



STATE OF CONNECTICUT

OFFICE OF POLICY AND MANAGEMENT

March 19, 2021

The Honorable Kevin Lembo
 State Comptroller
 165 Capitol Avenue
 Hartford, Connecticut 06106

Dear Comptroller Lembo:

Section 4-66 of the General Statutes requires that my office provide information on the state's General Fund for Fiscal Year 2021. An analysis of the Special Transportation Fund is also provided here due to the significance of this fund.

	FY 2021 Projection			Change in Estimate - Mar. vs. Feb.	Mar. Est. Variance from Budget
	Budget (as Revised Dec. 2019)	Feb. Estimate	Mar. Estimate		
	(in millions)				
<u>General Fund</u>					
Revenues	\$ 20,252.5	\$ 19,761.7	\$ 19,821.7	\$ 60.0	\$ (430.8)
Expenditures	20,086.3	19,630.3	19,641.1	10.8	(445.2)
Operating Results - Surplus/(Deficit)	\$ 166.2	\$ 131.4	\$ 180.6	\$ 49.2	\$ 14.4
<u>Budget Reserve Fund</u>					
Deposit / (Withdrawal)	\$ 467.7	\$ 424.9	\$ 674.1 ¹	\$ 249.2	\$ 206.4
Proj. Balance 6/30	\$ 3,542.3	\$ 3,499.5	\$ 3,748.7	\$ 249.2	\$ 206.4
<u>Special Transportation Fund</u>					
Revenues	\$ 1,880.8	\$ 1,690.7	\$ 1,690.7	\$ -	\$ (190.1)
Expenditures	1,816.3	1,750.7	1,741.0	(9.7)	(75.3)
Operating Results - Surplus/(Deficit)	\$ 64.5	\$ (60.0)	\$ (50.3)	\$ 9.7	\$ (114.8)
Proj. Fund Balance 6/30	\$ 423.4	\$ 108.4	\$ 118.1	\$ 9.7	\$ (305.3)
<u>Tourism Fund</u>					
Revenues	\$ 14.2	\$ 6.2	\$ 6.2	\$ -	\$ (8.0)
Expenditures	13.1	13.1	13.1	-	-
Operating Results - Surplus/(Deficit)	\$ 1.1	\$ (6.9)	\$ (6.9)	\$ -	\$ (8.0)
Proj. Fund Balance 6/30	\$ (0.1)	\$ (9.8)	\$ (9.8)	\$ -	\$ (9.7)
Notes:					
1. BRF deposit includes the transfer out of \$61.62 million pursuant to Sec. 4-30a, CGS, as the FY 2020 ending balance exceeds the statutory 15% cap. This sum would be deposited as an additional contribution to the State Employees Retirement Fund.					

General Fund

The adopted FY 2021 budget anticipates a \$166.2 million balance at year end. We are projecting an operating surplus of \$180.6 million, an improvement of \$49.2 million from last month due mainly to revised revenue trends. The projected surplus represents 0.9 percent of the General Fund.

Our estimates include anticipated state costs for the state's current pandemic response. The table attached to this letter outlines specific measures approved to date as part of that response.

Our forecast of the Budget Reserve Fund (BRF) balance at year end is depicted below. The state's reserves at the start of FY 2021 are \$3.07 billion, pending potential audit revisions as prior year results are finalized, or 15.3 percent of FY 2021 net General Fund appropriations. The projected Budget Reserve Fund balance at the end of the fiscal year, after transfers pursuant to the statutory volatility cap and the estimated FY 2021 operating surplus, is expected to reach \$3.75 billion, or 18.7 percent of current net General Fund appropriations.

