefor pursuant to the Indenture and are secured by the liens created thereby, including the Trust Estate. The Series 2023 Bonds shall not constitute indebtedness of the City, the State or any political subdivision thereof, except as provided in the Indenture. The Series 2023 Bonds shall not constitute indebtedness of the City or the State within the meaning of any statutory or constitutional provision. Neither the faith and credit nor the taxing power of the City, the State or any political subdivision thereof is pledged to the payment of principal of or interest on the Series 2023 Bonds.

Section 2.02 Terms of the Series 2023 Bonds. The terms of the Series 2023 Bonds are as follows:

(a)Dated Date, Maturities and Interest Rates. The Series 2023 Bonds shall be dated May [], 2023, shall mature on April 1 in each of the years and in the amounts and shall bear interest (computed on the basis of a 360-day year consisting of twelve 30-day months) on the unpaid balance from their date, payable semiannually on each Interest Payment Date as follows:

<u>Maturity</u>	<u>Principal Amount</u>	<u>Interest Rate</u>
04/01/2025	\$[],000	[].000%
04/01/2026	[],000	[].000
04/01/2027	[],000	[].000
04/01/2028	[],000	[].000
04/01/2029	[],000	[].000
04/01/2030	[],000	[].000
04/01/2031	[],000	[].000
04/01/2032	[],000	[].000
04/01/2033	[],000	[].000
04/01/2034	[],000	[].000
04/01/2035	[],000	[].000

(b)Denominations and Identification. The Series 2023 Bonds shall be issued in fully registered form, without coupons, in Authorized Denominations. Unless the City shall otherwise direct, the Series 2023 Bonds shall be numbered R-1 upward consecutively in the order of issuance.

(c)Depository Institution Registration. (i) The Series 2023 Bonds will be issued in book-entry only form. The Depository Trust Company (“DTC”) will serve as the Depository Institution for the Series 2023 Bonds. The Trustee is hereby authorized to enter into a custody or letter agreement with DTC to establish a book-entry only system for the registration of ownership of the Series 2023 Bonds and any other Bonds issued in book-entry form as designated by a Supplemental Indenture as described below.

Each maturity of Series 2023 Bonds shall be registered in the name of Cede & Co. (“Cede”), as nominee of DTC. So long as DTC is the registered owner of the Series 2023 Bonds, payments of principal of, redemption premium, if any, and interest on such Series 2023 Bonds shall be made in immediately available funds to the account of Cede on each principal payment date and Interest Payment Date at the account indicated for Cede on the books of the City to be kept by the Trustee. In accordance with the DTC Letter of Representations, DTC will maintain a book-entry only system of recording the ownership interests in Series 2023 Bonds for its participants (“Direct Participants”). As long as DTC shall continue to serve as securities depository for Series 2023 Bonds as provided in this Supplemental Indenture, all transfers of beneficial ownership interests will be made by book-entry only, and no Holder or other Person purchasing, selling or otherwise transferring beneficial ownership of Series 2023 Bonds will receive a physical certificate unless and until DTC fails or refuses to act, or is terminated from acting as, securities depository for such Series 2023 Bonds.

(ii) With respect to Series 2023 Bonds so registered in the name of Cede, the City, the Trustee and the Paying Agent shall have no responsibility or obligation to any Direct Participant or to any beneficial owner of such Bond. Without limiting the immediately preceding sentence, the City, the Trustee and the Paying Agent shall have no responsibility or obligation with respect to (A) the accuracy of the records of DTC, Cede or any Direct Participant with respect to any beneficial ownership interest in Series 2023 Bonds, (B) the delivery to any Direct Participant, beneficial owner or other Person, other than DTC, of any notice with respect to Series 2023 Bonds, including any notice of redemption, or (C) the payment to any Direct Participant, beneficial owner or other person, other than DTC, of any amount with respect to the principal of or redemption premium, if any, and interest on, any Series 2023 Bonds. The City, the Trustee and the Paying Agent may treat DTC as, and deem DTC to be, the absolute owner of each Bond for all purposes whatsoever, including (but not limited to) (A) payment of the principal of or redemption premium, if any, and interest on, Series 2023 Bonds, (B) giving notices of redemption and other matters with respect to Series 2023 Bonds, and (C) registering transfers with respect to Series 2023 Bonds. The Paying Agent shall pay the principal of or redemption premium, if any, and interest on Series 2023 Bonds only to or upon the order of DTC, and all such payments shall be valid and effective to fully satisfy and discharge the City’s obligations with respect to such principal of or redemption premium, if any, and interest on Series 2023 Bonds, to the extent of the sum or sums so paid. Upon

delivery by DTC to the Trustee of written notice to the effect that DTC has determined to substitute a new nominee in place of Cede, and subject to the transfer provisions hereof, the word “Cede” in this Supplemental Indenture shall refer to such new nominee of DTC.

(iii)(1) DTC may determine to discontinue providing its services with respect to Series 2023 Bonds at any time by giving written notice to the City, the Trustee and the Paying Agent and discharging its responsibilities with respect thereto under applicable law.

(2) The City, in its sole discretion and without the consent of any other person, may terminate the services of DTC with respect to any Series 2023 Bonds if the City determines that the continuation of the system of book-entry-only transfers through DTC is not in the best interests of the beneficial owners of such Series 2023 Bonds or is burdensome to the City.

(3) Upon the termination of the services of DTC with respect to Series 2023 Bonds pursuant to this subsection, after which no substitute securities depository willing to undertake the functions of DTC hereunder can be found which, in the opinion of the City, is willing and able to undertake such functions upon reasonable and customary terms, Series 2023 Bonds shall no longer be restricted to being registered in the registration books kept by the Trustee in the name of Cede as nominee of DTC. In such event, the City shall issue, and the Trustee shall transfer and exchange certificates as requested by DTC of like principal amount, series, maturity and interest rate, in authorized denominations, without references to DTC or other book-entry provisions, to the identifiable beneficial owners in replacement of such beneficial owners’ beneficial interests in the Series 2023 Bonds.

(4) Anything in this Supplemental Indenture to the contrary notwithstanding, payment of the redemption price of a Bond, or portion thereof, called for redemption prior to maturity may be paid to DTC by check mailed to DTC or by wire transfer. Anything in this Supplemental Indenture to the contrary notwithstanding, such redemption price may be paid without presentation and surrender to the Trustee of the Bond, or portion thereof, called for redemption; provided, however, that payment of (a) the principal at maturity of a Bond and (b) the redemption price of a Bond as to which the entire principal thereof has been called for redemption shall be payable only upon presentation and surrender of such Bond to the Trustee.

Anything in this Supplemental Indenture to the contrary notwithstanding, upon any such payment to DTC without presentation and surrender, for all purposes of (i) the Bond as to which such payment has been made and (ii) this Supplemental Indenture, the unpaid principal of such Bond Outstanding shall automatically be reduced by the principal so paid. In such event, the Paying Agent shall note the particular Bond as to which such payment has been made, and the principal of such Bond so paid, on the registration books of the Trustee maintained by it, but failure to make any such notation shall not affect the automatic reduction of the principal amount of such Series 2023 Bonds Outstanding as provided in this subsection.

(5) For all purposes of this Supplemental Indenture authorizing or permitting the purchase of Series 2023 Bonds by, or for the account of, the City for cancellation, and anything in this Supplemental Indenture to the contrary notwithstanding, a portion of a Bond may be deemed to have been purchased and canceled without surrender thereof upon delivery to the Trustee of a certificate executed by the City and a Direct Participant therefor, agreed to and accepted by DTC in writing, to the effect that a beneficial ownership interest in such Bond, in the principal amount stated therein, has been purchased by, or for the account of, the City through the Direct Participant executing such certificate; provided, however, that any purchase for cancellation of the entire principal amount of a Bond shall be effective for purposes of this Supplemental Indenture only upon surrender of such Bond to the Trustee. Anything in this Supplemental Indenture to the contrary notwithstanding, upon delivery of any such certificate to the Trustee, for all purposes of (i) the Series 2023 Bonds to which such certificate relates and (ii) this Supplemental Indenture, the unpaid principal amount of such Series 2023 Bonds Outstanding shall automatically be reduced by the principal amount so purchased. In such event, the Trustee shall note such reduction on the registration books of the Trustee maintained by it, but failure to make any such notation shall not affect the automatic reduction of the principal amount of such Bond Outstanding as provided in this subsection.

(6) Anything in this Supplemental Indenture to the contrary notwithstanding, DTC may make a notation on a Bond (i) redeemed in part or (ii) purchased by, or for the account of, the City in part for cancellation, to reflect, for informational purposes only, the date of such redemption or purchase and the principal amount thereof redeemed or canceled, but failure to make any such notation shall not affect the automatic reduction of the principal amount of such Bond Outstanding as provided in subsection (iii)(4) or (iii)(5) of this Section, as the case may be.

(d)Form of Bond. Subject to the provisions of the Indenture, the form of the Series 2023 Bonds and the Trustee's certificate of authentication shall be of substantially the form set forth in Attachment A with such changes as are required hereby.

(e)Payments. Pursuant to the Amendment No. 1, the State will agree to make contract assistance payments with respect to the Series 2023 Bonds directly to the Trustee in amounts sufficient to pay when due the principal of and interest on the Series 2023 Bonds. No later than 11:00 a.m. (New York City time) on each Interest Payment Date and each principal payment date for the Series 2023 Bonds, immediately available funds shall be delivered to the Trustee in amounts sufficient to pay the principal of and redemption premium, if any, and interest on the Series 2023 Bonds then due and payable. The Trustee shall notify the City and the State in writing no later than 2:00 p.m. (New York City time) on each Interest Payment Date and each principal payment date in the event such amounts are not received from the State.

Section 2.03 Redemption Provisions. The Series 2023 Bonds are not subject to redemption prior to maturity.

Section 2.04 Establishment of Accounts. In accordance with Section 4.01 of the Indenture, the following additional Funds and Accounts are established in connection with the issuance of the Series 2023 Bonds:

(a) in the Bond Proceeds Fund, the Series 2023 Bond Proceeds Account;

(b) in the Bond Proceeds Fund, the Series 2023 Costs of Issuance Account;

(c) in the Rebate Fund, the Series 2023 Rebate Account.

Section 2.05 Purpose and Application of Funds. (a) The proceeds from the sale of the Series 2023 Bonds shall be applied as follows:

(i) \$[] shall be deposited to the Series 2023 Bond Proceeds Account and shall be used to pay the principal of, redemption premium, if any, and interest on the Prior Bonds as set forth in Schedule A; and

(ii) \$[] shall be deposited to the Series 2023 Costs of Issuance Account of the Bond Proceeds Fund for the purpose of paying the Costs of Issuance.

(b) Amounts on deposit in the Series 2023 Costs of Issuance Account shall be disbursed by the Trustee upon receipt of a requisition in the form of Exhibit B hereto, approved by Authorized Officers of the City and the State, which may include reimbursement to the City or the State of Costs of Issuance paid by the City or the State in connection with the Series 2023 Bonds.

(c) ARTICLE III

MISCELLANEOUS

Section 3.01 Parties Interested Herein. Nothing in this First Supplemental Indenture expressed or implied is intended or shall be construed to confer upon, or to give to, any person or corporation, other than the City, the Trustee, and the holders of the Series 2023 Bonds, any right, remedy or claim under or by reason of this First Supplemental Indenture or any covenant, condition or stipulation thereof; and all covenants, stipulations, promises and agreements in this First Supplemental Indenture contained by and on behalf of the City shall be for the sole and exclusive benefit of the City, the Trustee, and the holders of the Series 2023 Bonds. Any right, remedy or claim by the holders of the Series 2023 Bonds under or by the reason of this First Supplemental Indenture shall be subject to the provisions of the Original Indenture and more particularly Article VII thereof.

Section 3.02 Severability of Invalid Provisions. If any one or more of the covenants or agreements provided in this First Supplemental Indenture on the part of the City or any Fiduciary to be performed should be contrary to law, then such covenant or covenants, agreement or agreements, shall be deemed severable from the remaining covenants and agreements, and shall in no way affect the validity of the other provisions of this First Supplemental Indenture.

Section 3.03 Effective Date. This First Supplemental Indenture shall take effect upon its execution by the Authorized Representatives of the City and by the Trustee.

Section 3.04 Governing Law. This First Supplemental Indenture shall be governed by and construed and enforced in accordance with the laws of the State of Connecticut, without regard to the conflicts of law provisions of the laws of the State of Connecticut.

Section 3.05 Counterparts. This First Supplemental Indenture may be executed in several counterparts, each of which shall be an original and all of which shall constitute one instrument.

Section 3.06 Ratification. Except as hereby expressly provided, the Original Indenture is in all respects ratified and confirmed and all its terms, provisions and conditions shall be and remain in full force and effect except as modified herein.

IN WITNESS WHEREOF, the City of Hartford, Connecticut and U.S. Bank Trust Company, National Association have caused their respective seals to be affixed hereto and this First Supplemental Indenture to be signed in their name by their respective authorized representatives, all as of the date first written above.

(Seal)

CITY OF HARTFORD, CONNECTICUT

By: _____
Name: Luke Bronin
Title: Mayor

By: _____
Name: Carmen Sierra
Title: City Treasurer

Approved as to form:

By: _____
Name: Howard Rifkin
Title: Corporation Counsel

**U.S. BANK TRUST COMPANY,
NATIONAL ASSOCIATION, as Trustee**

By: _____
Name:
Title:

SCHEDULE A

PRIOR BONDS

[To Come]

EXHIBIT A

FORM OF SERIES 2023 BONDS

UNITED STATES OF AMERICA

STATE OF CONNECTICUT

AS PROVIDED IN THE INDENTURE REFERRED TO HEREIN, UNTIL THE TERMINATION OF THE SYSTEM OF BOOK-ENTRY-ONLY TRANSFERS THROUGH THE DEPOSITORY TRUST COMPANY (TOGETHER WITH ANY SUCCESSOR SECURITIES DEPOSITORY APPOINTED PURSUANT TO THE INDENTURE, "DTC"), AND NOTWITHSTANDING ANY OTHER PROVISION OF THE INDENTURE TO THE CONTRARY, A PORTION OF THE PRINCIPAL AMOUNT OF THIS BOND MAY BE PAID OR REDEEMED WITHOUT SURRENDER HEREOF TO THE TRUSTEE. DTC OR A NOMINEE, TRANSFEREE OR ASSIGNEE OF DTC AS OWNER OF THIS BOND MAY NOT RELY UPON THE PRINCIPAL AMOUNT INDICATED HEREON AS THE PRINCIPAL AMOUNT HEREOF OUTSTANDING AND UNPAID. THE PRINCIPAL AMOUNT HEREOF OUTSTANDING AND UNPAID SHALL FOR ALL PURPOSES BE THE AMOUNT DETERMINED IN THE MANNER PROVIDED IN THE INDENTURE.

UNLESS THIS BOND IS PRESENTED BY AN AUTHORIZED OFFICER OF DTC (A) TO THE TRUSTEE FOR REGISTRATION OF TRANSFER OR EXCHANGE OR (B) TO THE TRUSTEE FOR PAYMENT OF PRINCIPAL, AND ANY BOND ISSUED IN REPLACEMENT THEREOF OR SUBSTITUTION THEREFOR IS REGISTERED IN THE NAME OF DTC OR ITS NOMINEE CEDE & CO., OR SUCH OTHER NAME AS REQUESTED BY AN AUTHORIZED REPRESENTATIVE OF DTC AND ANY PAYMENT IS MADE TO DTC, ANY TRANSFER, PLEDGE OR OTHER USE HEREOF FOR VALUE OR OTHERWISE BY OR TO ANY PERSON IS WRONGFUL SINCE THE REGISTERED OWNER HEREOF, DTC OR ITS NOMINEE, CEDE & CO., HAS AN INTEREST HEREIN.

R-[]

CITY OF HARTFORD, CONNECTICUT
SPECIAL OBLIGATION REFUNDING BONDS
(STATE CONTRACT ASSISTANCE), SERIES 2023

<u>MATURITY DATE</u>	<u>DATED</u>	<u>CUSIP NO.</u>
April 1, 20__	May __, 2023	[]
INTEREST RATE:	[]%	
PRINCIPAL AMOUNT:	[] DOLLARS (\$[],000)	
BONDHOLDER:	Cede & Co.	

The City of Hartford, Connecticut, a political subdivision of the State of Connecticut (the "City"), for value received, hereby promises to pay (but only out of the Pledged Revenues, as defined in the Indenture hereinafter mentioned, and other assets pledged therefor as hereinafter mentioned) to the registered owner identified above, or registered assigns, on the maturity date identified above (subject to any right of prior redemption hereinafter mentioned), the principal amount identified above in lawful money of the United States of America; and to pay interest on the principal amount hereof in like lawful money from the date of initial authentication and delivery hereof until payment of such principal amount shall be discharged as provided in the Indenture, at the rates per annum as set forth, payable, on October 1 and April 1 (or, if such day is not a Business Day, on the next succeeding Business Day) in each year, commencing October 1, 2023 (each, an "Interest Payment Date"), computed on the basis of a 360-day year consisting of twelve 30-day months). The principal hereof is payable upon presentation hereof upon maturity or redemption, at the principal corporate trust office of U.S. Bank Trust Company, National

Association, Hartford, Connecticut (together with any successor as paying agent under the Indenture, the “Paying Agent”). Interest hereon is payable to the person whose name appears on the bond registration books of the Trustee, as Bond Registrar, as the owner hereof as of the close of business on the fifteenth calendar day of the calendar month preceding an Interest Payment Date (or the preceding Business Day if the fifteenth day is not a Business Day), at such person’s address as it appears on such registration books.

THE BONDS ARE PAYABLE SOLELY FROM CONTRACT ASSISTANCE PAYMENTS BY THE STATE UNDER THE ASSISTANCE AGREEMENT. NEITHER THE STATE NOR ANY POLITICAL SUBDIVISION THEREOF, OTHER THAN THE CITY, SHALL BE OBLIGATED TO PAY THE PRINCIPAL OF OR THE INTEREST ON THE BONDS. NEITHER THE FULL FAITH AND CREDIT NOR THE TAXING POWER OF THE STATE OR OF ANY POLITICAL SUBDIVISION THEREOF, INCLUDING THE CITY, IS PLEDGED TO THE PAYMENT OF THE PRINCIPAL OF OR INTEREST ON THE BONDS.

Reference is hereby made to the reverse side of this Bond for additional provisions of this Bond.

It is hereby certified and recited that any and all conditions, things and acts required to exist, to have happened and to have been performed precedent to and in the issuance of this Bond do exist, have happened and have been performed in due time, form and manner as required by the Trust Indenture, dated as of May 1, 2023, (the “Original Indenture”), between the City and U.S. Bank Trust Company, National Association, as trustee, paying agent and registrar (herein “Trustee,” “Paying Agent” and “Bond Registrar,” respectively), as amended and supplemented by Supplemental Indentures executed and delivered from time to time pursuant to the terms of the Original Indenture, including the First Supplemental Indenture, dated as of May 1, 2023 (the “First Supplemental Indenture”, and together with the Original Indenture, the “Indenture”), between the City and the Trustee, and by the Constitution and laws of the State, and that the amount of this Bond is not in excess of the amount of Bonds permitted to be issued under the Indenture.

This Bond shall not be entitled to any benefit under the Indenture, or become valid or obligatory for any purpose, until the certificate of authentication hereon shall have been signed by the Trustee.

IN WITNESS WHEREOF, the City has caused this Bond to be executed in its name and on its behalf by the manual or facsimile signature of its Authorized Officers and approved as to form by the manual or facsimile signature of its Corporation Counsel and its corporate seal (or a facsimile thereof) to be impressed or imprinted hereon all as of the date of original issuance hereof.

(SEAL)

CITY OF HARTFORD, CONNECTICUT

By: _____
Name: Luke Bronin
Title: Mayor

By: _____
Name: Carmen Sierra
Title: City Treasurer

Approved as to form:

By: _____
Name: Howard Rifkin
Title: Corporation Counsel

CERTIFICATE OF AUTHENTICATION

This is one of the Bonds referred to in the within-mentioned Indenture and is one of the City's Special Obligation Refunding Bonds (State Contract Assistance), Series 2023.

**U.S. BANK TRUST COMPANY,
NATIONAL ASSOCIATION, as Trustee**

Date of Authentication: _____

By: _____
Authorized Signatory

(FORM OF REVERSE SIDE OF THE BONDS)

This Bond is one of a duly authorized issue of bonds of the City designated as “City of Hartford, Connecticut Special Obligation Refunding Bonds (State Contract Assistance), Series 2023” (the “Series 2023 Bonds”), issued in the aggregate principal amount of \$[_____], pursuant to the provisions of a resolution of the City adopted on March 27, 2023 (the “Resolution”), and pursuant to the Indenture. The Series 2023 Bonds are issued for the purpose of refunding certain general obligation bonds of the City.

Reference is hereby made to the Indenture (copies of which are on file at the principal corporate trust office of the Trustee in Hartford, Connecticut and all Supplemental Indentures thereto) and to the Resolution for a description of the rights thereunder of the Bondholders of the Series 2023 Bonds, of the nature and extent of the security for the Series 2023 Bonds, of the rights, duties and immunities of the Trustee, and of the rights and obligations of the City thereunder, to all the provisions of which the Bondholder of this Series 2023 Bond, by acceptance hereof, assents and agrees.

The Series 2023 Bonds and the interest thereon are payable from Pledged Revenues that are available to the Trustee pursuant to the Contract for Financial Assistance, dated as of March 27, 2018, between the City and the State of Connecticut, acting by and through the Secretary of the Office of Policy and Management and the State Treasurer, as amended, modified and supplemented (the “Assistance Agreement”), providing for payments in respect of the Bonds pursuant to the Act, to the extent provided for in the Indenture. The Series 2023 Bonds and the interest thereon are secured by a pledge and assignment of said Pledged Revenues and of amounts held in certain funds and accounts established pursuant to the Indenture, subject only to the provisions of the Indenture permitting the application thereof for the purposes and on the terms and conditions set forth in the Indenture.

The Series 2023 Bonds are special, limited obligations of the City and are not a lien or charge upon the funds or property of the City, except to the extent of the aforementioned pledge and assignment. Under certain circumstances set forth in the Indenture and the Assistance Agreement, the City may issue Additional Bonds (as defined in the Indenture) pursuant to the Indenture ranking on a parity with the Series 2023 Bonds (the Series 2023 Bonds and any Additional Bonds are referred to herein collectively as the “Bonds”). Reference is hereby made to the Indenture, the Assistance Agreement for a description of the rights, duties and obligations of the City, the Trustee, the State, and the owners of the Bonds and the terms upon which the Bonds are issued and secured.

The Series 2023 Bonds are not subject to redemption prior to maturity.

(FORM OF ASSIGNMENT)

For value received (the undersigned does) hereby sell, assign and transfer unto _____
the within-mentioned registered Bond and hereby irrevocably constitute(s) and appoint(s) _____
attorney, to transfer the same on the books of the Bond Registrar with full power of substitution in the premises.

Bondholder

NOTE: The signature on this assignment must correspond with the name as it appears upon the face of the within Bond in every particular, without alteration or enlargement or any change whatever.

Dated: _____

Signature guaranteed:

NOTE: Signature(s) must be guaranteed by an “eligible guarantor institution” meeting the requirements of the Trustee, which requirements include membership or participation in the Medallion Signature Program.

EXHIBIT B

FORM OF REQUISITION FROM SERIES 2023 COSTS OF ISSUANCE ACCOUNT

[____], 2023

U.S. Bank Trust Company, National Association, as Trustee
185 Asylum Street, 27th Floor
Hartford, Connecticut 06103
Attention: Global Corporate Trust
Laurel Casasanta, laurel.casasanta@usbank.com
Juliet Taylor, juliet.taylor@usbank.com

Re: City of Hartford, Connecticut
Special Obligation Refunding Bonds
(State Contract Assistance), Series 2023

REQUISITION NO. __

Gentlemen:

This request for disbursement is submitted to you pursuant to Section 2.05(b) of the First Supplemental Indenture, dated as of May 1, 2023, supplementing the Trust Indenture, dated as of May 1, 2023 (as supplemented, the "Indenture"), each between the City of Hartford, Connecticut and U.S. Bank Trust Company, National Association, as Trustee, relating to the captioned Bonds. You are hereby requested to make the following disbursements from the Series 2023 Costs of Issuance Account for the payment of the Costs of Issuance referred to below, as defined and provided in the Indenture.

Amounts to be disbursed:

[(See Closing Memorandum dated [____], 2023)]

Approved for payment:

CITY OF HARTFORD, CONNECTICUT

By: _____
Authorized Officer

STATE OF CONNECTICUT

By: _____
Authorized Officer

APPENDIX I-B – FORM OF LEGAL OPINION OF CO-BOND COUNSEL TO THE CITY

May 10, 2023

Siebert Williams Shank & Co., LLC
Raymond James & Associates, Inc.

c/o, Siebert Williams Shank & Co., LLC, as Representative of the Underwriters named in the Contract of Purchase defined below

Re: City of Hartford, Connecticut
\$[126,000,000] Special Obligation Refunding Bonds (State Contract Assistance), Series 2023

Ladies and Gentlemen:

We are serving as co-underwriter’s counsel in the above-referenced transaction. This opinion is rendered by us pursuant to Section 6(j)(12) of the Contract of Purchase between the City of Hartford, Connecticut (the “City”) and Siebert Williams Shank & Co., LLC, as Representative, on behalf of itself, Raymond James & Associates, Inc., American Veterans Group, PBC and Ramirez & Co., Inc. (collectively, the “Underwriters”), dated April 26, 2023 (the “Contract of Purchase”) relating to the purchase of the City’s \$[126,000,000] Special Obligation Refunding Bonds (State Contract Assistance), Series 2023, dated May 10, 2023 (the “Bonds”).

We have examined (a) the Securities Act of 1933, as amended (the “1933 Act”), the Trust Indenture Act of 1939, as amended (the “1939 Act”, together with the 1933 Act, the “Acts”), and the applicable rules, regulations and interpretations under the Acts, (b) the Preliminary Official Statement dated April 13, 2023 relating to the Bonds (the “Preliminary Official Statement”), (c) the Official Statement dated April 26, 2023 relating to the Bonds (the “Official Statement”) (d) executed counterparts of the Contract of Purchase, and (e) a Trust Indenture dated as of May 1, 2023, as supplemented by the First Supplemental Indenture dated as of May 1, 2023 (collectively, the “Indenture”) by and between the City and U.S. Bank Trust Company, National Association (the “Trustee”). The Bonds are described in the Official Statement. Capitalized terms used but not defined herein shall have the meanings ascribed to them in the Official Statement, unless the context of their usage requires otherwise. We have also reviewed and are relying upon and, in our opinion, you are justified in relying upon, the opinions delivered to you today by Robinson & Cole LLP and Shipman & Goodwin LLP (“Co-Bond Counsel”), Day Pitney LLP (“Disclosure Counsel”) and Pullman & Comley, LLC, counsel to the Trustee (“Trustee’s Counsel”). We also examined certain other documents, certificates and records relating to the authorization, issuance and sale of the Bonds, and we made such investigations concerning applicable laws as we considered to be appropriate for the purpose of rendering this opinion. We have assumed the authenticity of all original documents and the conformity to original documents of all copies of documents, the accuracy and completeness of all certificates and records as to factual matters, the authenticity of all signatures on documents and the legal capacity of signers to execute the documents.

Based upon that examination and subject to the foregoing limitations, we are of the opinion that, (a) under existing law, it is not necessary in connection with the primary offering and sale of the Bonds to the public to register the Bonds with the U.S. Securities and Exchange Commission under the 1933 Act, (b) the Indenture is not required to be qualified as an indenture under the Trust Indenture Act of 1939, as amended, (c) the Continuing Disclosure Agreement of the City satisfies the requirements of paragraph (b)(5)(i) of SEC Rule 15c2-12, and (d) the Continuing Disclosure Agreement of the State satisfies the requirements of paragraph (b)(5)(i) of SEC Rule 15c2-12. For the purpose of rendering the foregoing opinion, we have relied upon the legal conclusions expressed by Co-Bond Counsel as to the validity of the Bonds.

Because the primary purpose of our engagement was not to establish factual matters and because of the wholly or partially nonlegal character of many determinations involved in the preparation of the Official Statement, we are not passing upon and do not assume any responsibility for the accuracy, completeness or fairness of the statements contained in the Official Statement and make no representation that we have verified such statements. However, in the course of our review of the Official Statement, as your counsel, we have had discussions with the City’s Co-Bond Counsel concerning the contents of the Official Statement.

In accordance with the terms of our engagement as counsel to you, we have rendered legal advice and assistance to you in the course of your investigations pertaining to, and your participation in the preparation of, the Preliminary Official Statement and the Official Statement. In addition to reviewing the documents, certificates, opinions and records

referenced above, certain of our lawyers participated in telephone conferences with representatives of the City, Co-Bond Counsel, Munistat Services, Inc., the City's municipal advisor, representatives of the State of Connecticut (the "State"), Soeder & Associates LLC, counsel to the State, Disclosure Counsel, Hilltop Securities, as the State's municipal advisor, representatives of the Trustee and Trustee's Counsel, and your representatives, concerning the contents of the Preliminary Official Statement and the Official Statement and related matters. In addition, we attended due diligence telephone conferences with officials of the State regarding Part II of the Official Statement and officials of the City regarding Part I of the Official Statement. Except for the review of such documents, certificates, opinions and records, and the telephone conferences referred to above, we have not made any investigation of the City's or State's affairs or any independent verification of the statements of fact contained in the Preliminary Official Statement or the Official Statement. While we have not undertaken to verify independently, and are not expressing any view upon, and do not assume any responsibility for, the accuracy, completeness or fairness of the contents of the Official Statement, our lawyers responsible for this matter are not aware at present of any information that came to their attention in the course of the performance of the services referred to herein that leads us to believe that the Official Statement (except for (i) the information under the captions in the body of the Official Statement entitled "Introduction," "The Indenture" under the caption "Security for the Bonds," "Sources and Uses of Bond Proceeds," "Verification of Mathematical Computations," "Qualifications for Financial Institutions," "Book-Entry-Only Transfer System," "Replacement Bonds," "DTC Practices," "Tax Matters," "Ratings," "No Litigation; Legal Matters," "Transcript and Closing Documents," and "Concluding Statement," (ii) the financial statements and other financial and statistical data included or incorporated by reference in the Official Statement, (iii) any other historical or projected financial, technical, accounting, economic, demographic or statistical data or forecasts, charts, tables, graphs, estimates, projections, assumptions or expressions of opinion included or incorporated by reference, and (iv) the Appendices to Part I and Appendices II-C, II-D and II-E to Part II thereto, as to which no view need be expressed), as of its date and as of the date hereof, contained or contains any untrue statement of a material fact or omitted or omits to state any material fact necessary in order to make the statements made in the Official Statement, in light of the circumstances under which they were made, not misleading in any material respect.

We have not passed upon, and the foregoing is subject to, the validity of the Bonds and the exclusion from gross income of interest on the Bonds for federal and state income tax purposes, as to which you are relying upon the opinion, dated the date hereof, of Co-Bond Counsel.

We have not investigated independently the accuracy of any legal conclusions upon which we have relied that are expressed by other counsel. Reference in this opinion to "our lawyers responsible for this matter" includes only those lawyers in this firm who rendered legal services in connection with our representation of you in this matter.

This opinion letter is furnished to you solely for your benefit and may not, without our prior express written consent, be relied upon by anyone other than the addressee, including any subsequent purchasers of the Bonds.

Respectfully submitted,

APPENDIX I-C-1 – FORM OF CONTINUING DISCLOSURE AGREEMENT - THE CITY

PLACEHOLDER FOR VERSION COMING FROM DAC

APPENDIX I-C-2 – FORM OF CONTINUING DISCLOSURE AGREEMENT - THE STATE

In accordance with the requirements of Rule 15c2-12 promulgated by the Securities and Exchange Commission, the State of Connecticut (the “State”) will agree, pursuant to a Continuing Disclosure Agreement for the Bonds to be executed by the State substantially in the following form, to provide, or cause to be provided, (i) certain annual financial information and operating data, (ii) in a timely manner not in excess of ten business days after the occurrence of the event, notice of the occurrence of certain events, and (iii) timely notice of a failure by the State to provide the required annual financial information on or before the date specified in the Continuing Disclosure Agreement for the Bonds.

Continuing Disclosure Agreement

This Continuing Disclosure Agreement (the “Agreement”) is made as of May __, 2023 by the State of Connecticut acting by its undersigned officer, duly authorized, in connection with the issuance by the City of Hartford, Connecticut (the “Issuer”) of \$ _____ Special Obligation Refunding Bonds (State Contract Assistance), Series 2023 (the “Bonds”) for the benefit of the beneficial owners from time to time of the Bonds.

Section 1. Definitions. For purposes of this Agreement, the following capitalized terms shall have the following meanings:

“Final Official Statement” means Part II dated February 15, 2023, containing supplementary information as of April __, 2023, of the official statement of the Issuer dated April __, 2023 prepared in connection with the Bonds.

“MSRB” means the Municipal Securities Rulemaking Board established under the Securities Exchange Act of 1934 as amended, or any successor thereto.

“Repository” means the MSRB or any other information repository established pursuant to the Rule as amended from time to time.

“Rule” means Rule 15c2-12 under the Securities Exchange Act of 1934, as of the date of this Agreement.

“SEC” means the Securities and Exchange Commission of the United States, or any successor thereto.

Section 2. Annual Financial Information.

(a) The State agrees to provide or cause to be provided to each Repository, in accordance with the provisions of the Rule and of this Agreement, annual financial information and operating data (commencing with information and data for the fiscal year ending June 30, 2023) as follows:

(i) Audited financial statements of the State comprising its basic financial statements, currently consisting of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund and the aggregate remaining fund information of the State for the prior fiscal year, which statements shall be prepared in accordance with generally accepted accounting principles or mandated state statutory principles as in effect from time to time. As of the date of this Agreement, the State is required to prepare financial statements of its various funds and accounts on a statutory basis (i.e., following the adopted budget and related statutes as described in the Final Official Statement, under the caption **FINANCIAL PROCEDURES - Accounting Procedures**). As of the date of this Agreement, the State also prepares its financial statements in accordance with generally accepted accounting principles but is not required to do so.

(ii) To the extent not included in the financial statements described in (i) above, the financial information and operating data within the meaning of the Rule described below (with references to the Final Official Statement); provided, however, that references to the Final Official Statement for the Bonds as a means of identifying such financial information and operating data shall not prevent the State from reorganizing such material in subsequent official statements or annual information reports:

1. Until such time as the State's only method of presenting its financial statements is substantially in accordance with generally accepted accounting principles ("GAAP"):

- a. General Fund - Summary of Operating Results - Statutory Basis (for most recent fiscal year) (See Table 2 and Appendices II-D-8 and II-D-9).
 - b. General Fund - Summary of Operating Results - Statutory Basis vs. GAAP Basis (for most recent fiscal year) (See Table 3).
 - c. General Fund - Unreserved Fund Balance - Statutory Basis (as of the end of the most recent fiscal year) (See Table 4 and Appendices II-D-6 and II-D-7).
 - d. General Fund - Unreserved Fund Balance - Statutory Basis vs. GAAP Basis (as of the end of the most recent fiscal year) (See Table 5).
2. Statutory Debt Limit (as of end of most recent fiscal year or a later date) (See Table 7).
 3. Direct General Obligation Indebtedness - Principal Amount Outstanding (as of end of most recent fiscal year or a later date) (See Table 8).
 4. Summary of Principal, Mandatory Sinking Fund Payments, and Interest on Long-Term Direct General Obligation Debt (as of end of most recent fiscal year or a later date) (See Table 10).
 5. Outstanding Long-Term Direct General Obligation Debt (as of end of most recent fiscal year) (See Table 11).
 6. Authorized But Unissued Direct General Obligation Debt (as of end of most recent fiscal year or a later date) (See Table 12).
 7. Statutory General Obligation Bond Authorizations and Reductions (for recent fiscal years, if any legislative action) (See Table 13).
 8. Special Capital Reserve Fund Debt (as of end of most recent fiscal year or a later date) (See Table 16).
 9. Funding status of the State Employees' Retirement Fund and the Teachers' Retirement Fund.

(b) The financial statements and other financial information and operating data described above will be provided on or before the date eight months after the close of the fiscal year for which such information is being provided. The State's fiscal year currently ends on June 30.

(c) Annual financial information and operating data may be provided in whole or in part by cross-reference to other documents available to the public on the MSRB's Internet Web site referenced in the Rule as amended from time to time or filed with the SEC. All or a portion of the financial information and operating data may be provided in the form of a comprehensive annual financial report or an annual information statement of the State.

(d) The State reserves the right (i) to provide financial statements which are not audited if no longer required by law, (ii) to modify from time to time the format of the presentation of such information or data, and (iii) to modify the accounting principles it follows to the extent required by law, by changes in generally accepted accounting principles, or by changes in mandated state statutory principles as in effect from time to time; provided that the State agrees that the exercise of any such right will be done in a manner consistent with the Rule.

Section 3. Material Events. The State agrees to provide or cause to be provided, in a timely manner not in excess of ten business days after the occurrence of the event, to each Repository notice of the occurrence of any of the following events:

- (a) incurrence of a financial obligation of the State, if material, or agreement to covenants, events of default, remedies, priority rights, or other similar terms of a financial obligation of the State, any of which affect security holders, if material; and
- (b) default, event of acceleration, termination event, modification of terms, or other similar events under the terms of a financial obligation of the State, any of which reflect financial difficulties.

For purposes of events (a) and (b) above, the term “financial obligation” is defined as a (i) a debt obligation, (ii) derivative instrument entered into in connection with or pledged as security or a source of payment for an existing or planned debt obligation or (iii) guarantee of (i) or (ii). The term financial obligation does not include municipal securities for which a final official statement has been filed with the MSRB pursuant to the Rule.

Section 4. Notice of Failure to Provide Annual Financial Information. The State agrees to provide or cause to be provided, in a timely manner, to each Repository notice of any failure by the State to provide annual financial information as set forth in Section 2(a) hereof on or before the date set forth in Section 2(b) hereof.

Section 5. Use of Agents. Annual financial information and operating data and notices to be provided pursuant to this Agreement may be provided by the State or by any agents which may be employed by the State for such purpose from time to time.

Section 6. Termination. The obligations of the State under this Agreement shall terminate upon the earlier of (i) payment or legal defeasance, at maturity or otherwise, of all of the Bonds, or (ii) such time as the State ceases to be an obligated person with respect to the Bonds within the meaning of the Rule.

Section 7. Enforcement. The State acknowledges that its undertakings set forth in this Agreement are intended to be for the benefit of, and enforceable by, the beneficial owners from time to time of the Bonds. In the event the State shall fail to perform its duties hereunder, the State shall have the option to cure such failure within a reasonable time (but not exceeding 30 days with respect to the undertakings set forth in Section 2 of this Agreement or five business days with respect to the undertakings set forth in Sections 3 and 4 of this Agreement) from the time the State’s Assistant Treasurer for Debt Management, or a successor, receives written notice from any beneficial owner of the Bonds of such failure. The present address of the Assistant Treasurer for Debt Management is 165 Capitol Avenue, 2nd Floor, Hartford, Connecticut 06106.

In the event the State does not cure such failure within the time specified above, the beneficial owner of any Bonds shall be entitled only to the remedy of specific performance. The State expressly acknowledges and the beneficial owners are hereby deemed to expressly agree that no monetary damages shall arise or be payable hereunder nor shall any failure to comply with this Agreement constitute an event of default with respect to the Bonds.

Section 8. Miscellaneous.

(a) All documents provided by the State to a Repository pursuant to the State’s undertakings set forth in Sections 2, 3 and 4 of this Agreement shall be in an electronic format as prescribed by the MSRB from time to time and shall be accompanied by identifying information as prescribed by the MSRB from time to time.

(b) The State shall have no obligation to provide any information, data or notices other than as set forth in this Agreement; provided however, nothing in this Agreement shall be construed as prohibiting the State from providing such additional information, data or notices from time to time as it deems appropriate in connection with the Bonds. If the State elects to provide any such additional information, data or notices, the State shall have no obligation under this Agreement to update or continue to provide further additional information, data or notices of the type so provided.

(c) This Agreement shall be governed by the laws of the State.

(d) Notwithstanding any other provision of this Agreement, the State may amend this Agreement, and any provision of this Agreement may be waived, if (i) such amendment or waiver is made in connection with a change of circumstances that arises from a change in legal requirements, a change in law, or a change in the identity, nature or

status of the State, (ii) the provisions of the Agreement as so amended or waived would have complied with the requirements of the Rule, taking into account any amendments or interpretations of the Rule as well as any changes in circumstances, in each case as of the date of such amendment to the Agreement or waiver and (iii) such amendment or waiver is supported by either an opinion of counsel knowledgeable in federal securities laws to the effect that such amendment or waiver would not materially adversely affect the beneficial owners of the Bonds or an approving vote by the holders of not less than 60% of the aggregate principal amount of the Bonds then outstanding. A copy of any such amendment or waiver will be filed in a timely manner with each Repository. The annual financial information provided on the first date following adoption of any such amendment or waiver will explain, in narrative form, the reasons for the amendment or waiver.

(e) This Agreement may be executed in any number of counterparts, each of which shall be deemed an original, but such counterparts shall together constitute but one and the same instrument.

STATE OF CONNECTICUT

By: _____
Erick Russell
Treasurer

APPENDIX I-D – PLAN OF REFUNDING

The Bonds are being issued to refund at or prior to maturity all or a portion of the principal amount of certain maturities of the City’s outstanding series of general obligation bonds as set forth below (the “Refunded Bonds”). The list of Refunded Bonds may be changed by the City and State in their sole discretion due to market factors or other factors considered relevant by the City and State at the time of pricing the Bonds and no assurance can be given that any particular bond listed or any particular maturity will be refunded.

GENERAL OBLIGATION BONDS, SERIES 2009A

Maturity Date	Original CUSIP	Original Principal	Coupon	Refunded Bonds	Redemption Date ⁺
08/15/2028*	4164145J5**	\$12,690,000	5.000%	\$6,345,000	05/10/2023

*2028 Term Bond in the amount of \$12,690,000 with \$2,115,000 mandatory sinking fund redemption payments payable on 8/15/2023, 8/15/2024, 8/15/2025, 8/15/2026, 8/15/2027 and 8/15/2028. The City previously refunded the 8/15/2023, 8/15/2024 and 8/15/2025 mandatory sinking fund redemption payments. As part of this issue, the City is redeeming the 8/15/2026, 8/15/2027 and 8/15/2028 mandatory sinking fund redemption payments.

**The full maturity of the \$12,690,000 was given CUSIP No. 4164145J5. When the City partially refunded this maturity, CUSIP No. 416415HM2 was assigned to the \$6,345,000 non-refunded portion that remained outstanding.

GENERAL OBLIGATION BONDS, SERIES 2010A

Maturity Date	Original CUSIP	Original Principal	Coupon	Refunded Bonds	Redemption Date ⁺
10/01/2023	4164146X3	\$735,000	3.875%	\$735,000	05/10/2023
10/01/2024	4164146Y1	\$735,000	3.900%	\$735,000	05/10/2023
10/01/2025	4164146Z8	\$735,000	4.000%	\$735,000	05/10/2023
10/01/2026	4164147A2	\$735,000	4.100%	\$735,000	05/10/2023
10/01/2029*	4164147B0	\$2,205,000	4.300%	\$2,205,000	05/10/2023

*2029 Term Bond in the amount of \$2,205,000 with \$735,000 mandatory sinking fund redemption payments payable on 10/1/2027, 10/1/2028 and 10/1/2029. The City is redeeming the entire Term Bond.

GENERAL OBLIGATION BONDS, SERIES 2011A

Maturity Date	Original CUSIP	Original Principal	Coupon	Refunded Bonds	Redemption Date ⁺
04/01/2031*	4164147S3**	\$9,275,000	5.000%	\$1,325,000	05/10/2023

*2031 Term Bond in the amount of \$9,275,000 with \$1,325,000 mandatory sinking fund redemption payments payable on 4/1/2025, 4/1/2026, 4/1/2027, 4/1/2028, 4/1/2029, 4/1/2030 and 4/1/2031. The City previously refunded the 4/1/2025, 4/1/2026, 4/1/2027, 4/1/2028, 4/1/2029 and 4/1/2030 mandatory sinking fund redemption payments. As part of this issue, the City is redeeming the 4/1/2031 mandatory sinking fund redemption payment.

**The full maturity of \$9,275,000 was given CUSIP No. 4164147S3. When the City partially refunded this maturity, CUSIP No. 416415KC0 was assigned to the \$1,325,000 non-refunded portion that remained outstanding

⁺Preliminary, subject to change.

GENERAL OBLIGATION BONDS, SERIES 2012A

Maturity Date	Original CUSIP	Original Principal	Coupon	Refunded Bonds	Redemption Date ⁺
04/01/2024	416415AN7	\$2,500,000	5.000%	\$2,500,000	05/10/2023
04/01/2025	416415AP2	\$2,500,000	5.000%	\$2,500,000	05/10/2023
04/01/2028	416415AS6	\$2,500,000	5.000%	\$2,500,000	05/10/2023
04/01/2029	416415AT4*	\$2,500,000	5.000%	\$1,790,000	05/10/2023
04/01/2030	416415AU1**	\$2,500,000	5.000%	\$1,750,000	05/10/2023
04/01/2031	416415AV9***	\$2,500,000	5.000%	\$1,750,000	05/10/2023
04/01/2032	416415AW7****	\$2,500,000	5.000%	\$1,750,000	05/10/2023

*The full maturity of \$2,500,000 was given CUSIP No. 416415AT4. When the City partially refunded this maturity CUSIP No. 416415CW5, was assigned to the \$1,790,000 non-refunded portion of this maturity that remained outstanding.

**The full maturity of \$2,500,000 was given CUSIP No. 416415AU1. When the City partially refunded this maturity CUSIP No. 416415CX3 was assigned to the \$1,750,000 non-refunded portion of this maturity that remained outstanding.

***The full maturity of \$2,500,000 was given CUSIP No. 416415AV9. When the City partially refunded this maturity CUSIP No. 416415CY1 was assigned to the \$1,750,000 non-refunded portion of this maturity that remained outstanding.

****The full maturity of \$2,500,000 was given CUSIP No. 416415AW7. When the City partially refunded this maturity CUSIP No. 416415CZ8 was assigned to the \$1,750,000 non-refunded portion of this maturity that remained outstanding.

GENERAL OBLIGATION REFUNDING BONDS, SERIES 2013A

Maturity Date	Original CUSIP	Original Principal	Coupon	Refunded Bonds	Redemption Date ⁺
04/01/2024	416415BX4	\$7,120,000	5.000%	\$7,120,000	05/10/2023
04/01/2025	416415BY2	\$7,480,000	5.000%	\$7,480,000	05/10/2023
04/01/2026	416415BZ9	\$7,850,000	5.000%	\$7,850,000	05/10/2023
04/01/2027	416415CA3	\$8,245,000	5.000%	\$8,245,000	05/10/2023
04/01/2028	416415CB1	\$8,655,000	5.000%	\$8,655,000	05/10/2023
04/01/2029	416415CC9	\$9,090,000	4.000%	\$9,090,000	05/10/2023
04/01/2030	416415CD7	\$9,450,000	5.000%	\$9,450,000	05/10/2023
04/01/2031	416415CE5	\$9,925,000	5.000%	\$9,925,000	05/10/2023
04/01/2032	416415CF2	\$10,420,000	4.000%	\$10,420,000	05/10/2023

GENERAL OBLIGATION BONDS, SERIES 2013B

Maturity Date	Original CUSIP	Original Principal	Coupon	Refunded Bonds	Redemption Date ⁺
04/01/2024	416415DK0	\$2,515,000	5.000%	\$2,515,000	05/10/2023
04/01/2025	416415DL8	\$2,640,000	5.000%	\$2,640,000	05/10/2023
04/01/2026	416415DM6	\$2,770,000	5.000%	\$2,770,000	05/10/2023
04/01/2027	416415DN4	\$2,910,000	5.000%	\$2,910,000	05/10/2023
04/01/2028	416415DP9	\$3,055,000	5.000%	\$3,055,000	05/10/2023
04/01/2029	416415DQ7	\$3,210,000	4.000%	\$3,210,000	05/10/2023
04/01/2030	416415DR5	\$3,335,000	5.000%	\$3,335,000	05/10/2023
04/01/2031	416415DS3	\$3,505,000	5.000%	\$3,505,000	05/10/2023
04/01/2032	416415DT1	\$3,680,000	4.000%	\$3,680,000	05/10/2023
04/01/2033	416415DU8	\$3,825,000	5.000%	\$3,825,000	05/10/2023

⁺Preliminary, subject to change.

A Notice of Conditional Optional Redemption was filed on April 10, 2023 pursuant to the terms of the Refunded Bonds, whereby the redemption of any of the Refunded Bonds is conditioned upon the issuance and delivery of the Bonds and the availability of the proceeds of a portion of the Bonds to pay the principal and premium, if any, of and accrued interest on the Refunded Bonds on the date of delivery of the Bonds (the "Redemption Date").

Upon redemption of the Refunded Bonds on the Redemption Date, the Refunded Bonds shall have been paid and the State will no longer be obligated to pay any further amounts of contract assistance with respect to the Refunded Bonds.

PART II

INFORMATION STATEMENT OF THE STATE OF CONNECTICUT

Hartford BOE Proposed FY2024 Budget

Provided Separately

Summary of Hartford-Child Development Associates Tentative Agreement

This unit consists of approximately 61 child development associates (which are the early childhood educators who are assigned to the preschool classrooms). The current contract expired on June 30, 2018. The parties reached a tentative agreement on March 1, 2023. The Union ratified the tentative agreement on March 8, 2023. The new contract will be effective retroactively from July 1, 2018 – June 30, 2026.

Background

The prior contract was from July 1, 2015 through June 30, 2018. There was no step movement during the life of that contract.

Salary

2018-2019	0% GWI, no step movement
2019-2020	0% GWI, no step movement
2020-2021	0% GWI, no step movement
2021-2022	0% GWI, no step movement
2022-2023	Retroactive to August 23, 2022: 2% GWI, all members not on top step move one step. Must be an employee on the day the MARB approves the contract to be eligible for retroactive pay.
2023-2024	2.0 GWI, step movement for those not at top step
2024-2025	2.0 GWI plus step movement for those not at top step.
2025-2026	2.0 GWI plus step movement for those not at top step

Other Economic Changes

Increased the tuition reimbursement rate for college courses from \$400 per credit to \$675 per credit.

Insurance

For the 2023-2024 school year:

- **It will become mandatory for all bargaining unit members to be on the High Deductible Health Plan(HDHP) with a Health Savings Account (HSA) as of July 1, 2023**
 - Premium Cost Share for the HPHD will be based on the Anthem allocation rate plus not more than 4%.

July 1, 2018-June 30, 2023	12.0%
○ July 1, 2023	12.5%
○ July 1, 2024	13.0%
○ July 1, 2025	13.5%
 - In-Network services shall be subject to a \$2,000 deductible for an individual plan and \$4,000 per family. The plan pays 100% in network services after the deductible, except for prescription drugs (Rx).

- Out-of-Network services shall be subject to a 20% coinsurance for an individual plan up to a yearly maximum of \$2,000 individual and \$4,000 per family. Out of network out of pocket maximum is \$4,000 per individual and \$8,000 per family (including the deductible)
- Upon reaching the deductibles, there shall be a Rx co-payment applied as follows:
 - Generic: \$5
 - Brand (formulary): \$15
 - Brand (non-formulary): \$30
 - Up to an out of pocket maximum of \$1,000 per individual and \$2,000 per family.

For the 2023-2024 school year, the Board shall contribute seventy-five percent (75%) of the applicable HSA deductible amount for those members who are transitioning to the HDHP from the PPO. For these transitioning members for the 2023-2024 school year, two-thirds the Board’s contribution toward the HSA deductible will be deposited into the HSA account during the first week of July 1, 2023, one-third of the Board’s contribution toward the HSA deductible will be deposited into the HSA during the first week of January 2024. For those members currently in the HDHP, the Board will contribute fifty percent (50%) of the applicable HDHP deductible with the first half the first during the week of July 1, 2023 and the second during the week of January 1, 2024. In subsequent years, the payment for all members will be 50% and will be made in two equal installments, the first during the week of July 1 and the second during the week of January 1. The Board’s contribution will be pro-rated for members hired after July 1st in any year.

Projected Financial Impact

Total Cost Summary: Agreement Between		HBOE and Child Development Associates, Local 1018F				
General Topic	Change	Fiscal Impact				Total
		FY 22-23	FY 23-24	FY 24-25	FY 25-26	
Wages	General Wage Increase %	2.00%	2.00%	2.00%	2.00%	
	Cost of General Wage Increase \$	\$ 46,008	\$ 47,378	\$ 48,642	\$ 49,599	\$ 191,627
	Cost of Step Change in \$	\$ 28,084	\$ 21,944	\$ 15,106	\$ -	\$ 65,134
Tuition Reimbursement	Increase in reimbursement to \$675 per credit (was \$400 per credit)	\$ 3,300	\$ 3,300	\$ 3,300	\$ 3,300	\$ 13,200
Healthcare	Shift in premium cost share, net	\$ -	\$ 29,000	\$ 25,520	\$ 22,040	\$ 76,560
	BOE contribution to deductible (higher for conversions in 2023-24)	\$ -	\$ 81,000	\$ 54,000	\$ 54,000	\$ 189,000
	Gross savings from conversion to HDHP	\$ -	\$ (163,000)	\$ (163,000)	\$ (163,000)	\$ (489,000)
Retiree HI	Coverage changes as it changes for actives	IMMEDIATE SAVINGS				
	Net Annual Impact	\$ 77,392	\$ 19,622	\$ (16,432)	\$ (34,061)	\$ 46,521
Other Measures to Offset Costs of Contract						
Wages	2% increase embedded in budget	\$ (46,943)	\$ (47,881)			\$ (94,824)
	Net Annual Impact Each Year	\$ 30,449	\$ (28,259)	\$ (16,432)	\$ (34,061)	\$ (48,303)

Notes:

Other Changes

- Updated the federation rights language to address the *Janus* decision and state legislation.
- Updated the personal leaves of absence language to reflect state and federal anti-discrimination laws.

- Global replacement of “Chief Labor and Legal Officer” with “Senior Executive Director of Human Resources” throughout the Agreement.
- Updated the personal leave of absence language to reflect how absences are actually requested via the automated absences management system rather than a paper system.
- Eliminated any referenced throughout the contract to 12-month employees
- Added progressive discipline language that will normally be followed while allowing steps to be omitted based on the severity of the discipline required.
- Eliminated school improvement incentives.
- Fixed some typographical errors in the contract and moved language from side letters to the proper section of the contract.

Hartford Board of Education Child Development Associates (CDAs)

2017-2018 Salary Schedule					2022-2023 Salary Schedule					2023-2024 Salary Schedule					2024-2025 Salary Schedule					2025-2026 Salary Schedule				
Step	S273	SBA2	SBA6	Totals	Step	S273	Associates Degree	Bachelors Degree	Totals	Step	S273	Associates Degree	Bachelors Degree	Totals	Step	S273	Associates Degree	Bachelors Degree	Totals	Step	S273	Associates Degree	Bachelors Degree	Totals
1	24,865	33,453	39,028	97,346	1	25,362	34,122	39,809	99,293	1	25,869	34,804	40,605	101,278	1	26,386	35,500	41,417	103,303	1	26,914	36,210	42,245	105,369
2	26,505	34,122	39,810	100,437	2	27,035	34,804	40,606	106,445	2	27,576	35,500	41,418	108,494	2	28,128	36,210	42,246	110,584	2	28,691	36,934	43,091	112,716
3	28,146	34,804	40,605	103,555	3	28,709	35,500	41,417	105,626	3	29,283	36,210	42,245	107,738	3	29,869	36,934	43,090	109,893	3	30,466	37,673	43,952	112,111
4	29,785	35,500	41,418	106,703	4	30,381	36,210	42,246	108,837	4	30,989	36,934	43,091	111,014	4	31,609	37,673	43,953	113,235	4	32,241	38,426	44,832	115,499
Totals	0	4	53	57	Totals	0	4	53	57	Totals	0	4	53	57	Totals	0	4	53	57	Totals	0	4	53	57
2022-2023 FTEs					2022-2023 FTE's					2023-2024 FTE's					2024-2025 FTE's					2025-2026 FTE's				
Step	S273	SBA2	SBA6	Totals	Step	S273	SBA2	SBA6	Totals	Step	S273	SBA2	SBA6	Totals	Step	S273	SBA2	SBA6	Totals	Step	S273	SBA2	SBA6	Totals
1	0	1	17	18	1	0	0	0	0	1	0	0	0	0	1	0	0	0	0	1	0	0	0	0
2	0	0	9	9	2	0	1	17	18	2	0	0	0	0	2	0	0	0	0	2	0	0	0	0
3	0	3	6	9	3	0	0	9	9	3	0	1	17	18	3	0	0	0	0	3	0	0	0	0
4	0	0	21	21	4	0	3	27	30	4	0	3	36	39	4	0	4	53	57	4	0	4	53	57
Totals	0	4	53	57	Totals	0	4	53	57	Totals	0	4	53	57	Totals	0	4	53	57	Totals	0	4	53	57
2021-2022 Total Cost					2022-2023 Total Cost					2023-2024 Total Cost					2024-2025 Total Cost					2025-2026 Total Cost				
Step	A	B	C	Totals	Step	A	B	C	Totals	Step	A	B	C	Totals	Step	A	B	C	Totals	Step	A	B	C	Totals
1	0	33,453	663,476	696,929	1	0	0	0	0	1	0	0	0	0	1	0	0	0	0	1	0	0	0	0
2	0	0	358,290	358,290	2	0	34,804	690,302	725,106	2	0	0	0	0	2	0	0	0	0	2	0	0	0	0
3	0	104,412	243,630	348,042	3	0	0	372,753	372,753	3	0	36,210	718,165	754,375	3	0	0	0	0	3	0	0	0	0
4	0	0	869,778	869,778	4	0	108,630	1,140,642	1,249,272	4	0	110,802	1,551,276	1,662,078	4	0	150,692	2,329,509	2,480,201	4	0	153,704	2,376,096	2,529,800
Totals	0	137,865	2,135,174	2,273,039	Totals	0	143,434	2,203,697	2,347,131	Totals	0	147,012	2,269,441	2,416,453	Totals	0	150,692	2,329,509	2,480,201	Totals	0	153,704	2,376,096	2,529,800
				2,273,039	Step Y/N:	Y			2,347,131	Step Y/N:	Y			2,416,453	Step Y/N:	Y			2,480,201	Step Y/N:	Y			2,529,800
					GWI:	2.00%			74,092	GWI:	2.00%			69,322	GWI:	2.00%			63,748	GWI:	2.00%			49,599
					GWI at Max:	2.00%			3.26%	GWI at Max:	2.00%			2.95%	GWI at Max:	2.00%			2.64%	GWI at Max:	2.00%			2.00%
																								10.85%
																								256,761
																								11.29%

Total Cost Summary: Agreement Between HBOE and Child Development Associates, Local 1018F

General Topic	Change	Fiscal Impact				
		FY 22-23	FY 23-24	FY 24-25	FY 25-26	Total
Wages	General Wage Increase %	2.00%	2.00%	2.00%	2.00%	
	Cost of General Wage Increase \$	\$ 46,008	\$ 47,378	\$ 48,642	\$ 49,599	\$ 191,627
	Cost of Step Change in \$	\$ 28,084	\$ 21,944	\$ 15,106	\$ -	\$ 65,134
Tuition Reimbursement	Increase in reimbursement to \$675 per credit (was \$400 per credit)	\$ 3,300	\$ 3,300	\$ 3,300	\$ 3,300	\$ 13,200
Healthcare	Shift in premium cost share, net	\$ -	\$ 29,000	\$ 25,520	\$ 22,040	\$ 76,560
	BOE contribution to deductible (higher for conversions in 2023-24)	\$ -	\$ 81,000	\$ 54,000	\$ 54,000	\$ 189,000
	Gross savings from conversion to HDHP	\$ -	\$ (163,000)	\$ (163,000)	\$ (163,000)	\$ (489,000)
(Memo)	HHPH Employee Cost Share	12.0%	12.5%	13.0%	13.5%	
	Net Annual Impact	\$ 77,392	\$ 19,622	\$ (16,432)	\$ (34,061)	\$ 46,521
Other Measures to Offset Costs of Contract						
Wages	2% increase embedded in budget	\$ (46,943)	\$ (47,881)	\$ -	\$ -	\$ (94,824)
	Net Annual Impact Each Year	\$ 30,449	\$ (28,259)	\$ (16,432)	\$ (34,061)	\$ (48,303)
Notes:						

MARB – Hartford Subcommittee Meeting

March 23, 2023

Additional Questions Regarding Proposed CBA with CDAs

Number of Positions: 57

Number of Vacancies: 3

Turnover Rates:

2018-19 14.0%

2019-20 10.5%

2020-21 3.5%

2021-22 12.3%

2022-23 3.5% (2 separations YTD)

Number of Insurance Waivers: 7

2022-23 Anthem Annual Allocated HDHP Premium Rates (including Dental)

	<u>EE</u>	<u>EE+1</u>	<u>Family</u>
	\$10,367	\$20,916	\$27,745
EE 12.5%	\$1,296	\$2,614	\$3,468
ER 87.5%	\$9,051	\$18,271	\$24,223

EE funded deductible 2023-24 \$1,000/\$2,000. For those converting to the HDHP for the first time in 2023-24, the EE funded deductible will be \$500/\$1,000.

2022-23 Anthem Annual PPO Premium Rates, including Dental (*eliminated for 2023-24*).

	<u>EE</u>	<u>EE+1</u>	<u>Family</u>
	\$12,943	\$26,295	\$35,251
EE 12.5%	\$1,618	\$3,287	\$4,406
ER 87.5%	\$11,325	\$23,008	\$30,845

COLLECTIVE BARGAINING AGREEMENT

between the

HARTFORD BOARD OF EDUCATION

and

THE HARTFORD FEDERATION OF CHILD DEVELOPMENT ASSOCIATES
Local 1018F, AFT, AFL-CIO

~~July 1, 2015 — June 30, 2018~~

July 1, 2018 – June 30, 2026

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Article numbers and page numbers to be updated in the final version

PREAMBLE

This Agreement is made and entered into by and between the Hartford Board of Education (hereinafter referred to as the "Board") and the Hartford Federation of Child Development Associates (hereinafter referred to as the "Federation").

ARTICLE I RECOGNITION

Pursuant to the certification of the Connecticut State Board of Labor Relations dated January 29, 2003, Decision Number 3896, the Board hereby recognizes the Hartford Federation of Child Development Associates, Local 1018F, AFT CT, AFT, AFL-CIO, as the representative for the purposes of collective bargaining of the "Child Development Associates." The primary function of the Child Development Associate or CDA is to assist in the implementation of the pre-kindergarten program.

ARTICLE II FEDERATION MEMBERSHIP/FEE REQUIREMENTS

Section 1

~~During the term of this Agreement, all employees in the collective bargaining unit shall, from the effective date of the Agreement or within thirty (30) days from the date of their employment with the Board, whichever is later, as a condition of employment, either become or remain members of the Federation in good standing or in lieu of Union membership pay to the Union a service fee as may be fixed by the Federation and allowed by law.~~

Section 12

The Federation shall notify the Board in writing regarding the rates for fees and dues, the types of which are specified in Section ~~3-2~~ below. Further, the Federation shall supply the Board with written notice provided at least thirty (30) days prior to the effective date of any change in such rates for fees and dues. It shall be the sole responsibility of the Federation to solicit employees who wish to ~~are required to~~ join the Federation ~~or pay service fees~~.

Section 23

The Board agrees to deduct from the pay of bargaining unit members who authorize such payments in writing, such uniformly required membership dues, initiation fees, service fees or reinstatement fees as may be fixed by the Federation provided that employees submit to the Board individual written authorizations for such deductions. Such deductions shall continue for the duration of the Agreement or any extension thereof provided that the Board has written authorization from the employees to make such deductions. If the employee revokes such written authorization, the Board shall no longer make the deduction. Deductions shall be made on a bi-weekly basis and shall cease during the summer.

Section 34

The deduction of fees or dues for any month shall be remitted to the financial officer of the Federation. The Federation shall supply to the Board the name and address of said financial officer. The regular Federation dues and service fee remittances to the Federation will be accompanied by a list of names of employees from whose wages the deductions have been made.

Section 45

If the employee's wages are insufficient to cover fees or dues deductions, the Board will not make any such deductions from that employee's pay check. However, upon written request of the Federation, indicating the amount of the deduction, the Board will recoup any missed fees or dues deductions from the employee's subsequent wage payments as long as the employee has provided written approval for the recoupment.

Section 56

The Federation agrees to indemnify and save the Board harmless against any and all claims, demands, suits or other forms of liabilities, including but not limited to, all legal fees and costs that shall arise out of or by reason of any action taken or not taken by the Board for the purpose of complying with any of the provisions of this Article of the Agreement.

ARTICLE III
FEDERATION RIGHTS/ACTIVITIES

Section 1

The Federation shall notify the Board in writing of the names of all officers, stewards and staff representatives.

Section 2

The Board agrees to furnish a copy of this Agreement to each employee as soon as possible after its ratification, and to every new employee upon the starting date of employment. The cost of reproducing the Agreement shall be equally borne between the Board and the Federation.

Section 3

The Board shall prepare a list of employees covered by this Agreement showing their length of service and shall mail a copy of such list to the Federation staff representative upon request.

Section 4

The Federation may call meetings in each school outside of scheduled work hours, with the permission of the school principal. Such request for permission shall be made in writing and must be received by the school principal at least three school/workdays in advance of the time of the meeting. The Federation agrees to bear all costs associated with said request to hold a meeting in school outside of scheduled work hours (e.g. security services, custodial services).

Section 5

Whenever members of the bargaining unit are scheduled by both the Board and the Federation to participate in joint meetings/hearings during school hours, they shall suffer no loss in pay.

Section 6

A copy of the public agenda of the regular Board meetings shall be available to the official Federation representative to the Board twenty-four (24) hours prior to the meeting. This representative shall be advised as soon as possible of all special meetings.

ARTICLE IV
MANAGEMENT RIGHTS

Except where such rights, powers and authority are specifically relinquished, abridged or limited by the provisions of this Agreement, the Board has and will continue to retain, whether exercised or not, all of the rights, powers and authority heretofore had by it and except where such rights, powers and authority are specifically relinquished, abridged or limited by the provisions of this Agreement, it shall have the sole and unquestioned right, responsibility and prerogative of management of the affairs of the Board and direction of the working forces, including but not limited to the following:

- a. To determine the care, maintenance and operation of equipment and property used for and on behalf of the purposes of the Board;
- b. To establish or continue policies, practices and procedures for the conduct of Board business and, from time to time, to change or abolish such policies, practices or procedures;
- c. To discontinue processes or operations or to discontinue their performance by employees;
- d. To select and to determine the number and types of employees required to perform the Board's operations;
- e. To employ, transfer, promote or demote employees, or to lay off, discipline, suspend, terminate, furlough or otherwise relieve employees from duty for lack of work or other legitimate reasons;
- f. To prescribe and enforce reasonable rules and regulations provided such rules and regulations are made known to employees affected by them, including but not limited to prescribing rules for the maintenance of discipline and for the performance of work in accordance with the requirements of the Board;
- g. To establish contracts or subcontracts for any of the Board's operations, provided no member loses his/her job as a result and provided that this right shall not be used for the purpose or intention of undermining the Union or of discriminating against its members;

- h. To create job specifications and revise existing job specifications as deemed necessary and to ensure that related duties connected with departmental operations, whether enumerated in job descriptions or not, shall be performed by employees provided that, upon request, the Board agrees to negotiate with the Union regarding any significant impact which any such change may have on employees' wages, hours or other terms of employment;
- i. To take any action which the Board reasonably believes is necessary to comply with any legal requirement regardless of the restrictions imposed by any terms and conditions of this Agreement.

ARTICLE V GRIEVANCE PROCEDURE

Section 1 - Definition

The term "grievance" is defined as an alleged violation, misapplication or misinterpretation of the specific provisions of this Agreement.

Section 2 - Procedures

Adjustment of all grievances shall be sought in accordance with the following three-step procedure:

Step 1: A bargaining unit member must submit his/her grievance in writing and such grievance must be received by the immediate supervisor within fifteen (15) workdays of the date when the events giving rise to the grievance occurred. Such submission shall be made to the immediate supervisor for a satisfactory adjustment. The written grievance must indicate the specific nature of the grievance and the contract provision(s) alleged to be violated. Such immediate supervisor may request a meeting with the employee prior to making his/her decision, but in any event must render his/her decision within five (5) workdays of the submission. The employee may be accompanied by a Federation representative if he/she so desires at any such meeting.

Nothing in this provision shall prohibit a bargaining unit member from informally discussing his/her problem with the involved supervisor.

However, the time limits for filing the initial grievance may only be waived or extended by written agreement between the Chief Labor and Legal Officer (or specified designee) and the Federation President (or designee).

Step 2: If no satisfactory settlement is reached, the grievance may be pursued by the bargaining unit member to the Chief Labor and Legal Officer by providing the Chief Labor and Legal Officer with a copy of such grievance and, requesting a meeting in writing, within ten (10) workdays of the decision of the Supervisor. The Chief Labor and Legal Officer or his/her designee will schedule a meeting with the Grievant to attempt to resolve the issues related to the grievance within twenty (20) workdays following the bargaining unit member's filing the grievance with the Chief Labor and Legal Officer. The Chief Labor and Legal Officer shall have ten (10) workdays after holding the meeting to issue a written decision. A copy of the decision shall be provided to both the Grievant, if a Grievant was present at the meeting, and the Federation.

Step 3: In the event that the grievance is not settled at Step 1 or Step 2, then the Federation may seek arbitration of the grievance before the American Arbitration Association. The Federation's request for arbitration shall be in writing and must be filed with the American Arbitration Association with a copy to the Chief Labor and Legal Officer within ten (10) workdays after the receipt of the Chief Labor and Legal Officer's (or his/her designee's) decision at Step 2 or not later than ten (10) workdays following the expiration of the time limits for making such a decision, whichever shall occur first. The decision of the AAA arbitrator shall be final and binding upon both parties, provided it is in accordance with the law. The arbitrator shall have no power to add to, delete from, or modify in any way the provisions of this Agreement.

The provision(s) of the Agreement which are involved in the matter must be identified in the submission.

Section 3 - General

1. The parties shall share equally in the general cost of the arbitration, including the arbitrator's fee, but shall be responsible for bearing their own respective costs associated with the arbitration process. If a postponement is necessary for one party, that party must pay the postponement fee. If the parties mutually agree to a postponement, they shall share equally the costs of any such fee.
2. If a grievance is not processed in accordance with the time or procedural requirements, it shall be deemed withdrawn.
3. In the event that the Board's representative does not provide the Federation with a timely response to the grievance following the meeting of the parties or if the meeting is not scheduled within the timelines described above, the bargaining unit member or, if appropriate, the Federation, may proceed with the next step of the grievance procedure provided that the Federation or the bargaining unit member, if appropriate, does so within the specific time limits set forth above.
4. Any grievance, as defined in Section 1 above, not presented for disposition through the grievance procedure described under Section 2 above within fifteen (15) workdays of the time when either the Grievant or the Federation knew or reasonably should have known of the conditions giving rise thereto, shall not thereafter be considered a grievance under this Agreement. Failure at any step of this procedure

to communicate a decision within the specified time limits shall permit the aggrieved to proceed immediately to the next step. Failure at any step to appeal within the specified time limits shall be considered acceptance by the aggrieved of the decision rendered or an acceptance of a denial, if no decision was rendered, and such decision/denial shall thereafter be binding upon the aggrieved and the Federation. The time limits specified at any step after Step 1 may be extended in any particular instance by agreement between the Labor Relations Manager and the Federation.

5. Grievances arising from the action of an official other than the coordinator, supervisor, or principal shall be filed against that official.
6. No employee may file for arbitration or appeal to the Chief Labor and Legal Officer under this procedure except with the approval and participation of the Federation. No employee may file for arbitration as an individual, but only the Federation may file an appeal to arbitration hereunder.
7. Meetings held under this procedure shall be conducted at a time and place, which will afford a fair and reasonable opportunity to attend for all persons proper to be present. Such meetings shall be scheduled to avoid interference with providing services to students. When such meetings are held during the work hours, all persons who participate shall be excused without loss of pay for that purpose. Persons proper to be present for the purposes of this section are defined as the grievant or grievant(s) and their appropriate Federation representatives. Qualified witnesses shall also be permitted to attend meetings, but only for the duration of such witness' testimony. If the Federation finds that the witnesses need to be present for the entirety of an arbitration hearing, and the Board has concerns about releasing the witnesses for that period, the Parties shall agree to hold the hearing outside of the working hours of the involved employees.
8. The Federation will be notified, in advance, of the time and location of grievance meetings held by the Chief Labor and Legal Officer.
9. The Federation shall have the right to initiate a grievance or appeal from the disposition of a grievance of any bargaining unit member or group of members at any step of this procedure.
10. After the last day of school and prior to the beginning of the next school year, the work "day" shall mean weekdays excluding Board holidays, Saturdays, and Sundays.
11. The Parties agree to follow current law regarding employee rights. The following is a summary, provided for informational purposes only. This provision shall not be subject to the grievance procedure and shall be superceded by applicable law. This provision shall not be used in any proceeding regarding such rights.

Any CDA who is summoned to meet with an Administrator at Central Office related to disciplinary action shall be given such reasonable notice as the situation permits and shall be informed of the matter in regard to his/her presence.

If a CDA reasonably believes that the meeting will result in disciplinary action, he/she shall have the right to be accompanied by a Federation representative.

ARTICLE VI COMPENSATION

Section 1

Each employee in the bargaining unit will be paid an hourly rate of pay for all hours worked and shall be paid at the rate of one and one-half (1½) times such regular hourly rate for all hours worked in excess of forty (40) hours in a work week. See Appendix A for rates of pay for full-time bargaining unit members.

Section 2

Bargaining unit members shall be eligible for increment, in years when increment is granted, upon a satisfactory rating on the evaluation instrument. If the bargaining unit member has not been evaluated, and increment is granted effective in the next fiscal/school year, the Administration may not withhold increment. The withholding of increment, where the bargaining unit member was not evaluated, shall be subject to the grievance procedure through arbitration. The withholding of increment based on the member not receiving a satisfactory rating on the evaluation shall not be subject to arbitration, pursuant to Article XIX, Personnel Records.

