



STATE OF CONNECTICUT

OFFICE OF POLICY AND MANAGEMENT

May 20, 2021

The Honorable Kevin Lembo
 State Comptroller
 165 Capitol Avenue
 Hartford, Connecticut 06106

Dear Comptroller Lembo:

Section 4-66 of the General Statutes requires that my office provide information on the state's General Fund for Fiscal Year 2021. An analysis of the Special Transportation Fund is also provided here due to the significance of this fund.

	FY 2021 Projection			Change in Estimate - May vs. Apr.	May Est. Variance from Budget
	Budget (as Revised Dec. 2019)	Revised Apr. Estimate	(in millions) May Estimate		
<u>General Fund</u>					
Revenues	\$ 20,252.5	\$ 19,877.5	\$ 20,053.7	\$ 176.2	\$ (198.8)
Expenditures	<u>20,086.3</u>	<u>19,627.7</u>	<u>19,583.2</u>	<u>(44.5)</u>	<u>(503.1)</u>
Operating Results - Surplus/(Deficit)	\$ 166.2	\$ 249.8	\$ 470.5	\$ 220.7	\$ 304.3
<u>Budget Reserve Fund</u>					
Deposit / (Withdrawal)	\$ 467.7	\$ 893.3	\$ 1,414.0 ¹	\$ 520.7	\$ 946.3
Proj. Balance 6/30	\$ 3,542.3	\$ 3,967.9	\$ 4,488.5	\$ 520.7	\$ 946.3
<u>Special Transportation Fund</u>					
Revenues	\$ 1,880.8	\$ 1,711.5	\$ 1,715.4	\$ 3.9	\$ (165.4)
Expenditures	<u>1,816.3</u>	<u>1,735.5</u>	<u>1,709.9</u>	<u>(25.6)</u>	<u>(106.4)</u>
Operating Results - Surplus/(Deficit)	\$ 64.5	\$ (24.0)	\$ 5.5	\$ 29.5	\$ (59.0)
Proj. Fund Balance 6/30	\$ 423.4	\$ 144.4	\$ 173.9	\$ 29.5	\$ (249.5)
<u>Tourism Fund</u>					
Revenues	\$ 14.2	\$ 6.2	\$ 6.2	\$ -	\$ (8.0)
Expenditures	<u>13.1</u>	<u>13.1</u>	<u>13.1</u>	<u>-</u>	<u>-</u>
Operating Results - Surplus/(Deficit)	\$ 1.1	\$ (6.9)	\$ (6.9)	\$ -	\$ (8.0)
Proj. Fund Balance 6/30	\$ (0.1)	\$ (9.8)	\$ (9.8)	\$ -	\$ (9.7)
Notes:					
1. BRF deposit includes the transfer out of \$61.62 million pursuant to Sec. 4-30a, CGS, as the FY 2020 ending balance exceeded the statutory 15% cap. This sum was deposited as an additional contribution to the State Employees Retirement Fund.					

General Fund

The adopted FY 2021 budget anticipates a \$166.2 million balance at year end. We are projecting an operating surplus of \$470.5 million, an improvement of \$220.7 million from last month due to revised revenue and expenditure trends. The projected surplus represents 2.3 percent of the General Fund.

Our estimates include anticipated state costs for the state's current pandemic response. Attachments to this letter outline specific measures approved to date as part of that response.

Our forecast of the Budget Reserve Fund (BRF) balance at year end is depicted below. After transferring \$61.6 million to the State Employees' Retirement System, the state's reserves stood at \$3,012.9 million to start FY 2021. The projected Budget Reserve Fund balance at the end of the fiscal year, after transfers pursuant to the statutory volatility cap and the estimated FY 2021 operating surplus, is expected to reach nearly \$4.5 billion, or 22.3 percent of current net General Fund appropriations for the current year. Given that this balance is expected to exceed the statutory 15 percent cap for the Budget Reserve Fund, additional transfers to the State Employees Retirement Fund and/or the Teachers' Retirement Fund would be made as part of the closing process for FY 2021.

Budget Reserve Fund	
	(in millions)
Estimated BRF Ending Balance - FY 2020 (CAFR 2/19/21)	\$ 3,074.6
Deposit to SERS pursuant to Sec. 4-30a, C.G.S. (CAFR 2/19/21)	\$ (61.6)
Projected Operating Surplus - FY 2021 (OPM 05/20/21 Est.)	\$ 470.5
Volatility Cap Deposit - FY 2021 (OPM 05/20/21 Est.)	<u>\$ 1,005.1</u>
Estimated BRF Ending Balance - FY 2021	\$ 4,488.5

Revenues

Estimated revenues have been revised upward this month by \$176.2 million. The largest change is in the Estimates and Finals component of the Personal Income Tax, up \$300.0 million as a result of robust returns through the month of May, likely tied to strong market performance over the past year. The Withholding component of the Personal Income Tax is being increased by \$50.0 million as revenue collections continue to exceed expectations. The Corporation Tax has also been increased by \$50.0 million after strong final payments in the months of April and May, outpacing current targets. The Sales and Use Tax has been revised upward by \$41.0 million, as continued consumer confidence appears to be driving elevated levels of spending. The Inheritance and Estate Tax is being adjusted upward by \$25.0 million, attributable to increased collections through the month of May. Given the projected increase in Estimates and Finals collections noted above, the transfer to the Budget Reserve Fund pursuant to the volatility cap will increase by \$300.0 million to a total of \$1,005.1 million. All other revenue changes net to \$10.2 million.

Expenditures

We are projecting that FY 2021 net expenditures will be below the amended budget plan by \$503.1 million, as explained further below.

Deficiencies. Projected shortfalls totaling \$169.1 million are forecast in the following agencies:

- Department of Economic and Community Development. A \$10.5 million shortfall is anticipated in the Capital Region Development Authority account, after the transfer approved January 7th by the Finance Advisory Committee. The remaining shortfall is due to pandemic-related building use restrictions and event cancellations that have impacted and are expected to continue to impact

attendance and associated revenues at the Pratt and Whitney Stadium at Rentschler Field, the XL Center and the CT Convention Center. In addition, the shortfall reflects the payment of \$2.2 million in outstanding event public safety services provided by the City of Hartford for the XL Center.

- Office of the Chief Medical Examiner. A \$485,000 deficiency is estimated in Personal Services. This represents the annualized impact of the shortfall experienced in FY 2020.
- University of Connecticut Health Center. A shortfall of at least \$50.0 million is forecast. The FY 2020 budget included a fringe benefit subsidy of \$33.2 million to assist with stabilizing the Health Center's finances, but no subsidy was included in the FY 2021 budget plan. While deficiencies at higher education institutions do not have a direct impact on the General Fund, the magnitude and recurring nature of the Health Center's deficiencies may put additional pressure on state resources in FY 2021.
- Department of Correction. A net deficiency of \$3.0 million is projected. A shortfall of \$2.0 million is forecast in Personal Services due to increased staff overtime, influenced in part by the impact of COVID-19 on operations. Other Expenses is projected to be short by \$500,000 due to facility operating and maintenance costs. Additionally, a \$1.0 million shortfall is forecast in the Workers' Compensation Claims account, reflective of claim trends. These are offset by a \$500,000 lapse in the Board of Pardons and Paroles account due to vacancies.
- State Comptroller – Fringe Benefits. A total shortfall of \$50.1 million is anticipated. Of this amount, \$41.0 million is due to revised contribution requirements for the State Employees' Retirement System resulting from the June 30, 2019 valuation of the fund. A \$3.4 million shortfall is anticipated in the Judges Retirement System, also reflective of the June 30, 2019, valuation for that system. In addition, we anticipate shortfalls of \$1.8 million in the Employers Social Security Tax account, \$26.0 million in the State Employees Health Service Cost account, and \$2.0 million in the SERS Defined Contribution Match account. Partially offsetting these shortfalls are projected lapses of \$13.5 million in the Higher Education Alternative Retirement System account, \$8.5 million in the Retired State Employees Health Service Cost account, \$1.8 million in the Other Post Employment Benefits account, and \$290,000 across several other accounts.
- State Comptroller – Miscellaneous. We estimate \$30.0 million in expenditures for Adjudicated Claims, which are paid from the resources of the General Fund. No appropriation was made in the enacted budget for payment of these claims.
- Additional COVID Testing Requirements. It is anticipated that \$25.0 million of General Fund resources may be needed to support projected costs of COVID-19 testing. Testing costs are paid through several agencies depending on the population being tested, including the departments of Public Health and Social Services as well as the Office of the State Comptroller.

Lapses. The following sums totaling \$646.9 million beyond programmed lapses are estimated to remain unspent this fiscal year:

- Office of Legislative Management. A total of \$6.0 million is projected to lapse, with \$5.0 million in Personal Services and \$1.0 million in Other Expenses.
- Commission on Women, Children, Seniors, Equity & Opportunity. \$200,000 is projected to lapse.
- Secretary of the State. A \$230,000 lapse is projected in Personal Services due to vacancies.
- State Treasurer- Debt Service. A total lapse of \$69.3 million is forecast, with \$47.9 million associated with the timing of FY 2021 bond sales and revised estimates of the cost and interest rates for FY 2021 sales, and \$21.4 million adjustment in the UConn debt service account associated with moving the spring FY 2020 bond sale to the fall of FY 2021.
- Elections Enforcement Commission. \$220,000 is projected to lapse.
- Office of the State Comptroller. A \$750,000 lapse is projected in Personal Services due to vacancies.
- Department of Revenue Services. Personal Services will lapse \$1.2 million due to vacancies.
- Department of Veterans Affairs. A \$500,000 lapse is projected in Personal Services due to vacancies.

- Department of Administrative Services. Personal Services will lapse \$2.5 million due to vacancies.
- Workers' Compensation Claims – Department of Administrative Services. A \$625,000 lapse is forecast.
- Office of the Attorney General. \$350,000 is estimated to lapse in Personal Services due to vacancies.
- Department of Consumer Protection. \$500,000 is estimated to lapse in Personal Services due to vacancies.
- Department of Labor. A net total of \$2.44 million will lapse in a variety of accounts, including \$1.5 million in the Jobs First Employment Services account due to fewer referrals, and \$300,000 in the Workforce Training Authority account as the Authority has not yet been formed.
- Commission on Human Rights and Opportunities. \$125,000 will lapse in Personal Services due to turnover savings.
- Department of Agriculture. \$100,000 is anticipated to lapse in Other Expenses due to current cost trends.
- Department of Housing. A \$3.5 million lapse is forecast in the Housing/Homeless Services account due to fewer transitions than budgeted in the Money Follows the Person program and a delay in the CHES program.
- Department of Public Health. A net \$1.97 million will lapse, largely in Personal Services due to vacancies.
- Department of Developmental Services. A total of \$15.4 million will lapse. Personal Services will lapse \$6.0 million due to vacancies. A \$6.5 million lapse is anticipated in the Behavioral Services Program as more individuals are served in their own homes rather than in residential settings, \$1.0 million in the Emergency Placements account, \$1.0 million in Workers' Compensation Claims due to current trends, and \$900,000 across several other accounts.
- Department of Mental Health and Addiction Services. A net lapse of \$200,000 is anticipated in Personal Services due to vacancies.
- Department of Social Services. A total of \$439.7 million is projected to lapse. This is primarily the result of an estimated \$400.0 million lapse in the Medicaid account due to the extension of the public health emergency declaration by the federal government, which maintains the enhanced level of federal reimbursement through the end of the year, thus reducing the state share of program costs, as well as lower levels of service utilization. Reduced caseloads will result in lapses of \$14.8 million in Temporary Family Assistance, \$7.2 million in Aid to the Disabled, \$5.7 million in the Connecticut Home Care Program, \$4.4 million in Old Age Assistance and \$2.3 million in State Administered General Assistance. Reduced caseloads and service utilization, coupled with the extension of enhanced federal reimbursement through the end of the year, are expected to result in a \$3.0 million lapse in the HUSKY B account. Personal Services is estimated to lapse \$900,000 due to vacancies. All other lapses total \$1.4 million.
- Department of Aging and Disability Services. A total lapse of \$1.15 million is projected across a variety of accounts due to cost trends.
- Department of Education. A net total of \$20.8 million is projected to lapse. The Charter School account is projected to lapse \$4.7 million due to the closure of two charter schools and budgeted funding exceeding the number of approved charter school slots. A lapse of \$1.26 million is anticipated in the Bilingual Education account pursuant to section 10-17g of the General Statutes, as the budget included funds for several programs that are no longer in operation. In addition, lapses of \$2.7 million in the Open Choice Program account and \$13.0 million in the Magnet Schools account are projected based on current enrollment trends. Both accounts lapsed funding in FY 2020. Lastly, \$500,000 is projected to lapse in Personal Services due to vacancies. Offsetting these lapses is a net \$1.4 million shortfall in the CTEC System accounts.
- Office of Early Childhood. A total of \$7.4 million is projected to remain unspent. \$6.1 million will lapse in the Early Care and Education account due to natural turnover in enrollment that impacts funding

requirements, as well as the availability of federal funding that will reduce state expenditures. The Birth to Three account will lapse \$750,000 due to reduced service utilization, Child Care Quality Enhancements will lapse \$400,000, and Personal Services will lapse \$100,000 as a result of turnover savings.

- Office of Higher Education. A total of \$238,000 will lapse, with \$138,000 in Personal Services and \$100,000 in Other Expenses.
- Teachers' Retirement Board. A total of \$4.3 million is projected to lapse. A \$3.6 million lapse is forecast in the Retiree Health Service Cost account due to health premiums that are lower than assumed in the adopted budget, as well as a \$460,000 lapse in the Municipal Retiree Health Insurance Costs account due to a decrease in the number of retired teachers eligible for the municipal subsidy. Personal Services and Other Expenses are anticipated to lapse a total of \$240,000.
- Department of Children and Families. A net lapse of \$53.1 million is anticipated across a variety of accounts due primarily to current caseload trends and reduced overtime expenditures.
- Judicial Department. An overall lapse of \$9.1 million is projected. Personal Services is projected to lapse \$9.9 million and Other Expenses will lapse \$314,000, offset by a \$1.15 million shortfall in Workers' Compensation Claims.
- Public Defender Services Commission. A total lapse of \$5.0 million is projected across several accounts due to current cost trends.

Special Transportation Fund

The adopted budget anticipates a \$64.5 million balance from operations. We estimate that the Special Transportation Fund will end the year with a \$5.5 million operating surplus, and that the Transportation Fund balance on June 30, 2021, will be \$173.9 million.

Revenues

Estimated revenues have been revised upward by \$3.9 million. The only change is in Sales and Use Tax revenues that are directed to the Special Transportation Fund, with this source revised upward similar to the revision in the General Fund.