Budget Reserve Fund	
	(in millions)
Estimated BRF Ending Balance - FY 2020 (OSC Est. 9/17/20)	\$ 3,074.6
Deposit to SERS pursuant to Sec. 4-30a, C.G.S. (OSC Est. 9/17/20)	\$ (61.6)
Projected Operating Surplus - FY 2021 (OPM 03/19/21 Est.)	180.6
Volatility Cap Deposit - FY 2021 (OPM 03/19/21 Est.)	<u>555.1</u>
Estimated BRF Ending Balance - FY 2021	\$ 3,748.6

Revenues

Estimated revenues have been revised upward this month by \$60 million. The largest change is in the Pass-Through Entity Tax, up \$200.0 million as collections in the months prior to the March due date were positive and collections in the month of March have already exceeded their target. The Real Estate Conveyance tax has been revised upward by \$40.0 million as collections remained strong through the winter months and mortgage interest rates remained favorable. Corporation tax revenues have been revised upward by \$30.0 million as estimated payments this fiscal year generally outpaced their targets. Offsetting these gains is the projected increase in the transfer to the Budget Reserve Fund by operation of the volatility cap totaling \$200.0 million related to the change in the Pass-through Entity Tax cited above.¹ Health Provider taxes have been revised downward by \$30.0 million as those collections continue to disappoint. All other changes net to a positive \$20.0 million. It should be noted that significant collections remain due under the Estimates and Finals portion of the Income Tax, the due date for which has been shifted by the Internal Revenue Service to May 17, 2021, and such collections will impact the ultimate transfer to the Budget Reserve Fund pursuant to the volatility cap. Projected FY 2021 revenues of \$19.76 billion are still \$430.8 million less than the adopted budget.

Expenditures

We are projecting that FY 2021 net expenditures will be below the amended budget plan by \$445.2 million, as explained further below.

¹ The volatility cap for FY 2021 is \$3,404.9 million. Deposits to the Budget Reserve Fund that exceed the 15% statutory cap will result in additional contributions to either the State Employees Retirement Fund or the Teachers' Retirement Fund.

Deficiencies. Projected shortfalls totaling \$187.8 million are forecast in the following agencies:

- Department of Economic and Community Development. A \$10.5 million shortfall is anticipated in the Capital Region Development Authority account, after the transfer approved January 7th by the Finance Advisory Committee. The remaining shortfall is due to pandemic-related event cancellations that have impacted and are expected to continue to impact attendance and associated revenues at the Pratt and Whitney Stadium at Rentschler Field, the XL Center and the CT Convention Center. In addition, the shortfall reflects the repayment of a temporary operating loan of \$1.5 million, and \$2.2 million owed for pre-pandemic life safety services provided by the City of Hartford for the XL Center.
- Office of the Chief Medical Examiner. A \$485,000 deficiency is estimated in Personal Services. This represents the annualized impact of the shortfall experienced in FY 2020.
- Department of Mental Health and Addiction Services. A total shortfall of \$6.3 million is projected due largely to the failure to enact FY 2020 deficiency appropriations and lack of FY 2021 budget adjustments addressing direct care costs. While June 2020 Finance Advisory Committee action addressed a portion of the FY 2020 deficiency, over \$2.1 million in prior year bills were held over for payment in FY 2021. We estimate a \$5.6 million shortfall in Other Expenses due largely to various facility maintenance and repair costs and increased software licensing costs, \$5.9 million in the Professional Services account for contracted medical services including contracted psychiatrists, \$2.8 million in the Workers' Compensation Claims account to reflect claim trends, and \$3.0 million in the Discharge and Diversion account to assist with discharges from Connecticut Valley Hospital to community settings for those no longer needing inpatient care. These shortfalls are partially offset by projected lapses of \$10.0 million in Personal Services due to vacancies, and \$800,000 in the Home and Community Based Services account as a result of fewer referrals and placements due to the pandemic.
- University of Connecticut Health Center. A shortfall of at least \$50.0 million is forecast. The FY 2020 budget included a fringe benefit subsidy of \$33.2 million to assist with stabilizing the Health Center's finances, but no subsidy was included in the FY 2021 budget plan. While deficiencies at higher education institutions do not have a direct impact on the General Fund, the magnitude and recurring nature of the Health Center's deficiencies may put additional pressure on state resources in FY 2021.
- Department of Correction. A net deficiency of \$3.65 million is projected. Shortfalls of \$2.0 million in Personal Services and \$2.0 million in Inmate Medical Services are forecast due to increased staff overtime, influenced in part by the impact of COVID-19 on operations. These lapses are offset by a \$365,000 lapse in the Board of Pardons and Paroles account due to vacancies.
- State Comptroller – Fringe Benefits. A total shortfall of \$61.9 million is anticipated. Of this amount, \$41.0 million is due to revised contribution requirements for the State Employees' Retirement System resulting from the June 30, 2019 valuation of the fund. A \$3.4 million shortfall is anticipated in the Judges Retirement System, also reflective of the June 30, 2019, valuation for that system. In addition, we anticipate shortfalls of \$1.4 million in the Unemployment Compensation account, \$3.0 million in the Employers Social Security Tax account, \$28.2 million in the State Employees Health Service Cost account, and \$2.0 million in the SERS Defined Contribution Match account. Partially offsetting these shortfalls are projected lapses of \$13.0 million in the Higher Education Alternative Retirement System account, \$2.0 million in the Retired State Employees Health Service Cost account, \$130,000 in the Pensions and Retirements – Other Statutory account, and \$2.0 million in the Other Post Employment Benefits account.
- State Comptroller – Miscellaneous. We estimate \$30.0 million in expenditures for Adjudicated Claims. No appropriation was made in the enacted budget for payment of these claims.
- Additional COVID Testing Requirements. It is anticipated that \$25.0 million of General Fund resources may be needed to support projected costs of COVID-19 testing. Testing costs are paid through several agencies depending on the population being tested, including the departments of Public Health and Social Services as well as the Office of the State Comptroller.