Section 3

Bargaining unit members shall be paid on a bi-weekly schedule, on the twenty six pay plan. Any member paid on the 22 pay plan as of July 1, 2008 shall be permitted to continue on such pay plan. The Board shall require direct deposit and provision of electronic notification of pay at its discretion.

ARTICLE VII INSURANCE

Section 1

The Board may change insurance carriers or self-insure for any of the medical and/or dental insurance benefits ~~provided~~, provided that the coverage shall be substantially comparable. Whenever possible, the Board will provide the Union and members of the bargaining unit with at least sixty (60) days advance notice of the Board's intent to change carriers or self-insure. The Union agrees that any portion of health, dental or prescription drug plan may be self-insured or insured at the sole discretion of the Board. Further, the Board has the discretion to change the Pharmacy Benefits Manager to use the State vendor. This provision shall not be subject to the grievance procedure.

Section 2

- A. Health Insurance
 - 1. PPO

The PPO plan shall not be available as of July 1, 2023.

Participating employees shall contribute the following percentages toward the annual premium or fully insured premium equivalent costs for individual or family coverage:

2015-2016: 13%
2016-2017: 14%
2017-2108: 15%

July 1 2018 – June 30, 2023 15%

All employees starting work in the Child Development Associates’ bargaining unit on or after July 1, 2016, may only enroll in the HDHP with HSA and shall not have access to the PPO plan. If an employee is hired into the unit while already enrolled in the district PPO plan, he/she shall be permitted to remain in such plan. Any member who elects the High Deductible Health Plan with the HSA shall not thereafter return to the PPO. If the PPO triggers an excise tax, all members shall be required to enroll in the High Deductible Health Plan with HSA effective July 1, 2017.

If the Board negotiates with the HFT an alternate Excise Tax insurance plan other than the HSA for all members, such shall be offered to the CDA Union for the school year starting on July 1, 2017. Such shall be subject to negotiations but shall not be subject to interest arbitration.

Employees enrolled in the Board’s PPO Plan are eligible for the Board’s managed three-tier drug rider as follows:

\$10 generic
\$25 formulary brand
\$40 non-formulary brand

Mail Order - 2X co-payments for a 90-day supply.

Dental plan is subject to premium cost sharing specified for the PPO above.

2. High Deductible Health Plan with a Health Savings Account (“HSA”)

The only health insurance plan available to bargaining unit members on or after July 1, 2023 shall be the High Deductible Health Plan.

Members shall contribute the following percentages toward the annual premium or ~~fully insured premium equivalent costs~~ the Anthem allocation rate plus not more than four percent (4%) for individual, individual + 1 or family coverage on the HDHP with HSA:

~~2016-2017: 11%~~
~~2017-2018: 12%~~
2018-2019 12.0%

<u>2019-2020</u>	<u>12.0%</u>
<u>2020-2021</u>	<u>12.0%</u>
<u>2021-2022</u>	<u>12.0%</u>
<u>2022-2023</u>	<u>12.0%</u>
<u>2023-2024</u>	<u>12.5%</u>
<u>2024-2025</u>	<u>13.0%</u>
<u>2025-2026</u>	<u>13.5%</u>

In-Network services shall be subject to a \$2,000 deductible for an individual plan and \$4,000 per family . The plan pays 100% in network services after the deductible, except for prescription drugs (Rx).

Out-of-Network services shall be subject to a 20% coinsurance for an individual plan up to a yearly maximum of \$2,000 individual and \$4,000 per family. Out of network out of pocket maximum is \$4,000 per individual and \$8,000 per family (including the deductible)

Upon reaching the deductibles, there shall be a Rx co-payment applied as follows:

Generic: \$5

Brand (formulary): \$15

Brand (non-formulary): \$30

Up to an out of pocket maximum of \$1,000 per individual and \$2,000 per family

Effective July 1, ~~2016~~2023, the Board shall contribute fifty percent (50%) of the applicable HSA deductible amount. For the 2023-2024 school year, t~~The Board's contribution toward the HSA deductible will be deposited into the HSA accounts in two equal installments, the first during the week of July 1, ~~2016~~2023 and the second during the week of January 1, ~~2017~~2024. In subsequent fiscal years, t~~The Board's contribution toward the HSA deductible will be deposited into the HSA accounts in two equal installments, the first during the week of July 1st, ~~2017~~ and the second during the week of January 1st, ~~2018~~. The Board's contribution will be pro-rated for members hired after July 1st in any year and for members who leave prior to June 30th.~~~~

For the 2023-2024 school year only, the Board shall contribute seventy-five percent (75%) of the applicable HDHP deductible amount for those members who were not enrolled in the HDHP for the 2022-2023 school year and are transitioning to the HDHP for the 2023-2024 school year ("transitioning members"). For the 2023-2024 school year for transitioning members, two-thirds of the Board's contribution toward the HDHP deductible will be deposited into the HSA account during the first week of July 2023, and one-third of the Board's contribution toward the HDHP deductible will be deposited into the HDHP during the first week of January 2024. For the 2023-2024 school year, the Board shall contribute fifty percent (50%) of the applicable HDHP deductible for all other members enrolled in the HDHP. The Board's contribution toward the HDHP deductible for non-transitioning members will be deposited into the HSA accounts in two equal installments, the first during the week of July 1, 2023 and the second during the week of January 1, 2024.

The parties acknowledge that the Board's contribution toward the funding of the HSA plan is not an element of the underlying insurance plan, but rather relates to the manner in which the deductible shall be funded for active employees. The Board shall have no obligation to fund any portion of the HSA deductible for individuals upon their separation from employment or departure from the Union.

Any member who is enrolled in Medicare may not participate in the HSA and must participate in a health retirement account (HRA).

~~ARTICLE VIII
VACATION~~

~~Section 1~~

~~Members will accrue vacation on a monthly basis at the rate determined by their length of continuous full-time service in the bargaining unit. Full-time twelve-month employees shall earn and accrue vacation time in accordance with the following schedule based upon the member's years of continuous full-time service in the bargaining unit:~~

<u>Length of Continuous Full-Time Service</u>	<u>Vacation Accrual Annual (Monthly)</u>
After 1 year	12 days (1.0 day)
After 5 years	18 days (1.5 days)
After 10 years	24 days (2.0 days)

~~Section 2~~

~~The time for taking vacations must be approved in advance by the Superintendent's designee. In deciding whether to approve an employee's request for vacation, the Superintendent's designee shall take into consideration the needs of the Board and the wishes of the employee. Employees shall be required to request vacations in writing, at least 10 working days in advance, by Confidential Leave Form. Requests for vacation shall not be unreasonably denied. The district can designate days as vacation days, in its discretion.~~

~~Section 3~~

~~Employees will earn vacation proportionately throughout the year and vacation shall be accumulated on their anniversary date based on their length of continuous service as of such~~

~~date. Employees shall be compensated at their per diem rate for all accrued vacation time in the event of their resignation from the Board, layoff from the Board, or transfer to a school year position. In no case shall a bargaining unit member be paid for more than twenty-four (24) vacation days.~~

~~ARTICLE VIII~~
PROFESSIONAL IMPROVEMENT/TUITION REIMBURSEMENT

The Board desires to encourage the professional improvement of its employees in areas directly related to their employment. CDAs who have completed one year of satisfactory service in the Hartford Public Schools and have successfully completed the semester course shall be eligible for tuition reimbursement of up to \$~~400675~~ per credit, up to a maximum of six (6) credits per year. Courses shall be eligible for reimbursement only during the school year in which the CDA took the course(s). CDAs must submit any course for reimbursement within three months of receipt of the final grade, or the claim for reimbursement shall be waived. For purposes of this article, successful completion means, at a minimum, receipt of a B or a Pass for the completed course work.

~~ARTICLE X~~
HOLIDAYS

~~Section 1~~

~~Any full-time full year (12 month) bargaining unit member shall observe the following holidays on the days designated by the Board through the district calendar:~~

_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

~~Section 2~~

~~When a holiday falls on a weekend, that holiday will be observed on a regular workday.~~

~~Section 3~~

~~If the program is in session on a holiday, or if there is a failure to observe said holiday, the holiday will be rescheduled.~~

ARTICLE ~~IXX~~
LEAVE PROVISIONS

Section 1

Full-time bargaining unit members hired on or before the date the Board ratifies the agreement (November 1, 2005) shall accrue paid sick leave at the following rates:

<u>Length of Continuous Full-Time Service in bargaining unit</u>	<u>Monthly Accrual</u>
Upon hire	1 day per month
After completion of 5 years	1.5 days per month
After completion of 10 years	2 days per month

Full-time bargaining unit members hired after November 1, 2005 shall accrue sick time at a rate of one and a half (1.5) days per month.

Unused sick leave may be accumulated to a maximum of one hundred and fifty (150) days for all full-time bargaining unit members.

Section 2

Sick leave is defined as the authorized absence from duty with pay for the following reason:

- a. Personal illness or physical incapacity of the employee

Section 3

A medical certificate is required of a bargaining unit member who is absent for more than five consecutive days. A medical certificate may be required of a bargaining unit member whose attendance record exhibits excessive use or a pattern of abuse (e.g. extending a holiday, a weekend, and/or vacation; missing the first/last day of school; etc.). An administrator may require a medical certificate for future absences once excessive use or a pattern of abuse has been noted. The provisions of this paragraph shall not be subject to arbitration.

Section 4

Except as otherwise required by law, while on unpaid leaves of absence, bargaining unit members shall be required to pay the full cost of their health insurance benefits for themselves and their eligible dependents during the period of the unpaid leave. Failure to pay for the full cost of insurance shall result in discontinuance of such insurance, subject to applicable law.

Section 5 - Personal Leave

Full-time bargaining unit members shall be permitted absences, without loss of pay and with deduction from sick leave accumulation, up to a total of not more than five (5) days in any school year for any or all of the five reasons listed below.

1. In the event of serious illness or death of ~~spousewife, husband~~, father, mother, son, daughter, grandfather, grandmother, grandchild, father-in-law, mother-in-law, sister, brother, sister-in-law, brother-in-law, uncle, aunt, or child related by blood, marriage, or member of his/her immediate household not to exceed three (3) days in any contract year.
2. Holy days, not to exceed three (3) days in any contract year, that are mandated by an established religion as a non work day.
3. Quarantine.
4. Absence of ~~spouse husband~~ for birth of child to ~~spousewife~~ not to exceed two (2) days in any contract year. Absence for parent for adoption of child not to exceed two (2) days in any contract year.
5. Temporary absence for personal reason limited to situations not under the control of the employee and similar, in severity, to those listed above, which make such absence from service necessary. The Superintendent or his/her designee must give prior approval. Such approval shall not be unreasonable withheld.

Except as described below, bargaining unit members must file the Confidential Leave Form with the Superintendent or his/her designee at least 4 work days prior to the start of the requested leave. An employee who has taken personal days on an emergency basis, must, upon the date of his/her return from the day(s) of absence file the Confidential Leave Request Form. Failure to file the Confidential Leave Request Form will render the leave unauthorized and will mean loss of pay for that/those day(s).

ARTICLE XH WORKERS' COMPENSATION

The Board will follow all applicable laws regarding worker's compensation.

ARTICLE XIH WORKDAY AND WORK YEAR

- A. It is mutually understood and agreed that the normal work day/work week for any employee will vary from time to time subject to the requirements of the job as directed by his/her Superintendent or his/her designee. Reasonable amounts of overtime shall be required. If the Superintendent or his/her designee decides to make a permanent change to the member's work day/work week, he/she will use his/her best efforts to meet with the member in advance to discuss any change in the member's regular work day/work week.

When and if, in the sole discretion of the Superintendent, the entire district closes for the day for inclement weather, including the central office and all programs (which has occurred rarely when, by way of example, the Governor declares a state of emergency), twelve month employees shall not be expected to appear for work and shall not suffer loss of pay for that day.

- B. The work year for school year CDAs is the school year and additional days, which totals 187 days.
- C. The work year for full-year CDAs shall be 52 weeks, excluding applicable holidays and vacation days.
- D. The work day for full-time CDAs is currently seven hours a day. CDAs, as part of basic job responsibilities, shall attend at least one two hour evening or after school open house or parent event each year as directed by Administration.
- E. The parties recognize the Board's unilateral right to establish the work day and work year in the best interests of the school system. Should the Board adjust the work day or the work year, the compensation of effected CDAs shall be adjusted on a pro-rata basis.
- F. The schedule of hours shall be set by the Administration. Bargaining unit members who are scheduled to work seven continuous hours shall be entitled to at least a half an hour lunch break, without pay.
- G. Any reference in this Agreement to "day" shall also include the equivalent in hours. If a bargaining unit member's workday changes, the hours worked shall be treated retroactively as full days. (e.g. if a bargaining unit member was working 7 hours daily and had 10 sick days accrued or 70 sick hours and then the bargaining unit member began working 8 hours daily, he/she would still have 10 sick days accrued (or now 80 hours). If less than full days were used, for example, the member had 72 hours before converting to an eight hour day, he/she shall have 10 sick days and two hours).

ARTICLE XII~~V~~
NOTICES AND ANNOUNCEMENTS

All official circulars from the Superintendent's Office and from the Board which are intended for the information of the bargaining unit members shall be posted on school bulletin boards or distributed electronically so as to be available to them as soon as possible upon receipt in the schools. Copies of these circulars, after a reasonable posting time, shall be filed in each school or in an electronic system so that they continue to be available to the bargaining unit members as needed for their effective period. A copy of any notice, directive, or bulletin, relating to CDAs generally or to any substantial group of CDAs shall be sent to the Federation president/Federation office. The above Article shall not be subject to the grievance procedure.

ARTICLE XIII~~V~~
DISCIPLINE/DISCHARGE

No employee shall be disciplined or discharged without just cause. Verbal warnings (which include any letter not copied to the personnel file) shall not be subject to the grievance procedure.

Disciplinary actions shall normally follow this order:

- a. Verbal warning reduced to writing;
- b. Written Warning,
- c. Suspension without pay;
- d. Discharge or demotion

Any of the above steps may be omitted depending on the severity of the discipline required.

ARTICLE XIV~~V~~
ASSIGNMENTS AND OPPORTUNITIES

Section 1

By June 15th of each year, the Administration shall send to each school all known vacancies within the bargaining unit, with a copy to the Federation. On or before June 30th of each year, bargaining unit members are welcome to provide preferences, in writing, to the Director of Staffing and the Assistant Superintendent for Early Literacy and Family Engagement (or his/her designee). Such preferences related to assignments for the next year may indicate preference related to work year (10 versus 12 month), location, and/or shift/hours of work. The Administration will consider all preferences timely received before making assignment changes pursuant to Section 2.

Section 2

Before the start of the new student year, in August or September, each bargaining unit member shall be informed of his/her home school location for that school year. The Administration shall determine assignments in its sole discretion. If not informed of an assignment change, the bargaining unit member shall continue with the former assignment. Assignments for the new student year, as discussed in Section 1 and Section 2, shall not be subject to the grievance procedure.

The Federation shall be notified in a timely fashion of any changes in assignments and/or new employees hired by the Board.

Section 3

Where possible, involuntary transfers of home school location shall not be made without the prior knowledge of and discussion with the bargaining unit member concerned. The bargaining unit member shall be notified of the reason(s) for the transfer at least two (2) weeks prior to the effective transfer date, when possible.

If prior notice is not possible, the affected bargaining unit member may request a meeting to discuss the reason for the transfer. Said meeting shall be scheduled within ten (10) working days of the bargaining unit member's request, whenever possible, but in no event later than fifteen (15) working days.

ARTICLE XVII SENIORITY/LAYOFFS

- A. Seniority shall be defined as an employee's length of continuous service within the bargaining unit commencing with his/her most recent date of hire.
- B. Seniority shall continue to accrue during all authorized leaves of absence with pay and during paid sick leave. Seniority shall freeze during all leaves of absence over thirty (30) days that are authorized without pay or any unauthorized leave, in accordance with law.
- C. In case of a tie, seniority shall be determined by the last four (4) digits of the employee's social security number. The higher number shall have more seniority.
- D. In the event that the Board reduces the bargaining unit workforce, layoffs shall occur within classifications (full-time or .5 positions).

Full-time members (10 or 12 month employees who work consecutive hours or split shifts) shall be laid-off in reverse order of seniority within certification area. The Board may deviate from seniority for need of specific job qualification(s).

.5 rostered members, if such positions exist, shall be laid-off in reverse order of seniority within certification area. The Board may deviate from seniority for need of specific job qualification(s).

In the case of a layoff, if bumping is required as a result of the language above, the member who remains employed may be placed in any position in the bargaining unit (10 or 12 months, consecutive hours or a split position) at the sole discretion of the Administration. If the member does not accept the position offered by the Administration, he/she waives any recall rights.

Further, in the event of a layoff, the Administration will send/deliver the bargaining unit member a notification letter with a copy to the Federation.

- E. Employees laid-off shall be placed on preferential recall list for one year after the date of lay-off. They shall be recalled to available positions on the basis of seniority and qualifications. If an employee is recalled from the list and does not accept said position he/she shall be removed from the list. Employees recalled from layoff do so without loss of any accrued seniority rights and/or benefits. Communication may be via phone at the discretion of the Board. Any member who is laid off must leave his/her phone number with the Director of Staffing.

ARTICLE XVI~~H~~
PROBATIONARY PERIOD

No employee shall accrue seniority until he/she has completed his/her probationary period of employment. The probationary period for all new employees shall be one hundred twenty (120) actual working days (excluding any authorized or unauthorized leave). An employee may be disciplined up to and including termination of employment during the probationary period for any reason and shall have no recourse to the grievance procedure provided for in this Agreement. Upon satisfactory completion of the probationary period, the employee's seniority shall become effective from the date of hire.

ARTICLE XVII~~X~~
PERSONNEL RECORDS

- A. Limitations on File.
Official files shall be maintained so that bargaining unit members have a right of access and review of their files. Use of material contained in bargaining unit members' files in disciplinary proceedings shall be subject to review under the just cause standard applicable to such proceedings. No anonymous letters or materials shall be placed in a bargaining unit member's personnel file.

- B. **Right to Review File.**
The bargaining unit members shall, upon request to the Office of Talent Management or his/her designee, be given the opportunity, to make an appointment outside of the individual bargaining unit member's workday to review the contents of his/her file.
- C. **Right to Reply.**
The bargaining unit member has the right to reply to any document with a formal letter addressed to the Superintendent of Schools. This letter will be placed in the file.
- D. **Right to Copy Material.**
Each bargaining unit member shall receive, upon request, a copy of supervisory records and reports of competence, personal character and efficiency, maintained in his/her personnel file with reference to evaluation of his/her performance. A bargaining unit member shall be permitted to examine and copy any material in his/her personnel file provided that, except for disciplinary records, the employee shall be responsible for reimbursing the Board for the reasonable cost of copying. Upon presentation of written authorization by an employee, a Union steward or a representative of the Union may have access to an employee's personnel file.
- E. **Requirement to Sign Critical Material, Upon Request.**
A bargaining unit member shall be provided with a copy of all material that is critical/negative of the bargaining unit member's performance and/or conduct and is placed in his/her personnel file. If requested to sign, the bargaining unit member's refusal to sign such material may be considered insubordinate behavior, as determined in the sole discretion of the Administration. The signing of such material, including any evaluation, shall not be construed as agreement with the material but only an indication of receipt and review thereof. The bargaining unit member shall have the opportunity to comment in writing on such material. Only claims regarding procedural defects, not claims regarding the content of an evaluation, may be submitted to the grievance procedure and only up to the Labor Relations Manager level.

ARTICLE XVIII
NOTICE OF ARREST

If a bargaining unit member is arrested for a felony or any crime against a person, sexual assault, child abuse or family violence, he/she shall immediately notify the Chief Labor and Legal Officer in writing.

All bargaining unit members understand and agree that they are required to notify the Director of Human Resources in writing immediately if the Department of Children and Families has substantiated abuse or neglect against him/her.

The Administration may, in its sole discretion, require a member to submit to a drug/alcohol test.

~~ARTICLE XIX~~
PRIOR PRACTICES

The parties acknowledge that practices may develop from time to time at one or more of the district's facilities. These practices shall not be binding on the parties unless they are expressly incorporated, in writing, herein.

~~ARTICLE XXII~~
SAVE HARMLESS CLAUSE

The provisions of this Article are included in the Agreement for informational purposes only and shall not be subject to the grievance procedure. The Board will follow the law. If the law is revised during the term of this Agreement, the new law will apply and supercede the language provided below.

The Board shall protect and save harmless any bargaining unit member from financial loss and expense, including legal fees and costs, if any, arising out of any claim, demand, suit or judgment by reason of alleged negligence or other act resulting in accidental bodily injury to or death to any person, or in accidental damage to or destruction of property, within or without the school building, or any other acts, including but not limited to infringement of any person's civil rights, resulting in any injury, which acts are not wanton, reckless or malicious, provided such bargaining unit member, at the time of the acts resulting in such injury, damage or destruction, was acting in the discharge of his/her duties or within the scope of employment or under the direction of the Board. (Conn. Gen. Stat. Section 10-235).

~~ARTICLE XXIII~~
~~SCHOOL IMPROVEMENT INCENTIVE~~

~~Each member who works in a school that shows significant school improvement shall be paid \$1,250. The measure of improvement shall be through improvement as determined by the Superintendent of Schools, which shall apply to all eligible employees in the district. To be eligible the member must appear and work a full day in such a school on at least 95% of the work days in the relevant work year. This provision shall not be subject to the grievance procedure.~~

~~ARTICLE XXIV~~
COMPLETE AGREEMENT

It is understood and agreed that this Agreement contains the complete agreement of the parties and that it may be amended or altered only by mutual agreement in writing signed by the parties. The Board and the Federation agree that each had a full opportunity to raise issues, and that all matters to be included in this Agreement have been presented, discussed and incorporated herein or rejected.

ARTICLE XXII~~V~~
DURATION

- A. This Agreement shall be effective upon signing and shall remain in effect through June 30, ~~2018~~2026. This Agreement shall be renewed automatically from one year to the next thereafter unless either party notifies the other in writing not more than one hundred and eighty (180) days or less than one hundred and twenty (120) days prior to the termination date that such party desires to modify this Agreement.

- B. Modifications or Amendments: This Agreement may be amended by mutual agreement of the parties. Such modification or amendment must be in writing and must be signed by the Federation President or his/her designee and the Chief Labor and Legal Officer, or his/her designee.

In witness whereof, the parties hereto set their hand:

For the Hartford Board of Education:

**For the Hartford Federation
of Child Development Associates:**

~~Edward Wilson, Jr., Staff Attorney~~
~~Jill Cutler Hodgman, Chief Negotiator~~ ~~Jane Johnson~~
Melissa Mendez, President

Date: _____

Date: _____

Appendix A
SALARY GRIDS

There shall be step movement for those not on top step effective July 1, 2015, July 1, 2016, and July 1, 2017 July 1, 2022, July 1, 2023, July 1, 2024 and July 1, 2025. The grid is increased by 2.0% effective July 1, 2022, 2% effective July 1, 2023, 2% effective July 1, 2024, and 2% effective July 1, 2025~~1.1% effective July 1, 2015, 1.4% effective July 1, 2016, and 2% on July 1, 2017,~~ as reflected below. Bargaining Unit Members must be an employee of the District on the day the Municipal Accountability Review Board approves the collective bargaining agreement in order to be eligible for any retroactive pay increases.

2015-16	Step-1	Step-2	Step-3	Step-4
S273 (10-month)	24,040	25,626	27,213	28,798
2016-17	Step-1	Step-2	Step-3	Step-4
S273 (10-month)	24,377	25,985	27,594	29,201
2017-18	Step-1	Step-2	Step-3	Step-4
S273 (10-month)	24,865	26,505	28,146	29,785

~~Associates Degree in Early Childhood Education, Child Study, Child Development or Human Growth and Development~~

2015-16	Step-1	Step-2	Step-3	Step-4
S273 (10-month)	32,344	32,991	33,651	34,323
2016-17	Step-1	Step-2	Step-3	Step-4
S273 (10-month)	32,797	33,453	34,122	34,804
2017-18	Step-1	Step-2	Step-3	Step-4
S273 (10-month)	33,453	34,122	34,804	35,500

~~Bachelors Degree in Early Childhood Education, Child Study, Child Development or Human Growth and Development~~

2015-16	Step-1	Step-2	Step-3	Step-4
S273 (10-month)	37,735	38,490	39,259	40,045
2016-17	Step-1	Step-2	Step-3	Step-4
S273 (10-month)	38,263	39,029	39,809	40,606
2017-18	Step-1	Step-2	Step-3	Step-4
S273 (10-month)	39,028	39,810	40,605	41,418

2018-2022

<u>Step</u>	<u>S273</u>	<u>Associates Degree*</u>	<u>Bachelor's Degree**</u>
<u>1</u>	<u>24,865</u>	<u>33,453</u>	<u>39,028</u>
<u>2</u>	<u>26,505</u>	<u>34,122</u>	<u>39,810</u>
<u>3</u>	<u>28,146</u>	<u>34,804</u>	<u>40,605</u>
<u>4</u>	<u>29,785</u>	<u>35,500</u>	<u>41,418</u>

2022-23

<u>Step</u>	<u>S273</u>	<u>Associates Degree*</u>	<u>Bachelor's Degree**</u>
<u>1</u>	<u>25,362</u>	<u>34,122</u>	<u>39,809</u>
<u>2</u>	<u>27,035</u>	<u>34,804</u>	<u>40,606</u>
<u>3</u>	<u>28,709</u>	<u>35,500</u>	<u>41,417</u>
<u>4</u>	<u>30,381</u>	<u>36,210</u>	<u>42,246</u>

2023-24

<u>Step</u>	<u>S273</u>	<u>Associates Degree*</u>	<u>Bachelor's Degree**</u>
<u>1</u>	<u>25,869</u>	<u>34,804</u>	<u>40,605</u>
<u>2</u>	<u>27,576</u>	<u>35,500</u>	<u>41,418</u>
<u>3</u>	<u>29,283</u>	<u>36,210</u>	<u>42,245</u>
<u>4</u>	<u>30,989</u>	<u>36,934</u>	<u>43,091</u>

2024-25

<u>Step</u>	<u>S273</u>	<u>Associates Degree*</u>	<u>Bachelor's Degree**</u>
<u>1</u>	<u>26,386</u>	<u>35,500</u>	<u>41,417</u>
<u>2</u>	<u>28,128</u>	<u>36,210</u>	<u>42,246</u>
<u>3</u>	<u>29,869</u>	<u>36,934</u>	<u>43,090</u>
<u>4</u>	<u>31,609</u>	<u>37,673</u>	<u>43,953</u>

2025-26

<u>Step</u>	<u>S273</u>	<u>Associates Degree*</u>	<u>Bachelor's Degree**</u>
<u>1</u>	<u>26,914</u>	<u>36,210</u>	<u>42,245</u>
<u>2</u>	<u>28,691</u>	<u>36,934</u>	<u>43,091</u>
<u>3</u>	<u>30,466</u>	<u>37,673</u>	<u>43,952</u>
<u>4</u>	<u>32,241</u>	<u>38,426</u>	<u>44,832</u>

* Refers to an Associates Degree in Early Childhood Education, Child Study, Child Development or Human Growth and Development

** Refers to a Bachelors Degree in Early Childhood Education, Child Study, Child Development or Human Growth and Development

Hourly rate –

For 10 month employees – Annual Salary / 187 days (or school year plus 5 days) / 7 hours

For 12 month employees – Annual Salary / 260 days / 7 hours

SIDE LETTER
BETWEEN

THE HARTFORD BOARD OF EDUCATION

AND

THE HARTFORD FEDERATION OF CHILD DEVELOPMENT ASSOCIATES
LOCAL NO 1018F, AFT, AFL-CIO

The parties agree to the following changes in the current health plan -

Effective July 1, 2005,

The insurance plan will have the following co-pays and deductibles:

- \$15 - Office Visits Co-Pay
- \$0/\$5 - Preventive Co-Pay
- \$ 50 - Emergency Room Co-Pay
- \$100 per admission Co-Pay
- \$250/\$500 - Out of Network Co-Pay
- 80%/20% - Coinsurance of \$5,000/\$10,000
- \$0 mail order/\$5 generic/\$15 retail
- 20% - Prescription Coinsurance for Out of Network
- Unlimited Maximum

- Exclude Lasik surgery
- Breast Implant removal (add \$1,000 maximum)
- Exclude Rogaine and Nicorette
- Exclude sex change operation

Effective July 1, 2008, the Parties agree to the following changes in the benefits provided:

- Office Visit Co-Payment: \$20
- In-patient Co-Payment: \$150
- Emergency Room Co-Payment: \$100

Out-of-Network visits shall be subject to a \$250 deductible and 20% coinsurance for an individual plan up to a \$1,250 yearly maximum. Family plans shall be subject to a \$500 deductible and 20% coinsurance up to a \$2,500 yearly maximum.

Employees enrolled in the Board's Preferred Provider Plan are eligible for the Board's managed three-tier drug rider as follows:

- \$10 generic
- \$20 formulary brand
- \$35 non-formulary brand

Mail Order: One times the applicable co-payment for a 90-day supply.

Effective July 1, 2013, the Parties agree to the following changes in the benefits provided:

- \$10 generic
- \$20 formulary brand
- \$40 non-formulary brand
- Mail Order - 2X co-payments for a 90-day supply.

Effective July 1, 2013, the Board shall be able to implement the following:

- Mandatory generic drug substitution (for exact generic equivalent & with exception process) as occurs in the State Employee Health Program
- State of Connecticut Maintenance Drug Network. If this plan is not available to the Board, it shall no longer be offered to the membership.
- Exclusive Specialty

Effective July 1, 2015, the Parties agree to the following changes in the benefits provided:

Board's Modified PPO:

Office Visit Co-Payment:	\$30
Specialist Visit Co-Payment:	\$40
In-patient Co-Payment:	\$150
Out-patient Co-Payment:	\$100
Emergency Room Co-Payment:	\$100
Urgent Care Co-Payment:	\$25

Out-of-Network visits shall be subject to a \$250 deductible and 20% coinsurance for an individual plan up to a \$1,250 yearly maximum. Family plans shall be subject to a \$500 deductible and 20% coinsurance up to a \$2,500 yearly maximum.

FOR THE HARTFORD BOARD
OF EDUCATION

FOR THE HARTFORD FEDERATION
OF CHILD DEVELOPMENT
ASSOCIATES, LOCAL NO. 1018F, AFT,
AFL-CIO

By Jill Curran
Date: 2/16/14

By Jane Johnson
Date: 2/11/14

BETWEEN

THE HARTFORD BOARD OF EDUCATION

AND

THE HARTFORD FEDERATION OF CHILD DEVELOPMENT ASSOCIATES
LOCAL NO 1018F, AFT, AFL-CIO

The Board and the Union agree that if a member is selected as a certified teacher to teach during summer school, such position and work is not the bargaining unit work of this Union and the member shall not be covered by the CDA Union while performing teacher work. No action related to summer work as a certified teacher shall be subject to the grievance procedure contained in the agreement between the Board and the CDA Union.

A member's acceptance of a position as a certified teacher for summer school shall not impact his/her union membership in any regard.

This side letter shall not be part of the contract and is not subject to the grievance procedure.

FOR THE HARTFORD BOARD
OF EDUCATION

By

Date:

Jill Carter HM
6/19/2013

FOR THE HARTFORD FEDERATION
OF CHILD DEVELOPMENT
ASSOCIATES, LOCAL NO. 1018F, AFT,
AFL-CIO

By

Date:

Jane Johnson
6/17/13

SIDE LETTER
BETWEEN

THE HARTFORD BOARD OF EDUCATION

AND

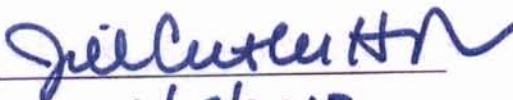
THE HARTFORD FEDERATION OF CHILD DEVELOPMENT ASSOCIATES
LOCAL NO 1018F, AFT, AFL-CIO

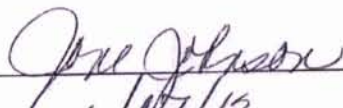
The Union and the Board acknowledge the Board's practice to separate an employee from service (self-resign) if the member fails to appear for work without authorization. This practice is long-standing and is not subject to the grievance procedure.

If a member fails to appear for work and does not call in, that day is considered unauthorized and unpaid. If a member fails to appear for work and has not been approved for a leave, he/she may be separated from service as having abandoned his/her position or self-resigned. If a person is running out of leave time or has run out of time, and has not be authorized for any other kind of leave, the Administration sends a notice to the home address listed in the payroll system indicating these facts and requiring that the member return to work by a date certain. If the member fails to appear by such date, the member is self-resigned. Three days without authorization for the first occurrence is the minimum for a self-resignation. A letter is sent to confirm the self-resignation. This process is followed when the member is out of time but legitimately sick or when the person fails to appear but has not been approved for leave. One example of the later is going on a trip for vacation and failing to return for work. In that case, the letter is sent to the home address on record and the confirming letter is sent if the member does not return as directed. Once a person has received a "self-resignation" notice and reappears for work, he/she no longer receives the same minimum of three day grace period if the same occurs in the future. In those cases where notice is given, even one unauthorized day can be treated as a self-resignation.

FOR THE HARTFORD BOARD
OF EDUCATION

FOR THE HARTFORD FEDERATION
OF CHILD DEVELOPMENT
ASSOCIATES, LOCAL NO. 1018F, AFT,
AFL-CIO

By 
Date: 6/19/2013

By 
Date: 6/17/13

NEGOTIATIONS BETWEEN

between the

HARTFORD BOARD OF EDUCATION (“BOARD”)

and

THE HARTFORD FEDERATION OF CHILD DEVELOPMENT ASSOCIATES
Local 1018F, AFT, AFL-CIO (“UNION”)

Contract expired June 30, 2015

TERMS ARE CONCEPTS AND MAY NOT BE EXACT.

In full and final settlement of a successor agreement to their collective bargaining agreement that expired June 30, 2015 the negotiating teams for the Hartford Board of Education (the “Board”) and The Hartford Federation of Child Development Associates, Local 1018F, AFT, AFL-CIO (the “Union”) have tentatively agreed to the following changes to their collective bargaining agreement subject to ratification by the Board and the Union and approval by the Municipal Accountability Review Board:

Agreed Upon Housekeeping Items and Global Changes

1. Change the title of “Chief Labor and Legal Officer” to “Executive Director of Human Resources” throughout the contract.
2. Eliminate all sections addressing full time (12 month) CDAs

ARTICLE II
FEDERATION MEMBERSHIP/FEE REQUIREMENTS

Section 1

~~During the term of this Agreement, all employees in the collecting bargaining unit shall, from the effective date of the Agreement or within thirty (30) days from the date of their employment with the Board, whichever is later, as a condition of employment, either become or remain members of the Federation in good standing or in lieu of Union membership pay to the Union a service fee as may be fixed by the Federation and allowed by law.~~

Section 2 1

The Federation shall notify the Board in writing regarding the rates for fees and dues, the types of which are specified in Section 2 3 below. Further, the Federation shall supply the Board with

written notice provided at least thirty (30) days prior to the effective date of any change in such rates for fees and dues. It shall be the sole responsibility of the Federation to solicit employees who ~~wish to~~ ~~are required to~~ join the Federation ~~or pay service fees~~.

Section 2.3

The Board agrees to deduct from the pay of bargaining unit members **who authorize such payments in writing**, such uniformly required membership dues, initiation fees, service fees or reinstatement fees as may be fixed by the Federation provided that employees submit to the Board individual written authorizations for such deductions. Such deductions shall continue for the duration of the Agreement or any extension thereof provided that the Board has written authorization from the employees to make such deductions. **If the employee revokes such written authorization, the Board shall no longer make the deduction.** Deductions shall be made on a bi-weekly basis and shall cease during the summer.

Section 3.4

The deduction of fees or dues for any month shall be remitted to the financial officer of the Federation. The Federation shall supply to the Board the name and address of said financial officer. The regular Federation dues and service fee remittances to the Federation will be accompanied by a list of names of employees from whose wages the deductions have been made.

Section 4.5

If the employee's wages are insufficient to cover fees or dues deductions, the Board will not make any such deductions from that employee's pay check. However, upon written request of the Federation, indicating the amount of the deduction, the Board will recoup any missed fees or dues deductions from the employee's subsequent wage payments **as long as the employee has provided written approval for the recoupment.**

Section 5.6

The Federation agrees to indemnify and save the Board harmless against any and all claims, demands, suits or other forms of liabilities, including but not limited to, all legal fees and costs that shall arise out of or by reason of any action taken or not taken by the Board for the purpose of complying with any of the provisions of this Article of the Agreement.

ARTICLE VII INSURANCE

Section 2

A. Health Insurance

1. PPO

~~Participating employees shall contribute the following percentages toward the annual premium or fully insured premium equivalent costs for individual or family coverage:~~

~~2015-2016: 13%~~

~~2016-2017: 14%~~

~~2017-2108: 15%~~

~~All employees starting work in the Child Development Associates' bargaining unit on or after July 1, 2016, may only enroll in the HDHP with HSA and shall not have access to the PPO plan. If an employee is hired into the unit while already enrolled in the district PPO plan, he/she shall be permitted to remain in such plan. Any member who elects the High Deductible Health Plan with the HSA shall not thereafter return to the PPO. If the PPO triggers an excise tax, all members shall be required to enroll in the High Deductible Health Plan with HSA effective July 1, 2017.~~

~~If the Board negotiates with the HFT an alternate Excise Tax insurance plan other than the HSA for all members, such shall be offered to the CDA Union for the school year starting on July 1, 2017. Such shall be subject to negotiations but shall not be subject to interest arbitration.~~

~~Employees enrolled in the Board's PPO Plan are eligible for the Board's managed three-tier drug rider as follows:~~

~~_____ \$10 generic~~

~~_____ \$25 formulary brand~~

~~_____ \$40 non-formulary brand~~

~~_____ Mail Order 2X co-payments for a 90-day supply.~~

~~Dental plan is subject to premium cost sharing specified for the PPO above.~~

1. High Deductible Health Plan with a Health Savings Account ("HSA")

The only plan available to bargaining unit members shall be the High Deductible Health Plan.

Members shall contribute the following percentages toward the annual premium or ~~fully insured premium equivalent costs~~ **the Anthem allocation rate plus not more than four percent (4%)** for individual, **individual + 1**, or family coverage on the HDHP with HSA:

2016-2017:	11%
2017-2018:	12%
2018-2019	12%
2019-2020	12%
2020-2021	12%
2021-2022	12%
2022-2023	12%
2023-2024	12.5%
2024-2025	13%
2025-2026	13.5%

In-Network services shall be subject to a \$2,000 deductible for an individual plan and \$4,000 per family. The plan pays 100% in network services after the deductible, except for prescription drugs (Rx).

Out-of-Network services shall be subject to a 20% coinsurance for an individual plan up to a yearly maximum of \$2,000 individual and \$4,000 per family. Out of network out of pocket maximum is \$4,000 per individual and \$8,000 per family (including the deductible)

Upon reaching the deductibles, there shall be a Rx co-payment applied as follows:

Generic: \$5

Brand (formulary): \$15

Brand (non-formulary): \$30

Up to an out of pocket maximum of \$1,000 per individual and \$2,000 per family

Effective July 1, ~~2020~~ 2023, the Board shall contribute fifty percent (50%) of the applicable HSA deductible amount. For the 2023-2024 school year, the Board's contribution toward the HSA deductible will be deposited into the HSA accounts in two equal installments, the first during the week of July 1, ~~2020~~ 2023 and the second during the week of January 1, ~~2020~~ 2024. In subsequent fiscal years, the Board's contribution toward the HSA deductible will be deposited into the HSA accounts in two equal installments, the first during the week of July 1 and the second during the week of January 1. The Board's contribution will be pro-rated for members hired after July 1st in any year.

For the 2023-2024 school year only, the Board shall contribute seventy-five percent (75%) of the applicable HDHP deductible amount for those members who were not enrolled in the HDHP for the 2022-2023 school year and are transitioning to the HDHP for the 2023-2024 school year ("transitioning members"). For the 2023-2024 school year for transitioning members, two-thirds of the Board's contribution toward the HDHP deductible will be deposited into the HSA account during the first week of July 2023, and one-third of the Board's contribution toward the HDHP deductible will be deposited into the HDHP during the first week of January 2024. For the 2023-2024 school year, the Board shall contribute fifty percent (50%) of the applicable HDHP deductible for all other members enrolled in the HDHP. The Board's contribution toward the HDHP deductible for non-transitioning members will be deposited into the HSA accounts in two equal installments, the first during the week of July 1, 2023 and the second during the week of January 1, 2024.

The Board's contribution will be pro-rated for members hired after July 1st in any year or for members who leave prior to June 30th.

The parties acknowledge that the Board's contribution toward the funding of the HSA plan is not an element of the underlying insurance plan, but rather relates to the manner in which the deductible shall be funded for active employees. The Board shall have no obligation to fund any portion of the HSA deductible for individuals upon their separation from employment or departure from the Union.

Any member who is enrolled in Medicare may not participate in the HSA and must participate in a health retirement account (HRA).

Eliminate in its entirety Article VIII Vacation and Article X Holidays and all other references to 12 month employees throughout the contract. Articles to be renumbered.

ARTICLE IX PROFESSIONAL IMPROVEMENT/TUITION REIMBURSEMENT

The Board desires to encourage the professional improvement of its employees in areas directly related to their employment. CDAs who have completed one year of satisfactory service in the Hartford Public Schools and have successfully completed the semester course shall be eligible for tuition reimbursement of up to ~~\$400~~ \$675.00 per credit, up to a maximum of six (6) credits per year. Courses shall be eligible for reimbursement only during the school year in which the CDA took the course(s). CDAs must submit any course for reimbursement within three months of receipt of the final grade, or the claim for reimbursement shall be waived. For purposes of this article, successful completion means, at a minimum, receipt of a B or a Pass for the completed course work.

ARTICLE XI LEAVE PROVISIONS

Section 5 - Personal Leave

Full-time bargaining unit members shall be permitted absences, without loss of pay and with deduction from sick leave accumulation, up to a total of not more than five (5) days in any school year for any or all of the five reasons listed below.

1. In the event of serious illness or death of ~~spouse wife, husband~~, father, mother, son, daughter, grandfather, grandmother, grandchild, father-in-law, mother-in-law, sister, brother, sister-in-law, brother-in-law, uncle, aunt, or child related by blood, marriage, or member of his/her immediate household not to exceed three (3) days in any contract year.
2. Holy days, not to exceed three (3) days in any contract year, that are mandated by an established religion as a non work day.
3. Quarantine.
4. Absence of ~~husband~~ spouse for birth of child to ~~spouse wife~~ not to exceed two (2) days in any contract year. Absence for parent for adoption of child not to exceed two (2) days in any contract year.
5. Temporary absence for personal reason limited to situations not under the control of the employee and similar, in severity, to those listed above, which make such absence from service necessary. The Superintendent or his/her designee must give prior approval. Such approval shall not be unreasonable withheld.

Except as described below, bargaining unit members must file the Confidential Leave Form with the Superintendent or his/her designee at least 4 work days prior to the start of the requested leave. An employee who has taken personal days on an emergency basis, must, upon the date of his/her return from the day(s) of absence file the Confidential Leave Request Form. Failure to file the Confidential Leave Request Form will render the leave unauthorized and will mean loss of pay for that/those day(s).

ARTICLE XIV
NOTICES AND ANNOUNCEMENTS

All official circulars from the Superintendent’s Office and from the Board which are intended for the information of the bargaining unit members shall be posted on school bulletin boards **or distributed electronically** so as to be available to them as soon as possible upon receipt in the schools. Copies of these circulars, after a reasonable posting time, shall be filed in each school **or in an electronic system** so that they continue to be available to the bargaining unit members as needed for their effective period. A copy of any notice, directive, or bulletin, relating to CDAs generally or to any substantial group of CDAs shall be sent to the Federation president/Federation office. The above Article shall not be subject to the grievance procedure.

ARTICLE XV
DISCIPLINE/DISCHARGE

No employee shall be disciplined or discharged without just cause. Verbal warnings (which include any letter not copied to the personnel file) shall not be subject to the grievance procedure.

Disciplinary actions shall normally follow this order:

- a. Verbal warning reduced to writing;
- b. Written Warning,
- c. Suspension without pay;
- d. Discharge or demotion

Any of the above steps may be omitted depending on the severity of the discipline required.

~~ARTICLE XXIII
SCHOOL IMPROVEMENT INCENTIVE~~

~~Each member who works in a school that shows significant school improvement shall be paid \$1,250. The measure of improvement shall be through improvement as determined by the Superintendent of Schools, which shall apply to all eligible employees in the district. To be eligible the member must appear and work a full day in such a school on at least 95% of the work days in the relevant work year. This provision shall not be subject to the grievance procedure.~~

ARTICLE XXV
DURATION

The contract will go through 2026.

APPENDIX A
SALARY GRIDS

18-19 0% increase, no step movement

19-20 0% increase, no step movement

20-21 0% increase, no step movement

21-22 0% increase, no step movement

22-23 2.0% GWI plus step movement for those not at top step retroactive to August 25, 2022. Must be an employee on the day the MARB approves the contract to be eligible for retroactive pay.

23-24 2.0 GWI, step movement for those not at top step

24-25 2.0 GWI plus step movement for those not at top step.

25-26 2.0 GWI plus step movement for those not at top step

FOR THE HARTFORD
BOARD OF EDUCATION

Melinda Kaufmann
Spokesperson for the Board

Elizabeth Guerra
Spokesperson for the Union

Date

Date

City of Hartford

FY2023

Monthly Financial Report to the Municipal Accountability Review Board



February 2023
(FY2023 P8)

Meeting date: April 13, 2023

City of Hartford
Budget and Financial Report
to the Municipal Accountability Review Board

FY2023 General Fund Summary	1
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City of Hartford - FY2023 General Fund Financial Report & Projection

4/13/2023

Revenue Category	FY2022 AUDITED ACTUAL	FY2023 ADOPTED BUDGET	FY2023 REVISED BUDGET	FY2022 ACTUAL (FEBRUARY)	FY2023 ACTUAL (FEBRUARY)	FY2023 PROJECTION	VARIANCE (FAV)/UNFAV
41 General Property Taxes ¹	(295,133,692)	(294,747,269)	(294,747,269)	(284,991,879)	(291,685,952)	(298,145,610)	(3,398,341)
42 Licenses & Permits ²	(6,225,995)	(6,119,612)	(6,119,612)	(4,001,464)	(5,354,052)	(6,685,393)	(565,781)
43 Fines Forfeits & Penalties ³	(192,966)	(154,100)	(154,100)	(108,784)	(72,212)	(161,254)	(7,154)
44 Revenue from Money & Property ⁴	(1,682,420)	(1,171,054)	(1,171,054)	(694,822)	(6,313,323)	(6,706,141)	(5,535,087)
45 Intergovernmental Revenues ⁵	(332,584,367)	(288,532,177)	(288,532,177)	(177,571,899)	(189,044,462)	(291,486,187)	(2,954,010)
46 Charges For Services ^{6,10}	(4,940,478)	(3,149,666)	(3,149,666)	(3,279,515)	(3,286,103)	(4,004,225)	(854,559)
47 Reimbursements ⁷	(80,343)	(89,653)	(89,653)	(39,640)	(49,800)	(95,377)	(5,724)
48 Other Revenues ⁸	(328,236)	(55,200)	(55,200)	(102,006)	(356,179)	(178,349)	(123,149)
53 Other Financing Sources ⁹	(4,718,887)	(5,556,000)	(5,556,000)	(2,552,358)	(1,813,641)	(5,556,000)	-
Total Revenues¹⁹	(645,887,385)	(599,574,731)	(599,574,731)	(473,342,367)	(497,975,723)	(613,018,536)	(13,443,805)

Expenditure Category	FY2022 AUDITED ACTUAL	FY2023 ADOPTED BUDGET	FY2023 REVISED BUDGET	FY2022 ACTUAL (FEBRUARY)	FY2023 ACTUAL (FEBRUARY)	FY2023 PROJECTION	VARIANCE (FAV)/UNFAV
Payroll ¹¹	116,456,657	124,048,205	124,198,178	74,045,531	74,249,865	122,516,521	1,681,657
Benefits ¹²	68,811,634	98,049,066	85,799,066	42,553,208	48,675,031	84,182,222	1,616,844
Debt & Other Capital ^{13, 15}	111,386,490	15,454,900	27,604,900	35,141,587	15,244,446	31,404,900	(3,800,000)
Library	0	8,663,336	8,663,336	(2,820,197)	2,663,336	8,663,336	-
Metro Hartford Innovation Services	2,151,273	4,392,973	4,392,973	754,381	2,928,649	4,392,973	-
Utilities ¹⁶	28,290,066	30,256,463	30,206,463	18,430,780	19,930,874	30,925,000	(718,537)
Other Non-Personnel ¹⁷	28,465,721	34,696,514	34,696,541	14,770,474	17,744,163	36,711,656	(2,015,115)
Education ¹⁴	281,437,369	284,013,274	284,013,274	158,013,035	158,013,035	284,013,274	-
Total Expenditures¹⁹	636,999,210	599,574,731	599,574,731	340,888,800	339,449,399	602,809,882	(3,235,151)
Revenues and Expenditures, Net	(8,888,175)	-	-	(132,453,567)	(158,526,325)	(10,208,654)	
Use of Assigned Fund Balance for property purchase¹⁸	-	-	1,800,000	-	1,800,000	1,800,000	-

REVENUE FOOTNOTES

- ¹ (1) Cumulative through February, current year tax levy revenue actuals are 3.64% or \$9.98M higher than FY2022 Period 8 (February). Current year tax levy revenues are projected to exceed their FY2023 Adopted Budget amount by \$3M.
(2) Prior Year Levy collections actuals are tracking unfavorably by \$3.09M compared to the FY2022 cumulative through February.
(3) Interest and liens collections actuals are lower by \$0.19M through February compared to FY2022.
(4) Revenues from subsequent tax lien sales typically are recorded in the 4th quarter of the fiscal year.
- ² The Licenses and Permits revenue category is primarily comprised of building, electrical, mechanical, plumbing permits, and food and milk dealer licenses. This revenue category's actuals are tracking favorably by 34% or \$1.35M compared to the FY2022 cumulative through February due to several significant permits sold for large projects during FY2023 that did not occur in FY2022.
- ³ The Fines, Forfeits and Penalties revenue line item includes revenues from false alarms fines and is projected to be slightly higher than the FY2023 budget.
- ⁴ Revenue from Money and Property contains lease/rental and short-term investment income. FY2023 actuals are tracking 809% higher than February FY2022 due to higher interest revenue.
- ⁵ Intergovernmental Revenues primarily reflect the receipts of Education Cost Sharing, Supplemental Car Tax and PILOT revenues from the State. February FY2023 actuals are 6.46% or \$11.47M higher than February FY2022 actuals as revenues from the State for tax-exempt property are 67.5% or \$20.87M higher through February in FY2023 than through February in FY2022. A 65.4% or \$7.42M higher reimbursement from the State was received in FY2023 for foregone tax revenue resulting from the motor vehicle property tax cap, as the motor vehicle mill rate cap declined from 45.00 to 32.46 mills, and a \$3.72M MRSAs distribution received in FY2023 Period 4 (October) also contributed to the favorability in February FY2023 compared to FY2022.
- ⁶ Charges for Services contains revenues associated with the conveyance tax, transcript/filing of records, and special events. This revenue line item varies each year with historical actuals ranging from \$2.8M to \$4.9M. Conveyance tax revenue continues to be strong in FY2023.
- ⁷ Reimbursements (primarily Section 8) largely occur at fiscal year end.
- ⁸ Other Revenues will vary year to year based on unanticipated items such as settlements. Projected revenues for this category were substantially reduced in FY2023 Period 4 (October) after correction of a \$1.00M payment that was incorrectly assigned in FY2023 Period 3 (September).
- ⁹ Other Financing Sources reflects revenues from Corporate Contribution (1), DoNo Stadium Fund (2), the Parking Authority Fund (3), Special Police Service Fund (4), and other (5).
(1) A Corporate Contribution of \$10M was provided directly to the Hartford Public Library (HPL) for FY2022 to cover costs of library construction. Although a \$3.33M contribution was received in September FY2022, this contribution was part of the \$10M contribution and an adjustment was recorded in November FY2022 to transfer this contribution to HPL.
(2) Yard Goat Admission Tax is received monthly and is included in the year to date actuals.
(3) The revenue from Hartford Parking Authority is received quarterly.
(4) Revenues from Police Private Duty are posted quarterly.
- ¹⁰ FY2023 Adopted Budget reported in FY2023 Adopted Budget Book shifted \$600 in revenue for swimming pool inspections from Licenses and Permits to Charges for Services.

EXPENDITURE FOOTNOTES

- ¹¹ Payroll (FT, PT, OT and Holiday) is projected to be net favorable by \$1.68M. The methodology of the full-time payroll projection (detailed in the appendix) reflects 30.2 weeks of actual payroll expenses with 22 weeks remaining. Vacancies are assumed to be refilled with 18 weeks remaining in the fiscal year. Vacancy and attrition savings of \$5.96M and \$57K of favorability in Holiday Pay is offset by a projected shortfall of \$3.89M in OT and \$437K in PT. Payroll will continue to be monitored throughout the fiscal year.
- ¹² Benefits and Insurances is projected to be net favorable due to health claims, offset by centrally budgeted non-Public Safety attrition being realized in departments city-wide.
- ¹³ The FY2023 Adopted Budget for Debt & Other Capital is comprised of \$4.65M for Downtown North principal and interest, \$122K for a Grant in Lieu of Taxes payment, \$116K for Clean Water loan principal and interest, and \$10.57M for Pay-As-You-Go CapEx. In addition, the projection includes \$1.70M for the Homeowner's Initiative and \$2.10M for the purchase of 81 Bartholomew Ave. and \$12.15M transferred from Benefits and Insurances for a grand total of \$31.40M.
- ¹⁴ Education YTD actuals reflect 8 months of the City's tax supported payment of \$96M and two payments from the State of Connecticut. The \$188M ECS will be recorded as the State allocation is received.
- ¹⁵ Under the executed Contract Assistance agreement, \$54.10M of General Obligation debt service payments are made on the City's behalf by the State of Connecticut in FY2023. Consistent with GAAP rules, the contract assistance payments are recorded as donated capital revenue. The debt service expenditures are recorded in the ledger in the Debt Service line item to properly reflect the retirement of debt. This unbudgeted debt expense is offset by contract assistance revenue.
- ¹⁶ Utilities are projected to be net unfavorable due to piped gas and fuel, offset by favorability in water.
- ¹⁷ Non-personnel is unfavorable due to maintenance and legal services.
- ¹⁸ A FY2022 Court of Common Council Resolution on June 14, 2022 approved the purchase of 510 Farmington Avenue for \$1.80M. The actual expenditure was recorded in FY2023, along with the use of assigned fund balance to make the purchase.
- ¹⁹ The City's financial system (Munis) reflects revenues as negative values (credits) and expenditures as positive values. A negative variance for revenues is favorable. A positive variance for revenues is unfavorable.

Revenue Summary - Major Category

	FY2022 AUDITED ACTUAL	FY2023 ADOPTED BUDGET	FY2023 REVISED BUDGET	FY2022 ACTUAL (FEBRUARY)	FY2023 ACTUAL (FEBRUARY)
41-TAXES	(295,133,692)	(294,747,269)	(294,747,269)	(284,991,879)	(291,685,952)
CURRENT YEAR TAX LEVY	(281,599,623)	(285,792,269)	(285,792,269)	(274,069,010)	(284,048,536)
INTEREST AND LIENS	(5,034,546)	(3,800,000)	(3,800,000)	(3,228,286)	(3,035,249)
PRIOR YEAR LEVIES	(7,930,767)	(5,130,000)	(5,130,000)	(7,276,236)	(4,190,032)
TAX LIEN SALES	(187,912)	-	-	(187,912)	(193,550)
OTHER	(380,844)	(25,000)	(25,000)	(230,434)	(218,585)
42-LICENSES AND PERMITS	(6,225,995)	(6,119,612)	(6,119,612)	(4,001,464)	(5,354,052)
BUILDING PERMITS	(3,132,956)	(3,614,100)	(3,614,100)	(2,012,493)	(2,724,124)
ELECTRICAL PERMITS	(932,294)	(797,665)	(797,665)	(552,190)	(841,722)
FOOD & MILK DEALER LICENSES	(288,674)	(140,000)	(140,000)	(141,559)	(240,675)
MECHANICAL PERMITS	(622,751)	(800,000)	(800,000)	(444,540)	(530,744)
PLUMBING PERMITS	(442,584)	(337,846)	(337,846)	(257,900)	(254,253)
OTHER	(806,737)	(430,001)	(430,001)	(592,781)	(762,534)
43-FINES FORFEITS AND PENALTIES	(192,966)	(154,100)	(154,100)	(108,784)	(72,212)
FALSE ALARM CITATIONS-POL&FIRE	(170,436)	(138,000)	(138,000)	(98,307)	(58,711)
LAPSED LICENSE/LATE FEE	(12,025)	(7,100)	(7,100)	(2,900)	-
OTHER	(10,505)	(9,000)	(9,000)	(7,577)	(13,501)
44-INTEREST AND RENTAL INCOME	(1,682,420)	(1,171,054)	(1,171,054)	(694,822)	(6,313,323)
BILLINGS FORGE	(22,646)	(20,428)	(20,428)	(17,000)	(16,872)
CT CENTER FOR PERFORM ART	(54,167)	(50,000)	(50,000)	(33,333)	(33,333)
INTEREST	(830,377)	(362,000)	(362,000)	(208,859)	(5,883,460)
RENT OF PROP-ALL OTHER	(86,207)	(101,329)	(101,329)	(58,383)	(59,360)
RENTAL OF PARK PROPERTY	(42,305)	(16,000)	(16,000)	(23,955)	(24,950)
RENTAL OF PARKING LOTS	(26,021)	-	-	(26,021)	(27,061)
RENTAL OF PROP-FLOOD COMM	(112,048)	(99,360)	(99,360)	(81,120)	(58,800)
RENTAL-525 MAIN STREET	(27,407)	-	-	(29,799)	(15,863)
RENTS FROM TENANTS	(147,889)	(161,400)	(161,400)	(111,517)	(109,006)
SHEPHERD PARK	(124,207)	(234,393)	(234,393)	-	-
THE RICHARDSON BUILDING	(106,586)	(90,000)	(90,000)	(68,690)	(66,544)
UNDERWOOD TOWER PILOT	(32,221)	(36,144)	(36,144)	(36,144)	(18,072)
OTHER	(70,339)	-	-	-	(1)
45-INTERGOVERNMENTAL	(332,584,367)	(288,532,177)	(288,532,177)	(177,571,899)	(189,044,462)
MUNICIPAL AID	(263,709,416)	(284,605,856)	(284,605,856)	(165,424,584)	(187,319,041)
CAR TAX SUPPL MRSF REV SHARING	(11,344,984)	(20,321,339)	(20,321,339)	(11,344,984)	(18,768,858)
EDUCATION COST SHARING	(186,762,102)	(187,974,890)	(187,974,890)	(93,987,446)	(94,050,541)
HIGHWAY GRANT	(1,186,368)	(1,186,368)	(1,186,368)	(1,186,368)	(1,166,310)
MASHANTUCKET PEQUOT FUND	(6,136,523)	(6,136,523)	(6,136,523)	(2,045,508)	(2,045,508)
MRSA BONDED DISTRIBUTION GRANT	(1,419,161)	(1,419,161)	(1,419,161)	-	-
MRSF SELECT PILOT	(12,422,113)	(12,422,113)	(12,422,113)	(12,422,113)	(16,142,362)
MUNICIPAL STABILIZATION GRANT	(3,370,519)	(3,370,519)	(3,370,519)	(3,370,519)	(3,370,519)
PRIV TAX EXEMPT PROPERTY	(30,904,693)	(51,774,943)	(51,774,943)	(30,904,693)	(51,774,943)
STATE OWNED PROPERTY	(10,162,953)	-	-	(10,162,953)	-
OTHER MUNICIPAL AID	(54,677,710)	-	-	-	-
STATE CONTRACT ASSISTANCE	(54,677,710)	-	-	-	-
OTHER STATE REVENUES	(11,208,076)	(24,516)	(24,516)	(11,133,422)	(84,227)
DISTRESSED MUNICIPALITIES	(11,114,995)	-	-	(11,114,995)	-
JUDICIAL BRANCH REV DISTRIB.	(74,654)	-	-	-	(66,064)
VETERANS EXEMPTIONS	(18,427)	(24,516)	(24,516)	(18,427)	(18,163)
PILOTS, MIRA & OTHER INTERGOVERNMENTAL	(2,986,865)	(3,898,505)	(3,898,505)	(1,013,494)	(1,637,843)
DISABIL EXEMPT-SOC SEC	(7,148)	(6,417)	(6,417)	(7,148)	(6,532)
GR REC TAX-PARI MUTUEL	(175,135)	(165,714)	(165,714)	(115,484)	(104,765)
HEALTH&WELFARE-PRIV SCH	(56,245)	(54,629)	(54,629)	(56,245)	-
MATERIALS INNOVATION RECYCLING	(1,500,000)	(1,500,000)	(1,500,000)	-	-
PHONE ACCESS LN TAX SH	(532,756)	(562,817)	(562,817)	-	-
PILOT CHURCH HOMES INC	(126,512)	(126,872)	(126,872)	(126,512)	(126,322)
PILOT FOR CT CTR FOR PERF	(273,269)	(357,056)	(357,056)	(273,269)	-
PILOT FOR HARTFORD 21	(130,964)	(500,000)	(500,000)	(250,000)	(250,000)
PILOT HARTFORD HILTON	(46,501)	(350,000)	(350,000)	(46,501)	(1,045,887)
PILOT HARTFORD MARRIOTT	(113,335)	(250,000)	(250,000)	(113,335)	(80,213)
PILOT-PENNANT N CROSSING	-	-	-	-	(24,125)
PILOT TRINITY COLLEGE	(25,000)	(25,000)	(25,000)	(25,000)	-
OTHER	(2,300)	(3,300)	(3,300)	(400)	(3,351)
STATE REIMBURSEMENTS	(2,300)	(3,300)	(3,300)	(400)	(3,351)
46-CHARGES FOR SERVICES	(4,940,478)	(3,149,666)	(3,149,666)	(3,279,515)	(3,286,103)
CONVEYANCE TAX	(2,637,614)	(1,300,000)	(1,300,000)	(1,682,005)	(1,818,330)
FILING RECORD-CERTIF FEES	(441,164)	(300,000)	(300,000)	(302,812)	(209,723)
TRANSCRIPT OF RECORDS	(847,597)	(805,266)	(805,266)	(576,089)	(417,611)
OTHER	(1,014,102)	(744,400)	(744,400)	(718,608)	(840,438)
47-REIMBURSEMENTS	(80,343)	(89,653)	(89,653)	(39,640)	(49,800)
ADVERTISING LOST DOGS	(598)	(453)	(453)	(308)	(220)
ATM REIMBURSEMENT	-	-	-	-	-
DOG ACCT-SALARY OF WARDEN	(2,158)	(2,105)	(2,105)	-	-
OTHER REIMBURSEMENTS	-	-	-	-	-
REIMB FOR MEDICAID SERVICES	-	-	-	-	(5,724)
SECTION 8 MONITORING	(76,688)	(83,890)	(83,890)	(38,932)	(41,855)
OTHER	(900)	(3,205)	(3,205)	(400)	(2,000)
48-OTHER REVENUES	(328,236)	(55,200)	(55,200)	(102,006)	(356,179)
MISCELLANEOUS REVENUE	(207,788)	(45,724)	(45,724)	(85,301)	(99,861)
OVER & SHORT ACCOUNT	(1,237)	-	-	(30)	(0)
SALE CITY SURPLUS EQUIP	-	-	-	-	-
SALE OF DOGS	(7,633)	(5,993)	(5,993)	(4,630)	(3,368)
SETTLEMENTS - OTHER	(3,002)	(3,000)	(3,000)	(3,002)	-
OTHER	(108,576)	(483)	(483)	(9,043)	(252,950)
53-OTHER FINANCING SOURCES	(4,718,887)	(5,556,000)	(5,556,000)	(2,552,358)	(1,813,641)
CORPORATE CONTRIBUTION	-	-	-	-	-
DOWNTOWN NORTH (DONO)	(940,185)	(795,000)	(795,000)	(199,082)	(188,920)
REVENUE FROM HTFD PKG AUTHY	(2,111,857)	(2,011,000)	(2,011,000)	(1,500,000)	(1,000,000)
SPECIAL POLICE SERVICES	(1,666,845)	(2,750,000)	(2,750,000)	(853,276)	(624,721)
Grand Total	(645,887,385)	(599,574,731)	(599,574,731)	(473,342,367)	(497,975,723)

CITY OF HARTFORD
PROPERTY TAX COLLECTIONS REPORT FOR FY22 AND FY23
PROPERTY TAX COLLECTION REPORT THROUGH FEBRUARY 28, 2023

Month	Current Year Taxes		Prior Year Taxes		Interest		Liens Sales		Total Collections	
	Actual FY 22	Actual FY 23	Actual FY 22	Actual FY 23	Actual FY 22	Actual FY 23	Actual FY 22	Actual FY 23	FY 22	FY 23
July	100,781,340	107,971,467 ¹	962,504	(298,163) ²	292,231	343,138 ²	-	187,912 ¹	102,036,074	108,204,354
August	43,818,629	41,837,343 ¹	1,660,552	835,535 ³	406,382	588,188 ⁴	-	5,637	45,885,563	43,266,703
September	2,354,099	2,173,272 ¹	1,234,241	743,324 ^{1,5}	660,811	343,968 ^{1,5}	-	-	4,249,151	3,260,564
October	1,620,537	1,843,488 ¹	786,197	422,659 ^{1,2}	358,588	279,932 ^{1,2}	-	-	2,765,323	2,546,079
November	2,414,141	1,617,965 ¹	567,061	389,123 ¹	289,710	212,941 ¹	187,912	- ¹	3,458,824	2,220,029
December	20,209,585	12,932,762 ^{1,6}	655,480	792,694	322,547	388,100	-	-	21,187,611	14,113,556
January	80,054,887	91,000,592 ^{1,6}	1,026,226	630,563 ⁵	545,976	353,103 ⁵	-	-	81,627,089	91,984,258
February	22,815,794	24,671,648 ^{1,6}	383,975	674,296 ⁷	352,041	525,881 ⁷	-	-	23,551,810	25,871,825
March	3,375,384		976,618		577,764		-	-	4,929,766	-
April	1,657,143		510,408		404,324		-	-	2,571,875	-
May	1,208,036		494,287		382,679		-	-	2,085,002	-
June	1,433,600		511,814		436,107		-	-	2,381,521	-
Total Collections	281,743,173	284,048,536	9,769,363	4,190,032	5,029,160	3,035,249	187,912	193,550	296,729,608	291,467,368
60 Day Collections			(1,976,671)		-		-		(1,976,671)	-
Reclass -- Year End entries	(143,550)		138,075		5,386		-		(89)	-
Adjusted Total Collections	281,599,623	284,048,536	7,930,767	4,190,032	5,034,546	3,035,249	187,912	193,550	294,752,848	291,467,368
	Current Year Taxes	Prior Year Taxes	Interest	Liens Sales	Total Collections					
	FY 22	FY 23	FY 22	FY 23	FY 22	FY 23	FY 22	FY 23	FY 22	FY 23
Total Budget	279,792,169	285,792,269	4,580,000	5,130,000	3,800,000	3,800,000	450,000	-	288,622,169	294,722,269
Total current levy (GL 2021) new bills	299,458,605	314,255,990	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Collections through FEBRUARY	274,069,010	284,048,536	7,276,236	4,190,032	3,228,286	3,035,249	187,912	193,550	284,761,445	291,467,368
Outstanding Receivable at 02/28	20,337,415	23,030,223	45,573,874	42,141,429	n/a	n/a	n/a	n/a	n/a	n/a
Timing Adjustment from bridging QDS to Munis		7,177,231								
% of Budget Collected	97.95%	99.39%	158.87%	81.68%	84.95%	79.87%	41.76%	-	98.66%	98.90%
% of Adjusted Levy Collected	91.52%	90.39%	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Mill Rate Real Estate	74.29	68.95								
Mill Rate Personal Property	74.29	68.95								
Mill Rate Motor Vehicle	45	32.46								

¹ FY2023 P1- Current Year Tax collections exceed prior year due to timing and higher assessments due to the revaluation. Several tax payers paid in July this fiscal year (FY2023) but in August-December of the prior fiscal year (FY2022).

² The FY2023 Prior Year Tax collections is a result of \$1.441M of credit adjustments from tax appeals (Hartford Steam Co, Marshall LLC, Asylum) net of \$1.184M actually collected.

³ A large payment of \$854k of back taxes was paid by Comcast in August 2021 increasing FY22 collections.

⁴ Increased interest collected due to delinquents from various taxpayers in FY23.

⁵ Timing and back tax (included interest) of \$800k collected from CIL Community & 194 Washington in September 2021 caused underun in YtY comparison.

⁶ Several large taxpayers' 2nd installments were paid early in December of FY22, but are not yet paid in FY23.

⁷ Prior levy taxes collected (included interest) from several taxpayers exceeded prior year in YtY comparison.

Expenditure Summary - Departments

	FY2022 AUDITED ACTUAL	FY2023 ADOPTED BUDGET	FY2023 REVISED BUDGET	FY2022 ACTUAL (FEBRUARY)	FY2023 ACTUAL (FEBRUARY)	FY2023 PROJECTION	VARIANCE FAV/(UNFAV)
00111 MAYOR'S OFFICE	628,268	791,907	791,907	379,049	433,556	744,095	47,812
00112 COURT OF COMMON COUNCIL	701,869	988,318	988,318	405,139	404,249	975,785	12,533
00113 TREASURER	453,347	553,375	553,375	256,520	250,527	540,664	12,711
00114 REGISTRARS OF VOTERS	498,310	507,196	814,220	327,143	490,509	808,770	5,450
00116 CORPORATION COUNSEL	1,255,450	1,501,798	1,501,798	770,541	746,695	1,337,457	164,341
00117 TOWN & CITY CLERK	669,392	832,927	832,927	416,177	477,409	814,094	18,833
00118 INTERNAL AUDIT ¹	508,345	523,219	523,219	305,373	320,780	528,324	(5,105)
00119 CHIEF OPERATING OFFICER	1,338,151	1,820,210	1,820,210	887,767	1,004,470	1,763,101	57,109
00122 METRO HARTFORD INNOVATION SERV	2,151,273	4,392,973	4,392,973	754,381	2,928,649	4,392,973	0
00123 FINANCE	3,171,055	3,900,809	3,900,809	1,904,069	1,925,070	3,414,933	485,876
00125 HUMAN RESOURCES	1,419,987	1,920,345	1,920,345	836,808	925,676	1,796,190	124,155
00128 OFFICE OF MANAGEMENT & BUDGET	1,059,956	1,288,894	1,288,894	650,889	540,749	1,043,898	244,996
00132 FAMILIES, CHILDREN, YOUTH & RECREATION ²	3,646,734	3,663,453	3,663,453	2,593,886	2,443,544	3,723,264	(59,811)
00211 FIRE	39,166,624	40,371,325	40,371,325	24,653,791	23,719,413	39,358,509	1,012,816
00212 POLICE ³	48,430,034	49,586,238	49,586,238	30,336,469	30,717,475	50,285,524	(699,286)
00213 EMERGENCY SERVICES & TELECOMM. ⁴	3,514,557	4,405,552	4,405,552	2,379,187	3,105,024	4,881,303	(475,751)
00311 PUBLIC WORKS ⁵	17,331,252	19,124,731	19,124,731	10,713,564	11,064,102	19,366,520	(241,789)
00420 DEVELOPMENT SERVICES	4,911,923	6,268,086	6,268,086	2,581,813	2,898,797	5,880,119	387,967
00520 HEALTH AND HUMAN SERVICES	4,100,308	5,467,402	5,567,402	2,138,316	2,110,556	4,978,604	588,798
00711 EDUCATION	281,437,369	284,013,274	284,013,274	158,013,035	158,013,035	284,013,274	0
00721 HARTFORD PUBLIC LIBRARY	0	8,663,336	8,663,336	(2,820,197)	2,663,336	8,663,336	0
00820 BENEFITS & INSURANCES ⁶	68,811,634	98,049,066	85,799,066	42,553,208	48,675,031	84,182,222	1,616,844
00821 DEBT SERVICE ⁷	111,386,490	15,454,900	27,604,900	35,141,587	15,244,446	31,404,900	(3,800,000)
00822 NON OP DEPT EXPENDITURES ⁸	40,406,883	45,485,397	45,178,373	24,710,285	28,346,298	47,912,025	(2,733,652)
Grand Total	636,999,210	599,574,731	599,574,731	340,888,800	339,449,399	602,809,882	(3,235,151)

¹ City-wide unclassified and non-union increases realized in departmental budget; adjustments, if needed, will occur at year end.

² Families, Children, Youth and Recreation is projected to be unfavorable due to seasonal part-time.

³ Police is projected to be unfavorable due to overtime.

⁴ Emergency Services & Telecomm. is projected to be unfavorable due to overtime.

⁵ DPW is projected to be unfavorable primarily due to overtime.

⁶ Benefits and Insurances is projected to be net favorable due to health claims, offset by centrally budgeted non-Public Safety attrition being realized in departments city-wide.

⁷ Debt projection includes \$1.70M for the Homeowner's Initiative and \$2.10M for the purchase of 81 Bartholomew Ave.

⁸ Non Operating is projected to be net unfavorable due to utilities and legal services.