Expenditures

Our expenditure estimate has improved by \$25.6 million from last month's forecast. In aggregate, expenditures are projected to be \$106.4 million better than budgeted.

Lapses. The following lapses are projected, and will more than satisfy the \$12.0 million general lapse anticipated in the enacted budget:

- State Treasurer – Debt Service. We estimate a lapse of \$94.0 million in debt service savings attributable to reduced interest costs and delayed timing of FY 2020 and FY 2021 bond sales.
- State Comptroller – Fringe Benefits. \$1.9 million is projected to lapse in the State Employees Health Service Cost account due to current expenditure trends.
- DAS – Workers' Compensation Claims. \$1.0 million will lapse due to current claim trends.
- Department of Motor Vehicles. \$5.0 million will lapse in the Personal Services account due to vacancies.
- Department of Energy and Environmental Protection. \$100,000 is projected to lapse in Personal Services due to vacancies.
- Department of Transportation. A total of \$17.5 million will lapse, with \$8.9 million in Personal Services due to vacancies, and \$8.6 million in the ADA Para-transit Program due to utilization that has been impacted by the public health emergency.

Deficiencies. Offsetting the positive variances noted above is the following shortfall:

- Department of Administrative Services. The State Insurance and Risk Management account is projected to have a shortfall of \$1.1 million due to unanticipated increased premiums for the CT Bus liability renewal, the CT Rail liability policy, and a few large bus claim settlements.

Other Appropriated Funds

While Sec. 4-66, CGS, does not require that we provide analyses of other appropriated funds, we offer the following information about the status of the Regional Market Operation Fund and the Tourism Fund.

- Regional Market Operation Fund. Pursuant to Section 10 of Public Act 18-154, the Hartford Regional Market was conveyed to the Capital Region Development Authority and CRDA has assumed operation of that market. As a result, appropriations totaling \$1.1 million will go unspent.
- Tourism Fund. The fund's revenue source is the Hotel Occupancy Tax, which has underperformed as a result of the pandemic's impact on the hospitality industry. As a result, expenditures from the fund are estimated to exceed available revenues by approximately \$6.9 million. When added to the negative fund balance of \$2.9 million carried over from FY 2020, we anticipate the Tourism Fund will end FY 2021 with a \$9.8 million negative fund balance.

As the year progresses, the estimates offered by my office will continue to be revised to reflect the impact of changes in the economy, expenditure patterns, and/or other factors.

Sincerely,

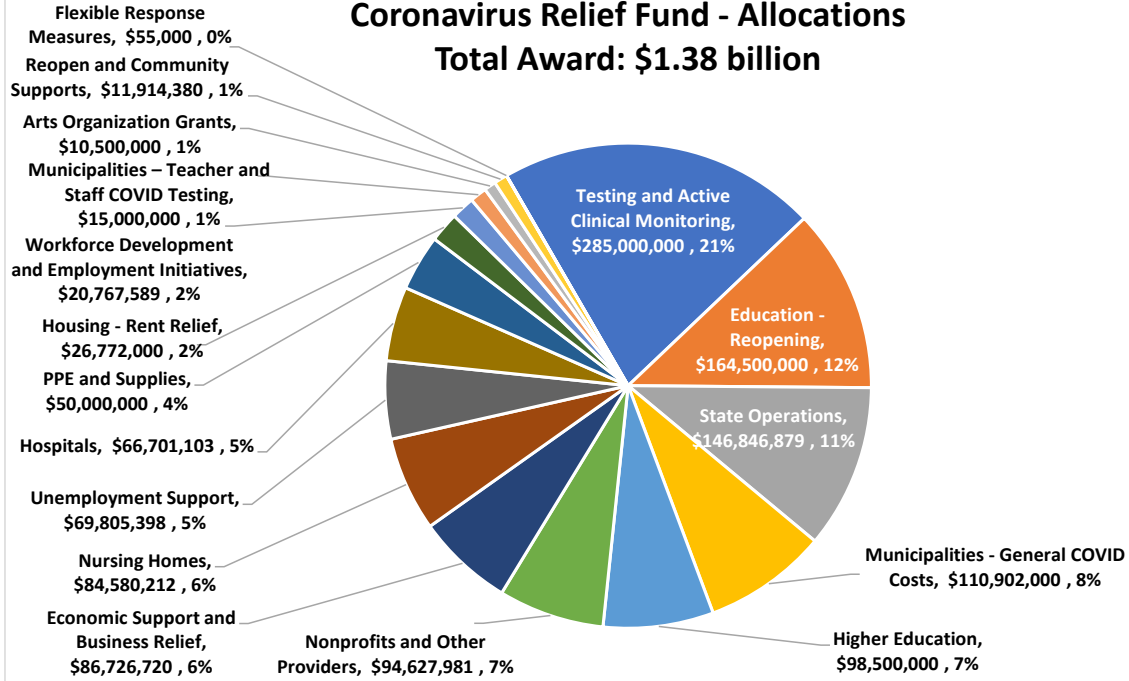


Melissa McCaw
Secretary

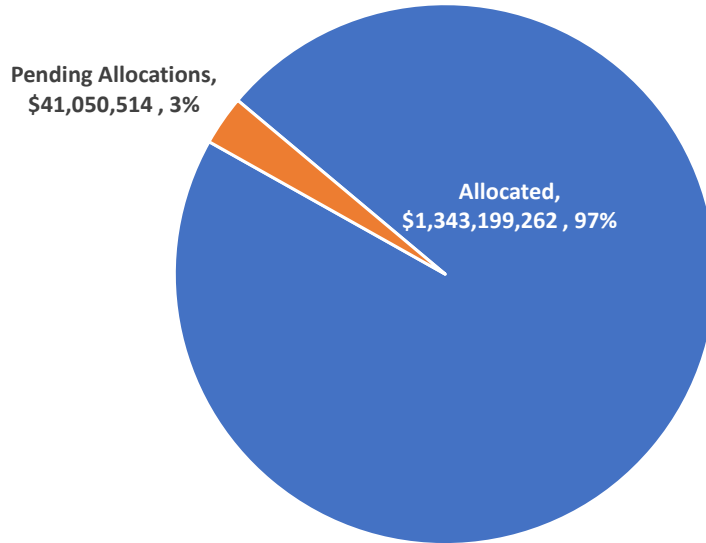
Attachments:

- COVID Responses – Budget Impact
- Summary Statements, FY 2021 Revenue and Expenditures

Coronavirus Relief Fund - Allocations Total Award: \$1.38 billion



Coronavirus Relief Fund Allocation Status Total: \$1.38 billion



Agency Code	Agency Name	Approved Amount
BOR77700	Connecticut State Colleges and Universities	\$ 46,471,797.25
CME49500	Office of the Chief Medical Examiner	\$ 32,300.00
CRD47200	Capital Region Development Authority	\$ 2,656,097.21
CSL66000	State Library	\$ 2,627,718.00
DAS23000	Department of Administrative Services	\$ 7,326,352.00
DCF91000	Department of Children and Families	\$ 6,712,947.26
DDS50000	Department of Developmental Services	\$ 52,618,642.00
DHE66500	Office of Higher Education	\$ 5,800,000.00
DMV35000	Department of Motor Vehicles	\$ 10,327,931.00
DOC88000	Department of Correction	\$ 33,450,304.00
DOH46900	Department of Housing	\$ 30,716,875.00
DOI37500	Insurance Department	\$ 16,694.00
DOL40000	Labor Department	\$ 71,864,966.54
DOT57000	Department of Transportation	\$ 343,838.00
DPH48500	Department of Public Health	\$ 111,523,241.00
DPS32000	Department of Emergency Services and Public Protection	\$ 3,456,000.00
DRS16000	Department of Revenue Services	\$ 10,512,896.00
DSS60000	Department of Social Services	\$ 256,572,752.00
DVA21000	Department of Veterans Affairs	\$ 1,342,277.00
ECD46000	Department of Economic and Community Development	\$ 114,477,657.00
GOV12000	Governor's Office	\$ 12,000,000.00
HRO41100	Commission on Human Rights and Opportunities	\$ 49,027.00
JUD95000	Judicial Department	\$ 4,384,353.04
MHA53000	Department of Mental Health and Addiction Services	\$ 17,605,364.00
MIL36000	Military Department	\$ 413,817.00
OAG29000	Attorney General	\$ 97,073.84
OEC64800	Office of Early Childhood	\$ 6,734,419.00
OLM10000	Legislative Management	\$ 201,910.56
OPM20000	Office of Policy and Management	\$ 87,398,080.00
OSC15000	State Comptroller	\$ 78,090,360.00
OSC15200	State Comptroller - Fringe Benefits	\$ 40,000,000.00
OTT14000	State Treasurer	\$ 211,961.82
PCA98000	Probate Court Administration	\$ 55,573.79
PDS98500	Public Defender Services Commission	\$ 182,229.00
SDE64000	Department of Education	\$ 158,193,536.00
SDR63500	Department of Aging and Disability Services	\$ 95,333.00
SOS12500	Secretary of the State	\$ 1,039,051.00
TRB77500	Teachers' Retirement Board	\$ 24,572.00
UHC72000	University of Connecticut Health Center	\$ 12,218,931.50
UOC67000	University of Connecticut	\$ 39,371,906.25
DCJ30000	Division of Criminal Justice	\$ 385,972.00
TBD	Responsible agency pending decision	\$ 157,172,019.59
	Grand Total	\$ 1,338,304,978.40

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Funding Source							Notes			
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEWA	Federal - T-XIX	Federal - Other	Philanthropy	Notes
1.	DS560000	Suspend Medicaid eligibility discontinuances	\$ 6,800,000	\$ 173,700,000	\$ 180,500,000	Approved	\$ -	\$ 1,900,000	\$ 53,400,000	\$ -	\$ 125,200,000	\$ -	\$ -	State share @ enhanced FMAP (46.2%), reflects maintenance of effort requirements for receipt of enhanced FMAP
2.	DS560000	Provide uninsured persons with coverage for COVID-19 - Citizens	\$ 12,600,000	\$ 6,300,000	\$ 18,900,000	Approved	\$ -	\$ 9,600,000	\$ 4,800,000	\$ -	\$ 4,500,000	\$ -	\$ -	\$4.5m of gross costs are 100% federally funded; balance is 100% state funded. Note: DSS is on hold, pending further federal guidance
3.	DS560000	Provide uninsured persons with coverage for COVID-19 - Non-Citizens	\$ 5,900,000	\$ 3,000,000	\$ 8,900,000	Approved	\$ -	\$ 2,700,000	\$ 1,400,000	\$ -	\$ 4,800,000	\$ -	\$ -	Assumes majority of costs will be reimbursable at 56.2%
4.	DS560000	Waive HUSKY B copays	\$ 340,000	\$ -	\$ 340,000	Approved	\$ -	\$ 80,000	\$ -	\$ -	\$ 260,000	\$ -	\$ -	
5.	DS560000	Waive Medicare Part D (Rx) copays for dually eligible population	\$ 100,000	\$ -	\$ 100,000	Approved	\$ -	\$ 100,000	\$ -	\$ -	\$ -	\$ -	\$ -	
6.	DS560000	Suspend cash assistance discontinuances (TFA, State Supplement, SAGA)	\$ 1,200,000	\$ -	\$ 1,200,000	Approved	\$ -	\$ 1,200,000	\$ -	\$ -	\$ -	\$ -	\$ -	
7.	DS560000	Suspend 21 month time limit for TFA/JEES	\$ 190,000	\$ 770,000	\$ 960,000	Approved	\$ -	\$ 190,000	\$ 770,000	\$ -	\$ -	\$ -	\$ -	Approved for 3 months (Note: Suspension of time limit impacts both FY 21 and FY 22, with add'l costs of \$570k in FY 22)
8.	DS560000	Provide additional flexibilities under home and community-based services waivers	\$ 870,000	\$ 430,000	\$ 1,300,000	Approved	\$ -	\$ 360,000	\$ 250,000	\$ -	\$ 690,000	\$ -	\$ -	
9.	DS560000	Provide temporary relief funding for nursing homes and a COVID-specific grant equivalent to \$600 per bed per day for facilities exclusively serving patients with COVID-19	\$ 47,400,000	\$ 87,998,672	\$ 135,398,672	Approved	\$ -	\$ 33,500,000	\$ (10,000,000)	\$ 81,658,672	\$ 30,200,000	\$ -	\$ -	
10.	DS560000	Provide hardship grants to nursing homes facing a substantial deterioration in their finances, which could adversely affect resident care and the continued operation of the facility	\$ -	\$ 929,155	\$ 929,155	Approved	\$ -	\$ -	\$ 929,155	\$ -	\$ -	\$ -	\$ -	
11.	DS560000	Provide interim payments to FOHCs to assist with cash flow	\$ 5,562,813	\$ (5,562,813)	\$ -	Approved	\$ -	\$ 5,562,813	\$ (5,562,813)	\$ -	\$ -	\$ -	\$ -	Advances will be recouped in FY 21
12.	DS560000	Provide pandemic rate increase for residential care homes (RCHs)	\$ 980,000	\$ -	\$ 980,000	Approved	\$ -	\$ 980,000	\$ -	\$ -	\$ -	\$ -	\$ -	Includes interim payment in April 2020 to be recouped in FY 22. Approved for 3 months.
13.	DS560000	Provide pandemic rate increase for private intermediate care facilities (ICF/IIDs)	\$ 1,640,000	\$ -	\$ 1,640,000	Approved	\$ -	\$ 1,020,000	\$ (270,000)	\$ -	\$ 890,000	\$ -	\$ -	Includes interim payment in April 2020 to be recouped in FY 22. Approved for 3 months.
14.	DS560000	Use DSS non-emergency medical transportation vendor (Veyo) to assist with meals-on-wheels deliveries	TBD	TBD	\$ -	Approved	\$ -	TBD	\$ -	\$ -	\$ -	\$ -	\$ -	
15.	DS560000	Expand service array under Community First Choice to include agency-based PCAs	\$ 311,500	\$ 15,800	\$ 47,300	Approved	\$ -	\$ 11,000	\$ 8,000	\$ -	\$ 28,300	\$ -	\$ -	Fiscal impact expected to be minimal
16.	DS560000	Provide 90-day supply for most prescription drugs and more flexible pharmacy early refill	\$ -	\$ -	\$ -	Approved	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	No state cost - federally funded program. Federal approval received
17.	DS560000	Waive SNAP requirement for face-to-face interviews	\$ -	\$ -	\$ -	Approved	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	No state cost - federally funded program. Federal approval pending
18.	DS560000	Waive SNAP requirements - extend certification periods by 90 days, suspend collection of most SNAP overpayments, issue supplemental benefits to all existing SNAP households	\$ -	\$ -	\$ -	Approved	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	No additional cost anticipated
19.	DS560000	Waive TFA requirement for face-to-face interviews and assessments	\$ -	\$ -	\$ -	Approved	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	No additional cost anticipated
20.	DS560000	Expand telehealth under Medicaid	\$ -	\$ -	\$ -	Approved	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	No additional cost anticipated
21.	DS560000	Provide additional flexibilities under section 1135 waiver authority	\$ -	\$ -	\$ -	Approved	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	No additional cost anticipated
22.	DS560000	Provide additional flexibilities to home care recipients of 1915(i) state plan services	\$ -	\$ -	\$ -	Approved	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	No additional cost anticipated
23.	DS560000	Provide interim payments to home health agencies to assist with cash flow	\$ -	\$ -	\$ -	Approved	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	Payments will be recouped in FY 20
24.	DS560000	Expedite Medicaid payments to hospitals when possible	\$ -	\$ 6,200,000	\$ 6,200,000	Approved	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	Reflects temporary 20% DRG add-on for COVID-related diagnoses
25.	DS560000	Support acute care hospitals with COVID-related costs	\$ -	\$ 6,200,000	\$ 6,200,000	Approved	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
26.	DS560000	Provide relief funding for Connecticut Children's Medical Center	\$ -	\$ 24,300,000	\$ 24,300,000	Approved	\$ -	\$ -	\$ 24,300,000	\$ -	\$ -	\$ -	\$ -	This item was originally approved for \$380,000 but grant payments were not needed as COVID-related expenditures were covered elsewhere.
27.	DS560000	Provide relief funding for chronic disease hospitals	\$ -	\$ 2,654,203	\$ 2,654,203	Approved	\$ -	\$ 110,900	\$ 2,401,103	\$ -	\$ 142,200	\$ -	\$ -	This item was originally approved for \$2,653,741 but grant payments were reduced to \$1,488,712 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
28.	DS560000	Provide relief funding for nongovernmental licensed short-term general hospitals.	\$ -	\$ 40,000,000	\$ 40,000,000	Approved	\$ -	\$ -	\$ 40,000,000	\$ -	\$ -	\$ -	\$ -	This item was originally approved for \$420,789 but grant payments were not needed because any direct COVID-related expenditures were covered through other sources.
29.	DS560000	Provide temporary per diem rate of \$1,500 for Hospital for Special Care's COVID recovery unit	\$ -	\$ -	\$ -	Approved	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	This item was originally approved for \$789,615 but grant payments were reduced to \$555,391 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
30.	DS560000	Provide relief funding for licensed behavioral health outpatient clinics	\$ -	\$ 1,488,712	\$ 1,488,712	Approved	\$ -	\$ -	\$ 1,488,712	\$ -	\$ -	\$ -	\$ -	This item was originally approved for \$3,474,195 but grant payments were reduced to \$498,463 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
31.	DS560000	Provide relief funding for private psychiatric residential treatment facilities (PRTFs) for children	\$ -	\$ -	\$ -	Approved	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	This item was originally approved for \$1,494,206 but grant payments were reduced to \$908,392 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
32.	DS560000	Provide relief funding for substance abuser residential detox providers	\$ -	\$ 555,391	\$ 555,391	Approved	\$ -	\$ -	\$ 555,391	\$ -	\$ -	\$ -	\$ -	This item was originally approved for \$3,474,195 but grant payments were reduced to \$498,463 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
33.	DS560000	Provide relief funding for other clinicians providing behavioral health/substance use disorder treatment and autism services	\$ -	\$ 498,463	\$ 498,463	Approved	\$ -	\$ -	\$ 498,463	\$ -	\$ -	\$ -	\$ -	This item was originally approved for \$1,494,206 but grant payments were reduced to \$908,392 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
34.	DS560000	Provide relief funding for methadone maintenance providers	\$ -	\$ 908,392	\$ 908,392	Approved	\$ -	\$ -	\$ 908,392	\$ -	\$ -	\$ -	\$ -	This item was originally approved for \$1,494,206 but grant payments were reduced to \$908,392 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.