Lapses. Our projections reflect the amounts currently withheld from agencies to achieve budgeted lapse targets and rescissions implemented by the Governor on October 1st. Given the outlook for a surplus at year-end, we are not anticipating use of federal CARES Act funding to cover \$100 million of certain General Fund public health and public safety costs, enabling us to repurpose the CARES Act funding to support COVID testing, vaccine costs, and other critical expenses.

The following sums totaling \$607.7 million are estimated to remain unspent this fiscal year:

- Office of Legislative Management. A total of \$3.0 million is projected to lapse, with \$2.0 million in Personal Services and \$1.0 million in Other Expenses.
- Commission on Women, Children, Seniors, Equity & Opportunity. \$200,000 is projected to lapse.
- State Treasurer- Debt Service. A total lapse of \$69.3 million is forecast, with \$47.9 million associated with the timing of FY 2021 bond sales and revised estimates of the cost and interest rates for FY 2021 sales, and \$21.4 million adjustment in the UConn debt service account associated with moving the spring FY 2020 bond sale to the fall of FY 2021.
- Elections Enforcement Commission. \$150,000 is projected to lapse.
- Office of the State Comptroller. A \$200,000 lapse is projected in Personal Services due to vacancies.
- Department of Revenue Services. Personal Services will lapse \$900,000 due to vacancies.
- Department of Administrative Services. Personal Services will lapse \$600,000 due to vacancies.
- Workers' Compensation Claims – Department of Administrative Services. A \$600,000 lapse is forecast.
- Department of Consumer Protection. \$400,000 is estimated to lapse in Personal Services due to vacancies.
- Department of Labor. A net total of \$586,823 will lapse in a variety of accounts, including \$300,000 that will lapse in the Workforce Training Authority account as the Authority has not yet been formed.
- Commission on Human Rights and Opportunities. \$100,000 will lapse in Personal Services due to turnover savings.
- Department of Housing. A \$3.5 million lapse is forecast in the Housing/Homeless Services due to fewer transitions than budgeted in the Money Follows the Person program and a delay in the CHES program.
- Department of Public Health. A net \$1.57 million will lapse in Personal Services primarily as a result of vacancies.
- Department of Developmental Services. A net total of \$4.6 million will lapse, with \$4.0 million in Personal Services due to vacancies and \$600,000 in the Behavioral Services Program as more individuals are served in their own homes rather than in residential settings.
- Department of Social Services. A total of \$438.9 million is projected to lapse. This is primarily the result of an estimated \$400.0 million lapse in the Medicaid account due to the extension of the public health emergency declaration by the federal government, which maintains the enhanced level of federal reimbursement through June 30, 2021, thus reducing the state share of program costs, as well as lower levels of service utilization. Reduced caseloads will result in lapses of \$14.2 million in Temporary Family Assistance, \$8.8 million in Aid to the Disabled, \$5.7 million in the Connecticut Home Care Program, \$3.9 million in Old Age Assistance and \$2.3 million in State Administered General Assistance. Reduced caseloads and service utilization, coupled with the extension of enhanced federal reimbursement through June 30, 2021, are expected to result in a \$3.0 million lapse in the HUSKY B account. Personal Services will lapse \$500,000 due to vacancies. Other minor lapses total \$465,100.
- Department of Aging and Disability Services. A total lapse of \$850,000 is projected across a variety of accounts.