Expenditure Summary - Major Expenditure Category

	FY2022 AUDITED ACTUAL	FY2023 ADOPTED BUDGET	FY2023 REVISED BUDGET	FY2022 ACTUAL (FEBRUARY)	FY2023 ACTUAL (FEBRUARY)	FY2023 PROJECTION	VARIANCE FAV/(UNFAV)
PAYROLL	116,456,657	124,048,205	124,198,178	74,045,531	74,249,865	122,516,521	1,681,657
FT ¹	91,134,646	104,054,712	103,924,712	57,007,409	58,681,407	97,967,666	5,957,046
HOL ¹	2,264,827	2,494,938	2,494,938	1,681,883	1,588,759	2,438,185	56,753
OT ¹	20,696,931	15,949,949	16,009,949	13,651,079	12,237,567	19,904,760	(3,894,811)
PT ¹	2,360,254	1,548,606	1,768,579	1,705,160	1,742,131	2,205,910	(437,331)
BENEFITS	68,811,634	98,049,066	85,799,066	42,553,208	48,675,031	84,182,222	1,616,844
HEALTH ²	8,629,036	35,836,618	23,586,618	793,982	6,206,330	21,586,618	2,000,000
MITIGATION ³	0	(1,190,000)	(1,190,000)	0	0	0	(1,190,000)
PENSION	51,492,153	50,806,786	50,806,786	33,760,832	32,974,634	50,806,786	0
INSURANCE	3,863,774	4,878,123	4,878,123	3,594,892	4,070,516	4,878,123	0
FRINGE REIMBURSEMENTS	(3,234,950)	(3,236,631)	(3,236,631)	(1,375,021)	(1,084,913)	(3,236,631)	0
LIFE INSURANCE	221,655	231,987	231,987	154,719	154,247	231,987	0
OTHER BENEFITS	3,735,238	4,579,297	4,579,297	2,247,138	3,153,385	4,579,297	0
WAGE ⁴	0	1,379,136	1,379,136	0	0	572,292	806,844
WORKERS COMP	4,104,729	4,763,750	4,763,750	3,376,666	3,200,833	4,763,750	0
DEBT	111,386,490	15,454,900	27,604,900	35,141,587	15,244,446	31,404,900	(3,800,000)
DEBT ¹¹	111,386,490	15,454,900	27,604,900	35,141,587	15,244,446	31,404,900	(3,800,000)
LIBRARY	0	8,663,336	8,663,336	(2,820,197)	2,663,336	8,663,336	0
LIBRARY	0	8,663,336	8,663,336	(2,820,197)	2,663,336	8,663,336	0
MHIS	2,151,273	4,392,973	4,392,973	754,381	2,928,649	4,392,973	0
MHIS	2,151,273	4,392,973	4,392,973	754,381	2,928,649	4,392,973	0
UTILITY	28,290,066	30,256,463	30,206,463	18,430,780	19,930,874	30,925,000	(718,537)
UTILITY ⁵	28,290,066	30,256,463	30,206,463	18,430,780	19,930,874	30,925,000	(718,537)
OTHER	28,465,721	34,696,514	34,696,514	14,770,474	17,744,163	36,711,656	(2,015,115)
COMMUNITY ACTIVITIES	2,471,114	2,740,799	2,858,059	1,727,710	1,663,538	2,858,059	0
CONTINGENCY	1,118,196	3,079,795	3,079,795	59,506	540,891	3,079,795	0
CONTRACTED SERVICES ⁶	5,216,018	6,540,258	6,624,864	2,272,509	3,168,503	6,649,864	(25,000)
ELECTIONS	0	307,024	0	0	0	0	0
GOVT AGENCY & OTHER	45,140	43,176	43,176	35,725	29,760	43,176	0
LEASES - OFFICES PARKING COPIER ⁷	1,649,183	1,992,939	1,992,939	1,197,652	1,250,252	1,848,311	144,628
LEGAL EXPENSES & SETTLEMENTS ⁸	2,845,480	2,404,557	2,404,557	1,184,315	2,431,039	4,541,500	(2,136,943)
OTHER ⁹	4,990,492	5,298,645	5,278,005	2,575,426	2,084,197	5,268,005	10,000
OUT AGENCY	100,000	100,000	100,000	0	0	100,000	0
POSTAGE	225,000	200,000	200,000	205,000	180,618	200,000	0
SUPPLY	3,887,990	4,533,777	4,622,483	2,212,767	2,406,383	4,622,483	0
TECH, PROF & COMM BASED SERVICES ¹⁰	2,887,785	3,865,424	3,926,743	1,497,529	1,841,533	3,934,543	(7,800)
VEHICLE & EQUIP	3,029,324	3,590,120	3,565,920	1,802,334	2,147,448	3,565,920	0
EDUCATION	281,437,369	284,013,274	284,013,274	158,013,035	158,013,035	284,013,274	0
EDUCATION	281,437,369	284,013,274	284,013,274	158,013,035	158,013,035	284,013,274	0
Grand Total	636,999,210	599,574,731	599,574,731	340,888,800	339,449,399	602,809,882	(3,235,151)

¹ Payroll (FT, PT, OT and Holiday) is projected to be net favorable by \$1.68M. The methodology of the full-time payroll projection (detailed in the appendix) reflects 30.2 weeks of actual payroll expenses with 22 weeks remaining. Vacancies are assumed to be refilled with 18 weeks remaining in the fiscal year. Vacancy and attrition savings of \$5.96M and \$57K of favorability in Holiday Pay is offset by a projected shortfall of \$3.89M in OT and \$437K in PT. Payroll will continue to be monitored throughout the fiscal year.

² Health is projected to be favorable due to health claims.

³ Mitigation of \$1.19M reflects non-Public Safety budgeted attrition and vacancy savings. The Police and Fire department respectively include \$4.07M and \$356K in budgeted attrition and vacancy savings. In total, \$5.62M is budgeted for attrition city-wide.

⁴ The FY2023 Adopted Budget includes centralized salary increases. Contractual increases for 1716 will be realized in full-time payroll.

⁵ Utilities are projected to be net unfavorable due to piped gas and fuel, offset by favorability in water.

⁶ Contracted Services are unfavorable due to Public Safety Complex facility costs.

⁷ Leases is favorable due to lower office space rent.

⁸ Legal is unfavorable due to the trend in legal service costs.

⁹ Other expenses are favorable due to the trend in court fees.

¹⁰ Tech, Prof and Comm based services are unfavorable due to software and support expenditures.

¹¹ Debt projection includes \$1.70M for the Homeowner's Initiative and \$2.10M for the purchase of 81 Bartholomew Ave.

FY2023 Full-time Payroll Projection (through February)

DEPARTMENTS	BUDGETED HC	BUDGETED ANNUAL AMOUNT (REV)	YTD THRU CHECK ISSUE 2/4 (30.2 WEEKS)	PROJECTION (22 WEEKS)	YTD THRU 2/4 PLUS PROJECTION (22 WEEKS)	REMAINING ESTIMATED STEPS	PROJECTION	VARIANCE (BUDGETED ANNUAL AMOUNT - PROJECTION)
111-Mayor	8	755,659	378,446	329,150	707,597	0	707,597	48,062
112-CCC	9	450,000	201,761	180,582	382,342	0	382,342	67,658
113- Treas	9	408,140	225,562	167,255	392,817	2,612	395,429	12,711
114- ROV	7	371,827	191,686	166,756	358,442	616	359,058	12,769
116-Corp Counsel	15	1,443,618	670,143	609,309	1,279,452	0	1,279,452	164,166
117- Clerk	11	695,533	363,378	298,293	661,672	4,271	665,942	29,591
118-Audit	5	498,546	289,639	214,012	503,651	0	503,651	(5,105)
119-COO	17	1,327,481	648,647	567,004	1,215,650	0	1,215,650	111,831
123- FIN	46	3,590,121	1,567,206	1,462,899	3,030,105	17,604	3,047,709	542,412
125- HR	16	1,165,050	545,362	494,570	1,039,931	963	1,040,895	124,155
128-OMBG	13	1,180,814	446,628	485,736	932,364	1,145	933,509	247,305
132-FCYR	15	1,076,145	495,944	445,262	941,206	7,650	948,856	127,289
211- Fire	370	30,983,992	16,824,534	12,310,555	29,135,089	150,654	29,285,743	1,698,249
212- Police	515	42,228,652	20,462,918	15,460,339	35,923,258	247,490	36,170,748	6,057,904
213- EST	52	3,237,925	1,543,891	1,510,074	3,053,964	16,572	3,070,537	167,388
311- DPW	217	11,461,940	6,134,661	5,115,704	11,250,365	52,068	11,302,432	159,508
420- Devel Serv	63	4,758,947	2,208,291	1,991,832	4,200,123	33,734	4,233,857	525,090
520- HHS	37	2,606,702	922,537	1,074,592	1,997,130	20,774	2,017,904	588,798
Grand Total	1,425	108,241,092	54,121,233	42,883,924	97,005,158	556,153	97,561,310	10,679,782

FT- Fire Attrition	(355,941)
FT- Police Attrition	(4,071,069)
FT- Net other payroll	110,630
FT- Total Revised Budget	<u>103,924,712</u>


FT- Fire Attrition	(355,941)
FT- Police Attrition	(4,071,069)
FT- Net other payroll	(295,726)
FT- Subtotal Variance	<u>5,957,046</u>
Non-Sworn Attrition (in Bene	(1,190,000)
Total Variance	<u>4,767,046</u>

Assumptions

- 1) Analysis is based on year-to-date actuals from check date 2/4/23, which includes 30.2 pay periods, and projects filled positions for 22 future weeks.
- 2) Non-sworn vacancies are projected for 18 future weeks.
- 3) Adopted head count is 1443 with 18 MHIS positions funded in the MHIS internal service fund.
- 4) Internal Audit is projected unfavorable due to city-wide increases for non-union employees. Budget funding for this increase will be transferred, as needed, at year end.



MEMO

From: Phillip J. Penn 
To: Dr. Leslie Torres-Rodriguez
Date: April 4, 2023
Re: February Financial Results

Attached please find our year to date financial results through Period 8, the month ended February 28, 2023.

Expenditures across all funding sources increased to \$205.1 million, up \$36.9 million from the end of January. Encumbrances at the end of February were \$83.3 million, up from \$71.3 million at the end of the prior month. The sharp rise in expenditures was a function of \$6.5 million of tuition payments and a \$2.0 million retention bonus that was paid to eligible staff during the month, while encumbrances for tuition increased by just over \$14.0 million in February.

Our view of the general fund deficit narrowed slightly in the past month, to a range of \$2.5 million to \$3.5 million, mostly as a result of continued vacancies. April 1 started our year-end closeout activities, which includes the processing of roughly 1,300 (and counting) requisitions that we have received from schools and departments. Once the majority of those requisitions have been handled, we will be able to develop a more accurate reading of the budget funds that are still available in our non-personnel accounts. That will, in turn, lead to a more refined estimate of the remaining deficit. I still remain guardedly optimistic we will have the entire deficit mitigated by the end of the fiscal year.

Let me know if you have any questions on the information above or the attached Period 8 financial reports.



All Funds Budget
 Financial Position Report as of 3/13/2023
 For: 7/1/2022 to 2/28/2023 Period: 1 to 8



Description	Series	FY 2022-23 Adopted Budget	FY 2022-23 Adjusted Budget	Year To Date Expenditures	Year To Date Encumb/ Committed	Balance
Certified Salaries	100	148,556,779	150,268,386	78,294,240	-	71,974,146
Severance/Other	199	1,215,000	1,215,000	2,375,763	-	(1,160,763)
Certified Salaries Total		149,771,779	151,483,386	80,670,003	-	70,813,383
Non Cert Salaries	200	60,375,649	64,648,096	35,558,266	-	29,089,829
Severance/Other	299	383,498	383,498	1,156,424	-	(772,926)
Non Certified Salaries Total		60,759,147	65,031,594	36,714,691	-	28,316,903
Instructional Improvements	322	3,323,268	11,956,735	3,304,348	4,095,310	4,557,077
Professional Services	333	3,131,676	12,798,441	3,692,712	2,530,004	6,489,257
MHIS/IT Services	335	3,075,236	3,035,236	1,793,888	-	1,241,348
Professional Contracts & Svs		9,530,180	27,790,412	8,790,947	6,625,314	12,287,682
Maint Supplies & Services	442	384,500	385,429	200,445	184,955	28
Maintenance Contracts	443	3,237,089	3,495,533	2,243,721	1,223,054	48,992
Rental - Equip & Facilities	444	1,819,386	1,994,643	1,388,517	121,456	484,669
Building Improvements	445	641,500	3,548,591	563,954	418,237	2,566,401
Purchased Property Services		6,082,476	9,424,195	4,396,637	1,947,702	3,100,090
Transportation	551	21,657,931	23,640,173	14,252,923	6,761,629	2,625,622
Communications	553	2,380,739	5,410,892	3,249,956	162,351	1,998,585
Advertising	554	309,106	305,551	205,004	27,842	72,704
Printing & Binding	555	76,750	70,045	1,226	-	68,819
Tuition	556	100,998,020	99,685,170	14,054,671	61,719,625	23,910,874
Travel & Conferences	558	170,309	232,336	75,103	-	157,233
Misc Services	559	875,028	1,140,982	329,694	64,325	746,963
Systemwide Purchased Svs Total		126,467,884	130,485,150	32,168,577	68,735,772	29,580,801
Instructional & Other Supplies	610	5,870,232	14,251,635	2,569,008	1,293,230	10,389,396
Utilities	620	9,395,846	9,409,148	5,984,135	3,258,119	166,894
Text & Library Books	640	118,179	123,056	16,143	11,810	95,103
Misc Supplies	690	1,008,675	3,832,415	555,457	500,026	2,776,932
Supplies & Materials Total		16,392,931	27,616,253	9,124,743	5,063,184	13,428,326
Equipment	730	1,577,637	6,771,240	1,142,815	686,992	4,991,913
Outlay Total		1,577,637	6,771,240	1,142,815	686,992	4,991,913
Organization Dues	810	221,637	248,826	139,932	13,453	95,441
Legal Judgments	820	220,000	220,000	40,100	-	179,900
Other Operating Expenses	899	(4,950,422)	(4,466,031)	281,925	168,974	(4,916,931)
Other Misc Expend Total		(4,508,785)	(3,997,205)	461,957	182,427	(4,641,590)
Fringe Benefits/Insurances	990	56,440,639	57,073,450	31,669,774	91,591	25,312,085
Contingency	998	-	-	-	-	-
Indirect	999	25,350	33,625	-	-	33,625
Sundry Total		56,465,989	57,107,075	31,669,774	91,591	25,345,710
All Funds Budget Total		422,539,238	471,712,099	205,140,145	83,332,982	183,238,972



General Fund Budget
 Financial Position Report as of 3/13/2023
 For: 7/1/2022 to 2/28/2023 Period: 1 to 8



Description	Series	FY 2022-23 Adopted Budget	FY 2022-23 Adjusted Budget	Year To Date Expenditures	Year To Date Encumb/ Committed	Balance
Certified Salaries	100	82,586,376	80,623,769	43,466,496	-	37,157,272
Severance/Other	199	1,215,000	1,215,000	139,506	-	1,075,494
Certified Salaries Total		83,801,376	81,838,769	43,606,002	-	38,232,766
Non Cert Salaries	200	37,814,549	37,658,586	20,958,151	-	16,700,435
Severance/Other	299	383,498	383,498	260,731	-	122,767
Non Certified Salaries Total		38,198,047	38,042,084	21,218,882	-	16,823,202
Instructional Improvements	322	285,649	3,307,170	1,032,049	1,876,631	398,490
Professional Services	333	1,245,178	1,389,371	752,092	272,789	364,489
MHIS/IT Services	335	2,278,990	2,278,990	1,329,411	-	949,579
Professional Contracts & Svs		3,809,817	6,975,531	3,113,552	2,149,420	1,712,558
Maint Supplies & Services	442	382,000	382,000	197,431	184,569	-
Maintenance Contracts	443	3,124,853	3,349,079	2,174,011	1,147,148	48,154
Rental - Equip & Facilities	444	1,520,933	1,540,989	1,019,568	97,379	424,043
Building Improvements	445	612,900	663,617	202,437	91,100	370,080
Purchased Property Services		5,640,686	5,935,685	3,593,446	1,520,195	842,277
Transportation	551	21,054,178	21,036,084	12,677,111	6,750,164	1,608,810
Communications	553	485,955	480,620	433,586	10,686	36,348
Advertising	554	71,606	54,637	13,100	21,241	20,295
Printing & Binding	555	51,250	32,522	-	-	32,522
Tuition	556	92,715,120	91,784,720	11,010,002	57,378,484	23,396,234
Travel & Conferences	558	112,509	176,751	63,475	-	113,276
Misc Services	559	471,402	469,969	246,207	54,421	169,341
Systemwide Purchased Svs Total		114,962,020	114,035,303	24,443,481	64,214,995	25,376,827
Instructional & Other Supplies	610	1,840,449	1,767,769	1,002,504	394,028	371,236
Utilities	620	7,515,516	7,518,316	4,845,048	2,613,549	59,719
Text & Library Books	640	22,600	11,192	3,081	59	8,051
Misc Supplies	690	448,895	499,566	148,716	107,762	243,088
Supplies & Materials Total		9,827,460	9,796,842	5,999,350	3,115,398	682,094
Equipment	730	567,216	492,267	180,491	110,173	252,083
Outlay Total		567,216	492,267	180,491	110,173	252,083
Organization Dues	810	146,637	139,194	84,456	3,953	50,785
Legal Judgments	820	220,000	220,000	40,100	-	179,900
Other Operating Expenses	899	(5,342,206)	(5,080,415)	142,413	105,343	(5,328,170)
Other Misc Expend Total		(4,975,569)	(4,721,221)	266,969	109,296	(5,097,485)
Fringe Benefits/Insurances	990	33,637,633	33,073,426	21,933,339	91,591	11,048,496
Contingency	998	-	-	-	-	-
Indirect	999	(1,455,407)	(1,455,407)	-	-	(1,455,407)
Sundry Total		32,182,226	31,618,019	21,933,339	91,591	9,593,089
General Fund Budget Total		284,013,279	284,013,279	124,355,512	71,311,069	88,346,698



Special Fund Budget
 Financial Position Report as of 3/13/2023
 For: 7/1/2022 to 2/28/2023 Period: 1 to 8



<u>Grant Name</u>	<u>Grant Year</u>	<u>FY 2022-23 Adopted Budget</u>	<u>FY 2022-23 Adjusted Budget</u>	<u>Year To Date Expenditures</u>	<u>Year To Date Encumb/Committed</u>	<u>Year To Date Revenue</u>	<u>Balance</u>
Federal Grants							
23045 - ADULT EDUCATION PIP	2023	40,000	40,000	-	-	0.00	40,000
21015 - AMERICAN RESCUE PLAN ARP-ESSER	2021	-	32,396,674	17,048,297	3,882,691	8,217,300.00	11,465,686
23012 - ARP ESSER SPPT	2023	198,987	400,000	27,144	-	0.00	372,856
21038 - ARP HCY II Homeless Children&Youth	2021	-	385,096	32,191	-	0.00	352,905
22139 - ARP IDEA PART B 611	2022	-	1,082,595	499,771	103,344	303,531.72	479,479
22149 - ARP IDEA PART B 619 PRESCHOOL	2022	-	127,576	56,376	-	4,000.00	71,200
22039 - ARP/ESSER HCYI Homeless Child&Youth	2022	-	64,800	-	-	0.00	64,800
22025 - CARL D. PERKINS	2022	-	-	(564)	-	0.00	564
23025 - CARL D. PERKINS	2023	591,485	591,485	87,869	-	0.00	503,616
22683 - CT CHILDREN MEDICAL CENTER-	2022	-	-	109,922	-	0.00	(109,922)
22030 - EDUC-HOMELESS CHILDREN-YOUTH	2022	-	64,800	35,092	-	0.00	29,708
20013 - ESSER (COVID-19) CARES ACT	2020	-	-	12,970	-	0.00	(12,970)
22033 - ESSER II - FAMILY RESOURCE CENTER	2022	-	125,000	-	-	0.00	125,000
21014 - ESSER II SET-ASIDE (CRRSA ACT)	2021	-	612,500	4,704	5,259	0.00	602,537
21013 - ESSER II (CRRSA ACT) Covid-19	2021	-	12,915,713	4,067,126	864,579	4,732,000.00	7,984,008
22031 - ESSER II Bonus Dyslexia Recovery	2022	-	37,050	36,786	-	0.00	264
22029 - ESSER II Bonus Spec Population \$25K	2022	-	25,000	24,770	-	0.00	230
22026 - ESSER II SPED Recovery Activities	2022	-	105,605	18,690	15,559	8,900.00	71,356
23027 - FAFSA CHALLENGE	2023	-	20,000	1,166	2,009	0.00	16,825
21140 - IDEA PART B SECTION 611	2021	-	-	2,254	-	0.00	(2,254)
22140 - IDEA PART B SECTION 611	2022	982,361	1,060,437	972,924	371	0.00	87,142
23140 - IDEA PART B SECTION 611	2023	6,549,072	6,549,072	2,083,487	1,068,286	2,589,370.80	3,397,299
22150 - IDEA PART B, SECTION 619 PRE-	2022	30,617	30,617	-	-	0.00	30,617
23150 - IDEA PART B, SECTION 619 PRE-	2023	204,114	204,114	85,561	689	43,136.34	117,864
22051 - OD ESSA SIG C02-BURNS	2022	-	92,771	42,127	1,625	39,500.00	49,019
23051 - OD ESSA SIG C02-BURNS	2023	405,300	500,000	47,041	3,582	0.00	449,377
22054 - OD ESSA SIG C02-MILNER	2022	-	193,041	88,885	4,421	67,486.68	99,736
23054 - OD ESSA SIG C02-MILNER	2023	425,324	500,000	78,513	-	0.00	421,487
22053 - OD ESSA SIG C02-MLKING	2022	-	192,877	25,813	-	0.00	167,064
23053 - OD ESSA SIG C02-MLKING	2023	425,000	385,624	54,314	-	0.00	331,310
22055 - OD ESSA SIG C02-SAND	2022	-	80,462	75,473	4,123	65,000.00	866
23055 - OD ESSA SIG C02-SAND	2023	390,000	375,000	71,608	82,556	0.00	220,836
22056 - OD ESSA SIG C02-SMSA	2022	-	202,777	124,426	-	49,000.00	78,352
23056 - OD ESSA SIG C02-SMSA	2023	500,000	375,000	123,721	20,089	0.00	231,190
22057 - OD ESSA SIG C02-WISH	2022	-	57,379	19,167	32,163	40,000.00	6,049
23057 - OD ESSA SIG C02-WISH	2023	390,000	500,000	61,956	25,370	0.00	412,674
22052 - OD ESSA SIG CO2-WHS	2022	-	292,982	102,333	24,816	81,000.00	165,833
23052 - OD ESSA SIG CO2-WHS	2023	500,000	400,000	95,946	15,469	0.00	288,585
22159 - SPECIAL EDUCATION STIPEND	2022	-	10,000	6,000	-	10,000.00	4,000
22169 - SPECIAL EDUCATION STIPEND PARAS	2022	-	5,000	5,000	-	5,000.00	-
21019 - TITLE 1 PART A 1003 SIG (BULKELEY)	2021	-	-	94	-	0.00	(94)
21017 - TITLE 1 PART A 1003 SIG (PARKVILLE)	2021	-	-	7,101	-	0.00	(7,101)
23011 - TITLE 1, PART D, NEG & DEL	2023	68,673	42,937	-	-	0.00	42,937
22110 - TITLE 2 PART A, TEACHERS	2022	170,400	288,490	149,060	94	0.00	139,336
23110 - TITLE 2 PART A, TEACHERS	2023	1,136,003	1,136,003	608,900	360	0.00	526,743
22115 - TITLE 3 PART A, ENGLISH LANGUAGE	2022	81,485	425,113	207,860	26,227	48,347.43	191,025
23115 - TITLE 3 PART A, ENGLISH LANGUAGE	2023	543,235	543,235	137,027	3,057	0.00	403,150
21010 - TITLE I IMPROVING BASIC PROGRAMS	2021	-	-	(27)	-	0.00	27
22010 - TITLE I IMPROVING BASIC PROGRAMS	2022	2,593,222	3,595,503	1,565,142	66,839	540,000.00	1,963,522
23010 - TITLE I IMPROVING BASIC PROGRAMS	2023	12,397,228	12,669,101	4,575,700	234,096	0.00	7,859,304
22127 - TITLE IV-A SOC SUPPT & ACAD ENRICH	2022	141,915	155,201	83,551	4,438	125,000.00	67,212
23127 - TITLE IV-A SOC SUPPT & ACAD ENRICH	2023	946,097	934,571	249,225	-	0.00	685,346
Total Federal Grants:		29,710,517	80,791,202	33,812,493	6,492,112	16,968,572.97	40,486,597



Special Fund Budget
 Financial Position Report as of 3/13/2023
 For: 7/1/2022 to 2/28/2023 Period: 1 to 8



<u>Grant Name</u>	<u>Grant Year</u>	<u>FY 2022-23 Adopted Budget</u>	<u>FY 2022-23 Adjusted Budget</u>	<u>Year To Date Expenditures</u>	<u>Year To Date Encumb/Committed</u>	<u>Year To Date Revenue</u>	<u>Balance</u>
State Grants:							
22304 - ADULT EDUCATION - PROVIDER (STATE)	2022	-	-	24,913	1,000	0.00	(25,913)
23304 - ADULT EDUCATION - PROVIDER (STATE)	2023	1,729,660	1,810,566	825,234	25,022	1,207,044.00	960,310
23316 - ADULT EDUCATION CEE1-LITERACY	2023	110,228	112,211	74,807	37,404	0.00	-
23308 - ADULT EDUCATION CEE2 - URBAN	2023	6,076	6,461	-	6,461	79,115.00	-
22306 - ALLIANCE - GOVENORS TURNAROUND	2022	-	-	29,034	-	0.00	(29,034)
23306 - ALLIANCE - GOVENORS TURNAROUND	2023	27,740,159	29,575,756	12,119,797	1,206,261	7,900,246.70	16,249,698
22878 - CHILD HEALTH & DEVELOP INSTITUTE	2022	-	-	-	-	4,344.61	-
23229 - COMMISSIONER'S NETWORK S1 HPHS	2023	-	830,358	148,802	206,565	0.00	474,992
23228 - COMMISSIONER'S NETWORK S2-	2023	-	603,000	79,353	111,515	177,200.00	412,132
23490 - CT DEPT OF PUBLIC HEALTH	2023	875,000	875,000	464,440	-	0.00	410,560
22507 - DEPT OF AG - CT GROWN 4 CT KIDS	2022	-	18,971	2,303	6,835	0.00	9,833
23224 - EXTENDED SCHOOL HOUR	2023	325,000	325,000	-	-	0.00	325,000
23200 - FAMILY RESOURCE CENTER PROGRAM	2023	508,250	508,250	-	-	0.00	508,250
23327 - MAGNET DEVELOPMENT & PLANNING	2023	-	75,000	37,324	547	0.00	37,129
23520 - MAGNET SCHOOL JOINT MAGNET	2023	850,000	850,000	491,794	-	292,518.37	358,206
22323 - MAGNET SCHOOL OPERATING GRANT	2022	-	-	431,164	570	0.00	(431,734)
23323 - MAGNET SCHOOL OPERATING GRANT	2023	56,546,141	48,675,583	23,869,864	857,890	36,049,031.00	23,947,829
22320 - OPEN CHOICE SLOTS (RECEIVING DIST)	2022	-	-	-	-	57,141.00	-
23320 - OPEN CHOICE SLOTS (RECEIVING DIST)	2023	150,000	150,000	70,529	-	0.00	79,471
22218 - PRIORITY SCHOOL DISTRICTS	2022	-	-	15,327	-	0.00	(15,327)
23218 - PRIORITY SCHOOL DISTRICTS	2023	4,399,999	4,415,953	2,071,300	5,271	1,126,007.50	2,339,382
22618 - PSD FamilyFee Replacement SchReadi	2022	-	-	573	-	0.00	(573)
23227 - PSD-SUMMER SCHOOL	2023	375,000	375,000	386,693	-	194,929.50	(11,693)
23492 - SBHC-MATERNAL HEALTH & CHILD	2023	125,000	125,000	55,808	-	0.00	69,192
22615 - SCHOOL READINESS GRANT	2022	-	-	3,683	-	0.00	(3,683)
23615 - SCHOOL READINESS GRANT	2023	2,190,000	2,190,000	975,920	-	355,200.00	1,214,080
22428 - SCHOOL SECURITY GRANT	2022	-	123,360	-	-	0.00	123,360
23283 - SHEFF SETTLEMENT-OC	2023	-	134,225	24,376	-	0.00	109,849
23215 - STATE BILINGUAL EDUCATION	2023	216,080	393,539	134,790	-	0.00	258,749
22531 - STATE EDUCATION RESOURCE CENTER	2022	-	25,000	17,500	-	25,000.00	7,500
23498 - SUPPT FOR PREGNANT/PARENTING	2023	(400,000)	-	5,242	-	0.00	(5,242)
Total State Grants:		95,746,593	92,198,233	42,360,569	2,465,342	47,467,777.68	47,372,322




Special Fund Budget
 Financial Position Report as of 3/13/2023
 For: 7/1/2022 to 2/28/2023 Period: 1 to 8



<u>Grant Name</u>	Grant Year	FY 2022-23 Adopted Budget	FY 2022-23 Adjusted Budget	Year To Date Expenditures	Year To Date Encumb/Committed	Year To Date Revenue	Balance
Private/Foundation Grants/Fee Collections:							
-	20	-	-	-	-	13,410.50	-
22706 - BARR FOUNDATION G-II	2022	-	203,288	112,658	-	0.00	90,631
23706 - BARR FOUNDATION G-II	2023	50,000	50,000	16,785	-	100,000.00	33,215
22570 - CT COALITION AGAINST DOMESTIC	2022	-	-	-	-	1,000.00	-
22702 - DALIO FOUNDATION	2022	-	23,121	13,605	773	0.00	8,743
23702 - DALIO FOUNDATION	2023	-	31,000	200	449	31,000.00	30,352
22982 - FEE COLLECTION - PRE-K	2022	-	-	-	-	-44.00	-
23982 - FEE COLLECTION - PRE-K	2023	12,000	24,500	8,547	2,124	77,286.25	13,828
99950 - Grants Accounting Sundry	2099	-	-	(2,231,018)	-	0.00	2,231,018
22795 - HARTFORD FOUNDATION PUBLIC	2022	-	31,685	701	-	0.00	30,984
23795 - HARTFORD FOUNDATION PUBLIC	2023	-	13,500	5,885	246	13,500.00	7,369
22585 - INSURANCE BILLING - MEDICAID(SBCH)	2022	-	-	(1,004)	-	0.00	1,004
23585 - INSURANCE BILLING - MEDICAID(SBCH)	2023	2,904,628	2,904,628	1,448,350	-	277,057.25	1,456,278
22590 - INSURANCE BILLING - MEDICAL REIMB	2022	-	-	3,980	-	0.00	(3,980)
23590 - INSURANCE BILLING - MEDICAL REIMB	2023	2,281,826	2,281,826	1,012,398	46,501	318,468.79	1,222,927
22803 - JEAN FRANK SCHOLARSHIP FUND	2022	-	43,000	-	-	0.00	43,000
22662 - L.E.A.P.	2022	-	972,689	385,968	49,900	0.00	536,821
22810 - LAURA BUSH FOUNDATION / LIBRARIES	2022	-	5,000	5,000	-	5,000.00	-
22951 - MULTISOURCE - FOR SCHOOL	2022	-	2,000	1,525	-	0.00	475
23951 - MULTISOURCE - FOR SCHOOL	2023	-	2,690	-	771	4,690.00	1,919
21745 - NASSAU (formerly PHOENIX LIFE)	2021	-	-	9	-	0.00	(9)
22969 - PARTNERSHIP FUNDS (VARIOUS)	2022	-	-	132	-	0.00	(132)
23969 - PARTNERSHIP FUNDS (VARIOUS)	2023	-	-	-	-	6,000.00	-
22728 - PRATT & WHITNEY	2022	-	5,000	-	630	20,000.00	4,370
22752 - QUALVOICE LLC	2022	-	12,500	-	-	0.00	12,500
23555 - SPECIAL EDUCATION EXCESS COST	2023	5,329,382	5,329,382	2,291,216	2,919,199	0.00	118,967
23884 - STOCKTON FARMER/MEGAN BRADLEY	2023	1,013	1,013	-	-	1,013.00	1,013
23531 - THE STATE EDUCATION RESOURCE	2023	-	-	-	-	2,500.00	-
19743 - TRAVELERS	2019	-	-	-	-	-229,789.96	-
20743 - TRAVELERS	2020	-	-	-	-	-175,034.01	-
22743 - TRAVELERS	2022	-	290,893	20,637	32,759	404,823.97	237,497
22987 - TUITION BILLING - SPECIAL EDUCATION	2022	1,490,000	2,536	123,497	-	-63,587.82	(120,961)
23987 - TUITION BILLING - SPECIAL EDUCATION	2023	1,000,000	2,466,002	1,390,099	11,077	614,539.02	1,064,827
22966 - WISE ISIDORE & SELMA TRAVEL	2022	-	12,132	1,492	-	0.00	10,640
23707 - YOUTH COMMISSION MINI GRANT	2023	-	1,000	910	31	1,000.00	59
Total Private/Foundation Grants/Fee		13,068,849	14,709,385	4,611,571	3,064,459	1,422,832.99	7,033,355
Total All Grants:		138,525,959	187,698,820	80,784,633	12,021,913	65,859,183.64	94,892,274



MEMO

From: Phillip J. Penn 
To: Dr. Leslie Torres-Rodriguez
Date: April 4, 2023
Re: COVID-19 Relief Grant Spending

Hartford Public Schools expended or encumbered approximately \$3.9 million of our Federal COVID relief grants in the month of February, bringing our total expenditures since the inception of the grants to \$65.3 million. The table that follows summarizes that spending by grant:

Grant	Spending Deadline	Total Grant Award	Total Spent or Encumbered	Change From 1/31/2023	Amount Remaining
ESSER I/CARES Act	9/30/2022	\$10,314,679	\$10,302,296	\$0	\$0
ESSER II	9/30/2023	\$45,730,706	\$26,841,853	\$448,892	\$18,888,853
ARP/ESSER III	9/30/2024	<u>\$98,589,663</u>	<u>\$28,138,311</u>	<u>\$3,405,897</u>	<u>\$70,451,352</u>
Total		\$154,635,048	\$65,282,461	\$3,854,788	\$89,340,205

Spending in February was roughly equivalent to that of January, largely as a result of the retention bonus that was paid to staff during the month. Spending also appears to have remained strong during March as the deadline for submitting requisitions for the 2022-23 year approached.

By April 14, Cabinet members are expected to go through the remaining non-staff planned ESSER expenditures to see if items should be modified or cancelled. That exercise will then inform a discussion around potential reallocation of funds for the 2023-24 fiscal year.

A breakdown of the expenditures in each grant by object code follows on the next three pages.

ESSER I/CARES ACT

Expenditures through 9/30/22

OBJECT	ACCOUNT DESCRIPTION	2020-21	2021-22	2022-23	ENCUMBRANCES	TOTAL
		EXPENDED	EXPENDED	EXPENDED		
511360	TEACHER-REG	45,828.44	0.00	0.00	0.00	45,828.44
511361	TEACHER-PT	0.00	143,706.39	0.00	0.00	143,706.39
511400	SOC WKR-REG	0.00	855,653.87	0.00	0.00	855,653.87
511401	SOC WKR-PT	0.00	396.00	0.00	0.00	396.00
512280	SUPPORTIVE STAFF-REG	200,940.33	288,156.61	0.00	0.00	489,096.94
512281	SUPPORTIVE STAFF-PT	0.00	1,326.92	0.00	0.00	1,326.92
512282	SUPPORTIVE STAFF-OT	0.00	3,256.25	0.00	0.00	3,256.25
512461	NURSE-PT	0.00	10,082.71	0.00	0.00	10,082.71
512562	SPEC POLICE OFF-OT	0.00	7,365.74	0.00	0.00	7,365.74
512591	FOOD SERVICE WORKER PT	53,823.43	55,747.30	0.00	0.00	109,570.73
512680	CUSTODIAN-REG	232,682.74	373,408.59	0.00	0.00	606,091.33
512682	CUSTODIAN-OT	37,052.09	55,038.59	12,048.75	0.00	104,139.43
529997	FRINGE BENEFITS-CERT	11,773.33	183,488.11	0.00	0.00	195,261.44
529998	FRINGE BENEFITS-NON-CER	206,584.97	287,025.56	921.73	0.00	494,532.26
533220	INSTR PROG IMPROVE SVS	310,750.00	13,000.00	0.00	0.00	323,750.00
533305	OTHER PROF TECH SVS	288,142.47	357,029.37	0.00	0.00	645,171.84
544300	MAINT & CUSTODIAL SERV	0.00	28,612.00	0.00	0.00	28,612.00
555301	POSTAGE	0.00	20,000.00	0.00	0.00	20,000.00
555303	INTERNET COMMUNICATIONS	382,838.93	429,660.59	0.00	0.00	812,499.52
555900	MISC PURCHASED SVS	10,683.00	0.00	0.00	0.00	10,683.00
566110	INSTRUCTIONAL SUPPLIES	306,590.08	147,000.83	0.00	0.00	453,590.91
566504	TECHNOLOGY RELATED SUPP	46,310.40	28,227.30	0.00	0.00	74,537.70
566909	SUPPLIES AND MATERIALS	575,561.09	166,037.29	0.00	0.00	741,598.38
577340	EQUIPMENT	91,749.00	73,353.80	0.00	0.00	165,102.80
577348	COMPUTER/TECH RELATED H	3,871,861.93	4,810.11	0.00	0.00	3,876,672.04
599999	INDIRECT - OVERHEAD	47,424.11	36,345.36	0.00	0.00	83,769.47
	Expense Total	6,720,596.34	3,568,729.29	12,970.48	0.00	10,302,296.11

ESSER II

Expenditures through 2/23/23

OBJECT	ACCOUNT DESCRIPTION	2021-22	2022-23	ENCUMBRANCES	TOTAL
		EXPENDED	EXPENDED		
511020	ADMINISTRATOR-REG	168,269.23	0.00	0.00	168,269.23
511021	ADMINISTRATOR-PT	0.00	0.00	0.00	0.00
511040	DIRECTOR/ASST-REG	0.00	0.00	0.00	0.00
511240	PRIN/VP-REG	111,660.27	143,481.02	0.00	255,141.29
511241	PRIN/VP - PT	26,134.66	8,383.73	0.00	34,518.39
511360	TEACHER-REG	4,513,501.51	130,077.21	0.00	4,643,578.72
511361	TEACHER-PT	314,768.42	182,339.68	0.00	497,108.10
511363	TEACHER-SUBS	0.00	38,947.12	0.00	38,947.12
511365	TCHR-STIPENDS & ATHLETI	525,553.66	34,201.18	0.00	559,754.84
511400	SOC WKR-REG	282,118.16	271.55	0.00	282,389.71
511997	BONUS CERTIFIED	545,400.00	0.00	0.00	545,400.00
512040	DIRECTOR/ASST-REG	76,846.15	52,899.08	0.00	129,745.23
512280	SUPPORTIVE STAFF-REG	339,535.89	115,947.89	0.00	455,483.78
512281	SUPPORTIVE STAFF-PT	43,065.23	40,063.44	0.00	83,128.67
512282	SUPPORTIVE STAFF - OT	956.19	5,352.94	0.00	6,309.13
512322	CLERICAL - OT	0.00	959.41	0.00	959.41
512461	NURSE-PT	6,600.00	5,697.15	0.00	12,297.15
512462	NURSE - OT	8,052.24	0.00	0.00	8,052.24
512540	PARAPROFESSIONAL-REG	13,324.65	8,315.42	0.00	21,640.07
512541	PARAPROFESSIONAL-PT	48,269.50	4,923.71	0.00	53,193.21
512560	SPEC POLICE OFF-REG	12,652.22	0.00	0.00	12,652.22
512561	SPEC POLICE OFF-PT	17,238.00	0.00	0.00	17,238.00
512562	SPEC POLICE OFF-OT	41,841.04	13,779.29	0.00	55,620.33
512681	CUSTODIAL PART TIME	3,000.00	0.00	0.00	3,000.00
512682	CUSTODIAN-OT	19,278.46	3,565.50	0.00	22,843.96
512997	BONUS NON-CERTIFIED	250.00	141,572.00	0.00	141,822.00
529997	FRINGE BENEFITS-CERT	1,204,204.80	59,580.52	0.00	1,263,785.32
529998	FRINGE BENEFITS-NON-CER	199,551.34	87,348.17	0.00	286,899.51
533210	INSTR CONTRACT SVS	5,516,890.00	23,185.00	80,081.00	5,620,156.00
533220	INSTR PROG IMPROVE SVS	1,363,400.00	21,459.50	54,671.38	1,439,530.88
533230	PUPIL SVS: NON-PYRL SVS	1,516,110.00	0.00	0.00	1,516,110.00
533240	FIELD TRIPS	0.00	5,284.20	24,771.40	30,055.60
533303	STAFF DEVELOPMENT	0.00	5,439.00	140.00	5,579.00
533305	OTHER PROF TECH SVS	1,321,219.87	672,958.45	41,760.75	2,035,939.07
544300	MAINT & CUSTODIAL SERVICES	33,775.38	0.00	0.00	33,775.38
544410	RENTAL OF FACILITIES	48,000.00	510.00	0.00	48,510.00
544500	BUILDINGS & GROUNDS IMPROVEMENTS	0.00	0.00	22,355.00	22,355.00
555100	STUDENT TRANSP	993,731.30	1,551,825.14	3,731.75	2,549,288.19
555303	INTERNET COMMUNICATIONS	305,977.24	243,391.86	2,050.00	551,419.10
555400	ADVERTISEMENT	10,000.00	0.00	0.00	10,000.00
555900	MISC PURCHASED SVS	34,299.60	0.00	0.00	34,299.60
566110	INSTRUCTIONAL SUPPLIES	254,932.48	135,999.12	168,982.66	559,914.26
566113	TRAINING SUPPLIES	1,699.50	1,814.90	2,114.91	5,629.31
566130	MAINT & CUSTODIAL SUPPL	331,003.12	0.00	0.00	331,003.12
566504	TECHNOLOGY SUPPLIES	0.00	6,076.93	7,318.40	13,395.33
566903	ATHLETIC SUPPLIES	0.00	0.00	1,024.50	1,024.50
566904	AWARDS & INCENTIVES	48,067.12	103,277.98	104,616.04	255,961.14
566905	STUDENT UNIFORMS	0.00	408.40	7,825.64	8,234.04
566909	SUPPLIES AND MATERIALS	708,756.82	43,598.81	91,178.84	843,534.47
577340	EQUIPMENT	0.00	144,607.16	137,297.79	281,904.95
577341	FURNITURE/FIXTURES	0.00	6,477.83	98,073.58	104,551.41
577438	COMPUTER/TECH RELATED HARDWARE	13,848.00	360.98	6,997.98	21,206.96
588300	RELOCATION EXPENSE	13,838.99	26,379.30	0.00	40,218.29
588906	INCENTIVES FOR STAFF	16,932.10	60,099.19	14,846.35	91,877.64
599999	INDIRECT - OVERHEAD	786,602.20	0.00	0.00	786,602.20
	Expense Total	21,841,155.34	4,130,859.76	869,837.97	26,841,853.07

ARP/ESSER III

Expenditures through 2/28/23

OBJECT	ACCOUNT DESCRIPTION	2021-22	2022-23	ENCUMBRANCES	TOTAL
		EXPENDED	EXPENDED		
511020	ADMINISTRATOR-REG	187,500.08	241,269.10	0.00	428,769.18
511021	ADMINISTRATOR-PT	0.00	41,800.00	0.00	41,800.00
511040	DIRECTOR/ASST-REG	321,492.39	293,773.13	0.00	615,265.52
511240	PRIN/VP-REG	127,578.09	326,816.80	0.00	454,394.89
511241	PRIN/VP-PT	0.00	24,223.15	0.00	24,223.15
511360	TEACHER-REG	0.00	3,094,618.86	0.00	3,094,618.86
511361	TEACHER-PT	49,087.50	86,891.90	0.00	135,979.40
511363	TEACHER-SUBS	11,750.00	15,000.00	0.00	26,750.00
511365	TEACHER STIPENDS/ATHLETIC	0.00	193,950.00	0.00	193,950.00
511380	GUIDANCE COUNSELOR	0.00	23,706.37	0.00	23,706.37
511400	SOCIAL WKR-REG	0.00	705,170.32	0.00	705,170.32
511401	SOCIAL WKR-PT	0.00	1,040.00	0.00	1,040.00
511997	BONUS CERTIFIED	0.00	2,236,257.00	0.00	2,236,257.00
512180	MANAGER-REG	0.00	216,292.46	0.00	216,292.46
512280	SUPPORTIVE STAFF-REG	54,979.58	1,158,894.82	0.00	1,213,874.40
512281	SUPPORTIVE STAFF-PT	2,383.51	1,716.16	0.00	4,099.67
512461	NURSE-PT	0.00	1,705.46	0.00	1,705.46
512540	PARAPROFESSIONAL-REG	0.00	250,920.39	0.00	250,920.39
512560	SPEC POLICE OFF-REG	0.00	23,903.52	0.00	23,903.52
512561	SPEC POLICE OFF-PT	0.00	4,774.90	0.00	4,774.90
512562	SPEC POLICE OFF-OT	0.00	35,211.84	0.00	35,211.84
512591	FOOD SERVICE WORKER-PT	0.00	158.72	0.00	158.72
512680	CUSTODIAN-REG	28,241.70	271,832.75	0.00	300,074.45
512997	BONUS NONCERTIFIED	0.00	754,121.10	0.00	754,121.10
529997	FRINGE BENEFITS-CERT	136,563.61	1,142,269.53	0.00	1,278,833.14
529998	FRINGE BENEFITS-NON-CER	35,010.46	724,750.48	0.00	759,760.94
533220	INSTR PROG IMPROVE SVS	189,929.30	72,802.80	110,975.00	373,707.10
533230	PUPIL SVS: NON-PAYROLL SERVICES	0.00	1,002,600.00	668,400.00	1,671,000.00
533305	OTHER PROF TECH SVS	1,015,793.30	1,397,409.20	1,986,236.80	4,399,439.30
544300	MAINT & CUSTODIAL SERVICES	0.00	26,850.00	17,900.00	44,750.00
544410	RENTAL OF FACILITIES	0.00	120,000.00	0.00	120,000.00
544500	BUILDING & GROUNDS IMPROVE	0.00	361,517.00	304,782.00	666,299.00
555303	INTERNET COMMUNICATIONS	706,125.41	758,071.96	63,450.00	1,527,647.37
555900	MISC PURCHASED SVS	0.00	40,250.00	0.00	40,250.00
566110	INSTRUCTIONAL SUPPLIES	761,865.64	527,534.32	339,414.45	1,628,814.41
566113	TRAINING SUPPLIES	485,266.87	0.00	0.00	485,266.87
566130	MAINT & CUSTODIAL SUPP	0.00	190,492.75	201,571.25	392,064.00
566504	TECHNOLOGY RELATED SUPP	42,326.55	55,200.00	48.00	97,574.55
566904	AWARDS & INCENTIVES	0.00	883.40	13,381.77	14,265.17
566909	SUPPLIES & MATERIALS	28,761.70	6,194.19	0.00	34,955.89
577340	EQUIPMENT	0.00	114,173.48	207,000.00	321,173.48
577348	COMPUTER/TECH RELATED H	2,535,282.74	734,849.47	56,868.00	3,327,000.21
588100	ORGANIZATION DUES AND FEES	0.00	0.00	7,500.00	7,500.00
599999	INDIRECT - OVERHEAD	160,948.30	0.00	0.00	160,948.30
	Expense Total	6,880,886.73	17,279,897.33	3,977,527.27	28,138,311.33

MEMORANDUM
MUNICIPAL ACCOUNTABILITY REVIEW BOARD

To: Municipal Accountability Review Board
From: Kimberly Kennison
Subject: Update on West Haven Subcommittee
Date: April 11, 2023

The West Haven Subcommittee last met on Thursday March 30,2023.

Review and Discussion Re: Mayor's FY 2024 Recommended Budget: The City presented the Mayor's Recommended FY 2024 Budget. The total General Fund budget is \$173.4 million representing a 3% increase over the FY 23 adopted budget. State grants account for 32.6% of the city's budget, the same percent as the prior years' adopted budget. Tax collections remain similar at 62.68%. There is no significant grand list growth over last year. Mayor Rossi stated that at this point it's a balanced budget with an increase of .97 mill rate. Mr. Taylor pointed out that the goal is to build the general fund balance with a target of reaching GFOA levels within a reasonable period. The City implemented a purchase order block to prevent individuals from requesting purchase orders that were in excess of budget. A HR Professional has been hired and will begin to segregate payroll duties from HR functions. The parking authorization project will be implemented for the summer season without cost of the City. However, there are some challenges such as reducing of the Internal Service Fund deficit balance, employee pension devaluation, inflation impact and additional funding needed from Board of Education (BOE) and Department of Public Works.

Discussion Re: 5-year plan FY2024 to FY 2028: City provided their 5-year plan FY2024 to FY 2028. An increase of General Fund balance of \$6.3M was budgeted over the period to bring the balance to be \$14M. City has also planned to reduce Internal Service Fund deficit to achieve a 1:1 ratio, to enhance fiscal control and accountability and to search for income producing opportunities.

Discussion RE: Other Contracts over \$50,000 not Approved by MARB: The City provided the documents for the new vehicle purchased for Mayor's use but not approved by MARB, and a list of other contracts over \$50,000 that have not been approved by MARB. Subcommittee members requested the approval process of the contracts over \$50,000, expressed their concerns over internal controls and asked for the supporting documentation for the contracts on the list.

Discussion regarding Non-labor Contract with Laydon Industries, LLC: The contract is between the City and Laydon Industries LLC for the construction of Beach Street Road Improvements for approximately \$4.8M. The term is 240 calendar days starting the 11th day after the contract is executed. Mr. Spreyer shared 6 bids were received. This bid was approximately \$500,000 below the budget. This Subcommittee approved recommendation to be taken up at the full Board meeting.

Update Re: Open Items List: The board agreed to review the list and revisit at the next meeting.

MOA Action Plan Updates: The City updated the action plans on Personnel, Recruitment and Procurement. The Action Plan on IT has not been updated since the last Subcommittee meeting. OPM staff updated the list of FY20, FY21 audit findings. The board will review and revisit at the next meeting.

The next meeting of the West Haven Subcommittee is scheduled for April 27th.

FY 2024 BOE Recommended Budget

Provided Separately

FY 2024 Mayor's Proposed Budget

Provided Separately

West Haven City Proposed 5-Year Plan

Provided Separately



Department of Finance

City of West Haven
355 Main Street
West Haven, Connecticut 06516



City Hall
1896-1968

David R. Taylor
Acting Finance Director

April 6, 2023
Municipal Accountability Review Board
450 Capitol Avenue
Hartford, CT 06106

Honorable Members:

With 8 months passed, the city is 66.6% through the fiscal year.

Revenue received year-to-date, \$137.0M, is 81.5% of budget.

Current Property Taxes have been 95.6% received. Real Estate collections to date are at the same pace as last year. However, Motor Vehicle collections have continued to decline over the last three years. Two reasons for the decline are known. 1) State Vehicle Registration was changed from every two to every three years. 2) There has been no enforcement since the onset of the pandemic. The city is implementing a boot program to incentivize citizens to bring their accounts current.

Funds received are being managed to maximize investment income with \$65M in the CT State pooled investment account (STIF) at the end of February. This has continued the trend in Investment Income which is now at \$0.9M vs. Budget of \$52K. As of this writing, the projected declines in available cash are occurring. The STIF balance is currently \$51M.

State and other funding is net as budgeted with slightly faster timing than last year due to the state speeding up some payments.

Building permit fees continue to be on target and are expected to be on budget at year end.

The revenue forecast was submitted with the 5-year plan document as \$0.7 favorable to budget.

Expenditures for the city, \$49.6M, is 64.9% of budget.

Full Time Salaries, Overtime, and Financial Services have been overspent to date and year-to-date budget transfers are being calculated and will be presented for approval in the coming weeks.

As per the forecast, the city will not spend the entire budget this year. This is due largely to \$2.0M less debt service due to the delay in bonding for capital projects budgeted in September 2022 one year to September 2023.

Forecasted Surplus for the General Fund in FY 2023 is \$2.3M.

Telephone: 203-937-3627

E-Mail: dtaylor@westhaven-ct.gov

Sewer and AFD Results are largely on target to plan.

Sincerely,

David Taylor
Acting Finance Director
City of West Haven, Connecticut

Cc: Mayor Nancy Rossi

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CITY OF WEST HAVEN LIVE
YEAR TO DATE BUDGET REPORT

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FOR 2023 08

	ORIGINAL APPROP	REVISED BUDGET	YTD ACTUAL	MTD ACTUAL	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
101 GENERAL FUND							
10112542 CITY CLERK LICENSES							
10112542 42150 ANIMAL LICENSES	-9,389	-9,389	-3,287.00	-76.00	.00	-6,102.00	35.0%
10112542 42160 MARRIAGE LICENSES	-7,800	-7,800	-22,218.00	-6,700.00	.00	14,418.00	284.8%
10112542 42170 SPORTING LICENSES	-250	-250	-37.00	163.00	.00	-213.00	14.8%
TOTAL CITY CLERK LICENSES	-17,439	-17,439	-25,542.00	-6,613.00	.00	8,103.00	146.5%
10112546 CITY CLERK MISC CHARGES							
10112546 46940 RECORD LEGAL INSTRU	-1,650,000	-1,650,000	-1,037,332.08	-59,350.30	.00	-612,667.92	62.9%
TOTAL CITY CLERK MISC CHARGES	-1,650,000	-1,650,000	-1,037,332.08	-59,350.30	.00	-612,667.92	62.9%
10119042 PLANNING/DEVELOP LICENSES							
10119042 42210 BUILDING PERMITS	-1,175,000	-1,175,000	-680,318.19	-31,572.00	46.00	-494,727.81	57.9%
10119042 42211 BLDG DEPT-FLOOD PL	0	0	-5,439.15	.00	.00	5,439.15	100.0%
10119042 42220 ELECTRICAL PERMITS	-176,254	-176,254	-192,348.90	-10,268.00	.00	16,094.90	109.1%
10119042 42230 EXCAVATION PERMITS	-13,265	-13,265	-1,905.00	.00	.00	-11,360.00	14.4%
10119042 42240 PLUMBING & HEATING	-96,548	-96,548	-43,890.50	-5,816.00	.00	-52,657.50	45.5%
10119042 42250 ZONING PERMITS	-135,000	-135,000	-52,937.00	-5,735.00	.00	-82,063.00	39.2%
TOTAL PLANNING/DEVELOP LICENSES	-1,596,067	-1,596,067	-976,838.74	-53,391.00	46.00	-619,274.26	61.2%
10120044 TREASURERS INVESTMENT INCOME							
10120044 44100 INVESTMENT INCOME	-52,000	-52,000	-860,043.85	-244,431.83	.00	808,043.85	1653.9%
10120044 44210 RENT FROM CITY FACI	-28,611	-28,611	-13,750.00	.00	.00	-14,861.00	48.1%
TOTAL TREASURERS INVESTMENT INCOM	-80,611	-80,611	-873,793.85	-244,431.83	.00	793,182.85	1084.0%
10120045 STATE GRANTS							
10120045 45200 09860 STATE GRANTS	0	0	-10,671.38	.00	.00	10,671.38	100.0%

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CITY OF WEST HAVEN LIVE
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	ORIGINAL APPROP	REVISED BUDGET	YTD ACTUAL	MTD ACTUAL	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
10120045 45211 EDUCATIONAL COST SH	-45,140,487	-45,140,487	-20,699,652.00	.00	.00	-24,440,835.00	45.9%
10120045 45219 HEALTH SERVICES	-60,000	-60,000	-74,185.00	.00	.00	14,185.00	123.6%
10120045 45231 PILOT-COLLEGES & HO	-8,741,348	-8,741,348	-8,741,347.70	.00	.00	-.30	100.0%
10120045 45233 MUNI REV SHR (MFG P	-147,516	-147,516	-1,084,924.06	.00	.00	937,408.06	735.5%
10120045 45234 PROP TAX RELIEF-ELD	-502,691	-502,691	-502,691.00	.00	.00	.00	100.0%
10120045 45235 MASHENTUCKET PEQUOT	-807,097	-807,097	-269,032.33	.00	.00	-538,064.67	33.3%
10120045 45236 PROP TAX RELIEF-TOT	-5,000	-5,000	-4,018.30	.00	.00	-981.70	80.4%
10120045 45238 PROPERTY TAX RELIEF	-127,400	-127,400	-98,436.88	.00	.00	-28,963.12	77.3%
10120045 45248 TOWN AID ROAD	-618,370	-618,370	-621,029.03	.00	.00	2,659.03	100.4%
10120045 45290 STATE MISCELLANEOUS	-122,000	-122,000	-186,299.07	.00	.00	64,299.07	152.7%
10120045 45310 TELEPHONE ACCESS GR	-95,000	-95,000	.00	.00	.00	-95,000.00	.0%
10120045 45340 SCCRWA- PILOT GRANT	-301,100	-301,100	-281,894.40	.00	.00	-19,205.60	93.6%
10120045 52290 09860 ELECTION DAY E	0	0	2,450.00	.00	.00	-2,450.00	100.0%
TOTAL STATE GRANTS	-56,668,009	-56,668,009	-32,571,731.15	.00	.00	-24,096,277.85	57.5%
10120046 MISCELLANEOUS CHARGES							
10120046 46950 MISCELLANEOUS PUBLI	-37,900	-37,900	-205.00	.00	.00	-37,695.00	.5%
10120046 46952 MISCELLANEOUS - GEN	-56,000	-56,000	-21,812.31	-2,274.00	.00	-34,187.69	39.0%
10120046 46956 MISC. - PARKS & REC	-220,000	-220,000	-51,639.30	-685.00	.00	-168,360.70	23.5%
TOTAL MISCELLANEOUS CHARGES	-313,900	-313,900	-73,656.61	-2,959.00	.00	-240,243.39	23.5%
10120047 MISCELLANEOUS REVENUE							
10120047 43300 PARKING METER REVEN	-62,000	-62,000	-63,870.00	.00	.00	1,870.00	103.0%
10120047 47200 PROP SALE(Bayview,e	-14,500	-14,500	-264,930.81	-14,000.00	.00	250,430.81	1827.1%
10120047 47350 PILOT - HOUSING AUT	-146,600	-146,600	-169,656.00	.00	.00	23,056.00	115.7%
10120047 47360 SEWER FEE COLLECTIO	-55,200	-55,200	.00	.00	.00	-55,200.00	.0%
10120047 47380 INSURANCE REIMBURSE	-9,823	-9,823	.00	.00	.00	-9,823.00	.0%
10120047 47800 yale contribution	-466,715	-466,715	-505,014.56	.00	.00	38,299.56	108.2%
10120047 47900 MISCELLANEOUS	-160,000	-160,000	-53,106.22	-1,922.50	.00	-106,893.78	33.2%
10120047 47904 QUIGLEY/YALE PARKIN	-43,603	-43,603	-29,068.64	-3,633.58	.00	-14,534.32	66.7%
TOTAL MISCELLANEOUS REVENUE	-958,441	-958,441	-1,085,646.23	-19,556.08	.00	127,205.27	113.3%
10120048 OPERATING TRANSFERS IN							
10120048 48100 OPERATING TRANSFERS	-110,000	-110,000	.00	.00	.00	-110,000.00	.0%

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CITY OF WEST HAVEN LIVE
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	ORIGINAL APPROP	REVISED BUDGET	YTD ACTUAL	MTD ACTUAL	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
10120048 48300 RESIDUAL EQUITY TRA	-200,000	-200,000	.00	.00	.00	-200,000.00	.0%
10120048 48500 TRANSFER FROM SEWER	-424,004	-424,004	.00	.00	.00	-424,004.00	.0%
TOTAL OPERATING TRANSFERS IN	-734,004	-734,004	.00	.00	.00	-734,004.00	.0%
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10124041 PROPERTY TAXES							
10124041 41100 CURRENT PROPERTY TA	-102,406,510	-102,406,510	-97,910,280.68	-4,223,218.42	.00	-4,496,228.82	95.6%
10124041 41101 PA 76-338 MOTOR VEH	-1,261,000	-1,261,000	-1,092,018.74	-186,590.27	.00	-168,981.26	86.6%
10124041 41200 PRIOR YEARS TAX LEV	-412,000	-412,000	-339,478.93	-60,828.16	.00	-72,521.07	82.4%
10124041 41300 SUSPENSE TAXES	-100,000	-100,000	-143,514.09	-22,447.86	.00	43,514.09	143.5%
10124041 41610 CURRENT PROPERTY TA	-476,100	-476,100	-205,076.50	-44,623.53	.00	-271,023.50	43.1%
10124041 41620 PRIOR YEARS TAX INT	-256,452	-256,452	-156,295.19	-18,074.31	.00	-100,156.81	60.9%
10124041 41630 SUSPENSE INTEREST	-100,000	-100,000	-131,466.04	-19,146.45	.00	31,466.04	131.5%
TOTAL PROPERTY TAXES	-105,012,062	-105,012,062	-99,978,130.17	-4,574,929.00	.00	-5,033,931.33	95.2%
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10124043 FINES AND PENALTIES							
10124043 43200 FINES & PENALTIES -	-53,452	-53,452	-28,456.33	-7,362.39	.00	-24,995.67	53.2%
10124043 43250 BLDG CODE VIOLATION	-7,785	-7,785	-549.25	-549.25	.00	-7,235.75	7.1%
TOTAL FINES AND PENALTIES	-61,237	-61,237	-29,005.58	-7,911.64	.00	-32,231.42	47.4%
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10130047 MISC-OTHER AGENCIES							
10130047 47310 FIRE DEPT SHARE OF	-916,427	-916,427	-412,832.14	-31,350.81	.00	-503,594.86	45.0%
TOTAL MISC-OTHER AGENCIES	-916,427	-916,427	-412,832.14	-31,350.81	.00	-503,594.86	45.0%
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10131042 LICENSES							
10131042 42110 ALCOHOLIC BEVERAGE	-700	-700	-760.00	-60.00	.00	60.00	108.6%
10131042 42130 POLICE&PROTECT LIC/	-25,701	-25,701	-18,060.00	-600.00	.00	-7,641.00	70.3%
TOTAL LICENSES	-26,401	-26,401	-18,820.00	-660.00	.00	-7,581.00	71.3%
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10131043 PARKING TAGS							

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CITY OF WEST HAVEN LIVE
YEAR TO DATE BUDGET REPORT

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	ORIGINAL APPROP	REVISED BUDGET	YTD ACTUAL	MTD ACTUAL	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
<u>10131043 43100 PARKING TAGS</u>	-200,254	-200,254	-22,829.98	-9,925.74	.00	-177,424.02	11.4%
TOTAL PARKING TAGS	-200,254	-200,254	-22,829.98	-9,925.74	.00	-177,424.02	11.4%
<hr/> 10131046 POLICE SERVICE CHARGES <hr/>							
<u>10131046 46710 POLICE CHARGES</u>	-13,500	-13,500	-6,694.92	-431.00	.00	-6,805.08	49.6%
TOTAL POLICE SERVICE CHARGES	-13,500	-13,500	-6,694.92	-431.00	.00	-6,805.08	49.6%
<hr/> 10132042 MISCELLANEOUS FEES <hr/>							
<u>10132042 42910 CITY CLERK FEES COL</u>	-6,300	-6,300	-3,779.00	-336.00	.00	-2,521.00	60.0%
<u>10132042 42920 DOG POUND RELEASES</u>	-500	-500	-2,343.00	-665.00	.00	1,843.00	468.6%
TOTAL MISCELLANEOUS FEES	-6,800	-6,800	-6,122.00	-1,001.00	.00	-678.00	90.0%
<hr/> 10140046 MISCELLANEOUS CHARGES <hr/>							
<u>10140046 46953 ALL OTHER-PUBLIC WO</u>	-3,000	-3,000	-12,660.00	-211.00	.00	9,660.00	422.0%
TOTAL MISCELLANEOUS CHARGES	-3,000	-3,000	-12,660.00	-211.00	.00	9,660.00	422.0%
<hr/> 10145047 MISC-OTHER AGENCIES <hr/>							
<u>10145047 47340 ORGANIC RECYCLING C</u>	-10,600	-10,600	-4,980.00	-1,900.00	.00	-5,620.00	47.0%
TOTAL MISC-OTHER AGENCIES	-10,600	-10,600	-4,980.00	-1,900.00	.00	-5,620.00	47.0%
<hr/> 10153042 LICENSES <hr/>							
<u>10153042 42120 HEALTH LICENSES</u>	-85,400	-85,400	-103,684.00	-1,245.00	.00	18,284.00	121.4%
TOTAL LICENSES	-85,400	-85,400	-103,684.00	-1,245.00	.00	18,284.00	121.4%
TOTAL REVENUES	-168,354,151	-168,354,151	-137,242,749.45	-5,015,866.40	46.00	-31,111,448.01	
TOTAL EXPENSES	0	0	2,450.00	.00	.00	-2,450.00	

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CITY OF WEST HAVEN LIVE
YEAR TO DATE BUDGET REPORT

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	ORIGINAL APPROP	REVISED BUDGET	YTD ACTUAL	MTD ACTUAL	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
GRAND TOTAL	-168,354,151	-168,354,151	-137,240,299.45	-5,015,866.40	46.00	-31,113,898.01	81.5%

** END OF REPORT - Generated by David Taylor **

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	ORIGINAL APPROP	REVISED BUDGET	YTD EXPENDED	MTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
101 GENERAL FUND							
11000010 CITY COUNCIL							
11000010 51000 REGULAR WAGES	40,989	40,989	27,250.46	2,991.88	.00	13,738.54	66.5%
11000010 51010 CLERK OF THE COUNCIL	10,000	10,000	8,737.49	1,425.00	.00	1,262.51	87.4%
11000010 51350 PART TIME - ELECTED	32,700	32,700	21,266.71	4,636.78	.00	11,433.29	65.0%
11000010 51500 OVERTIME	0	0	-83.82	.00	.00	83.82	100.0%
11000010 52250 ADVERTISING	3,000	3,000	1,208.92	.00	.00	1,791.08	40.3%
11000010 52430 LEGAL SERVICES	25,000	0	.00	.00	.00	.00	.0%
11000010 52510 MAINTENANCE SERVICE	4,000	4,000	.00	.00	.00	4,000.00	.0%
11000010 52770 OTHER CONTRACTUAL S	2,500	27,500	8,490.00	2,295.00	1,250.00	17,760.00	35.4%
11000010 54331 MISC. EXP.	300	300	.00	.00	.00	300.00	.0%
TOTAL CITY COUNCIL	118,489	118,489	66,869.76	11,348.66	1,250.00	50,369.24	57.5%
11050010 MAYOR							
11050010 51000 REGULAR WAGES	243,274	243,274	141,643.75	16,108.38	.00	101,630.21	58.2%
11050010 51300 PART TIME WAGES	20,000	20,000	9,874.29	.00	.00	10,125.71	49.4%
11050010 52220 OUTSIDE PRINTING SE	1,000	1,000	.00	.00	.00	1,000.00	.0%
11050010 52320 SUBSCRIPTIONS & PER	275	275	.00	.00	.00	275.00	.0%
11050010 52330 TRAINING AND EDUCAT	300	300	.00	.00	.00	300.00	.0%
11050010 52350 TRAVEL EXPENSES	1,500	1,500	.00	.00	.00	1,500.00	.0%
11050010 52360 BUSINESS EXPENSE	4,900	4,900	291.88	.00	.00	4,608.12	6.0%
11050010 52370 COUNCIL OF GOVERNME	19,500	19,500	18,900.00	.00	.00	600.00	96.9%
11050010 52390 CT CONFERENCE OF MU	36,160	36,160	36,160.00	.00	.00	.00	100.0%
11050010 52397 U.S. CONFERENCE OF	7,000	7,000	.00	.00	5,269.00	1,731.00	75.3%
11050010 53490 OTHER OPERATING SUP	2,000	2,000	.00	.00	.00	2,000.00	.0%
TOTAL MAYOR	335,909	335,909	206,869.92	16,108.38	5,269.00	123,770.04	63.2%
11100010 CORPORATION COUNSEL							
11100010 51000 REGULAR WAGES	397,121	397,121	221,689.16	26,803.60	.00	175,431.84	55.8%
11100010 51300 PART TIME WAGES	10,000	10,000	.00	.00	.00	10,000.00	.0%
11100010 51500 OVERTIME	0	0	3,664.50	531.51	.00	-3,664.50	100.0%

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	ORIGINAL APPROP	REVISED BUDGET	YTD EXPENDED	MTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
11100010 52310 CONVENTIONS & DUES	1,000	1,000	571.85	.00	.00	428.15	57.2%
11100010 52430 LEGAL SERVICES	250,000	250,000	66,610.80	8,850.50	87,047.04	96,342.16	61.5%
11100010 52480 OTHER PROFESSIONAL	25,000	25,000	19,874.58	2,183.79	1,796.04	3,329.38	86.7%
11100010 52490 TAX FORECLOSURE EXP	37,000	37,000	4,176.12	.00	.00	32,823.88	11.3%
11100010 53110 OFFICE SUPPLIES	3,500	3,500	19.66	19.66	.00	3,480.34	.6%
11100010 53140 LIBRARY SUPPLIES	12,000	12,000	11,270.35	66.45	66.45	663.20	94.5%
11100010 55180 COMPUTER SOFTWARE	2,500	2,500	927.30	.00	.00	1,572.70	37.1%
TOTAL CORPORATION COUNSEL	738,121	738,121	328,804.32	38,455.51	88,909.53	320,407.15	56.6%
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11150010 PERSONNEL DEPARTMENT							
11150010 51000 REGULAR WAGES	416,083	416,083	151,352.70	16,065.94	.00	264,730.12	36.4%
11150010 51500 OVERTIME	6,000	6,000	686.99	.00	.00	5,313.01	11.4%
11150010 52250 ADVERTISING	3,000	3,000	.00	.00	.00	3,000.00	.0%
11150010 52260 OTHER PRINTING SERV	500	500	.00	.00	.00	500.00	.0%
11150010 52310 CONVENTIONS & DUES	1,500	1,500	.00	.00	.00	1,500.00	.0%
11150010 52330 TRAINING AND EDUCAT	3,000	3,000	.00	.00	.00	3,000.00	.0%
11150010 52830 OTHER EXAMINATIONS	8,300	8,300	7,074.75	226.00	598.95	626.30	92.5%
TOTAL PERSONNEL DEPARTMENT	438,383	438,383	159,114.44	16,291.94	598.95	278,669.43	36.4%
<hr/>							
11209910 TELEPHONE ADMINISTRATION							
11209910 52150 TELEPHONE EXPENSE	357,055	357,055	159,422.26	.00	46,051.58	151,580.76	57.5%
TOTAL TELEPHONE ADMINISTRATION	357,055	357,055	159,422.26	.00	46,051.58	151,580.76	57.5%
<hr/>							
11250010 CITY CLERK							
11250010 51000 REGULAR WAGES	243,521	243,521	158,539.37	19,506.28	.00	84,981.63	65.1%
11250010 51500 OVERTIME	2,000	2,000	1,878.28	525.45	.00	121.72	93.9%
11250010 52250 ADVERTISING	1,500	1,500	.00	.00	.00	1,500.00	.0%
11250010 52290 ELECTION DAY EXPENS	20,000	20,000	17,745.77	.00	.00	2,254.23	88.7%
11250010 52310 CONVENTIONS & DUES	900	900	525.00	.00	270.00	105.00	88.3%
11250010 52330 TRAINING AND EDUCAT	500	500	.00	.00	.00	500.00	.0%
11250010 52425 ARCHIVING SERVICES	50,000	50,000	48,932.57	21,464.98	.00	1,067.43	97.9%
11250010 52480 OTHER PROFESSIONAL	20,000	20,000	3,086.32	647.18	3,612.30	13,301.38	33.5%

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	ORIGINAL APPROP	REVISED BUDGET	YTD EXPENDED	MTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
<u>11250010 52520 OFFICE EQUIPMENT RE</u>	3,900	3,900	210.97	.00	.00	3,689.03	5.4%
<u>11250010 52750 FEES AND CHARGES</u>	1,200	1,200	506.00	.00	.00	694.00	42.2%
<u>11250010 52770 OTHER CONTRACTUAL S</u>	76,000	76,000	25,676.10	3,184.65	3,231.00	47,092.90	38.0%
<u>11250010 53590 DOG LICENSES</u>	100	100	.00	.00	.00	100.00	.0%
TOTAL CITY CLERK	419,621	419,621	257,100.38	45,328.54	7,113.30	155,407.32	63.0%
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11300010 REGISTRAR OF VOTERS	<hr/>						
<u>11300010 51000 REGULAR WAGES</u>	51,396	51,396	34,312.57	3,960.03	.00	17,083.19	66.8%
<u>11300010 51020 DEPUTY REGISTRARS</u>	10,200	10,200	8,483.34	1,700.00	.00	1,716.66	83.2%
<u>11300010 51350 PART TIME - ELECTED</u>	33,000	33,000	24,333.34	5,500.00	.00	8,666.66	73.7%
<u>11300010 51400 TEMPORARY PAYROLL</u>	47,135	47,135	41,770.00	.00	.00	5,365.00	88.6%
<u>11300010 51500 OVERTIME</u>	4,444	4,444	.00	.00	.00	4,444.00	.0%
<u>11300010 52310 CONVENTIONS & DUES</u>	3,010	3,010	620.00	.00	.00	2,390.00	20.6%
<u>11300010 52330 TRAINING AND EDUCAT</u>	1,200	1,200	274.95	27.51	.00	925.05	22.9%
<u>11300010 52580 EQUIPMENT MAINTENAN</u>	6,500	6,500	6,500.00	.00	.00	.00	100.0%
<u>11300010 53130 OTHER SUPPLIES</u>	702	702	430.23	.00	.00	271.77	61.3%
<u>11300010 55600 VOTING MACHINES</u>	6,765	6,765	4,356.61	.00	.00	2,408.39	64.4%
TOTAL REGISTRAR OF VOTERS	164,352	164,352	121,081.04	11,187.54	.00	43,270.72	73.7%
<hr/>							
11650010 PROBATE COURT	<hr/>						
<u>11650010 52640 RENTAL OF OFFICE EO</u>	3,700	3,700	1,650.10	158.12	.00	2,049.90	44.6%
<u>11650010 53110 OFFICE SUPPLIES</u>	3,700	3,700	653.16	.00	.00	3,046.84	17.7%
<u>11650010 55190 OTHER OFFICE EQUIPM</u>	2,258	2,258	1,382.48	1,382.48	.00	876.00	61.2%
TOTAL PROBATE COURT	9,658	9,658	3,685.74	1,540.60	.00	5,972.74	38.2%
<hr/>							
11900010 PLANNING & DEVELOPMENT	<hr/>						
<u>11900010 51000 REGULAR WAGES</u>	308,133	306,450	213,237.98	20,047.92	.00	93,212.02	69.6%
<u>11900010 51500 OVERTIME</u>	2,500	2,500	2,032.02	74.64	.00	467.98	81.3%
<u>11900010 52210 PRINTING</u>	200	200	.00	.00	46.00	154.00	23.0%
<u>11900010 52250 ADVERTISING</u>	47,000	47,000	19,112.23	.00	13,054.46	14,833.31	68.4%
<u>11900010 52280 MAP PRINTING</u>	1,200	1,200	.00	.00	.00	1,200.00	.0%
<u>11900010 52310 CONVENTIONS & DUES</u>	11,250	11,250	450.00	.00	.00	10,800.00	4.0%