Agency	Item	Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source						Notes		
		Gross Cost SFY 2020	Gross Cost SFY 2021		Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-IX		Federal - Other	Philanthropy
35.	DS560000 Provide relief funding for home health providers	\$ -	\$ 1,679,471	Approved			\$ 1,679,471						This item was originally approved for \$4,999,212 but grant payments were reduced to \$1,679,471 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
36.	DS560000 Provide relief funding for waiver service providers	\$ -	\$ 5,139,814	Approved			\$ 5,139,814						This item was originally approved for \$13,409,602 but grant payments were reduced to \$5,139,814 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
37.	DS560000 Distribute PPE for self-directed workers under Community First Choice	\$ -	\$ 781,179	Approved			\$ 781,179						Temporary grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FFCA.
38.	DS560000 Provide relief funding for Community First Choice providers	\$ -	\$ 3,031,050	Approved			\$ 3,031,050						Active clinical monitoring contract through the remainder of CY 2020. Reflects grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FFCA. (Increased from previously stated estimate of \$800,000 @ 5%, but reduced from the original estimate of \$1.6 million @ 10%).
39.	DS560000 Provide clinical monitoring through CHNCT	\$ -	\$ 10,650	Approved			\$ 10,650						No additional cost anticipated
40.	DS560000 Provide relief funding for self-directed workers under DDS programs	\$ -	\$ 1,334,000	Approved			\$ 1,334,000						No additional cost anticipated
41.	DPH48500 School-based Health Centers - no kids will be getting services while schools are closed, but staff need to be paid	\$ -	\$ -	Approved			\$ -						No additional cost anticipated
42.	MHA53000 Allowable costs for expenses vs. returning the payments because services were not delivered	\$ -	\$ -	Approved			\$ -						Philanthropy covered costs up to \$3M, though May. The costs of \$2,500,000 for June are funded 75% by FEMA and 25% by CRF funds. UPDATED - No CRF allocated; agency is using \$550,000 from their Care4Kids TANF account to cover costs.
43.	OE64800 Daycare outside of hospitals	\$ 3,550,000	\$ 3,550,000	Approved			\$ 3,550,000						Assumes 3 months
44.	OE64800 Suspend collection of family fees - Birth to Three program	\$ 375,000	\$ 375,000	Approved			\$ 375,000						Cap at \$10M, for six weeks with limit at 85% SWL Source: CDCR, PL 11-136 Division B Title VIII... Take rate much lower than anticipated. Costs like to be \$3M
45.	OE64800 Childcare for frontline workers	\$ 10,000,000	\$ 10,000,000	Approved			\$ 10,000,000						FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.
46.	CRD47200 Sanitizers, dispensers, face masks and carpet protection	\$ 19,822	\$ 19,822	Approved			\$ 19,822						CRF funding (HEER) received directly by the agency. Does not include revenue losses.
47.	DS560000 Domestic violence shelter decompression	\$ -	\$ 1,860,000	Approved			\$ 1,860,000						COVID-related costs for teleworking transition, equipment/supplies, and other related expenses. Does not include student refunds or revenue losses. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
48.	BOR77700 Student Refunds, Online Conversion Costs and Donated Equipment	\$ 27,291,079	\$ 27,291,079	Approved			\$ 27,291,079						HEER. Does not include projected FY21 revenue loss at UConn and UConn Health.
49.	UO67000 Equipment, supplies, and other COVID-related expenditures (excl. student refunds)	\$ 847,830	\$ 847,830	Approved			\$ 847,830						Testing provided by Jackson Labs
50.	UO67000 Student refunds (housing, dining, parking)	\$ 10,750,423	\$ 10,750,423	Approved			\$ 10,750,423						FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match. Latest Federal guidance is that PPE may be 100% reimbursable, retroactive to the start of the pandemic. CRF amount reflects \$17.5M for contingency for FEMA disallowances and other charges.
51.	CME49500 Testing of decendants remains for COVID	\$ 22,800	\$ 9,500	Approved			\$ 9,500						State and local COVID 19 response activities will be reimbursed from federal emergency supplemental funding. \$2.5m will be utilized to reimburse local health authorities.
52.	DOC88000 Central purchase of Personal Protective Equipment and other supplies	\$ 272,500,000	\$ 272,500,000	Approved			\$ 272,500,000						Supplements pre-existing grant under the Epidemiology and Laboratory Capacity Cooperative Agreement (\$4,075,638). To support DPH COVID19 direct costs in areas of State Public Health Laboratory, Epidemiology, Healthcare Associated Infections
53.	MIL36000 State Active Duty to staff the Emergency Operations Center	\$ 171,000	\$ 171,000	Approved			\$ 171,000						National Bioterrorism Hospital Preparedness Program. \$361,895 each for federally designated Special Pathogen Treatment Centers @ YWHH and HH. Balance for DPH direct costs and other hospital needs.
54.	DPH48500 CDC Cooperative Agreement for Emergency Response: Public Health Crisis Response	\$ 9,309,998	\$ -	Approved			\$ 9,309,998						To enhance infectious disease surveillance activities. \$2.3m will go to Yale School of PH, \$0.3m to DPH
55.	DPH48500 Epidemiology and Laboratory Capacity	\$ 9,669,691	\$ -	Approved			\$ 9,669,691						Revised to \$46k - will be allot 12/3/20
56.	DPH48500 Hospital preparedness	\$ 2,324,172	\$ -	Approved			\$ 2,324,172						
57.	DPH48500 Emerging Infections Program	\$ 2,600,000	\$ 2,600,000	Approved			\$ 2,600,000						
58.	DAS23000 Architectural support for hospital capacity expansion	\$ 45,000	\$ 45,000	Approved			\$ 45,000						
59.	DAS23000 IT support for teleworking (VPN capacity, etc.)	\$ 320,000	\$ 730,000	Approved			\$ 1,050,000						
60.	DAS23000 Cleaning and other facility costs	\$ 1,100,000	\$ 1,100,000	Approved			\$ 1,100,000						
61.	SOS12500 Cost of publishing various Executive Orders	\$ 305,000	\$ 305,000	Approved			\$ 305,000						GF will pay the full payroll and fringe benefit costs for six pay periods through end of FY 20 (March 26th - June 18th payrolls), as well as indirect costs and OE, due to agreement with casinos to defer true-up of costs until the August 2020 assessment.
62.	DCP39500 Waive casino payment of regulatory costs during closure	\$ 584,126	\$ 584,126	Approved			\$ 584,126						

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Funding Source							Notes			
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA		Federal - T-XIX	Federal - Other	Philanthropy
63.	DF632000 Use of interpreters during the Governor's pandemic response briefings	\$ 38,000	\$ 13,000	\$ 51,000	Approved				\$ 51,000					NOTE: The \$13K approved for DESPP to rent trailers (item #118) was not spent. Due to a continued need for interpreters, the \$13K approved for trailers was transferred to this item.
64.	JUD-1 - PPE, cleaning and disinfecting, and other response costs	\$ 640,240	\$ -	\$ 640,240	Approved				\$ 640,240					
65.	DVA21000 Procure housing for certain Level 1 Constant employees of 247 agencies to enable physical distancing with those with whom they share living arrangements	\$ 235,000	\$ -	\$ 235,000	Approved				\$ 235,000					
66.	DF632000 Procure housing for certain Level 1 Constant employees of 247 agencies to enable physical distancing with those with whom they share living arrangements	\$ 25,000	\$ -	\$ 25,000	Approved				\$ 25,000					Original approved amount was \$1.4m but it has been reduced to \$700K to reflect actual need for housing. Update 12/01/2020 - reduced to \$25K to reflect actual need for housing.
67.	DD530000 Procure housing for certain Level 1 Constant employees of 247 agencies to enable physical distancing with those with whom they share living arrangements	\$ 1,700,000	\$ -	\$ 1,700,000	Approved				\$ 1,700,000					
68.	MHA53000 Procure housing for certain Level 1 Constant employees of 247 agencies to enable physical distancing with those with whom they share living arrangements	\$ 313,099	\$ -	\$ 313,099	Approved				\$ 313,099					
69.	DOC88000 Procure housing for certain Level 1 Constant employees of 247 agencies to enable physical distancing with those with whom they share living arrangements	\$ 6,000,000	\$ -	\$ 6,000,000	Approved				\$ 6,000,000					
70.	DCF91000 Procure housing for certain Level 1 Constant employees of 247 agencies to enable physical distancing with those with whom they share living arrangements	\$ 1,820	\$ 3,700	\$ 5,520	Approved				\$ 5,520					
71.	DEC64800 Provide technical support and resources to family day care providers	\$ 1,316,573	\$ -	\$ 1,316,573	Approved								\$ 1,316,573	Administered through EdAdvance
72.	DEC64800 Suspend Birth-to-Three Age Outs at 36 months and continue services through June	\$ 180,000	\$ -	\$ 180,000	Approved		\$ 180,000							Approved for April, May and June
73.	DS560000 Suspend Birth-to-Three Age Outs at 36 months and continue services	\$ 180,000	\$ 90,000	\$ 270,000	Approved		\$ 80,000	\$ 45,000		\$ 145,000				Approved for April - June. State share @ enhanced FMAP (+6.2%) for payments made in May and June (for service months of April and May)
74.	DPH48500 Ryan White HIV/AIDS Program Part B COVID-19 Response	\$ 203,981	\$ -	\$ 203,981	Approved									Source: CARES ACT. Must be used for services, activities, and supplies needed to prevent or minimize the impact of COVID-19 on RWIAP clients
75.	Various State agency purchase of laptops and other equipment to facilitate telework	\$ -	\$ -	\$ -	In part					\$ 203,981				Approved if within existing agency CEFF allocations and as part of normal equipment refresh
76.	CRD47200 Cleaning and other facility costs	\$ 902,036	\$ -	\$ 902,036	Approved				\$ 902,036					Convention Center COVID-19 costs, cleaning, facilities maintenance.
77.	DPH48500 Enhanced monitoring in nursing homes	\$ 2,800,000	\$ -	\$ 2,800,000	Approved					\$ 2,800,000				OT for existing nurse consultant staff, TWRs and potential contract with a nursing staffing agency, DPH pursuing CMS CARES grant to defray costs.
78.	OSCI5000 COVID-19 Testing	\$ 60,000,000	\$ 46,044,556	\$ 106,044,556	Approved				\$ 77,744,556	\$ 28,300,000				Placeholder of \$15m per month = 10,000 tests/day * \$50 * 30 days. Amount is in addition to \$182 million in other federal funds (4th supplemental bill) awarded to CT for testing. Updated to reflect \$255,444 is paid from OPW for assisted living testing. \$484,240 subtracted and shown separately as a direct allotment to UConn Health.
79.	DPH48500 Contact Tracing Solution (IT)	\$ -	\$ -	\$ -	Approved				\$ -					No project was identified.
80.	DAS23000 Consulting - Reggen CT strategy, analysis, recommendations, PML	\$ 2,350,000	\$ -	\$ 2,350,000	Approved				\$ 2,350,000					Incurred in May and June
81.	CRD47200 Cleaning and sanitizing XL Center and PW Stadium	\$ 314,849	\$ -	\$ 314,849	Approved				\$ 314,849					
82.	CRD47200 Convention Center costs and CRDA Operations	\$ 478,048	\$ -	\$ 478,048	Approved				\$ 478,048					Cleaning supplies, air filters, handrail sanitation, technological needs for telework.
83.	CRD47200 Dillon Stadium, XL touchless plumbing, CCC hospital surge	\$ 92,718	\$ -	\$ 92,718	Approved				\$ 92,718					
84.	DPH46900 Homeless shelter decompression initiative	\$ 7,500,000	\$ 5,000,000	\$ 12,500,000	Approved				\$ 1,875,000	\$ 9,375,000				Assumes 3 months. FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match. CRF match for hotel initiative thru 9/30. Increase in FEMA receivable of \$3.75M for expenses through 3/31 - match from HUD-CDBG funds (\$1.25M).
85.	HRO41100 Technological needs, PPE	\$ 49,027	\$ -	\$ 49,027	Approved				\$ 49,027					Agency has cleaned facilities day and night, purchased fogger machines, PPE for staff and inmates. Food now brought to inmates cells, prepackaged and no longer chow setting.
86.	DOC88000 PPE for staff and inmates/Cleaning Supplies and Equipment/Food	\$ 3,118,005	\$ -	\$ 3,118,005	Approved				\$ 3,118,005					Medical staffing needed due to staff shortages
87.	DOC88000 Temporary Staffing Due to Staff Shortages	\$ 1,334,305	\$ -	\$ 1,334,305	Approved				\$ 1,334,305					Overtime related to having to open wings of northern to serve as COVID isolation units. OT related to staff shortages when staff use their 14 days
88.	DOC88000 Overtime Incurred to Date (plus fringes)	\$ 2,104,560	\$ -	\$ 2,104,560	Approved				\$ 2,104,560					
89.	DAS23000 Rental Costs for Refrigerated Trailers to Serve as Morgues	\$ 238,000	\$ -	\$ 238,000	Approved				\$ 238,000					
90.	DVA21000 Equipment, supplies, and additional staff support	\$ 1,107,277	\$ -	\$ 1,107,277	Approved				\$ 1,107,277					
91.	MIL36000 Mobile Field Hospital Operations - State Active Duty	\$ 7,817	\$ -	\$ 7,817	Approved				\$ 7,817					Weekly spot checks, repair and maintenance and retrograde of the four mobile field hospital setups at St. Francis Hospital, Middlesex Hospital, Danbury Hospital & Sharon Hospital. Was reduced from \$67,100.

