



Clean Slate Project (P.A. 21-32/33)

IT Capital Investment Program Funding Request

June 28, 2022



Agenda

- **Introductions**
- **Clean Slate Project Summary (Public Act 21-32)**
- **IT Capital Investment Request**
- **Project Stakeholders and Management Approach**
- **Key Benefits**
- **Key Risks**
- **Project Key Deliverables and Schedule**
- **Project Cost Summary**



Clean Slate Project Summary



General Assembly

January Session, 2021

Raised Bill No. 1019

LCO No. 4387

- Clean Slate (P.A. 21-32/33) - Erasure of criminal justice records, initiated by operation of law or by individual petition – based on statutory criteria.
- Clean Slate IT Initiative: Automated Erasure based on statute criteria is the focus of the Clean Slate Information Technology Initiative.
- DESPP/CCH – Collaborative processing via CISS Workflow and agency integration to distribute Clean Slate Notification Messages to support Automatic Record Updates.
- Multi-Agency Collaboration: CJIS-CT, Judicial, DOC, DESPP, DCJ: Coordinating Sentence Completion Aggregation - Probation, Fines, Incarceration Discharge Notices
- CJIS-CT Connecticut Information Sharing System digital workflows proven technology for messaging software and network endpoint connectivity



IT Capital Investment Request

<u>Agency</u>	<u>IT Capital Investment Request</u>
CJIS-CT	\$922,876
DESPP	\$2,205,656
JUDICIAL	\$250,740
DOC	\$335,000
DCJ	\$100,000
Totals	\$ 3,814,272
Note: DESPP and Judicial have carry forward funds of \$1.150M	



Clean Slate Project Stakeholders and Management Approach

- **Lead Agency:** CJIS-CT Governing Board
- **Stakeholders:**
 1. DESPP
 2. DOC
 3. Judicial
 4. DCJ
 5. DAS-BITS
 6. Other Criminal Justice Systems
- **Management approach:**
 - **Critical chain project management (CCPM):** This methodology focuses on the resources needed for each task in the project by identifying and allocating resources for the most crucial, high-priority tasks, the “critical chain”, and build in buffers of time around these tasks to ensure the project’s main deadlines are met.
- **Conflict resolution:**
 - Use of established governance committees successfully used for the CISS project
- **Risk and Issues management:**
 - Risk log updated bi-weekly with triggers and mitigations



Clean Slate Key Benefits

- **Complies with Automated Erasure Public Acts**
- **Automates erasure of criminal records, based on legislative criteria.**
- **Can remedy the racial imbalances of the criminal justice system.**
- **Improves access to jobs, housing, and higher education. Recidivism rates can be reduced as a result.**
- **Studies have estimated boosts to the economy through job opportunities and expansion of the state's economic growth.**

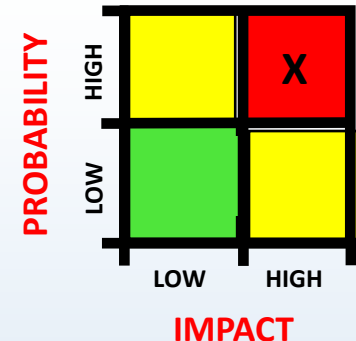


Clean Slate Key Project Risks & Mitigation

#1 January 1, 2023 Effective date for PA 21-32/33 yields an absolute project go-live date with commensurate intermediate milestone dates. Slippage of any critical path project deliverable jeopardizes meeting the legislatively imposed deadline.

Impact: Potential legal and certain public relations negative ramifications will ensue. Tens of thousands of individual citizens may seek redress for state agency non-compliance with statute.

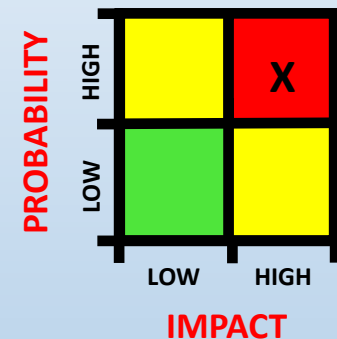
Mitigation and Contingency: CJIS-CT State Partner Agencies have requested a change in the Legislation language for Clean Slate to allow flexibility in the delivery timing. Additionally, the scope prioritizes the automation of qualified records for a faster delivery by 1/1/23 and then the manual erasures to follow.