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	ORIGINAL APPROP	REVISED BUDGET	YTD EXPENDED	MTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
11900010 52382 ENG COST PLAN & DEV	20,000	20,000	1,100.00	.00	.00	18,900.00	5.5%
11900010 52385 ECONOMIC DEV'T CONS	100,000	100,000	7,296.00	.00	.00	92,704.00	7.3%
11900010 52395 REGIONAL GROWTH PAR	16,700	16,700	16,669.20	.00	.00	30.80	99.8%
11900010 52425 ARCHIVING SERVICES	5,000	5,000	1,622.30	.00	1,622.30	1,755.40	64.9%
11900010 52475 PUBLIC HEARING SECR	6,500	6,500	2,100.00	475.00	300.00	4,100.00	36.9%
11900010 52520 OFFICE EQUIPMENT RE	250	250	.00	.00	.00	250.00	.0%
11900010 56400 PROPERTY MANG.	16,000	16,000	27,484.00	3,541.00	.00	-11,484.00	171.8%
TOTAL PLANNING & DEVELOPMENT	534,733	533,050	291,103.73	24,138.56	15,022.76	226,923.51	57.4%
11900012 GRANTS ADMINISTRATION							
11900012 51000 REGULAR WAGES	220,842	220,842	60,591.28	7,252.80	.00	160,250.72	27.4%
11900012 51500 OVERTIME	5,000	5,000	3,614.31	118.99	.00	1,385.69	72.3%
11900012 53420 GRANT DEVELOPMENT C	1,000	1,000	.00	.00	160.00	840.00	16.0%
11900012 55190 OTHER OFFICE EQUIPM	0	1,683	.00	.00	.00	1,683.00	.0%
11900012 56210 CONSULTING SERVICES	0	0	.00	.00	9,800.00	-9,800.00	100.0%
TOTAL GRANTS ADMINISTRATION	226,842	228,525	64,205.59	7,371.79	9,960.00	154,359.41	32.5%
11900013 BUILDING DEPARTMENT							
11900013 51000 REGULAR WAGES	583,374	583,374	239,765.04	31,161.26	.00	343,609.40	41.1%
11900013 51500 OVERTIME	7,500	7,500	13,985.86	3,876.24	.00	-6,485.86	186.5%
11900013 52310 CONVENTIONS & DUES	6,000	6,000	2,333.20	265.00	.00	3,666.80	38.9%
11900013 52360 BUSINESS EXPENSE	2,000	2,000	22.50	22.50	.00	1,977.50	1.1%
11900013 52425 ARCHIVING SERVICES	5,000	5,000	.00	.00	.00	5,000.00	.0%
11900013 52440 ENGINEERING SERVICE	900	900	.00	.00	.00	900.00	.0%
11900013 52520 OFFICE EQUIPMENT RE	500	500	.00	.00	.00	500.00	.0%
11900013 52590 DEMOLITION OF BUILD	9,000	9,000	4,795.71	.00	.00	4,204.29	53.3%
11900013 55190 OTHER OFFICE EQUIPM	500	500	.00	.00	.00	500.00	.0%
TOTAL BUILDING DEPARTMENT	614,774	614,774	260,902.31	35,325.00	.00	353,872.13	42.4%
12000010 TREASURER							
12000010 51350 PART TIME - ELECTED	7,600	7,600	5,699.97	1,266.66	.00	1,900.03	75.0%
TOTAL TREASURER	7,600	7,600	5,699.97	1,266.66	.00	1,900.03	75.0%

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	ORIGINAL APPROP	REVISED BUDGET	YTD EXPENDED	MTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
<u>12100010 COMPTROLLER</u>							
12100010 51000 REGULAR WAGES	712,658	712,658	461,491.12	49,576.93	.00	251,166.88	64.8%
12100010 51500 OVERTIME	2,000	10,500	33,143.77	3,846.45	.00	-22,643.77	315.7%
12100010 52310 CONVENTIONS & DUES	200	200	.00	.00	.00	200.00	.0%
12100010 52420 FINANCIAL SERVICES	237,500	229,000	185,659.80	10,422.71	92,997.15	-49,656.95	121.7%
12100010 52570 OTHER REPAIRS & MAI	21,600	21,600	.00	.00	.00	21,600.00	.0%
TOTAL COMPTROLLER	973,958	973,958	680,294.69	63,846.09	92,997.15	200,666.16	79.4%
<u>12100020 PURCHASING DEPARTMENT</u>							
12100020 51000 REGULAR WAGES	222,281	222,281	98,575.35	13,279.00	.00	123,705.65	44.3%
12100020 51500 OVERTIME	0	0	618.22	72.00	.00	-618.22	100.0%
12100020 52250 ADVERTISING	10,000	10,000	12,123.80	2,006.74	3,975.77	-6,099.57	161.0%
12100020 53110 OFFICE SUPPLIES	42,000	42,000	22,748.53	412.00	12,380.33	6,871.14	83.6%
12100020 53115 OFFICE SUPPLIES - P	25,000	25,000	9,810.64	.00	6,294.14	8,895.22	64.4%
TOTAL PURCHASING DEPARTMENT	299,281	299,281	143,876.54	15,769.74	22,650.24	132,754.22	55.6%
<u>12200022 DATA PROCESSING DEPARTMENT</u>							
12200022 51000 REGULAR WAGES	265,248	256,498	134,236.21	19,696.07	.00	122,261.79	52.3%
12200022 51500 OVERTIME	0	8,750	5,318.17	579.75	.00	3,431.83	60.8%
12200022 52330 TRAINING AND EDUCAT	10,000	10,000	.00	.00	.00	10,000.00	.0%
12200022 52460 OUTSIDE DATA PROCES	4,000	4,000	.00	.00	.00	4,000.00	.0%
12200022 52510 MAINTENANCE SERVICE	323,929	323,929	54,519.46	7,009.35	12,353.90	257,055.64	20.6%
12200022 52570 OTHER REPAIRS & MAI	40,000	40,000	3,381.58	.00	.00	36,618.42	8.5%
12200022 52660 SOFTWARE LICENSES	6,000	6,000	.00	.00	.00	6,000.00	.0%
12200022 53120 DATA PROCESSING SUP	4,000	4,000	1,573.00	.00	.00	2,427.00	39.3%
12200022 55170 OTHER DATA PROCESSI	10,000	10,000	.00	.00	.00	10,000.00	.0%
TOTAL DATA PROCESSING DEPARTMENT	663,177	663,177	199,028.42	27,285.17	12,353.90	451,794.68	31.9%
<u>12200023 CENTRAL SERVICES</u>							
12200023 51500 OVERTIME	80	80	.00	.00	.00	80.00	.0%

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	ORIGINAL APPROP	REVISED BUDGET	YTD EXPENDED	MTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
<u>12200023 52010 POSTAGE</u>	52,000	52,000	42,154.56	6,802.36	8,103.46	1,741.98	96.7%
<u>12200023 52570 OTHER REPAIRS & MAI</u>	2,100	2,100	.00	.00	.00	2,100.00	.0%
<u>12200023 52670 COPIER RENTAL</u>	45,880	45,880	34,704.63	4,523.29	8,029.03	3,146.34	93.1%
<u>12200023 53490 OTHER OPERATING SUP</u>	10,000	10,000	2,095.65	836.18	.00	7,904.35	21.0%
<u>12200023 53495 COFFEE & WATER</u>	4,400	4,400	617.21	.00	1,615.81	2,166.98	50.8%
<u>12200023 55190 OTHER OFFICE EQUIPM</u>	30,000	30,000	1,539.08	.00	13,000.00	15,460.92	48.5%
<u>12200023 55640 SAFETY EQUIPMENT</u>	1,800	1,800	.00	.00	.00	1,800.00	.0%
TOTAL CENTRAL SERVICES	146,260	146,260	81,111.13	12,161.83	30,748.30	34,400.57	76.5%
<u>12300010 ASSESSMENT</u>							
<u>12300010 51000 REGULAR WAGES</u>	442,286	442,286	284,088.95	34,021.44	.00	158,197.05	64.2%
<u>12300010 51500 OVERTIME</u>	2,500	2,500	1,451.85	49.47	.00	1,048.15	58.1%
<u>12300010 52210 PRINTING</u>	7,820	7,820	5,074.88	315.00	.00	2,745.12	64.9%
<u>12300010 52250 ADVERTISING</u>	0	0	-200.00	.00	.00	200.00	100.0%
<u>12300010 52280 MAP PRINTING</u>	6,000	6,000	2,971.54	2,971.54	.00	3,028.46	49.5%
<u>12300010 52310 CONVENTIONS & DUES</u>	595	595	579.00	52.00	.00	16.00	97.3%
<u>12300010 52330 TRAINING AND EDUCAT</u>	4,275	4,275	.00	.00	.00	4,275.00	.0%
<u>12300010 52480 OTHER PROFESSIONAL</u>	7,650	7,650	484.84	154.84	160.00	7,005.16	8.4%
TOTAL ASSESSMENT	471,126	471,126	294,451.06	37,564.29	160.00	176,514.94	62.5%
<u>12300025 BOARD OF TAX APPEALS</u>							
<u>12300025 51500 OVERTIME</u>	600	600	118.30	.00	.00	481.70	19.7%
<u>12300025 52760 STIPENDS</u>	3,000	3,000	.00	.00	3,000.00	.00	100.0%
TOTAL BOARD OF TAX APPEALS	3,600	3,600	118.30	.00	3,000.00	481.70	86.6%
<u>12400010 TAX COLLECTOR</u>							
<u>12400010 51000 REGULAR WAGES</u>	394,557	394,557	247,136.14	29,906.77	.00	147,421.26	62.6%
<u>12400010 51500 OVERTIME</u>	1,700	1,700	1,296.11	25.35	.00	403.89	76.2%
<u>12400010 52020 PROC & MAIL TAX BIL</u>	40,406	39,406	14,043.16	.00	8,538.24	16,824.54	57.3%
<u>12400010 52210 PRINTING</u>	15,000	15,000	9,077.32	.00	2,020.44	3,902.24	74.0%
<u>12400010 52250 ADVERTISING</u>	2,700	2,700	1,524.68	638.44	.00	1,175.32	56.5%
<u>12400010 52310 CONVENTIONS & DUES</u>	308	1,058	600.00	345.00	60.00	398.00	62.4%

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	ORIGINAL APPROP	REVISED BUDGET	YTD EXPENDED	MTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
<u>12400010 52330 TRAINING AND EDUCAT</u>	350	600	600.00	300.00	.00	.00	100.0%
<u>12400010 52520 OFFICE EQUIPMENT RE</u>	220	220	.00	.00	150.00	70.00	68.2%
<u>12400010 54260 OVER/UNDER BAD CHEC</u>	50	50	.00	.00	.00	50.00	.0%
TOTAL TAX COLLECTOR	455,291	455,291	274,277.41	31,215.56	10,768.68	170,245.25	62.6%
13000010 EMERGENCY REPORT SYSTEM DEPT.							
<u>13000010 51000 REGULAR WAGES</u>	1,332,123	1,332,123	631,295.24	73,678.61	.00	700,827.76	47.4%
<u>13000010 51400 TEMPORARY PAYROLL</u>	5,850	5,850	10,835.43	1,824.00	.00	-4,985.43	185.2%
<u>13000010 51500 OVERTIME</u>	0	0	288,801.10	37,350.01	.00	-288,801.10	100.0%
<u>13000010 51700 LONGEVITY PAY</u>	6,030	6,030	860.00	.00	.00	5,170.00	14.3%
<u>13000010 51800 SEPARATION PAY</u>	30,148	30,148	.00	.00	.00	30,148.00	.0%
<u>13000010 52150 TELEPHONE EXPENSE</u>	25,000	25,000	10,994.18	1,099.85	2,902.74	11,103.08	55.6%
<u>13000010 52510 MAINTENANCE SERVICE</u>	255,437	255,437	187,299.60	.00	7,000.00	61,137.49	76.1%
<u>13000010 53110 OFFICE SUPPLIES</u>	5,570	5,570	3,559.57	101.50	292.00	1,718.43	69.1%
<u>13000010 54110 HEALTH INSURANCE PR</u>	282,362	282,362	.00	.00	.00	282,362.38	.0%
<u>13000010 54130 FICA-CITY'S SHARE</u>	101,193	101,193	70,618.35	8,423.59	.00	30,574.65	69.8%
<u>13000010 54140 PENSION - CITY'S SH</u>	88,290	88,290	63,711.03	7,569.03	.00	24,578.97	72.2%
<u>13000010 55180 COMPUTER SOFTWARE</u>	13,400	13,400	9,038.05	7,528.05	46.50	4,315.45	67.8%
<u>13000010 55190 OTHER OFFICE EQUIPM</u>	13,100	13,100	3,874.95	313.24	123.50	9,101.55	30.5%
TOTAL EMERGENCY REPORT SYSTEM DEP	2,158,503	2,158,503	1,280,887.50	137,887.88	10,364.74	867,251.23	59.8%
13100010 POLICE DEPARTMENT ADMIN.							
<u>13100010 51000 REGULAR WAGES</u>	261,937	261,937	208,730.52	41,311.63	.00	53,206.48	79.7%
<u>13100010 51530 VACATION BUY BACK</u>	30,000	30,000	29,558.32	.00	.00	441.68	98.5%
<u>13100010 51700 LONGEVITY PAY</u>	28,885	28,885	16,143.52	3,818.80	.00	12,741.48	55.9%
<u>13100010 52110 ELECTRICITY</u>	40,000	40,000	18,836.72	2,302.93	.00	21,163.28	47.1%
<u>13100010 52150 TELEPHONE EXPENSE</u>	175,000	175,000	99,314.44	17,212.94	52,412.35	23,273.21	86.7%
<u>13100010 52220 OUTSIDE PRINTING SE</u>	2,400	2,400	1,244.02	335.00	1,155.98	.00	100.0%
<u>13100010 52255 MINORITY RECRUITMEN</u>	8,000	8,000	5,473.35	.00	.00	2,526.65	68.4%
<u>13100010 52260 OTHER PRINTING SERV</u>	500	500	142.21	.00	357.79	.00	100.0%
<u>13100010 52310 CONVENTIONS & DUES</u>	2,200	2,200	1,755.00	80.00	.00	445.00	79.8%
<u>13100010 52450 MEDICAL SERVICES</u>	27,500	27,500	6,549.42	2,257.72	2,440.30	18,510.28	32.7%
<u>13100010 52630 RENTAL OF VEHICLES</u>	24,000	24,000	13,356.17	3,831.17	3,810.00	6,833.83	71.5%
<u>13100010 52640 RENTAL OF OFFICE EQ</u>	50,000	50,000	43,269.46	8,275.87	6,730.54	.00	100.0%
<u>13100010 52650 OTHER RENTAL</u>	21,600	21,600	15,841.76	3,960.44	5,158.24	600.00	97.2%
<u>13100010 52660 SOFTWARE LICENSES</u>	10,140	10,140	.00	.00	2,419.00	7,721.00	23.9%

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<u>13100010 52730 BOARDING PRISONERS</u>	3,000	3,000	1,917.76	520.38	554.07	528.17	82.4%
<u>13100010 52750 FEES AND CHARGES</u>	15,000	15,000	.00	.00	.00	15,000.00	.0%
<u>13100010 52770 OTHER CONTRACTUAL S</u>	145,000	145,000	146,561.97	2,102.76	9,404.94	-10,966.91	107.6%
<u>13100010 52780 UNIFORM ALLOWANCE -</u>	3,500	3,500	1,780.00	1,780.00	.00	1,720.00	50.9%
<u>13100010 52820 PSYCHOLOGICAL TESTI</u>	12,000	12,000	3,307.00	.00	1,005.00	7,688.00	35.9%
<u>13100010 52830 OTHER EXAMINATIONS</u>	7,000	7,000	4,410.00	2,160.00	1,900.00	690.00	90.1%
<u>13100010 53130 OTHER SUPPLIES</u>	14,000	14,000	6,727.08	1,639.87	2,371.47	4,901.45	65.0%
<u>13100010 53210 AUTOMOTIVE FUEL & F</u>	180,000	180,000	50,489.04	.00	.00	129,510.96	28.0%
<u>13100010 54320 PAYMENTS TO OUTSIDE</u>	12,000	12,000	.00	.00	.00	12,000.00	.0%
<u>13100010 55650 SWAT EQUIPMENT</u>	10,000	10,000	1,800.12	.00	1,388.00	6,811.88	31.9%
<u>13100010 56180 EDUCATIONAL REIMBUR</u>	23,300	23,300	11,700.98	2,346.88	.00	11,599.02	50.2%
TOTAL POLICE DEPARTMENT ADMIN.	1,106,962	1,106,962	688,908.86	93,936.39	91,107.68	326,945.46	70.5%
<u>13100030 OPERATIONS</u>							
<u>13100030 51000 REGULAR WAGES</u>	8,860,982	8,860,982	5,497,458.04	638,697.29	.00	3,363,524.34	62.0%
<u>13100030 51500 OVERTIME</u>	300,000	300,000	443,113.07	77,843.40	.00	-143,113.07	147.7%
<u>13100030 51520 POLICE MANPOWER OVE</u>	1,500,000	1,500,000	1,403,407.32	127,329.96	.00	96,592.68	93.6%
<u>13100030 51530 VACATION BUY BACK</u>	406,100	406,100	370,984.87	39,535.68	.00	35,115.13	91.4%
<u>13100030 51540 INTERCITY POLICE EX</u>	200,000	200,000	276,137.44	87,651.83	.00	-76,137.44	138.1%
<u>13100030 51610 SHIFT DIFFERENTIAL</u>	115,000	115,000	42,397.07	4,991.94	.00	72,602.93	36.9%
<u>13100030 51700 LONGEVITY PAY</u>	520,000	520,000	196,506.98	23,684.08	.00	323,493.02	37.8%
<u>13100030 51800 SEPARATION PAY</u>	250,000	250,000	4,271.49	.00	.00	245,728.51	1.7%
<u>13100030 51801 WORKERS' COMP. PAY</u>	250,000	250,000	160,690.58	7,308.59	.00	89,309.42	64.3%
<u>13100030 52360 BUSINESS EXPENSE</u>	7,100	7,100	183.99	.00	336.00	6,580.01	7.3%
<u>13100030 52780 UNIFORM ALLOWANCE -</u>	180,000	180,000	27,318.36	14,782.87	2,898.33	149,783.31	16.8%
<u>13100030 53520 POLICE CONSUMABLES</u>	25,000	25,000	6,825.00	6,825.00	.00	18,175.00	27.3%
TOTAL OPERATIONS	12,614,182	12,614,182	8,429,294.21	1,028,650.64	3,234.33	4,181,653.84	66.8%
<u>13100031 POLICE DEPARTMENT SUPPORT</u>							
<u>13100031 51000 REGULAR WAGES</u>	585,445	585,445	293,061.85	32,716.76	.00	292,383.07	50.1%
<u>13100031 51300 PART TIME WAGES</u>	250,820	250,820	179,667.36	30,165.82	.00	71,152.64	71.6%
<u>13100031 51510 POLICE TRAINING OVE</u>	100,000	100,000	123,507.39	19,338.04	.00	-23,507.39	123.5%
<u>13100031 51801 WORKERS' COMP. PAY</u>	0	0	229.01	26.02	.00	-229.01	100.0%
<u>13100031 52330 TRAINING AND EDUCAT</u>	40,000	40,000	40,089.43	.00	7,093.46	-7,182.89	118.0%
<u>13100031 52350 TRAVEL EXPENSES</u>	6,000	6,000	4,461.13	.00	180.00	1,358.87	77.4%
<u>13100031 52480 OTHER PROFESSIONAL</u>	20,000	20,000	17,394.29	6,993.30	1,841.42	764.29	96.2%

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<u>13100031 52570 OTHER REPAIRS & MAI</u>	45,000	45,000	19,477.71	2,908.75	5,906.03	19,616.26	56.4%
<u>13100031 52790 UNIFORM ALLOWANCE -</u>	3,000	3,000	1,167.44	394.56	1,646.40	186.16	93.8%
<u>13100031 53260 TRAFFIC RELATED SUP</u>	15,000	15,000	7,372.00	2,165.00	1,057.30	6,570.70	56.2%
<u>13100031 53450 LABORATORY SUPPLIES</u>	4,500	4,500	6,836.28	.00	.00	-2,336.28	151.9%
<u>13100031 53510 FIREARM SUPPLIES</u>	25,000	25,000	21,364.40	2,236.08	10,354.10	-6,718.50	126.9%
TOTAL POLICE DEPARTMENT SUPPORT	1,094,765	1,094,765	714,628.29	96,944.33	28,078.71	352,057.92	67.8%
<u>13202010 ANIMAL CONTROL</u>							
<u>13202010 51000 REGULAR WAGES</u>	188,128	188,128	141,354.18	18,129.20	.00	46,773.82	75.1%
<u>13202010 51300 PART TIME WAGES</u>	20,300	20,300	266.00	266.00	.00	20,034.00	1.3%
<u>13202010 51500 OVERTIME</u>	14,000	14,000	14,851.91	730.44	.00	-851.91	106.1%
<u>13202010 51530 VACATION BUY BACK</u>	3,100	3,100	5,045.28	877.44	.00	-1,945.28	162.8%
<u>13202010 51700 LONGEVITY PAY</u>	5,100	5,100	1,650.00	.00	.00	3,450.00	32.4%
<u>13202010 51801 WORKERS' COMP. PAY</u>	2,500	2,500	219.36	.00	.00	2,280.64	8.8%
<u>13202010 52100 GAS HEAT NYMEX</u>	2,800	2,800	.00	.00	.00	2,800.00	.0%
<u>13202010 52110 ELECTRICITY</u>	14,000	14,000	7,228.95	702.84	.00	6,771.05	51.6%
<u>13202010 52250 ADVERTISING</u>	500	500	.00	.00	.00	500.00	.0%
<u>13202010 52455 VETERINARY SERVICES</u>	20,000	20,000	9,638.32	.00	2,119.47	8,242.21	58.8%
<u>13202010 52780 UNIFORM ALLOWANCE -</u>	6,200	6,200	190.69	40.29	.00	6,009.31	3.1%
<u>13202010 53485 DOG FOOD</u>	2,000	2,000	1,645.26	1,466.97	94.23	260.51	87.0%
<u>13202010 55370 OTHER EQUIPMENT</u>	6,700	6,700	1,294.17	.00	.00	5,405.83	19.3%
TOTAL ANIMAL CONTROL	285,328	285,328	183,384.12	22,213.18	2,213.70	99,730.18	65.0%
<u>13300010 CIVIL PREPAREDNESS</u>							
<u>13300010 51300 PART TIME WAGES</u>	50,000	50,000	8,961.03	1,991.34	.00	41,038.97	17.9%
<u>13300010 52150 TELEPHONE EXPENSE</u>	750	750	.00	.00	.00	750.00	.0%
<u>13300010 53130 OTHER SUPPLIES</u>	1,000	1,000	.00	.00	.00	1,000.00	.0%
<u>13300010 54090 OTHER CHARGES</u>	500	500	535.25	.00	.00	-35.25	107.1%
TOTAL CIVIL PREPAREDNESS	52,250	52,250	9,496.28	1,991.34	.00	42,753.72	18.2%
<u>14000010 PUBLIC WORKS ADMINISTRATION</u>							
<u>14000010 51000 REGULAR WAGES</u>	301,658	301,658	172,637.13	19,395.08	.00	129,020.87	57.2%

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<u>14000010 51300 PART TIME WAGES</u>	12,000	12,000	30,655.11	5,016.01	.00	-18,655.11	255.5%
<u>14000010 51500 OVERTIME</u>	4,000	4,000	4,064.97	1,480.92	.00	-64.97	101.6%
<u>14000010 52680 TOWN AID ROAD</u>	275,000	275,000	29,876.49	2,560.50	32,526.72	212,596.79	22.7%
<u>14000010 53460 CLOTHING & UNIFORMS</u>	12,000	12,000	.00	.00	.00	12,000.00	.0%
TOTAL PUBLIC WORKS ADMINISTRATION	604,658	604,658	237,233.70	28,452.51	32,526.72	334,897.58	44.6%
<u>14100010 ENGINEERING</u>							
<u>14100010 51000 REGULAR WAGES</u>	191,674	191,674	76,901.21	9,153.20	.00	114,773.11	40.1%
<u>14100010 52310 CONVENTIONS & DUES</u>	2,000	2,000	71.88	.00	.00	1,928.12	3.6%
<u>14100010 52335 PROFESSIONAL LICENS</u>	1,000	1,000	285.00	.00	150.00	565.00	43.5%
<u>14100010 56110 DEP STIPULATED/SEWE</u>	250,000	250,000	78,565.00	25,000.00	.00	171,435.00	31.4%
TOTAL ENGINEERING	444,674	444,674	155,823.09	34,153.20	150.00	288,701.23	35.1%
<u>14404072 VEHICLE MAINTENANCE</u>							
<u>14404072 51000 REGULAR WAGES</u>	444,221	444,221	260,965.85	34,183.86	.00	183,255.15	58.7%
<u>14404072 51500 OVERTIME</u>	49,000	49,000	50,721.08	5,540.57	.00	-1,721.08	103.5%
<u>14404072 52100 GAS HEAT NYMEX</u>	45,000	45,000	10,497.57	.00	.00	34,502.43	23.3%
<u>14404072 52110 ELECTRICITY</u>	25,200	25,200	7,589.76	921.10	.00	17,610.24	30.1%
<u>14404072 52130 WATER</u>	2,000	2,000	1,120.93	111.99	.00	879.07	56.0%
<u>14404072 52310 CONVENTIONS & DUES</u>	600	600	.00	.00	.00	600.00	.0%
<u>14404072 52320 SUBSCRIPTIONS & PER</u>	6,000	6,000	2,928.00	.00	1,260.00	1,812.00	69.8%
<u>14404072 52540 MOTOR VEHICLE MAINT</u>	90,000	90,000	44,149.38	6,648.77	24,213.47	21,637.15	76.0%
<u>14404072 52545 SPECIAL EQUIPMENT R</u>	40,000	40,000	.00	.00	8,698.26	31,301.74	21.7%
<u>14404072 52550 GROUNDS MAINTENANCE</u>	7,200	7,200	3,522.50	.00	.00	3,677.50	48.9%
<u>14404072 52575 EMISSIONS TESTING</u>	1,000	1,000	.00	.00	.00	1,000.00	.0%
<u>14404072 52585 TIRE REPAIR & SERVI</u>	11,000	11,000	1,401.68	.00	1,298.10	8,300.22	24.5%
<u>14404072 52630 RENTAL OF VEHICLES</u>	2,000	2,000	.00	.00	.00	2,000.00	.0%
<u>14404072 52650 OTHER RENTAL</u>	2,700	2,700	.00	.00	.00	2,700.00	.0%
<u>14404072 52740 SECURITY SYSTEM</u>	2,700	2,700	.00	.00	.00	2,700.00	.0%
<u>14404072 52940 HAZARDOUS WASTE DIS</u>	6,000	6,000	1,129.60	.00	390.25	4,480.15	25.3%
<u>14404072 53210 AUTOMOTIVE FUEL & F</u>	380,000	380,000	210,528.80	40,349.77	89,379.50	80,091.70	78.9%
<u>14404072 53220 MOTOR VEHICLE PARTS</u>	250,000	250,000	99,177.33	12,693.82	69,290.30	81,532.37	67.4%
<u>14404072 53240 TIRES, TUBES & BATT</u>	60,000	60,000	23,673.99	4,591.14	22,296.52	14,029.49	76.6%
<u>14404072 53250 TOOLS & MISCELLANEO</u>	12,000	12,000	7,729.84	625.62	3,350.96	919.20	92.3%
<u>14404072 53430 JANITORIAL SUPPLIES</u>	450	450	213.69	.00	186.31	50.00	88.9%
<u>14404072 53445 SAFETY SUPPLIES</u>	2,500	2,500	469.38	.00	746.60	1,284.02	48.6%

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<u>14404072 53530 SNOW REMOVAL EQUIPM</u>	40,000	40,000	11,829.84	2,479.64	17,183.69	10,986.47	72.5%
<u>14404072 53560 BROOMS & SWEEPERS</u>	20,000	20,000	10,215.15	5,079.21	7,259.62	2,525.23	87.4%
TOTAL VEHICLE MAINTENANCE	1,499,571	1,499,571	747,864.37	113,225.49	245,553.58	506,153.05	66.2%
<hr/> 14505071 COMPOST SITE							
<u>14505071 52740 SECURITY SYSTEM</u>	2,200	2,200	.00	.00	.00	2,200.00	.0%
<u>14505071 52930 COMPOST SITE</u>	8,000	8,000	.00	.00	4,203.64	3,796.36	52.5%
<u>14505071 52940 HAZARDOUS WASTE PIC</u>	30,000	30,000	11,490.67	.00	18,000.00	509.33	98.3%
TOTAL COMPOST SITE	40,200	40,200	11,490.67	.00	22,203.64	6,505.69	83.8%
<hr/> 14509971 SOLID WASTE							
<u>14509971 52900 SPECIAL TRASH PICKU</u>	298,300	298,300	170,092.88	24,574.75	24,649.41	103,557.71	65.3%
<u>14509971 52910 TRASH PICKUP</u>	1,446,200	1,446,200	835,283.35	120,516.67	123,835.13	487,081.52	66.3%
<u>14509971 52915 TRASH PICKUP-CITY B</u>	128,000	128,000	83,940.82	19,679.60	10,961.06	33,098.12	74.1%
<u>14509971 52920 TIPPING FEES</u>	1,450,000	1,450,000	593,692.50	40,581.30	116,630.59	739,676.91	49.0%
<u>14509971 52931 YARD WASTE BAG PICK</u>	180,000	180,000	103,375.00	.00	.00	76,625.00	57.4%
<u>14509971 52941 HAZARDOUS WASTE - C</u>	2,000	2,000	1,290.00	.00	.00	710.00	64.5%
<u>14509971 52950 RECYCLING PICKUP</u>	579,400	579,400	329,650.00	47,450.00	47,450.00	202,300.00	65.1%
<u>14509971 52955 PORTABLE RESTROOMS</u>	25,000	25,000	20,594.67	.00	4,180.38	224.95	99.1%
TOTAL SOLID WASTE	4,108,900	4,108,900	2,137,919.22	252,802.32	327,706.57	1,643,274.21	60.0%
<hr/> 14606074 GROUNDS MAINTENANCE							
<u>14606074 52510 MAINTENANCE SERVICE</u>	3,500	3,500	2,405.00	.00	.00	1,095.00	68.7%
<u>14606074 52580 EQUIPMENT MAINTENAN</u>	2,000	2,000	1,175.19	.00	.00	824.81	58.8%
<u>14606074 53265 STREET MARKING PAIN</u>	5,000	5,000	1,910.49	.00	.00	3,089.51	38.2%
<u>14606074 53490 OTHER OPERATING SUP</u>	5,000	5,000	.00	.00	1,177.86	3,822.14	23.6%
<u>14606074 53555 LIGHT POLES</u>	15,000	15,000	4,487.78	.00	.00	10,512.22	29.9%
TOTAL GROUNDS MAINTENANCE	30,500	30,500	9,978.46	.00	1,177.86	19,343.68	36.6%
<hr/> 14606075 BUILDING MAINTENANCE							
<u>14606075 51000 REGULAR WAGES</u>	453,855	453,855	289,426.84	35,242.54	.00	164,428.16	63.8%

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	ORIGINAL APPROP	REVISED BUDGET	YTD EXPENDED	MTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
14606075 51500 OVERTIME	69,100	69,100	63,860.06	6,052.52	.00	5,239.94	92.4%
14606075 52100 GAS HEAT NYMEX	100,000	100,000	43,179.09	.00	.00	56,820.91	43.2%
14606075 52110 ELECTRICITY	440,000	440,000	181,483.15	8,959.19	.00	258,516.85	41.2%
14606075 52130 WATER	25,000	25,000	14,249.52	1,166.76	.00	10,750.48	57.0%
14606075 52500 HVAC MAINTENANCE	105,000	105,000	39,088.77	18,564.91	16,682.49	49,228.74	53.1%
14606075 52510 MAINTENANCE SERVICE	71,400	71,400	31,916.03	5,246.10	16,843.22	22,640.75	68.3%
14606075 52530 BUILDING MAINTENANC	82,800	82,800	47,817.40	8,939.50	20,283.45	14,699.15	82.2%
14606075 52740 SECURITY SYSTEM	15,500	15,500	10,102.24	4,610.56	1,048.42	4,349.34	71.9%
14606075 53430 JANITORIAL SUPPLIES	20,000	20,000	8,998.81	.00	1,577.09	9,424.10	52.9%
14606075 53445 SAFETY SUPPLIES	5,000	5,000	792.17	.00	100.00	4,107.83	17.8%
14606075 53495 COFFEE & WATER	500	500	349.84	.00	.00	150.16	70.0%
TOTAL BUILDING MAINTENANCE	1,388,155	1,388,155	731,263.92	88,782.08	56,534.67	600,356.41	56.8%
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14704010 HIGHWAYS & PARKS ADMIN.							
14704010 51000 REGULAR WAGES	2,550,834	2,550,834	1,336,126.84	162,264.19	.00	1,214,707.16	52.4%
14704010 51400 TEMPORARY PAYROLL	110,000	110,000	83,183.88	5,419.68	.00	26,816.12	75.6%
14704010 51500 OVERTIME	240,000	240,000	225,841.30	9,013.27	.00	14,158.70	94.1%
14704010 51550 SNOW REMOVAL	80,000	80,000	.00	.00	.00	80,000.00	.0%
14704010 52160 STREET LIGHTING	760,000	760,000	359,608.48	5,443.62	.00	400,391.52	47.3%
14704010 52550 GROUNDS MAINTENANCE	41,800	41,800	11,706.40	.00	5,094.03	24,999.57	40.2%
14704010 52610 RENTAL OF LAND	850	850	446.00	96.00	150.00	254.00	70.1%
14704010 53380 MISC. CONSTRUCTION	74,380	74,380	16,131.98	6,938.38	3,717.01	54,531.01	26.7%
14704010 56990 SPECIAL PROJECTS	55,000	55,000	38,659.24	.00	6,992.00	9,348.76	83.0%
TOTAL HIGHWAYS & PARKS ADMIN.	3,912,864	3,912,864	2,071,704.12	189,175.14	15,953.04	1,825,206.84	53.4%
<hr/>							
14706010 HIGHWAYS & PARKS							
14706010 53445 SAFETY SUPPLIES	5,000	5,000	3,626.46	.00	.00	1,373.54	72.5%
TOTAL HIGHWAYS & PARKS	5,000	5,000	3,626.46	.00	.00	1,373.54	72.5%
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14706076 PARKS MAINTENANCE							
14706076 52110 ELECTRICITY	115,000	115,000	43,642.38	3,178.18	.00	71,357.62	37.9%
14706076 52130 WATER	30,000	30,000	16,139.98	595.35	.00	13,860.02	53.8%

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	ORIGINAL APPROP	REVISED BUDGET	YTD EXPENDED	MTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
14706076 52530 BUILDING MAINTENANC	16,000	16,000	4,841.44	.00	962.29	10,196.27	36.3%
14706076 52550 GROUNDS MAINTENANCE	77,312	77,312	29,637.25	.00	717.00	46,957.75	39.3%
14706076 52740 SECURITY SYSTEM	2,700	2,700	1,656.00	194.94	1,036.80	7.20	99.7%
TOTAL PARKS MAINTENANCE	241,012	241,012	95,917.05	3,968.47	2,716.09	142,378.86	40.9%
14706077 OUTSIDE CONTRACTORS							
14706077 52570 OTHER REPAIRS & MAI	20,000	20,000	7,387.52	.00	.00	12,612.48	36.9%
14706077 53380 MISC. CONSTRUCTION	35,000	35,000	9,107.34	1,717.90	108.26	25,784.40	26.3%
14706077 54095 STORM/EMERGENCY LOS	25,100	25,100	25,100.00	.00	.00	.00	100.0%
TOTAL OUTSIDE CONTRACTORS	80,100	80,100	41,594.86	1,717.90	108.26	38,396.88	52.1%
14706078 TREES							
14706078 52555 TREE MAINTENANCE	200,000	200,000	126,387.38	16,400.00	17,015.00	56,597.62	71.7%
14706078 53490 OTHER OPERATING SUP	1,000	1,000	.00	.00	.00	1,000.00	.0%
14706078 53570 TREES & SHRUBS	2,500	2,500	.00	.00	.00	2,500.00	.0%
TOTAL TREES	203,500	203,500	126,387.38	16,400.00	17,015.00	60,097.62	70.5%
15000010 HUMAN RESOURCES							
15000010 51000 REGULAR WAGES	372,344	372,344	205,292.68	24,554.01	.00	167,051.32	55.1%
15000010 51400 TEMPORARY PAYROLL	13,000	13,000	5,137.50	.00	.00	7,862.50	39.5%
15000010 51500 OVERTIME	6,000	6,000	4,839.37	346.45	.00	1,160.63	80.7%
15000010 52220 OUTSIDE PRINTING SE	500	500	275.00	.00	.00	225.00	55.0%
15000010 52230 BEACH STICKERS	500	500	452.60	.00	.00	47.40	90.5%
15000010 52425 ARCHIVING SERVICES	26,000	26,000	18,266.06	12,177.37	226.50	7,507.44	71.1%
15000010 52810 VETERANS MEMORIAL D	4,000	4,000	.00	.00	.00	4,000.00	.0%
15000010 52840 BAND CONCERTS	6,000	6,000	2,150.00	.00	.00	3,850.00	35.8%
15000010 52850 HOLIDAY FESTIVITIES	8,000	8,000	4,942.77	522.52	847.49	2,209.74	72.4%
15000010 53570 TREES & SHRUBS	2,000	2,000	.00	.00	.00	2,000.00	.0%
15000010 54470 CLIENT ASSISTANCE	8,000	8,000	.00	.00	1,769.25	6,230.75	22.1%
15000010 56990 AT RISK YOUTH	10,000	10,000	.00	.00	.00	10,000.00	.0%
TOTAL HUMAN RESOURCES	456,344	456,344	241,355.98	37,600.35	2,843.24	212,144.78	53.5%
15100010 ELDERLY SERVICES							

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	ORIGINAL APPROP	REVISED BUDGET	YTD EXPENDED	MTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
<u>15100010 51000 REGULAR WAGES</u>	170,797	170,797	107,838.69	12,713.40	.00	62,958.49	63.1%
<u>15100010 51100 SR CNT P/T</u>	35,080	35,080	.00	.00	.00	35,080.00	.0%
<u>15100010 52310 CONVENTIONS & DUES</u>	490	490	40.00	.00	.00	450.00	8.2%
<u>15100010 52410 INSTRUCTORS</u>	5,500	5,500	3,745.00	420.00	360.00	1,395.00	74.6%
<u>15100010 52700 TRANSPORTATION CONT</u>	205,685	205,685	75,701.58	4,541.04	41,286.56	88,696.86	56.9%
<u>15100010 52710 ELDERLY NUTRITION</u>	4,700	4,700	.00	.00	.00	4,700.00	.0%
<u>15100010 53490 OTHER OPERATING SUP</u>	3,000	3,000	349.94	240.00	.00	2,650.06	11.7%
TOTAL ELDERLY SERVICES	425,252	425,252	187,675.21	17,914.44	41,646.56	195,930.41	53.9%
<u>15202050 RECREATIONAL SERVICES</u>							
<u>15202050 51000 REGULAR WAGES</u>	381,247	381,247	251,067.52	25,979.83	.00	130,179.48	65.9%
<u>15202050 51080 RECREATION AIDES</u>	46,200	46,200	37,095.77	.00	.00	9,104.23	80.3%
<u>15202050 51130 BEACH CONSTABLES</u>	62,916	62,916	49,947.03	420.00	.00	12,968.97	79.4%
<u>15202050 51160 SPECIAL ACTIVITY IN</u>	25,540	25,540	6,764.50	455.00	.00	18,775.50	26.5%
<u>15202050 51170 SUPERVISORS & INSTR</u>	83,974	83,974	40,626.72	4.80	.00	43,347.28	48.4%
<u>15202050 51180 LIFE GUARDS</u>	73,390	73,390	71,801.46	.00	.00	1,588.54	97.8%
<u>15202050 51500 OVERTIME</u>	8,700	8,700	4,690.34	40.41	.00	4,009.66	53.9%
<u>15202050 52230 BEACH STICKERS</u>	5,000	5,000	.00	.00	.00	5,000.00	.0%
<u>15202050 52310 CONVENTIONS & DUES</u>	1,250	1,250	.00	.00	.00	1,250.00	.0%
<u>15202050 52530 BUILDING MAINTENANC</u>	12,096	12,096	4,885.21	.00	.00	7,210.79	40.4%
<u>15202050 52750 FEES AND CHARGES</u>	4,000	4,000	.00	.00	.00	4,000.00	.0%
<u>15202050 53250 TOOLS & MISCELLANEO</u>	2,750	2,750	216.00	.00	.00	2,534.00	7.9%
<u>15202050 53440 MEDICAL SUPPLIES</u>	4,000	4,000	.00	.00	.00	4,000.00	.0%
<u>15202050 53540 RECREATION SUPPLIES</u>	15,300	15,300	2,529.20	380.00	144.00	12,626.80	17.5%
<u>15202050 54320 PAYMENTS TO OUTSIDE</u>	11,200	11,200	.00	.00	.00	11,200.00	.0%
<u>15202050 55520 OTHER RECREATION EQ</u>	4,800	4,800	2,260.40	.00	.00	2,539.60	47.1%
TOTAL RECREATIONAL SERVICES	742,363	742,363	471,884.15	27,280.04	144.00	270,334.85	63.6%
<u>15202051 DAY CAMP PROGRAM</u>							
<u>15202051 51080 RECREATION AIDES</u>	0	0	18,690.15	.00	.00	-18,690.15	100.0%
<u>15202051 51400 TEMPORARY PAYROLL</u>	152,370	152,370	114,992.81	.00	.00	37,377.19	75.5%
<u>15202051 52700 TRANSPORTATION CONT</u>	18,000	18,000	14,005.00	.00	.00	3,995.00	77.8%
<u>15202051 52750 FEES AND CHARGES</u>	6,000	6,000	5,289.00	.00	.00	711.00	88.2%
TOTAL DAY CAMP PROGRAM	176,370	176,370	152,976.96	.00	.00	23,393.04	86.7%
<u>15202552 BENNETT RINK PROGRAMS</u>							

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	ORIGINAL APPROP	REVISED BUDGET	YTD EXPENDED	MTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
<u>15202552 52620 RENTAL OF BUILDINGS</u>	25,000	25,000	.00	.00	25,000.00	.00	100.0%
TOTAL BENNETT RINK PROGRAMS	25,000	25,000	.00	.00	25,000.00	.00	100.0%
<u>15202553 AQUATIC PROGRAMS</u>							
<u>15202553 51040 AQUATIC PROGRAM INS</u>	20,640	20,640	2,321.50	.00	.00	18,318.50	11.2%
<u>15202553 51070 SWIMMING POOL STAFF</u>	80,180	80,180	36,517.67	3,296.00	.00	43,662.33	45.5%
<u>15202553 51300 POOL CUSTODIANS</u>	17,500	17,500	10,331.75	463.00	.00	7,168.25	59.0%
<u>15202553 52770 OTHER CONTRACTUAL S</u>	16,000	16,000	.00	.00	2,395.95	13,604.05	15.0%
<u>15202553 53540 RECREATION SUPPLIES</u>	2,100	2,100	1,183.00	.00	.00	917.00	56.3%
<u>15202553 53545 SPECIAL ACTIVITY SU</u>	5,000	5,000	215.00	215.00	498.00	4,287.00	14.3%
TOTAL AQUATIC PROGRAMS	141,420	141,420	50,568.92	3,974.00	2,893.95	87,957.13	37.8%
<u>15300010 HEALTH DEPARTMENT</u>							
<u>15300010 51000 REGULAR WAGES</u>	482,757	482,757	269,379.41	31,284.53	.00	213,377.59	55.8%
<u>15300010 51500 OVERTIME</u>	5,000	5,000	2,780.73	.00	.00	2,219.27	55.6%
<u>15300010 52310 CONVENTIONS & DUES</u>	1,000	1,000	715.90	.00	.00	284.10	71.6%
<u>15300010 52450 MEDICAL SERVICES</u>	1,000	1,000	951.72	.00	.00	48.28	95.2%
<u>15300010 52535 PEST CONTROL</u>	1,000	1,000	.00	.00	.00	1,000.00	.0%
<u>15300010 52780 UNIFORM ALLOWANCE -</u>	250	250	449.99	.00	.00	-199.99	180.0%
<u>15300010 53440 MEDICAL SUPPLIES</u>	6,000	6,000	2,100.01	110.00	1,770.30	2,129.69	64.5%
<u>15300010 53490 OTHER OPERATING SUP</u>	300	300	.00	.00	.00	300.00	.0%
TOTAL HEALTH DEPARTMENT	497,307	497,307	276,377.76	31,394.53	1,770.30	219,158.94	55.9%
<u>16001060 MAIN LIBRARY</u>							
<u>16001060 51000 REGULAR WAGES</u>	1,575,374	1,575,374	1,050,249.28	131,281.16	.00	525,124.72	66.7%
TOTAL MAIN LIBRARY	1,575,374	1,575,374	1,050,249.28	131,281.16	.00	525,124.72	66.7%
<u>18009980 CITY INSURANCE - PREMIUMS</u>							
<u>18009980 54030 GEN'L LIABILITY INS</u>	485,977	485,977	531,325.00	.00	.00	-45,348.00	109.3%

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	ORIGINAL APPROP	REVISED BUDGET	YTD EXPENDED	MTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
TOTAL CITY INSURANCE - PREMIUMS	485,977	485,977	531,325.00	.00	.00	-45,348.00	109.3%
18009981 CITY INSURANCE - RETENTION							
18009981 54210 PHYSICAL AUTO DAMAG	50,000	50,000	112,018.02	11,859.10	.00	-62,018.02	224.0%
18009981 54230 GENERAL LIABILITY L	225,000	225,000	251,212.65	68,011.59	.00	-26,212.65	111.7%
18009981 54250 OTHER LOSSES	40,000	40,000	21,760.00	.00	.00	18,240.00	54.4%
TOTAL CITY INSURANCE - RETENTION	315,000	315,000	384,990.67	79,870.69	.00	-69,990.67	122.2%
18109982 CITY GRANTED BENEFITS							
18109982 51530 VACATION BUY BACK	110,000	110,000	61,763.41	7,415.07	.00	48,236.59	56.1%
18109982 51700 LONGEVITY PAY	80,000	80,000	53,860.00	.00	.00	26,140.00	67.3%
18109982 51800 SEPARATION PAY	90,000	90,000	.00	.00	.00	90,000.00	.0%
18109982 54110 HEALTH INSURANCE PR	11,036,241	11,036,241	7,524,394.51	1,269,578.26	.00	3,511,846.49	68.2%
18109982 54120 LIFE INSURANCE PREM	136,500	136,500	113,302.10	14,526.45	.00	23,197.90	83.0%
18109982 54130 FICA-CITY'S SHARE	1,513,907	1,513,907	974,230.50	115,679.65	.00	539,676.50	64.4%
18109982 54140 PENSION - CITY'S SH	1,257,710	1,257,710	705,581.06	86,481.29	.00	552,128.94	56.1%
18109982 54141 PENSION POLICE	2,396,000	2,396,000	1,797,000.00	599,000.00	.00	599,000.00	75.0%
18109982 54170 LONG TERM DISABILIT	96,000	96,000	67,719.31	8,756.60	.00	28,280.69	70.5%
18109982 56180 EDUCATIONAL REIMBUR	15,000	15,000	540.00	.00	.00	14,460.00	3.6%
TOTAL CITY GRANTED BENEFITS	16,731,358	16,731,358	11,298,390.89	2,101,437.32	.00	5,432,967.11	67.5%
18109983 STATE MANDATED BENEFITS							
18109983 54160 CT UNEMPLOYMENT COM	75,000	75,000	.00	.00	.00	75,000.00	.0%
18109983 54180 HEART & HYPERTENSIO	400,000	400,000	36,331.12	6,186.22	.00	363,668.88	9.1%
18109983 54190 WORKERS COMPENSATIO	1,606,200	1,606,200	1,335,288.28	74,862.76	.00	270,911.72	83.1%
TOTAL STATE MANDATED BENEFITS	2,081,200	2,081,200	1,371,619.40	81,048.98	.00	709,580.60	65.9%
18209984 DEBT SERVICE - PAYMENTS							
18209984 54510 GEN'L PURPOSE BONDS	10,535,100	10,535,100	8,835,000.00	1,490,000.00	.00	1,700,100.00	83.9%

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18209984 54520 GEN'L PURPOSE BONDS	3,991,641	3,991,641	3,141,584.53	821,840.63	.00	850,056.47	78.7%
18209984 54640 CLEAN WATER FUND PM	101,954	101,954	104,974.39	.00	.00	-3,020.39	103.0%
TOTAL DEBT SERVICE - PAYMENTS	14,628,695	14,628,695	12,081,558.92	2,311,840.63	.00	2,547,136.08	82.6%
18309910 C-MED							
18309910 54320 PAYMENTS TO OUTSIDE	42,179	42,179	.00	.00	38,593.28	3,585.72	91.5%
TOTAL C-MED	42,179	42,179	.00	.00	38,593.28	3,585.72	91.5%
19009990 UNALLOCATED EXPENSES							
19009990 52340 MILEAGE ALLOWANCE R	500	500	127.98	15.98	.00	372.02	25.6%
19009990 56000 UNIDENTIFIED SAVING	160,000	160,000	93,848.60	9,886.43	.00	66,151.40	58.7%
19009990 56010 UNALLOCATED CONTING	2,662,000	2,662,000	.00	.00	.00	2,662,000.00	.0%
19009990 56140 PRIMARY EXPENSE	60,000	60,000	40,141.28	.00	.00	19,858.72	66.9%
19009990 56175 ADVANCE FUNDING OPE	150,000	150,000	.00	.00	.00	150,000.00	.0%
19009990 56210 CONSULTING SERVICES	100,000	100,000	.00	.00	.00	100,000.00	.0%
19009990 56305 ELECTION EXPENSE	35,000	35,000	5,210.16	.00	.00	29,789.84	14.9%
19009990 56320 COVID 19 EXP-STATE	0	0	30,803.96	4,123.10	.00	-30,803.96	100.0%
19009990 56360 BANK SERVICE FEES	50,000	50,000	9,329.58	2,241.68	.00	40,670.42	18.7%
19009990 56370 DOG FUND REPORT	9,000	9,000	.00	.00	.00	9,000.00	.0%
19009990 56990 MISCELLANEOUS	2,000	2,000	.00	.00	.00	2,000.00	.0%
TOTAL UNALLOCATED EXPENSES	3,228,500	3,228,500	179,461.56	16,267.19	.00	3,049,038.44	5.6%
TOTAL EXPENSES	78,401,695	78,401,695	49,553,854.27	7,346,416.06	1,316,389.31	27,531,451.09	
GRAND TOTAL	78,401,695	78,401,695	49,553,854.27	7,346,416.06	1,316,389.31	27,531,451.09	64.9%

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195 WH FIRE DEPT-ALLINGTOWN (FD3)							
19500010 ALLINGTOWN FD - ADMIN.							
19500010 51000 REGULAR WAGES	282,273	282,273	232,703.10	27,511.70	.00	49,569.90	82.4%
19500010 52100 GAS HEATING	15,000	15,000	5,744.70	2,029.76	2,341.98	6,913.32	53.9%
19500010 52110 ELECTRICITY	16,000	16,000	8,070.15	1,117.15	1,972.05	5,957.80	62.8%
19500010 52130 WATER	195,000	195,000	94,588.34	93,672.79	82.80	100,328.86	48.5%
19500010 52150 TELEPHONE EXPENSE	14,000	14,000	9,442.44	130.20	2,023.66	2,533.90	81.9%
19500010 52330 TRAINING AND EDUCAT	34,000	34,000	5,892.88	.00	.00	28,107.12	17.3%
19500010 52360 BUSINESS EXPENSE	14,000	14,000	10,689.01	903.49	2,639.23	671.76	95.2%
19500010 52420 FINANCIAL SERVICES	20,000	20,000	4,700.00	.00	.00	15,300.00	23.5%
19500010 52530 BUILDING MAINTENANC	20,000	20,000	9,617.61	.00	1,812.00	8,570.39	57.1%
19500010 52580 EQUIPMENT MAINTENAN	70,000	70,000	42,261.64	10,249.92	12,235.22	15,503.14	77.9%
19500010 52820 PSYCHOLOGICAL TESTI	14,000	14,000	13,935.00	.00	.00	65.00	99.5%
19500010 53110 OFFICE SUPPLIES	6,000	6,000	3,543.06	155.98	2,077.44	379.50	93.7%
19500010 53210 AUTOMOTIVE FUEL & F	18,000	18,000	6,465.46	22.15	.00	11,534.54	35.9%
19500010 54032 GEN'L LIAB INSUR PR	47,177	47,177	33,413.00	.00	.00	13,764.00	70.8%
19500010 54110 HEALTH INSURANCE PR	1,618,808	1,618,808	781,542.61	73,847.50	.00	837,265.39	48.3%
19500010 54120 LIFE INSURANCE PREM	15,000	15,000	12,014.97	2,429.97	1,966.93	1,018.10	93.2%
19500010 54130 FICA-CITY'S SHARE	7,621	7,621	12,713.51	1,839.25	.00	-5,092.51	166.8%
19500010 54140 PENSION - CITY'S SH	2,393,411	2,393,411	1,805,013.87	598,783.51	2,011.40	586,385.73	75.5%
19500010 54180 HEART & HYPERTENSIO	35,000	35,000	.00	.00	.00	35,000.00	.0%
19500010 54192 WORKERS COMP PREM-A	125,000	125,000	1,293.60	.00	.00	123,706.40	1.0%
19500010 55160 PC'S/HARDWARE	25,000	25,000	22,130.61	.00	.00	2,869.39	88.5%
19500010 55630 RADIO EQUIPMENT	5,000	5,000	833.93	535.05	.00	4,166.07	16.7%
19500010 55900 CAPITAL OUTLAY - OT	680,000	680,000	.00	.00	.00	680,000.00	.0%
19500010 56010 UNALLOCATED CONTING	175,000	175,000	26,132.24	3,072.00	18,498.07	130,369.69	25.5%
19500010 56175 ADVANCE FUNDING OPE	50,000	50,000	.00	.00	.00	50,000.00	.0%
19500010 56990 MISCELLANEOUS	16,000	16,000	13,616.15	1,375.00	.00	2,383.85	85.1%
TOTAL ALLINGTOWN FD - ADMIN.	5,911,290	5,911,290	3,156,357.88	817,675.42	47,660.78	2,707,271.34	54.2%
19500030 ALLINGTOWN FIRE DEPT OPS							
19500030 51000 REGULAR WAGES	1,596,383	1,596,383	1,012,496.64	139,262.70	.00	583,886.36	63.4%
19500030 51500 OVERTIME	475,000	475,000	266,474.61	19,689.22	.00	208,525.39	56.1%
19500030 51800 SEPARATION PAY	60,000	60,000	.00	.00	.00	60,000.00	.0%
19500030 52150 TELEPHONE EXPENSE	304,900	304,900	156,708.55	31,350.81	45,577.14	102,614.31	66.3%

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CITY OF WEST HAVEN LIVE
YEAR TO DATE BUDGET REPORT

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FOR 2023 08

	ORIGINAL APPROP	REVISED BUDGET	YTD ACTUAL	MTD ACTUAL	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
<u>19500030 52780 UNIFORM ALLOWANCE -</u>	12,000	12,000	10,037.00	1,466.00	.00	1,963.00	83.6%
<u>19500030 53250 TOOLS & MISCELLANEO</u>	90,000	90,000	43,402.76	854.43	15,288.26	31,308.98	65.2%
<u>19500030 53440 MEDICAL SUPPLIES</u>	35,000	35,000	31,420.06	569.84	766.65	2,813.29	92.0%
<u>19500030 54130 FICA-CITY'S SHARE</u>	60,442	60,442	39,369.08	4,714.61	.00	21,072.92	65.1%
<u>19500030 54140 PENSION - CITY'S SH</u>	66,073	66,073	35,995.72	4,226.43	.00	30,077.28	54.5%
<u>19500030 55220 TRUCKS</u>	465,000	465,000	.00	.00	.00	465,000.00	.0%
<u>19500030 56180 EDUCATIONAL REIMBUR</u>	107,225	107,225	14,400.00	14,400.00	.00	92,825.00	13.4%
TOTAL ALLINGTOWN FIRE DEPT OPS	3,272,023	3,272,023	1,610,304.42	216,534.04	61,632.05	1,600,086.53	51.1%
<hr/>							
19520045 GRANTS-ALLINGTOWN FD3							
<u>19520045 45231 PILOT-COLLEGES & HO</u>	-770,501	-770,501	-686,806.00	.00	.00	-83,695.00	89.1%
<u>19520045 45249 MRSA - MOTOR VEHICL</u>	-960,525	-960,525	-831,796.00	.00	.00	-128,729.00	86.6%
<u>19520045 45290 STATE MISCELLANEOUS</u>	-21,515	-21,515	-23,775.02	-23,284.25	.00	2,260.02	110.5%
<u>19520045 45340 SCCRWA- PILOT GRANT</u>	-49,166	-49,166	-48,775.44	-24,387.72	.00	-390.56	99.2%
TOTAL GRANTS-ALLINGTOWN FD3	-1,801,707	-1,801,707	-1,591,152.46	-47,671.97	.00	-210,554.54	88.3%
<hr/>							
19520047 MISCELLANEOUS REVENUE-ALL/FD3							
<u>19520047 42900 MISCELLANEOUS FEES</u>	-60,000	-60,000	-70,072.50	-95.00	.00	10,072.50	116.8%
<u>19520047 45130 FEDERAL EMERGENCY M</u>	-271,429	-271,429	-100,000.00	.00	.00	-171,429.00	36.8%
<u>19520047 46720 POLICE/FD EXTRA DUT</u>	-4,000	-4,000	-9,066.34	-1,279.34	.00	5,066.34	226.7%
<u>19520047 47050 FD BUNDLE BILLING E</u>	-40,000	-40,000	-2,374.46	-369.16	.00	-37,625.54	5.9%
<u>19520047 47060 FD TRANSPORT INCOME</u>	0	0	-26,025.35	-26,025.35	.00	26,025.35	100.0%
<u>19520047 47380 INSURANCE REIMBURSE</u>	0	0	-22,448.99	.00	.00	22,448.99	100.0%
<u>19520047 47600 DONATIONS</u>	-100,000	-100,000	.00	.00	.00	-100,000.00	.0%
<u>19520047 47900 MISCELLANEOUS</u>	0	0	-17,182.33	.00	.00	17,182.33	100.0%
TOTAL MISCELLANEOUS REVENUE-ALL/F	-475,429	-475,429	-247,169.97	-27,768.85	.00	-228,259.03	52.0%
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19524041 PROPERTY TAXES - ALLINGTOWN FD							
<u>19524041 41100 CURRENT PROPERTY TA</u>	-6,790,177	-6,790,177	-6,463,932.14	-252,046.64	.00	-326,244.86	95.2%
<u>19524041 41200 PRIOR YEARS TAX LEV</u>	-66,000	-66,000	-67,253.64	-7,742.18	.00	1,253.64	101.9%
<u>19524041 41300 SUSPENSE TAXES</u>	-6,000	-6,000	-9,264.96	-2,426.37	.00	3,264.96	154.4%
<u>19524041 41610 CURRENT PROPERTY TA</u>	-22,000	-22,000	-13,414.10	-3,408.18	.00	-8,585.90	61.0%

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CITY OF WEST HAVEN LIVE
YEAR TO DATE BUDGET REPORT

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FOR 2023 08

	ORIGINAL APPROP	REVISED BUDGET	YTD ACTUAL	MTD ACTUAL	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
<u>19524041 41620 PRIOR YEARS TAX INT</u>	-15,000	-15,000	-20,772.51	-988.71	.00	5,772.51	138.5%
<u>19524041 41630 SUSPENSE INTEREST</u>	-7,000	-7,000	-8,827.61	-1,986.55	.00	1,827.61	126.1%
TOTAL PROPERTY TAXES - ALLINGTOWN	-6,906,177	-6,906,177	-6,583,464.96	-268,598.63	.00	-322,712.04	95.3%
TOTAL REVENUES	-9,183,313	-9,183,313	-8,421,787.39	-344,039.45	.00	-761,525.61	
TOTAL EXPENSES	9,183,313	9,183,313	4,766,662.30	1,034,209.46	109,292.83	4,307,357.87	
GRAND TOTAL	0	0	-3,655,125.09	690,170.01	109,292.83	3,545,832.26	100.0%

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CITY OF WEST HAVEN LIVE
YEAR TO DATE BUDGET REPORT

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FOR 2023 08

	ORIGINAL APPROP	REVISED BUDGET	YTD ACTUAL	MTD ACTUAL	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
240 SEWER OPERATING FUND							
24048037 SEWER OPERATIONS ADMIN							
24048037 51000 REGULAR WAGES	121,950	121,950	.00	.00	.00	121,950.00	.0%
24048037 51050 SEWER BOARD CLERK	4,000	4,000	.00	.00	.00	4,000.00	.0%
24048037 51500 OVERTIME	8,000	8,000	.00	.00	.00	8,000.00	.0%
24048037 52360 BUSINESS EXPENSE	12,000	12,000	7,354.24	1,011.24	2,401.72	2,244.04	81.3%
24048037 52420 FINANCIAL SERVICES	55,156	55,156	.00	.00	.00	55,156.00	.0%
24048037 52440 ENGINEERING SERVICE	500,000	500,000	91,617.36	20,000.00	31,060.00	377,322.64	24.5%
24048037 52580 EQUIPMENT MAINTENAN	500,000	500,000	26,023.00	1,850.00	5,550.00	468,427.00	6.3%
24048037 52750 STATE PERMIT	7,000	7,000	.00	.00	.00	7,000.00	.0%
24048037 53200 HEATING OIL	15,000	15,000	.00	.00	.00	15,000.00	.0%
24048037 54100 FRINGE BENEFITS	9,330	9,330	.00	.00	.00	9,330.00	.0%
24048037 54130 FICA-CITY'S SHARE	9,000	9,000	.00	.00	.00	9,000.00	.0%
24048037 54140 PENSION - CITY'S SH	101,954	101,954	.00	.00	.00	101,954.00	.0%
24048037 54640 CLEAN WATER FUND PA	2,000	2,000	.00	.00	.00	2,000.00	.0%
24048037 55710 CAPITAL IMPROV.-SEW	600,000	600,000	242,266.35	10,983.61	421,393.66	-63,660.01	110.6%
24048037 55720 CAPITAL IMP - COLLE	600,000	600,000	148,251.60	25,298.42	20,968.26	430,780.14	28.2%
24048037 55749 CLEAN WATER (NEW)	1,797,987	1,797,987	1,535,112.34	176,565.55	.00	262,874.66	85.4%
24048037 56010 UNALLOCATED CONTING	300,000	300,000	.00	.00	.00	300,000.00	.0%
24048037 56990 MISCELLANEOUS	535,000	535,000	.00	.00	.00	535,000.00	.0%
TOTAL SEWER OPERATIONS ADMIN	5,178,377	5,178,377	2,050,624.89	235,708.82	481,373.64	2,646,378.47	48.9%
24048040 IN-HOUSE SEWER OPERATIONS							
24048040 51000 REGULAR WAGES	1,906,913	1,906,913	1,026,854.64	121,018.50	.00	880,058.36	53.8%
24048040 51500 OVERTIME	660,000	660,000	547,571.29	109,002.08	.00	112,428.71	83.0%
24048040 51530 VACATION BUY BACK	17,000	17,000	853.60	.00	.00	16,146.40	5.0%
24048040 51800 SEPARATION PAY	50,000	50,000	.00	.00	.00	50,000.00	.0%
24048040 52100 GAS HEATING	70,000	70,000	25,120.21	7,883.21	34,879.79	10,000.00	85.7%
24048040 52105 GASES (PROPANE, ETC	5,000	5,000	3,013.52	1,486.11	52.94	1,933.54	61.3%
24048040 52110 ELECTRICITY	1,200,000	1,200,000	496,065.12	56,079.73	242,119.46	461,815.42	61.5%
24048040 52130 WATER	175,000	175,000	86,250.89	8,738.03	63,749.11	25,000.00	85.7%
24048040 52150 TELEPHONE EXPENSE	8,000	8,000	4,169.88	642.49	1,688.96	2,141.16	73.2%
24048040 52510 MAINTENANCE SERVICE	80,000	80,000	32,447.04	2,253.60	7,705.57	39,847.39	50.2%
24048040 52540 MOTOR VEHICLE MAINT	40,000	40,000	23,372.15	1,664.00	5,484.77	11,143.08	72.1%
24048040 52650 OTHER RENTAL	5,000	5,000	.00	.00	.00	5,000.00	.0%

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CITY OF WEST HAVEN LIVE
YEAR TO DATE BUDGET REPORT

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FOR 2023 08

	ORIGINAL APPROP	REVISED BUDGET	YTD ACTUAL	MTD ACTUAL	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
24048040 52770 OTHER CONTRACTUAL S	200,000	200,000	78,717.88	14,742.50	36,756.87	84,525.25	57.7%
24048040 52910 TRASH PICKUP	16,000	16,000	5,629.75	1,363.34	.00	10,370.25	35.2%
24048040 53000 SUPPLIES & MATERIAL	200,000	200,000	92,142.80	7,240.65	27,033.60	80,823.60	59.6%
24048040 53200 HEATING OIL	1,000,000	1,000,000	632,010.82	153,118.50	6,063.49	361,925.69	63.8%
24048040 53210 AUTOMOTIVE FUEL & F	25,000	25,000	8,113.35	.00	.00	16,886.65	32.5%
24048040 53250 TOOLS & MISCELLANEO	50,000	50,000	33,410.31	685.07	3,109.11	13,480.58	73.0%
24048040 53430 JANITORIAL SUPPLIES	16,000	16,000	6,161.28	595.63	506.23	9,332.49	41.7%
24048040 53435 CHEMICALS	140,000	140,000	133,106.03	.00	6,893.97	.00	100.0%
24048040 53445 SAFETY SUPPLIES	8,000	8,000	3,194.55	835.12	1,951.90	2,853.55	64.3%
24048040 53450 LABORATORY SUPPLIES	68,000	68,000	41,766.50	8,597.00	7,267.25	18,966.25	72.1%
24048040 53460 CLOTHING & UNIFORMS	36,000	36,000	16,476.77	3,872.83	19,482.04	41.19	99.9%
24048040 54100 FRINGE BENEFITS	460,000	460,000	314,257.91	74,514.51	.00	145,742.09	68.3%
24048040 54130 FICA-CITY'S SHARE	183,932	183,932	114,837.43	17,370.24	.00	69,094.57	62.4%
24048040 54140 PENSION - CITY'S SH	200,000	200,000	91,661.74	13,511.26	.00	108,338.26	45.8%
24048040 54232 GENERAL LIABILITY C	250,000	250,000	27,953.75	17,256.82	.00	222,046.25	11.2%
24048040 54735 SEWER CLAIMS-WORKER	100,000	100,000	150,494.69	16,389.29	.00	-50,494.69	150.5%
24048040 56215 OUTSIDE SERVICES	150,000	150,000	80,106.94	9,911.00	14,296.20	55,596.86	62.9%
TOTAL IN-HOUSE SEWER OPERATIONS	7,319,845	7,319,845	4,075,760.84	648,771.51	479,041.26	2,765,042.90	62.2%
24048046 SEWER CHARGES							
24048046 46610 SEWER USE FEES-CURR	-11,827,022	-11,827,022	-11,006,011.64	-578,836.85	.00	-821,010.36	93.1%
24048046 46620 SEWER USE FEES - PR	-30,000	-30,000	58.92	-720.86	.00	-30,058.92	-.2%
24048046 46630 SEWER INTEREST & LI	-20,000	-20,000	-24,987.25	-4,232.31	.00	4,987.25	124.9%
24048046 46640 SEWER INTEREST & LI	-15,000	-15,000	-4,070.40	-133.59	.00	-10,929.60	27.1%
24048046 46670 ORANGE SHARE SERVIC	-380,000	-380,000	-398,124.41	.00	.00	18,124.41	104.8%
24048046 47675 ORANGE SHARE CWF DE	-196,200	-196,200	-124,107.98	-45,895.52	.00	-72,092.02	63.3%
24048046 47680 NITROGEN CREDIT	-30,000	-30,000	-17,167.00	.00	.00	-12,833.00	57.2%
TOTAL SEWER CHARGES	-12,498,222	-12,498,222	-11,574,409.76	-629,819.13	.00	-923,812.24	92.6%
TOTAL REVENUES	-12,498,222	-12,498,222	-11,574,409.76	-629,819.13	.00	-923,812.24	
TOTAL EXPENSES	12,498,222	12,498,222	6,126,385.73	884,480.33	960,414.90	5,411,421.37	
GRAND TOTAL	0	0	-5,448,024.03	254,661.20	960,414.90	4,487,609.13	100.0%

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WEST HAVEN PUBLIC SCHOOLS

"Schools Committed to Excellence"

West Haven Board of Education
355 Main Street, West Haven, CT 06516

Telephone: (203) 937-4300 ext. 7122 Fax: (203) 931-4736

Matthew Cavallaro 

Director of Finance

matthew.cavallaro@whschools.org

April 3, 2023

To: MARB Board Members
Re: February Financials

Enclosed within this document, please find the West Haven Board of Education financials through February 28, 2023. Included in this report is a projection spreadsheet, MUNIS data for period 8, and Year to Date reports for our two remaining COVID relief grants.

Through period 8, our spending continues to be less year to date when compared to the previous fiscal year. Our projection is still to finish the year on budget. Our projection will remain flat till the Excess Cost grant funding questions are resolved. Our COVID grants will be spent in full within the allotted timeframe of the allowable period. The ESSER II grant will be spent in full by June 30, 2023, and the ARP/ESSER III will be fully expended by June 30, 2024.

Areas within the budget that we are monitoring are as follows:

- Special Education Tuition (A01) and Special Education Transportation (B12) – These line items are the most volatile line items within the budget as the numbers of students continue to fluctuate throughout the year. West Haven is currently set to receive significantly less Excess Cost funding than we have in prior years, due to changes in the grant calculation. It is our understanding that language has been presented to the legislature to change the language back to the calculations in prior years. If the calculations are the same as prior years, our allocation will revert to historical funding. Until the calculations are set, our projection for the overall budget will remain conservative.
- Student Activity Advisors (C70) – The payroll information has been provided to the City's ARPA committee and the revenue will be provided to the Board shortly. Once received, this line item will no longer be overspent.
- Building Security (D24) and Photocopy Services (F06) – These expenses are co-funded between the local operating budget and other funding sources. Expenses accrued in future months will be charged to the other funding sources to ensure these areas stay within budget.
- Property and Liability Insurance (E12) – Communication between the City and BOE will continue to determine the possible explanation for the significant expense increase for FY23. If this line item continues to be over expended a budget transfer will be brought to the elected Board of Education as well as the MARB.
- Budget transfer have been approved by the Board of Education and will be submitted to the West Haven subcommittee for review.