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Funding Source						Notes		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEWA	Federal - T-XIX	Federal - Other	Philanthropy	Notes
92.	MIL36000 Task Force Medical - State Active Duty	\$ 141,000	\$ -	\$ 141,000	Approved				\$ 141,000					20 personnel will be assigned duties at Stamford Hospital to assist Federal and state military personnel conducting medical operations for 30 days. Lodging for 20 personnel for 30 days - was approved at \$36,000, only needed \$2,000
93.	MIL36000 Task Force Medical - Hotel Lodging	\$ 2,000	\$ -	\$ 2,000	Approved				\$ 2,000					
94.	SOS12500 Funding for newspaper posting of additional executive orders	\$ 62,278	\$ -	\$ 62,278	Approved				\$ 62,278					
95.	DCF91000 Per Diem Rate Based Residential Programs	\$ 1,997,682	\$ 258,808	\$ 2,256,490	Approved				\$ 2,256,490					
96.	DCF91000 Group Homes	\$ 534,126	\$ 69,198	\$ 603,324	Approved				\$ 603,324					
97.	DCF91000 Other Congregate Care	\$ 294,547	\$ 38,160	\$ 332,707	Approved				\$ 332,707					Financial support during school closure to ensure service network is maintained. Within current budget.
98.	DCF91000 School of Origin Transportation	\$ -	\$ -	\$ -	Approved				\$ -					Financial support during school closure to ensure service network is maintained. Within current budget.
99.	DCF91000 After-School Programs	\$ -	\$ -	\$ -	Approved				\$ -					
100.	MHAS3000 CVH Surge Capacity at 60 West	\$ 100,000	\$ -	\$ 100,000	Approved				\$ 100,000					DMHAS (CVH) will be using 60 West as surge capacity to care for COVID pos patients until they are no longer positive and can go back to their units at CVH. Financial estimate assumes 20 patients for 30 days.
101.	DPH46900 Case management for Danbury shelter clients/Hotels	\$ 150,000	\$ -	\$ 150,000	Approved				\$ 150,000					Estimated costs for providers to deep clean group homes once an individual has tested positive for COVID-19. Revised 8/1/2020 - No additional funds required. Funds in the amount of \$1.2 million will be de-allocated.
102.	DD550000 Deep cleaning costs for private provider residential programs	\$ -	\$ -	\$ -	Approved				\$ -					Cleaning costs for the state operated regional centers, Southbury Training School and group homes after an individual working in such location has been identified with COVID-19.
103.	DD550000 Deep cleaning costs for DDS facilities	\$ 312,540	\$ 409,550	\$ 722,090	Approved				\$ 722,090					OT costs and approximately 160 temporary DSW, LPN and RN positions to ensure continued coverage of public facilities. Original estimate of \$3,000,379 has been reduced to \$975,379.
104.	DD550000 Overtime and temp hiring to ensure staff coverage	\$ 407,097	\$ 568,282	\$ 975,379	Approved				\$ 975,379					Virtual Desktops (Firewall/Security), Call Center PCs, Printers, Equipment, and Call Management Software. (Net adjustment over first request - includes 3rd submitted request.)
105.	JUD95000 JUD - 3 - PPE cleaning and disinfecting, technology, and other response costs	\$ 200,609	\$ -	\$ 200,609	Approved				\$ 200,609					Responsibilities including implementing telework agency-wide, procuring and distributing employee PPE, additional coordination with business partners including transit, rail, contractors, etc., to continue maintaining essential business functions; and deep cleaning costs. Includes funding for Over-the-Cap Overtime Request. Reduced by 2,395 on 12/4/2020.
106.	DOT57000 DOT - 1 - Staff Overtime	\$ 115,734	\$ -	\$ 115,734	Approved				\$ 115,734					Sanitizing of 27 maintenance facilities & central office. Reduced by \$8,051 on 12/4/2020.
107.	DOT57000 DOT - 1 - Materials & Supplies for Sanitizing Agency Facilities	\$ 226,698	\$ -	\$ 226,698	Approved				\$ 226,698					Recommending funding for headsets to utilize more teleconferencing when staff returns to the office. Not recommending (and not including here) funding for webcams. Reduced by \$2,896 on 12/4/2020.
108.	DOT57000 DOT - 1 - Back-To-Work Office Equipment / Maintainer Training	\$ 1,406	\$ -	\$ 1,406	Approved				\$ 1,406					2477 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
109.	MHAS3000 MH Residential (includes Intensive, Transitional, Group Homes, ABI Community Residence, Respite, IP IMD, Supervised Housing, Shelters)	\$ 3,752,678	\$ -	\$ 3,752,678	Approved				\$ 3,752,678					2477 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE, and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
110.	MHAS3000 Substance Abuse Medically Monitored Residential Detox	\$ 122,009	\$ -	\$ 122,009	Approved				\$ 122,009					2477 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
111.	MHAS3000 Substance Abuse Residential Treatment (Intensive, Intermediate, Long Term, Recovery Housing)	\$ 2,084,167	\$ -	\$ 2,084,167	Approved				\$ 2,084,167					2477 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
112.	MHAS3000 Young Adult Services	\$ 1,649,551	\$ -	\$ 1,649,551	Approved				\$ 1,649,551					Providers have incurred costs including hazardous duty, purchase of telehealth/telework equipment and licenses, PPE, and cleaning services. Additionally providers continue to pay staff not able to work due to quarantine/illness while paying overtime or temporary workers.
113.	MHAS3000 Community Services (including Outpatient, IOP, MMT, ACT, CSP, Case Management, BHH, Employment, Jail Diversion, Outreach, Crisis, Residential Support, Supervised Housing, Pre-Trial Education, etc.)	\$ 3,775,730	\$ -	\$ 3,775,730	Approved				\$ 3,775,730					

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)					Funding Source					Notes		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEWA	Federal - T-XIX		Federal - Other	Philanthropy
114.	OSCI5000 Request for funding for COVID-19 related expenses support teleworking	\$ 70,653	\$ -	\$ 70,653	Approved				\$ 70,653					50 laptops, minor telecommuting IT related expenses and cleaning supplies.
115.	DNW35000 Funding for installation of an appointment system to control the traffic flow of customers and to maintain social distancing	\$ 150,000	\$ -	\$ 150,000	Approved				\$ 150,000					This will help prevent the spread of serious illnesses and will assist in maintaining the health of customer facing employees. Update 12/07/2020 - reduced by \$49,908 to reflect actual costs. Funding transferred to other DMW approved items.
116.	DNW35000 Funds to install sneeze guards throughout the branches and testing centers	\$ 75,097	\$ -	\$ 75,097	Approved				\$ 75,097					Due to the virus, the Agency is now completing nightly deep cleaning along with a weekly disinfecting spray of branches that are being utilized by staff and/or public. SFY 21 estimate is through 12/30/2020. Update 12/07/2020 - increased by \$405,000 to reflect actual costs. Funding transferred from other DMW approved items that were reduced.
117.	DNW35000 Funding for the cleaning and disinfecting of branches	\$ 447,000	\$ 1,523,098	\$ 1,970,098	Approved				\$ 1,970,098					Original approved amount was \$700K but it has been reduced by \$55K so the funding could be transferred to approved item #130.
118.	DO37500 Implement remote call center for Consumer Affairs + Deep Cleaning Costs	\$ 16,694	\$ -	\$ 16,694	Approved				\$ 16,694					This will help prevent the spread of serious illnesses in DESPP's HQ and will assist in maintaining the health of customer facing employees. Additional trailers may be requested for some of the other units once the agency opens to the public. NOTE: The \$13K approved for trailers is unneeded due to a management decision to not utilize trailers.
119.	DFS32000 Funds for deep cleaning supplies (sanitizers, disinfectant, etc.)	\$ 645,000	\$ -	\$ 645,000	Approved				\$ 645,000					Agency believes this may be FEMA reimbursable Source: Child Care Development Block Grant
120.	DFS32000 Funds to rent a modular trailer for six months that will be located in the north lot for those customers arriving at HQ to be fingerprinted.	\$ -	\$ -	\$ -	Approved				\$ -					Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
121.	DOH46900 Provide Hotel Oversight through Seasonal Shelter	\$ 64,875	\$ -	\$ 64,875	Approved				\$ 64,875					
122.	OEC64800 Child Care Provider Incentive Payments	\$ 4,000,000	\$ -	\$ 4,000,000	Approved				\$ 4,000,000					
123.	UO-C67000 Equipment, supplies, and other COVID-related expenditures (excl. student refunds) - Newly reported as of 5/22	\$ 885,512	\$ -	\$ 885,512	Approved				\$ 885,512					
124.	DCF91000 Office Cleaning	\$ 8,750	\$ 904,581	\$ 913,331	Approved				\$ 913,331					
125.	DCF91000 HIPAA Compliant Document Bags for Telework	\$ 2,941	\$ 18,347	\$ 21,288	Approved				\$ 21,288					
126.	DCF91000 PPE/Cleaning Supplies/Infection Control Printed Materials /Scrubs & Uniforms	\$ 102,940	\$ 642,129	\$ 745,069	Approved				\$ 745,069					
127.	DCF91000 Temporary Nursing to Screen Workers Entering State Buildings	\$ 32,353	\$ 201,812	\$ 234,165	Approved				\$ 234,165					
128.	DCF91000 IT Devices and Software to Implement Telework / Consultant Staff Reemployed from CT KINND Development to Mobility Deployment	\$ 73,229	\$ 498,664	\$ 571,893	Approved				\$ 571,893					
129.	DOH46900 Coordinated Access Network - Statewide Shelter Support	\$ 2,000,000	\$ -	\$ 2,000,000	Approved				\$ 2,000,000					This request includes approximately \$800,000 of hazard pay for CAN/Shelter staff. NOTE: Only \$2M has been allotted so far. IT redirected 100 laptops that were part of the agency's re-fresh program (to employees with desktops). Original approved amount was \$130K but it has been increased by \$55K to purchase other computer peripherals. This additional funding was transferred from PPE/Cleaning (Approved item #119).
130.	DFS32000 Funds for 100 laptops to allow staff to telework	\$ 185,000	\$ -	\$ 185,000	Approved				\$ 185,000					Child Care Development Block Grant
131.	OEC64800 Provide targeted subsidies to private child care providers to ensure financial viability to support the state's efforts to re-open.	\$ 8,000,000	\$ -	\$ 8,000,000	Approved				\$ 8,000,000					Payments will be made to landlords. Revised 11/30 - de-allotted \$10 M in CRF funds to account for \$10M in HUD CDBG funds. \$72,957 was approved on 12/1 for Overtime for TRAP Program Staff out of existing Allocation. CRF portion revised down to \$3,272,000 on 12/8/20 - estimate based on number of contracts to get executed by 12/30 deadline - OPM therefore de-allotted \$6,738,000. Updated 12/9 - additional \$2.5 million allocated from OOH CDBG funds to support the program. REVISED 12/29 - Reapproved \$3.5 million in CRF from Embassy Hotel back to TRAP. 2/18 - Revised to reflect close out of program (\$900,000).
132.	DOH46900 Temporary Housing Assistance including Rent Relief and Associated Overtime for Staff	\$ 26,572,000	\$ -	\$ 26,572,000	Approved				\$ 26,572,000					Includes provision of laptops and other technical resources to support remote work, server enhancements, and other administrative costs. Estimate includes previously requested administrative support for Pandemic EBT at revised amount.
133.	DS560000 Administrative and technical support for pandemic response and remote working	\$ 9,918,016	\$ 9,863,282	\$ 9,918,016	Approved				\$ 9,918,016					To pay higher medically complicated foster rate when foster parent/child tests COVID positive.
134.	DCF91000 Special Stipend for Foster Parents/Children who test COVID positive	\$ 29,590	\$ -	\$ 29,590	Approved				\$ 29,590					Supports for approximately 160 individuals with intellectual disability who are eligible for the program due to a temporary loss in wages and require assistance with paying rent in May and June. Revised 8/1/2020 - No additional funds required. Funds in the amount of \$382,126 will be de-allotted.
135.	DD550000 Additional Rent Subsidy supports for individuals unable to work	\$ -	\$ -	\$ -	Approved				\$ -					Additional cost to the agency to support overtime and equipment costs in order to enable staff to telework.
136.	SDR63500 IT technology and support to implement telework	\$ 61,843	\$ -	\$ 61,843	Approved				\$ 61,843					Additional cost to the agency for cleaning of offices and installation of plexi-glass in reception areas.
137.	SDR63500 Cleaning and disinfecting costs of offices	\$ 33,490	\$ -	\$ 33,490	Approved				\$ 33,490					\$55,000 from CRF. \$165,000 FEMA
138.	DOH46900 Flexible Response Measures - Non-Congregate Housing	\$ 220,000	\$ -	\$ 220,000	Approved				\$ 220,000					