#2 Lack of agency business and IT project personnel availability for required start date and project duration.

Impact: Critical path milestone delays will inevitably lead to overall project delay and late delivery of clean slate production.

Mitigation and Contingency: The solution should prioritize the automation components first to deliver a solution as close to the January date as possible. Second, focus on the more complex cases that could not be automated initially and run parallel efforts.





Clean Slate Key Deliverables

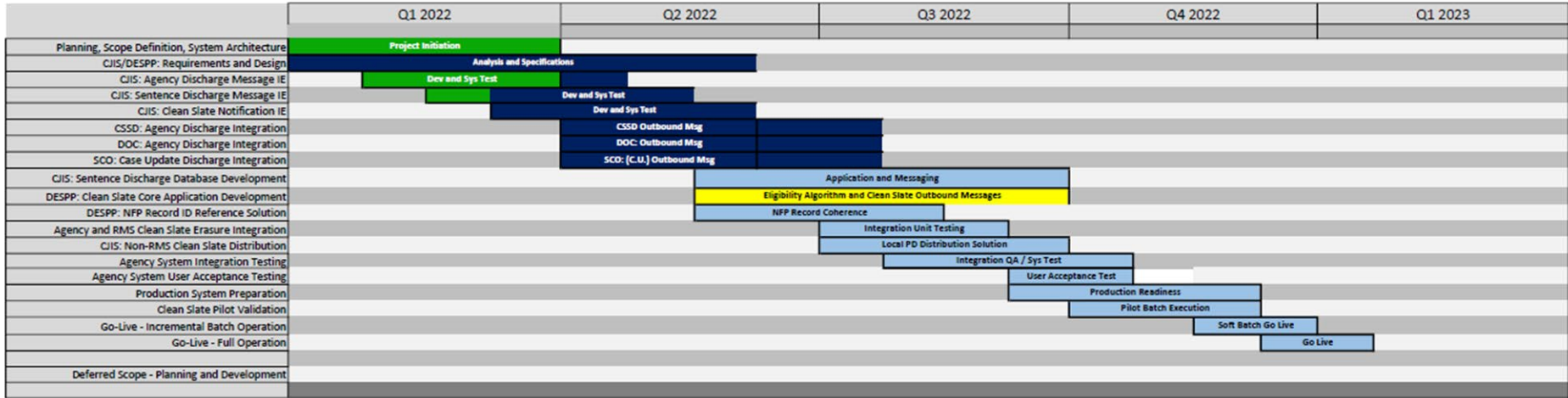
- Approved scope of work and requirements
- Infrastructure build, test, and deployed in a production environment
- Code completion, integration with the Judicial Branch, the Department of Correction, and the Department of Emergency Services and Public Protection, the Division of Criminal Justice and deployed in a production environment
- Legislated criteria code for automated process in production
- Manual analysis – non-automatic erasure process for those indeterminable cases due to data deficiencies
- Operation support including reports and utilities identified by partner agencies
- Budgets for each agency in place for steady state operational support



Clean Slate Project Schedule

Clean Slate Development Schedule

6/10/2022



Note: Given the timing for the funding from the IT Capital Investment Fund that is likely to be available early August 2022, the resources to hire technical staff and fund a purchase order for Idemia to start work for Clean Slate will not be available in time to stay on schedule.

Key Milestones:

1. Planning, Scope Definition, Requirements and Architecture
2. CJIS-CT Clean Slate Notification Message(s) Information Exchange
3. Judicial Branch Agency Discharge and Case Update Integration
4. DOC Agency Discharge Integration
5. DESPP Clean Slate Core Application Development
6. Agency and RMS Clean Slate Erasure Integration
7. Go Live – Full Operation



Clean Slate Project Cost Summary

<u>Agency</u>	<u>IT Capital Investment Request</u>	<u>Operating Request</u>
CJIS-CT	\$922,876	\$184,000
DESPP	\$2,205,656	\$841,272
JUDICIAL	\$250,740	\$840,570
DOC	\$335,000	\$655,000
DCJ	\$100,000	0
Totals	\$ 3,814,272	\$ 2,520,842
Total for All Funding Sources		\$ 6,335,114
Note: DESPP and Judicial have carry forward funds of \$1.150M		



CJIS Governing Board