- Department of Education. A net total of \$17.6 million is projected to lapse. The Education Cost Sharing grant is underfunded by \$1.55 million. This is offset by a projected lapse of \$4.5 million in the Charter School account due to the closure of two charter schools and budgeted funding exceeding the number of approved charter school slots. A lapse of \$1.26 million is anticipated in the Bilingual Education account pursuant to section 10-17g of the General Statutes, as the budget included funds for several programs that are no longer in operation. In addition, lapses of \$1.7 million in the Open Choice Program account and \$11.5 million in the Magnet Schools account are projected based on current enrollment trends. Both accounts lapsed funding in FY 2020. Lastly, \$200,000 is projected to lapse in Personal Services due to vacancies.
- Office of Early Childhood. A total of \$7.3 million is projected to remain unspent. \$6.2 million will lapse in the Early Care and Education account due to natural turnover in enrollment that impacts funding requirements, as well as the availability of federal funding that will reduce state expenditures. The Birth to Three account will lapse \$1.0 million due to reduced service utilization, and Personal Services will lapse \$100,000 as a result of turnover savings.
- Office of Higher Education. A total of \$220,000 will lapse, with \$120,000 in Personal Services and \$100,000 in Other Expenses.
- Teachers' Retirement Board. A net total of \$3.79 million is projected to lapse. The Retirement Contributions account is underfunded by \$1.8 million, reflective of the employer contribution adjustment required due to the revised valuation adopted after passage of the biennial budget. This is offset by a \$5.1 million lapse in the Retiree Health Service Cost account due to health premiums that are lower than assumed in the adopted budget, as well as a \$400,000 lapse in the Municipal Retiree Health Insurance Costs account due to a decrease in the number of retired teachers eligible for the municipal subsidy.
- Department of Children and Families. A net lapse of \$44.5 million is anticipated across a variety of accounts due primarily to current caseload trends and reduced overtime expenses.
- Judicial Department. An overall lapse of \$6.6 million is projected. Personal Services is projected to lapse \$7.6 million and Other Expenses will lapse \$211,000, offset by a \$1.24 million shortfall in Workers' Compensation Claims.
- Public Defender Services Commission. A total lapse of \$2.24 million is projected across several accounts due to current cost trends.

Special Transportation Fund

The adopted budget anticipates a \$64.5 million balance from operations. We estimate that the Special Transportation Fund will end the year with a \$50.3 million operating deficit, and that the Transportation Fund balance on June 30, 2021, will be \$118.1 million.