Agency	Item	Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source						Notes					
		Gross Cost SFY 2020	Gross Cost SFY 2021		Gross Total	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA		Federal - T-XIX	Federal - Other	Philanthropy		
139.	OTT14000 Laptops (\$25,588), gloves and cleaning supplies (\$128). COVID related expenses - IT Equipment \$355,066 (Laptops, WiFi doggles and Headsets), Zoom conferencing \$531 and PPE and cleaning supplies \$3,876.	\$ 25,716	\$ -	\$ 25,716	Approved				\$ 25,716							
140.	DR6516000 Instruction, Student Support, and Technology exceeding available HEER funding at CSUs and COSC.	\$ 359,473	\$ -	\$ 359,473	Approved				\$ 359,473							
141.	BOR77700 JUD - 2 - PPE cleaning and disinfecting, technology, and other response costs	\$ 432,454	\$ 12,831,142	\$ 13,263,596	Approved				\$ 13,263,596							
142.	JUD95000 JUD - 2 - PPE cleaning and disinfecting, technology, and other response costs	\$ 183,142	\$ -	\$ 183,142	Approved				\$ 183,142							
143.	PD5985000 POS - 1 - Communications & Temporary Full-Time Attorneys for Case Backlogs	\$ -	\$ 182,229	\$ 182,229	Approved				\$ 182,229							
144.	DNM35000 Teleworking equipment	\$ 73,750	\$ -	\$ 73,750	Approved				\$ 73,750							
145.	MIL36000 Retrograde Operations - Mobile Field Hospitals State Active Duty	\$ 67,000	\$ -	\$ 67,000	Approved				\$ 67,000							
146.	GOV12000 Crisis communications and ReOpen CT public awareness	\$ 5,000,000	\$ -	\$ 5,000,000	Approved				\$ 5,000,000							
147.	DA523000 424 Chapel Street N95 Mask Decontamination Sterilization Unit	\$ 299,460	\$ -	\$ 299,460	Approved				\$ 299,460							
148.	DD550000 Additional supports for individuals receiving only in-home and/or day supports - Residential account	\$ -	\$ -	\$ -	Approved				\$ -							
149.	DD550000 Additional supports for individuals receiving only in-home and/or day supports - Day account	\$ -	\$ -	\$ -	Approved				\$ -							
150.	DD550000 Residential Provider Supplemental Payments	\$ 35,262,972	\$ 12,599,201	\$ 47,862,173	Approved				\$ 47,862,173							
151.	DD550000 Day Provider Supplemental Payments	\$ 7,428,935	\$ -	\$ 7,428,935	Approved				\$ 7,428,935							
152.	DP632000 Emergency Management Performance Grant (EMPG-S) Program - Supplemental	\$ -	\$ 2,789,396	\$ 2,789,396	Approved				\$ 2,789,396							
153.	DOH46900 To provide a grant Pacific House Inc. to purchase a hotel in Danbury that they will own and operate as a shelter. The shelter will meet a need in the community brought about by the pandemic's impact on homeless shelters throughout the region.	\$ 4,825,000	\$ -	\$ 4,825,000	Approved				\$ -							
154.	DOL40000 Various items, plexiglass, cleaning supplies, laptops, printing and staff costs, cctv monitoring at A/CS	\$ 2,701,802	\$ -	\$ 2,701,802	Approved				\$ 2,701,802							
155.	BOR77700 Student Fee Refunds & Public Safety Costs	\$ 17,677,551	\$ -	\$ 17,677,551	Approved				\$ 17,677,551							

Agency	Item	Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source						Notes				
		Gross Cost SFY 2020	Gross Cost SFY 2021		Gross Total	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA		Federal - T-XIX	Federal - Other	Philanthropy	
156. DHE66500	State-mandated COVID testing on independent college & university campuses	\$ -	\$ 4,300,000	\$ 4,300,000	Approved			\$ 4,300,000							Distribution by OHE needs to be set up before allocating funds.
157. UHC72000	Capital Purchases, Telework, Lab & Medical Supplies, PPE, Facilities, Overtime for Medical Staff	\$ 3,046,650	\$ -	\$ 3,046,650	Approved					\$ 3,046,650					COVID-related costs for teleworking transition, equipment/supplies, medical supplies, drugs, lab services, and other related expenses. Does not include student refunds or revenue losses. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.
158. DPH48500	Specimen collection for testing nursing home residents and staff	\$ 23,748,850	\$ 120,324,980	\$ 144,073,830	Approved					\$ 111,073,830	\$ 33,000,000				To fund Care Partners for collection of specimens and laboratory testing of nursing home residents and staff for COVID-19 through December 2020.
159. MIL36000	Overtime and Other Expenses Costs Related to Cleaning Facilities	\$ 25,000	\$ -	\$ 25,000	Approved					\$ 25,000					Funding to cover costs of overtime and cleaning supplies for Military facilities.
160. DPH48500	Consultant to review NH and LTC components of CT's response to the pandemic	\$ 449,411	\$ -	\$ 449,411	Approved					\$ 449,411					NEW Vendor selected on 6/29/20.
161. DOI40000	Ut Call Center - 6 months	\$ 3,939,242	\$ -	\$ 3,939,242	Approved					\$ 3,939,242					
162. MHAS3000	Equipment for to Facilitate Telework and Telehealth for State-Operated Facilities	\$ 713,535	\$ -	\$ 713,535	Approved					\$ 713,535					Equipment includes Laptops, Software, Servers, Telemed carts, Video Conferencing systems/equipment, cleaning machinery.
163. MHAS3000	IT Supplies, Software, Telecommunications for State-Operated Facilities	\$ 339,765	\$ -	\$ 339,765	Approved					\$ 339,765					OE expenditures include software, iPhones, air cards, conference lines for telework. Also phones for 24/7 sites for clients to communicate with family, friends, etc. due to visitor restrictions.
164. MHAS3000	Emergency Hiring for State-Operated Facilities	\$ -	\$ -	\$ -	Approved					\$ -					PS costs for temporary hires including nurses, custodians, MHALS, assistant cooks.
165. MHAS3000	Temporary Services for State-Operated Facilities	\$ 2,746,706	\$ -	\$ 2,746,706	Approved					\$ 2,746,706					OE costs for contracted staff including housekeeping and workers to screen staff reporting to work.
166. MHAS3000	PPE, Medical, Cleaning, Personal Hygiene Supplies for State-Operated Facilities	\$ 1,348,454	\$ -	\$ 1,348,454	Approved					\$ 1,348,454					OE costs.
167. MHAS3000	Other Supplies for State-operated Facilities	\$ 239,670	\$ -	\$ 239,670	Approved					\$ 239,670					OE costs for office supplies like secure medical records bags to protect PHI, kitchen/dining/food supplies for individual meal servings and cleaning supplies for the pandemic.
168. DOI40000	COVID Summer Youth Employment Initiative	\$ 607,767	\$ -	\$ 607,767	Approved					\$ 607,767					Funds will be provided through the Department of Labor to the five workforce development boards (WDBs) to support COVID related summer youth employment initiatives. Specifically, the WDBs will seek to provide funding to support health related youth employment through partnerships with HQCS, AHECs and other community providers. Original Allocation was \$ 20K - reduced by \$476,310 on 12/9/20; reduced by \$915,923 on 4/8/21
169. SDE64000	CTECS - PPE, equipment, cleaning, public safety overtime	\$ 2,677,646	\$ -	\$ 2,677,646	Approved					\$ 2,677,646					FY20 expenditures at CTECS for purchases including PPE, technology, cleaning supplies, public safety overtime, and other direct response costs.
170. OPM20000	Purchase 75 laptops, docking stations, and headsets, to support telework by agency employees	\$ 83,350	\$ 12,500	\$ 95,850	Approved					\$ 95,850					To purchase 75 laptops, docking stations and headsets, to provide equipment for telework.
171. DOI40000	Overtime for Call Center through Labor Day	\$ -	\$ 1,796,622	\$ 1,796,622	Approved					\$ 1,796,622					Significant funding for laboratory operations, epidemiologic surveillance and reporting, contact tracing, and testing, among other activities. State application submitted to CDC on 6/18/20
172. DPH48500	CDC Enhancing Detection grant	\$ 182,633,998	\$ -	\$ 182,633,998	Approved					\$ 182,633,998					Supplemental immunization funding, primary purpose is to enhance influenza vaccination coverage as a critical part of COVID-19 response work
173. DPH48500	Immunization Program	\$ 1,696,075	\$ -	\$ 1,696,075	Approved					\$ 1,696,075					Stabilize funding for school readiness programs in priority school districts
174. OEC64800	Priority School Readiness	\$ 5,559,359	\$ -	\$ 5,559,359	Approved					\$ 5,559,359					Technology to support a Judicial Call Center by enhancing social distancing. One-time purchase for controllable equipment and phones to expand the call center program. There are no additional operational costs currently or in the future because the program will be administered with existing personnel in an existing facility. Covered in review for CEFJ funds. Decision made to have CRF cover these costs.
175. JUD95000	Call Center Technology for Social Distancing	\$ 219,570	\$ -	\$ 219,570	Approved					\$ 219,570					

Agency	Item	Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source						Notes				
		Gross Cost SFY 2020	Gross Cost SFY 2021		Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX		Federal - Other	Philanthropy		
176. DO157000	DOT - 2 - Road Equipment - Electronic Signs and Monitors	\$ -	\$ -	Approved					\$ -						10% of request funded through CRF with balance to be funded through PAYGO. Request covers cost of 40 variable messaging signs to place throughout the state to assist in coordination and logistics of resources and messaging for the public. DOT currently has 14 signs. DOT Highway Operations determines where the signs should go. DOT has been asked to explain why the signs can't be rented, as they have rented other signs. Also, getting the signs this late into the pandemic for CT -- would it make that much of a difference? - was \$737,776. Reduced by 73,778 (to \$50) on 12/4/2020.
177. DMV35000	Purchase of two way radios for branch locations	\$ 22,000	\$ -	Approved					\$ 22,000						The radios will enhance communication for added security to control foot traffic to maintain social distancing and appointment only scheduling, as well as maintain communication with healthcare providers and essential staff. This purchase will enhance communication and provide additional safety and security measures at all DMV locations.
178. DMV35000	Funding to reconfigure work space to allow for employees to report back to the office	\$ 150,000	\$ -	Approved					\$ 150,000						The contact center is experiencing an unprecedented amount of calls. Due to the need for social distancing, the current contact center location is only working at 35% staff capacity. In order to bring staffing levels to 100%, the DMV needs to reconfigure current office space. In addition, enhancements are also being made in the administrative areas to allow staff who do not have the ability to work from home to come back to a healthy and safe environment.
179. DMV35000	Funding for Temperature Screening	\$ 36,000	\$ 600,000	Approved					\$ 636,000						Due to the COVID19 crisis, the Department of Motor Vehicle has started temperature screening both employees and customers that enter our branch locations. The DMV is requesting funding for the healthcare providers needed to perform these screenings.
180. DMV35000	Funds for a digital enablement project to support increased online access to the public and allow additional tools to drive simple transactions online and out of DMV branches.	\$ -	\$ 3,173,124	Approved					\$ 3,173,124						Update 12/07/2020 - reduced by \$426,876 to reflect actual costs. Funding transferred to other DMV approved items.
181. DMV35000	Funds for touchless soap and hand sanitizer dispensers, including floor stands, along with the initial distribution of soap and hand sanitizer to fill our new touchless dispensers.	\$ -	\$ 33,590	Approved					\$ 33,590						The projects listed below will help drive the public to simpler and easier online transaction options, thereby slowing the foot traffic that will be required to come into DMV public buildings. Projects: Integrated Online Services, Out-of-State Dealer Online, Enable Work From Home for DMV Employees, Scanning Solution
182. DOC88000	Expand Telemedicine and Telemental Health Program	\$ 470,000	\$ -	Approved					\$ 470,000						These dispensers will be located throughout our branch and office locations. Update 12/07/2020 - reduced by \$722 to reflect actual costs. Funding transferred to other DMV approved items.
183. DO140000	Call center enhancements and support	\$ 824,778	\$ 4,507,654	Approved					\$ 824,778	\$ 1,492,164	\$ 3,015,480				Funds will be used to procure additional laptops and tablets for staff, webcams, mobile medical devices, and telemedicine carts.
184. DS560000	Emergency feeding program	\$ 689,190	\$ -	Approved					\$ 689,190						Reduced by 3462,392 on 12/3/20 based on revised est. as to expenses thru 12/30/20 from DOL.
185. JUD950000	JUD - 1 - PPE, cleaning and disinfecting, and other response costs	\$ -	\$ 66,615,520	Approved					\$ 66,615,520						
186. DS560000	Provide funding to support testing of high-risk populations	\$ -	\$ -	Approved					\$ -						\$2,633,293 as a 25% match was approved from CRF (Total cost was \$10,533,170). Funding was unnecessary as orders were extended. Original note - Contingency in the event that a presidential extension of federal coverage of National Guard costs is not approved.
187. MIL36000	State active duty post-8/8/20 to support PPE distribution and warehousing, testing and other COVID activities	\$ -	\$ -	Approved					\$ -						Funds to be used in conjunction with Governor's Education Emergency Relief fund to provide devices where either districts or families are unable to do so.
188. SDE64000	Devices for remote learning	\$ 15,000,000	\$ 15,000,000	Approved					\$ 15,000,000						Additional funding through 12/31/20 to support critical areas of the UI efforts - including UI initial claims (35 FTE) appeals (30 FTE) and tax (25 FTE), all of which are impacted by the increased claim load. Reduced by \$257,060 to reflect updated expenses through 12/30 from DOL. Reduced by \$132,839 on 12/8 based on revised estimates from DOL.
189. DO140000	Additional Staff to Support UI claimload, integrity, Call center OT and system enhancements.	\$ 11,593,257	\$ 11,593,257	Approved					\$ 11,593,257						Costs related to printing EO 766 - TTT in various papers as required by statute
190. DMS16000	COVID related expenses - IT Equipment \$42,104 (100 Laptops, WiFi routers and firewalls), Zoom conferencing \$408 and PPE and cleaning supplies \$40,911.	\$ 153,423	\$ -	Approved					\$ 153,423						Funding of \$1825,622 was initially allotted. Allotment was increased in Nov by \$824,207 to support vendor support of additional 30 staff and OT for overpayment of UI benefits.
191. SOS12500	Cost of publishing various Executive Orders	\$ 124,216	\$ -	Approved					\$ 124,216						To allow staff to telework - Remote desktop services; Laptop & accessories; and monitors, webcams, and webx subscriptions to allow court to conduct hearings.
192. DO140000	Support for a vendor solution to address the overpaid unemployment insurance claims during the pandemic	\$ 2,659,839	\$ -	Approved					\$ 2,659,839						• Project # 2: Mobile Data Terminal (MDT) Internet Project and Project # 3: Law Enforcement Encrypted Mobile Application total \$350,000
193. PCA98000	PCA - 1 - Remote Desktop Services; Laptop and Accessories	\$ 55,574	\$ -	Approved					\$ 55,574						Content effort may have some portion allocated to SERC with guidance from SDE. Allocation Reduced on 12/11 to \$300,000 from \$2 million.
194. DPS32000	Funds for DESPP/CSP to move away from face-to-face contact and replace inefficient electronic communications. See project list in Notes.	\$ 350,000	\$ -	Approved					\$ 350,000						
195. SDE64000	Content and Social Emotional Learning	\$ 300,000	\$ -	Approved					\$ 300,000						