CITY OF WEST HAVEN
BOARD OF EDUCATION EXPENDITURE REPORT
Feb-23

Variiances favorable/(unfavorable)

	ACTUAL				FORECAST				
	FY23 Budget	Feb YTD	Feb YTD	FY22 YTD	Feb YTD % Budget	FY22 YTD % Actual	FY23	Feb YTD	Δ to Budget
		Actual	FY22				Projected	% Fcst	
Superintendent / Principals / Asst.	2,455,093	1,285,310	1,291,890		52.4%	57.7%	2,455,093	52.4%	-
Teachers - Classroom	26,418,961	15,278,431	17,150,406		57.8%	62.7%	26,418,961	57.8%	-
Teachers - Special Education	5,528,607	2,975,982	3,219,422		53.8%	54.4%	5,528,607	53.8%	-
Teachers - Special Area	3,127,511	1,576,063	1,818,239		50.4%	53.4%	3,127,511	50.4%	-
Teachers - Substitutes/Interns	689,815	252,925	296,028		36.7%	42.4%	689,815	36.7%	-
Teacher Aides	3,131,743	2,406,619	2,490,672		76.8%	83.2%	3,131,743	76.8%	-
Pupil Services	1,454,761	751,112	861,806		51.6%	55.0%	1,454,761	51.6%	-
Clerical	1,756,551	1,049,534	988,802		59.7%	65.0%	1,756,551	59.7%	-
School Nurses	970,448	487,600	481,564		50.2%	47.0%	970,448	50.2%	-
Coordinators/Directors	1,334,374	773,962	608,154		58.0%	57.2%	1,334,374	58.0%	-
Custodial / Maintenance	2,941,725	1,714,949	1,841,119		58.3%	61.0%	2,941,725	58.3%	-
Lunch Aides	300,000	221,227	204,358		73.7%	68.1%	300,000	73.7%	-
Para Subs-Instructional Aides	105,000	76,669	202,508		73.0%	192.9%	105,000	73.0%	-
Homebound	125,000	26,505	35,393		21.2%	28.3%	125,000	21.2%	-
Detached Worker	98,261	76,914	42,011		78.3%	42.8%	98,261	78.3%	-
Athletic Coaches	175,000	100,213	36,474		57.3%	27.6%	175,000	57.3%	-
Adult Education	150,000	71,106	10,271		47.4%	6.8%	150,000	47.4%	-
Severance Pay	575,620	575,620	300,000		100.0%	74.0%	575,620	100.0%	-
Student Activity Advisors	100,000	106,677	9,742		106.7%	11.3%	100,000	106.7%	-
Salaries	51,438,470	29,807,418	31,888,859		57.9%	61.1%	51,438,470	57.9%	-
Health Insurance	14,105,092	10,717,187	10,742,176		76.0%	81.0%	14,105,092	76.0%	-
Medicare Only - Taxes	881,908	477,133	469,509		54.1%	58.4%	881,908	54.1%	-
Social Security	764,786	481,940	478,366		63.0%	69.4%	764,786	63.0%	-
Property & Liability Insurance	525,000	621,864	420,636		118.5%	83.7%	576,614	107.8%	(51,614)
Worker's Compensation	1,050,000	268,832	471,468		25.6%	85.3%	750,000	35.8%	300,000
Retirement Contributions	477,406	250,747	230,382		52.5%	71.1%	477,406	52.5%	-
Life Insurance	187,913	176,769	124,281		94.1%	58.4%	187,913	94.1%	-
Travel / Convention / Dues	77,200	21,818	32,639		28.3%	28.1%	77,200	28.3%	-
Other Benefits & Fixed Charges	146,500	11,700	7,500		8.0%	10.9%	46,500	25.2%	100,000
Benefits & Fixed Charges	18,215,805	13,027,990	12,976,957		71.5%	78.5%	17,867,419	72.9%	348,386
Tuition	8,487,214	7,248,807	5,064,673		85.4%	54.5%	8,929,100	81.2%	(441,886)
Bus Service	3,388,909	1,311,500	1,063,324		38.7%	34.8%	3,388,909	38.7%	-
Transportation - Phys. Handicapped	1,577,605	1,099,530	807,644		69.7%	53.6%	1,597,605	68.8%	(20,000)
Transportation - Regional VOC	314,214	123,661	123,661		39.4%	48.7%	314,214	39.4%	-
Transportation - Student Activities	109,717	63,206	61,165		57.6%	56.0%	109,717	57.6%	-
Student Transportation	5,390,445	2,597,897	2,055,794		48.2%	41.7%	5,410,445	48.0%	(20,000)
Site Repairs & Improvements	725,000	534,147	2,571,741		73.7%	357.9%	725,000	73.7%	-
Electricity	1,108,733	587,924	537,978		53.0%	50.8%	1,108,733	53.0%	-
Heating	564,487	199,522	136,923		35.3%	26.1%	564,487	35.3%	-
Water	103,919	51,636	43,409		49.7%	32.4%	103,919	49.7%	-
Telephone & Communications	364,178	103,032	226,015		28.3%	70.0%	364,178	28.3%	-
Building Security	388,740	288,483	388,740		74.2%	100.6%	388,740	74.2%	-
Solid Waste / Recycling	220,833	190,842	172,094		86.4%	87.8%	220,833	86.4%	-
Supplies & Equipment	273,799	264,108	192,275		96.5%	52.3%	273,799	96.5%	-
Other Expenses	98,140	64,922	76,590		66.2%	152.4%	98,140	66.2%	-
Operation of Plant	3,847,829	2,284,616	4,345,765		59.4%	115.6%	3,847,829	59.4%	-
Photocopy Services	304,809	226,960	335,938		74.5%	124.5%	304,809	74.5%	-
Consultant Services	525,000	378,336	182,843		72.1%	70.3%	525,000	72.1%	-
Police And Fire	75,000	593	305		0.8%	0.4%	75,000	0.8%	-
Printing / Postage / Supplies	117,850	74,727	76,352		63.4%	105.0%	117,850	63.4%	-
Other Services	138,500	-	1,801		0.0%	0.4%	25,000	0.0%	113,500
Purchased Services	1,161,159	680,616	597,239		58.6%	54.6%	1,047,659	65.0%	-
Instruction	1,419,500	915,197	3,172,246		64.5%	238.5%	1,419,500	64.5%	-
Board of Education	89,960,422	56,562,541	60,101,533		62.9%	67.4%	89,960,422	62.9%	-

Note : YTD actuals exclude encumbrances

FOR 2023 08

ACCOUNTS FOR: 101 GENERAL FUND	ORIGINAL APPROP	TRANFRS/ ADJSTMTS	REVISED BUDGET	YTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
A01 TUITION	8,487,214	0	8,487,214	7,248,807.44	53,964.12	1,184,442.44	86.0%
B04 CONTRACTED BUS SERVICE/PUBLIC	3,110,372	0	3,110,372	1,202,526.24	338,804.92	1,569,040.84	49.6%
B06 BUS SERVICE: NON PUBLIC SCHOOL	278,537	0	278,537	108,973.64	27,243.41	142,319.95	48.9%
B08 TRANSPORTATION: REG VOC-TECH-	238,850	0	238,850	92,381.88	23,095.47	123,372.65	48.3%
B10 TRANSPORTATION: REG VOC-AG	75,364	0	75,364	31,278.84	7,819.71	36,265.45	51.9%
B12 TRANSPORT: PHYS. HANDICAPPED	1,577,605	0	1,577,605	1,099,529.95	4,335.00	473,740.05	70.0%
B16 TRANSPORTATION: STDNT ACTIVITY	109,717	0	109,717	63,206.00	15,590.36	30,920.64	71.8%
C04 SALARY - SUPERINTENDENT	190,759	0	190,759	156,270.19	.00	34,488.81	81.9%
C06 SALARY - ASST. SUPERINTENDENT	160,000	0	160,000	86,153.90	.00	73,846.10	53.8%
C07 SALARY - CLERICAL: BLAKE ADMIN	928,590	0	928,590	558,780.92	.00	369,809.08	60.2%
C10 SALARY - PRINCIPALS	1,199,575	0	1,199,575	683,433.53	.00	516,141.47	57.0%
C12 SALARY - ASST. PRINCIPALS	904,759	0	904,759	359,451.93	.00	545,307.07	39.7%
C14 SALARY - COORD & DIRECTORS	1,334,374	0	1,334,374	773,961.67	.00	560,412.33	58.0%
C16 SALARY - CLASSROOM TEACHERS	26,518,934	-99,974	26,418,961	15,278,430.80	165.04	11,140,364.66	57.8%
C18 SALARY - SPECIAL ED TEACHERS	5,626,082	-97,475	5,528,607	2,975,981.68	.00	2,552,625.32	53.8%
C20 SALARY - ADULT EDUCATION	150,000	0	150,000	71,105.81	.00	78,894.19	47.4%
C22 SALARY - HOMEBOUND	125,000	0	125,000	26,505.00	.00	98,495.00	21.2%
C24 SALARY - SPECIAL AREA TEACHERS	3,205,682	-78,171	3,127,511	1,576,063.02	.00	1,551,447.91	50.4%
C26 SALARY - PUPIL SERVICES	1,454,761	0	1,454,761	751,112.32	.00	703,648.68	51.6%
C28 SALARY - CLERICAL: SECOND. SCH	535,410	0	535,410	311,009.44	.00	224,400.56	58.1%
C30 SALARY - CLERICAL: ELEM. SCH.	262,551	0	262,551	175,892.67	.00	86,658.33	67.0%
C32 SALARY - SUBSTITUTE CLERKS	30,000	0	30,000	3,851.42	.00	26,148.58	12.8%
C34 SALARY - LUNCH AIDES	300,000	0	300,000	221,227.32	.00	78,772.68	73.7%
C36 SALARY - TEACHER AIDES	3,131,743	0	3,131,743	2,406,619.00	.00	725,124.00	76.8%
C38 SALARY - PARA SUBSTITUTES	105,000	0	105,000	76,668.89	.00	28,331.11	73.0%
C40 SALARY - DETACHED WORKER	98,261	0	98,261	76,913.57	.00	21,347.43	78.3%
C42 SALARY - SUBSTITUTE TEACHERS	689,815	0	689,815	252,925.08	.00	436,889.92	36.7%
C44 SALARY - SEVERANCE PAY	300,000	275,620	575,620	336,332.44	.00	239,287.13	58.4%
C46 SALARY - NURSES: PUBLIC	824,968	0	824,968	447,510.13	.00	377,457.87	54.2%
C48 SALARY - NURSES: NON-PUBLIC	145,480	0	145,480	40,089.52	.00	105,390.48	27.6%
C58 SALARY - CUSTODIANS	1,877,910	0	1,877,910	1,134,375.84	.00	743,534.16	60.4%
C60 SALARY - SUBSTITUTE CUSTODIANS	105,000	0	105,000	.00	.00	105,000.00	.0%
C62 SALARY - O/T CUSTODIANS	79,638	0	79,638	-4,708.28	.00	84,346.28	-5.9%
C64 SALARY - MAINTENANCE	802,378	0	802,378	585,281.48	.00	217,096.52	72.9%
C66 SALARY - O/T MAINTENANCE	60,799	0	60,799	.00	.00	60,799.00	.0%
C68 SALARY - STUDENT ACTIVITY ADV.	100,000	0	100,000	106,677.49	.00	-6,677.49	106.7%
C70 SALARY - ATHL COACHES: HS	175,000	0	175,000	100,213.42	.00	74,786.58	57.3%
C72 SALARY - CUST COMMUNITY SVCS	16,000	0	16,000	.00	.00	16,000.00	.0%
D04 ELECTRICITY	1,108,733	0	1,108,733	587,924.09	25,547.64	495,261.27	55.3%
D08 WATER	103,919	0	103,919	51,635.69	.00	52,283.31	49.7%
D10 TELEPHONE & COMMUNICATIONS	364,178	0	364,178	103,032.08	13,019.84	248,126.08	31.9%
D12 RUBBISH REMOVAL	220,833	0	220,833	190,842.04	.00	29,990.96	86.4%
D14 CUSTODIAL SUPPLIES	163,049	0	163,049	194,523.15	18,128.37	-49,602.52	130.4%
D16 HEAT FOR BUILDINGS	564,487	0	564,487	199,521.96	75,149.26	289,815.78	48.7%

FOR 2023 08

ACCOUNTS FOR: 101	GENERAL FUND	ORIGINAL APPROP	TRANFRS/ ADJSTMNTS	REVISED BUDGET	YTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
D18	EQUIPMENT - OPERATION OF PLANT	45,000	0	45,000	52,805.50	.00	-7,805.50	117.3%
D20	REPAIR TO BUILDINGS	625,000	0	625,000	443,690.96	46,398.97	134,910.07	78.4%
D22	UPKEEP OF GROUNDS SUPPLIES	14,050	0	14,050	.00	.00	14,050.00	.0%
D24	BUILDING SECURITY	388,740	0	388,740	288,483.21	150,304.01	-50,047.22	112.9%
D26	GAS, OIL & GREASE	34,090	0	34,090	5,302.56	.00	28,787.44	15.6%
D28	REPAIR TO EQUIPMENT: MAINT.	33,250	0	33,250	14,344.73	2,426.82	16,478.45	50.4%
D30	OTHER EXPENSES: MAINTENANCE	50,000	0	50,000	59,619.44	137,087.68	-146,707.12	393.4%
D32	EQUIPMENT - MAINT. OF PLANT	32,500	0	32,500	2,435.04	.00	30,064.96	7.5%
D34	IMPROVEMENT TO SITES	100,000	0	100,000	90,456.18	773.00	8,770.82	91.2%
E02	CENTRAL OFFICE - TRAVEL	800	0	800	.00	.00	800.00	.0%
E04	CENTRAL OFFICE - DUES & CONF.	7,000	0	7,000	2,050.00	1,250.00	3,700.00	47.1%
E06	TRAVEL CONV. & DUES: TCHRS/ADM	50,000	0	50,000	19,768.00	.00	30,232.00	39.5%
E08	PROFESSIONAL CERT. REIMBURSMNT	46,500	0	46,500	11,700.00	.00	34,800.00	25.2%
E10	TRAVEL - MAINTENANCE	19,400	0	19,400	.00	.00	19,400.00	.0%
E12	PROPERTY & LIABILITY INSURANCE	525,000	0	525,000	621,864.29	.00	-96,864.29	118.5%
E14	HEALTH INSURANCE: CERTIFIED	9,487,344	0	9,487,344	6,869,318.78	1,938.25	2,616,086.97	72.4%
E16	LIFE INSURANCE: CERTIFIED	187,913	0	187,913	176,768.79	45,278.99	-34,134.78	118.2%
E18	SOCIAL SECURITY	764,786	0	764,786	481,939.65	.00	282,846.35	63.0%
E20	RETIREMENT CONTRIBUTIONS	477,406	0	477,406	250,746.56	.00	226,659.44	52.5%
E22	MEDICARE ONLY - TAXES	881,908	0	881,908	477,133.27	.00	404,774.73	54.1%
E24	UNEMPLOYMENT COMPENSATION	100,000	0	100,000	.00	.00	100,000.00	.0%
E26	HEALTH INSURANCE: NON-CERT	4,617,748	0	4,617,748	3,847,867.95	1,466.10	768,413.95	83.4%
E30	WORKER'S COMPENSATION	1,050,000	0	1,050,000	268,832.48	.00	781,167.52	25.6%
F02	POSTAGE	60,000	0	60,000	19,106.00	.00	40,894.00	31.8%
F04	PRINTING & PUBLISHING	35,000	0	35,000	1,111.00	.00	33,889.00	3.2%
F06	PHOTOCOPY SERVICES	269,809	0	269,809	225,849.11	42,697.62	1,262.27	99.5%
F08	BOE MISC. EXPENSE	1,350	0	1,350	496.43	680.22	173.35	87.2%
F10	DATA PROCESSING SERVICES	78,500	0	78,500	.00	.00	78,500.00	.0%
F12	CONSULTANT SERVICES	260,000	0	260,000	176,800.30	57,004.91	26,194.79	89.9%
F14	SERVICE CONTRACTS	265,000	0	265,000	201,535.82	.00	63,464.18	76.1%
F18	BOE - OFFICE SUPPLIES	1,800	0	1,800	.00	1,573.64	226.36	87.4%
F20	CENTRAL OFFICE - SUPPLIES	22,500	0	22,500	40,418.66	2,059.60	-19,978.26	188.8%
F22	CENTRAL OFFICE - MISC. EXPENSE	3,500	0	3,500	1,141.50	.00	2,358.50	32.6%
F26	POLICE & FIRE	75,000	0	75,000	592.80	208.16	74,199.04	1.1%
F28	BOE - DUES & CONFERENCES	25,200	0	25,200	1,601.00	.00	23,599.00	6.4%
F30	SUBSCRIPTIONS	3,500	0	3,500	11,963.70	.00	-8,463.70	341.8%
F34	FITZGERALD COMPLEX	60,000	0	60,000	.00	.00	60,000.00	.0%
G02	ANSWERING SERVICE	10,850	0	10,850	23,964.00	.00	-13,114.00	220.9%
G04	REPAIR TO EQUIPMENT: INSTRUCT.	25,000	0	25,000	399.00	.00	24,601.00	1.6%
G06	MISC. EXPENSES	20,000	0	20,000	2,450.18	4,022.34	13,527.48	32.4%
G08	ELEM. READING DEVELOPMENT	12,000	0	12,000	63,289.07	9,886.61	-61,175.68	609.8%
G10	TEACHING SUPPLIES	314,400	0	314,400	127,566.83	180,975.62	5,857.55	98.1%
G12	TEXTBOOKS	225,000	0	225,000	177,339.22	12,588.47	35,072.31	84.4%
G14	PERIODICALS	13,500	0	13,500	59,620.12	8,583.82	-54,703.94	505.2%

FOR 2023 08

ACCOUNTS FOR: 101 GENERAL FUND	ORIGINAL APPROP	TRANFRS/ ADJSTMTS	REVISED BUDGET	YTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
G16 EDUCATIONAL MEDIA SUPPLIES	120,000	0	120,000	55,694.69	19,800.00	44,505.31	62.9%
G18 STANDARDIZED TESTING PROGRAM	54,750	0	54,750	25,350.00	1,514.90	27,885.10	49.1%
G20 CURRICULUM IMPROVEMENT	45,000	0	45,000	44,696.83	27,499.23	-27,196.06	160.4%
G22 LIBRARY BOOKS	37,500	0	37,500	49,798.55	807.16	-13,105.71	134.9%
G24 OTHER LIBRARY EXPENSE	5,000	0	5,000	4,622.77	.00	377.23	92.5%
G26 EQUIPMENT - NON INSTRUCTIONAL	20,000	0	20,000	38,906.71	329.99	-19,236.70	196.2%
G28 EQUIPMENT - INSTRUCTIONAL	50,000	0	50,000	33,340.66	.00	16,659.34	66.7%
G29 EQUIPMENT - TECHNOLOGY	320,000	0	320,000	72,462.34	52,425.40	195,112.26	39.0%
G30 PSYCHIATRIC SERVICES	0	0	0	9,502.76	4,589.67	-14,092.43	100.0%
G32 MEDICAL SERVICES - SUPPLIES	11,500	0	11,500	15,354.85	8,791.96	-12,646.81	210.0%
G34 MEDICAL SERVICES - MISC. EXP.	25,000	0	25,000	15,020.84	2,118.00	7,861.16	68.6%
G36 EQUIPMENT: MEDICAL SERVICES	10,000	0	10,000	.00	.00	10,000.00	.0%
G38 EQUIPMENT & SUPPLIES: ACTIV.	100,000	0	100,000	95,817.66	36,642.93	-32,460.59	132.5%
TOTAL GENERAL FUND	89,960,421	0	89,960,421	56,323,253.99	1,464,587.21	32,172,579.80	64.2%

YEAR-TO-DATE BUDGET REPORT

FOR 2023 08

ACCOUNTS FOR: 462 ARP/ESSER III	ORIGINAL APPROP	TRANFRS/ ADJSTMTS	REVISED BUDGET	YTD ACTUAL	ENCUMBRANCES	AVAILABLE BUDGET	PCT USED
000 NULL							
46270045 45290 STATE MISCELLANE	0	0	0	-6,300,000.00	.00	6,300,000.00	100.0%
TOTAL NULL	0	0	0	-6,300,000.00	.00	6,300,000.00	100.0%
S35 UNUSED							
S62V29SG 61112 SALARY TEACHERS	4,500,000	0	4,500,000	125,000.00	.00	4,375,000.00	2.8%
S62V29SG 63300 OTHER PROFESSION	1,500,000	9,781,456	11,281,456	4,698,497.53	.00	6,582,958.47	41.6%
S62V29SG 65100 STUDENT TRANSPOR	0	0	0	.00	.00	.00	.0%
S62V29SG 66110 INSTRUCTIONAL SU	1,100,000	2,595,000	3,695,000	1,627,925.86	5,138.25	2,061,935.89	44.2%
TOTAL UNUSED	7,100,000	12,376,456	19,476,456	6,451,423.39	5,138.25	13,019,894.36	33.2%
TOTAL ARP/ESSER III	7,100,000	12,376,456	19,476,456	151,423.39	5,138.25	19,319,894.36	.8%
TOTAL REVENUES	0	0	0	-6,300,000.00	.00	6,300,000.00	
TOTAL EXPENSES	7,100,000	12,376,456	19,476,456	6,451,423.39	5,138.25	13,019,894.36	

YEAR-TO-DATE BUDGET REPORT

FOR 2023 08			ORIGINAL	TRANFRS/	REVISED	YTD ACTUAL	ENCUMBRANCES	AVAILABLE	PCT
ACCOUNTS FOR:			APPROP	ADJSTMTS	BUDGET			BUDGET	USED
461	ESSER II								
000 NULL									
46170045	45290	STATE MISCELLANE	0	0	0	-5,722,152.50	.00	5,722,152.50	100.0%
	TOTAL NULL		0	0	0	-5,722,152.50	.00	5,722,152.50	100.0%
S35 UNUSED									
S61V29SG	61112	SALARY TEACHERS	7,500,000	0	7,500,000	4,918,764.25	.00	2,581,235.75	65.6%
S61V29SG	63300	OTHER PROFESSION	623,000	0	623,000	401,127.12	.00	221,872.88	64.4%
S61V29SG	65100	STUDENT TRANSPOR	396,305	0	396,305	248,110.57	.00	148,194.43	62.6%
S61V29SG	66110	INSTRUCTIONAL SU	203,227	296,773	500,000	250,000.00	.00	250,000.00	50.0%
	TOTAL UNUSED		8,722,532	296,773	9,019,305	5,818,001.94	.00	3,201,303.06	64.5%
	TOTAL ESSER II		8,722,532	296,773	9,019,305	95,849.44	.00	8,923,455.56	1.1%
		TOTAL REVENUES	0	0	0	-5,722,152.50	.00	5,722,152.50	
		TOTAL EXPENSES	8,722,532	296,773	9,019,305	5,818,001.94	.00	3,201,303.06	

Beach Street Road Improvements Phase 2

- Raising of Beach Street Phase 2 Construction
- Funded through State Grant
- Public Bid #2023-08
 - Bid was issued on 3/1/23 and closed on 3/21/23
 - 6 bids received – Bid Tally Sheet attached
 - Bids reviewed by DTC and a scope review of the apparent low bidder found them to be capable of the work
 - Low bid was reviewed and selected
 - Laydon Industries - \$4,800,523.50 – Proposed contract attached





ENGINEER
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DELIVERING YOUR PROJECTS WITH INNOVATION & INTEGRITY

March 22, 2023

Mr. Abdul Quadir, PE
City Engineer, City of West Haven
355 Main Street
West Haven CT 06516

**SUBJECT: Construction of Beach Street Roadway Improvements - Phase 2
Bid No. 2023 – 08
DTC Project No. 14-462-505**

Mr. Quadir:

Bids for the subject project were opened March 21, 2023 with six bidders:

Laydon Industries	\$4,800,523.50
Guerrera Construction Company	\$5,297,961.00
Colonna Concrete & Asphalt Paving	\$5,520,600.00
Loureiro Contractors	\$6,088,838.00
B&W Paving & Landscaping	\$6,120,220.00
Burns Construction Company	\$7,890,000.00

The six bids were checked and tabulated. There were no discrepancies

The Bid of the Low Bidder, Laydon Industries, contained the necessary bid documents including:

5% Bid Bond, Acknowledgement of the three Addendums, Non-Collusion Affidavit, Vendor Background Data, DECD Contract Compliance Form, Vendor Certification and Affidavit of No Real Personal Property Taxes Owed by Bidder for Contracts to The City of West Haven, and DAS Prequalification Construction Contractor Certification. All documents appear to be in order.

Therefore, we recommend that the contract be awarded to Laydon Industries in the amount of \$4,800,523.50.

Sincerely,

Brian M. Skonieczny, PE
Director Civil Engineering

DTC, Inc.

Enclosure: Bid Tabulation

Information contained in this document is proprietary and confidential and may not be disseminated to any party other than the intended recipient without the written consent of DTC.

**AGREEMENT
BY AND BETWEEN THE
CITY OF WEST HAVEN
AND
LAYDON INDUSTRIES, LLC**

This Agreement, made this _____ day of _____, 2023 by and between the City of West Haven, a municipal corporation organized and existing under the laws of the State of Connecticut, with offices located at City Hall, 355 Main Street, West Haven, Connecticut 06516 (hereinafter referred to as the “City”) and Laydon Industries, LLC, a (limited liability company) organized and existing under the laws of the State of Connecticut, with an office and place of business located at 299 terminal Lane, New Haven, CT 06519 (hereinafter referred to as the “Contractor”).

ARTICLE 1 DESCRIPTION OF WORK

The Contractor shall provide all labor, materials and equipment necessary or reasonably required to complete the project known as Beach Street Roadway Improvements Phase 2 (hereinafter referred to as the “Project”) in a workmanlike and professional manner in accordance with the Contract Documents (hereinafter referred to as the “Work”).

ARTICLE 2 CONTROL OF WORK

The Project shall be administered on behalf of the City by Abdul Quadir, City Engineer, hereinafter referred to as the “Director.” The Contractor shall follow any and all instructions, reviews, advice, approvals or directives issued by the Director.

ARTICLE 3 CONTRACT DOCUMENTS

The Contract Documents shall consist of this Agreement, the Invitation for Bid, the City’s Request for Proposals – including all documents included in the bid package and any addenda thereto – and the Contractor’s Bid Response. The Contract Documents are intended to supplement and complement each other and shall, where possible, be thus interpreted. If, however, any provision of a contract document irreconcilably conflicts with a provision of another, the Contractor immediately shall bring the conflict to the Director’s attention. The Director will review the purported conflict and issue his determination of the proper interpretation of the Contract Documents, which shall be final and binding upon the Contractor.

The Director also shall make the final determination as to the intent of the Contract Documents should the parties have any disagreements pertaining to same.

ARTICLE 4 SITE INVESTIGATION

The Contractor hereby confirms and acknowledges that it has fully examined the location of the Work and the surrounding area (hereinafter referred to as the "Site") and is fully aware of all existing conditions that may, in any way, affect the Work. The Contractor acknowledges and agrees that it shall have no claim for additional compensation arising out of any condition that could have been found during a thorough review of the Site.

ARTICLE 5 INFORMATION NOT GUARANTEED

The City has provided the Contractor with information pertaining to the Project and will provide any and all additional information in the City's possession or control that may be necessary for the proper completion of the Work. In addition, upon the Contractor's request, the City will assist the Contractor in obtaining additional information pertaining to the Site and/or the Work. Notwithstanding the foregoing, the Contractor acknowledges that it has made its own inquiry and investigation into the accuracy of any information provided by the City or obtained with the City's assistance and the Contractor agrees that it will make no claim against the City by reason of the Contractor's alleged reliance on any such information.

ARTICLE 6 COMPLIANCE WITH LAWS, REGULATIONS AND PERMITS

The Contractor shall observe all Federal, State, and local laws and regulations and shall procure all necessary licenses and permits, pay all charges and fees, and give all notices necessary and incident to the due and lawful prosecution of the Work hereunder without any additional compensation. The Contractor also shall be responsible for and shall correct, at its sole cost and expense, any violation thereof resulting from or in connection with the performance or failure to perform the Work.

ARTICLE 7 PERFORMANCE AND PAYMENT BONDS

If the work to be performed hereunder involves the construction, alteration or repair of any public building or public work where the compensation due the Contractor will exceed \$100,000, the Contractor shall furnish the City with a Payment Bond guaranteeing payment to all those providing materials or furnishing labor or both to the Project and the Contractor shall furnish the City with a Performance Bond guaranteeing the satisfactory completion of the Project. Both bonds shall list the Contractor as the principal and the City as the obligee and both bonds shall be in a principal amount equal to 100% of the Contract Amount listed in Article 8 and be from a surety that is satisfactory to the City.

If bonds are required pursuant to this Article, the Contractor's obligation to provide acceptable Performance and Payment Bonds will be a condition precedent to the City's execution of this Agreement.

ARTICLE 8 CONTRACT AMOUNT

The City will pay to the Contractor for the satisfactory completion of the Project and all of the Contractor's duties, obligations and responsibilities under this Agreement, subject to additions and deductions as herein provided, the total sum of **Four million eight hundred thousand five hundred twenty three Dollars and fifty cents (\$4,800,523.50)**.

ARTICLE 9 PROGRESS PAYMENTS

During the course of the Work, the Contractor shall be entitled to progress payments based upon the value of the Work completed to date as certified by the Director. If the Contractor's bid was for a lump sum price instead of a unit price contract, then the Contractor shall submit with its first application for payment a detailed schedule of values showing a breakdown of the Contract Amount specified in Article 8. The schedule of values will be reviewed by the Director and will be accepted or returned to the Contractor with requested revisions. Once accepted, however, the Contractor's schedule of values shall provide a basis for reviewing the Contractor's applications for payment.

On or before the last day of the month – but no more often than once per month – the Contractor shall submit to the Director an application for payment in a form acceptable to the City. The application for payment will indicate the total value of the work completed to date, which will be determined by either multiplying the contract unit prices by the item quantities completed to date or by using the approved schedule of values. The amount of the requested payment will then be determined by deducting five percent (5%) retainage and the previous amounts certified for payment from the total value of the completed work.

The Director will review the application for payment within 5 days of receipt. If the Director agrees that the application for payment accurately reflects the value of the work completed to date, then the Director will certify to the City that the requested payment should be issued. If the Director does not agree that the application reflects the actual value of the completed work, then the Director shall make adjustments to the application for payment and certify to the City the amount of the payment that it believes should be issued. The Director shall give the Contractor notice of the amount of the certified payment and, if the Director does not certify the application for payment for the full amount that the Contractor requested, then the notice shall state the reasons why the Contractor's application for payment was adjusted.

The Director may adjust the Contractor's applications for payment in the best interests of the City. The reasons that the Director may adjust the Contractor's application for payment include, but are not limited to, adjustments necessary to reflect the actual value of completed work, adjustments necessary to cover the cost of any defective or incomplete work and/or adjustments necessary to protect the City against any claims or potential claims that may be made against the City arising out of the Project.

Once the application for payment has been certified by the Director, payment shall be made to the Contractor within forty-five (45) days thereafter. No payment made under or in connection with this Agreement shall be construed as an acceptance of defective, faulty or improper work or materials nor shall it release the Contractor from any of its obligations under this Agreement: nor shall entrance and use by the City constitute acceptance of the Work or any part thereof.

The Contractor shall make payment to all of its subcontractors for whose work it has received payment from the City within thirty (30) days of its receipt of payment from the City. The Contractor also shall include in all of its subcontracts a provision requiring its subcontractors to pay their sub-subcontractors within thirty (30) days of their receipt of payment from the Contractor.

ARTICLE 10 FINAL PAYMENT

Final payment, not including the release of retainage, by the City to the Contractor shall become due and payable when: (1) the Work has been fully (100%) completed and accepted by the City; (2) the Contractor provides the City with evidence satisfactory to the City that there are no claims, obligations or liens outstanding or unsatisfied for labor, services, materials, equipment, taxes or other items performed, furnished, or incurred for or in connection with the Work; (3) the Contractor executes and delivers a general release running to and in favor of the City; (4) the Contractor provides all required manufacturers' certifications that all products and materials have been properly installed and/or incorporated into the Project and issuance of all applicable manufacturers' warranties for same; and (5) the Contractor provides all required Certified Payrolls acceptable to the State of Connecticut Department of Labor.

The Contractor shall indemnify, defend and hold harmless the City from any and all claims, if any, arising out of the Project that are brought against the City after final payment is made.

Final payment shall not be considered a waiver of any and all claims arising out of the Project that the City has, had or ever may have against the Contractor.

ARTICLE 11 RELEASE OF RETAINAGE

Retainage will be held for a period of twelve (12) months following the completion of the Work. If, at any time during the twelve (12) month period following the completion of the Work, the Contractor fails to make any repairs pursuant to Article 25, the City may cause the repairs to be made and pay the expense of any such repairs out of the sum retained. Upon expiration of the twelve (12) month period, provided that the Work shall be in good order, the Contractor shall be entitled to the release of the retainage less monies expended for repairs, if any, as specified herein.

ARTICLE 12 TIME FOR COMPLETION

Within ten (10) calendar days after its receipt of a Notice to Proceed from the City, the Contractor shall commence the Work and shall diligently and continuously prosecute the Work until completion. The Contractor shall complete the Work by no later than _____ calendar days after the issuance of the Notice to Proceed.

Upon receipt of the Notice to Proceed, the Contractor shall submit a schedule indicating the timely completion of the Work. The schedule shall provide information pertaining to the times and sequence of operations required for the Work. The Contractor shall continuously

monitor the project schedule and shall submit periodic updates indicating the actually time that was required for individual operations – if different from the time originally scheduled – and indicating any adjustments to the schedule for the remaining Work necessary to complete the Work by the Project’s completion date.

If the prosecution of the Work is delayed, obstructed, hindered or interfered with by any cause including but not limited to any act, omission, neglect, negligence or default of the City or anyone employed by City or by any extraordinary conditions arising out of war or government regulations, or by any other cause beyond the control of and not due to any fault, neglect, act or omission of the Contractor, its officers, agents, employees, subcontractors or suppliers, the Contractor shall be entitled to an extension of time for a period equivalent to the time lost by reason of any and all of the aforesaid causes. Notwithstanding the foregoing, the Contractor shall not be entitled to any such extension of time unless the Contractor (1) notifies the City, in writing, of the cause or causes of such delay, obstruction, hindrance or interference within seven (7) days of the commencement thereof and (2) demonstrates that it could not have anticipated or avoided such delay, obstruction, hindrance or interference and has used all available means to minimize the consequences thereof. The Contractor acknowledges that the seven (7) day written notice requirement is a condition precedent to the Contractor's right to a time extension and the Contractor expressly waives all claims for a time extension if the aforesaid notice is not given.

ARTICLE 13 LIQUIDATED DAMAGES

Time is of the essence. The Contractor hereby acknowledges and agrees that timely completion of the Project is necessary if the City is to avoid damages, additional costs and inconveniences that would be impossible or extremely difficult to accurately quantify. In light of the foregoing, if the Contractor fails to complete the Work within the time specified in Article 12, then the sum of twenty five hundred Dollars (\$2500.00) per calendar day shall be deducted from any monies due or that otherwise may become due the Contractor.

This sum shall not be imposed as a penalty but as liquidated damages due the City because of the damages, inconveniences and additional costs resulting from the Contractor’s delay in completing the Work.

ARTICLE 14 NO DAMAGES FOR DELAY

The Contractor agrees that it shall not be entitled to any cost reimbursement, compensation or damages of any kind for any delay, obstruction, suspension, hindrance or interference to the Work and that the only contract adjustment to which it may be entitled for any such delay, obstruction, suspension, hindrance or interference to the Work shall be a time extension, if authorized by the City, pursuant to Article 12.

ARTICLE 15 SHOP DRAWINGS (IF APPLICABLE)

The Contractor shall prepare and submit to the Director such shop drawings as may be necessary to describe completely the details and construction of the Work. Approval of such shop drawings by the Director shall not relieve the Contractor of its obligation to perform the Work in strict accordance with the Contract Documents.

The Contractor's submission of a shop drawing to the Director shall constitute the Contractor's representation that the Contractor has reviewed the submission for accuracy and compliance with all Contract Documents and that all required engineering has been performed by a qualified and licensed engineer. Furthermore, the review of the Shop Drawings by the Director shall not constitute an undertaking by the Director to identify deficiencies in the submission, which is the Contractor's sole responsibility.

ARTICLE 16 INSPECTION AND DEFECTIVE WORK

The Contractor shall at all times provide sufficient, safe and proper facilities for the inspection of the Work by the Director and its authorized representatives. The Contractor shall, within twenty-four (24) hours after receiving written notice of defective work, proceed to take down all portions of the Work and remove from the premises all materials that the Director shall condemn as unsound, defective or improper or as in any way failing to conform to the Contract Documents, and the Contractor, at its own cost and expense, shall replace the same with proper and satisfactory work and materials and make good all work damaged or destroyed by or as a result of such unsound, defective, improper or nonconforming work or materials or by the taking down, removal or replacement thereof.

ARTICLE 17 DAMAGE TO THE WORK

The Contractor shall remain fully liable for the Site and the Work until the Project is accepted by the City. The City shall not be responsible for any damage to the Work prior to final acceptance. In addition, the City shall not be responsible for any loss or damage to materials, tools, equipment, appliances or other personal property owned, rented or used by the Contractor in the performance of the Work.

The Contractor is responsible for protecting the Work from damage that may be caused by weather, Site conditions, traffic, or by other contractors. Protection includes, but is not limited to, barricades and signage, coverage or insulation to protect from rain, dust, wind, snow and freezing temperatures and any other protection customarily required and provided, *e.g.* roofs under construction must be made watertight so that building interiors are properly protected. The Contractor also is responsible to protect areas adjacent to the Work from damage that could be caused by its operations.

ARTICLE 18 CHANGES TO THE WORK

The City reserves the right – without invalidating this Agreement – to make changes to the Work that may involve additions, deletions and/or modifications to the Contract Documents. Upon receipt of a proposed addition, deletion and/or modification to the Contract Documents, the Contractor shall notify the City of its proposed increase or deduction in the Contract Amount requested as a result thereof. If the City accepts the Contractor's proposal, the Director shall

issue a written change order incorporating the proposed addition, deletion and/or modification into the Contract Documents.

If the City and the Contractor are unable to agree upon the value of the work to be changed, added or omitted, the Contractor shall proceed with the work promptly under a written order of the City from which order the stated value of the work shall be omitted, and the determination of the value of the work shall be determined by the Director. The Director's decision pertaining to the value of the work shall be final and binding upon the parties hereto.

In the case of omitted work, the City shall have the right to withhold from payments due or to become due to the Contractor an amount which, in the City's opinion, is equal to the value of such work.

All changes, additions or omissions in the Work ordered in writing by the City shall be deemed to be a part of the Work hereunder and shall be performed and furnished in strict accordance with all of the terms and provisions of this Agreement and the other Contract Documents. The obligations of Contractor shall not be reduced, waived or adversely affected by the issuance of such change orders.

ARTICLE 19 SAFETY

The Contractor agrees that the prevention of accidents to workmen and property engaged upon or in the vicinity of the Site is its responsibility. The Contractor agrees to comply with all Federal, State, Municipal and local laws, ordinances, rules, regulations, codes, standards, orders, notices and requirements concerning safety as shall be applicable to the Work, including, among others, the Federal Occupational Safety and Health Act of 1970, as amended, and all standards, rules, regulations and orders which have been or shall be adopted or issued thereunder, and with the safety standards established during the progress of the Work.

When so ordered, the Contractor shall stop any part of the Work that the Director deems unsafe until corrective measures satisfactory to Director have been taken, and the Contractor agrees that it shall not have any claim for damages growing out of such stoppages. Should the Contractor neglect to take such corrective measures, the City may take corrective measures but is not required to do. The cost of any such safety measures implemented by the City will be deducted from monies otherwise due the Contractor. The Contractor's failure to stop unsafe practices shall in no way relieve the Contractor of its responsibility for safety regardless of whether the City takes any action. The Contractor will indemnify, defend and hold harmless the City from any and all claims, liabilities and damages arising from the Contractor's unsafe practices.

ARTICLE 20 DISPUTE RESOLUTION

If the Contractor encounters a situation for which it believes it is due additional compensation, the Contractor shall submit notice of its claim, in writing, to the City within thirty (30) days following the occurrence of an event giving rise to the claim or within thirty (30) days after the Contractor first acquires knowledge or information concerning the claim, whichever occurs later

to the extent that such knowledge or information could not have been reasonably obtained earlier. The written notice of claim shall describe the nature of the claim, the events or circumstances that gave rise to the claim with reasonable detail, and the amount thereof to the best of the Contractor's information.

The Claim shall be submitted to the Director for an initial decision. The Director's decision shall not be final and binding upon the parties but shall serve as the basis for discussion if the parties do not agree with the Director's initial decision.

The parties recognize that claims are a part of the construction process and that disagreements may arise. The parties further recognize that it is preferable for them to reach an amicable resolution of same without the need to resort to formal dispute resolution procedures. In light of the foregoing, the City and the Contractor hereby agree that if they disagree with the Director's initial decision regarding a claim, then they will participate in good faith negotiations in an attempt to reach an agreement.

In the event that such disputes are not resolved by good faith negotiations, the matter may be submitted to non-binding mediation before a third party neutral if both parties agree to same and are willing to share the costs. Any disputes that are not resolved by negotiation and/or mediation shall be resolved in the Connecticut Superior Court for the Judicial District of New Haven at New Haven.

ARTICLE 21 TERMINATION OR SUSPENSION

The City may at any time and for any reason terminate this Contract for convenience by written notice specifying the termination date, which shall be not less than seven (7) days from the date such notice is given. In the event of such termination, services shall be paid for in such amount as shall compensate the Contractor for the portion of the services satisfactorily performed prior to termination but such compensation shall not include unabsorbed home office overhead or lost profits. Such amount shall be fixed by the City after consultation with the Contractor.

In the event the City determines that there has been a material breach by the Contractor of any of the terms of the Contract Documents; the Contractor refuses or has failed to perform the Work or any part thereof in a timely, professional and diligent manner as will ensure its completion in accordance with the requirements hereof; the City determines that the Work hereunder is not being performed according to the Contract Documents; the Contractor at any time refuses or neglects to supply a sufficient number of skilled workers or materials of the proper quality and quantity; the Contractor fails in any respect to prosecute the Work with promptness and diligence; the Contractor causes by any act or omission the stoppage, delay, or damage to the Work of any other contractors or subcontractors on the Project; the Contractor fails in the performance of any of the terms and provisions of the Contract Documents; there is filed by or against the Contractor a petition in bankruptcy or for an arrangement or reorganization; or the Contractor becomes insolvent or is adjudicated bankrupt or goes into liquidation or dissolution, either voluntarily or involuntarily or under a court order, or makes a general assignment for the benefit of creditors, or otherwise acknowledges insolvency, the City has the right, power and authority to terminate this Contract for cause upon providing the Contractor three (3) days

written notice. Said notice is provided for the purposes of allowing the Contractor the opportunity to wind down its operations and is not intended to provide the Contractor with the opportunity to cure.

In the event of a termination for cause, the City may proceed with the Project in such manner and by such process as it determines to be in the best interest of the Project and the Contractor shall be obligated to pay the City the cost of completing the Work to the satisfaction of the City and of performing and furnishing all labor, services, materials, equipment, and other items required therefor, but also for all losses, damages, costs and expenses, (including legal fees and disbursements incurred in connection with the re-procurement, in defending claims arising from such default and in seeking recovery of all such costs and expenses from the Contractor and/or its surety), and disbursements sustained, incurred or suffered by reason of or resulting from the Contractor's default. Upon a termination for cause, the City will have no further obligation to issue payments to the Contractor until the Work is complete.

If the costs and expenses and other charges associated with completing the work exceed the amount otherwise due the Contractor, then such excess amounts shall be charged to and promptly paid by the Contractor to the City. In computing the amounts chargeable to the Contractor, the City shall not be held to a basis of the lowest prices for which the completion of the Project or any part thereof might have been accomplished but the Contractor shall be liable for all sums actually paid or expenses actually incurred in affecting the prompt completion of the Project.

If the Contract is terminated for cause and that termination ultimately is determined to have been wrongful, then the termination will be considered to have been a termination for convenience and the Contractor shall be compensated for its work in accordance with the first paragraph of this Article. The Contractor will not be entitled to any other compensation or damages – other than that specified in the event of a termination for convenience – as a result of the termination initially having been deemed a termination for cause.

The City also shall have the right to suspend the Contractor's performance under this Contract at any time and for any reason that the City deems in its best interest. Should the City reactivate the performance of the Project, in whole or in part, within one (1) year from the time of suspension, any fees paid to the Contractor pursuant to this Agreement shall be applied as payment on the fees as set forth in the Agreement at the time of reactivation, and payment for all remaining work shall be made in accordance with this Contract Documents without adjustment. Should reactivation occur after a period of suspension exceeding one (1) year but not sooner, the Contractor and the City may renegotiate the Contract Amount based upon current conditions or the Contractor or the City may unilaterally elect to terminate this Agreement.

Termination or suspension under this section shall not give rise to any claim against the City for damages or compensation in addition to that provided herein.

ARTICLE 22 INDEMNIFICATION

The Contractor expressly agrees to at all times indemnify, defend and hold harmless the City and its officers, agents and employees, on account of any and all demands; claims; damages; losses; litigation; financial costs and expenses, including counsel's fees; and compensation arising out of personal injuries (including death), any damage to property, real or personal, and any other loss or expense, directly or indirectly, arising out of, related to or connected with the Project and the Work to be performed hereunder by the Contractor, its employees, agents, subcontractors, material suppliers, or anyone directly or indirectly employed by any of them. The Contractor shall and does hereby assume and agree to pay for the defense of all such claims, demands, suits, proceedings and litigation. The provisions of this paragraph shall survive the expiration or early termination of this Agreement; shall be separate and independent of any other provision or requirement of this Agreement; and shall not be limited by reason of any insurance coverage provided hereunder.

The City may withhold from any payment due or to become due to the Contractor an amount sufficient in its judgment to protect and indemnify the City, its officers, agents, servants and employees from and against any and all such claims and liabilities described above.

Nothing in this provision, or elsewhere in this Agreement, shall be deemed to relieve the Contractor of its duty to defend the City, as specified in this Agreement, pending a determination of the respective liabilities of the Contractor and the City, by legal proceeding or agreement.

In furtherance to but not in limitation of the indemnity provisions in this Agreement, the Contractor hereby expressly and specifically agrees that its obligation to indemnify, defend and save harmless as provided in this Agreement shall not in any way be affected or diminished by any statutory or constitutional immunity it enjoys from suits by its own employees or from limitations of liability or recovery under workers' compensation laws.

ARTICLE 23 INSURANCE

The Contractor shall provide and maintain insurance coverage related to its services in connection with the Project in compliance with the following requirements.

Workers' Compensation insurance: With respect to all operations the Contractor performs, it shall carry workers' compensation insurance in accordance with the requirements of the laws of the State of Connecticut, and employer's liability limits of One Hundred Thousand Dollars (\$100,000.00) coverage for each accident, One Hundred Thousand Dollars (\$100,000.00) coverage for each employee by disease and Five Hundred Thousand (\$500,000.00) policy limit coverage for disease.

Commercial General Liability: With respect to all operations the Contractor performs, it shall carry Commercial General Liability insurance providing for a total limit of One Million Dollars (\$1,000,000.00) coverage per occurrence for all damages arising out of bodily injury, personal injury, property damage, products/completed operations, and contractual liability coverage for the indemnification obligations arising under this contract. Each annual aggregate limit shall not be less than Two Million Dollars (\$2,000,000.00).

Automobile Liability: With respect to each owned, non-owned, or hired vehicles the Contractor shall carry Automobile Liability insurance providing One Million Dollars (\$1,000,000.00) coverage per accident for bodily injury and property damage. If the contractor is a Hazardous Waste Hauler (trucker) or responsible for the removal of hazardous materials, then Automobile Liability in the amount of \$5,000,000.00 combined single limit is required.

Environmental Liability: If applicable based on the Contractor's Work, the Contractor is required to provide environmental and remediation insurance in the amount of \$10,000,000.00 per claim limit and \$10,000,000.00 aggregate limit.

Railroad's Protective Public Liability and Property Damage Liability Insurance: If the Project involves work on, over or under the right of way of any railroad company, the Contractor shall carry, with respect to the operations it performs and also those performed for it by subcontractors for and in behalf of the railroad company, regular Protective Public Liability insurance providing for a limit of not less than One Million Five Hundred Thousand Dollars (\$1,500,000.00) for all damages arising out of bodily injury to or death of one person, and subject to that limit for each person, a total limit of Two Million Dollars (\$2,000,000.00) for all damages arising out of bodily injury to or death of two or more persons in any one accident or occurrence.

Umbrella Excess Liability: If the contract amount is in excess of \$100,000, then umbrella excess liability insurance in the amount of \$5,000,000 each occurrence also is required.

If any of the required liability insurance is on a "claims made" basis, "tail" coverage will be required at the completion of the Project for a duration of twenty-four (24) months, or the maximum time period reasonably available in the marketplace. The Contractor shall furnish certification of "tail" coverage as described or continuous "claims made" liability coverage for twenty-four (24) months following Project completion. Continuous "claims made" coverage will be acceptable in lieu of "tail" coverage provided its retroactive date is on or before the effective date of this contract. If continuous "claims made" coverage is used, the Contractor shall be required to keep the coverage in effect for a duration of not less than twenty-four (24) months from the date of final completion of the Project.

The Contractor shall require that all subcontractors provide the same "minimum scope and limits of insurance" as required herein. All Certificates of Insurance shall be provided to the City.

Any aggregate limits must be declared to and be approved by the City. It is agreed that the Contractor shall notify the City whenever fifty percent (50%) of the aggregate limits are eroded during the required coverage period. If the aggregate limit is eroded for the full limit, the Contractor agrees to reinstate or purchase additional limits to meet the minimum limit requirements stated herein. Any premium for such shall be paid by the Contractor.

Any deductible or self-insured retentions must be declared to and approved by the City. All deductibles or self-insured retentions are the sole responsibility of the Contractor to pay and/or to indemnify.

Each insurance policy required shall be endorsed to state that coverage shall not be suspended, voided, cancelled, or reduced in coverage or in limits except after thirty (30) days prior written notice by certified mail, return receipt requested, has been given to the City.

Unless requested otherwise by the City, the Contractor and its insurer shall waive governmental immunity as defense and shall not use the defense of governmental immunity in the adjustment of claims or in the defense of any suit brought against the City.

The liability insurance coverage, except Workers' Compensation required for the performance of this Agreement, shall include the City as an Additional Insured but only with respect to the Contractor's activities to be performed under this Agreement. Coverage shall be primary and non-contributory with any other insurance and self-insurance.

As evidence of the insurance coverage required by this Agreement, the Contractor shall furnish Certificate(s) of Insurance to the City prior to the Contractor's commencement of services under this contract. The Certificate(s) will specify all parties who are endorsed on the policy as Additional Insureds (or Loss Payees). The Certificates and endorsements for each insurance policy are to be signed by a person authorized by the insurer to bind coverage on its behalf. Renewals of expiring Certificates shall be filed thirty (30) days prior to expiration. The City reserves the right to require complete, certified copies of all required policies at any time.

ARTICLE 24 SUBCONTRACTING/ASSIGNMENT

The Contractor shall not subcontract any portion of the Work to be performed hereunder without the prior written consent of the Director. The Director's approval shall be necessary as to both the work to be subcontracted and the subcontractor to perform the same.

The Contractor shall not assign, sell, transfer, delegate or encumber any rights, duties or obligations arising under this Agreement including, but not limited to, any right to receive payments hereunder, without the prior written consent of the City in its sole discretion. The giving of any such consent to a particular assignment shall not dispense with the necessity of such consent to any further or other assignments. In the event Contractor assigns, sells, encumbers or otherwise transfers its rights to any monies due or to become due under this Agreement as security for any loan, financing or other indebtedness (hereinafter the "Assignment"), notification to the City of such Assignment must be sent by certified mail, return receipt requested, and the Assignment shall not be effective as against the City until the City provides its written consent to such Assignment. The Contractor agrees that any such Assignment shall not relieve the Contractor of any of its duties, responsibilities or obligations under this Agreement and the other Contract Documents and shall not create a contractual relationship or a third party beneficiary relationship of any kind between the City and the assignee or transferee.

The Contractor further agrees that all of the City's defenses and claims arising out of this Agreement with respect to any Assignment are reserved unless expressly waived in writing by a duly authorized representative. The Contractor hereby agrees to indemnify, defend and hold

harmless the City from and against any and all loss, cost, expense or damages that the City has or may sustain or incur in connection with the Assignment.

ARTICLE 25 WARRANTIES

The Contractor shall expeditiously remove, replace and/or repair at its own expense and at the convenience of the City any faulty, defective or improper Work, materials or equipment existing or discovered within one (1) year from the date of the acceptance of the Project as a whole by the City.

Without limiting the generality of the foregoing, the Contractor warrants to the City that all materials and equipment furnished under this Agreement will be of first class quality and new, unless otherwise required or permitted by the Contract Documents, that the Work performed pursuant to this Agreement will be free from defects and that the Work will strictly conform with the requirements of the Contract Documents. Work not conforming to such requirements, including substitutions not properly approved and authorized, shall be considered defective. All warranties contained in this Agreement and in the Contract Documents shall be in addition to and not in limitation of all other warranties or remedies required and/or arising pursuant to applicable law. Failure of Contractor to honor and satisfy the foregoing and any other warranties or guarantees required of the Contractor under the Contract Documents, shall constitute a default by Contractor.

ARTICLE 26 WAGE RATES

Pursuant to Connecticut General Statutes, Section 31-53, the following provision shall be incorporated into this Agreement and each subcontract hereunder for work relating to the construction of a public works project where the total cost of all work to be performed in connection with such project is Four Hundred Thousand Dollars (\$400,000.00) or more, and each contract for work relating to the remodeling, refinishing, refurbishing, rehabilitation, alteration or repair of any public works project where the total cost of all work to be performed in connection with such project is One Hundred Thousand Dollars (\$100,000.00) or more:

The wages paid on an hourly basis to any mechanic, laborer or workman employed upon the work herein contracted to be done and the amount of payment or contribution paid or payable on behalf of each such employee to any employee welfare fund described in Section 31-53(h) of the Connecticut General Statutes, shall be at a rate equal to the rate customary or prevailing for the same work in the same trade or occupation in the City of West Haven. Any contractor who is not obligated by agreement to make a payment or contribution on behalf of such employees to any such employee welfare fund shall pay to each employee as part of his wages the amount of payment or contribution for his classification on each pay day.

ARTICLE 27 LOCAL WORKER PREFERENCE

In the employment of mechanics, laborers and workmen for the Work on the Project, the Contractor and all lower-tiered subcontractors shall give employment preference to citizens of West Haven. The Contractor and all lower-tiered subcontractors shall submit such relevant documents and other information as may be requested by the City to determine compliance with this article. In order to monitor compliance with the section, the City may request such relevant documents and documentation from the Contractor or from subcontractors at any time during the term of the Contract. The Contractor shall comply with or arrange for compliance with all such requests promptly.

Prior to the commencement of performance of the Work on the Project, the Contractor and all lower-tiered subcontractors shall forward a written statement indicating the name, address and occupational title of each mechanic, laborer and workman scheduled to perform work on the Project. Amended statements shall be filed before any new mechanic, laborer and workman commences work under the Contract.

If, after review, the City determines that the Contractor or any lower-tiered subcontractor has failed to comply with this Article, in addition to any other remedy available to it, the City may require corrective action to be taken by the Contractor or it may terminate the Contract.

ARTICLE 28 NO DISCRIMINATION

The Contractor and all lower-tiered subcontractors agree and warrant that in the performance of the Work that they shall not discriminate or permit discrimination in employment against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, sex or on the basis of physical or mental disability, including but not limited to blindness, unless it is shown by the Contractor or subcontractor that such disability prevents performance under the Contract. The Contractor and all sub-tier contractors also agree that for purposes of monitoring compliance with the provisions of this section they shall provide the City with such information as may be requested concerning their employment practices and procedures. For purposes hereof, discrimination in employment shall include but not be limited to employment advertising, recruitment, layoff, termination, rates of pay or other forms of compensation, conditions or privileges of employment.

The Contractor and all lower-tiered subcontractors shall post notices in conspicuous places on the project site describing the provisions of this Article. Nothing contained herein is intended or shall be construed to relieve the Contractor or any lower-tiered subcontractor from compliance with applicable federal or state law concerning equal employment opportunity, affirmative action or nondiscrimination.

If, after review, the City determines that the Contractor or any lower-tiered subcontractor has failed to comply with this Article, in addition to any other remedy available to it, the City may require corrective action to be taken by the Contractor or it may terminate the Contract.

ARTICLE 29 APPRENTICE PROGRAMS

If the Work requires utilizing trades or occupations for which state-certified apprenticeship programs exist, the Contractor shall be affiliated with such programs and the Contractor shall require lower-tiered subcontractors to be affiliated with same. The Contractor or any lower-tiered subcontractor may be relieved from compliance with this Article if provisions of its existing labor agreements prevent compliance with the requirements hereof. In that event, prior to the commencement of performance, the

Contractor or subcontractor shall submit their reasons for such action in writing, along with supporting documents, to the City.

In order to monitor compliance with this Article, the City may request such relevant documents and documentation from the Contractor or any lower-tiered subcontractor at any time during the term of the Contract. The Contractor shall comply with any or arrange for compliance with all such requests promptly.

An apprentice is defined as a person employed under a written agreement enrolled in a registered program by the State of Connecticut to work at and to learn a specific trade as defined in Connecticut State General Statutes Section 31-51(a).

If, after review, the City determines that the Contractor or any lower-tiered subcontractor has failed to comply with this Article, in addition to any other remedy available to it, the City may require corrective action to be taken by the Contractor or it may terminate the Contract.

ARTICLE 30 SERVERABILITY

In the event that any provision of any part of a provision of this Agreement shall be determined to be superseded, invalid, illegal or otherwise unenforceable pursuant to applicable law by an authority having jurisdiction, such determination shall not impair or otherwise affect the validity, legality, or enforceability of the remaining provisions or parts of provisions of this Agreement, which shall remain in full force and effect as if the unenforceable provision or part were deleted.

ARTICLE 31 ENTIRE AGREEMENT

This Agreement constitutes the entire agreement between the parties hereto. No oral representations or other agreements have been made by the City except as stated in the Agreement. This Agreement may not be changed in any way except as herein provided, and no term or provision hereof may be waived by the City except in writing signed by its duly authorized officer or agent.

ARTICLE 32 NOTICES

All notices of any nature referred to in this Agreement shall be in writing and sent by registered or certified mail, postage prepaid, to the respective addresses set forth above or to such other addresses as the respective parties hereto may designate in writing.

ARTICLE 33 PROVISIONS REQUIRED BY LAW

Each and every provision and clause required by law to be inserted in this Agreement shall be deemed to be inserted herein and the Agreement shall be read and enforced as though such provisions and clauses were included herein. If, through mistake or otherwise, any such provision is not inserted or is not correctly inserted, then upon the written consent of the parties, this Agreement shall forthwith be physically amended to make such insertion.

ARTICLE 34 CORPORATE RESOLUTION

The Contractor represents to the City as follows:

That the Contractor is a legally existing business entity under the laws of its respective states of recording and has not previously filed, nor is presently contemplating filing, nor has received notice of a petition of, nor contemplates receiving notice of a petition of, bankruptcy, liquidation, receivership or any other action for the protection of creditors or debtors;

That the Contractor has the financial resources to complete the Project;

That the Contractor has, and has exercised, the required power and authority and has complied with all applicable legal requirements necessary to adopt, execute and deliver this Agreement and to assume the responsibilities and obligations created hereunder; and

That this Agreement is duly executed and delivered by an authorized individual, in accordance with such individual's powers to bind the organization hereunder, and constitutes a valid and binding obligation enforceable in accordance with its terms, conditions and provisions.

IN WITNESS WHEREOF, the City and the Contractor have duly executed this agreement on the day and year first above written.

Signed, Sealed and Delivered
in the Presence of:

CITY OF WEST HAVEN

By: _____

Nancy R. Rossi
Duly Authorized

By: _____

Its
Duly Authorized

(Affix corporate seal of Contractor if a corporation)

APPROVED AS TO AVAILABILITY OF FUNDS:

By: _____ Date: _____
Comptroller

APPROVED AS TO FORM:

By: _____ Date: _____
Corporation Counsel

Rev. 8/42008

FIRE CHIEF VEHICLES

- Memo attached from Chief Terenzio
- 2 – 2023 Chevrolet Tahoe
 - Dealer is on State Contract list – Sullivan’s Northwest Hills
- State Contract – 19PSX0161
- Funding Source – FY 22-23 Allingtown Budget

- Each vehicle with emergency outfitting totals \$50,818 for a total purchase price of \$100,636.





City of West Haven Fire Department Allingtown

COMMISSIONERS

Iris Diaz, Chair
Troy Tappin, Vice Chair
Andrea Palumbo
Paul Sikorski, Jr.

Frederick Brown, alternate
Kevin Corcoran, alternate

Michael R. Terenzio
Chief of Department
mterenzio@cityofwesthavenfd.org

Michael T. Esposito
Deputy Chief/Fire Marshal
mesposito@cityofwesthavenfd.org

Melissa DeVellis, Administrative Assistant
mdevellis@cityofwesthavenfd.org

Hello Rick,

I am submitting a purchasing request for 2 Fire Chief vehicle replacements and would like to have the purchases presented to the MARB for approval as they exceed the \$50,000 threshold.

As per your guidance and the procurement process please find;

1. A detailed price sheet for each vehicle with a final delivered price including the outfitting costs for warning devices required for an emergency vehicle
2. The Funding source for the purchase will be from the City of West Haven Fire Department Allingtown Budget for FY 22-23
3. State Bid Contract number and the associated Dealer
4. and, a Brief synopsis on the need for the purchase and what the plan for the cars being replaced is. Justification can be found in the Department Apparatus Replacement Plan matrix attached.

The purchases have been budgeted for, and approved by the FD Allingtown Fire Commission. We have provided the vehicle vendor on the State Contract Bid a verbal intent to purchase so that he can locate the vehicles and we will be given two separate invoices that will include the vehicle and the necessary outfitting to equip them for emergency responses as a Fire Response Vehicle.

Vehicles on the State Bid Contract are quickly becoming scarce and the "order gate" for 2024 models is closed. This fact will delay acquisition of vehicles well into the following year. The two Chief vehicles are scheduled to be replaced as per our Apparatus/Vehicle Replacement Plan. They are 10 years old and have an average of over 80k miles (60K on one and over 115k on the other).

One vehicle, CAR 5, has over 115K miles has just received over \$2500 in repairs for a water pump replacement, timing chain replacement and a few other minor repairs. This vehicle will be traded in and the money allotted will be used to defray the cost of one of the new vehicles purchased.



City of West Haven Fire Department Allingtown

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mterenzio@cityofwesthavenfd.org

Michael T. Esposito
Deputy Chief/Fire Marshal
mesposito@cityofwesthavenfd.org

Melissa DeVellis, Administrative Assistant
mdevellis@cityofwesthavenfd.org

Car 4, with only 60K, will be retained and used periodically by the part time Fire Marshal Inspector or as a back up Command vehicle.

The vehicles are priced at \$38,586 each, plus, approximately an additional \$12,232 for outfitting with emergency warning devices for a total of \$50,818 each. This is total for two fully equipped for emergency response vehicles of \$100,636.

Thank you for your assistance and if you have any questions, please do not hesitate to contact me.

Respectfully,

Michael Terenzio, Fire Chief
City of West Haven FD, Allingtown

Northwest Hills Automotive, LLC
 2065 East Main Street
 Torrington, CT 06790
 www.northwesthillsdealerships.com
 (203)528-6674



Quote # : 04/03/2023

Prepared For: WEST HAVEN

State Contract Award # : 19PSX0161

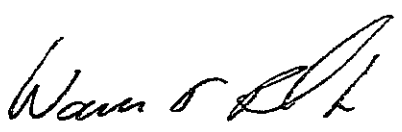
Make	Model Year	BASE BID MODEL DESCRIPTION
CHEVROLET	2023	CHEVROLET TAHOE SSV 4X4

Base Warranty 36Mos 360000 Miles
Powertrain Warranty 5yr 100000 miles

Base Contract Price \$38,586.00

Vehicle to include all manufacturers standard and optional equipment as described in the original bid specifications plus the following options:

Option or Model #	Discount %	Upgrades / Factory Options	QTY	MSRP (\$)	Discount (\$)	Net Amount (\$)
A50	12.00%	FRONT BUCKET SEATS	1	\$350.00	\$42.00	\$308.00
AMF	12.00%	REMOTE KEYLEES PKG	1	\$75.00	\$9.00	\$66.00
BTV	12.00%	REMOTE START PKG	1	\$300.00	\$36.00	\$264.00
PQA	12.00%	1FL SAFETY PKG	1	\$395.00	\$47.40	\$347.60
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
Totals:				\$1,120.00	\$134.40	\$985.60

After Market Options								
Item #	MFR	Discount	Description	QTY	List Price	State Discount (\$)	Net Amount (\$)	
1					\$ -	\$ -	0.00	
			Labor				0.00	
2						\$ -	0.00	
			Labor			\$ -	0.00	
3						\$ -	0.00	
			Labor			\$ -	0.00	
4						\$ -	0.00	
			Labor			\$ -	0.00	
5						\$ -	0.00	
			Labor			\$ -	0.00	
6						\$ -	0.00	
			Labor			\$ -	0.00	
Parts Aecessories and Labor							0.00	
Connecticut Motor Vehicle Fees								
Total Standard, Optional, and Aftermarket Equipment :					each \$	\$39,571.60		
				Units: 1	Total:	\$39,571.60		
Name:	CAR- 5			QTY	Exterior	Interior		
FIN Code:				1		BLACK		
VIN:								
 _____ Signature				_____ 04/03/2023 Date				

Northwest Hills Automotive, LLC
 2065 East Main Street
 Torrington, CT 06790
 www.northwesthillsdealerships.com
 (203)528-6674



Quote # : 04/03/2023

Prepared For: WEST HAVEN

State Contract Award # : 19PSX0161


Make	Model Year	BASE BID MODEL DESCRIPTION
CHEVROLET	2023	CHEVROLET TAHOE SSV 4X4

Base Warranty 36Mos 360000 Miles
Powertrain Warranty 5yr 100000 miles

Base Contract Price \$38,586.00

Vehicle to include all manufacturers standard and optional equipment as described in the original bid specifications plus the following options:

Option or Model #	Discount %	Upgrades / Factory Options	QTY	MSRP (\$)	Discount (\$)	Net Amount (\$)
A50	12.00%	FRONT BUCKET SEATS	1	\$350.00	\$42.00	\$308.00
AMF	12.00%	REMOTE KEYLEES PKG	1	\$75.00	\$9.00	\$66.00
BTV	12.00%	REMOTE START PKG	1	\$300.00	\$36.00	\$264.00
PQA	12.00%	1FL SAFETY PKG	1	\$395.00	\$47.40	\$347.60
					\$0.00	\$0.00
					\$0.00	\$0.00
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					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
					\$0.00	\$0.00
Totals:				\$1,120.00	\$134.40	\$985.60

After Market Options							
Item #	MFR	Discount	Description	QTY	List Price	State Discount (\$)	Net Amount (\$)
1					\$ -	\$ -	0.00
			Labor				0.00
2						\$ -	0.00
			Labor			\$ -	0.00
3						\$ -	0.00
			Labor			\$ -	0.00
4						\$ -	0.00
			Labor			\$ -	0.00
5						\$ -	0.00
			Labor			\$ -	0.00
6						\$ -	0.00
			Labor			\$ -	0.00
Parts Acessories and Labor							0.00
Connecticut Motor Vehicle Fees							
Total Standard, Optional, and Aftermarket Equipment :					each \$		\$39,571.60
				Units:	1	Total:	\$39,571.60
Name:	CAR- 4			QTY	Exterior	Interior	
FIN Code:				1		BLACK	
VIN:							
							
Signature				Date			
				04/03/2023			



Fleet Auto Supply
 757 First Avenue West Haven Ct 06516
 203 931 0388 203 931 7484 fax
 fasbpt@aol.com

To: Mike Espisito
 Re: 2023 Tahoe
 From: William Chizmadia
 April 4 2023

QTY	MODEL NUMBER	DESCRIPTION	LIST PRICE	COST EACH	EXTENDED
CHIEFS FIRE TAHOE NORTHWEST HILLS CONTRACT					
NORHTWEST HILLS STATE CONTRACT #					
19PSX0161					
WARNING LIGHT PACKAGE					
1	WHE-BSFW54X	FRONT DUO INNER EDGE ASSEMBLY	\$ 2,059.00	\$ 1,647.20	\$ 1,647.20
2	WHE-I2D	GRILL DUO LIGHTS WARNING & SCENE	\$ 195.00	\$ 156.00	\$ 312.00
4	WHE-VTX609*	REAR TAIL LIGHTS LEDS	\$ 136.00	\$ 108.80	\$ 435.20
2	WHE-VTX609C	REAR REVERSE LIGHT LED	\$ 136.00	\$ 108.80	\$ 217.60
2	WHE-I2D	LIC PLATE OR REAR WINDOW CHOICE	\$ 195.00	\$ 156.00	\$ 312.00
1	WHE-C399	CORE OPERATING SYSTEM	\$ 1,342.00	\$ 1,073.60	\$ 1,073.60
1	WHE-C399K6	OBD2 HARNESS	\$ 179.00	\$ 143.20	\$ 143.20
1	WHE-CCTL5	CONTROL HEAD FOR CORE SYSTEM	\$ 449.00	\$ 359.20	\$ 359.20
1	WHE-CEM1602	EXPANSION MODULES	\$ 317.00	\$ 253.20	\$ 253.20
1	WHE-SA315P	100 WATT SIREN SPEAKER	\$ 391.00	\$ 312.80	\$ 312.80
1	WHE-SAK*	SPEAKER BRACKET	\$ 49.00	\$ 39.20	\$ 39.20
1	WHE-3SC0CDRC	INTERIOR LED LIGHTS REAR HATCH 2ND ROW & DF	\$ 91.00	\$ 72.80	\$ 72.80
2	WHE-IONR	REAR SIDE CARGO WINDOW WARNING	\$ 168.00	\$ 134.40	\$ 268.80
2	WHE-LINSV2R	UNDER SIDE MIRROR WARNING / SCENE	\$ 293.00	\$ 234.40	\$ 468.80
2	WHE-LSVBKT54	UNDER MIRROR MOUNTING KIT	\$ 33.00	\$ 26.40	\$ 52.80
2	WHE-TLMI	REAR HATCH WARNING WHEN UP	\$ 149.00	\$ 119.20	\$ 238.40
1	misc materials	BUILD MATERIALS, FUSE, BLOCK, PRIMARY WIRE E	\$ 550.00	\$ 440.00	\$ 440.00
57	LABOR	INSTALLATION CHARGE	\$ 122.50	\$ 98.00	\$ 5,586.00
					\$ 12,232.80
OPTIONAL EQUIPMENT					
1	ROCKLAND / 1ST IN	REAR CUSTOM SPRAY BED LINER FLOOR	\$ 748.75	\$ 599.00	\$ 599.00
1	RAYBAN	WINDOW PRIVACY FILM	\$ 152.50	\$ 125.00	\$ 125.00
					\$ 724.00
TOTAL PACKAGE					\$ 12,956.80

COLUMBUS HOUSE HOUSING CRISIS CONTRACT

- Program outlined in attached documentation.
- Funded by ARPA Funds - \$354,500, attached contract valued at \$149,500
- Publicly bid by the City – RFP 2022-42
 - Bid Posted December 8th
 - Bid Closed December 22nd
 - 1 bid received – Columbus House
- Contract attached



AUTHORIZING RESOLUTION OF THE

City of West Haven City Council

WHEREAS the City is in receipt of certain Coronavirus State and Local Fiscal Recovery Funds, hereinafter referred to as "ARPA Funds"

WHEREAS the Mayor has submitted to the Council an outline plan for the expenditure of ARPA funds, with all ARPA funds to be committed to be spent no later than December 31, 2024, and all funds to be spent no later than December 31, 2026, as required under the American Rescue Plan Act ("ARPA");

WHEREAS the City Council has fiscal responsibility for the appropriation of ARPA Funds under Section 4 of the City Charter entitled Supplemental Appropriation;

WHEREAS the Mayor, her administration, and empaneled citizen review committee ("ARPA Committee") have executive duties for administering ARPA funds, including but not limited to the purchasing requirements of Chapter 42 of the Code of the City of West Haven, attached hereto for reference;

WHEREAS, the Council is required to appropriate funds for projects the Council selects to fund;

WHEREAS, this Resolution is intended to supersede and replace previous resolutions made on the same subjects:

RESOLVED, that the City Council hereby appropriates funding for the following projects:

2022 Housing Crisis Response Program

\$354,500 is appropriated to address the issue of homelessness in West Haven

FURTHER RESOLVED, that Nancy Rossi, as Mayor of The City of West Haven, shall, through her administration and/or the ARPA Committee:

- a.) develop a program narrative and project budget for the ARPA-funded programs listed above;
- b.) report said narrative, budget, and resulting expense accounting reports to the City Council for oversight and monitoring no less than once monthly;
- c.) continue such monthly reports to the Council until all funds are expended and final reports are made to the U.S. Department of the Treasury for the ARPA-funded projects;

- d.) retain contingency funds as un-appropriated until the City Council authorizes transfer to an approved expense account;
- e.) submit all projected project cost over-runs for approval by the City Council before costs are incurred; and
- f.) return any project surplus to the ARPA Contingency Fund for further action by the City Council.

FURTHER RESOLVED, Nancy Rossi, as Mayor of The City of West Haven, is authorized and directed to execute and deliver any and all documents related to this Resolution on behalf of the City of West Haven and to do and perform all acts and things which she deems to be necessary or appropriate to carry out the terms of such documents, including, but not limited to, executing and delivering all agreements and documents contemplated by such documents.

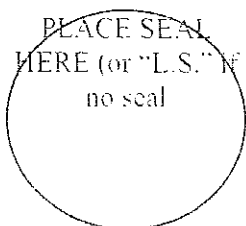
CERTIFICATION:

I, Stacy Riccio, the Clerk of the City of West Haven City Council, a municipal corporation organized and existing under the laws of the State of Connecticut, with a place of business at 355 Main Street West Haven CT, do hereby certify that the following is a true and correct copy of a resolution adopted by The City of West Haven City Council at its duly called and held meeting on November 28, 2022 at which a quorum was present and acting throughout, and that the resolution has not been modified, rescinded, or revoked and is at present in full force and effect:

The undersigned further certifies that Nancy Rossi now holds the office of Mayor and that she has held that office since December 3rd of 2017, and that said term will continue December 2nd of 2023.

IN WITNESS WHEREOF: The undersigned has executed this certificate this 8th day February 2023.

Stacy Riccio, Clerk of the Council



**ARPA SERVICES
CONTRACT BETWEEN
CITY OF WEST HAVEN, CONNECTICUT
AND
COLUMBUS HOUSE, INC.**

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ARPA SERVICES CONTRACT

This Services Contract (“Contract”) is entered into by and between the City of West Haven, a Connecticut (“City”), and Columbus House, Inc., a Connecticut 501(c)(3) non-profit, a public authority and body corporation created under Public, with its principal place of business located at 586 Ella T. Grasso Boulevard, New Haven, Connecticut 06511 (Contractor”).

Recitals:

WHEREAS, the City has received funds from the United States Department of the Treasury (the “Treasury”) pursuant to the Coronavirus State and Local Fiscal Recovery Fund under CFDA 21.027 (“ARPA Funds”), under Section 602 and 603 of Title VI the Social Security Act, as amended by Section 9901 of the American Rescue Plan Act of 2021 (“ARPA”) (Subtitle M of Title IX of Public Law 117-2); and

WHEREAS, the City has allocated ARPA Funds to provide funding for appropriate and qualifying expenditures as allowed under the Treasury Guidance Interim Final Rule “Coronavirus State and Local Fiscal Recovery Funds” (86 Fed. Reg. 267878); and

WHEREAS, the City desires to engage the Contractor to render homeless outreach case management services (“Services”) set forth in this Contract; and

WHEREAS, the Contractor desires to perform the Services on the terms and conditions set forth as set forth in this Contract.

Accordingly, in consideration of the mutual promises set forth herein, the parties agree as follows:

Article 1: Definitions

1.1 The following words and expressions or pronouns used in their stead shall be construed as follows:

"Additional Services" shall mean any services in addition to the services set forth in Exhibit A that are related to fulfilling the objectives of this Contract and are agreed upon by the parties by written Amendment.

"Amendment" shall mean modifications or changes in this Contract that have been mutually agreed upon by the City and the Contractor in writing.

"Associates" shall mean the personnel, employees, consultants, subcontractors, agents, and parent company of the Contractor or of any Subcontractor, now existing or subsequently created, and their agents and employees, and any entities associated, affiliated, or subsidiary to the Contractor or to any Subcontractor, now existing or subsequently created, and their agents and employees.

"City" shall have the meaning given in the Preamble to this Contract.

"City Council" shall mean the legislative body of the City of _____.

"Government-Grantor Agency" shall mean any Federal "agency", as defined at 5 U.S.C. § 551(1) and further clarified by 5 U.S.C. § 552(f), that provides all or a portion of the funds used to pay for the Services or otherwise paid or reimbursed to the Contractor pursuant to this Contract.

"Public Servant" shall mean the Mayor, members of City Council, all other elected or appointed officers, any member of a board, commission or other voting body established by City government or the City Charter, and any appointee, employee or individual who provides services to the City within or outside of its offices or facilities pursuant to a personal services contract.

