

## Office of the Treasurer

## Procurement Plan for FY 2021-2023

Program Name /Service Type	Contract Type	Provider/Supplier	Is Procurement or Reprocurement Competitive?	Anticipated Year for Competitive Bid (if applicable)	Estimated Annual Contract Amount
Financial Advisory Services	PSA	Acacia Financial Group	Y	2023	110,000.00
Investment Manager	PSA	AllianceBernstein, LP	Y	2021	1,139,984.03
Investment Manager	PSA	American Realty Advisors	Y	2022	1,132,529.29
Arbitrage Rebate Services	PSA	AMTEC	Y	2024	250,000.00
Investment Manager	PSA	ARGA Investment Management LP	Y	2024	1,695,312.00
Investment Manager	PSA	Ashmore Investment Mgt. Ltd.	Y	2021	2,571,250.33
Banking Services Multiple	PSA	BANK OF AMERICA NA	Y	2021	1,700,000.00
Custodian	PSA	Bank of New York Mellon	Y	2023	4,180,566.00
Investment Manager	PSA	Bivium	Y	2021	3,081,981.84
Investment Manager	PSA	Capital Prospects	Y	2020	2,287,260.76
Second Injury Fund	PSA	Careworks Managed Care Services/MCMC	Y	2023	25,000.00
Second Injury Fund	PSA	Careworks Managed Care Services/MCMC	Y	2023	24,000.00
Second Injury Fund	PSA	Careworks Managed Care Services/MCMC	Y	2023	20,000.00
Investment Manager	PSA	Columbia Management Investment Advisers, LLC	Y	2022	1,388,152.93
Second Injury Fund	PSA	Coventry Workers Compensation Services	N		75,000.00
Bond Counsel Services	PSA	Day Pitney LLP	Y	2021	1,250,000.00

Program Name /Service Type	Contract Type	Provider/Supplier	Is Procurement or Reprocurement Competitive?	Anticipated Year for Competitive Bid (if applicable)	Estimated Annual Contract Amount
Investment Manager	PSA	DDJ Capital Management, LLC	Y	2021	1,337,295.37
Bond Counsel Services	PSA	Hardwick Law Firm	Y	2021	675,000.00
Investment Manager	PSA	Hart Realty Advisors	Y	2021	1,844,304.97
Bond Counsel Services	PSA	Hawkins Delafield & Wood LLP	Y	2021	500,000.00
Arbitrage Rebate Services	PSA	Hawkins Delafield & Wood LLP	Y	2024	20,000.00
Financial Advisory Services	PSA	Hilltop Securities	Y	2023	400,000.00
Banking Services/ Debit Card Services	PSA	KEYBANK NATIONAL ASSOCIATION	Y	2022	90,000.00
Bond Counsel Services	PSA	Law Offices Joseph C Reid PC	Y	2021	125,000.00
Investment Manager	PSA	Longfellow Investment Management Company	Y	2025	340,794.18
Acct & Auditing Services STF	PSA	Mahoney Sabol and Company	Y	2021	100,000.00
Investment Consultant	PSA	Meketa	Y	2022	663,333.00
Investment Consultant	PSA	NEPC	Y	2023	421,140.00
Investment Manager	PSA	Nomura Corporation Research & Asset Management, Inc.	Y	2022	1,847,032.02
Arbitrage Rebate Services	PSA	Orrick Herrington & Sutcliffe LLP	Y	2024	76,000.00
Investment Manager	PSA	Payden & Rygel	Y	2021	2,587,892.81
Banking Services/ lockbox/ Depository	PSA	PEOPLE'S UNITED BANK	Y	2023	600,000.00
Financial Advisory Services	PSA	PFM Financial Advisors	Y	2023	1,150,000.00

<b>Program Name /Service Type</b>	<b>Contract Type</b>	<b>Provider/Supplier</b>	<b>Is Procurement or Reprocurement Competitive?</b>	<b>Anticipated Year for Competitive Bid (if applicable)</b>	<b>Estimated Annual Contract Amount</b>
Investment Manager	PSA	Pugh Capital Management	Y	2025	25,000,000.00
Bond Counsel Services	PSA	Pullman & Comley LLC	Y	2021	750,000.00
Bond Counsel Services	PSA	Robinson & Cole	Y	2021	625,000.00
Second Injury Fund	PSA	Security Services of Connecticut Inc	Y	2021	7,700.00
Acct & Auditing Services STF	PSA	Seward and Monde CPA	Y	2021	50,000.00
Investment Manager	PSA	Shenkman Capital Management, Inc.	Y	2021	949,040.31
Bond Counsel Services	PSA	Shipman & Goodwin	Y	2021	375,000.00
Bond Counsel Services	PSA	Soeder & Associates LLC	Y	2021	375,000.00
Bond Counsel Services	PSA	Squire Patton Boggs LLP	Y	2021	375,000.00
Investment Manager	PSA	State Street Bank and Trust	Y	2021	1,000,000.00
Paying Agent Services	PSA	US Bank National Association	N		250,000.00
Investment Manager	PSA	Yucaipa American Alliance Fund II LP	Y	2023	82,958.00