Agency	Item	Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source						Notes					
		Gross Cost SFY 2020	Gross Cost SFY 2021		Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX		Federal - Other	Philanthropy			
196. DOL40000	Shared Work Surge Support and Automation	\$	\$ 1,726,720	\$ 1,726,720	Approved					\$ 1,726,720						To support expenditures up to approved amount for 3 month SOW with Accenture to provide surge support in Shared Work program and implement automation of same program. Support for post 12/30 from SBR.
197. DAS23000	Durational staff to perform project management, analysis and support through December	\$	\$ 321,750	\$ 321,750	Approved					\$ 321,750						BCG contract expiration requires ongoing staff support for COVID response. Estimate based on 6 DPMs @ \$5,500/month/each plus fringes.
198. OPM20000	Municipalities - non-education assistance for COVID-related costs	\$	\$ 60,000,000	\$ 60,000,000	Approved					\$ 60,000,000						The public demand for DMV services is high and with the new appointment only system, the DMV is anticipating the need for control of both foot and vehicle traffic to keep both the citizens and staff safe.
199. SDF64000	additional contingency for devices for remote learning	\$	\$ 7,000,000	\$ 7,000,000	Approved					\$ 7,000,000						Update 12/07/2020 - increased by \$75,000 to reflect actual costs. Funding transferred from other DMV approved items that were reduced.
200. DMV35000	Funds to cover additional unarmored guards needed through 12/31/20.	\$	\$ 1,297,863	\$ 1,297,863	Approved					\$ 1,297,863						Update 12/07/2020 - reduced by \$5,667 to reflect actual costs. Funding transferred to other DMV approved items.
201. DMV35000	Additional \$20,714.80 to the approved Appointment Project (Item #115) to purchase the licenses and setup to enable SMS integration (Text Messaging) for appointments and cancellations.	\$	\$ 15,078	\$ 15,078	Approved					\$ 15,078						DOC was asked to change labs for COVID testing as the existing lab, Quest, was facing national delays in processing samples. DOC is moving to SEMA4 and Jackson labs to process their testing samples, but there will be a cost of \$39,000 each to integrate the lab with DOC's EMR system.
202. DOC88000	Integration to DOC's EMR System for SEMA4 and Jackson Labs Testing	\$	\$ 78,000	\$ 78,000	Approved					\$ 78,000						DESPP is requesting \$1.5M in CRF for overtime plus use of \$700K of the \$1.4M in funds allotted for Housing (approved item #64) be redirected to this Personal Services overtime request, totaling \$2.2M for projected overtime expenses in SFY 21. CSP continues Warehouse detail and DEMHS continues Emergency Management for COVID. Additionally, DESPP has a backlog in SPBI and Fingerprinting that will require additional hours to process; all are related to reduced/shutdown of services during the height of the pandemic. We have been told to expect an increase from OEC, DPH, school security guards as well as bus drivers in the coming weeks. We also anticipate a need in the late fall for CSP services at the testing centers and vaccine centers. In the first 3 PP (through 7/16/20) DESPP has expended nearly \$190K in overtime coded to TASK Code P6881 (Covid 19 Response).
203. DPM32000	Funds for staff overtime.	\$	\$ 2,200,000	\$ 2,200,000	Approved					\$ 2,200,000						Funding through the CT Association of Councils of Governments for assistance from the Global Resilience Institute (GRI).
204. OPM20000	Recovery planning through COGS	\$	\$ 1,250,000	\$ 1,250,000	Approved					\$ 1,250,000						Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
205. UHC72000	Capital Purchases, Telework, Lab & Medical Supplies, PPE, Facilities, Overtime for Medical Staff	\$	\$ 3,188,263	\$ 1,599,984	Approved					\$ 4,748,247						Fog microban disinfectant, steam, and HVAC duct cleaning at 2 facilities operated by the State Library.
206. CSL66000	Facility cleaning - CT Records Center and Library for the Blind and Physically Handicapped	\$	\$ 11,728	\$ 11,728	Approved					\$ 11,728						To safely operate the State Library's statewide delivery service, 75 sheds were installed at libraries around the state that could not provide keys or access codes to facilitate contactless delivery of library materials.
207. CSL66000	Installation of outdoor sheds for contactless delivery of library materials	\$	\$ 15,990	\$ 15,990	Approved					\$ 15,990						Funds will be utilized for vendor solution by 12/30 - 2 wk. implementation timeline.
208. OPM20000	COVID testing at assisted living facilities	\$	\$ 25,255,444	\$ 25,255,444	Approved					\$ 25,255,444						Allocation reduced by \$3.9 million on 12/15 from \$41 to \$37.1 million
209. DOL40000	Purchase Virtual Hold Technology for the DOL Contact Center which will allow callers to get called back as opposed to waiting on the line or to schedule an appointment.	\$	\$ 493,000	\$ 493,000	Approved					\$ 493,000						
210. SDF64000	Academic Re-Opening Costs	\$	\$ 37,100,000	\$ 37,100,000	Approved					\$ 37,100,000						
211. SDF64000	Student Supports Re-Opening Costs	\$	\$ 9,000,000	\$ 9,000,000	Approved					\$ 9,000,000						
212. SDF64000	Cleaning/PPE Re-Opening Costs	\$	\$ 60,200,000	\$ 60,200,000	Approved					\$ 60,200,000						
213. SDF64000	Transportation Re-Opening Costs	\$	\$ 20,600,000	\$ 20,600,000	Approved					\$ 20,600,000						
214. ECD46000	ReOpenCT - Surveys to determine when to open CT	\$	\$ 60,000	\$ 60,000	Approved					\$ 60,000						Agency believes this may be FEMA reimbursable
215. ECD46000	Overtime costs and other COVID19 related expenditures	\$	\$ 104,411	\$ 104,411	Approved					\$ 104,411						Revised 12/3/20 (reduced by \$500)
216. ECD46000	DECOD Phase 2 graphics and translation	\$	\$ 80,535	\$ 80,535	Approved					\$ 80,535						PPE, signage and other supplies needed for libraries to open up indoor and outdoor spaces for people to safely use expanded public WiFi. Does not include staffing costs.
217. CSL66000	Safety measures for public WiFi in libraries	\$	\$ 2,600,000	\$ 2,600,000	Approved					\$ 2,600,000						\$1.7M for the Connecticut Education Network to install wifi infrastructure and \$300,000 to DAS for wifi marketing contract. NOTE - Revised to \$1M total, \$700K for wifi, \$300K for marketing. \$1M will come from GEER funds 12/3/20
218. DAS23000	CEN Wi-Fi infrastructure and wi-fi marketing	\$	\$ 1,000,000	\$ 1,000,000	Approved					\$ 1,000,000						Welcome center, COVID19 supplies, OT for arts grants - Revised 1/11
219. ECD46000	Welcome centers, OT for arts grants	\$	\$ 29,382	\$ 29,382	Approved					\$ 29,382						

Agency	Item	Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source						Notes					
		Gross Cost SFY 2020	Gross Cost SFY 2021		Gross Total	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA		Federal - T-XIX	Federal - Other	Philanthropy		
220.	UHC72000 Capital Purchases, Telework, Lab & Medical Supplies, PPE, Facilities, Overtime for Medical Staff	\$ -	\$ 3,939,795	\$ 3,939,795	Approved					\$ 3,939,795						Total actuals and projected COVID-related costs at UConn Health projected through 12/30/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
221.	UO-CG7000 Academic preparation, PPE, medical supplies/drugs, Research, health & safety services, shipping, telework, etc.	\$ -	\$ 1,066,584	\$ 1,066,584	Approved					\$ 1,066,584						Ongoing COVID response costs at UConn Storrs/Regional campuses reported as of 8/21/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
222.	BOR77700 Devised Equipment	\$ 2,151,055	\$ -	\$ 2,151,055	Approved					\$ 2,151,055						Does not include revenue losses. Cost updated to reflect most recent data from BOR. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.
223.	SOS12500 Cost of publishing various Executive Orders	\$ -	\$ 150,557	\$ 150,557	Approved					\$ 150,557						
224.	OTT14000 Laptops (\$25,257), gloves and cleaning supplies (\$21,06)	\$ -	\$ 27,363	\$ 27,363	Approved					\$ 27,363						
225.	Various Public health and public safety staff costs	\$ -	\$ -	\$ -	Approved					\$ -						Component of October 1 deficit mitigation plan. \$100 million no longer needed given latest GP projections. CRF can be re-deployed to testing, vaccines and other direct COVID costs.
226.	OPM20000 Funding for Councils of Government to support direct expenses and legal costs for executive order support	\$ -	\$ 415,000	\$ 415,000	Approved					\$ 415,000						
227.	ECDA6000 Pandemic outreach text translation and creation of indoor/outdoor event guidance	\$ -	\$ 14,387	\$ 14,387	Approved					\$ 14,387						
228.	ECDA6000 Workforce Development and Employment Initiatives	\$ -	\$ 13,659,822	\$ 13,659,822	Approved					\$ 13,659,822						Revised downward by \$1M 11/25 from \$15M to reflect actual expenditures by 12/30 of contracted vendors. Final expenditures figure updated 4/27/21.
229.	SDE64000 CTECs Re Opening	\$ -	\$ 4,088,890	\$ 4,088,890	Approved					\$ 4,088,890						
230.	DDSS0000 Dental Clinic Ventilation	\$ -	\$ 25,000	\$ 25,000	Approved					\$ 25,000						To support an upgrade to the ventilation in the Norwich dental clinic, which provides services to individuals with intellectual disability, to ensure proper ventilation. Dental procedures may generate aerosolized droplets which increase the risk of transmitting coronavirus.
231.	OUM10000 Web Conferencing, Constituent Outreach, Premises Cleaning, PPE & Supplies	\$ -	\$ 72,523	\$ 72,523	Approved					\$ 72,523						Web Conferencing (Software to conduct virtual meetings), Constituent Outreach (Town hall calls, where legislator outreach to their constituents during this period where they can't meet with them in person and hiring translators for the hearing impaired during that outreach), Premises Cleaning, PPE & Supplies
232.	OSCI5000 Plexiglass Installation for OSC Office Reopening	\$ -	\$ 265,896	\$ 265,896	Approved					\$ 265,896						For the health and safety of the OSC workforce and to assist with continuity of state operations during the pandemic. Updated 12/8/2020 - vendor missed four workstations in the original quote. Added an additional \$2,472.20 beyond the original price quoted.
233.	DCF91000 Stipend for Foster Families	\$ -	\$ 1,039,271	\$ 1,039,271	Approved					\$ 1,039,271						To pay \$100 stipend per month per child in foster care for 3 months.
234.	BOR77700 Instruction, Student Support, and Technology exceeding available HEER funding at CSUs and COSC	\$ 3,379,595	\$ -	\$ 3,379,595	Approved					\$ 3,379,595						Costs reported as of 5/20/20 include institutional costs at State Universities and Charter Oak State College to off-campus courses in Spring 2020 semester, including supplies and technology needed for instruction and remote learning. Does not include student refunds or lost revenue. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
235.	OPM20000 Temporary assistance with Treasury OIG reporting requirements	\$ -	\$ 6,786	\$ 6,786	Approved					\$ 6,786						Funding of public safety costs through CRF will complement CE5F funds intended to support municipal policing efforts associated with addressing violent crime.
236.	OPM20000 Support for Hartford, New Haven and Bridgeport police overtime costs for specialized units.	\$ -	\$ 375,000	\$ 375,000	Approved					\$ 375,000						Total actuals and projected COVID-related costs at Storrs and Regional campuses projected through 12/30/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
237.	UO-CG7000 Academic preparation, PPE, medical supplies/drugs, Research, health & safety services, shipping, telework, etc.	\$ -	\$ 6,571,980	\$ 6,571,980	Approved					\$ 6,571,980						Medical staffing needed due to staff shortages (covers July through December)
238.	DOC88000 Temporary Staffing Due to Staff Shortages	\$ -	\$ 1,500,000	\$ 1,500,000	Approved					\$ 1,500,000						The cost of equipment (video conferencing, webcam, laptops, software and consulting services) to provide courtroom with remote access capability totals \$1,607M. The PPE for staff working across the branch is \$29K. The services for additional cleaning of courthouse buildings totals \$29K. The installation of office barriers costing \$223K will assist with social distancing. Cell phones for remote workers totaled \$22K and in the Detention Centers to limit exposure \$133K is being spent to perform temperature screening. Lastly community based services programs received COVID related expenditures of \$175K. November 19 - Judicial revised request from \$2,319,046 to \$1,206,056.31.
239.	JUD95000 Equipment for videoconferencing, PPE, additional cleaning services, office barriers	\$ -	\$ 1,206,056	\$ 1,206,056	Approved					\$ 1,206,056						Plexiglass is needed for the health and safety of the TRB workforce which currently has the low partitions in the SOB.
240.	TRB77500 Plexiglass Installation for TRB Office	\$ -	\$ 24,572	\$ 24,572	Approved					\$ 24,572						