"Records" shall mean all books, ledgers, journals, accounts, documents, and other collected data in which information is kept regarding the performance of this Contract.

"Reimbursable Expenses" shall mean only those costs incurred by the Contractor in the performance of the Services, such as travel costs and document reproduction costs that are identified in Exhibit B as reimbursable.

"Services" shall mean all work that is expressly set forth in Exhibit A, the Scope of Services, and all work expressly or impliedly required to be performed by the Contractor in order to achieve the objectives of this Contract.

"Subcontractor" shall mean any person, firm or corporation, other than employees of the Contractor, that contracts with the Contractor, directly or indirectly, to perform in part or assist the Contractor in achieving the objectives of this Contract.

"Technology" shall mean any and all computer-related components and systems, including but not limited to computer software, computer code, computer programs, computer hardware, embedded integrated circuits, computer memory and data storage systems, whether in the form of read-only memory chips, random access memory chips, CD-ROMs, floppy disks, magnetic tape, or some other form, and the data retained or stored in said computer memory and data storage systems.

"Unauthorized Acts" shall mean any acts by a City employee, agent or representative that are not set forth in this Contract.

"Work Product" shall mean the originals, or copies when originals are unavailable, of all materials prepared by the Contractor under this Contract or in anticipation of this Contract, including but not limited to Technology, data, studies, briefs, drawings, maps, models, photographs, files, records, computer printouts, estimates, memoranda, computations, papers, supplies, notes, recordings, and videotapes, whether such materials are reduced to writing magnetically or optically stored, or kept in some other form.

Article 2: Engagement of Contractor

- 2.1 By this Contract, the City engages the Contractor, and the Contractor hereby agrees to faithfully and diligently perform the Services set forth in Exhibit A, in accordance with the terms and conditions contained in this Contract.
- 2.2 The Contractor shall perform in a satisfactory manner as shall be determined within the sole and exclusive discretion of the City. In the event that there shall be any dispute between the parties with regard to the extent, character and progress of the Services to be performed or the quality of performance under this Contract, the interpretation and determination of the City shall govern.
- 2.3 The Contractor shall confer as necessary and cooperate with the City in order that the Services may proceed in an efficient and satisfactory manner. The Services are deemed to include all conferences, consultations and public hearings or appearances deemed necessary by the City to ensure that the Contractor will be able to properly and fully perform the objectives as set forth in this Contract.
- 2.4 All Services are subject to review and approval of the City and the Government-Grantor Agency for completeness and fulfillment of the requirements of this Contract. Neither the City's review, approval nor payment for any of the Services shall be construed to operate as a waiver of any rights under this Contract, and the Contractor shall be and will remain liable in accordance with applicable law for all damages to the City caused by the Contractor's failure to perform any of its obligations under this Contract.
- 2.5 The Services shall be performed or at such locations as are deemed appropriate by the City for the proper performance of the Services.
- 2.6 The City and the Contractor expressly acknowledge their mutual understanding and agreement that there are no third-party beneficiaries to this Contract and that this Contract shall not be construed to benefit any persons other than the City and the Contractor.
- 2.7 It is understood that this Contract is not an exclusive services contract, that during the term of this Contract the City may contract with other firms, and that the Contractor is free to render the same or similar services to other clients, provided the rendering of such services does not affect the Contractor's obligations to the City in any way.

Article 3: Contractor's Representations and Warranties

To induce the City to enter into this Contract, the Contractor represents and warrants that the Contractor is authorized to do business under the laws of the State of Connecticut and is duly qualified to perform the Services, and that the execution, delivery and performance of this Contract has been duly authorized, and is not in contravention of federal, state or local law or any agreement, instrument or document to which Contractor is a party.

Article 4: Contract Effective Date and Time of Performance

- 4.1 The effective date of this Contract shall be April [], 2023. Unless earlier terminated in accordance with the provisions of this Contract, the term of this Contract shall expire on December 31, 2024.
- 4.2 All Contract costs incurred for Services under this Contract must be consistent with and pursuant to all requirements set forth in Exhibit C. Contractor costs funded by ARPA Funds must be incurred or purchased by the Contractor during this Contract Term. Contractor must complete all work and Services under this Contract no later than December 31, 2024, or the date of earlier termination of this Contract whichever is sooner.

Article 5: Data To Be Furnished Contractor

- 5.1 Copies of all information, reports, records, and data as are existing, available, and deemed necessary by the City for the performance of the Services shall be furnished to the Contractor upon the Contractor's request. With the prior approval of the City, in its sole and exclusive discretion, the Contractor will be permitted access to City offices during regular business hours to obtain any necessary data or information. In addition, the City will schedule appropriate conferences at convenient times with administrative personnel of the City for the purpose of gathering such data or information.

Article 6: Contractor Personnel and Contract Administration

- 6.1 The Contractor represents and warrants that, at its own expense, it has obtained or will obtain all personnel and equipment required to perform the Services. It warrants that all such personnel are qualified and possess the requisite licenses or other such legal qualifications to perform the services assigned.
- 6.2 The City may interview the Contractor's managerial staff and other employees assigned to this Contract. The Contractor shall not use any managerial staff or other employees to whom the City objects and shall replace in an expedient manner those rejected by the City. The Contractor shall not replace any of the personnel working on this Contract with new personnel without the prior written consent of the City.
- 6.3 When the City deems it reasonable to do so, it may assign qualified City employees or others to work with the Contractor to complete the Services consistent with the terms and condition of this Contract. Nevertheless, it is expressly understood and agreed by the parties that the Contractor shall remain ultimately responsible for the proper completion of the Services as described in Exhibit A.
- 6.4 The relationship of the Contractor to the City is and shall continue to be that of an independent contractor and no liability or benefits, such as workers' compensation, pension rights or liabilities, arising out of or related to a contract-for-hire or employer/employee relationship and no such liabilities or benefits shall arise or accrue to either party or either party's agent, Subcontractor or employee as a result of the performance of this Contract. No relationship other than that of independent contractor shall be implied between the parties or between either party's agents, employees or Subcontractors. The Contractor agrees to indemnify, defend, and

hold the City harmless against any claim based in whole or in part on an allegation that the Contractor or any of its Associates qualify as employees of the City, and any related costs or expenses, including but not limited to legal fees and defense costs.

- 6.5 The Contractor represents and warrants that all persons assigned to the performance of this Contract shall be regular employees or independent contractors of the Contractor, unless otherwise authorized by the City.
- 6.6 The Contractor shall comply with and shall require its Associates to comply with all security regulations and procedures in effect on the City's premises.

Article 7: Compensation

Compensation for Services provided shall not exceed the amount of One Hundred Forty-Nine Thousand Five Hundred and 00/100 Dollars, (\$149,500.00) inclusive of expenses, and will be paid in the manner set forth in Exhibit B [Confirm hourly with NTX v. Fixed Fee].

Article 8: Maintenance and Audit of Records

- 8.1 Contractor shall maintain full and complete Records reflecting all operations related to this Contract. The Records shall be subject to inspection, review, and audit by the City and the Government-Grantor Agency. Such Records shall be maintained in accordance with generally accepted accounting and internal controls, and all federal, state, and local accounting principles and governmental accounting and financial reporting standards, as required under 2 C.F.R. 200, Subpart D, and 31 C.F.R. Part 35 (Pandemic Relief Programs). All Records must be maintained for a duration no less than the later of (a) five (5) years after all ARPA Funds have been expended or returned to the City and/or the Government-Grantor Agency, as the same may be extended by the Treasury, and (b) December 31, 2031.
- 8.2 The City and any Government-Grantor Agency providing funding under this Contract shall have the right at any time without notice to examine and audit all Records and other supporting data of the Contractor as the City or any Government-Grantor Agency deems necessary.
 - a) The Contractor shall make all Records available for examination during normal business hours at its New Haven office. The Contractor shall provide copies of all Records to the City or to any such Government-Grantor Agency upon request.
 - b) If in the course of such inspection the representative of the City or of another Government-Grantor Agency should note any deficiencies in the performance of the Contractor's agreed upon performance or record-keeping practices, such deficiencies will be reported to the Contractor in writing. The Contractor agrees to promptly remedy and correct any such reported deficiencies within ten (10) days of notification.
 - c) Any costs disallowed as a result of an audit of the Records shall be repaid to the City by the Contractor within thirty (30) days of notification or may be set off by the City against any funds due and owing the Contractor, provided, however, that the Contractor shall remain liable for any disallowed costs exceeding the amount of the setoff.

- d) Each party shall pay its own audit costs. However, if the dollar amount of the total disallowed costs, if any, exceeds three percent (3%) of the dollar amount expended by the City pursuant to this Contract through the date of such audit, the Contractor shall pay the City's audit costs.
- e) In accordance with 2 C.F.R. § 200.337 (a) "Records of non-Federal entities", the Government Grantor Agency, Inspectors General, the Comptroller General of the United States, and the passthrough entity (including, but not limited to the City), or any of their authorized representatives, shall have the right of access to any documents, papers, or other records of the Contractor which are pertinent to the ARPA Funds, in order to make audits, examinations, excerpts, and transcripts. The foregoing right also includes timely and reasonable access to the Contractor's personnel for the purpose of interview and discussion related to such documents.
- f) The rights of access in this Section 8.2 are not limited to the required retention period set forth in Section 8.1, but shall continue for such longer period as the Records are retained.
- g) The Contractor shall, upon request by the City, provide to the City all data and information as necessary to allow the City to meet the City's reporting obligations to the Government-Grantor Agency, including but not limited to data and information needed by the City for closeout submissions, if any, to the Government-Grantor Agency.

8.3 The Contractor agrees to include the covenants contained in Sections 8.1 and 8.2 in any contract it has with any Subcontractor, consultant, or agent whose services will be charged directly or indirectly to the City for Services performed pursuant to this Contract.

Article 9: Indemnity

- 9.1 The Contractor agrees to indemnify, defend, and hold the City harmless against and from any and all liabilities, obligations, damages, penalties, claims, costs, charges, losses, and expenses (including without limitation, fees and expenses for attorneys, expert witnesses, and other consultants) that may be imposed upon, incurred by, or asserted against the City or its departments, officers, employees, or agents by reason of any act or omission of the Contractor or any of its Associates.
- 9.2 The Contractor shall examine all places where it will perform the Services in order to determine whether such places are safe for the performance of the Services. The Contractor undertakes and assumes all risk of dangerous conditions when not performing Services inside City offices. The Contractor also agrees to waive and release any claim or liability against the City for personal injury or property damage sustained by it or its Associates while performing under this Contract on premises that are not owned by the City.
- 9.3 In the event any action shall be brought against the City by reason of any claim covered under this Article 9, the Contractor, upon notice from the City, shall at its sole cost and expense defend the same.
- 9.4 The Contractor agrees that it is the Contractor's responsibility and not the responsibility of the City to safeguard the property that the Contractor and its Associates use while performing this Contract. Further, the Contractor agrees to hold the City harmless for any loss of such property used by any such person pursuant to the Contractor's performance under this Contract.

- 9.5 The indemnification obligation under this Article 9 shall not be limited by any limitation on the amount or type of damages, compensation, or benefits payable under workers' compensation acts or other employee benefit acts.
- 9.6 The Contractor agrees that this Article 9 shall apply to all claims, whether litigated or not, that may occur or arise between the Contractor or its Associates and the City, and agrees to indemnify, defend and hold the City harmless against any such claims.
- 9.7 Notwithstanding anything to the contrary in this Contract, Contractor's indemnification obligations set forth in this Contract, including, but not limited to, those described in this Article 9, shall survive termination of this Contract.

Article 10: Insurance

- 10.1 During the term of this Contract, the Contractor shall maintain the following insurance, at a minimum, and at its expense: See Exhibit D.

Article 11: Default and Termination

- 11.1 This Contract shall remain in full force and effect until the end of its term unless otherwise terminated for cause or convenience according to the provisions of this Article 11.
- 11.2 The City reserves the right to terminate this Contract for cause. For cause shall include the occurrence of an event of default.
- a) An event of default shall occur if there is a material breach of this Contract by Contractor, and shall include the following:
- 1) The Contractor fails to begin work in accordance with the terms of this Contract; or
 - 2) The Contractor, in the judgment of the City, is unnecessarily, unreasonably, or willfully delaying the performance and completion of the Work Product or Services; or
 - 3) The Contractor ceases to perform under this Contract; or
 - 4) The City is of the opinion that the Services cannot be completed within the time provided and that the delay is attributable to conditions within the Contractor's control; or
 - 5) The Contractor, without just cause, reduces its work force on this Contract to a number that would be insufficient, in the judgment of the City, to complete the Services within a reasonable time, and the Contractor fails to sufficiently increase such work force when directed to do so by the City; or
 - 6) The Contractor assigns, transfers, conveys or otherwise disposes of this Contract in whole or in part without prior approval of the City; or

- 7) Any City officer or employee acquires an interest in this Contract so as to create a conflict of interest; or
 - 8) The Contractor violates any of the provisions of this Contract, or disregards applicable laws, ordinances, permits, licenses, instructions or orders of the City; or
 - 9) The performance of this Contract, in the sole judgment of the City, is substandard, unprofessional, or faulty and not adequate to the demands of the task to be performed; or
 - 10) The Contractor fails in the performance of any of its obligations set forth in this Contract; or
 - 11) The Contractor ceases to conduct business in the normal course; or
 - 12) A bankruptcy petition is filed by or against the Contractor and is not dismissed within thirty (30) days or the Contractor becomes insolvent, including, without limitation, is unable. The Contractor becomes bankrupt to pay its debts generally as they become due.
- b) If the City determines an event of default has occurred, the City may issue a notice of termination (“Notice of Termination for Cause”) setting forth the grounds for terminating this Contract. Upon receipt of the Notice of Termination for Cause, the Contractor shall have ten (10) calendar days within which to cure such event of default. If the event of default is cured to the satisfaction of the City within said ten (10) day period, then the City’s election to terminate this Contract due to such event of default and the Notice of Termination for Cause shall be void and of no further force or effect. If the event of default is not cured to the satisfaction of the City, this Contract shall terminate on the tenth (10th) calendar day after the Contractor’s receipt of the Notice of Termination for Cause, unless the City, in writing, gives the Contractor additional time to cure the event of default. If the event of default is not cured to the satisfaction of the City within the additional time allowed for cure, this Contract shall terminate for cause at the end of the extended cure period.
- c) If, after issuing a Notice of Termination for Cause, the City determines that the Contractor was not in default, the rights and obligations of the parties shall be the same as if the Notice of Termination for Cause had been issued as a Notice of Termination for Convenience. Alternatively, in the City’s discretion, the Notice of Termination for Cause may be withdrawn and this Contract, if terminated, may be reinstated.
- d) The Contractor shall be liable to the City for any damages it sustains by virtue of the Contractor’s breach or any reasonable costs the City might incur in enforcing or attempting to enforce this Contract. Such costs shall include reasonable fees and expenses for attorneys, expert witnesses and other consultants. The City may withhold any payment(s) to the Contractor, in an amount not to exceed the amount claimed in good faith by the City to represent its damages, for the purpose of setoff until such time as the exact amount of damages due to the City from the Contractor is determined. It is expressly understood that the Contractor shall remain liable for any damages the City sustains in excess of any setoff.
- e) The City’s remedies outlined in this Article 11 shall be in addition to any and all other legal or equitable remedies permissible.

- 11.3 The City shall have the right to terminate this Contract at any time at its convenience by giving the Contractor thirty (30) days' prior written notice of termination ("Notice of Termination for Convenience"). As of the effective date of the termination for convenience, the City will be obligated to pay the Contractor the following: (a) the fees or commissions for Services completed and accepted in accordance with Exhibit A in the amounts provided for in Exhibit B; (b) the fees for Services performed but not completed prior to the date of termination in accordance with Exhibit A in the amounts set forth in the Contractor's rate schedule as provided in Exhibit B; and (c) the Contractor's costs and expenses incurred prior to the date of the termination for items that are identified in Exhibit B. The amount due to the Contractor shall be reduced by payments already paid to the Contractor by the City. In no event shall the City pay the Contractor more than maximum price, if one is stated, of this Contract.
- 11.4 After receipt of the Notice of Termination for Cause or a Notice of Termination for Convenience to the Contractor, and except as otherwise directed by the City, the Contractor shall:
- a) Stop work under this Contract on the date and to the extent specified in the Notice of Termination;
 - b) Obligate no additional contract funds for payroll costs and other costs beyond such date as the City shall specify, and place no further orders on subcontracts for material, services, or facilities, except as may be necessary for completion of such portion of the Services under this Contract as is not terminated;
 - c) Terminate all orders and subcontracts to the extent that they relate to the portion of the Services terminated pursuant to the Notice of Termination;
 - d) Preserve all Records and submit to the City such Records and reports as the City shall specify, and furnish to the City an inventory of all furnishings, equipment, and other property purchased for this Contract, if any, and carry out such directives as the City may issue concerning the safeguarding or disposition of files and property; and
 - e) Submit within thirty (30) days a final report of receipts and expenditures of funds relating to this Contract, and a list of all creditors, Subcontractors, lessors and other parties, if any, to whom the Contractor has become financially obligated pursuant to this Contract.
- 11.5 After termination of this Contract, each party shall have the duty to assist the other party in the orderly termination of this Contract and the transfer of all rights and duties arising under this Contract, as may be necessary for the orderly, un-disrupted continuation of the business of each party

Article 12: Assignment

- 12.1 The Contractor shall not assign, transfer, convey or otherwise dispose of any interest whatsoever in this Contract without the prior written consent of the City which consent may be granted or withheld in their sole and exclusive discretion of the City; however, claims for money due or to become due to the Contractor may be assigned to a financial institution without such approval. Notice of any assignment to a financial institution or transfer of such claims of money due or to become due shall be furnished promptly to the City. If the Contractor assigns all or any part of any monies due or to become due under this Contract, the instrument of assignment shall contain a clause stating that the

right of the assignee to any monies due or to become due shall be subject to prior liens of all persons, firms, and corporations for Services rendered or materials supplied for the performance of the Services called for in this Contract.

Article 13: Subcontracting

- 13.1 None of the Services covered by this Contract shall be subcontracted without the prior written approval of the City, which consent may be granted or withheld in the City's sole discretion and, if required, any Government-Grantor Agency. The City reserves the right to withhold approval of subcontracting such portions of the Services where the City determines that such subcontracting is not in the City's best interests.
- 13.2 Each subcontract entered into shall provide that the provisions of this Contract shall apply to the Subcontractor and its Associates in all respects. The Contractor agrees to bind each Subcontractor and each Subcontractor shall agree to be bound by the terms of this Contract insofar as applicable to the work or services performed by that Subcontractor.
- 13.3 No approval by the City of any proposed Subcontractor, nor any subcontract, nor anything in this Contract, shall create or be deemed to create any rights in favor of a Subcontractor and against the City, nor shall it be deemed or construed to impose upon the City any obligation, liability or duty to a Subcontractor, or to create any contractual relation whatsoever between a Subcontractor and the City.
- 13.4 The provisions contained in this Article 13 shall apply to subcontracting by a Subcontractor of any portion of the work or services included in an approved subcontract.
- 13.5 The Contractor agrees to indemnify, defend, and hold the City harmless against any claims initiated against the City pursuant to any subcontracts the Contractor enters into in performance of this Contract. The City's approval of any Subcontractor shall not relieve the Contractor of any of its responsibilities, duties and liabilities under this Contract. The Contractor shall be solely responsible to the City for the acts or defaults of its Subcontractors and of each Subcontractor's Associates, each of whom shall for this purpose be deemed to be the agent or employee of the Contractor.

Article 14: Conflict of Interest

- 14.1 The Contractor represents and warrants that it has no interest and shall not acquire any interest, direct or indirect, that would conflict in any manner or degree with the performance of the Services under this Contract. The Contractor further covenants that in the performance of this Contract no person having any such interest shall be employed by it.
- 14.2 The Contractor represents and warrants that no officer, agent, or employee of the City and no other public official who exercises any functions or responsibilities in the review or approval of the undertaking or performance of this Contract has any personal or financial interest, direct or indirect, in this Contract or in its proceeds, whether such interest arises by way of a corporate entity, partnership, or otherwise.
- 14.3 The Contractor represents and warrants (a) that it has not employed and will not employ any person to solicit or secure this Contract upon any agreement or arrangement for payment of a commission, percentage, brokerage fee, or contingent fee, other than bona fide employees working solely for the

Contractor either directly or indirectly, and (b) that if these representations and warranties prove to be untrue, the City may, at its option, terminate this Contract without penalty, liability or obligation, at its option, without limiting any and all other rights and remedies available to the City, deduct from any amounts owed to the Contractor under this Contract any portion of any such commission, percentage, brokerage, or contingent fee.

- 14.4 The Contractor covenants not to employ an employee of the City for a period of one (1) year after the date of termination of this Contract without written City approval.
- 14.5 The Contractor shall promptly identify and inform the City in writing of any potential conflict of interest (as set forth in Sections 14.1 through 14.4 above) or any relationship or actions that might give the appearance that a conflict of interest (as set forth in Sections 14.1 through 14.4 above) exists, or that a situation exists that could reasonably be viewed as affecting the Contractor's objectivity in performing work under this contract, including the performance of administrative or other duties to related organizations.

Article 15: Confidential Information

- 15.1 In order that the Contractor may effectively fulfill its covenants and obligations under this Contract, it may be necessary or desirable for the City to disclose confidential and proprietary information to the Contractor or its Associates pertaining to the City's past, present and future activities. Since it is difficult to separate confidential and proprietary information from that which is not, the Contractor shall regard, and shall instruct its Associates to regard, all information gained as confidential and such information shall not be disclosed to any organization or individual without the prior consent of the City. The above obligation shall not apply to information already in the public domain or information required to be disclosed by a court order.
- 15.2 The Contractor agrees to take appropriate action with respect to its Associates to ensure that the foregoing obligations of non-use and non-disclosure of confidential information shall be fully satisfied.
- 15.3 The Contractor shall take reasonable measures to safeguard protected personally identifiable information and other information the Government-Grantor Agency or pass-through entity designates as sensitive or the City considers sensitive consistent with applicable Federal, State, local, and tribal laws regarding privacy and responsibility over confidentiality, as described in "Internal controls" 2 C.F.R. 200.303(e). "*Personally identifiable information*" ("PII") means information that can be used to distinguish or trace an individual's identity, either alone or when combined with other personal or identifying information that is linked or linkable to a specific individual. The Contractor shall take measures to protect PII, as defined in 2 C.F.R. § 200.79. Some information that is considered to be PII is available in public sources such as telephone books, public websites, and university listings. This type of information is considered to be Public PII and includes, for example, first and last name, address, work telephone number, email address, home telephone number, and general educational credentials. The definition of PII is not anchored to any single category of information or technology. Rather, it requires a case-by-case assessment of the specific risk that an individual can be identified. Non-PII can become PII whenever additional information is made publicly available, in any medium

and from any source, that, when combined with other available information, could be used to identify an individual.

Article 16: Compliance with Laws

16.1 The Contractor shall comply with and shall require its Associates to comply with all applicable federal, state, and local laws including, but not limited to:

- a. Coronavirus State and Local Fiscal Recovery Funds (SLFRF): 31 C.F.R. § 35 [American Recovery Plan Act (“ARPA” Funds)] ;
- b. Uniform Administrative Requirement, Cost Principles and Audit Requirement for Federal Awards, 2 C.F.R. § 200 et. seq., including the following:
 - o 2 C.F.R. § 200.327 Contract Provisions (Appendix II to Part 200 - Contract Provisions for Non-Federal Entity Contracts Under Federal Awards) included in Exhibit D.
 - o 2 C.F.R. § 200.501 (g) Compliance responsibility for contractors - the Contractor's records will be reviewed to determine program compliance with Federal statutes, regulations, and the terms and conditions of Federal awards. Records may need to be maintained in a system to which the City has regular access that enables verification of records for compliance including the allocation and expenditures of contract costs; and
- c. All Federal requirements set forth in Exhibit C

16.2 The Contractor shall hold the City harmless with respect to any damages arising from any violation of law by it or its Associates. The Contractor shall commit no trespass on any public or private property in performing any of the Services encompassed by this Contract. The Contractor shall require as part of any subcontract that the Subcontractor comply with all applicable laws and regulations.

Article 17: Conflict of Interest

17.1 This Contract shall be voidable or rescindable at the discretion of the Mayor at any time if a Public Servant has an interest in this Contract and fails to disclose such interest.

17.2 This Contract shall also be voidable or rescindable if a lobbyist or employee of the Contractor offers a prohibited gift, gratuity, honoraria or payment to a Public Servant in relation to this Contract.

Article 18: Amendments

18.1 The City may consider it in its best interest to change, modify or extend a covenant, term or condition of this Contract or require the Contractor to perform Additional Services that are not contained within the Scope of Services as set forth in Exhibit A. Any such change, addition, deletion, extension or modification of Services may require that the compensation paid to the Contractor by the City be proportionately adjusted, either increased or decreased, to reflect such modification. If the City and the Contractor mutually agree to any changes or modification of this Contract, the modification shall be incorporated into this Contract by written Amendment.

- 18.2 Compensation shall not be modified unless there is a corresponding modification in the Services sufficient to justify such an adjustment. If there is any dispute as to compensation, the Contractor shall continue to perform the Services under this Contract during the pendency of such dispute.
- 18.3 No Amendment to this Contract shall be effective and binding upon the parties unless it expressly makes reference to this Contract, is in writing and, is signed by duly authorized representatives of both parties.
- 18.4 The City shall not be bound by Unauthorized Acts of its employees, agents, or representatives with regard to any dealings with the Contractor and any of its Associates.

Article 19: Fair Employment Practices

- 19.1 The Contractor shall comply with, and shall require any Subcontractor to comply with, all federal, state and local laws governing fair employment practices and equal employment opportunities. This includes compliance with 2 C.F.R. § 200.327 and other conditions pertaining to Equal Employment Opportunity delineated in Exhibit D.
- 19.2 The Contractor agrees that it shall, at the point in time it solicits any subcontract, notify the potential Subcontractor of their joint obligations relative to non-discrimination under this Contract, and shall include the provisions of this Article 19 in any subcontract, as well as provide the City a copy of any subcontract upon request.
- 19.3 Breach of the terms and conditions of this Article 19 shall constitute a material breach of this Contract and may be governed by the provisions of Article 11, "Default and Termination."

Article 20: Notices

- 20.1 All notices, consents, approvals, requests and other communications ("Notices") required or permitted under this Contract shall be given in writing, mailed by postage prepaid, certified or registered firstclass mail, return receipt requested, and addressed as follows:

If to the City:
[Rick Spreyer]

If to the Contractor:
[]

- 20.2 All Notices shall be deemed given on the day of mailing. Either party to this Contract may change its address for the receipt of Notices at any time by giving notice of the address change to the other party. Any Notice given by a party to this Contract must be signed by an authorized representative of such party.

- 20.3 The Contractor agrees that service of process at the address and in the manner specified in this Article 20 shall be sufficient to put the Contractor on notice of such action and waives any and all claims relative to such notice.

Article 21: Proprietary Rights and Indemnity

- 21.1 The Contractor shall not relinquish any proprietary rights in its intellectual property (copyright, patent, and trademark), trade secrets or confidential information as a result of the Services provided under this Contract. Any Work Product provided to the City under this Contract shall not include the Contractor's proprietary rights, except to the extent licensed to the City.
- 21.2 The City shall not relinquish any of its proprietary rights, including, but not limited to, its data, privileged or confidential information, or methods and procedures, as a result of the Services provided under this Contract. The parties acknowledge that should the performance of this Contract result in the development of new proprietary and secret concepts, methods, techniques, processes, adaptations, discoveries, improvements and ideas ("Discoveries"), and to the extent said Discoveries do not include modifications, enhancements, configurations, translations, derivative works, and interfaces from the Contractor's intellectual property, trade secrets or confidential information, said Discoveries shall be deemed "Work(s) for Hire" and shall be promptly reported to the City and shall belong solely and exclusively to the City without regard to their origin, and the Contractor shall not, other than in the performance of this Contract, make use of or disclose said Discoveries to anyone. At the City's request, the Contractor shall execute all documents and papers and shall furnish all reasonable assistance requested in order to establish in the City all right, title and interest in said Discoveries or to enable the City to apply for United States patents or copyrights for said Discoveries, if the City elects to do so.
- 21.3 Any Work Product provided by the Contractor to the City under this Contract shall not be disclosed, published, copyrighted or patented, in whole or in part, by the Contractor. The right to the copyright or patent in such Work Product shall rest exclusively in the City. Further, the City shall have unrestricted and exclusive authority to publish, disclose, distribute and otherwise use, in whole or in part, any of the Work Product.
- 21.4 The Contractor warrants that the performance of this Contract shall not infringe upon or violate any patent, copyright, trademark, trade secret or proprietary right of any third party. In the event of any legal action related to the above obligations of the Contractor filed by a third party against the City, the Contractor shall, at its sole expense, indemnify, defend and hold the City harmless against any loss, cost, expense or liability arising out of such claim, whether or not such claim is successful.
- 21.5 The making of payments, including partial payments by the City to the Contractor, shall vest in the City title to, and the right to take possession of, all Work Product produced by the Contractor up to the time of such payments, and the City shall have the right to use said Work Product for public purposes without further compensation to the Contractor or to any other person.
- 21.6 Upon the completion or other termination of this Contract, all finished or unfinished Work Product prepared by the Contractor shall, at the option of the City, become the City's sole and exclusive property whether or not in the Contractor's possession. Such Work Product shall be free from any

claim or retention of rights on the part of the Contractor and shall promptly be delivered to the City upon the City's request. The City shall return all of the Contractor's property to it.

- 21.7 The Contractor acknowledges that any intentional failure or unreasonable delay on its part to deliver the Work Product to the City will cause irreparable harm to the City not adequately compensable in damages and for which the City has no adequate remedy at law. The Contractor accordingly agrees that the City may in such event seek and obtain injunctive relief in a court of competent jurisdiction to compel delivery of the Work Product, to which injunctive relief the Contractor consents, as well as seek and obtain all applicable damages and costs. The City shall have full and unrestricted use of the Work Product for the purpose of completing the Services.

Article 22: Force Majeure

- 22.1 No failure or delay in performance of this Contract, by either party, shall be deemed to be a breach thereof when such failure or delay is caused by an event or circumstance that is beyond the reasonable control of that party, absent such party's fault or negligence, and which by its nature could not have been foreseen by such party, or, if it could have been foreseen, was unavoidable ("Force Majeure Event"). A Force Majeure Event includes, but is not limited to, any Act of God or the public enemy, strikes, lockouts, wars, acts of terrorism, riots, epidemics, pandemics, explosions, sabotage, the binding order of any governmental authority, or any other cause, whether the kind herein enumerated or otherwise, which is not within the control of a party. Contractor's economic hardship and changes in the market conditions are not considered a Force Majeure Event. In the event of a dispute between the parties with regard to what constitutes a Force Majeure Event, the City's reasonable determination shall be controlling.
- 22.2 Upon the occurrence of a Force Majeure Event, Contractor shall (i) give prompt written notice to the City that the Force Majeure Event has occurred, the anticipated effect on Contractor's performance, and its expected duration; (ii) use all diligent efforts to end the failure or delay of its performance, ensure that the effects of any Force Majeure Event are minimalized, (iii) keep the City apprised of Contractor's progress in remediating the effects of the Force Majeure Event; and (iii) promptly resume performance under this Contract.
- 22.3 If a Force Majeure Event prevents Contractor from performing under this Contract for a continuous period of at least thirty (30) days, the City may terminate this Contract immediately by giving written notice to Contractor as required under this Contract.

Article 23: Waiver

- 23.1 The City shall not be deemed to have waived any of its rights under this Contract unless such waiver is in writing and signed by the City.
- 23.2 No delay or omission on the part of the City in exercising any right shall operate as a waiver of such right or any other right. A waiver on any one (1) occasion shall not be construed as a waiver of any right on any future occasion.
- 23.3 No failure by the City to insist upon the strict performance of any covenant, agreement, term or condition of this Contract or to exercise any right, term or remedy consequent upon its breach shall constitute a waiver of such covenant, agreement, term, condition, or breach.

Article 24: Miscellaneous

- 24.1 This contract is ARPA-funded, and is governed by the terms and conditions of the ARPA grant to the City. See the full terms and conditions of the ARPA Funds grant to the City as defined by Department of the Treasury in 31 C.F.R. Part 35 and SLFRF Compliance and Reporting Guidance.
- 24.2 If any provision of this Contract or its application to any person or circumstance shall to any extent be invalid or unenforceable, the remainder of this Contract shall not be affected and shall remain valid and enforceable to the fullest extent permitted by law.
- 24.3 This Contract contains the entire agreement between the parties and all prior negotiations and agreements are merged into this Contract. Neither the City nor the City's agents have made any representations except those expressly set forth in this Contract, and no rights or remedies are, or shall be, acquired by the Contractor by implication or otherwise unless expressly set forth in this Contract. The Contractor waives any defense it may have to the validity of the execution of this Contract.
- 24.4 Unless the context otherwise expressly requires, the words "herein," "hereof," and "hereunder," and other words of similar import, refer to this Contract as a whole and not to any particular section or subdivision.
- 24.5 The headings of the sections of this Contract are for convenience only and shall not be used to construe or interpret the scope or intent of this Contract or in any way affect the same.
- 24.6 This Contract and all actions arising under it shall be governed by, subject to, and construed according to the law of the State of Connecticut, without regard to its principles governing conflicts of laws. The Contractor agrees, consents and submits to the exclusive personal jurisdiction of any state court of competent jurisdiction in New Haven, Connecticut, for any action arising out of this Contract. The Contractor also agrees that it shall not commence any action against the City because of any matter whatsoever arising out of or relating to the validity, construction, interpretation and enforcement of this Contract in any state or federal court of competent jurisdiction other than state court in New Haven, Connecticut.
- 24.7 If any Associate of the Contractor shall take any action that, if done by a party, would constitute a breach of this Contract, the same shall be deemed a breach by the Contractor.
- 24.8 The rights and remedies set forth in this Contract are not exclusive and are in addition to any of the rights or remedies provided by law or equity.
- 24.9 For purpose of the hold harmless and indemnity provisions contained in this Contract, the term "City" shall be deemed to include the City of West Haven and all other associated, affiliated, allied or subsidiary entities or commissions, now existing or subsequently created, and their officers, agents, representatives, and employees.
- 24.10 The Contractor covenants that it is not, and shall not become, in arrears to the City upon any contract, debt, or other obligation to the City including, without limitation, real property, personal property and income taxes, and water, sewage or other utility bills.

- 24.11 This Contract may be executed in any number of originals, any one of which shall be deemed an accurate representation of this Contract. Promptly after the execution of this Contract, the City shall provide a copy to the Contractor.
- 24.12 As used in this Contract, the singular shall include the plural, the plural shall include the singular, and a reference to either gender shall be applicable to both.
- 24.13 The rights and benefits under this Contract shall inure to the City and its agents, successors, and assigns.
- 24.14 The City shall have the right to recover by setoff from any payment owed to the Contractor all delinquent withholding, income, corporate and property taxes owed to the City by the Contractor, any amounts owed to the City by the Contractor under this Contract or other contracts, and any other debt owed to the City by the Contractor.

Article 25: Invoice Submission and Payment

On or before the tenth (10th) day of each month and in any event no later than thirty (30) days after the earlier of the expiration or termination of this Contract, the Contractor shall submit invoices and associated receipts, in a format dictated by the City, for the most recent month ended, to:

Rick Spreyer
Purchasing Director
City of West Haven
355 Main St.
West Haven, CT 06516
203-937-3624
rspreyer@westhaven-ct.gov

setting forth actual expenditures of the Contractor in accordance with this Contract. Each monthly invoice shall contain a certification by the Contractor that the information contained in the Contractor's Disclosure and Certification Affidavit executed in connection with entering this Contract remains true and correct in all material respects. Within forty-five (45) days from the date it receives such invoice, the City may disapprove the requested reimbursement claim in whole or in part. To the extent the reimbursement claim is so disapproved, the City shall notify the Contractor as to the disapproval. A decision by the City to disapprove a request for payment is final. There is no appeal process for the Contractor. To the extent the City approves a request for payment, then the City will disburse the funds within forty-five (45) days after receiving Contractor's invoice. To the extent the City, in its sole and exclusive discretion, advances all or any portion of the funds payable under this Contract, the applicable monthly invoice shall fully account for disbursements of advanced funds in the immediately prior month, together with such information as required by the City in its sole and exclusive discretion to verify that advanced sums have been used for allowable costs and expenses.

Signature Page

The City and the Contractor, by and through their duly authorized officers and representatives, have executed this Contract as follows:

City of West Haven

Columbus House, Inc.

By: _____
Nancy R. Rossi
Mayor

By: _____

APPROVED AS TO THE FORM BY

Mark J. Malaspina
Carmody Torrance Sandak & Hennessey

EXHIBIT A: SCOPE OF SERVICES

EXHIBIT B: FEE SCHEDULE

EXHIBIT C: FEDERAL REQUIREMENTS

EXHIBIT D: INSURANCE REQUIREMENTS

Secretary of the State of Connecticut Certificate of Legal Existence

Certificate of Legal Existence Certificate

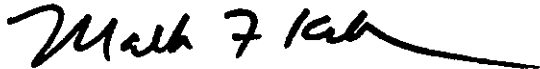
Date Issued: November 17, 2022

I, the Connecticut Secretary of the State, and keeper of the seal thereof, do hereby certify, that the certificate of incorporation for the below domestic Non-Stock corporation was filed in this office.

A certificate of dissolution has not been filed, the corporation has filed all annual reports, and so far, as indicated by the records of this office, such corporation is in existence.

Business Details

Business Name	RESOURCES OUTREACH CENTER CORPORATION
Business ALEI	US-CT.BER:0867339
Formation Date	07/21/2006



Secretary of the State

Resources Outreach Center is a 501 © 3 organization established in 2006 to assist the Connecticut community with access to affordable housing and to decrease the number of homeless individuals and families. Our Goal, reducing and preventing homelessness and housing instability, encompasses the following strategies:

- o Support disproportionately impacted populations through rental subsidies and/or critical home repairs
- o Offer shelters and transitional housing to individuals experiencing homelessness
- o *** Provide aid and assistance for affordable housing, support for renters, homeowners, landlords, and people experiencing homelessness in our community
- o Explore prioritizing HOPE program awareness efforts and requests by zip code
- o Lead a strategy focused specifically on enabling access to affordable housing for full-time artists in our community.

Resources Outreach Center is in New Havens 06511 zip code. The community has been severely impacted by the COVID19, as well as massive displacement of residents identified as low-income, and are also considered to be living under the federal guidelines for poverty. Our management team has over 35 years of experience in contracting and home improvements. We are uniquely qualified to conduct acquisitions of housing, and commercial properties to create affordable housing opportunities for the poor. Additionally, we are aware of the efforts that government units are taking to enhance the physical appearance of the city. Therefore, our housing projects will add to those efforts as we are committed to transforming blighted properties, including warehouses, abandoned and blighted properties, into beautiful, valued-added residents in the 06511- 06519 -06513- 06516- zip code primarily. However, we are also planning to work in other zip codes that have been identified as disproportionately impacted by COVID19, and other disparities identified in the New Haven County Strategic Recovery Plan and the Equity Action Plan.

To achieve our strategies of: (1) Supporting disproportionately impacted populations through rental subsidies and/or critical home repairs, we will conduct surveys to assess the need for affordable housing, assistance with rents/mortgages, and home improvements. We will also continue to develop a digital database of minority contractors and others who are interested in providing services at discounted costs to residents in the census tract areas. Contractors would receive a tax deduction letter in exchange for their discounts, increasing social capital in disproportionately impacted communities.

(2) Provide shelters and transitional housing to individuals experiencing homelessness. Our acquisition team, which includes public housing agencies, churches, and organizations that

advocate for the homeless in Connecticut's identified communities, where COVID19 and racial inequalities have devastated residents, is busy listing potential properties, including vacant buildings and warehouses, and abandoned houses, to be transformed into shelters, transitional homes, and permanent homes for Connecticut families. Our collective experiences in home improvements and upfitting properties makes us uniquely qualified to lead this effort in Connecticut from a grassroots perspective. Our approach is to knock on doors of existing residents to assess their needs in housing and repairs for more stable housing. We have identified several sites in 06511- 06519 -06513- 06516, that have the potential to rescue more than 75 to 100 families from homelessness. We are dependent on the city's request to assist us with the purchase of these properties to rapidly transform them by mid-2023. We are excited to be able to provide stable housing for these families by August of 2023.

(3) *** Provide aid and assistance for affordable housing, support for renters, homeowners, landlords, and people experiencing homelessness in our community. Utilizing the services of a digital data and marketing platform, our team will build a data support network that lists renter, landlords, homeowners, and homeless victims in the zip codes provided and other census tracts identified as disproportionately impacted by COVID19 and the racial disparities in those locales.

Our platform will identify properties that can be purchased with an accompanying letter for tax deductions to owners. Additionally, we will have the capacity to match homeless families/individuals, with potential homes, we will inventory structures that can be utilized as shelters or day centers to provide supportive services for homeless victims. Landlord and homeowners who need home improvement services will be listed and prioritized to participate in the HOPE program according to zip codes. In addition, we will solicit corporation to provide funding for rental/mortgage assistance.

Leslie Williams CEO

704-500-3552

resources.center@yahoo.com

STREET PAVING

- Tilcon will be paving contractor used
 - State Bid PSX220196
 - Funding Source –
 - City Council approved bonding, ARPA funding, CDA funding, and Gas Company
 - Total amount \$1,695,949
- Total planned milling and paving estimate – \$1,507,266.59
- Streets planned to be paved





TILCON CONNECTICUT INC.

A CRH Company

P. O. Box 1357 * 642 Black Rock Avenue
New Britain, CT 06050-1357

Paving & Material Quotation

Estimated By: Kyle R. Lamontagne	Date: 03/28/2023
Phone: (860) 306-9832	
Email: Kyle.Lamontagne@Tilcon-inc.com	
Submitted To: City Of West Haven Town Hall	Bid Title: Town of West Haven Round 1
Address: West Haven, CT 06516	Bid Number: PSX220196
Phone: (203) 444-0995	Bid Date:
Fax:	Project Location: Various City Roads
Email:	

DESCRIPTION OF MATERIALS AND/OR SERVICES TO BE PROVIDED WITH ASSOCIATED PRICING:

Line Number	Item Description	Est. Quantity	Unit	Unit Price	Ext Price
100	Apply Tack Coat	4,319.000	GAL	\$ 8.50	\$36,711.50
200	Furnish and Install 1" LEVELING Course SP 0.375"	4,962.000	TON	\$ 103.56	\$513,864.72
300	Furnish and Install 1 1/2" SP 0.375 SURFACE	7,441.000	TON	\$ 101.56	\$755,707.96
400	Traffic Control - Surface Course	7,441.000	TON	\$ 2.50	\$18,602.50
500	Traffic Control - Leveling Course	4,962.000	TON	\$ 2.50	\$12,405.00
Escalation for all SP 0.375" Materials - as of 3/27/2023 with a liquid base of \$602.20					\$20,464.95
Grand Total:					\$1,357,756.63

NOTES:

- VIP/Municipal Paving Estimate
- The above prices are valid for the above references contract only
- This proposal includes only the items as specified and described above.
- The prices above are based on the pricing terms and conditions of the State of Connecticut 2022 VIP Contract 22PSX0196.
- Prices based on tax exempt status. The owner is responsible for making Tilcon aware if applicable taxes should be added.
- Tilcon CT will schedule activities at its earliest availability upon request and to mitigate conflicts, long lead notice is advised.
- Scheduling risk is borne by the General Contractor. Tilcon CT will not accept any schedule risk, including any back charges or liquidated damage risk.
- In accepting this quotation, the General Contractor acknowledges to Tilcon CT, that the General Contractor owns all schedule risk related to performance with the prime contract.

COUNCIL APPROVED M & P	\$965,949.00
9/22/2022 - ARPA	\$131,000.00

TOTAL	\$1,096,949.00
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APPROVED	\$1,096,949.00
CDA	\$407,000.00
GAS COMPANY	\$192,000.00

ALL MONEY	\$1,695,949.00
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PAVE	\$1,357,756.63
MILL	\$149,509.96
TOTAL M & P	\$1,507,266.59

STREET PAVING

CDA FUNDS

TERRACE AVE

MICHAEL DR

ADMIRAL ST

RICHARD ST

TIMBERLAND DR

ISLAND LN

BENHAM HILL RD

COURT ST

SECOND AVE (ALL)

ARDALE

SPRUCE

UNREPORTED EXPENDITURES OVER \$50,000

ORG	OBJECT	PROJECT	DESCRIPTION	YEAR	EFF DATE	AMOUNT	VDR NAME/ITEM DESC	COMMENTS
30140000	55000	22029	CAPITAL OUTLAY	2022	05/19/2022	114,889.01	ELM CITY MATERIALS INC	PATCHING & PAVING - MULTIPLE L
30140000	55000	22029	CAPITAL OUTLAY	2022	06/02/2022	148,197.15	ELM CITY MATERIALS INC	ROAD REPAIRS PER CONTRACT
30140000	55000	22029	CAPITAL OUTLAY	2022	06/16/2022	76,112.89	ELM CITY MATERIALS INC	ROAD WORK
30140000	55000	23002	CAPITAL OUTLAY	2023	09/28/2022	52,566.46	ELM CITY MATERIALS INC	NEW SIDEWALKS
30140000	55000	23002	CAPITAL OUTLAY	2023	10/06/2022	58,182.72	ELM CITY MATERIALS INC	SIDEWALKS
30140000	55000	21012	CAPITAL OUTLAY	2023	12/20/2022	71,500.70	ELM CITY MATERIALS INC	MILL AND PAVE SAW MILL EXT
30140000	55000	23002	CAPITAL OUTLAY	2023	02/14/2023	54,048.58	ELM CITY MATERIALS INC	SIDEWALKS
30140000	55000	22029	CAPITAL OUTLAY	2023	02/14/2023	75,766.50	ELM CITY MATERIALS INC	STREET PAVING

Paving and Sidewalk projects that were included in the Capital Plan and performed by the City's contracted vendor for these services. Looking strictly for clarification if projects like these would need to come before MARB in the future.

30140000	55000	21008	CAPITAL OUTLAY	2023	11/17/2022	51,108.00	FORD OF BRANFORD	NEW VEHICLE PURCHASE 11/16/22
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Vehicle purchased for the Mayor's Office. Vehicle was included in the 2021 Capital Plan budget and bonded for. Not reported to MARB, not procured through proper procedure.

30140000	55000	22019	CAPITAL OUTLAY	2023	10/05/2022	58,577.70	GENGRAS FORD LLC	FORD F-350 VIN#1FDRF3HN1NDA094
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Vehicle purchased for Department of Public Works. Included in the 2023 Capital Plan budget and bonded for. Purchase was not reported to MARB. Was procured properly through existing State Contract #19PSX0161

24048037	55710		CAPITAL IMPROV.-SEWER PLANT	2022	06/15/2022	118,252.50	NORTHEAST WATER MAINTENANCE & SERVICES	CONVERSION OF PRIMARY CLARIFIE
24048037	55710		CAPITAL IMPROV.-SEWER PLANT	2023	10/18/2022	97,174.00	NORTHEAST WATER MAINTENANCE & SERVICES	SCHWING SLUDGE CAKE PUMP & SCR

These were emergency repairs that needed to be done to keep the Wastwater Treatment Plant operational. As we did with the Chestnut St Sewer Main Break, we will continue to report any emergency expenditures above \$50,000, but these were not reported at the time of the repair.



301 East Main Street
BRANFORD, CT 06405
(203) 488-8321

DLR LIC # N2353
RETAIL PURCHASE ORDER
FOR MOTOR VEHICLE

Date: 11/16/2022 Salesperson: Devon Delaney Stock No: 22T195
E-Mail: lesposito@westhaven-ct.gov Delivered on or About:

Purchaser's Name: Lou Esposito CITY OF WEST HAVEN Home Phone: (203) 937-3612 Cell Phone: (203) 543-7600
Address: 355 MAIN ST City: WEST HAVEN State: CT Zip: 06516

New Used
 Demonstrator 2022 Ford Explorer Body Type: Color: Black Trim: XLT 4dr 4x4
(Year & Make) (Model) (Cyl.)

Ident. No: 1FMSK8DH0NGC08312 Driver's License No:

Fill out this section if used car or truck is to be traded in as part payment and do warranty the title thereto to be free and clear except for the unpaid balance as shown and to the best of my knowledge, I the undersigned, state that the mileage as shown on the odometer is the actual mileage which the car has driven.

The mileage as shown on the odometer of the motor vehicle to be purchased is:

Make & Year: Ford & 2012 Model: Explorer
Cyl: Body Type: Color: Title No:
Ident. No: Allowance: .00
Balance Owed \$: Net Allowance \$:

WRITTEN PROMISES MADE TO CUSTOMER

THERE ARE NO OTHER PROMISES MADE TO ME

To Whom Owed:
 NO INSURANCE IS INCLUDED IN THIS ORDER

Consumer's Signature

CASH PRICE AT SELLER'S PLACE OF BUSINESS

OTHER CHARGES: ENTER MY ORDER FOR INSURANCE AS FOLLOWS:
CREDIT INSURANCE AGREEMENT: THE PURCHASE OF ACCIDENT & HEALTH & CREDIT LIFE INSURANCE IS VOLUNTARY AND NOT REQUIRED FOR CREDIT.
 CREDIT LIFE \$ ACCIDENT & HEALTH \$
BUYER'S SIGNATURE:

	\$ 50,415
ETCH	\$ 299

WAIVER OF VEHICLE SERVICE CONTRACTS & INVESTMENT PROTECTION PLAN I HAVE HAD THE VEHICLE EXTENDED SERVICE PROTECTION PLAN EXPLAINED TO ME AND I AM AWARE OF ITS BENEFITS.

CASH PRICE	\$ 50,714
DEALER CONVEYANCE FEE	\$ 799

I HEREBY WAIVE ALL RIGHTS AND BENEFITS THAT THIS PROGRAM WOULD HAVE PROVIDED AND ELECT NOT TO FURTHER PROTECT MY VEHICLE OR INVESTMENT.
Customer Initials:

THE DEALER CONVEYANCE FEE IS NOT PAYABLE TO THE STATE OF CT. THIS FEE IS NEGOTIABLE.

My Ins. Co. Is:
My Ins. I.D. No. Is

TERMS OF WARRANTY

THIS MOTOR VEHICLE NOT GUARANTEED
BY Ford of Branford US1
THIS VEHICLE IS SUBJECT TO A LIMITED WARRANTY OF
FOR MILES OR MONTHS, WHICHEVER OCCURS FIRST, COPY GIVEN CLIENT.

SALES TAX

REG.	TRANS.	TITLE	LIEN
\$ 595.00			

THIS MOTOR VEHICLE IS GUARANTEED for Days or miles whichever comes first. The retailer will pay 100% of the labor and 100% of the parts for the covered systems which renders the vehicle mechanically operational and sound during the warranty period. All work must be done in retailer's shop. No outside invoice will be honored by the retailer.

OTHER FEES
1. TOTAL CASH DELIVERED \$ 52,108

"AS IS" This vehicle is sold "AS IS", this means that you will lose your implied warranties. You will have to pay for any repairs needed after sale! If we have made any promises to you, the law says, we must keep them, even if we sell "as is". To protect yourself, ask us to put all promises into writing and if we offer a warranty on this vehicle.

2. CASH DOWN PAYMENT AND ALLOWANCES	DEPOSIT	
	NO REFUND OF DEPOSIT	
	NET TRADE ALLOW	
	CASH ON DELIVERY	1,000.00
TOTAL DOWN PAYMENT:		\$ 1,000
REBATE:		

Customer Signature:

3. UNPAID BALANCE OF CASH PRICE (1-2) \$ 51,108

THIS MOTOR VEHICLE BEING PURCHASE IS A PREVIOUS RENTAL/LEASE VEHICLE

4. OTHER CHARGES	CREDIT LIFE INS.	
	ACCIDENT & HEALTH INS.	
	VENDORS SINGLE INTEREST	
	BACK-END PRODUCTS	
TOTAL OTHER CHARGES		

The information you see on the window form for this vehicle is part of this contract, information on the window form overrides any contrary provisions in the contract of sales. Buyer's Guide Disclosure

5. UNPAID BALANCE AMT. FIN. (3+4) 51,108

LIEN PAYMENT SCHEDULE, WILL BE TO

Number of Payments	Amount of Each Payment	When Payments are due

Annual Percentage Rate The cost of your credit as a yearly rate. A.P.R.	Finance Charge The dollar amount the credit will cost you \$	Amount Financed The amount of credit provided to you or on your behalf. \$	Total Payments The amount you will have paid after you have made all the payments as scheduled. \$	Total Sale Price The total cost of your purchase, including your down of \$ \$
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I have read the terms and conditions on the back hereof and agree to them as a part of this order the same as if they were printed above my signature. The front and back hereof of this order, and certify that I am of legal age.

THIS ORDER IS NOT BINDING UNTIL SIGNED AND ACCEPTED BY RETAILER

Client's Signature and I have received a copy of this order: Date: 11/16/2022

Accepted By: *Lou Esposito* Date: 11/16/2022

Client's Signature and I have received a copy of this order: Date: 11/16/2022

FINAL PAYMENT CASH OR CERTIFIED CHECK

The Reynolds and Reynolds (FL624358

FORD OF BRANFORD US 1
301 EAST MAIN ST
BRANFORD CT 06405
RETAIL PURCHASE AGREEMENT

CUST# 164124
 Deal Number: 45269
 Date: 11/21/2022
 County: NEW HAVEN
 DOB:

Purchaser's Name(s): CITY OF WEST HAVEN
 Address: 355 MAIN ST WEST HAVEN, CT 06516
 Telephone (1): 203-937-3512 Telephone (2):

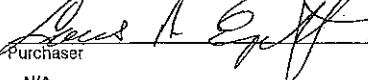
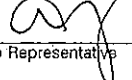
E-mail: lesposito@westhaven-ct.gov D.L./State I.D.#: Issuing State: Exp. Date:
 The above information has been requested so that we may verify your identity. By signing below, you represent that you are at least 18 years of age and have authority to enter into this Agreement. The Odometer Reading for the Vehicle you are purchasing is accurate unless otherwise indicated. Please refer to the Federal Mileage Statement for full disclosure.

YEAR 2022	MAKE FORD	MODEL Explorer	COLOR BLACK	STOCK NO. 22T195
VIN/SERIAL NO. 1FMSK8DH0NGC08312		ODOMETER READING <input type="checkbox"/> Not Accurate 36	SALESPERSON DEVON DELANEY	
THIS VEHICLE IS: <input checked="" type="checkbox"/> NEW <input type="checkbox"/> USED				
PRIOR USE DISCLOSURE: <input type="checkbox"/> PRIOR LEASE <input type="checkbox"/> RENTAL <input type="checkbox"/> DEMONSTRATOR <input type="checkbox"/> OTHER				

WARRANTY STATEMENT		CASH PRICE OF VEHICLE	50415.00
<input type="checkbox"/> THIS MOTOR VEHICLE IS NOT GUARANTEED BY OUR DEALERSHIP		FORD ETCHCARE	299.00
<input type="checkbox"/> THIS MOTOR VEHICLE IS GUARANTEED BY OUR DEALERSHIP AS FOLLOWS:		N/A	N/A
<input type="checkbox"/> Used Vehicle having a cash purchase price of at least \$3,000, but less than \$5,000. The warranty is for 30 days or 1,500 miles, whichever occurs first, and covers the full cost of both parts and labor necessary to ensure the vehicle is mechanically operational and sound.		N/A	N/A
<input type="checkbox"/> Used Vehicle having a cash purchase price of \$5,000 or more. The warranty is for 60 days or 3,000 miles, whichever occurs first, and covers the full cost of both parts and labor necessary to ensure the vehicle is mechanically operational and sound.		N/A	N/A
<input type="checkbox"/> PLEASE SEE THE ATTACHED "WAIVER OF USED CAR WARRANTY FOR PARTICULAR DEFECTS" because you have explicitly agreed to waive the State Mandated Used Car Warranty as to certain defects or malfunctions that have been disclosed to you.		N/A	N/A
<input type="checkbox"/> THIS MOTOR VEHICLE IS GUARANTEED by _____ for N/A Months		N/A	N/A
or N/A Miles, whichever occurs first. Please see the Limited Warranty that has been provided to you.		N/A	N/A
<input type="checkbox"/> OTHER:		DEALER CONVEYANCE FEE: THIS FEE IS NOT PAYABLE TO THE STATE OF CONNECTICUT. THIS FEE IS NEGOTIABLE. 799.00	
CONTRACTUAL DISCLOSURE STATEMENT (USED VEHICLES ONLY) The information you see on the window form for this vehicle is part of this Contract. Information on the window form overrides any contrary provisions in the contract of sale. Traducción española: Vea el dorso.		SALES TAX N/A	
See Paragraph 18 before signing below.		CT LEMON LAW SURCHARGE N/A	
x N/A x N/A		LIC. REG. TRANS. TITLE LIEN	
		N/A N/A N/A N/A	

"AS IS"		1. TOTAL CASH PRICE DELIVERED		51513.00
THIS VEHICLE IS SOLD "AS IS". THIS MEANS THAT YOU WILL LOSE YOUR IMPLIED WARRANTIES. YOU WILL HAVE TO PAY FOR ANY REPAIRS NEEDED AFTER SALE. IF WE HAVE MADE ANY PROMISES TO YOU, THE LAW SAYS WE MUST KEEP THEM, EVEN IF WE SELL "AS IS". TO PROTECT YOURSELF, ASK US: 1. TO PUT ALL PROMISES INTO WRITING, AND 2. IF WE OFFER A WARRANTY ON THIS VEHICLE.		2. CASH DOWN PAYMENT	DEPOSIT/PARTIAL PAYMENT	N/A
		CASH ON DELIVERY	1000.00	1000.00
Purchaser: N/A Date: N/A		CONDITIONAL REFUND OF DEPOSIT: The Deposit is refundable subject to the conditions set forth in paragraphs 2, 7, 8 and 9.		
TRADE-IN VEHICLE INFORMATION		3. TRADE IN ALLOWANCE		N/A
THE TRADE MUST BE IN SAME CONDITION ON DELIVERY AS WHEN APPRAISED. SEE "OUR APPRAISAL OF YOUR TRADE-IN VEHICLE" AND "YOUR REPRESENTATIONS REGARDING THE TRADE-IN VEHICLE" PARAGRAPHS.		LESS BALANCE OWED ON TRADE-IN		N/A
Year: N/A	Make: N/A	Model: N/A	Color: N/A	
4. TOTAL DOWN PAYMENT (2 + 3)		1000.00		
VIN/Serial No.: N/A	Odometer Reading: <input type="checkbox"/> Not Accurate 537	5. UNPAID BALANCE OF CASH PRICE (1 - 4)		50513.00
Trade-In Allowance: N/A	Balance Owed Lienholder: N/A	6. OTHER CHARGES		INSURANCE N/A
7. AMOUNT FINANCED (5 + 6)		50513.00		
8. FINANCE CHARGE		N/A		
9. TOTAL OF PAYMENTS (7 + 8)		50513.00		
OTHER MATERIAL AND UNDERSTANDINGS		TOTAL SALE PRICE (1 + 6 + 8)		51513.00
<input type="checkbox"/> PLEASE SEE ATTACHED DELIVERY CONFIRMATION		ANNUAL PERCENTAGE RATE % N/A		
<input type="checkbox"/> PLEASE SEE THE NEW VEHICLE CONDITIONAL DELIVERY AGREEMENT				
NO INSURANCE COVERAGE IS PROVIDED BY DEALER				

This Agreement and any documents which are a part of this transaction or incorporated herein comprise the entire agreement affecting this Retail Purchase Agreement and no other agreement or understanding of any nature concerning the same has been made or entered into, or will be recognized. I have read all of the terms and conditions of this Agreement and agree to them as if they were printed above my signature. I further acknowledge receipt of a copy of this Agreement. This Agreement shall not become binding until signed and accepted by an Authorized Dealership Representative.

Purchaser:  Date: 11/21/2022
 Accepted By Authorized Dealership Representative:  Date: 11/21/2022
 Purchaser: N/A Date: N/A
 Dealer: CAR Date: 11/21/2022 01:14 pm
 53148*1*FB1-FI CATALOG #8983420 © 2015 COKG:obal, LLC Connecticut (01/16)

CERTIFICATE OF ORIGIN FOR A VEHICLE



DATE **SEPTEMBER 01, 2022** INVOICE NO. **GC08312 0**

VEHICLE IDENTIFICATION NO. **1FMSK8DH0NGC08312** YEAR **2022** MAKE **FORD**

BODY TYPE **119 EXPLORER XLT 4WD 4DR** SHIPPING WEIGHT **4345 LBS.**

H.P.(S.A.E.) **18.99** G.V.W.R. **5940 LBS** NO. CYLS. **4** SERIES OR MODEL **K8DE**

NOMINAL TONNAGE **1/2**

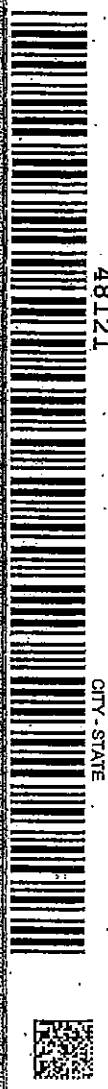
CERTIFIED FOR SALE IN CALIFORNIA
I, the undersigned authorized representative of the company, firm, or corporation named below, hereby certify that the new vehicle described above is the property of the said company, firm or corporation and is transferred on the above date and under the Invoice Number indicated to the following distributor or dealer.
NAME OF DISTRIBUTOR, DEALER, ETC.

Ford of Branford
301 East Main Street
Branford CT 06405

13B213
It is further certified that this was the first transfer of such new motor vehicle in ordinary trade and commerce.

MEMO DATA
FINANCE SOURCE **000001**
FORD MOTOR COMPANY **B57164489**

Ford Motor Credit Co
P.O. Box 1732, Room
Dearborn MI 48121
BY Jonathan E. Osgood (AGENT)
JONATHAN E. OSSGOOD, SECRETARY
DEARBORN, MICHIGAN



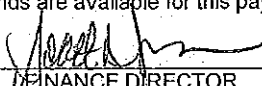
**PAYMENT VOUCHER
CITY OF WEST HAVEN, CONNECTICUT**


DATE 11/17/22	DEPARTMENT Finance
VENDOR NUMBER 106817	VENDOR NAME Ford of Branford

No. 605486
THIS VOUCHER MUST APPEAR ON ALL INVOICES AND OR RELATED CORRESPONDENCE

APPROPRIATION		YEAR		INVOICE #	INVOICE DESCRIPTION	INVOICE AMT
ORGANIZATION	OBJECT#	PROJECT #				
30140000	55000	21008		22T195	New Vehicle Purchase 11/16/22	\$ 51,108.00
						\$
						\$
						\$
						\$
						\$
						\$
						\$
						\$
						\$

TOTAL \$ 51,108.00

This is to certify that funds are available for this payment
 11/17/22 
 DATE FINANCE DIRECTOR

 11/17/22 Prepared by Van Le Hautin
 DATE DEPARTMENT HEAD OR AGENT (Print Name Below)
 11-17-22

Attach invoice or other supporting data
 Forward to Accts Payable for processing

AUDITED BY DATE

VOID 180 DAYS FROM DATE OF ISSUE

City of West Haven
 West Haven, CT 06516
 Accounts Payable

Pay to the Order of
 FORD OF BRANFORD
 301 EAST MAIN ST
 ROUTE ONE
 BRANFORD, CT 06405

\$\$\$ Fifty One Thousand One Hundred And Eight Dollars And Zero Cents ****

\$51,108.00

Check Number: 523925
 Check Date: 11/18/2022

Check Number: 116877

City of West Haven
 West Haven, CT 06516

Finance Director
 Treasurer

MP MP

11 - - - - -

CITY OF WEST HAVEN OPEN ISSUES

4/13/2023

List #	Issue	Required Response	Status
1	Brewery Development Agreement	Copy of agreement: lease, assessment cap, pilot, other commitments	Pending
2	Firefighter Hazard Pay Stipend	Status of City revisiting amount - original request of \$10,000 per firefighter	City status response in 10/6 MARB meeting package: "City administration and City Council believe that this is fair compensation for the unprecedented hazards encountered by the Fire Service." 4/27/23 sub-committee meeting
3	Firefighter Hazard Pay Stipend	Status of revision to MOU with union - FY 2022	City response in 10/6 MARB meeting package addressed MOU related to Partnership transition. No change to MOU regarding hazard pay stipend. 4/27/23 sub-committee meeting
4	Firefighter Hazard Pay Stipend	Analysis of whether stipend creates liability when negotiating with other bargaining units	City response in 10/6 MARB meeting package: "City contends that the hazards encountered by Guardians during the initial stages of the pandemic are unique..." 4/27/23 sub-committee meeting
5	5-Year Plan: Debt Service details	Timing and amounts of assumed issuances; assumed interest rates, etc. FY 2023	CLOSED Presented with FY 24 5 Year Plan on 3/30/23 subcommittee meeting
6	5-Year Plan: Combined Mill Rates	Plan should include mill rates from each Fire district	CLOSED Presented with FY 24 5 year plan on 3/30/23 subcommittee meeting
7	5-Year Plan: Mitigation Measures	Detailed plans/opportunities to enhance revenues or reduce expenditures FY 2023	Currently reviewing a number of projects to both reduce expenditures and increase revenue. 4/27/23 sub-committee meeting
8	Consolidation of pension investment management services and pension investment advisor services	Detailed tasks and timeline for reviewing options and proceeding with consolidating services. Incorporate fire districts into timeline.	Pending. City held preliminary meeting 9/26/22 with follow-up anticipated in October 2022

List #	Issue	Required Response	Status
9	Budgeted and vacant positions	Provide report comparing numbers of positions budgeted (funded) in FY 2023 vs. positions filled and positions vacant	CLOSED Updated list previously supplied and most current list supplied by WH Personnel office for the 3/2/23 sub committee meeting including DPW. Provided with the FY 24 budget.
10	Fuel and energy contracts	Summary of contracts including: Current rates, indicating floating vs. fixed, contract term. Include bus fuel indicating whether company or district carries price risk.	Electricity contract signed in December 2022. Gasoline/diesel fuel will be sent out to bid. Ending. Partial information distributed 11/3/22. follow-up request from T. Hamilton at 12/1/22 meeting (provide as matrix)
11	E-Rate Program	Federal Communication Commission - School and Libraries USF - (Universal Service Funding) Program	Closed - BOE updated on the compliance of funding expenditures at the 3/9/23 Full MARB meeting.
14	City Budget support of independent fire districts	Provide total amount of City funding in annual budget that supports independent fire districts	Closed - There is no direct funding from the independent fire districts in the city budget.
15	Cohn Reznick report - 4/1/22	Section V lists several companies and/or individuals that received monies from the city and are listed as questionable. Have any of those companies and/or individuals received any monies from the city since the date of the report? If so, for what purpose?	Requested 3/30/23
16	Cohn Reznick report - 4/1/22	Exhibit A of that report lists several expenditures that are questionable. Specifically, why were independent contractors given bonuses for work? Ernie Chiarelli and Louis Faugno. Who are they and what specific work did they do?	Requested 3/30/23

List #	Issue	Required Response	Status
17	Cohn Reznick report - 4/1/22	Baybrook Remodelers received \$7850 for a shower remodeling that was testified to as being incomplete. Is that completed? If so, attestation that it has been completed with appropriate documentation. If not, has the town tried to recoup any of that costs?	Requested 3/30/23
18	Cohn Reznick report - 4/1/22	Several hundred thousand dollars of CRF funds were given to the independent fire districts for overtime and other non descriptive expenses. Those districts already budget for overtime, so is this allocation for additional overtime or to supplant dollars of those existing budgets? If it's for additional, unanticipated overtime due to COVID, can you provide specifics?	Requested 3/30/23
19	Cohn Reznick report - 4/1/22	Has the City Council been presented with both Cohn Reznick reports and did they discuss them in open session with an agenda item for such presentation?	Requested 3/30/23
20	Recover CRF funds - misuse	Has the city taken steps to attempt to recover CRF funds from fraudulent/misuse activity? FY 24 proposed budget	Pending legal counsel
21	IRS code for personal use of city vehicles	Review IRS rules regarding personal use of city vehicles to determine if taxable benefits	3/30/23 sub-committee request
22	Fleet cars	List of cars in the fleet and who is provided a car	3/30/23 sub-committee request Provided 4/13 within meeting materials
23	Fire Districts	Fire districts benchmarking - possible consolidation -Timeline of looking at fire districts	3/30/23 sub-committee request
24	Check signatories	List of everyone who can sign off on checks	3/30/23 sub-committee request
25	Contract signatories	List of everyone who can sign contracts	Pending
26	Contracts over 50k	Backup of contracts not provided to MARB for approval.	Pending
28	Special Benefits	City and BOE employees with special benefits. i.e. cars	Pending

* numbers 12 and 13 were previously closed.

** numbers 5 ,6 ,9, 11 and 14 will close after the full Marb meeting.

02/06/23	re	CITY OF WEST HAVEN - VEHICLE LIST						
PLATE	YEAR, MAKE AND MODEL	IDENTIFICATION#	ASSIGNED TO	24 Hr Usage				
1WN	22 FORD EXPLORER	1FMSK8DH0NGC08312	MAYOR ROSSI	YES				
2WN	13 FORD EXPLORER	1FM5K8AR9DGC40605	TOM MCCARTHY	YES				
3WN	16 FORD EXPLORER	1FM5K8AR8GGC50319	JIM CAVALLARO	YES				
4WN	13 FORD EXPLORER	1FM5K8ARXDGB16214	JOE MONTAGNA	YES				
5WN	14 FORD EXPLORER	1FM5K8AR6EGC60327	BD OF ED (C,EVERONE)					
6WN	17 FORD EXPLORER	1FM5K8AR8HGA24654	ROB SANDELLA	YES				
7WN	20 FORD F-250 4X4 PU	1FT7X2B6XLEE64931	BOB ORIFICE	YES				
8WN	07 FORD F250 UTILITY	1FDNF21557EB31279	PARK MAINT.					
9WN	11 FORD CUBE VAN	1FDWE3FL8BDB30405	BD OF ED					
10WN	09 FORD F150 PICKUP	1FTPX14V49KB58459	MARK PAINE					
11WN	04 FORD RANGER PICKUP	1FTZR45E34PB07730	BUILDING MAINTENANCE					
12WN	16 FORD EXPLORER	1FM5K8AR0GGB07333	FRANK GLADWIN	YES				
13WN	21 FORD F150 PICKUP	1FTMF1EB3MKE25304	WPCA					
14WN	03 FORD EXPLORER	1FMZU72K43ZB37389						
15WN	21 FORD EXPLORER	1FM5K8AB3MGA65545						
16WN	22 FORD EXPLORER	1FM5K8AB3NGA10921						
17WN	16 FORD EXPLORER	1FM5K8ARXGGD32858						
18WN	03 FORD EXPLORER	1FMZU72K73UC59791	POLICE					
19WN	05 FORD CROWN VICTORIA	2FAFP71W25X109415	HEALTH DEPT.					

20WN	16 FORD EXPLORER			1FM5K8AR8GGD32860	TRAFFIC SGT		YES	
22WN	20 FORD EXPLORER			1FM5K8AB5LGC64482	P-185		YES	
23WN	20 FORD EXPLORER			1FM5K8AB1LGC64494	P-244		YES	
24WN	20 FORD EXPLORER			1FM5K8AB3LGC64495	C. NOLAN		YES	
25WN	11 FORD CROWN VICTORIA			2FABP7BV1BX120809	K. PARIS			
26WN	06 FORD E-350 VAN			1FTSE34L86HA68329	POLICE SRT			
27WN	17 FORD EXPLORER			1FM5K8ARXHGD60256	TRAINING			
28WN	11 FORD CROWN VICTORIA			2FABP7BVOBX120798	POLICE			
29WN	11 FORD CROWN VICTORIA			2FABP7BV8BX120807	POLICE			
30WN	03 FORD EXPEDITION			1FMFU16L83LB74225	POLICE CRIME SCENE UNIT			
31WN	17 FORD EXPLORER			1FM5K8AR3HGD60258	POLICE CAR #31			
32WN	11 FORD CROWN VICTORIA			2FABP7BVSBX120799	POLICE CAR#32			
33WN	11 FORD CROWN VICTORIA			2FABP7BV0BX120803	POLICE CAR #33			
34WN	11 FORD CROWN VICTORIA			2FABP7BV3BX168487	POLICE CAR #34			
35WN	11 FORD CROWN VICTORIA			2FABP7BVXBX120808	POLICE CAR #35			
36WN	16 FORD EXPLORER			1FM5K8ARXGGD32861	POLICE CAR #36			
37WN	16 FORD EXPLORER			1FM5K8AR1GGD32859	POLICE CAR #37			
38WN	20 FORD EXPLORER			1FM5K8ABXLGC64493	POLICE CAR #38			
39WN	20 FORD EXPLORER			1FM5K8AB1LGC64480	POLICE CAR #39			
40WN	20 FORD TRANSIT VAN			1FTBR1Y8XLKA69754	POLICE PRISONER VAN			
41WN	21 FORD EXPLORER			1FM5K8AB1MGA65544	POLICE CAR #41			
42WN	22 FORD EXPLORER			1FM5K8AB1NGA40113	POLICE CAR #42			

43WN	22 FORD EXPLORER		1FM5K8AB7NGA55683	POLICE CAR #43		
44WN	22 FORD EXPLORER		1FM5K8AB6NGA09861	POLICE CAR #44		
45WN	22 FORD EXPLORER		1FM5K8AB9NGA42501	POLICE CAR #45		
46WN	22 FORD EXPLORER		1FM5K8AB0NGA10052	POLICE CAR #46		
47WN	22 FORD EXPLORER		1FM5K8AB8NGA02507	POLICE CAR #47		
48WN	21 FORD EXPLORER		1FM5K8ABXMGA65543	POLICE CAR #48		
49WN	21 FORD EXPLORER		1FM5K8AB6MGA43815	POLICE CAR #49		
50WN	17 FORD EXPLORER		1FM5K8AR1HGD60257	POLICE ACO SUPERVISOR		
51WN	10 CHEVROLET SUBURBAN		1GNUKKE31AR166087	POLICE C-1		YES
52WN	15 FORD EXPLORER WD 4-DR		1FM5K8AR4FGC41373	POLICE ANIMAL CONTROL		
53WN	15 FORD EXPLORER		1FM5K8AR2FGC41369	POLICE CAR #53 K9		
54WN	15 FORD EXPLORER		1FM5K8AR9FGC41370	POLICE CAR #54		
55WN	07 FORD CROWN VICTORIA		2FAHP71W07X138276	POLICE CAR #55		
56WN	16 FORD EXPLORER		1FM5K8AR1GGD32862	POLICE CAR #56		
57WN	16 FORD EXPLORER		1FM5K8AR5GGD32864	POLICE CAR #57		
58WN	16 FORD EXPLORER		1FM5K8AR8GGD32857	POLICE CAR #58		
59WN	16 FORD EXPLORER		1FM5K8AR4GGD32855	POLICE CAR #59		
60WN	16 FORD EXPLORER		1FM5K8ARXGGC26703	POLICE CAR #60		
61WN	99 FORD CROWN VICTORIA		2FAFP71W3XX199046	WPCA		
62WN	98 FORD F150 PICKUP		1FTRF18L9WNB46418	TREE WARDEN (11/1/21)		
64WN	05 FORD MUSTANG		1ZVFT82H255261184	SCU		

65WN	04 FORD PICKUP (F250)		1FTNF21LX4EC95237	MAINTENANCE (JANET)		
LI66WN	03 FORD TAURUS		1FAFP53U93G114128	(ASSES. OFFICE) DOUG KIDD		
67WN	09 FORD E450 VAN		1FCLE49S79DA63225	POLICE SWAT VAN		
68WN	05 FORD E-350 BUS/VAN		1FDWE35L65HB44771	POLICE		
69WN	04 FORD F-250 PU		1FTNF21L14EC95238	GARAGE		
71WN	99 FORD CROWN VICTORIA		2FAFP71W1XX199045	POLICE CAR #71 PSU		
72WN	98 FORD CROWN VICTORIA		2FAFP71WXWX166706	POOL CAR		
73WN	12 FORD PICKUP (F350)		1FTWF3B61CED19149	WPCA		
74WN	22 NISSAN LEAF S ELECTRIC		1N4AZ1BV6NC-559279	(HEALTH) HILLARY		
75WN	21 FORD DUMP (F350)		1FTRF3B66MED51402	HWY		
76WN	02 FORD F250 PICKUP 4X4		1FTNF21L62EB10999	MAINTENANCE		
77WN	22 FORD F-250 PICKUP		1FTBF2BN1NEE69443	PARKS		
78WN	04 CHEVROLET TRAILBLAZER		1GNDT135542135228	ERNIE CHIARELLI		
79WN	98 FORD CROWN VICTORIA		2FAFP73W4WX107065	POOL CAR - CDA		
80WN	00 FORD CROWN VICTORIA		2FAFP71WXYX194900	PARK REC.		
81WN	22 FORD F250 PICKUP		1FTBF2BN5NEE69445	PARK MAINT.		
82WN	05 FORD PICKUP (F250)		1FTNF21585EB14879	PARK MAINT.		
83WN	03 FORD EXPEDITION		1FMPU16L43LC51905	JEN -ERS		YES
84WN	21 FORD DUMP (F350)		1FTRF3B62MED51400	HWY		
85WN	14 AMERICAN HAULER TRAILER		5N6200J28E1045753	WPCA		
86WN	04 FORD DUMP (F350)		1FDWF36L04EB02723	HWY WATER TRK.		
87WN	22 FORD F350 PICKUP		1FDRF3HN1NDA09440	PARK MAINT.		