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source						Notes		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021		Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX		Federal - Other	Philanthropy
241.	DMV35000												Due to being open on Mondays plus staying opening additional hours in response to the backlog caused by COVID-19, DMV anticipates needing an additional \$300,000 to cover temperature screening by medical professionals. Update 12/07/2020 - increased by \$15,000 to reflect actual costs. Funding transferred from other approved items that were reduced.
242.	DMV35000	\$ -	\$ 315,000	Approved			\$ 315,000						
243.	SOS12500												
244.	ECDA6000	\$ -	\$ 143,168	Approved			\$ 143,168						Funding for the redesign and configuration of the Knowledge Test areas throughout the branches. This will allow the agency to increase the number of knowledge tests given while staying within the COVID19 social distancing restrictions. Update 12/07/2020 - reduced by \$11,832 to reflect actual costs. Funding transferred to other approved items.
245.	OFC64800	\$ -	\$ 147,000	Approved			\$ 147,000						To fund costs related to printing COVID-related executive orders in various papers as required by statute. Approved by MM 12/12/20
246.	OSCL5200	\$ -	\$ 9,000,000	Approved			\$ 9,000,000						Grants to nonprofit arts organizations (performing arts centers, performing groups, and schools of the arts)
247.	ECDA6000	\$ -	\$ 1,175,060	Approved			\$ 1,175,060						Funds to support remote learning slots for ECE in an effort to reach children who did not enroll in pre-k and kindergarten due to the pandemic
248.	ECDA6000	\$ -	\$ 40,000,000	Approved			\$ 40,000,000						Testing and treatment of active and retired state employees and their dependents through the state health insurance plan
249.	ECDA6000	\$ -	\$ 50,000,000	Approved			\$ 50,000,000						Support for businesses
250.	UO-CG7000	\$ -	\$ 29,120	Approved			\$ 29,120						Overtime for employees supporting COVID Business Programs
251.	DOC88000	\$ -	\$ 1,345,434	Approved			\$ 1,345,434						Provide funding to the Office of the Arts in DECD to support Connecticut Humanities
252.	DAS23000	\$ -	\$ 207,025	Approved			\$ 207,025						Student Fee Refunds
253.	DAS23000	\$ -	\$ 47,832	Approved			\$ 47,832						COVID Related Temporary Services Costs for Inmate Medical Services
254.	DAS23000	\$ -	\$ 279,846	Approved			\$ 279,846						Costs at 424 Chapel St. Decontamination Units Location
255.	DAS23000	\$ -	\$ 85,081	Approved			\$ 85,081						Costs related to converting SCU Moore Field House to Mobile Field Hospital
256.	DAS23000	\$ -	\$ 44,712	Approved			\$ 44,712						IT And Consulting Costs
257.	CRD47200	\$ -	\$ 718,125	Approved			\$ 718,125						Security Guard Expense COVID Testing Sites
258.	OLM10000	\$ -	\$ 129,388	Approved			\$ 129,388						Medical Supplies/PPE/Safety Equipment and Security Costs
259.	ECDA6000	\$ -	\$ 35,000,000	Approved			\$ 35,000,000						Web Conferencing, Constituent Outreach, Premises Cleaning, PPE & Supplies
260.	DMV35000	\$ -	\$ 23,163	Approved			\$ 23,163						Supplemental Small Business Support Grants
261.	0AG29000	\$ -	\$ 76,451	Approved			\$ 76,451						Media Response Plan for COVID-19 Preparedness
262.	SDBE4000	\$ -	\$ 1,700,000	Approved			\$ 1,700,000						Plexiglass Installation for OAG Office Reopening
263.	0AG29000	\$ -	\$ 20,623	Approved			\$ 20,623						Devices for adult education
264.	DOI40000	\$ -	\$ 5,040,000	Approved			\$ 5,040,000						Plexiglass Installation for OAG Office Reopening
265.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Call Center Support thru 6/30 for 80 Temp Staff
266.	DOI40000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Post-December communications related to testing and vaccines
267.	DOI40000	\$ -	\$ 18,000,000	Approved			\$ 18,000,000						Call Center Support thru 6/30 for 80 Temp Staff
268.	DAS23000	\$ -	\$ 97,652	Approved			\$ 97,652						Post-December communications related to testing and vaccines
269.	DAS23000	\$ -	\$ 41,550	Approved			\$ 41,550						Call Center Support thru 6/30 for 80 Temp Staff
270.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
271.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
272.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
273.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
274.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
275.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
276.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
277.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
278.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
279.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
280.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
281.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
282.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
283.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
284.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
285.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
286.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
287.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
288.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
289.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
290.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
291.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
292.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
293.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
294.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
295.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
296.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
297.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
298.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
299.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
300.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
301.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
302.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
303.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
304.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
305.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
306.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
307.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
308.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
309.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
310.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
311.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
312.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
313.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
314.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
315.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
316.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
317.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
318.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
319.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
320.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
321.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
322.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
323.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
324.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
325.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
326.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
327.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
328.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
329.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
330.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
331.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
332.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
333.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000						Call Center Support thru 6/30 for 80 Temp Staff
334.	GOV12000	\$ -	\$ 3,000,000	Approved			\$ 3,000,000						Post-December communications related to testing and vaccines
335.	GOV12000	\$ -	\$ 4,500,000	Approved			\$ 4,500,000	</					

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Funding Source							Notes		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	
293.	DHE66500 College Corps for Summer Enrichment programs		\$ 1,500,000	Approved				\$ 1,500,000					Funding committed to OHE to support Fairfield University in administering a College Corps of students to staff the various Summer Enrichment programs the state is seeking to support and expand access to. An equivalent amount of funding is anticipated from ARPA funds pending negotiations.
294.	OTT14000 Laptops (\$26,882.25) and pexiglass (\$132,000.57)		\$ 158,883	Approved				\$ 158,883					
295.	DRS16000 Economic Assistance Payments to Impacted Individuals		\$ 10,000,000	Approved				\$ 10,000,000					
296.	TBD Economic Support and Business Relief		\$ 1,726,720	Approved				\$ 1,726,720					
297.	TBD Education - Reopening		\$ 5,911,110	Approved				\$ 5,911,110					
298.	TBD Higher Education		\$ 437,365	Approved				\$ 437,365					
299.	TBD Housing - Rent Relief		\$ 200,000	Approved				\$ 200,000					
300.	TBD Municipalities - General COVID Costs		\$ 50,000,000	Approved				\$ 50,000,000					
301.	TBD Municipalities - General COVID Costs		\$ 2,892,360	Approved				\$ 2,892,360					
302.	TBD Nursing Homes		\$ 3,542,574	Approved				\$ 3,542,574					
303.	TBD PPE and Supplies		\$ 32,500,000	Approved				\$ 32,500,000					
304.	TBD Reserve		\$ 41,577,514	Approved				\$ 41,577,514					
305.	TBD State Operations		\$ 19,223,977	Approved				\$ 19,223,977					
306.	TBD Unemployment Support		\$ 1,250,000	Approved				\$ 1,250,000					
	Subtotal - Additional Expenditures	\$ 853,501,023	\$ 1,274,090,289		\$ -	\$ 66,401,874	\$ 48,345,785	\$ 1,384,776,776	\$ 332,212,407	\$ 170,795,500	\$ 277,634,417	\$ 4,616,573	
Revenue Items													
1.	Rev Pass-through Entity Tax - Delay March 15, 2020 payment date to July 15, 2020	\$ (333,333)		Approved		\$ (333,333)							Cash flow impact, loss of interest
2.	Rev Corporation Tax - Delay May 15, 2020 payment date to July 15, 2020	\$ (166,667)		Approved		\$ (166,667)							Cash flow impact, loss of interest
3.	Rev Unrelated Business Income - Delay May 15, 2020 payment date to July 15, 2020	\$ (1,667)		Approved		\$ (1,667)							Cash flow impact, loss of interest
4.	Rev Indian Gaming Payments - Defer March 15 & April 15, 2020 Payment to Sep. through Dec.	\$ (28,600,000)	\$ 28,600,000	Approved		\$ (28,600,000)	\$ 28,600,000						Cash flow impact, shift of revenue from FY20 to FY21
5.	Rev Personal Income Tax - Delay April 15 1st estimate payment to July 15	\$ (1,119,556)		Approved		\$ (1,119,556)							Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
6.	Rev Personal Income Tax - Delay April 15 1st estimate payment to July 15	\$ (166,813)		Approved		\$ (166,813)							Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
7.	Rev Personal Income Tax - Delay June 15 2nd estimate payment to July 15	\$ (99,521)		Approved		\$ (99,521)							Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
8.	Rev LPE & MVR - Delay Various Licenses and Registrations by 180 Days	\$ (37,000,000)	\$ 37,000,000	Approved		\$ (37,000,000)	\$ 37,000,000						STF. Shift of revenue from FY20 to FY21
9.	Rev Sales and Use Tax - Waive 10-cent plastic bag fee through June 30 ann. lab. <5150k	\$ (1,800,000)		Approved		\$ (1,800,000)							Revenue loss for 1.5 months
10.	Rev LPE - DPH Delay Various Licenses	\$ (71,681)		Approved		\$ (71,681)							Cash flow impact, loss of interest
11.	Rev LPE - DPH Delay Various Licenses	\$ (10,000,000)	\$ 10,000,000	Approved		\$ (10,000,000)	\$ 10,000,000						Per order of DPH Commissioner
12.	Rev Gift Tax - Delay April 15 final payment date to July 15	\$ (10,000,000)	\$ 10,000,000	Approved		\$ (10,000,000)	\$ 10,000,000						Cash flow impact, loss of interest
13.	Rev LPE - Extend Term for On-Premise Liquor License by 4 months	\$ (1,800,000)		Approved		\$ (1,800,000)							One-time revenue loss due to extension
14.	Rev Corporation Tax - Delay June 15 2nd estimated payment to July 15	\$ (98,333)		Approved		\$ (98,333)							Cash flow impact, loss of interest
15.	Rev Unrelated Business Income - Delay June 15 2nd estimated payment to July 15	\$ -	\$ -	Approved		\$ -	\$ -						Cash flow impact, loss of interest - included in Corp. Tax figure above
16.	Rev Pass-through Entity Tax - Delay June 15 2nd estimated payment to July 15	\$ (62,083)		Approved		\$ (62,083)							Cash flow impact, loss of interest
17.	Rev Estate Tax - Delay payments due from 4/1-7/15 to July 15	\$ (40,000,000)	\$ 40,000,000	Approved		\$ (40,000,000)	\$ 40,000,000						Cash flow impact, loss of interest
18.	Rev LPE - DEEP 90 Day extension for Environmental Quality Fee	\$ (2,500,000)	\$ 2,500,000	Approved		\$ (2,500,000)	\$ 2,500,000						Shift of revenue from FY20 to FY21
19.	Rev Refunds of Taxes - delay in refund payments due to extensions of tax filing dates	\$ 150,200,000	\$ (150,200,000)	Approved		\$ 150,200,000	\$ (150,200,000)						Shift of refund payments from FY20 to FY21
	Subtotal - Revenue Loss	\$ 16,303,346	\$ (22,100,000)		\$ -	\$ 16,303,346	\$ (22,100,000)	\$ -	\$ -	\$ -	\$ -	\$ -	
	Grand Total Impact	\$ 837,120,677	\$ 1,296,190,289		\$ -	\$ 50,202,158	\$ 70,445,785	\$ 1,384,776,776	\$ 332,212,407	\$ 170,795,500	\$ 277,634,417	\$ 4,616,573	

State of Connecticut
Summary of Changes - FY 2021
General Fund and Special Transportation Fund
Projected to June 30, 2021
As of April 30, 2021
(In Millions)

General Fund

Balance from Operations - Prior Month		\$	249.8
Revenues			
Personal Income Tax - Withholding	50.0		
Personal Income Tax - Estimates and Finals	300.0		
Sales and Use Tax	41.0		
Corporation Tax	50.0		
Inheritance and Estate Tax	25.0		
Transfer to BRF - Volatility	(300.0)		
All Other Changes (Net)	10.2		176.2
Expenditures			
Additional Requirements	16.5		
Estimated Lapses	28.0		
Miscellaneous Adjustments/Rounding	0.0		44.5
Operating Surplus - FY 2021		\$	470.5

Budget Reserve Fund

Fund Balance as of June 30, 2020		\$	3,074.6
Deposit to SERS/TRS Pursuant to Sec. 4-30a, CGS	(61.6)		
Volatility Cap Deposit	1,005.1		
FY 2021 Est. Balance from Operations	470.5		1,414.0
Estimated Fund Balance - June 30, 2021		\$	4,488.6
Fund Balance as Percentage of FY 2021 General Fund			22.3%

Special Transportation Fund

Fund Balance as of June 30, 2020		\$	168.4
Balance from Operations - Prior Month			(24.0)
Revenues			
Sales and Use Tax	3.9		3.9
Expenditures			
Additional Requirements	(0.1)		
Estimated Lapses	25.7		
Miscellaneous Adjustments/Rounding	0.0		25.6
Estimated Fund Balance - June 30, 2021		\$	173.9

State of Connecticut
General Fund
Statement of FY 2021 Revenues, Expenditures, and Results of Operations
Projected to June 30, 2021
As of April 30, 2021
(In Millions)

	General Assembly Budget Plan ¹	Revised Estimates OPM	Over/ (Under)
REVENUE			
Taxes	\$ 18,873.4	\$ 19,927.5	\$ 1,054.1
Less: Refunds	(1,484.7)	(1,973.9)	(489.2)
Taxes - Net	\$ 17,388.7	\$ 17,953.6	\$ 564.9
Other Revenue	1,345.1	1,356.9	11.8
Other Sources	1,518.7	743.2	(775.5)
TOTAL Revenue	\$ 20,252.5	\$ 20,053.7	\$ (198.8)
EXPENDITURES			
Initial Current Year Appropriations	\$ 20,395.7	\$ 20,395.7	\$ -
Prior Year Appropriations Continued to FY 2021 ²		139.0	139.0
TOTAL Initial and Continued Appropriations	\$ 20,395.7	\$ 20,534.7	\$ 139.0
Appropriation Adjustments	-	-	-
TOTAL Adjusted Appropriations	\$ 20,395.7	\$ 20,534.7	\$ 139.0
Net Additional Expenditure Requirements		169.1	169.1
Estimated Appropriations Lapsed	(309.4)	(981.6)	(672.2)
Estimated Appropriations to be Continued to FY 2022 ²		-	-
TOTAL Estimated Expenditures	\$ 20,086.3	\$ 19,722.2	\$ (364.1)
Net Change in Fund Balance - Continuing Appropriations		(139.0)	(139.0)
Miscellaneous Adjustments/Rounding		-	-
Net Change in Unassigned Fund Balance - 6/30/2021	\$ 166.2	\$ 470.5	\$ 304.3

1. . P.A. 19-117 as amended by P.A. 19-1, December Special Session. Note that CGS Sec. 2-33c limits appropriations in FY 2021 to 99.25% of General Fund revenue. As a result, the \$166.2 million budgeted surplus is comprised of \$151.1 million due to this 99.25% limitation, plus a \$15.1 million operating surplus.