88WN	06 FORD DUMP (F350)		1FDWF37506EC33041	HWY		
89WN	22 NISSAN LEAF SE ELECTRIC		1N4AZ1BV5NC-563596	LLOYD ADAMS-BLDGS.		
90WN	22 NISSAN LEAF S ELECTRIC		1N4AZ1BV6NC-560593	ABDUL-ENG.-WHITE		
91WN	17 FORD EXPLORER		1FM5K8AR5HGD60259	POLICE K-9 - CAR #91	Marchitto	YES
92WN	19 FORD EXPLORER		1FM5K8AR1KGA17049	POLICE K-9 - CAR #92		YES
94WN	10 FORD CROWN VICTORIA		2FABP7BVXAX105630	POLICE K-9 - CAR #94		YES
95WN	17 FREIGHTLINER DUMP 114SD		1FVAG3DV5HHJE7676	HWY #11		
96WN	17 FREIGHTLINER DUMP 114SD		1FVAG3DV3HHJE7675	HWY #12		
97WN	17 FREIGHTLINER 114SD DUMP		1FVAG3DV0HHJE7682	HWY #6		
98WN	17 FREIGHTLINER DUMP 114SD		1FVAG3DV7HHJE7677	HWY #16		
99WN	02 GMC RACK TRUCK - 20 FOOT		1GDP7H1C02J505627	HWY #14		
100WN	98 GMC RACK TRUCK 20 FOOT		1GKP7H1C8WJ521726	HWY #15		
102WN	92 MICHIGAN PAYLOADER		L-120V60728	HWY		
103WN	17 FREIGHTLINER 114SD DUMP		1FVAG3DV9HHJE7681	HWY-3		
104WN	95 MOBIL SWEEPER M9B		1A9S14DR25R059051	HWY		
105WN	00 FORD F-350 DUMP DRW		1FDWF36L3YEA80285	SPARE		
106WN	91 MOBIL SWEEPER M9A		1A9C13DR3MR059005	HWY		
108WN	21 FORD F350 UTILITY		1FD8X3B61MED09515	GARAGE		
109WN	01 VOLVO DUMP (HEIL)		4V5J38UE51N314859	HWY #23 (LEAF TRK)		
110WN	05 FORD CROWN VICTORIA		2FAFP71W85X109418	MAYORS OFFICE		
111WN	13 VERMEER CHIPPER		1VRY11196D1019753	HWY - MODEL BC1000XL		

112WN	17 FREIGHTLINER 114SD DUMP	1FVAG3DV9HHJE7678	HWY #17		
113WN	21 FORD DUMP F350	1FTRF3BN8MED50288	PARKS		
114WN	04 JOHN DEERE 6120 FLAILER	H398503	HWY		
115WN	05 INTERNATIONAL DUMP 7400	1HTWDAZR26J292049	HWY #9		
116WN	08 INTERNATIONAL DUMP 7400	1HTWCAZR08J677633	HWY #2		
117WN	15 VERMEER STUMPCUTTER	1VR215132G1002041	HWY - MODEL SC802		
118WN	17 AMERICAN HAULER TRAILER	593200D19H1058236	HWY- MODEL AR610SA -BLACK		
119WN	08 INTERNATIONAL DUMP 7400SD	1HTWCAZR98J677632	HWY #8		
120WN	17 FREIGHTLINER 114SD DUMP	1FVAG3DV7HHJE7680	HWY #1		
121WN	12 INTERNATIONAL DUMP 7400SD	1HTWCAZR5CJ103991	HWY #5		
122WN	08 FORD VAN (E150)	1FTNE14W48DA12751	ELECTRICIAN		
123WN	08 FORD VAN E150	1FTNE14W98DB08181	BD OF ED		
124WN	06 FORD PICKUP F350	1FTWF31Y66EA59659	BD OF ED		
125WN	05 FORD PICKUP (F250)	1FTSF21485EB48009	BD OF ED		
126WN	06 FORD VAN E150	1FTRE14W56HA44664	BD OF ED		
127WN	99 FORD HOLLAND MOWER	6015921	BD OF ED		
128WN	22 FORD F-350 P.U.4X4	1FTRF3B62NEG27835	BD OF ED		
129WN	97 FORD PICKUP (F350)	1FDKF38G2VEA73371	BD OF ED		
130WN	97 FORD PICKUP (F250)	1FTHF2665VEC28717	BD OF ED		
131WN	06 FORD DUMP (F350)	1FDWF37Y97EB21351	BD OF ED		
132WN	09 FORD F250 PICKUP	1FTSX21519EA24351	BD OF ED		
133WN	01 CHEVROLET BLAZER	1GNDT13W112154776	BD OF ED		

134WN	02 JOHN DEERE MOWER (4300)	LV4300H432694	BD OF ED
135WN	22 NISSAN LEAF S ELECTRIC	1N4AZ1BV7NC-560506	BLITH -L.LOPEZ-SILVER
136WN	82 KUBOTA B8200 TRACTOR		BD OF ED
137WN	19 FORD REFRIG TRUCK	1FTYR2XM9KKA96361	BD OF ED (TRANSIT 205HR)
138WN	21 FORD DUMP (F350)	1FTRF3B64MED51401	HWY
140WN	04 FORD PICKUP (F350)	1FDWF37SX4EB15825	BD OF ED (F.S.)
141WN	00 GMC 8500 DUMP	1GDP7H1CXYJ513128	BD OF ED
142WN	93 JOHN DEERE TRACTOR	LV0755D160301	BD OF ED
143WN	08 FORD DUMP F350	1FDWF37Y98ED12415	BD OF ED
144WN	22 FORD F-350 P.U.4X4	1FTRF3BNONEF3238	BD OF ED
145WN	99 FORD VAN	1FDXE47SOXHC10166	BD OF ED (FOOD SERVICE)
146WN	11 ELGIN SWEEPER (PELICAN)	NP2351D	HWY NP-2000
147WN	00 JOHN DEERE TRACTOR	LV4600H367279	BD OF ED MODEL 4600
148WN	98 WENGER MOBIL STAGE	1W9SE2823WM174009	HWY
149WN	19 FORD TRANSIT VAN	1FTYE1YM8KKB85519	BD OF ED (150 LR CARGO)
150WN	14 VOLVO L110H LOADER	VCELI10HVE0010305	HWY
151WN	20 FORD F150 PICKUP	1FTFX1E46LKD81580	WPCA (6 CYL, SUPER CAB)
152WN	12 DOOSAN WHEEL LOADER	CWLAF-010264	HWY DL250
153WN	05 FREIGHTLINER REFUSE	1FVACHDC34HN54380	HWY #20
154WN	19 VOLVO L90H LOADER	VCEOL90HT05624756	HWY
155WN	12 INTERNATIONAL DUMP 7400SD	1HTWCAZR7CJ103992	HWY #10

156WN	13 CAMIAN M-WRAP		23550015901660	POLICE		
157WN	02 FREIGHTLINER REFUSE FL70		1FVABTAK03HK62028	HWY #19		
159WN	20 FORD F350 PU DUMP		1FTRF3B67LEE38823	PARKS		
160WN	14 INTERNATIONAL TRUCK		1HTWPAZT2EH485388	WPCA		
161WN	19 FORD TRANSIT VAN		1FTYR2CM1KKB14244	WPCA		
162WN	14 FORD BUS F550		1FDGF5GY1EEA17837	SENIORS -CNG		
163WN	00 STERLING TRUCK (LT7501)		2FVNAJCB7YAA94101	HWY-VAC TRK		
164WN	99 FORD F-450 FLATBED 4X4		1FDXF47S9XEC39230	(HOT BOX TRK)		
165WN (A)	12 FORD F250 PICKUP		1FTBF2B66CEB43269	HWY		
165WN (B)	96 COMPARE LE ROI AIR COMP		3272X1185	HWY		
166WN	95 GMC 8500 DUMP W/ SANDER		1GDP7H1J2SJ525201	HWY #166 (CHIPPER BOX)		
168WN	04 JOHN DEERE 4110 MOWER		LV4110H4111-78	BD OF ED		
169WN	01 FORD CROWN VICTORIA		2FAFP71W21X197490	D.DIETMAN		
170WN	12 FORD F250 PICKUP		1FTBF2B64CEB43268	MAINTENANCE		
171WN	15 FORD F350 TRUCK		1FD8X3B64FEB54932	WPCA		
172WN	97 FORD CUTAWAY VAN		1FDKE37L3VHC02525	BD OF ED (FOOD SERVICE)		
173WN	12 FORD F350 DUMP		1FDRF3H61CEB50492	HWY		
174WN	98 TRAILER FOR PAINT MACHINE			HWY		
175WN	02 ISUZU BUCKET TRUCK		JALB4B14627000068	HWY (DIESEL)		
176WN	12 FORD F350 DUMP		1FDRF3H63CEB50493	HWY		
177WN	04 SMEAL PUMP		44KFT42874WZ20474	ALLINGTOWN FD		
178WN	04 BIG TEX UTILITY TRAILER		16VAX121442A08311	PARK MAINT.		

179WN	01 FORD DUMP (F350)		1FDWF375X1EA31578	BD OF ED		
180WN	01 GMC 6500 UTILITY		1GDG6H1C51J500523	HWY.		
181WN	17 FORD F-250 PU		1FT7X2B62HEE28142	WPCA -WHITE		
182WN(A)	95 TRAILFLITE TRAILER		41GT61211SB000025	BD OF ED		
182WN(B)	14 FORD ESCAPE		1FMCU96X2EUA01624	BD OF ED		
183WN	22 NISSAN LEAF S ELECTRIC		1N4AZ1BV1NC-554006	R.BOYNE-BLDGS-WHITE.		
184WN	00 CARRY-ON TRAILER		431FS1922Y1000534	PARK MAINT.Mac's		
185WN	17 FREIGHTLINER 114SD DUMP		1FVAG3DV4HHJE7684	HWY #22		
187WN	05 FORD PICKUP (F250)		1FTNF21505ED16213	HWY		
188WN	88 HOMEMADE TRAILER			PARK		
189WN	19 FORD F250 PICKUP		1FT7X2B65KEF81170	WPCA		
190WN	12 VOLVO PAYLOADER L20F		VCE0L20FE01705524	WPCA		
191WN	22 FORD F250 PICKUP		1FTBF2BN3NEE69444	PARK MAINT.		
192WN	03 TARCO/WINDY LEAF MACHINE		LW-19-14-A-7925-L02	HWY (MODEL 400)		
193WN	20 FORD PICKUP (F350)		1FTRF3B65LEE38822	HWY		
194WN	99 FORD CROWN VICTORIA		2FAFP71W7XX199051	LILLIS-RICCIO-HEALTH DEPT.		
195WN	00 GENIE HYD. LIFT		T3400-239	BD OF ED		
196WN	16 ALUMA 7710H TRAILER		1YGUS1017GB139200	PARK		
197WN	03 REMEQ TRAILER		L2REA2S8A132Y71318	PARK		
198WN	89 MODERN TRAILER		105E23K1006883	PARK		
199WN	TRAIL FLITE TRAILER		TT10603	PARK		

200WN	17 FREIGHTLINER 114SD -DUMP	1FVAG3DV2HHJE7983	HWY #21		
201WN(A)	G.E. FLATBED TRAILER	6S72116	PARK		
201WN(B)	05 INTERNATIONAL DUMP 7400SD	1HTWCAZR25J149989	HWY #7		
205WN	CEMENT MIXER	JCMA2	BD OF ED		
206WN	84 ATLAS AIR COMPRESSOR		BD OF ED		
207WN	03 JOHN DEERE 4110 W/PLOW	LV411011211374	BD OF ED		
208WN	07 HARLEY DAVIDSON M/C	1HD1FMM167Y651186	POLICE TRAFFIC		
209WN	07 HARLEY DAVIDSON M/C	1HD1FMM147Y657052	POLICE TRAFFIC		
210WN	85 HARLEY DAVIDSON M/C	EFLF115432	POLICE TRAFFIC NOT IN SERVICE		
211WN	08 FORD F250 PICKUP	1FTNF21588ED56592	MAINTENANCE		
212WN	21 FORD DUMP F350	1FTRF3B6XMED51399	HWY		
213WN	22 NISSAN LEAF LS ELECTRIC	1N4AZ1BV2NC-560994	POOL CAR- PARK REC-MARGARET-WHITE		
214WN	17 INTEGRITY BOX TRAILER	55NBE101XH2004494	POLICE		
215WN	06 LOAD RITE - BOAT TRAILER	42XBK26Z95F310992	MARINE UNIT		
216WN	17 FREIGHTLINER 114SD	1FVAG3DV0HHJE7679	HWY-4		
218WN	13 FORD ESCAPE	1FMCU9G98DUD14175	BD OF ED		
219WN	02 FORD E450 CAMERA TRUCK	1FDXE45FX2HA86079	WPCA		
220WN	03 FORD F-350 DRW 5.4L	1FDWF37L83EB57840	HWY		
221WN	05 CAM UTILITY TRAILER	5JPBU20245P012859	PARK MAINT.-Doody		
222WN	22 JOHN DEERE 5115M	1LV5115MANM406184	HWY BEACH MACHINE		
223WN	16 SMART 850 SPEED TRAILER	1R9BR0912GJ482139	POLICE		
224WN	99 FORD PICKUP	1FTNX21L5XEB07693	POLICE MARINE (F250) 4X4		

225WN	06 FORD PICKUP (F350) W/PLOW	1FTWF31P56EC23426	HWY		
226WN	06 CASE BACKHOE	N5C387691	HWY SM SERIES 2		
227WN	05 FREIGHTLINER TRUCK	4UZAARBW35CV66680	POLICE COMMAND CENTER		
228WN	01 FEATHERLITE UTILITY TRAILER	4FG-L0101-8-1D034381	POLICE		
229WN	07 ALLMAND LIGHT TRAILER	0227MXL07	HWY-PORTABLE		
230WN	19 FORD TRANSIT VAN	1FTYE1YM6KKB85518	BD OF ED		
231WN	22 REISER TRAILER	55L1F1628NN011620	PARKS		
232WN	07 BIG TEX UTILITY TRAILER	16VNX162472D64900	WPCA		
233WN	04 FORD EXPLORER	1FMZU72K84UB04538	COMPOST		
234WN	01 FORD CROWN VICTORIA	2FAFP71W61X197492	CITY HALL		
235WN	2022 REISER TRAILER	55L1F1822NN011769	PARK MAINT.		
236WN	17 FORD F-250 PU 4X4	1FT7X2B64HEE28143	WPCA		
237WN(A)	HOMEMADE TANDEM TRAILER 12'		PARKS		
237WN(B)	22 BIG TEX TRAILER	16V1U1716N2197219	PARKS		
238WN	02 FORD EXPLORER	1FMZU72E22UA88618	POOL CAR		
239WN	99 FORD E350 BUS	1FDWE30LOXH856716	EOC CENTER		
240WN	12 FORD EXPLORER	1FMHK8F83CGA11011	LOU ESPOSITO		YES
241WN	22 FORD EXPLORER	1FM5K8AB8NGB59213	WPCA (P. BUTLER)		YES
242WN	04 FORD CROWN VICTORIA	2FAFP71W84X102063	(PARK REC) SPARE		
243WN	2004 FORD CROWN VICTORIA	2FAFP71W24X102060	ZONING- C. CONIFF		
244WN	12 FORD F350 PICKUP	1FTRF3BTXCEB43270	PARKS (DIESEL)		

245WN	06 FORD E350 ECONOLINE VAN	1FDWE35L16HA20201	EOC (J. SOTO)		
246WN	1999 FORD CROWN VIC	2FAFP71WOXX199053	COORDINATOR		
247WN	2022 NorStar Ironbull	50HTB2029N1080287	HWY-83"x20' Tilt		
	09 YAMAMA QUAD (ATV) RHINO	5Y4AM16Y09A013485	POLICE		
	20 CAM-AM OFF ROAD	3JBKAX42LK001340	POLICE		
	16 TORO Z MASTER 6000 MOWER	316000260	PARK MAINT. (MODEL 74960)		
	09 TORO ZMASTER G3 MOWER	290000765	PARKS		
	91 JOHN DEERE MOWER	IM00755D630285	PARKS		
	91 JOHN DEERE MOWER	M0F935X100558	HWY		
	05 BARBER SURF RAKE 600HD	6963	HWY		
	05 JOHN DEERE 6120	L06120H469334	HWY		
	06 HUSQVARNA MOWER	062205C003641	PARK MAINT		
	HUSTLER TRACTOR	36297	PARK MAINT.		
	91 JOHN DEERE AMT626 GATOR	W00626X005244	PARK MAINT.		
	JOHN DEERE MOWER (318)	M01013X101422	PARK MAINT.		
	TORO WALK BEHIND MOWER	691446	PARK MAINT.		
	98 TORO MOWER 4WD	70180	HWY (MODEL 223D)		
	99 JOHN DEERE GATOR UTILITY	W006X4D011065	HWY		
	05 TORO Z587L ZMASTER MOWER	S250000220	PARK MAINT.		
	04 SMITHCO SUPERRAKE MOWER	2693	PARK MAINT.		
	96 STOW CONCRETE MIXER	9610588	HWY (MODEL CM6H8)		

	99 KUBOTA L3400 LOADER		18854	PARK MAINT.		
	02 TORO MOWER		30581-220000144	PARK MAINT. (580D)		
	03 TORO MOWER (Z100)		74198-230006032	PARK MAINT.		
	95 SAMSUNG EXCAVATOR		DBY0061	HWY (130LCM-2)		
	94 VENTURE TRAILER		47GWB121XRB000210	POLICE		
	SCARIFIER MOWER 13-550B			PARK MAINT.		
	SCARIFIER MOWER 13-445K			PARK MAINT.		
	88 TRIE TRAILER		70101	HWY		
	07 JOHN DEERE TRACTOR		LV2520H306640	PART MAINT. (MODEL 2520) 200X LOADER		
	11 TORO Z MOWER 52"		311000773	PARK MAINT. (74953)		
	98 TENANT ATLV 4300		4300-1066	HWY.		
	99 GORMAN RUPP PORTABLE PUMP		001154200	WPC		
	98 SERCO TRAILER		4H5W31722WL982371	HWY		
	94 HYSTER FORK LIFT		D173B1588R	GARAGE (MODEL H50XM)		
	MITSUBISHI PROPANE FORKLIFT		AF13D35305	WPC		
	04 CLUB CAR GOLF CART		AQ0434422690	TOWN HALL		
	16 BROYHILL LEGACY SCARIFIER	150407		PARK MAINT.		
	13 BROYHILL LEGACY RAKE	140515		PARK MAINT.		
	12 HOLDER TRACTOR		53400226	HWY		
	22 EXMARK LAZER DS MOWER		412398428	PARK MAINT.		
=====						
	93 TORO MOWER			PARK MAINT. (580D) - SOLD- O'Connor 1/15		
	OLD 64WN	97 FORD EXPLORER			JUNKED 1/19	

	OLD 77WN	03 FORD CV				JUNKED 1/19	
	OLD 161WN	08 CHEVROLET VAN	1GCGG25C481202225		WPCA	PARKED	
	OLD 18WN	99 FORD E350 BUS	1FDWE30LOXHB56716			POLICE-BUS	
	OLD 8WN	04 FORD PICKUP (F350)	1FTSF31P24EB15826			PARK MAINT. - BLOWN ENGINE	
	OLD 149WN	98 FORD WINDSTAR VAN	2FMZA51U9WBD93485			BD OF ED (FOOD SERVICE)	
	OLD 78WN	00 FORD PICFORD F150 P/U	1FTRF18W8YNA19916			HWY (TOTALLED)	Y
	OLD 105WN	95 VW EUROVAN	WV2EE0707SH001200		HEALTH	SOLD	
	OLD 150WN	03 FORD TAURUS	1FAFP53U73G223817		WPC	JUNKED	
	STREET CRIME	00 CHEVROLET CHEV SILVERADO P/U	1GCGK29U6XE142313		POLICE	JUNKED	
	OLD 151WN	03 CHEVROLET PICKUP S10	14CCS14H638156191		WPCA	PARKED	
	OLD 4WN	05 FORD EXPLORER	1FMZU72E95ZA22333			JOE MONTAGNA	
						TRANS	
	OLD 46WN	16 FORD EXPLORER	1FM5K8AR6GGD32856			POLICE CAR#46	
	OLD 154WN	01 VOLVO WHEEL LOADER	L70FB28346		HWY		
	OLD 233WN	99 FORD CROWN VICTORIA	2FAFP71W5XX199050		PARKED	10/13/20	
	OLD 159WN	00 FORD DUMP (F350)	1FDWF36L3YEA80285				
	OLD 12WN	01 FORD CROWN VICTORIA	2FAFP71W41X197491			FRANK GLADWIN-PARKED 12-20	
	OLD 34WN	11 FORD CROWN VICTORIA	2FABP7BV5BX120800			ALLINGTOWN FIRE 4/15/21	
	OLD 57WN	07 FORD CROWN VICTORIA	1FAHP7BV3BX112369			JUNK	
	OLD 35WN	11 FORD CROWN VICTORIA	2FABP7BV7BX120796			JUNK	
	OLD 60WN	07 FORD CROWN VICTORIA	2FAHP71W27X138280			TOTALLED	
	OLD 138WN	04 FORD DUMP (F350)	1FDWF36L94EB02722			PARKED 4/12/21	

	OLD 31WN	04 FORD EXPLORER	1FMZU72K94UB94797		GIVE TO CITY
	OLD 93WN	10 FORD CROWN VICTORIA	2FABP7BV2AX105623		GIVE TO CITY
	OLD 86WN	96 FORD DUMP (F350)	1FDKF38G3TEA50212		OLD WATER TRK PARKED
	OLD 213WN	04 FORD F250 PICKUP	1FTNF21L84EC95236		PARKED
	OLD 13WN	00 FORD RANGER PICKUP	1FTZR15V3YTB18691	WPCA	PARKED
	OLD 92WN	10 FORD CROWN VICTORIA	2FABP7BV1AX105631		SOLD 9/29/22
	OLD 156WN	06 FORD PICKUP F250	1FTNF21586EC23425		PARKED
	OLD 75WN	04 FORD DUMP	1FDWF36L74EB02721		? HWY
	OLD 139WN	02 TENNANT SWEEPER	4300-1907		? BD OF ED
	OLD 212WN	03 FORD F350 DUMP	1FDWF36L73EB55322		? HWY
	OLD 183WN	00 FORD CROWN VICTORIA	2FAFP71W7YX194899		SOLD 9/29/22
	OLD 135WN	99 FORD CROWN VICTORIA	2FAFP71W8XX199043		SOLD 9/29/22
	OLD 74WN	04 FORD CROWN VICTORIA	2FAFP71W24X102060		PARKED
	OLD 90WN	00 FORD CROWN VICTORIA	2FAFP71W5YX194898		SOLD 9/29/22
	OLD 213WN	03 FORD TAURUS	1FAFP53U93G114128		PARKED
	OLD 222WN	05 JOHN DEERE 6120	W00640C041592		TRADED IN 6/24/22
	OLD 23WN	15 FORD EXPLORER AWD 4-DR	1FM5K8AB1LGC64494		PENDING ASSIGNMENT
	OLD 24WN	11 FORD CROWN VICTORIA	1FM5K8AB3LGC64495		PENDING ASSIGNMENT
	OLD 28WN	11 FORD CROWN VICTORIA	2FAHP71W47X138278		SOLD 9/29/22
	OLD 32WN	11 FORD CROWN VICTORIA	1FABP7BV3BX112369		SOLD 9/29/22
	OLD 43WN	21 FORD EXPLORER	1FM5K8AB4MGA43814		TOTALLED

	OLD 52WN	99 FORD E250 VAN	1FTNE2420XHB40866		SOLD 9/29/22
	OLD 53WN	07 FORD CROWN VICTORIA	2FAHP71W27X138277		PARKED
	OLD 54WN	07 FORD CROWN VICTORA	2FAHP71W17X138285		PARKED
	OLD 70WN	99 FORD CROWN VICTORIA	2FAFP71W9XX199052		SOLD 9/29/22
	OLD 89WN	99 FORD CROWN VICTORIA	2FAFP71W0XX199053		PARKED
	OLD 241WN	05 FORD CROWN VICTORIA	2FAFP71W45X109416		PARKED
	OLD 77WN	07 CHEV. SILVERADO PICKUP	2CCEK19J371577671		PARKED
	OLD 231WN	BIG TEX 30SA TRAILER	16VAX081842A19393		PARKED
	OLD 191WN	04 FORD PICKUP (F250)	1FTNF21L14EC95238		PARKED
	OLD 63WN	03 FORD CROWN VICTORIA	2FAFP71W13X112349		SOLD 9/29/22
	OLD 82WN	04 FORD CROWN VICTORIA	2FAFP71W74X102068		SOLD 9/29/22
	OLD 107WN	03 FORD CROWN VICTORIA	2FAFP71W63X112346		SOLD 9/29/22
	OLD 101WN	02 FREIGHTLINER REFUSE	1FVAETAK42HJ87784		SOLD 9/29/22
	OLD 87WN (B)	03 FORD F350 DUMP	1FDWF36LX3EB57260		PARKED

**MEMORANDUM
MUNICIPAL ACCOUNTABILITY REVIEW BOARD**

To: Municipal Accountability Review Board
From: Kimberly Kennison
Subject: Update on Sprague Subcommittee
Date: April 11, 2023

The Sprague Subcommittee met on March 23, 2023.

Presentation Re: FY2022 Audit and Management Letter: The FY 2022 Audit report was issued on February 13, 2023, with an unmodified opinion. The Town's Auditor, Sean O'Grady from King & King Associates presented the audit report. The general fund total fund balance at June 30, 2022, was approximately \$495,000. The calculation of the loan repayment on the total fund balance is 5% of the general fund basis expenditures. The general fund had a surplus of approximately \$148,000 after an additional transfer to Capital non-recurring of \$413,000 to reduce the deficit balance. The general fund balance remains below the 5% repayment threshold. On FY 2022 State Single Audit report, the Auditor issued an unmodified opinion and one audit finding which was corrected before this presentation. On FY2022 Federal Single Audit report, the Auditor issued an unmodified opinion with no finding. The Town received no management letter this year from the Auditor.

Review and Discussion Re: Board of Education FY 2024 Recommended Budget: William Hull, BOE Superintendent provided an overview of the proposed FY 2024 Board of Education budget. The total budget is approximately \$7.3M, representing an increase of \$413,191, or 5.99% from FY 2023 budget. The driving factors include a 1.47% increase in regular tuition, a 3.30% increase in special education, a 2.07% increase in transportation for special education, 1.05% increase in health insurance along with inflation. This is a bare bones budget including a reduction of 6.5 noncertified, full-time equivalents.

Review and Discussion Re: Board of Finance FY 2024 Recommended Budget

First Selectman Cheryl Blanchard presented the FY 2024 budget. The budget she represents a little less than a half a percent increase. This was accomplished by the shifting of Personnel. There is no plan for a transfer to the reduction of the deficit for the capital non-recurring fund as they are hopeful that by the end of Fiscal 2023 the town will be able to erase that. The Town will revisit the budget to increase the capital non-recurring line to build up a reserve.

Update: Grand List: Ms. Blanchard indicated that The Town underwent a scheduled property revaluation for the 2022 Grand List, which resulted in a 34.6% overall increase to the Grand List. This revaluation has driven a mill rate decrease of approximately 8.15 mills from 36.25 to 28.10 mill rate, however, still represents a tax levy increase. The Town will provide OPM with a report reflecting an increasing of mill rate to 28.5 and 29.0 to allow more room for potential future expenses. The 5-Year Plan is pending.

The next scheduled meeting of the Sprague Subcommittee is April 27, 2023.

Town of Sprague Budget Status as of February 28, 2023

Summary

As of the end of February 2023, total revenues collected are 82% of the FY 2022/23 budgeted amount. This is slightly higher than total revenues collected for the same period in FY 2021/2022 which were 80% of the total budgeted amount.

Total expenditures as of 2/28/23 are at 63% of budget. This is on par with expenditures for the same period in FY 2021/22 which were 64% of the budget.

<i>Budget Category</i>	FY 2022/2023			Same Period Prior Year
	Budget	Year to Date	Year to Date %	
Property Taxes	6,568,716	6,388,290	97%	99%
State Education Grants	2,682,435	1,346,546	50%	50%
Other State Grants	437,049	194,656	45%	31%
Other Revenue	263,113	238,047	90%	84%
Total General Fund Revenues	9,951,313	8,167,539	82%	80%
Town/Municipal Expenditures	3,000,124	1,839,624	61%	67%
Board of Education Expenditures	6,895,693	4,436,986	64%	63%
Total General Fund Expenditures	9,895,817	6,276,610	63%	64%

The attached Budget vs. Actual report provides a detailed report of all revenues and expenditures as of the end of February. At this time, on the revenue side, one significant positive variance is expected for interest income in the amount of approximately \$43,500. On the expenditure side, no major variances are noted.

Revenues

Property Taxes are the largest revenue source to the Town, representing 66.0% of total revenues. Collections on Property Taxes for the period to February 28, 2023 of the current fiscal year are at 97% of the budgeted amount. This is slightly lower than the prior year property tax revenue collections which were 99% of the budgeted amount.

State Grants make up 31.3% of total budgeted revenues. As of the end of February 2023, the Town has received 49% of its budgeted State grant revenue. This is slightly higher than the 47% collected in the same period in the prior year mainly due to the current year receipt of the Motor Vehicle Transition grant.

The remaining revenue sources include interest income, fees, permits and miscellaneous revenue. In the aggregate, these sources comprise approximately 2.64% of total budgeted revenues. Current year collections on these sources total \$238,047 and are at 90% of the total budgeted amount. Collections in the prior year were 84% of the budgeted amount. The increase in the current year is mostly due to the increased amount of interest income received.

Expenditures

Departmental and other operating expenditures as of February 2023 tend to range between 57% and 69% with a few exceptions where year-to-date expenditures don't fall into that range. In most cases, Town current year expenditures are comparable to last fiscal year's expenditures for the same period with no major outliers.

Payments for memberships on regional agencies (82% year-to-date), insurance premiums (65% year-to-date) and maintenance contracts (67% year-to-date) tend to be invoiced in one or several installments. Similarly, payments on bond principal (85% year-to-date) and interest (96% year-to-date) are scheduled payments that do not necessarily occur evenly throughout the fiscal year.

Year to date expenditures by the Board of Education total \$4,436,986 or 64% of total budget. For the same period last fiscal year, Board of Education expenditures were 63% of budget. (Differences between the education expenditures between the Town report and the Board of Education report are due to timing and recording of grant-related expenditures/revenues.)

Town of Sprague
BOF Budget vs. Actual
with YE estimated totals
July 2022 through February 2023

	Prior Three Months Totals			Current Year Totals				Comparison	Estimated Year-End Totals				
	Dec 2022	Jan 2023	Feb 2023	Budget	Year to Date	\$ Remaining	% of Budget		Same Period PY %	Year-End Est.	Budget	\$ Remaining	% of Budget
Ordinary Income/Expense													
Income													
5000 - Taxes													
5000-1 - Current Taxes	512,451	1,831,646	71,625	6,130,716	6,020,270	(110,446)	98%	99%	6,130,716	6,130,716	-	100%	
5000-2 - Current Interest & Lien Fees	1,109	1,091	3,775	20,000	16,885	(3,115)	84%	90%	20,000	20,000	-	100%	
5000-3 - Prior Year Tax	8,513	3,050	4,871	125,000	75,433	(49,567)	60%	73%	125,000	125,000	-	100%	
5000-4 - Prior Year Interest/Lien Fees	2,444	1,096	1,173	35,000	20,046	(14,954)	57%	74%	35,000	35,000	-	100%	
5000-5 - Current Supp MV Tax	-	51,561	7,553	72,000	60,176	(10,824)	85%	111%	72,000	72,000	-	100%	
5000-6 - Firefighter Tax Abatement	-	-	-	(14,000)	-	14,000	0%	0%	(14,000)	(14,000)	-	100%	
5000-7 - PILOT Solar Farm	-	-	5	200,000	200,005	5	100%	100%	200,000	200,000	-	100%	
5000-8 - Tax & Applic. Refunds (contra)	(1,000)	-	(20)	-	(1,238)	(1,238)	100%	100%	(1,238)	-	(1,238)	100%	
5000-9 - Tax Overpymnts Ret'd (contra)	-	-	(4,246)	-	(4,287)	(4,287)	100%	100%	(4,287)	-	(4,287)	100%	
Total 5000 - Taxes	523,517	1,888,444	84,736	6,568,716	6,388,290	(180,426)	97%	99%	6,563,191	6,568,716	(5,525)	100%	
5100 - State Grants-School													
5100-1 - ECS - Assis. to Towns for Educ.	-	673,273	-	2,682,435	1,346,546	(1,335,889)	50%	50%	2,682,435	2,682,435	-	100%	
Total 5100 - State Grants-School	-	673,273	-	2,682,435	1,346,546	(1,335,889)	50%	50%	2,682,435	2,682,435	-	100%	
5200 - State Grants-Local													
5200-1 - Telecomm. Property Tax Grant	-	-	-	4,500	-	(4,500)	0%	0%	4,500	4,500	-	100%	
5200-10 - Judicial 10th Circuit Court	-	-	375	1,000	900	(100)	90%	50%	1,000	1,000	-	100%	
5200-11 - SLA - Emergency Mgmt. Agency	-	-	-	2,800	-	(2,800)	0%	0%	2,800	2,800	-	100%	
5200-13 - St. Police O/T	-	-	-	-	-	-	0%	0%	-	-	-	0%	
5200-14 - Town Aid Roads	-	-	-	-	-	-	0%	100%	-	-	-	0%	
5200-16 - Elderly & Disabled Transp Grant	-	-	-	8,543	2,848	(5,695)	33%	0%	8,543	8,543	-	100%	
5200-18 - Motor Vehicle Transition Grant	-	-	-	-	81,967	81,967	100%	0%	81,967	-	81,967	100%	
5200-2 - Municipal Rev Sharing-Muni Proj	29,766	-	-	386,528	86,880	(299,648)	22%	2%	386,528	386,528	-	100%	
5200-4 - PILOT - State Property	-	-	-	14,278	14,378	100	101%	232%	14,378	14,278	100	101%	
5200-5 - Mashantucket Pequot Grant	5,826	-	-	17,479	5,826	(11,653)	33%	33%	17,479	17,479	-	100%	
5200-6 - Veterans Tax Relief	1,160	-	-	1,160	1,160	-	100%	92%	1,160	1,160	-	100%	
5200-7 - Disability Exemption Reimb.	697	-	-	761	697	(64)	92%	80%	761	761	-	100%	
Total 5200 - State Grants-Local	37,449	-	375	437,049	194,656	(242,393)	45%	31%	519,116	437,049	82,067	119%	
5300 - Local Revenues													
5300-1 - Interest Income	6,259	6,285	8,298	1,500	37,592	36,092	2506%	57%	45,000	1,500	43,500	3000%	
5300-10 - Permit Fees, P&Z, Inland & Wetl	30	22	-	3,500	7,977	4,477	228%	12%	10,000	3,500	6,500	286%	
5300-13 - Landfill Receipts	3,101	2,705	2,157	23,000	18,793	(4,207)	82%	40%	23,000	23,000	-	100%	
5300-14 - Newsletter Ads	-	-	-	2,000	2,321	321	116%	12%	2,321	2,000	321	116%	
5300-15 - Marriage Licenses	-	-	-	150	112	(38)	75%	96%	150	150	-	100%	
5300-16 - Sportsmans Licenses	12	9	13	150	56	(94)	37%	37%	150	150	-	100%	
5300-17 - Farmland Preservation	75	108	48	950	573	(377)	60%	73%	950	950	-	100%	
5300-2 - Licenses,Burial, Crem, Pis, Liq	120	60	280	1,000	1,435	435	144%	74%	1,300	1,000	300	130%	
5300-3 - Building Inspector Fees	350	2,370	540	25,000	16,280	(8,720)	65%	43%	25,000	25,000	-	100%	
5300-4 - Dog License Fees	21	14	30	1,300	458	(842)	35%	18%	1,300	1,300	-	100%	
5300-5 - Sundry Receipts, faxes, etc	-	-	-	400	29	(371)	7%	18%	400	400	-	100%	
5300-6 - Recording Land Rec,maps, trade	888	1,232	672	10,000	7,967	(2,033)	80%	128%	10,000	10,000	-	100%	
5300-8 - Conveyance Tax	1,419	4,630	2,311	17,000	22,829	5,829	134%	150%	22,000	17,000	5,000	129%	
5300-9 - Copies	277	315	506	5,000	3,314	(1,686)	66%	91%	5,000	5,000	-	100%	
Total 5300 - Local Revenues	12,552	17,750	14,855	90,950	119,736	28,786	132%	72%	146,571	90,950	55,621	161%	
5400 - Misc Revenues													
5400-1 - Trans. Subsidy from SCRRA	-	-	-	2,000	-	(2,000)	0%	0%	2,000	2,000	-	100%	
5400-5 - Other Revenues	159	100	220	-	9,864	9,864	100%	100%	9,864	-	9,864	100%	
5400-6 - Waste Management	18,781	2,776	8,698	52,000	43,213	(8,787)	83%	54%	52,000	52,000	-	100%	
Total 5400 - Misc Revenues	18,940	2,876	8,918	54,000	53,077	(923)	98%	68%	63,864	54,000	9,864	118%	
5500-3 - Resv. Dam Proj. - Prinp. S&W	45,000	-	-	45,000	45,000	-	100%	100%	45,000	45,000	-	100%	
5500-4 - Resv. Dam Proj. - Int. W & S	20,234	-	-	20,234	20,234	-	100%	94%	20,234	20,234	-	100%	
5800 - Transfer in of Capitalized Interest for Bond	-	-	-	52,929	-	(52,929)	0%	0%	52,929	52,929	-	100%	
Total Income	657,692	2,582,343	108,884	9,951,313	8,167,539	(1,783,774)	82%	80%	10,093,340	9,951,313	142,027	101%	
Gross Profit	657,692	2,582,343	108,884	9,951,313	8,167,539	(1,783,774)	82%	80%	10,093,340	9,951,313	142,027	101%	

Town of Sprague
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	Prior Three Months Totals			Current Year Totals				Comparison	Estimated Year-End Totals				
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Expense													
6000 · Board of Selectmen													
6000-1 · First Selectman	4,802	3,202	3,202	41,621	27,216	(14,405)	65%	67%	41,621	41,621	-	100%	
6000-2 · Selectman 2	100	100	100	1,200	800	(400)	67%	67%	1,200	1,200	-	100%	
6000-3 · Selectman 3	100	100	100	1,200	800	(400)	67%	67%	1,200	1,200	-	100%	
6000-4 · Selectman Office Sup, Misc.	16	155	(134)	1,401	1,342	(59)	96%	104%	1,342	1,401	(59)	96%	
6000-5 · Selectman - Mileage	-	-	-	1,000	-	(1,000)	0%	7%	1,000	1,000	-	100%	
6000-6 · Selectman Executive Assistant	4,320	3,315	2,887	37,128	24,802	(12,326)	67%	74%	37,128	37,128	-	100%	
Total 6000 · Board of Selectmen	9,338	6,872	6,155	83,550	54,960	(28,590)	66%	70%	83,491	83,550	(59)	100%	
6005 · Elections													
6005-1 · Election Salaries	235	202	91	6,402	3,568	(2,834)	56%	45%	6,402	6,402	-	100%	
6005-2 · Election Misc.	101	116	90	15,708	9,537	(6,171)	61%	42%	15,708	15,708	-	100%	
Total 6005 · Elections	336	318	181	22,110	13,105	(9,005)	59%	43%	22,110	22,110	-	100%	
6010 · Board of Finance													
6010-2 · BOF - Town Rpt, Sup.	-	-	-	188	-	(188)	0%	0%	188	188	-	100%	
Total 6010 · Board of Finance	-	-	-	188	-	(188)	0%	0%	188	188	-	100%	
6011 · Auditing	4,000	-	-	25,850	9,000	(16,850)	35%	67%	25,850	25,850	-	100%	
6012 · Bookkeeper													
6012-1 · Bookkeeper - Salary	3,584	2,249	2,358	29,353	19,655	(9,698)	67%	70%	29,353	29,353	-	100%	
6012-2 · Bookkeeper-Support	-	-	-	900	-	(900)	0%	0%	900	900	-	100%	
Total 6012 · Bookkeeper	3,584	2,249	2,358	30,253	19,655	(10,598)	65%	68%	30,253	30,253	-	100%	
6015 · Assessors													
6015-1 · Assessors, Salary	2,635	1,757	1,757	22,835	14,934	(7,901)	65%	67%	22,835	22,835	-	100%	
6015-4 · Assessors, Travel Expense	-	-	-	300	-	(300)	0%	100%	300	300	-	100%	
6015-5 · Assessors, Sch,Wrkshp, Seminars	-	-	-	-	-	-	0%	0%	-	-	-	0%	
6015-6 · Assess. Misc. Supplies, Postage	-	315	-	1,500	593	(907)	40%	35%	1,500	1,500	-	100%	
6015-7 · Assess. Map upds, Pric.Manuls	-	-	-	-	-	-	0%	0%	-	-	-	0%	
Total 6015 · Assessors	2,635	2,072	1,757	24,635	15,527	(9,108)	63%	62%	24,635	24,635	-	100%	
6025 · Tax Collector													
6025-1 · Tax Collector, Salary	3,185	2,124	2,124	27,607	18,053	(9,554)	65%	66%	27,607	27,607	-	100%	
6025-4 · Tax Collector Misc. Sup. Sch.	20	-	175	700	249	(451)	36%	32%	700	700	-	100%	
6025-5 · Tax Collector, Postage	2,161	-	-	1,900	2,327	427	122%	67%	2,327	1,900	427	122%	
Total 6025 · Tax Collector	5,366	2,124	2,299	30,207	20,629	(9,578)	68%	65%	30,634	30,207	427	101%	
6030 · Town Treasurer	200	200	200	2,400	1,600	(800)	67%	67%	2,400	2,400	-	100%	
6035 · Town Counsel & Financial Advise													
6035-1 · Town Counsel	1,487	1,777	204	45,000	9,795	(35,205)	22%	135%	45,000	45,000	-	100%	
6035-2 · Financial Advisor	-	-	-	3,000	-	(3,000)	0%	0%	3,000	3,000	-	100%	
Total 6035 · Town Counsel & Financial Advise	1,487	1,777	204	48,000	9,795	(38,205)	20%	100%	48,000	48,000	-	100%	
6040 · Town Clerk													
6040-1 · Town Clerk, Salary	5,950	3,967	3,967	51,569	33,719	(17,850)	65%	67%	51,569	51,569	-	100%	
6040-2 · Town Clerk, Office Sup, Misc.	322	320	-	1,463	390	(1,073)	27%	58%	1,463	1,463	-	100%	
6040-3 · Town Clerk, Dog Licenses	-	-	-	350	-	(350)	0%	0%	350	350	-	100%	
6040-4 · Town Clerk, School	-	-	-	1,156	590	(566)	51%	128%	1,156	1,156	-	100%	
6040-5 · Town Clerk, Microfm(Security)	-	-	-	400	-	(400)	0%	0%	400	400	-	100%	
Total 6040 · Town Clerk	6,272	4,287	3,967	54,938	34,699	(20,239)	63%	67%	54,938	54,938	-	100%	
6045 · Telephone Services/DSL/Website	1,750	579	1,048	12,169	8,308	(3,861)	68%	60%	12,169	12,169	-	100%	
6050 · Pool Secretaries													
6050-1 · Pool Sec,Salary-Asst Town Clerk	2,807	1,742	1,872	24,331	15,741	(8,590)	65%	69%	24,331	24,331	-	100%	
6050-2 · Pool Sec, Salary-Land Use Clerk	3,755	2,547	2,538	36,229	18,580	(17,649)	51%	69%	36,229	36,229	-	100%	
Total 6050 · Pool Secretaries	6,562	4,289	4,410	60,560	34,321	(26,239)	57%	69%	60,560	60,560	-	100%	
6055 · Town Off. Bldg.													
6055-1 · Town Off. Bldg.Janitorial Serv	715	715	1,430	9,900	5,005	(4,895)	51%	55%	9,900	9,900	-	100%	
6055-2 · Town Off. Bldg. Sup. Maint.	308	-	-	2,000	1,269	(731)	63%	37%	2,000	2,000	-	100%	
6055-3 · Town Off/Sen.Ctr.- Bldg.Heat	1,978	4,093	1,539	14,000	9,630	(4,370)	69%	66%	14,000	14,000	-	100%	
6055-4 · Town Off Bldg/Sen Ctr - Lights	1,871	1,329	-	11,876	6,348	(5,528)	53%	78%	11,876	11,876	-	100%	
6055-5 · Town Off. Bldg. rpr & renov.	552	-	649	5,000	7,688	2,688	154%	281%	7,688	5,000	2,688	154%	
Total 6055 · Town Off. Bldg.	5,424	6,137	3,618	42,776	29,940	(12,836)	70%	93%	45,464	42,776	2,688	106%	

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6100 · P & Z Comm.													
6100-1 · P & Z Comm. Encf. Off.	867	578	578	7,518	4,913	(2,605)	65%	67%	7,518	7,518	-	100%	
6100-2 · P & Z Comm. Planner	-	1,948	-	11,500	6,216	(5,284)	54%	69%	11,500	11,500	-	100%	
Total 6100 · P & Z Comm.	867	2,526	578	19,018	11,129	(7,889)	59%	68%	19,018	19,018	-	100%	
6111 · Land Use Miscellaneous	-	-	-	500	22	(478)	4%	74%	500	500	-	100%	
6115 · Ec. Devel.	-	-	-	900	225	(675)	25%	100%	900	900	-	100%	
6120 · Conservation Commission													
6120-2 · Training workshop	-	-	-	100	-	(100)	0%	0%	100	100	-	100%	
6120-4 · Miscellaneous	-	-	32	1,000	67	(933)	7%	30%	1,000	1,000	-	100%	
Total 6120 · Conservation Commission	-	-	-	1,100	67	(1,033)	6%	27%	1,100	1,100	-	100%	
6150 · Conservation Wetlands Enf Off	420	-	-	7,000	780	(6,220)	11%	35%	7,000	7,000	-	100%	
6200 · Highways													
6200-1 · Highways, General Maintenance	14,520	2,642	12,922	49,000	50,653	1,653	103%	64%	49,000	49,000	-	100%	
6200-10 · Drug & Alcohol Testing	-	-	-	500	-	(500)	0%	40%	500	500	-	100%	
6200-2 · Highways, Public Works Salary	24,392	18,280	18,280	239,303	130,321	(108,982)	54%	64%	239,303	239,303	-	100%	
6200-3 · Highways, Misc. o/t labor.	4,483	40	646	28,000	13,603	(14,397)	49%	79%	28,000	28,000	-	100%	
6200-4 · Boots - Highways	175	70	-	2,500	562	(1,938)	22%	38%	2,500	2,500	-	100%	
6200-5 · Storm Materials	8,927	-	-	27,500	26,312	(1,188)	96%	41%	27,500	27,500	-	100%	
6200-6 · Highways, Roadway Mgmt.	362	2,241	623	40,000	31,496	(8,504)	79%	181%	40,000	40,000	-	100%	
6200-7 · Highways, Town Garage	412	820	171	8,000	2,061	(5,939)	26%	22%	8,000	8,000	-	100%	
6200-8 · Stormwater Permit Fees(Phasell)	-	-	-	8,500	-	(8,500)	0%	0%	8,500	8,500	-	100%	
Total 6200 · Highways	53,271	24,093	32,642	403,303	255,008	(148,295)	63%	73%	403,303	403,303	-	100%	
6202 · Tree Maintenance													
6202-1 · Tree Warden	1,125	-	-	2,250	1,125	(1,125)	50%	50%	2,250	2,250	-	100%	
6202-2 · Tree Warden- Training Seminars	215	-	-	350	215	(135)	61%	53%	350	350	-	100%	
6202-3 · Tree Pruning, Removal, Replacme	-	-	-	12,000	4,431	(7,569)	37%	34%	12,000	12,000	-	100%	
6202-4 · Tree Warden Mileage	68	-	-	400	68	(332)	17%	43%	400	400	-	100%	
Total 6202 · Tree Maintenance	1,408	-	-	15,000	5,839	(9,161)	39%	37%	15,000	15,000	-	100%	
6205 · Street Lighting													
6205-1 · Street Lighting	1,761	2,189	1,463	18,500	11,562	(6,938)	62%	56%	18,500	18,500	-	100%	
6205-2 · Repairs	-	-	-	2,000	-	(2,000)	0%	0%	2,000	2,000	-	100%	
Total 6205 · Street Lighting	1,761	2,189	1,463	20,500	11,562	(8,938)	56%	56%	20,500	20,500	-	100%	
6300 · Social Security	5,827	3,851	3,865	56,880	31,982	(24,898)	56%	64%	56,880	56,880	-	100%	
6310 · Deferred Compensation	1,786	1,191	1,191	15,479	10,123	(5,356)	65%	74%	15,479	15,479	-	100%	
6400 · Regional Agencies													
6400-1 · Reg. Agency - TVCCA	-	-	-	1,100	1,100	-	100%	100%	1,100	1,100	-	100%	
6400-10 · RegAgency-SSAC of Eastern CT	-	-	-	300	300	-	100%	100%	300	300	-	100%	
6400-11 · RegAg-SE CT Enterpr Reg	-	-	-	1,038	1,038	-	100%	100%	1,038	1,038	-	100%	
6400-12 · RegAgcy-Regional Animal Control	-	-	-	9,811	9,811	-	100%	100%	9,811	9,811	-	100%	
6400-2 · Reg. Agency - Cncl. of Gvnt	-	-	-	1,632	-	(1,632)	0%	100%	1,632	1,632	-	100%	
6400-3 · Reg. Agency - Soil/Wtr. Con.	300	-	-	300	368	68	123%	100%	368	300	68	123%	
6400-4 · Reg. Agency - Women's Center	-	-	-	250	250	-	100%	100%	250	250	-	100%	
6400-5 · Uncas Health District	4,989	-	-	19,956	14,967	(4,989)	75%	75%	19,956	19,956	-	100%	
6400-6 · Reg. Agency - CCM	-	-	-	2,032	2,032	-	100%	100%	2,032	2,032	-	100%	
6400-7 · Reg. Agency - Norwich PrbCrt	-	550	-	2,199	1,650	(549)	75%	74%	2,199	2,199	-	100%	
6400-8 · Council of Small Towns (COST)	-	-	-	975	975	-	100%	100%	975	975	-	100%	
6400-9 · Quinebaug Walking Weekends	500	-	-	500	500	-	100%	0%	500	500	-	100%	
Total 6400 · Regional Agencies	5,789	550	-	40,093	32,991	(7,102)	82%	85%	40,161	40,093	68	100%	
6500 · Insurance													
6500-1 · Insurance, General Town	7,651	-	-	36,440	23,786	(12,654)	65%	58%	36,440	36,440	-	100%	
6500-2 · Insurance, Fire Department	4,512	-	-	18,051	13,536	(4,515)	75%	75%	18,051	18,051	-	100%	
6500-4 · Insurance, Water & Sewer Plants	2,158	-	-	8,633	6,474	(2,159)	75%	75%	8,633	8,633	-	100%	
6500-5 · Insurance,CIRMA (Workers Comp)	8,687	-	-	40,069	18,238	(21,831)	46%	54%	40,069	40,069	-	100%	
6500-6 · Insurance, Empl. Medical Ins.	27,043	14,818	16,176	162,476	114,736	(47,740)	71%	58%	162,476	162,476	-	100%	
6500-7 · Employee Insurance Waiver	121	121	121	6,450	968	(5,482)	15%	109%	6,450	6,450	-	100%	
Total 6500 · Insurance	50,172	14,939	16,297	272,119	177,738	(94,381)	65%	60%	272,119	272,119	-	100%	
6600 · Police Department													
6600-1 · Police Dept. Resident Trooper	-	-	-	182,006	-	(182,006)	0%	0%	182,006	182,006	-	100%	
6600-2 · Police Dept., O/T 50% contra	-	-	-	5,000	-	(5,000)	0%	0%	5,000	5,000	-	100%	
6600-3 · Police Dept. DARE Program	-	-	-	300	-	(300)	0%	0%	300	300	-	100%	
6600-4 · Police Dept., Supplies, Misc.	55	56	56	500	404	(96)	81%	0%	500	500	-	100%	
6600-5 · Police Dept.- Sch. Crs. Guard	728	252	560	5,070	2,996	(2,074)	59%	67%	5,070	5,070	-	100%	
Total 6600 · Police Department	783	308	616	192,876	3,400	(189,476)	2%	1%	192,876	192,876	-	100%	

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6605 · Fire Dept.													
6605-1 · Fire Dept., Vehicle Maint.	857	3,094	683	24,000	5,214	(18,786)	22%	8%	24,000	24,000	-	100%	
6605-2 · Fire Dept, Fixed Expenses	8,267	2,427	738	36,300	24,411	(11,889)	67%	91%	36,300	36,300	-	100%	
6605-3 · Fire Dept. Truck Supplies	-	-	-	7,550	-	(7,550)	0%	0%	7,550	7,550	-	100%	
6605-4 · Fire Dept., Firehouse Maint.	3,352	396	200	11,200	6,111	(5,089)	55%	35%	11,200	11,200	-	100%	
6605-5 · Fire Dept., Training	1,314	1,150	-	14,500	6,264	(8,236)	43%	28%	14,500	14,500	-	100%	
6605-6 · Fire Dept., Business Exp.	155	85	95	14,140	1,533	(12,607)	11%	11%	14,140	14,140	-	100%	
6605-7 · Fire Dept., Equip. Maint.	723	96	726	12,600	3,917	(8,683)	31%	11%	12,600	12,600	-	100%	
Total 6605 · Fire Dept.	14,668	7,248	2,442	120,290	47,450	(72,840)	39%	38%	120,290	120,290	-	100%	
6610 · Emergency													
6610-1 · Salary Director	-	-	-	2,200	-	(2,200)	0%	0%	2,200	2,200	-	100%	
6610-5 · Training Expense	-	-	-	500	-	(500)	0%	0%	500	500	-	100%	
6610-6 · Equipment Maintenance	-	-	-	830	-	(830)	0%	0%	830	830	-	100%	
6610-8 · Local Emerg. Plan Chair.(LEPC)	-	-	-	500	-	(500)	0%	0%	500	500	-	100%	
Total 6610 · Emergency	-	-	-	4,030	-	(4,030)	0%	0%	4,030	4,030	-	100%	
6615 · Fire Marshal/Burning Official													
6615-1 · Fire Marshal/Salary	667	667	667	8,000	5,336	(2,664)	67%	67%	8,000	8,000	-	100%	
6615-2 · Fire Marshal/Off.Exp.Ed.Misc	-	-	-	2,250	-	(2,250)	0%	0%	2,250	2,250	-	100%	
6615-4 · Burning Official - Salary	313	-	-	625	313	(312)	50%	50%	625	625	-	100%	
Total 6615 · Fire Marshal/Burning Official	980	667	667	10,875	5,649	(5,226)	52%	52%	10,875	10,875	-	100%	
6620 · Enf. Off-Bldg.Code													
6620-1 · Enf.Off-Bldg Code - Salary	2,338	1,558	1,558	20,260	13,244	(7,016)	65%	67%	20,260	20,260	-	100%	
6620-2 · Enf. Off-Bldg.Code - Mileage	-	-	-	800	4	(796)	1%	0%	800	800	-	100%	
6620-3 · Enf.Off-Bldg.Code - Mbrshp.Fee	-	145	-	250	145	(105)	58%	58%	250	250	-	100%	
6620-6 · Enf.Off-Bldg.Code - Ed.Training	-	-	-	850	-	(850)	0%	0%	850	850	-	100%	
6620-7 · Enf.Off-Bldg.Code- Code Vol,Sup	-	-	-	500	-	(500)	0%	1%	500	500	-	100%	
Total 6620 · Enf. Off-Bldg.Code	2,338	1,703	1,558	22,660	13,393	(9,267)	59%	62%	22,660	22,660	-	100%	
6625 · Blight Enforcement Officer													
6625-1 · Blight Enforce. Officer-Salary	308	308	308	3,700	2,464	(1,236)	67%	67%	3,700	3,700	-	100%	
6625-2 · Blight Enforce.Officer-Mileage	-	-	-	150	-	(150)	0%	0%	150	150	-	100%	
6625-3 · Blight Enforce.Officer-Postage	-	-	-	150	-	(150)	0%	46%	150	150	-	100%	
Total 6625 · Blight Enforcement Officer	308	308	308	4,000	2,464	(1,536)	62%	63%	4,000	4,000	-	100%	
6700 · Sanit/Wst Rem.													
6700-2 · Sanit/Wst.Rem,Matls.Misc	1,287	454	448	5,000	3,382	(1,618)	68%	52%	5,000	5,000	-	100%	
6700-3 · Sanit/Wst.Rem., Recycling	9,765	11,850	4,697	65,000	52,484	(12,516)	81%	65%	65,000	65,000	-	100%	
Total 6700 · Sanit/Wst Rem.	11,052	12,304	5,145	70,000	55,866	(14,134)	80%	64%	70,000	70,000	-	100%	
6702 · Waste Management Exp. (Waste Management)	3,483	5,748	6,299	67,000	34,424	(32,576)	51%	69%	67,000	67,000	-	100%	
6810 · Comm. of Aging													
6810-1 · Comm. on Aging - Salary	-	-	-	30,359	8,933	(21,426)	29%	69%	30,359	30,359	-	100%	
6810-2 · Commission on Aging-Munic Agent	-	-	-	100	-	(100)	0%	0%	100	100	-	100%	
6810-4 · Comm. on Aging - Off sup/misc.	44	44	44	1,250	406	(844)	32%	100%	1,250	1,250	-	100%	
6810-5 · Comm. of Aging - Elevator Contr	220	228	228	2,640	1,776	(864)	67%	66%	2,640	2,640	-	100%	
6810-6 · Comm. of Aging - Programs	354	151	(268)	2,000	954	(1,046)	48%	27%	2,000	2,000	-	100%	
6810-7 · Comm. of Aging - Van Driver	-	-	-	3,500	-	(3,500)	0%	0%	3,500	3,500	-	100%	
6810-7a · Comm of Aging-Van Dr	2,048	1,415	1,500	20,907	12,307	(8,600)	59%	71%	20,907	20,907	-	100%	
6810-9 · Van Expense, Comm. on Aging	512	-	221	7,000	1,921	(5,079)	27%	31%	7,000	7,000	-	100%	
Total 6810 · Comm. of Aging	3,178	1,838	1,725	67,756	26,297	(41,459)	39%	61%	67,756	67,756	-	100%	
6950 · Capital Project													
6950-1 · Capital Project,Rpr Centr Plnt	3,900	-	-	6,000	5,010	(990)	84%	42%	6,000	6,000	-	100%	
6950-2 · Engineering Fees, Cap. Proj.	1,232	-	-	18,700	10,540	(8,160)	56%	27%	18,700	18,700	-	100%	
Total 6950 · Capital Project	5,132	-	-	24,700	15,550	(9,150)	63%	33%	24,700	24,700	-	100%	
7000 · Parks & Playgrounds													
7003 · Recreation Facilities (BoS)													
7003-2 · Electricity	229	131	-	1,825	933	(892)	51%	57%	1,825	1,825	-	100%	
Total 7003 · Recreation Facilities (BoS)	229	131	-	1,825	933	(892)	51%	57%	1,825	1,825	-	100%	

Town of Sprague
BOF Budget vs. Actual
with YE estimated totals
July 2022 through February 2023

	Prior Three Months Totals			Current Year Totals				Comparison	Estimated Year-End Totals				
	Dec 2022	Jan 2023	Feb 2023	Budget	Year to Date	\$ Remaining	% of Budget		Same Period PY %	Year-End Est.	Budget	\$ Remaining	% of Budget
7004 · Recreation Events(SPARC)													
7004-1 · RecEvent-3 Villages Fall Fest	-	-	-	2,000	-	(2,000)	0%	9%	2,000	2,000	-	100%	
7004-2 · Rec Event-Earth Day	-	-	-	400	-	(400)	0%	0%	400	400	-	100%	
7004-3 · Rec Event-Youth Yr Lng Activity	-	-	-	500	-	(500)	0%	0%	500	500	-	100%	
7004-4 · Rec Event-Shetucket River Fest	-	-	-	250	-	(250)	0%	0%	250	250	-	100%	
7004-8 · Rec Event-Other	-	-	-	500	-	(500)	0%	84%	500	500	-	100%	
Total 7004 · Recreation Events(SPARC)	-	-	-	3,650	-	(3,650)	0%	16%	3,650	3,650	-	100%	
7005 · Other Recreation Programs													
7005-1 · Sprague/Franklin/Canterbury LL	-	-	-	1,250	-	(1,250)	0%	0%	1,250	1,250	-	100%	
Total 7005 · Other Recreation Programs	-	-	-	1,250	-	(1,250)	0%	0%	1,250	1,250	-	100%	
7010 · Grist Mill													
7010-1 · Grist Mill - Supplies, Maint.	-	235	-	850	470	(380)	55%	0%	850	850	-	100%	
7010-2 · Grist Mill-Elevator Maintenance	201	208	208	3,000	1,622	(1,378)	54%	86%	3,000	3,000	-	100%	
7010-3 · Grist Mill - Heat, Light	1,101	1,006	428	9,000	4,706	(4,294)	52%	77%	9,000	9,000	-	100%	
7010-5 · Grist Mill - Janitor- Salaries	335	335	670	4,800	2,345	(2,455)	49%	42%	4,800	4,800	-	100%	
Total 7010 · Grist Mill	1,637	1,784	1,306	17,650	9,143	(8,507)	52%	64%	17,650	17,650	-	100%	
7012 · Historical Museum													
7012-1 · Salary	-	-	-	2,184	-	(2,184)	0%	0%	2,184	2,184	-	100%	
7012-14 · Sprague Historical Society	-	-	-	200	106	(94)	53%	85%	200	200	-	100%	
Total 7012 · Historical Museum	-	-	-	2,384	106	(2,278)	4%	8%	2,384	2,384	-	100%	
7015 · Library													
7015-1 · Library - Librarian Assistant-1	532	476	490	14,640	3,854	(10,786)	26%	53%	14,640	14,640	-	100%	
7015-10 · Library - Director	3,370	2,271	2,288	29,011	19,709	(9,302)	68%	75%	29,011	29,011	-	100%	
7015-11 · Library - Programs	202	-	245	2,500	556	(1,944)	22%	23%	2,500	2,500	-	100%	
7015-12 · Professional Fees	300	-	-	500	300	(200)	60%	42%	500	500	-	100%	
7015-13 · Library-St Lib CT Membership	-	-	-	350	350	-	100%	64%	350	350	-	100%	
7015-2 · Library - Books	127	613	13	4,500	1,733	(2,767)	39%	31%	4,500	4,500	-	100%	
7015-3 · Library - Sup./Misc.	42	30	67	2,054	210	(1,844)	10%	34%	2,054	2,054	-	100%	
7015-4 · Library - Library Assistant - 4	1,316	938	952	14,640	7,308	(7,332)	50%	48%	14,640	14,640	-	100%	
7015-5 · Librarian Assistant - 5	1,831	1,148	1,274	9,700	9,714	14	100%	60%	9,700	9,700	-	100%	
7015-6 · Library - Librarian Assistant-6	1,131	392	776	7,320	4,657	(2,663)	64%	44%	7,320	7,320	-	100%	
Total 7015 · Library	8,851	5,868	6,105	85,215	48,391	(36,824)	57%	57%	85,215	85,215	-	100%	
7100 · Miscellaneous													
7100-10 · Newsletter- Salary	60	1,210	250	600	1,750	1,150	292%	0%	600	600	-	100%	
7100-11 · Bank Fees	-	-	-	-	-	-	0%	0%	-	-	-	0%	
7100-12 · Newsletter - Misc.	190	70	-	500	465	(35)	93%	76%	500	500	-	100%	
7100-2 · War Mem./Lords Bridge Gazebo	95	54	-	675	290	(385)	43%	57%	675	675	-	100%	
7100-3 · Cemeteries, Vets Graves	-	-	-	800	-	(800)	0%	100%	800	800	-	100%	
7100-4 · Contingent Fund	-	(3,580)	(883)	40,000	901	(39,099)	2%	88%	40,000	40,000	-	100%	
7100-5 · Memorial Day Celebration	-	-	-	1,000	-	(1,000)	0%	29%	1,000	1,000	-	100%	
7100-6 · Legal Ads	289	527	154	12,000	4,854	(7,146)	40%	26%	12,000	12,000	-	100%	
7100-8 · Unemployment Compensation	4,618	-	6,305	-	10,923	10,923	100%	100%	12,000	-	12,000	100%	
Total 7100 · Miscellaneous	5,252	(1,719)	5,826	55,575	19,183	(36,392)	35%	43%	67,575	55,575	12,000	122%	
7150 · Sewer & Water Dept.													
7150-1 · Water & Sewer Public Services	1,949	-	-	7,700	3,925	(3,775)	51%	44%	7,700	7,700	-	100%	
Total 7150 · Sewer & Water Dept.	1,949	-	-	7,700	3,925	(3,775)	51%	44%	7,700	7,700	-	100%	
7200 · Office Machines/Sup/Mnt.													
7200-1 · Office Mach/Sup/Mnt -Town Clerk	1,358	1,358	-	10,150	5,979	(4,171)	59%	57%	10,150	10,150	-	100%	
7200-10 · Fixed Asset Inventory	-	-	-	1,389	1,528	139	110%	105%	1,528	1,389	139	110%	
7200-2 · Office Mach/Sup/Mnt.- Tax Coll.	-	707	-	10,250	9,611	(639)	94%	99%	10,250	10,250	-	100%	
7200-3 · Office Mach/Sup/Mnt.- Assessor	-	-	-	15,737	14,008	(1,729)	89%	88%	15,737	15,737	-	100%	
7200-4 · Office Mach/Sup/Mnt-Select/Trea	158	-	-	1,000	688	(312)	69%	41%	1,000	1,000	-	100%	
7200-5 · Office Machines - Equip.Mnt.	80	39	-	7,000	1,491	(5,509)	21%	2%	7,000	7,000	-	100%	
7200-6 · Office MachSupp-ServSupp	-	-	-	5,000	220	(4,780)	4%	21%	5,000	5,000	-	100%	
7200-7 · Paychex Services	411	671	278	3,500	2,579	(921)	74%	82%	3,500	3,500	-	100%	
7200-8 · Off.Mach/Sup/Mnt-Library Suppor	-	-	269	4,201	2,889	(1,312)	69%	54%	4,201	4,201	-	100%	
7200-9 · Off.Mach/Sup/Mnt.-Mail System	177	-	-	708	354	(354)	50%	50%	708	708	-	100%	
Total 7200 · Office Machines/Sup/Mnt.	2,184	2,775	547	58,935	39,347	(19,588)	67%	64%	59,074	58,935	139	100%	

**Town of Sprague
BOF Budget vs. Actual
with YE estimated totals
July 2022 through February 2023**

				Prior Three Months Totals			Current Year Totals				Comparison	Estimated Year-End Totals			
				Dec 2022	Jan 2023	Feb 2023	Budget	Year to Date	\$ Remaining	% of Budget	Same Period PY %	Year-End Est.	Budget	\$ Remaining	% of Budget
7300 · Interest Payments - Bonds															
				-	-	-	12,000	6,000	(6,000)	50%	40%	12,000	12,000	-	100%
				-	-	-	10,500	6,300	(4,200)	60%	57%	10,500	10,500	-	100%
				-	-	44,338	93,675	93,676	1	100%	100%	93,675	93,675	-	100%
				-	-	73,000	148,300	148,300	-	100%	100%	148,300	148,300	-	100%
				-	-	117,338	264,475	254,276	(10,199)	96%	94%	264,475	264,475	-	100%
7305 · Redemption of Debt-Principal															
				-	-	-	80,000	-	(80,000)	0%	0%	80,000	80,000	-	100%
				-	-	-	105,000	105,000	-	100%	100%	105,000	105,000	-	100%
				-	-	-	250,000	250,000	-	100%	100%	250,000	250,000	-	100%
				-	-	-	115,000	115,000	-	100%	100%	115,000	115,000	-	100%
				-	-	-	550,000	470,000	(80,000)	85%	85%	550,000	550,000	-	100%
				-	-	-	17,000	-	(17,000)	0%	0%	17,000	17,000	-	100%
				-	-	-	60,000	-	(60,000)	0%	0%	60,000	60,000	-	100%
				670,721	782,316	434,953	6,895,693	4,436,986	(2,458,707)	64%	63%	6,895,693	6,895,693	-	100%
				901,000	901,522	668,531	9,895,817	6,276,610	(3,619,207)	63%	64%	9,911,152	9,895,817	15,335	100%
				(243,308)	1,680,821	(559,647)	55,496	1,890,929	1,835,433			182,188	55,496	126,692	328%
				(243,308)	1,680,821	(559,647)	55,496	1,890,929	1,835,433			182,188	55,496	126,692	328%
Summary				Prior Three Months Totals			Current Year Totals				Comparison	Estimated Year-End Totals			
				\$ 230,279	\$ 119,206	\$ 233,578	\$ 3,000,124	\$ 1,839,624	\$ (1,160,500)	61%	67%	\$ 3,015,459	\$ 3,000,124	\$ 15,335	101%
				\$ 670,721	\$ 782,316	\$ 434,953	\$ 6,895,693	\$ 4,436,986	\$ (2,458,707)	64%	63%	\$ 6,895,693	\$ 6,895,693	\$ -	100%
				\$ 901,000	\$ 901,522	\$ 668,531	\$ 9,895,817	\$ 6,276,610	\$ (3,619,207)	63%	64%	\$ 9,911,152	\$ 9,895,817	\$ 15,335	100%