2. CGS Sec. 4-89 and other statutory provisions.

State of Connecticut
General Fund
FY 2021 Revenue Estimates
Projected to June 30, 2021
As of April 30, 2021
(In Millions)

	General Assembly Budget Plan ^{1.}	Revised Estimates OPM	Over/ (Under)
TAXES			
Personal Income - Withholding	\$ 7,168.5	\$ 7,115.6	\$ (52.9)
Personal Income - Estimates and Finals	2,836.9	2,938.7	101.8
Sales and Use	4,588.4	4,677.8	89.4
Corporation	1,082.5	1,040.0	(42.5)
Pass-through Entity Tax	850.0	1,471.3	621.3
Public Service Corporations	244.7	254.1	9.4
Inheritance and Estate	146.3	307.6	161.3
Insurance Companies	205.8	229.6	23.8
Cigarettes	326.9	348.9	22.0
Real Estate Conveyance	230.6	365.6	135.0
Alcoholic Beverages	69.7	76.2	6.5
Admissions and Dues	41.5	36.8	(4.7)
Health Provider Tax	1,033.6	1,049.5	15.9
Miscellaneous	48.0	15.8	(32.2)
TOTAL - TAXES	\$ 18,873.4	\$ 19,927.5	\$ 1,054.1
Less: Refunds of Taxes	(1,378.9)	(1,866.5)	(487.6)
Earned Income Tax Credit	(100.6)	(101.6)	(1.0)
R & D Credit Exchange	(5.2)	(5.8)	(0.6)
TOTAL - TAXES - NET	\$ 17,388.7	\$ 17,953.6	\$ 564.9
OTHER REVENUE			
Transfers - Special Revenue	\$ 376.6	\$ 400.3	\$ 23.7
Indian Gaming Payments	225.4	232.3	6.9
Licenses, Permits, Fees	384.3	328.8	(55.5)
Sales of Commodities and Services	31.0	24.3	(6.7)
Rents, Fines, Escheats	160.9	190.5	29.6
Investment Income	52.9	3.5	(49.4)
Miscellaneous	181.7	216.9	35.2
Refunds of Payments	(67.7)	(39.7)	28.0
TOTAL - OTHER REVENUE	\$ 1,345.1	\$ 1,356.9	\$ 11.8
OTHER SOURCES			
Federal Grants	\$ 1,571.5	\$ 1,506.6	\$ (64.9)
Transfer from Tobacco Settlement Fund	114.5	133.1	18.6
Transfers From/(To) Other Funds	134.2	108.6	(25.6)
Transfers to BRF - Volatility Adjustment ^{2.}	(301.5)	(1,005.1)	(703.6)
TOTAL - OTHER SOURCES	\$ 1,518.7	\$ 743.2	\$ (775.5)
TOTAL - GENERAL FUND REVENUE	\$ 20,252.5	\$ 20,053.7	\$ (198.8)

1. Sec. 386 of P.A. 19-117 as amended by Sec. 8 of P.A. 19-1, December Special Session.

2. The volatility cap for FY 2021 is \$3,404.9 million. Total revenue from the Estimates and Finals portion of the Personal Income Tax and the Pass-through Entity Tax that exceeds the volatility cap will be deposited into the Budget Reserve Fund.

State of Connecticut - General Fund
FY 2021 Appropriation Adjustments - Net Additional Requirements
Projected to June 30, 2021
As of April 30, 2021

Department of Economic and Community Development	\$	10,487,000
Office of the Chief Medical Examiner		485,000
University of Connecticut Health Center		50,000,000
Department of Correction		3,000,000
OSC - Miscellaneous (Adjudicated Claims)		30,000,000
OSC - Fringe Benefits		50,113,038
Additional COVID Testing Requirements		25,000,000
Total	\$	<u>169,085,038</u>

State of Connecticut
 General Fund
 Estimated FY 2021 Lapses
 Projected to June 30, 2021
 As of April 30, 2021

Unallocated Lapse	\$ 26,215,570
Unallocated Lapse - Judicial	5,000,000
Statewide Hiring Reduction - Executive	7,000,000
Contracting Savings Initiatives	15,000,000
Pension and Healthcare Savings (pension portion)	121,200,000
Pension and Healthcare Savings (healthcare portion)	135,000,000
Rescissions - October 1, 2020	25,290,909
Transfer Certain Public Health and Safety Expenses to CRF	-
Office of Legislative Management	6,000,000
Commission on Women, Children, Seniors, Equity & Opportunity	200,000
Secretary of the State	230,000
Elections Enforcement Commission	220,000
State Comptroller	750,000
Department of Revenue Services	1,200,000
Department of Veterans Affairs	500,000
Department of Administrative Services	2,500,000
Attorney General	350,000
Department of Consumer Protection	500,000
Department of Labor	2,440,619
Commission on Human Rights and Opportunities	125,000
Department of Agriculture	200,000
Department of Housing	3,500,000
Department of Public Health	1,967,105
Department of Developmental Services	15,400,000
Department of Mental Health and Addiction Services	200,000
Department of Social Services	439,744,102
Department of Aging and Disability Services	1,150,000
Department of Education	20,789,937
Office of Early Childhood	7,362,000
Office of Higher Education	238,000
Teachers' Retirement Board	4,300,000
Department of Children and Families	53,057,720
Judicial Department	9,075,000
Public Defender Services Commission	4,989,300
OTT - Debt Service	69,300,000
DAS - Workers' Compensation Claims	625,000
Total	<u>\$ 981,620,262</u>

State of Connecticut
FY 2021 General Fund
Monthly Summary of Operations
(In Millions)

	Budget Plan ¹	July 2020	August 2020	September 2020	October 2020	November 2020	December 2020	January 2021	February 2021	Revised March 2021	April 2021	May 2021	June 2021
REVENUE	\$20,252.5	\$ 18,056.5	\$ 18,056.5	\$ 18,510.6	\$ 18,837.2	\$ 19,018.1	\$ 19,761.7	\$ 19,761.7	\$ 19,821.7	\$ 19,877.5	\$ 20,053.7		
Appropriations	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7		
Additional Requirements	0.0	139.1	144.2	146.0	175.7	183.5	165.9	185.2	187.8	185.6	169.1		
Less: Estimated Lapses	(309.4)	(407.7)	(458.6)	(769.5)	(854.8)	(920.9)	(937.4)	(950.6)	(942.4)	(953.6)	(981.6)		
TOTAL - Estimated Expenditures	20,086.3	20,127.2	20,081.4	19,772.2	19,716.6	19,658.3	19,624.1	19,630.3	19,641.1	19,627.7	19,583.2	0.0	0.0
Operating Balance	166.2	(2,070.7)	(2,024.9)	(1,261.6)	(879.4)	(640.2)	137.6	131.4	180.6	249.8	470.5	0.0	0.0
Misc. Adjustments/Rounding	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
Est. Operating Balance - 6/30/21	\$166.2	(\$2,070.7)	(\$2,024.9)	(\$1,261.6)	(\$879.4)	(\$640.2)	\$137.6	\$131.4	\$180.6	\$249.8	\$470.5	\$0.0	\$0.0

1. P.A. 19-117 as amended by P.A. 19-1 of the December Special Session.

State of Connecticut
Special Transportation Fund
Analysis of FY 2021 Budget Plan
Projected to June 30, 2021
As of April 30, 2021
(In Millions)

	General Assembly <u>Budget Plan</u> ^{1.}	Revised Estimates <u>OPM</u>	Over/ <u>(Under)</u>
Fund Balance as of June 30, 2020	\$ 363.5	\$ 168.4	\$ (195.1)
REVENUE			
Taxes	\$ 1,375.5	\$ 1,242.0	\$ (133.5)
Less: Refunds of Taxes	<u>(15.0)</u>	<u>(15.0)</u>	<u>-</u>
Taxes - Net	1,360.5	1,227.0	(133.5)
Other Revenue	<u>520.3</u>	<u>488.4</u>	<u>(31.9)</u>
TOTAL - Revenue	\$ 1,880.8	\$ 1,715.4	\$ (165.4)
EXPENDITURES			
Appropriations	\$ 1,848.0	\$ 1,848.0	\$ -
Prior Year Appropriations Continued to FY 2021 ^{2.}		31.8	31.8
TOTAL Initial and Continued Appropriations	\$ 1,848.0	\$ 1,879.8	\$ 31.8
Appropriation Adjustments	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL Adjusted Appropriations	\$ 1,848.0	\$ 1,879.8	\$ 31.8
Net Additional Expenditure Requirements		1.1	1.1
Estimated Appropriations Lapsed	(31.7)	(139.2)	(107.5)
Estimated Appropriations to be Continued to FY 2022 ^{2.}		<u>-</u>	<u>-</u>
TOTAL Estimated Expenditures	\$ 1,816.3	\$ 1,741.7	\$ (74.6)
Net Change in Fund Balance - Continuing Appropriations		(31.8)	(31.8)
Miscellaneous Adjustments/Rounding		-	-
Net Change in Unassigned Fund Balance - FY 2021	\$ 64.5	\$ 5.5	\$ (59.0)
Estimated Fund Balance - June 30, 2021	<u>\$ 428.0</u>	<u>\$ 173.9</u>	<u>\$ (254.0)</u>

1. P.A. 19-117. Note that CGS Sec. 2-33c limits appropriations in FY 2021 to 99.25% of Special Transportation Fund revenue. As a result, the \$64.5 million budgeted surplus is comprised of \$14.1 million due to this 99.25% limitation, plus a \$50.4 million operating surplus.

2. CGS Sec. 4-89 and other statutory provisions.

State of Connecticut
Special Transportation Fund
FY 2021 Revenue Estimates
Projected to June 30, 2021
As of April 30, 2021
(In Millions)

	General Assembly Budget Plan ¹	Revised Estimates OPM	Over/ (Under)
TAXES			
Motor Fuels	\$ 505.1	\$ 471.4	\$ (33.7)
Oil Companies	330.2	210.5	(119.7)
Sales & Use Tax	454.1	455.0	0.9
Sales Tax DMV	86.1	105.1	19.0
TOTAL - TAXES	<u>1,375.5</u>	<u>1,242.0</u>	<u>(133.5)</u>
Less: Refunds of Taxes	(15.0)	(15.0)	-
TOTAL - TAXES - NET	<u>\$ 1,360.5</u>	<u>\$ 1,227.0</u>	<u>\$ (133.5)</u>
OTHER REVENUE			
Motor Vehicle Receipts	\$ 305.9	\$ 325.4	\$ 19.5
Licenses, Permits, Fees	146.6	129.6	(17.0)
Interest Income	36.7	2.3	(34.4)
Federal Grants	11.8	11.8	-
Transfers (To)/From Other Funds	24.5	24.5	-
Refunds of Payments	(5.2)	(5.2)	-
TOTAL - OTHER REVENUE	<u>\$ 520.3</u>	<u>\$ 488.4</u>	<u>\$ (31.9)</u>
TOTAL - SPECIAL TRANSPORTATION FUND REVENUE	<u>\$ 1,880.8</u>	<u>\$ 1,715.4</u>	<u>\$ (165.4)</u>

1. Sec. 387 of P.A. 19-117, as adjusted by provisions of P.A. 19-165.

Statement 3T
May 20, 2021

State of Connecticut
Special Transportation Fund
FY 2021 Appropriation Adjustments - Net Additional Requirements
Projected to June 30, 2021
As of April 30, 2021

Department of Administrative Services	\$ 1,075,000
Total	<u>\$ 1,075,000</u>

Statement 4T
May 20, 2021

State of Connecticut
Special Transportation Fund
FY 2021 Estimated Lapses
Projected to June 30, 2021
As of April 30, 2021

Unallocated Lapse	\$	-
Pension and Healthcare Savings		19,700,000
Department of Motor Vehicles		5,000,000
Department of Energy and Environmental Protection		100,000
Department of Transportation		17,500,000
OTT - Debt Service		94,000,000
OSC - Fringe Benefits		1,900,000
DAS - Workers' Compensation Claims		1,000,000
Total		<u>\$ 139,200,000</u>

State of Connecticut
FY 2021 Special Transportation Fund
Monthly Summary of Operations
(In Millions)

	Budget Plan ¹ .	July 2020	August 2020	September 2020	October 2020	November 2020	December 2020	January 2021	February 2021	Revised March 2021	April 2021	May 2021	June 2021
Beginning Balance ² .	\$ 363.5	\$ 169.0	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4
Revenue	1,880.8	1,688.3	1,688.3	1,697.2	1,690.6	1,689.6	1,690.7	1,690.7	1,690.7	1,711.5	1,715.4		
Total Available	2,244.3	1,857.3	1,856.7	1,865.6	1,859.0	1,858.0	1,859.1	1,859.1	1,859.1	1,879.9	1,883.8	0.0	0.0
Appropriations	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0		
Additional Requirements	0.0	0.0	0.0	1.2	1.2	0.0	0.0	1.0	1.0	1.0	1.1		
Less: Estimated Lapses	(31.7)	(83.7)	(93.8)	(96.8)	(96.8)	(97.8)	(97.8)	(98.3)	(108.0)	(113.5)	(139.2)		
TOTAL - Estimated Expenditures	1,816.3	1,764.3	1,754.2	1,752.4	1,752.4	1,750.2	1,750.2	1,750.7	1,741.0	1,735.5	1,709.9	0.0	0.0
Operating Balance	64.5	(76.0)	(65.9)	(55.2)	(61.8)	(60.6)	(59.5)	(60.0)	(50.3)	(24.0)	5.5	0.0	0.0
Misc. Adjustments/Rounding	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0		
Estimated Operating Balance 6/30/20	\$428.0	\$93.0	\$102.5	\$113.2	\$106.6	\$107.8	\$108.9	\$108.4	\$118.1	\$144.4	\$173.9	\$0.0	\$0.0

1. P.A. 19-117.

2. Budget plan and July as estimated by the Office of Policy and Management. August and thereafter based on OSC preliminary closing balance for FY 2020 from letter dated September 17, 2020.