SPRAGUE BOARD OF EDUCATION

BOE Budget v. Actual

For Fiscal Year 2022-2023

Year to Date 2/28/2023

	Approved Budget	Current Mo. Budget Trf	Prior YTD Budget Trfs	Revised Budget	Year to Date Actual	Encumbered/Or dered	Total Expenditures	Variance	% Spent
1000-Regular Instruction									
1000.51110. Wages Paid to Teachers - Regular Ed	1,144,377.00	0.00	0.00	1,144,377.00	676,363.03	0.00	676,363.03	468,013.97	59.10
1000.51120. Wages Paid to Instructional Aides - Regular Ed	14,401.00	0.00	0.00	14,401.00	5,024.90	0.00	5,024.90	9,376.10	34.89
1000.52100. Group Life Insurance - Regular Ed	726.00	0.00	0.00	726.00	510.62	0.00	510.62	215.38	70.33
1000.52200. FICA/Medicare Employer - Regular Ed	19,222.00	0.00	0.00	19,222.00	10,550.64	0.00	10,550.64	8,671.36	54.89
1000.52500. Tuition Reimbursement	10,000.00	0.00	0.00	10,000.00	1,075.20	0.00	1,075.20	8,924.80	10.75
1000.52800. Health Insurance - Regular Ed	279,651.00	0.00	0.00	279,651.00	220,643.11	0.00	220,643.11	59,007.89	78.90
1000.53200. Substitutes - Regular Education	3,500.00	0.00	0.00	3,500.00	0.00	0.00	0.00	3,500.00	0.00
1000.53230. Purchased Pupil Services	1,250.00	0.00	0.00	1,250.00	0.00	0.00	0.00	1,250.00	0.00
1000.54420. Equipment Leasing	18,000.00	0.00	0.00	18,000.00	12,498.04	8,546.00	21,044.04	(3,044.04)	116.91
1000.56100. General Supplies - Regular Ed	7,000.00	0.00	0.00	7,000.00	5,179.02	0.00	5,179.02	1,820.98	73.99
1000.56110. Instructional Supplies - Regular Ed	5,000.00	0.00	0.00	5,000.00	550.13	0.00	550.13	4,449.87	11.00
1000.56400. Workbooks/Disposables	10,000.00	0.00	0.00	10,000.00	42.80	0.00	42.80	9,957.20	0.43
1000.56410. Textbooks	3,000.00	0.00	0.00	3,000.00	(801.46)	0.00	(801.46)	3,801.46	(26.72)
1000.56501. Ink and Toner	8,000.00	0.00	0.00	8,000.00	5,907.50	0.00	5,907.50	2,092.50	73.84
1000.58100. Dues & Fees	9,869.00	0.00	0.00	9,869.00	8,193.00	0.00	8,193.00	1,676.00	83.02
Total	1,533,996.00	0.00	0.00	1,533,996.00	945,736.53	8,546.00	954,282.53	579,713.47	62.21
1200-Special Education									
1200.51110. Wages Paid to Teachers - SPED	351,322.00	(105,160.00)	0.00	246,162.00	116,009.75	0.00	116,009.75	130,152.25	47.13
1200.51120. Wages Paid to Instructional Aides - SPED	273,997.00	0.00	0.00	273,997.00	86,583.95	0.00	86,583.95	187,413.05	31.60
1200.51900. Wages Paid - Other Certified Staff	0.00	105,160.00	0.00	105,160.00	62,140.00	0.00	62,140.00	43,020.00	59.09
1200.51901. Wages Paid - Other Non Certified Staff - SPED	87,776.00	0.00	(25,265.68)	62,510.32	38,810.96	0.00	38,810.96	23,699.36	62.09
1200.52100. Group Life Insurance - SPED	981.00	0.00	0.00	981.00	511.98	0.00	511.98	469.02	52.19
1200.52200. FICA/Medicare Employer - SPED	33,064.00	0.00	0.00	33,064.00	12,474.10	0.00	12,474.10	20,589.90	37.73
1200.52300. Pension Contributions - SPED	3,552.00	0.00	0.00	3,552.00	1,661.06	0.00	1,661.06	1,890.94	46.76
1200.52800. Health Insurance - SPED	239,621.00	0.00	0.00	239,621.00	106,981.62	0.00	106,981.62	132,639.38	44.65
1200.53200. Substitutes - SPED	3,500.00	0.00	0.00	3,500.00	0.00	0.00	0.00	3,500.00	0.00
1200.53230. Purchased Pupil Services - SPED	29,000.00	0.00	0.00	29,000.00	5,085.70	25,850.87	30,936.57	(1,936.57)	106.68
1200.53300. Other Prof/Tech Services	2,500.00	0.00	0.00	2,500.00	1,400.66	750.00	2,150.66	349.34	86.03
1200.55800. Travel Reimbursement	1,200.00	0.00	0.00	1,200.00	254.25	0.00	254.25	945.75	21.19
1200.56100. General Supplies - SPED	1,000.00	0.00	0.00	1,000.00	397.58	0.00	397.58	602.42	39.76
1200.56110. Instructional Supplies - SPED	1,000.00	0.00	0.00	1,000.00	177.66	0.00	177.66	822.34	17.77
1200.56400. Workbooks/Disposables	500.00	0.00	0.00	500.00	0.00	0.00	0.00	500.00	0.00
1200.57300. Equipment Non-Instructional	1,430.00	0.00	0.00	1,430.00	161.97	0.00	161.97	1,268.03	11.33
1200.58100. Dues & Fees	760.00	0.00	0.00	760.00	900.00	0.00	900.00	(140.00)	118.42
Total	1,031,203.00	0.00	(25,265.68)	1,005,937.32	433,551.24	26,600.87	460,152.11	545,785.21	45.74
1300-Adult Education - Cooperative									

SPRAGUE BOARD OF EDUCATION

BOE Budget v. Actual

For Fiscal Year 2022-2023

Year to Date 2/28/2023

	Approved Budget	Current Mo. Budget Trf	Prior YTD Budget Trfs	Revised Budget	Year to Date Actual	Encumbered/Or dered	Total Expenditures	Variance	% Spent
1300.55690. Tuition - Adult Cooperative	15,366.00	0.00	0.00	15,366.00	19,567.00	0.00	19,567.00	(4,201.00)	127.34
Total	15,366.00	0.00	0.00	15,366.00	19,567.00	0.00	19,567.00	(4,201.00)	127.34
1500-Stipends - Extra Curricular									
1500.51930. Extra Curricular Stipends Paid	8,821.00	0.00	0.00	8,821.00	4,328.00	0.00	4,328.00	4,493.00	49.06
Total	8,821.00	0.00	0.00	8,821.00	4,328.00	0.00	4,328.00	4,493.00	49.06
1600-Summer School									
1600.51110. Wages Paid to Teachers - Summer School	5,000.00	0.00	0.00	5,000.00	6,108.75	0.00	6,108.75	(1,108.75)	122.18
1600.51120. Wages Paid to Inst Aides - Summer School	2,250.00	0.00	0.00	2,250.00	1,631.25	0.00	1,631.25	618.75	72.50
1600.51901. Wages Paid - Other Non-Cert - Summer School	2,400.00	0.00	0.00	2,400.00	1,747.25	0.00	1,747.25	652.75	72.80
1600.52200. FICA/Medicare Employer - Summer School	309.00	0.00	0.00	309.00	346.97	0.00	346.97	(37.97)	112.29
Total	9,959.00	0.00	0.00	9,959.00	9,834.22	0.00	9,834.22	124.78	98.75
1700-Tutoring									
1700.000100.51110. Wages Paid to Teacher Tutors - Reg Ed	4,000.00	0.00	0.00	4,000.00	0.00	0.00	0.00	4,000.00	0.00
1700.000100.52200. FICA/Medicare Employer - Reg Ed	700.00	0.00	0.00	700.00	0.00	0.00	0.00	700.00	0.00
1700.000100.53230. Purchased Pupil Services - Reg Ed	6,000.00	0.00	0.00	6,000.00	186.00	0.00	186.00	5,814.00	3.10
1700.000200.51120. Wages Paid to Inst Aide Tutors - Spec Ed	8,000.00	0.00	0.00	8,000.00	0.00	0.00	0.00	8,000.00	0.00
Total	18,700.00	0.00	0.00	18,700.00	186.00	0.00	186.00	18,514.00	0.99
1800-Stipends - Sports Teams									
1800.51930. Sports Teams Stipends Paid	16,283.00	0.00	0.00	16,283.00	11,413.00	0.00	11,413.00	4,870.00	70.09
1800.52200. FICA/Medicare Employer	1,245.00	0.00	0.00	1,245.00	286.26	0.00	286.26	958.74	22.99
1800.53540. Sports Officials	3,570.00	0.00	0.00	3,570.00	2,622.06	0.00	2,622.06	947.94	73.45
Total	21,098.00	0.00	0.00	21,098.00	14,321.32	0.00	14,321.32	6,776.68	67.88
2110-Social Work Services									
2110.51900. Wages Paid - Social Worker	48,125.00	0.00	0.00	48,125.00	0.00	0.00	0.00	48,125.00	0.00
2110.52100. Group Life Insurance - Social Worker	38.00	0.00	0.00	38.00	1.58	0.00	1.58	36.42	4.16
2110.52200. FICA/Medicare Employer - Social Worker	700.00	0.00	0.00	700.00	0.00	0.00	0.00	700.00	0.00
2110.52800. Health Insurance - Social Worker	9,842.00	0.00	0.00	9,842.00	436.58	0.00	436.58	9,405.42	4.44
2110.56100. Supplies - Social Worker	200.00	0.00	0.00	200.00	58.20	0.00	58.20	141.80	29.10
2110.56110. Instructional Supplies - Social Worker	0.00	0.00	0.00	0.00	24.94	0.00	24.94	(24.94)	0.00
Total	58,905.00	0.00	0.00	58,905.00	521.30	0.00	521.30	58,383.70	0.88
2130-Health Office									
2130.51901. Wages Paid - School Nurse	87,726.00	0.00	0.00	87,726.00	45,389.63	0.00	45,389.63	42,336.37	51.74
2130.51910. Wages Paid - Nurse Substitutes	4,000.00	0.00	0.00	4,000.00	0.00	0.00	0.00	4,000.00	0.00
2130.51930. Nursing Stipends Paid - Health Office	2,000.00	0.00	0.00	2,000.00	2,000.00	0.00	2,000.00	0.00	100.00

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2130.52100. Group Life Insurance - Health Office	76.00	0.00	0.00	76.00	56.70	0.00	56.70	19.30	74.61
2130.52200. FICA/Medicare Employer - Health Office	8,486.00	0.00	0.00	8,486.00	5,595.55	0.00	5,595.55	2,890.45	65.94
2130.52800. Health Insurance - Health Office	11,104.00	0.00	0.00	11,104.00	8,886.96	0.00	8,886.96	2,217.04	80.03
2130.53230. Purchased Pupil Services - Health Office	720.00	0.00	0.00	720.00	324.00	400.00	724.00	(4.00)	100.56
2130.53300. Other Prof/Tech Services - Health Office	600.00	0.00	0.00	600.00	630.00	0.00	630.00	(30.00)	105.00
2130.54300. Repairs & Maint Equipment - Health Office	200.00	0.00	0.00	200.00	0.00	0.00	0.00	200.00	0.00
2130.55800. Conference/Travel - Health Office	700.00	0.00	0.00	700.00	0.00	0.00	0.00	700.00	0.00
2130.56100. Supplies - Health Office	2,400.00	0.00	0.00	2,400.00	1,958.28	0.00	1,958.28	441.72	81.60
2130.56430. Professional Periodicals - Health Office	100.00	0.00	0.00	100.00	0.00	0.00	0.00	100.00	0.00
2130.58100. Dues & Fees - Nurse's Office	600.00	0.00	0.00	600.00	113.00	0.00	113.00	487.00	18.83
Total	118,712.00	0.00	0.00	118,712.00	64,954.12	400.00	65,354.12	53,357.88	55.05
2140-Psychological Services									
2140.51900. Wages Paid - School Psychologist	53,052.00	0.00	0.00	53,052.00	33,760.30	0.00	33,760.30	19,291.70	63.64
2140.52100. Group Life Insurance - Psychologist	38.00	0.00	0.00	38.00	18.36	0.00	18.36	19.64	48.32
2140.52200. FICA/Medicare Employer - Psychologist	770.00	0.00	0.00	770.00	434.26	0.00	434.26	335.74	56.40
2140.52800. Health Insurance - Psychologist	20,714.00	0.00	0.00	20,714.00	16,826.49	0.00	16,826.49	3,887.51	81.23
2140.53230. Purchased Pupil Services - Psychologist	2,000.00	0.00	0.00	2,000.00	0.00	0.00	0.00	2,000.00	0.00
2140.56100. Assessment Supplies - Psychologist	2,000.00	0.00	0.00	2,000.00	1,263.56	0.00	1,263.56	736.44	63.18
2140.56110. Instructional Supplies - Psychologist	200.00	0.00	0.00	200.00	0.00	0.00	0.00	200.00	0.00
Total	78,774.00	0.00	0.00	78,774.00	52,302.97	0.00	52,302.97	26,471.03	66.40
2150-Speech & Audiology Services									
2150.53230. Purchased Pupil Services - Speech	71,622.00	0.00	0.00	71,622.00	28,978.04	34,197.12	63,175.16	8,446.84	88.21
2150.56100. Supplies - Speech	775.00	0.00	0.00	775.00	213.52	0.00	213.52	561.48	27.55
Total	72,397.00	0.00	0.00	72,397.00	29,191.56	34,197.12	63,388.68	9,008.32	87.56
2160-PT/OT Services									
2160.53230. Purchased Pupil Services - PT\OT	0.00	0.00	0.00	0.00	339.40	426.54	765.94	(765.94)	0.00
Total	0.00	0.00	0.00	0.00	339.40	426.54	765.94	(765.94)	0.00
2210-Improvement of Instruction									
2210.53220. In Service - Professional Development	4,000.00	0.00	0.00	4,000.00	875.00	0.00	875.00	3,125.00	21.88
2210.55800. Conference/Travel - Professional Development	6,000.00	0.00	0.00	6,000.00	352.62	0.00	352.62	5,647.38	5.88
2210.56100. Supplies - Professional Development	2,000.00	0.00	0.00	2,000.00	0.00	0.00	0.00	2,000.00	0.00
Total	12,000.00	0.00	0.00	12,000.00	1,227.62	0.00	1,227.62	10,772.38	10.23
2220-Library/Media Services									
2220.56420. Library Books - Library	0.00	0.00	0.00	0.00	(23.28)	0.00	(23.28)	23.28	0.00
Total	0.00	0.00	0.00	0.00	(23.28)	0.00	(23.28)	23.28	0.00

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2230-Technology									
2230.51901. Wages Paid - Technology Staff	6,481.00	0.00	0.00	6,481.00	4,234.02	0.00	4,234.02	2,246.98	65.33
2230.52100. Group Life Insurance - Technology	4.00	0.00	0.00	4.00	3.18	0.00	3.18	0.82	79.50
2230.52200. FICA/Medicare Employer - Technology	496.00	0.00	0.00	496.00	316.53	0.00	316.53	179.47	63.82
2230.52300. Pension Contributions - Technology	260.00	0.00	0.00	260.00	169.49	0.00	169.49	90.51	65.19
2230.52800. Health Insurance - Technology	1,111.00	0.00	0.00	1,111.00	987.49	0.00	987.49	123.51	88.88
2230.53520. Other Technical Services - Technology	76,014.00	0.00	0.00	76,014.00	55,816.75	20,828.20	76,644.95	(630.95)	100.83
2230.56100. Supplies - Technology	500.00	0.00	0.00	500.00	210.00	0.00	210.00	290.00	42.00
2230.56500. Technology Supplies - Technology	2,000.00	0.00	0.00	2,000.00	20.00	0.00	20.00	1,980.00	1.00
2230.57340. Technology Hardware - Instructional	2,000.00	0.00	0.00	2,000.00	5,132.00	2,260.40	7,392.40	(5,392.40)	369.62
2230.57341. Technology Hardware - Non-Instructional	3,000.00	0.00	0.00	3,000.00	269.45	0.00	269.45	2,730.55	8.98
2230.57350. Software - Instructional - Technology	28,856.00	0.00	0.00	28,856.00	13,986.53	0.00	13,986.53	14,869.47	48.47
2230.57351. Software - Non-Instructional - Technology	33,145.00	0.00	0.00	33,145.00	4,936.60	6,780.00	11,716.60	21,428.40	35.35
Total	153,867.00	0.00	0.00	153,867.00	86,082.04	29,868.60	115,950.64	37,916.36	75.36
2310-Board of Education									
2310.51901. Wages Paid - Non-Certified - BOE Office	12,635.00	0.00	12,632.84	25,267.84	16,129.52	0.00	16,129.52	9,138.32	63.83
2310.52100. Group Life Insurance - BOE Office	8.00	0.00	0.00	8.00	10.62	0.00	10.62	(2.62)	132.75
2310.52200. FICA/Medicare Employer - BOE Office	967.00	0.00	0.00	967.00	1,170.48	0.00	1,170.48	(203.48)	121.04
2310.52300. Pension Contributions - BOE Office	506.00	0.00	0.00	506.00	660.88	0.00	660.88	(154.88)	130.61
2310.52600. Unemployment Compensation - BOE Office	3,000.00	0.00	0.00	3,000.00	0.00	0.00	0.00	3,000.00	0.00
2310.52700. Workers' Compensation - BOE Office	21,927.00	0.00	0.00	21,927.00	14,262.27	7,664.73	21,927.00	0.00	100.00
2310.52800. Health Insurance - BOE Office	4,674.00	0.00	0.00	4,674.00	7,179.55	0.00	7,179.55	(2,505.55)	153.61
2310.53020. Legal Services - BOE Office	15,000.00	0.00	0.00	15,000.00	0.00	0.00	0.00	15,000.00	0.00
2310.55200. Property/Liability Insurance - BOE Office	19,620.00	0.00	0.00	19,620.00	15,962.25	4,905.75	20,868.00	(1,248.00)	106.36
2310.55400. Advertising - BOE Office	2,000.00	0.00	0.00	2,000.00	4,652.40	0.00	4,652.40	(2,652.40)	232.62
2310.55800. Conference/Travel - BOE Office	300.00	0.00	0.00	300.00	0.00	0.00	0.00	300.00	0.00
2310.56100. Supplies - BOE Office	1,400.00	0.00	0.00	1,400.00	679.37	0.00	679.37	720.63	48.53
2310.58100. Dues & Fees - BOE Office	2,572.00	0.00	0.00	2,572.00	0.00	0.00	0.00	2,572.00	0.00
2310.58900. Graduation Costs - BOE Office	1,000.00	0.00	0.00	1,000.00	125.00	724.01	849.01	150.99	84.90
2310.58990. Other Expenses - BOE Office	1,000.00	0.00	0.00	1,000.00	0.00	0.00	0.00	1,000.00	0.00
Total	86,609.00	0.00	12,632.84	99,241.84	60,832.34	13,294.49	74,126.83	25,115.01	74.69
2320-Superintendents Office									
2320.51900. Wages Paid - Superintendent	77,950.00	0.00	0.00	77,950.00	49,038.54	0.00	49,038.54	28,911.46	62.91
2320.51901. Wages Paid - Non-Certified - Supt Admin Office	12,635.00	0.00	12,632.84	25,267.84	16,129.52	0.00	16,129.52	9,138.32	63.83
2320.52100. Group Life Insurance - Superintendent Office	134.00	0.00	0.00	134.00	10.63	0.00	10.63	123.37	7.93
2320.52200. FICA/Medicare Employer - Superintendent's Office	2,126.00	0.00	0.00	2,126.00	1,881.64	0.00	1,881.64	244.36	88.51

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2320.52300. Pension Contributions - Superintendent's Office	506.00	0.00	0.00	506.00	660.88	0.00	660.88	(154.88)	130.61
2320.52800. Health Insurance - Superintendent's Office	4,674.00	0.00	0.00	4,674.00	7,179.61	0.00	7,179.61	(2,505.61)	153.61
2320.55800. Conference/Travel - Superintendent's Office	1,000.00	0.00	0.00	1,000.00	0.00	0.00	0.00	1,000.00	0.00
2320.56100. Supplies - Superintendent's Office	300.00	0.00	0.00	300.00	0.00	0.00	0.00	300.00	0.00
2320.58100. Dues & Fees - Superintendent's Office	3,541.00	0.00	0.00	3,541.00	0.00	0.00	0.00	3,541.00	0.00
Total	102,866.00	0.00	12,632.84	115,498.84	74,900.82	0.00	74,900.82	40,598.02	64.85
2400-School Administration Office									
2400.51900. Wages Paid - Principal	128,427.00	0.00	0.00	128,427.00	83,973.03	0.00	83,973.03	44,453.97	65.39
2400.51901. Wages Paid - Non-Certified - School Administration Office	77,361.00	0.00	0.00	77,361.00	51,241.72	0.00	51,241.72	26,119.28	66.24
2400.52100. Group Life Insurance - School Administration Office	194.00	0.00	0.00	194.00	143.01	0.00	143.01	50.99	73.72
2400.52200. FICA/Medicare Employer - School Administration Office	7,781.00	0.00	0.00	7,781.00	5,069.48	0.00	5,069.48	2,711.52	65.15
2400.52300. Pension Contributions - School Admin Office	3,095.00	0.00	0.00	3,095.00	0.00	0.00	0.00	3,095.00	0.00
2400.52800. Health Insurance - School Administration Office	25,566.00	0.00	0.00	25,566.00	20,796.84	0.00	20,796.84	4,769.16	81.35
2400.53300. Other Prof/Tech Services - School Administration Office	2,000.00	0.00	0.00	2,000.00	0.00	0.00	0.00	2,000.00	0.00
2400.55301. Postage - School Administration Office	3,500.00	0.00	0.00	3,500.00	1,347.35	0.00	1,347.35	2,152.65	38.50
2400.55800. Conference/Travel - School Administration Office	750.00	0.00	0.00	750.00	0.00	0.00	0.00	750.00	0.00
2400.56100. Supplies - School Administration Office	2,500.00	0.00	0.00	2,500.00	455.91	0.00	455.91	2,044.09	18.24
2400.58100. Dues & Fees - School Administration Office	952.00	0.00	0.00	952.00	956.00	0.00	956.00	(4.00)	100.42
Total	252,126.00	0.00	0.00	252,126.00	163,983.34	0.00	163,983.34	88,142.66	65.04
2510-Business Office									
2510.51901. Wages Paid - Non Certified - Business Office	104,919.00	0.00	0.00	104,919.00	68,570.13	0.00	68,570.13	36,348.87	65.36
2510.52100. Group Life Insurance - Business Office	72.00	0.00	0.00	72.00	53.59	0.00	53.59	18.41	74.43
2510.52200. FICA/Medicare Employer - Business Office	8,027.00	0.00	0.00	8,027.00	5,178.74	0.00	5,178.74	2,848.26	64.52
2510.52300. Pension Contributions - Business Office	4,834.00	0.00	0.00	4,834.00	3,159.96	0.00	3,159.96	1,674.04	65.37
2510.52800. Health Insurance - Business Office	9,994.00	0.00	0.00	9,994.00	7,899.47	0.00	7,899.47	2,094.53	79.04
2510.53300. Other Prof/Tech Services - Business Office	15,000.00	0.00	0.00	15,000.00	8,757.16	0.00	8,757.16	6,242.84	58.38
2510.53410. Audit/Accounting Services - Business Office	25,850.00	0.00	0.00	25,850.00	18,356.53	0.00	18,356.53	7,493.47	71.01
2510.55800. Conference/Travel - Business Office	300.00	0.00	0.00	300.00	0.00	0.00	0.00	300.00	0.00
2510.56100. Supplies - Business Office	1,000.00	0.00	0.00	1,000.00	1,320.13	40.79	1,360.92	(360.92)	136.09
Total	169,996.00	0.00	0.00	169,996.00	113,295.71	40.79	113,336.50	56,659.50	66.67
2600-Building & Grounds									
2600.51901. Wages Paid - Building Maintenance	114,615.00	0.00	0.00	114,615.00	70,714.87	0.00	70,714.87	43,900.13	61.70
2600.52100. Group Life Insurance - Maintenance	189.00	0.00	0.00	189.00	78.75	0.00	78.75	110.25	41.67

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2600.52200. FICA/Medicare Employer - Maintenance	8,768.00	0.00	0.00	8,768.00	5,001.79	0.00	5,001.79	3,766.21	57.05
2600.52300. Pension Contributions - Maintenance	4,585.00	0.00	0.00	4,585.00	1,935.68	0.00	1,935.68	2,649.32	42.22
2600.52800. Health Insurance - Maintenance	39,949.00	0.00	0.00	39,949.00	46,659.51	0.00	46,659.51	(6,710.51)	116.80
2600.54010. Purchased Property Services - Maintenance	30,081.00	0.00	0.00	30,081.00	29,601.96	14,944.98	44,546.94	(14,465.94)	148.09
2600.54101. Rubbish Removal - Maintenance	8,700.00	0.00	0.00	8,700.00	6,238.65	2,813.60	9,052.25	(352.25)	104.05
2600.54300. Equipment Repairs & Maint - Maintenance	5,000.00	0.00	0.00	5,000.00	1,846.50	0.00	1,846.50	3,153.50	36.93
2600.54301. Building Repairs & Maint - Maintenance	5,000.00	0.00	0.00	5,000.00	10,359.74	0.00	10,359.74	(5,359.74)	207.19
2600.54411. Water	3,000.00	0.00	0.00	3,000.00	1,166.26	1,500.00	2,666.26	333.74	88.88
2600.54412. Sewer	2,000.00	0.00	0.00	2,000.00	780.90	1,000.00	1,780.90	219.10	89.05
2600.55300. Communications - Telephone & Internet	12,000.00	0.00	0.00	12,000.00	7,546.76	3,200.00	10,746.76	1,253.24	89.56
2600.55800. Conference/Travel - Building Maintenance	100.00	0.00	0.00	100.00	0.00	0.00	0.00	100.00	0.00
2600.56100. General Supplies - Maintenance Department	16,000.00	0.00	0.00	16,000.00	5,779.84	0.00	5,779.84	10,220.16	36.12
2600.56220. Electricity - Maintenance	60,000.00	0.00	0.00	60,000.00	40,022.89	23,382.96	63,405.85	(3,405.85)	105.68
2600.56230. Liquid Propane	12,000.00	0.00	0.00	12,000.00	8,703.11	3,296.89	12,000.00	0.00	100.00
2600.56240. Heating Oil	23,400.00	0.00	0.00	23,400.00	26,847.31	4,115.03	30,962.34	(7,562.34)	132.32
2600.56260. Gasoline	600.00	0.00	0.00	600.00	383.39	0.00	383.39	216.61	63.90
2600.57300. Equipment - Non Instructional	1,000.00	0.00	0.00	1,000.00	0.00	0.00	0.00	1,000.00	0.00
Total	346,987.00	0.00	0.00	346,987.00	263,667.91	54,253.46	317,921.37	29,065.63	91.62
2700-Student Transportation									
2700.55100. Contracted Pupil Transp Reg	372,605.00	0.00	0.00	372,605.00	185,215.00	173,504.04	358,719.04	13,885.96	96.27
2700.55108. Contracted Pupil Transp Spec Ed HS	58,500.00	0.00	0.00	58,500.00	128,430.00	36,170.00	164,600.00	(106,100.00)	281.37
2700.55109. Contracted Pupil Transp Spec Ed Elem\Summer School	49,317.00	0.00	0.00	49,317.00	91,740.00	3,570.00	95,310.00	(45,993.00)	193.26
2700.55150. Contracted Pupil Transp Athletics/Ext Curr	8,775.00	0.00	0.00	8,775.00	4,441.88	0.00	4,441.88	4,333.12	50.62
2700.55151. Contracted Pupil Transp Field Trips	2,500.00	0.00	0.00	2,500.00	0.00	0.00	0.00	2,500.00	0.00
2700.56260. Gasoline	35,000.00	0.00	0.00	35,000.00	28,280.12	7,319.88	35,600.00	(600.00)	101.71
Total	526,697.00	0.00	0.00	526,697.00	438,107.00	220,563.92	658,670.92	(131,973.92)	125.06
6000-HS Tuition									
6000.000100.55610. Tuition - HS Regular Ed - public schools	1,053,516.00	0.00	0.00	1,053,516.00	827,843.00	253,499.00	1,081,342.00	(27,826.00)	102.64
6000.000200.55610. Tuition - HS Special Ed - public schools	659,932.00	0.00	0.00	659,932.00	470,578.55	214,448.33	685,026.88	(25,094.88)	103.80
6000.000200.55630. Tuition - HS Special Ed - private schools	238,105.00	0.00	0.00	238,105.00	241,183.00	77,561.00	318,744.00	(80,639.00)	133.87
Total	1,951,553.00	0.00	0.00	1,951,553.00	1,539,604.55	545,508.33	2,085,112.88	(133,559.88)	106.84
6100-Elementary Tuition									
6100.000100.55660. Tuition - Elem Magnet Schools	52,552.00	0.00	0.00	52,552.00	44,026.00	0.00	44,026.00	8,526.00	83.78
6100.000200.55631. Tuition - Elem Special Ed - private schools	159,169.00	0.00	0.00	159,169.00	107,867.85	161,787.55	269,655.40	(110,486.40)	169.41
6100.000200.55660. Tuition - Elem Special Ed Magnet Schools	113,340.00	0.00	0.00	113,340.00	111,265.50	40,074.00	151,339.50	(37,999.50)	133.53

SPRAGUE BOARD OF EDUCATION

BOE Budget v. Actual

For Fiscal Year 2022-2023

Year to Date 2/28/2023

	<u>Approved Budget</u>	<u>Current Mo. Budget Trf</u>	<u>Prior YTD Budget Trfs</u>	<u>Revised Budget</u>	<u>Year to Date Actual</u>	<u>Encumbered/Or dered</u>	<u>Total Expenditures</u>	<u>Variance</u>	<u>% Spent</u>
Total	325,061.00	0.00	0.00	325,061.00	263,159.35	201,861.55	465,020.90	(139,959.90)	143.06
Total Expenditures	\$ 6,895,693.00	\$ 0.00	\$ 0.00	\$ 6,895,693.00	\$ 4,579,671.06	\$ 1,135,561.67	\$ 5,715,232.73	\$ 1,180,460.27	\$ 82.88

March Forecast

**BOE Budget v. Actual
2/28/2023**

	Approved Budget	Current Mo. Budget Trf	Prior YTD Budget Trfs	Revised Budget	Year to Date Actual	Encumbered/ Ordered	Total Expenditures	Variance	Forecasted Expenditure	Forecasted Balance
1000-Regular Instruction										
1000.51110. Wages Paid to Teachers - Regular Ed	\$ 1,144,377.00	\$ -	\$ -	\$ 1,144,377.00	\$ 676,363.03	\$ -	\$ 676,363.03	\$ 468,013.97	\$ 414,439.09	\$ 53,574.88
1000.51120. Wages Paid to Instructional Aides - Regular Ed	\$ 14,401.00	\$ -	\$ -	\$ 14,401.00	\$ 5,024.90	\$ -	\$ 5,024.90	\$ 9,376.10	\$ 2,818.76	\$ 6,557.34
1000.52100. Group Life Insurance - Regular	\$ 726.00	\$ -	\$ -	\$ 726.00	\$ 510.62	\$ -	\$ 510.62	\$ 215.38	\$ 215.14	\$ 0.24
1000.52200. FICA/Medicare Employer - Regular Ed	\$ 19,222.00	\$ -	\$ -	\$ 19,222.00	\$ 10,550.64	\$ -	\$ 10,550.64	\$ 8,671.36	\$ 6,225.00	\$ 2,446.36
1000.52500. Tuition Reimbursement	\$ 10,000.00	\$ -	\$ -	\$ 10,000.00	\$ 1,075.20	\$ -	\$ 1,075.20	\$ 8,924.80	\$ -	\$ 8,924.80
1000.52800. Health Insurance - Regular	\$ 279,651.00	\$ -	\$ -	\$ 279,651.00	\$ 220,643.11	\$ -	\$ 220,643.11	\$ 59,007.89	\$ 75,736.36	\$ (16,728.47)
1000.53200. Substitutes - Regular Education	\$ 3,500.00	\$ -	\$ -	\$ 3,500.00	\$ -	\$ -	\$ -	\$ 3,500.00	\$ -	\$ 3,500.00
1000.53230. Purchased Pupil Services	\$ 1,250.00	\$ -	\$ -	\$ 1,250.00	\$ -	\$ -	\$ -	\$ 1,250.00	\$ -	\$ 1,250.00
1000.54300. Equipment Repairs & Maint	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
1000.54420. Equipment Leasing	\$ 18,000.00	\$ -	\$ -	\$ 18,000.00	\$ 12,498.04	\$ 8,546.00	\$ 21,044.04	\$ (3,044.04)	\$ -	\$ (3,044.04)
1000.56100. General Supplies - Regular Education	\$ 7,000.00	\$ -	\$ -	\$ 7,000.00	\$ 5,179.02	\$ -	\$ 5,179.02	\$ 1,820.98	\$ -	\$ 1,820.98
1000.56110. Instructional Supplies - Regular Education	\$ 5,000.00	\$ -	\$ -	\$ 5,000.00	\$ 550.13	\$ -	\$ 550.13	\$ 4,449.87	\$ -	\$ 4,449.87
1000.56400. Workbooks/Disposables	\$ 10,000.00	\$ -	\$ -	\$ 10,000.00	\$ 42.80	\$ -	\$ 42.80	\$ 9,957.20	\$ -	\$ 9,957.20
1000.56410. Textbooks	\$ 3,000.00	\$ -	\$ -	\$ 3,000.00	\$ (801.46)	\$ -	\$ (801.46)	\$ 3,801.46	\$ -	\$ 3,801.46
1000.56501. Ink and Toner	\$ 8,000.00	\$ -	\$ -	\$ 8,000.00	\$ 5,907.50	\$ -	\$ 5,907.50	\$ 2,092.50	\$ -	\$ 2,092.50
1000.57300. Equipment - Non Instructional	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
1000.58100. Dues & Fees	\$ 9,869.00	\$ -	\$ -	\$ 9,869.00	\$ 8,193.00	\$ -	\$ 8,193.00	\$ 1,676.00	\$ -	\$ 1,676.00
Total	\$ 1,533,996.00	\$ -	\$ -	\$ 1,533,996.00	\$ 945,736.53	\$ 8,546.00	\$ 954,282.53	\$ 579,713.47	\$ 499,434.35	\$ 80,279.12
1200-Special Education										
1200.51110. Wages Paid to Teachers - SPED	\$ 351,322.00	\$ (105,160.00)	\$ -	\$ 246,162.00	\$ 116,009.75	\$ -	\$ 116,009.75	\$ 130,152.25	\$ 76,696.49	\$ 53,455.76
1200.51120. Wages Paid to Instructional Aides - SPED	\$ 273,997.00	\$ -	\$ -	\$ 273,997.00	\$ 86,583.95	\$ -	\$ 86,583.95	\$ 187,413.05	\$ 101,645.63	\$ 85,767.42
1200.51900. Wages Paid to Other Cert - SPED	\$ -	\$ 105,160.00	\$ -	\$ 105,160.00	\$ 62,140.00	\$ -	\$ 62,140.00	\$ 43,020.00	\$ 43,020.00	\$ -
1200.51901. Wages Paid - Other Non Certified Staff - SPED	\$ 87,776.00	\$ -	\$ (25,265.68)	\$ 62,510.32	\$ 38,810.96	\$ -	\$ 38,810.96	\$ 23,699.36	\$ 24,740.75	\$ (1,041.39)
1200.52100. Group Life Insurance - SPED	\$ 981.00	\$ -	\$ -	\$ 981.00	\$ 511.98	\$ -	\$ 511.98	\$ 469.02	\$ 186.48	\$ 282.54
1200.52200. FICA/Medicare Employer - SPED	\$ 33,064.00	\$ -	\$ -	\$ 33,064.00	\$ 12,474.10	\$ -	\$ 12,474.10	\$ 20,589.90	\$ 11,404.45	\$ 9,185.45
1200.52300. Pension Contributions	\$ 3,552.00	\$ -	\$ -	\$ 3,552.00	\$ 1,661.06	\$ -	\$ 1,661.06	\$ 1,890.94	\$ 879.38	\$ 1,011.56
1200.52800. Health Insurance	\$ 239,621.00	\$ -	\$ -	\$ 239,621.00	\$ 106,981.62	\$ -	\$ 106,981.62	\$ 132,639.38	\$ 46,077.75	\$ 86,561.63
1200.53200. Substitutes - SPED	\$ 3,500.00	\$ -	\$ -	\$ 3,500.00	\$ -	\$ -	\$ -	\$ 3,500.00	\$ -	\$ 3,500.00
1200.53230. Purchased Pupil Services	\$ 29,000.00	\$ -	\$ -	\$ 29,000.00	\$ 5,085.70	\$ 25,850.87	\$ 30,936.57	\$ (1,936.57)	\$ (5,000.00)	\$ 3,063.43
1200.53300. Other Prof/Tech Services	\$ 2,500.00	\$ -	\$ -	\$ 2,500.00	\$ 1,400.66	\$ 750.00	\$ 2,150.66	\$ 349.34	\$ -	\$ 349.34
1200.55800. Travel Reimbursement	\$ 1,200.00	\$ -	\$ -	\$ 1,200.00	\$ 254.25	\$ -	\$ 254.25	\$ 945.75	\$ -	\$ 945.75
1200.56100. General Supplies - Special Education	\$ 1,000.00	\$ -	\$ -	\$ 1,000.00	\$ 397.58	\$ -	\$ 397.58	\$ 602.42	\$ -	\$ 602.42
1200.56110. Instructional Supplies - SPED	\$ 1,000.00	\$ -	\$ -	\$ 1,000.00	\$ 177.66	\$ -	\$ 177.66	\$ 822.34	\$ -	\$ 822.34
1200.56400. Workbooks/Disposables	\$ 500.00	\$ -	\$ -	\$ 500.00	\$ -	\$ -	\$ -	\$ 500.00	\$ -	\$ 500.00
1200.57300. Equipment Non-Instructional	\$ 1,430.00	\$ -	\$ -	\$ 1,430.00	\$ 161.97	\$ -	\$ 161.97	\$ 1,268.03	\$ -	\$ 1,268.03
1200.58100. Dues & Fees	\$ 760.00	\$ -	\$ -	\$ 760.00	\$ 900.00	\$ -	\$ 900.00	\$ (140.00)	\$ -	\$ (140.00)
Total	\$ 1,031,203.00	\$ -	\$ (25,265.68)	\$ 1,005,937.32	\$ 433,551.24	\$ 26,600.87	\$ 460,152.11	\$ 545,785.21	\$ 299,650.93	\$ 246,134.28
1300-Adult Education - Cooperative										
1300.55690. Tuition - Adult Cooperative	\$ 15,366.00	\$ -	\$ -	\$ 15,366.00	\$ 19,567.00	\$ -	\$ 19,567.00	\$ (4,201.00)	\$ (4,201.00)	\$ -
Total	\$ 15,366.00	\$ -	\$ -	\$ 15,366.00	\$ 19,567.00	\$ -	\$ 19,567.00	\$ (4,201.00)	\$ (4,201.00)	\$ -
1500-Stipends - Extra Curricular										
1500.51930. Extra Curricular Stipends Paid	\$ 8,821.00	\$ -	\$ -	\$ 8,821.00	\$ 4,328.00	\$ -	\$ 4,328.00	\$ 4,493.00	\$ 6,948.00	\$ (2,455.00)
Total	\$ 8,821.00	\$ -	\$ -	\$ 8,821.00	\$ 4,328.00	\$ -	\$ 4,328.00	\$ 4,493.00	\$ 6,948.00	\$ (2,455.00)
1600-Summer School										
1600.51110. Wages Paid to Teachers - Summer School	\$ 5,000.00	\$ -	\$ -	\$ 5,000.00	\$ 6,108.75	\$ -	\$ 6,108.75	\$ (1,108.75)	\$ -	\$ (1,108.75)
1600.51120. Wages Paid to Inst Aides - Summer School	\$ 2,250.00	\$ -	\$ -	\$ 2,250.00	\$ 1,631.25	\$ -	\$ 1,631.25	\$ 618.75	\$ -	\$ 618.75
1600.51901. Wages Paid - Other Non-Cert - Summer School	\$ 2,400.00	\$ -	\$ -	\$ 2,400.00	\$ 1,747.25	\$ -	\$ 1,747.25	\$ 652.75	\$ -	\$ 652.75
1600.52200. FICA/Medicare Employer - Summer School	\$ 309.00	\$ -	\$ -	\$ 309.00	\$ 346.97	\$ -	\$ 346.97	\$ (37.97)	\$ -	\$ (37.97)
Total	\$ 9,959.00	\$ -	\$ -	\$ 9,959.00	\$ 9,834.22	\$ -	\$ 9,834.22	\$ 124.78	\$ -	\$ 124.78
1700-Tutoring										
1700.000100.51110. Wages Paid to Teacher Tutors - Reg Ed	\$ 4,000.00	\$ -	\$ -	\$ 4,000.00	\$ -	\$ -	\$ -	\$ 4,000.00	\$ -	\$ 4,000.00
1700.000100.52200. FICA/Medicare Employer - Reg Ed	\$ 700.00	\$ -	\$ -	\$ 700.00	\$ -	\$ -	\$ -	\$ 700.00	\$ -	\$ 700.00
1700.000200.51120. Wages Paid to Inst Aide Tutors - Spec Ed	\$ 6,000.00	\$ -	\$ -	\$ 6,000.00	\$ -	\$ -	\$ -	\$ 6,000.00	\$ -	\$ 6,000.00
1700.000200.52200. FICA/Medicare Employer - Spec Ed	\$ 8,000.00	\$ -	\$ -	\$ 8,000.00	\$ -	\$ -	\$ -	\$ 8,000.00	\$ -	\$ 8,000.00

March Forecast

BOE Budget v. Actual 2/28/2023										
	Approved Budget	Current Mo. Budget Trf	Prior YTD Budget Trfs	Revised Budget	Year to Date Actual	Encumbered/ Ordered	Total Expenditures	Variance	Forecasted Expenditure	Forecasted Balance
1700.000200.53230. Purchased Pupil Services - Spec Ed	\$ -	\$ -	\$ -	\$ -	\$ 186.00		\$ 186.00	\$ (186.00)	\$ -	\$ (186.00)
Total	\$ 18,700.00	\$ -	\$ -	\$ 18,700.00	\$ 186.00	\$ -	\$ 186.00	\$ 18,514.00	\$ -	\$ 18,514.00
1800-Stipends - Sports Teams										
1800.51930. Sports Teams Stipends Paid	\$ 16,283.00	\$ -	\$ -	\$ 16,283.00	\$ 11,413.00	\$ -	\$ 11,413.00	\$ 4,870.00	\$ 2,553.00	\$ 2,317.00
1800.52200. FICA/Medicare Employer	\$ 1,245.00	\$ -	\$ -	\$ 1,245.00	\$ 286.26	\$ -	\$ 286.26	\$ 958.74	\$ 37.02	\$ 921.72
1800.53540. Sports Officials	\$ 3,570.00	\$ -	\$ -	\$ 3,570.00	\$ 2,622.06	\$ -	\$ 2,622.06	\$ 947.94	\$ -	\$ 947.94
1800.56100. General Supplies - Sports Teams	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total	\$ 21,098.00	\$ -	\$ -	\$ 21,098.00	\$ 14,321.32	\$ -	\$ 14,321.32	\$ 6,776.68	\$ 2,590.02	\$ 4,186.66
2110-Social Work Services										
2110.51900. Wages Paid - Social Worker	\$ 48,125.00	\$ -	\$ -	\$ 48,125.00	\$ -	\$ -	\$ -	\$ 48,125.00	\$ -	\$ 48,125.00
2110.52100. Group Life Insurance - Social Worker	\$ 38.00	\$ -	\$ -	\$ 38.00	\$ 1.58	\$ -	\$ 1.58	\$ 36.42	\$ (1.58)	\$ 38.00
2110.52200. FICA/Medicare Employer - Social Worker	\$ 700.00	\$ -	\$ -	\$ 700.00	\$ -	\$ -	\$ -	\$ 700.00	\$ -	\$ 700.00
2110.52800. Health Insurance - Social Worker	\$ 9,842.00	\$ -	\$ -	\$ 9,842.00	\$ 436.58	\$ -	\$ 436.58	\$ 9,405.42	\$ (436.58)	\$ 9,842.00
2110.56100. Supplies	\$ 200.00	\$ -	\$ -	\$ 200.00	\$ 58.20	\$ -	\$ 58.20	\$ 141.80	\$ -	\$ 141.80
2110.56110. Instructional Supplies	\$ -	\$ -	\$ -	\$ -	\$ 24.94	\$ -	\$ 24.94	\$ (24.94)	\$ -	\$ (24.94)
Total	\$ 58,905.00	\$ -	\$ -	\$ 58,905.00	\$ 521.30	\$ -	\$ 521.30	\$ 58,383.70	\$ (438.16)	\$ 58,821.86
2130-Health Office										
2130.51901. Wages Paid - School Nurse	\$ 87,726.00	\$ -	\$ -	\$ 87,726.00	\$ 45,389.63	\$ -	\$ 45,389.63	\$ 42,336.37	\$ 41,917.97	\$ 418.40
2130.51910. Wages Paid - Nurse Substitutes	\$ 4,000.00	\$ -	\$ -	\$ 4,000.00	\$ -	\$ -	\$ -	\$ 4,000.00	\$ -	\$ 4,000.00
2130.51930. Nursing Stipends Paid	\$ 2,000.00	\$ -	\$ -	\$ 2,000.00	\$ 2,000.00	\$ -	\$ 2,000.00	\$ -	\$ -	\$ -
2130.52100. Group Life Insurance - Health Office	\$ 76.00	\$ -	\$ -	\$ 76.00	\$ 56.70	\$ -	\$ 56.70	\$ 19.30	\$ 18.90	\$ 0.40
2130.52200. FICA/Medicare Employer - Health	\$ 8,486.00	\$ -	\$ -	\$ 8,486.00	\$ 5,595.55	\$ -	\$ 5,595.55	\$ 2,890.45	\$ 4,760.31	\$ (1,869.86)
2130.52800. Health Insurance - Health Office	\$ 11,104.00	\$ -	\$ -	\$ 11,104.00	\$ 8,886.96	\$ -	\$ 8,886.96	\$ 2,217.04	\$ 2,962.31	\$ (745.27)
2130.53230. Purchased Pupil Services	\$ 720.00	\$ -	\$ -	\$ 720.00	\$ 324.00	\$ 400.00	\$ 724.00	\$ (4.00)	\$ -	\$ (4.00)
2130.53300. Other Prof/Tech Services	\$ 600.00	\$ -	\$ -	\$ 600.00	\$ 630.00	\$ -	\$ 630.00	\$ (30.00)	\$ -	\$ (30.00)
2130.54300. Repairs & Maint Equipment	\$ 200.00	\$ -	\$ -	\$ 200.00	\$ -	\$ -	\$ -	\$ 200.00	\$ -	\$ 200.00
2130.55800. Conference/Travel - Health Office	\$ 700.00	\$ -	\$ -	\$ 700.00	\$ -	\$ -	\$ -	\$ 700.00	\$ -	\$ 700.00
2130.56100. Supplies	\$ 2,400.00	\$ -	\$ -	\$ 2,400.00	\$ 1,958.28	\$ -	\$ 1,958.28	\$ 441.72	\$ -	\$ 441.72
2130.56430. Professional Periodicals	\$ 100.00	\$ -	\$ -	\$ 100.00	\$ -	\$ -	\$ -	\$ 100.00	\$ -	\$ 100.00
2130.58100. Dues & Fees	\$ 600.00	\$ -	\$ -	\$ 600.00	\$ 113.00	\$ -	\$ 113.00	\$ 487.00	\$ 487.00	\$ -
Total	\$ 118,712.00	\$ -	\$ -	\$ 118,712.00	\$ 64,954.12	\$ 400.00	\$ 65,354.12	\$ 53,357.88	\$ 50,146.49	\$ 3,211.39
2140-Psychological Services										
2140.51900. Wages Paid - School Psychologist	\$ 53,052.00	\$ -	\$ -	\$ 53,052.00	\$ 33,760.30	\$ -	\$ 33,760.30	\$ 19,291.70	\$ 19,291.70	\$ -
2140.52100. Group Life Insurance - Psychologist	\$ 38.00	\$ -	\$ -	\$ 38.00	\$ 18.36	\$ -	\$ 18.36	\$ 19.64	\$ 19.44	\$ 0.20
2140.52200. FICA/Medicare Employer - Psychologist	\$ 770.00	\$ -	\$ -	\$ 770.00	\$ 434.26	\$ -	\$ 434.26	\$ 335.74	\$ 279.73	\$ 56.01
2140.52800. Health Insurance	\$ 20,714.00	\$ -	\$ -	\$ 20,714.00	\$ 16,826.49	\$ -	\$ 16,826.49	\$ 3,887.51	\$ 5,722.03	\$ (1,834.52)
2140.53230. Purchased Pupil Services	\$ 2,000.00	\$ -	\$ -	\$ 2,000.00	\$ -	\$ -	\$ -	\$ 2,000.00	\$ -	\$ 2,000.00
2140.56100. Assessment Supplies	\$ 2,000.00	\$ -	\$ -	\$ 2,000.00	\$ 1,263.56	\$ -	\$ 1,263.56	\$ 736.44	\$ -	\$ 736.44
2140.56110. Instructional Supplies - Psychologist	\$ 200.00	\$ -	\$ -	\$ 200.00	\$ -	\$ -	\$ -	\$ 200.00	\$ -	\$ 200.00
Total	\$ 78,774.00	\$ -	\$ -	\$ 78,774.00	\$ 52,302.97	\$ -	\$ 52,302.97	\$ 26,471.03	\$ 25,312.90	\$ 1,158.13
2150-Speech & Audiology Services										
2150.53230. Purchased Pupil Services	\$ 71,622.00	\$ -	\$ -	\$ 71,622.00	\$ 28,978.04	\$ 34,197.12	\$ 63,175.16	\$ 8,446.84	\$ -	\$ 8,446.84
2150.56100. Supplies	\$ 775.00	\$ -	\$ -	\$ 775.00	\$ 213.52	\$ -	\$ 213.52	\$ 561.48	\$ -	\$ 561.48
Total	\$ 72,397.00	\$ -	\$ -	\$ 72,397.00	\$ 29,191.56	\$ 34,197.12	\$ 63,388.68	\$ 9,008.32	\$ -	\$ 9,008.32
2160-PT/OT Services										
2160.53230. Purchased Pupil Services	\$ -	\$ -	\$ -	\$ -	\$ 339.40	\$ 426.54	\$ -	\$ (765.94)	\$ -	\$ (765.94)
2160.56100. Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total	\$ -	\$ -	\$ -	\$ -	\$ 339.40	\$ 426.54	\$ -	\$ (765.94)	\$ -	\$ (765.94)
2210-Improvement of Instruction										
2210.53220. In Service	\$ 4,000.00	\$ -	\$ -	\$ 4,000.00	\$ 875.00	\$ -	\$ 875.00	\$ 3,125.00	\$ -	\$ 3,125.00
2210.55800. Conference/Travel - Professional Development	\$ 6,000.00	\$ -	\$ -	\$ 6,000.00	\$ 352.62	\$ -	\$ 352.62	\$ 5,647.38	\$ -	\$ 5,647.38
2210.56100. Supplies	\$ 2,000.00	\$ -	\$ -	\$ 2,000.00	\$ -	\$ -	\$ -	\$ 2,000.00	\$ -	\$ 2,000.00
Total	\$ 12,000.00	\$ -	\$ -	\$ 12,000.00	\$ 1,227.62	\$ -	\$ 1,227.62	\$ 10,772.38	\$ -	\$ 10,772.38
2220-Library/Media Services										
2220.56420. Library Books	\$ -	\$ -	\$ -	\$ -	\$ (23.28)	\$ -	\$ (23.28)	\$ 23.28	\$ -	\$ 23.28
Total	\$ -	\$ -	\$ -	\$ -	\$ (23.28)	\$ -	\$ (23.28)	\$ 23.28	\$ -	\$ 23.28

**BOE Budget v. Actual
2/28/2023**

	Approved Budget	Current Mo. Budget Trf	Prior YTD Budget Trfs	Revised Budget	Year to Date Actual	Encumbered/ Ordered	Total Expenditures	Variance	Forecasted Expenditure	Forecasted Balance
2230-Technology										
2230.51901. Wages Paid - Technology Staff	\$ 6,481.00	\$ -	\$ -	\$ 6,481.00	\$ 4,234.02	\$ -	\$ 4,234.02	\$ 2,246.98	\$ 2,247.00	\$ (0.02)
2230.52100. Group Life Insurance - Technology	\$ 4.00	\$ -	\$ -	\$ 4.00	\$ 3.18	\$ -	\$ 3.18	\$ 0.82	\$ 0.60	\$ 0.22
2230.52200. FICA/Medicare Employer - Technology	\$ 496.00	\$ -	\$ -	\$ 496.00	\$ 316.53	\$ -	\$ 316.53	\$ 179.47	\$ 171.90	\$ 7.57
2230.52300. Pension Contributions - Technology	\$ 260.00	\$ -	\$ -	\$ 260.00	\$ 169.49	\$ -	\$ 169.49	\$ 90.51	\$ 89.74	\$ 0.77
2230.52800. Health Insurance - Technology	\$ 1,111.00	\$ -	\$ -	\$ 1,111.00	\$ 987.49	\$ -	\$ 987.49	\$ 123.51	\$ 197.44	\$ (73.93)
2230.53520. Other Technical Services	\$ 76,014.00	\$ -	\$ -	\$ 76,014.00	\$ 55,816.75	\$ 20,828.20	\$ 76,644.95	\$ (630.95)	\$ -	\$ (630.95)
2230.56100. Supplies	\$ 500.00	\$ -	\$ -	\$ 500.00	\$ 210.00	\$ -	\$ 210.00	\$ 290.00	\$ -	\$ 290.00
2230.56500. Technology Supplies	\$ 2,000.00	\$ -	\$ -	\$ 2,000.00	\$ 20.00	\$ -	\$ 20.00	\$ 1,980.00	\$ -	\$ 1,980.00
2230.57340. Technology Hardware - Instructional	\$ 2,000.00	\$ -	\$ -	\$ 2,000.00	\$ 5,132.00	\$ 2,260.40	\$ 7,392.40	\$ (5,392.40)	\$ -	\$ (5,392.40)
2230.57341. Technology Hardware - Non-Instructional	\$ 3,000.00	\$ -	\$ -	\$ 3,000.00	\$ 269.45	\$ -	\$ 269.45	\$ 2,730.55	\$ -	\$ 2,730.55
2230.57350. Software - Instructional	\$ 28,856.00	\$ -	\$ -	\$ 28,856.00	\$ 13,986.53	\$ -	\$ 13,986.53	\$ 14,869.47	\$ 3,183.35	\$ 11,686.12
2230.57351. Software - Non-Instructional	\$ 33,145.00	\$ -	\$ -	\$ 33,145.00	\$ 4,936.60	\$ 6,780.00	\$ 11,716.60	\$ 21,428.40	\$ 16,930.39	\$ 4,498.01
Total	\$ 153,867.00	\$ -	\$ -	\$ 153,867.00	\$ 86,082.04	\$ 29,868.60	\$ 115,950.64	\$ 37,916.36	\$ 22,820.42	\$ 15,095.94
2310-Board of Education										
2310.51901. Wages Paid - Non-Certified - BOE Admin Office	\$ 12,635.00	\$ -	\$ 12,632.84	\$ 25,267.84	\$ 16,129.52	\$ -	\$ 16,129.52	\$ 9,138.32	\$ 9,220.33	\$ (82.01)
2310.52100. Group Life Insurance - BOE Office	\$ 8.00	\$ -	\$ -	\$ 8.00	\$ 10.62	\$ -	\$ 10.62	\$ (2.62)	\$ 4.50	\$ (7.12)
2310.52200. FICA/Medicare Employer - BOE Office	\$ 967.00	\$ -	\$ -	\$ 967.00	\$ 1,170.48	\$ -	\$ 1,170.48	\$ (203.48)	\$ 705.36	\$ (908.84)
2310.52300. Pension Contributions - BOE Office	\$ 506.00	\$ -	\$ -	\$ 506.00	\$ 660.88	\$ -	\$ 660.88	\$ (154.88)	\$ 349.86	\$ (504.74)
2310.52600. Unemployment Compensation - BOE Office	\$ 3,000.00	\$ -	\$ -	\$ 3,000.00	\$ -	\$ -	\$ -	\$ 3,000.00	\$ -	\$ 3,000.00
2310.52700. Workers' Compensation - BOE Office	\$ 21,927.00	\$ -	\$ -	\$ 21,927.00	\$ 14,262.27	\$ 7,664.73	\$ 21,927.00	\$ -	\$ -	\$ -
2310.52800. Health Insurance - BOE Office	\$ 4,674.00	\$ -	\$ -	\$ 4,674.00	\$ 7,179.55	\$ -	\$ 7,179.55	\$ (2,505.55)	\$ 2,956.36	\$ (5,461.91)
2310.53020. Legal Services - BOE Office	\$ 15,000.00	\$ -	\$ -	\$ 15,000.00	\$ -	\$ -	\$ -	\$ 15,000.00	\$ -	\$ 15,000.00
2310.55200. Property/Liability Insurance - BOE Office	\$ 19,620.00	\$ -	\$ -	\$ 19,620.00	\$ 15,962.25	\$ 4,905.75	\$ 20,868.00	\$ (1,248.00)	\$ -	\$ (1,248.00)
2310.55400. Advertising - BOE Office	\$ 2,000.00	\$ -	\$ -	\$ 2,000.00	\$ 4,652.40	\$ -	\$ 4,652.40	\$ (2,652.40)	\$ 1,500.00	\$ (4,152.40)
2310.55800. Conference/Travel - BOE Office	\$ 300.00	\$ -	\$ -	\$ 300.00	\$ -	\$ -	\$ -	\$ 300.00	\$ -	\$ 300.00
2310.56100. Supplies - BOE Office	\$ 1,400.00	\$ -	\$ -	\$ 1,400.00	\$ 679.37	\$ -	\$ 679.37	\$ 720.63	\$ -	\$ 720.63
2310.58100. Dues & Fees - BOE Office	\$ 2,572.00	\$ -	\$ -	\$ 2,572.00	\$ -	\$ -	\$ -	\$ 2,572.00	\$ 2,572.00	\$ -
2310.58900. Graduation Costs - BOE Office	\$ 1,000.00	\$ -	\$ -	\$ 1,000.00	\$ 125.00	\$ 724.01	\$ 849.01	\$ 150.99	\$ 150.99	\$ -
2310.58990. Other Expenses	\$ 1,000.00	\$ -	\$ -	\$ 1,000.00	\$ -	\$ -	\$ -	\$ 1,000.00	\$ 1,000.00	\$ -
Total	\$ 86,609.00	\$ -	\$ 12,632.84	\$ 99,241.84	\$ 60,832.34	\$ 13,294.49	\$ 74,126.83	\$ 25,115.01	\$ 18,459.40	\$ 6,655.61
2320-Superintendents Office										
2320.51900. Wages Paid - Superintendent	\$ 77,950.00	\$ -	\$ -	\$ 77,950.00	\$ 49,038.54	\$ -	\$ 49,038.54	\$ 28,911.46	\$ 25,961.46	\$ 2,950.00
2320.51901. Wages Paid - Non-Certified - Supt Admin Office	\$ 12,635.00	\$ -	\$ 12,632.84	\$ 25,267.84	\$ 16,129.52	\$ -	\$ 16,129.52	\$ 9,138.32	\$ 9,220.33	\$ (82.01)
2320.52100. Group Life Insurance - Superintendent Office	\$ 134.00	\$ -	\$ -	\$ 134.00	\$ 10.63	\$ -	\$ 10.63	\$ 123.37	\$ 4.49	\$ 118.88
2320.52200. FICA/Medicare Employer - Superintendent	\$ 2,126.00	\$ -	\$ -	\$ 2,126.00	\$ 1,881.64	\$ -	\$ 1,881.64	\$ 244.36	\$ 1,081.80	\$ (837.44)
2320.52300. Pension Contributions - Superintendent's Office	\$ 506.00	\$ -	\$ -	\$ 506.00	\$ 660.88	\$ -	\$ 660.88	\$ (154.88)	\$ 349.86	\$ (504.74)
2320.52800. Health Insurance - Superintendent's Office	\$ 4,674.00	\$ -	\$ -	\$ 4,674.00	\$ 7,179.61	\$ -	\$ 7,179.61	\$ (2,505.61)	\$ 2,956.30	\$ (5,461.91)
2320.55800. Conference/Travel - Superintendent's Office	\$ 1,000.00	\$ -	\$ -	\$ 1,000.00	\$ -	\$ -	\$ -	\$ 1,000.00	\$ -	\$ 1,000.00
2320.56100. Supplies - Superintendent's Office	\$ 300.00	\$ -	\$ -	\$ 300.00	\$ -	\$ -	\$ -	\$ 300.00	\$ -	\$ 300.00
2320.58100. Dues & Fees - Superintendent's Office	\$ 3,541.00	\$ -	\$ -	\$ 3,541.00	\$ -	\$ -	\$ -	\$ 3,541.00	\$ 3,445.00	\$ 96.00
Total	\$ 102,866.00	\$ -	\$ 12,632.84	\$ 115,498.84	\$ 74,900.82	\$ -	\$ 74,900.82	\$ 40,598.02	\$ 43,019.24	\$ (2,421.22)
2400-School Administration Office										
2400.51900. Wages Paid - Principal	\$ 128,427.00	\$ -	\$ -	\$ 128,427.00	\$ 83,973.03	\$ -	\$ 83,973.03	\$ 44,453.97	\$ 44,453.32	\$ 0.65
2400.51901. Wages Paid - Non-Certified - School Administration	\$ 77,361.00	\$ -	\$ -	\$ 77,361.00	\$ 51,241.72	\$ -	\$ 51,241.72	\$ 26,119.28	\$ 31,128.58	\$ (5,009.30)
2400.52100. Group Life Insurance - School Administration Office	\$ 194.00	\$ -	\$ -	\$ 194.00	\$ 143.01	\$ -	\$ 143.01	\$ 50.99	\$ 51.03	\$ (0.04)
2400.52200. FICA/Medicare Employer - School Administration	\$ 7,781.00	\$ -	\$ -	\$ 7,781.00	\$ 5,069.48	\$ -	\$ 5,069.48	\$ 2,711.52	\$ 3,025.91	\$ (314.39)
2400.52300. Pension Contributions - School Admin Office	\$ 3,095.00	\$ -	\$ -	\$ 3,095.00	\$ -	\$ -	\$ -	\$ 3,095.00	\$ -	\$ 3,095.00
2400.52800. Health Insurance - School Administration Office	\$ 25,566.00	\$ -	\$ -	\$ 25,566.00	\$ 20,796.84	\$ -	\$ 20,796.84	\$ 4,769.16	\$ 6,932.25	\$ (2,163.09)
2400.53300. Other Prof/Tech Services	\$ 2,000.00	\$ -	\$ -	\$ 2,000.00	\$ -	\$ -	\$ -	\$ 2,000.00	\$ -	\$ 2,000.00
2400.55301. Postage	\$ 3,500.00	\$ -	\$ -	\$ 3,500.00	\$ 1,347.35	\$ -	\$ 1,347.35	\$ 2,152.65	\$ 1,076.33	\$ 1,076.33
2400.55800. Conference/Travel - School Administration Office	\$ 750.00	\$ -	\$ -	\$ 750.00	\$ -	\$ -	\$ -	\$ 750.00	\$ -	\$ 750.00
2400.56100. Supplies	\$ 2,500.00	\$ -	\$ -	\$ 2,500.00	\$ 455.91	\$ -	\$ 455.91	\$ 2,044.09	\$ 1,022.05	\$ 1,022.05
2400.58100. Dues & Fees - School Administration	\$ 952.00	\$ -	\$ -	\$ 952.00	\$ 956.00	\$ -	\$ 956.00	\$ (4.00)	\$ -	\$ (4.00)
Total	\$ 252,126.00	\$ -	\$ -	\$ 252,126.00	\$ 163,983.34	\$ -	\$ 163,983.34	\$ 88,142.66	\$ 87,689.46	\$ 453.20
2510-Business Office										

**BOE Budget v. Actual
2/28/2023**

	Approved Budget	Current Mo. Budget Trf	Prior YTD Budget Trfs	Revised Budget	Year to Date Actual	Encumbered/Ordered	Total Expenditures	Variance	Forecasted Expenditure	Forecasted Balance
2510.51901. Wages Paid - Non Certified - Business Office	\$ 104,919.00	\$ -	\$ -	\$ 104,919.00	\$ 68,570.13	\$ -	\$ 68,570.13	\$ 36,348.87	\$ 36,350.82	\$ (1.95)
2510.52100. Group Life Insurance - Business Office	\$ 72.00	\$ -	\$ -	\$ 72.00	\$ 53.59	\$ -	\$ 53.59	\$ 18.41	\$ 18.23	\$ 0.18
2510.52200. FICA/Medicare Employer - Business Office	\$ 8,027.00	\$ -	\$ -	\$ 8,027.00	\$ 5,178.74	\$ -	\$ 5,178.74	\$ 2,848.26	\$ 2,780.84	\$ 67.42
2510.52300. Pension Contributions - Business Office	\$ 4,834.00	\$ -	\$ -	\$ 4,834.00	\$ 3,159.96	\$ -	\$ 3,159.96	\$ 1,674.04	\$ 1,673.11	\$ 0.93
2510.52800. Health Insurance - Business Office	\$ 9,994.00	\$ -	\$ -	\$ 9,994.00	\$ 7,899.47	\$ -	\$ 7,899.47	\$ 2,094.53	\$ 2,764.87	\$ (670.34)
2510.53300. Other Prof/Tech Services - Business Office	\$ 15,000.00	\$ -	\$ -	\$ 15,000.00	\$ 8,757.16	\$ -	\$ 8,757.16	\$ 6,242.84	\$ 4,500.00	\$ 1,742.84
2510.53410. Audit/Accounting Services - Business Office	\$ 25,850.00	\$ -	\$ -	\$ 25,850.00	\$ 18,356.53	\$ -	\$ 18,356.53	\$ 7,493.47	\$ 7,000.00	\$ 493.47
2510.55800. Conference/Travel - Business Office	\$ 300.00	\$ -	\$ -	\$ 300.00	\$ -	\$ -	\$ -	\$ 300.00	\$ -	\$ 300.00
2510.56100. Supplies - Business Office	\$ 1,000.00	\$ -	\$ -	\$ 1,000.00	\$ 1,320.13	\$ 40.79	\$ 1,360.92	\$ (360.92)	\$ -	\$ (360.92)
Total	\$ 169,996.00	\$ -	\$ -	\$ 169,996.00	\$ 113,295.71	\$ 40.79	\$ 113,336.50	\$ 56,659.50	\$ 55,087.87	\$ 1,571.63
2600-Building & Grounds										
2600.51901. Wages Paid - Building Maintenance	\$ 114,615.00	\$ -	\$ -	\$ 114,615.00	\$ 70,714.87	\$ -	\$ 70,714.87	\$ 43,900.13	\$ 39,465.49	\$ 4,434.64
2600.52100. Group Life Insurance - Maintenance Department	\$ 189.00	\$ -	\$ -	\$ 189.00	\$ 78.75	\$ -	\$ 78.75	\$ 110.25	\$ 95.89	\$ 14.36
2600.52200. FICA/Medicare Employer - Maintenance	\$ 8,768.00	\$ -	\$ -	\$ 8,768.00	\$ 5,001.79	\$ -	\$ 5,001.79	\$ 3,766.21	\$ 3,019.11	\$ 747.10
2600.52300. Pension Contributions - Maintenance Office	\$ 4,585.00	\$ -	\$ -	\$ 4,585.00	\$ 1,935.68	\$ -	\$ 1,935.68	\$ 2,649.32	\$ 800.00	\$ 1,849.32
2600.52800. Health Insurance - Maintenance	\$ 39,949.00	\$ -	\$ -	\$ 39,949.00	\$ 46,659.51	\$ -	\$ 46,659.51	\$ (6,710.51)	\$ 15,553.20	\$ (22,263.71)
2600.54010. Purchased Property Services	\$ 30,081.00	\$ -	\$ -	\$ 30,081.00	\$ 29,601.96	\$ 14,944.98	\$ 44,546.94	\$ (14,465.94)	\$ 10,000.00	\$ (24,465.94)
2600.54101. Rubbish Removal	\$ 8,700.00	\$ -	\$ -	\$ 8,700.00	\$ 6,238.65	\$ 2,813.60	\$ 9,052.25	\$ (352.25)	\$ -	\$ (352.25)
2600.54300. Equipment Repairs & Maint	\$ 5,000.00	\$ -	\$ -	\$ 5,000.00	\$ 1,846.50	\$ -	\$ 1,846.50	\$ 3,153.50	\$ 3,153.50	\$ -
2600.54301. Building Repairs & Maint	\$ 5,000.00	\$ -	\$ -	\$ 5,000.00	\$ 10,359.74	\$ -	\$ 10,359.74	\$ (5,359.74)	\$ -	\$ (5,359.74)
2600.54411. Water	\$ 3,000.00	\$ -	\$ -	\$ 3,000.00	\$ 1,166.26	\$ 1,500.00	\$ 2,666.26	\$ 333.74	\$ -	\$ 333.74
2600.54412. Sewer	\$ 2,000.00	\$ -	\$ -	\$ 2,000.00	\$ 780.90	\$ 1,000.00	\$ 1,780.90	\$ 219.10	\$ -	\$ 219.10
2600.55300. Communications - Telephone & Internet	\$ 12,000.00	\$ -	\$ -	\$ 12,000.00	\$ 7,546.76	\$ 3,200.00	\$ 10,746.76	\$ 1,253.24	\$ -	\$ 1,253.24
2600.55800. Conference/Travel - Building Maintenance	\$ 100.00	\$ -	\$ -	\$ 100.00	\$ -	\$ -	\$ -	\$ 100.00	\$ -	\$ 100.00
2600.56100. General Supplies - Maintenance Department	\$ 16,000.00	\$ -	\$ -	\$ 16,000.00	\$ 5,779.84	\$ -	\$ 5,779.84	\$ 10,220.16	\$ 10,220.16	\$ -
2600.56220. Electricity	\$ 60,000.00	\$ -	\$ -	\$ 60,000.00	\$ 40,022.89	\$ 23,382.96	\$ 63,405.85	\$ (3,405.85)	\$ 10,000.00	\$ (13,405.85)
2600.56230. Liquid Propane	\$ 12,000.00	\$ -	\$ -	\$ 12,000.00	\$ 8,703.11	\$ 3,296.89	\$ 12,000.00	\$ -	\$ -	\$ -
2600.56240. Heating Oil	\$ 23,400.00	\$ -	\$ -	\$ 23,400.00	\$ 26,847.31	\$ 4,115.03	\$ 30,962.34	\$ (7,562.34)	\$ 10,500.00	\$ (18,062.34)
2600.56260. Gasoline	\$ 600.00	\$ -	\$ -	\$ 600.00	\$ 383.39	\$ -	\$ 383.39	\$ 216.61	\$ 216.61	\$ -
2600.57300. Equipment	\$ 1,000.00	\$ -	\$ -	\$ 1,000.00	\$ -	\$ -	\$ -	\$ 1,000.00	\$ -	\$ 1,000.00
2600.57330. Furniture & Fixtures	\$ -	\$ -	\$ -	\$ -	\$ 0.00	\$ 0.00	\$ -	\$ -	\$ -	\$ -
Total	\$ 346,987.00	\$ -	\$ -	\$ 346,987.00	\$ 263,667.91	\$ 54,253.46	\$ 317,921.37	\$ 29,065.63	\$ 103,023.96	\$ (73,958.33)
2700-Student Transportation										
2700.55100. Contracted Pupil Transp Reg	\$ 372,605.00	\$ -	\$ -	\$ 372,605.00	\$ 185,215.00	\$ 173,504.04	\$ 358,719.04	\$ 13,885.96	\$ 5,000.00	\$ 8,885.96
2700.55108. Contracted Pupil Transp Spec Ed HS	\$ 58,500.00	\$ -	\$ -	\$ 58,500.00	\$ 128,430.00	\$ 36,170.00	\$ 164,600.00	\$ (106,100.00)	\$ -	\$ (106,100.00)
2700.55109. Contracted Pupil Transp Spec Ed Elem\Summer School	\$ 49,317.00	\$ -	\$ -	\$ 49,317.00	\$ 91,740.00	\$ 3,570.00	\$ 95,310.00	\$ (45,993.00)	\$ -	\$ (45,993.00)
2700.55150. Contracted Pupil Transp Athletics/Ext Curr	\$ 8,775.00	\$ -	\$ -	\$ 8,775.00	\$ 4,441.88	\$ -	\$ 4,441.88	\$ 4,333.12	\$ 2,500.00	\$ 1,833.12
2700.55151. Contracted Pupil Transp Field Trips	\$ 2,500.00	\$ -	\$ -	\$ 2,500.00	\$ -	\$ -	\$ -	\$ 2,500.00	\$ -	\$ 2,500.00
2700.56260. Gasoline	\$ 35,000.00	\$ -	\$ -	\$ 35,000.00	\$ 28,280.12	\$ 7,319.88	\$ 35,600.00	\$ (600.00)	\$ 18,000.00	\$ (18,600.00)
Total	\$ 526,697.00	\$ -	\$ -	\$ 526,697.00	\$ 438,107.00	\$ 220,563.92	\$ 658,670.92	\$ (131,973.92)	\$ 25,500.00	\$ (157,473.92)
6000-HS Tuition										
6000.000100.55610. Tuition - HS Regular Ed - public schools	\$ 1,053,516.00	\$ -	\$ -	\$ 1,053,516.00	\$ 827,843.00	\$ 253,499.00	\$ 1,081,342.00	\$ (27,826.00)	\$ -	\$ (27,826.00)
6000.000200.55610. Tuition - HS Special Ed - public schools	\$ 659,932.00	\$ -	\$ -	\$ 659,932.00	\$ 470,578.55	\$ 214,448.33	\$ 685,026.88	\$ (25,094.88)	\$ (53,412.71)	\$ 28,317.83
6000.000200.55630. Tuition - HS Special Ed - private schools	\$ 238,105.00	\$ -	\$ -	\$ 238,105.00	\$ 241,183.00	\$ 77,561.00	\$ 318,744.00	\$ (80,639.00)	\$ (56,162.00)	\$ (24,477.00)
Total	\$ 1,951,553.00	\$ -	\$ -	\$ 1,951,553.00	\$ 1,539,604.55	\$ 545,508.33	\$ 2,085,112.88	\$ (133,559.88)	\$ (109,574.71)	\$ (23,985.17)
6100-Elementary Tuition										
6100.55631. Tuition - Elem Special Ed - private schools	\$ 159,169.00	\$ -	\$ -	\$ 159,169.00	\$ 107,867.85	\$ 161,787.55	\$ 269,655.40	\$ (110,486.40)	\$ (18,374.58)	\$ (92,111.82)
6100.000100.55660. Tuition - Elem Magnet Schools	\$ 52,552.00	\$ -	\$ -	\$ 52,552.00	\$ 44,026.00	\$ -	\$ 44,026.00	\$ 8,526.00	\$ -	\$ 8,526.00
6100.000200.55660. Tuition - Elem Magnet Schools - Special Ed	\$ 113,340.00	\$ -	\$ -	\$ 113,340.00	\$ 111,265.50	\$ 40,074.00	\$ 151,339.50	\$ (37,999.50)	\$ (5,347.80)	\$ (32,651.70)
Total	\$ 325,061.00	\$ -	\$ -	\$ 325,061.00	\$ 263,159.35	\$ 201,861.55	\$ 465,020.90	\$ (139,959.90)	\$ (23,722.38)	\$ (116,237.52)
Total Expenditures	\$ 6,895,693.00	\$ -	\$ -	\$ 6,895,693.00	\$ 4,579,671.06	\$ 1,135,561.67	\$ 5,714,466.79	\$ 1,180,460.27	\$ 1,101,746.77	\$ 78,713.50