Revenues

Projected revenues remain unchanged from last month and continue to reflect the January 15th consensus revenue forecast. As noted in prior forecasts, the overall reduction in revenues in the Special Transportation Fund compared to budgeted levels, coupled with the projected FY 2021 deficit, will require another significant drawdown from fund balance, accelerating the timeframe for a long-term financial and infrastructure investment solution. The FY 2020 Transportation Fund starting balance on July 1, 2019, was \$320.1 million and—as noted above—is estimated to close at \$118.1 million on June 30, 2021.

Expenditures

Our expenditure estimate has improved by \$9.7 million from last month's forecast. Expenditures are projected to be \$75.3 million better than budgeted due primarily to \$74.1 million in debt service savings

attributable to reduced interest costs and delayed timing of FY 2020 and anticipated future bond sales. Other projected lapses include: \$9.0 million in the Department of Transportation's Personal Services account due to vacancies, \$3.5 million in the Department of Motor Vehicles' Personal Services account due to vacancies, \$700,000 in the State Employees Health Service Cost account in the Office of the State Comptroller due to current expenditure trends, and \$1.0 million in the DAS – Workers' Compensation Claims account. These lapses will more than satisfy the \$12.0 million general lapse anticipated in the enacted budget. Offsetting these positive variances is a \$1.0 million shortfall in the State Insurance and Risk Management account under the Department of Administrative Services due to increased premiums.

Other Appropriated Funds

While Sec. 4-66, CGS, does not require that we provide analyses of other appropriated funds, we offer the following information about the status of the Regional Market Operation Fund and the Tourism Fund.

- Regional Market Operation Fund. Pursuant to Section 10 of Public Act 18-154, the Hartford Regional Market was conveyed to the Capital Region Development Authority and CRDA has assumed operation of that market. As a result, appropriations totaling \$1.1 million will lapse.
- Tourism Fund. The fund's revenue source is the Hotel Occupancy Tax, which has underperformed as a result of the pandemic's impact on the hospitality industry. As a result, expenditures from the fund are estimated to exceed available revenues by approximately \$6.9 million. When added to the negative fund balance of \$2.9 million at the end of FY 2020, we anticipate the Tourism Fund will end FY 2021 with a \$9.8 million negative fund balance.

As the year progresses, the estimates offered by my office will continue to be revised to reflect the impact of changes in the economy, expenditure patterns, and/or other factors.

Sincerely,



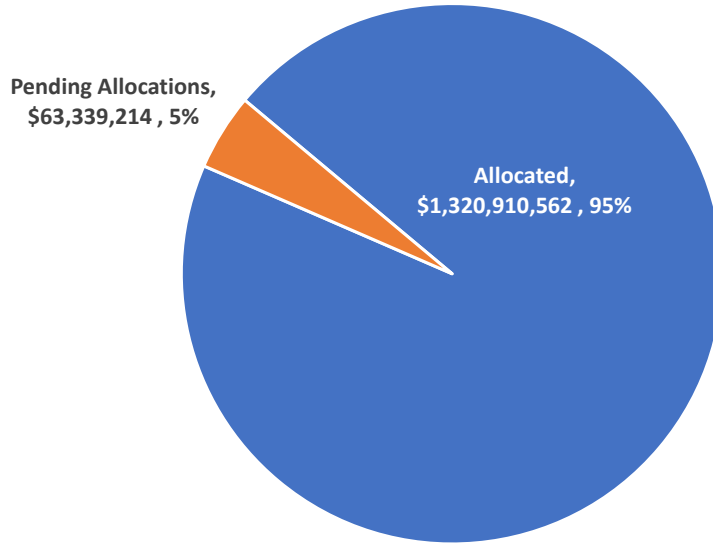
Melissa McCaw
Secretary

Attachments:

COVID Responses – Budget Impact
Summary Statements, FY 2021 Revenue and Expenditures

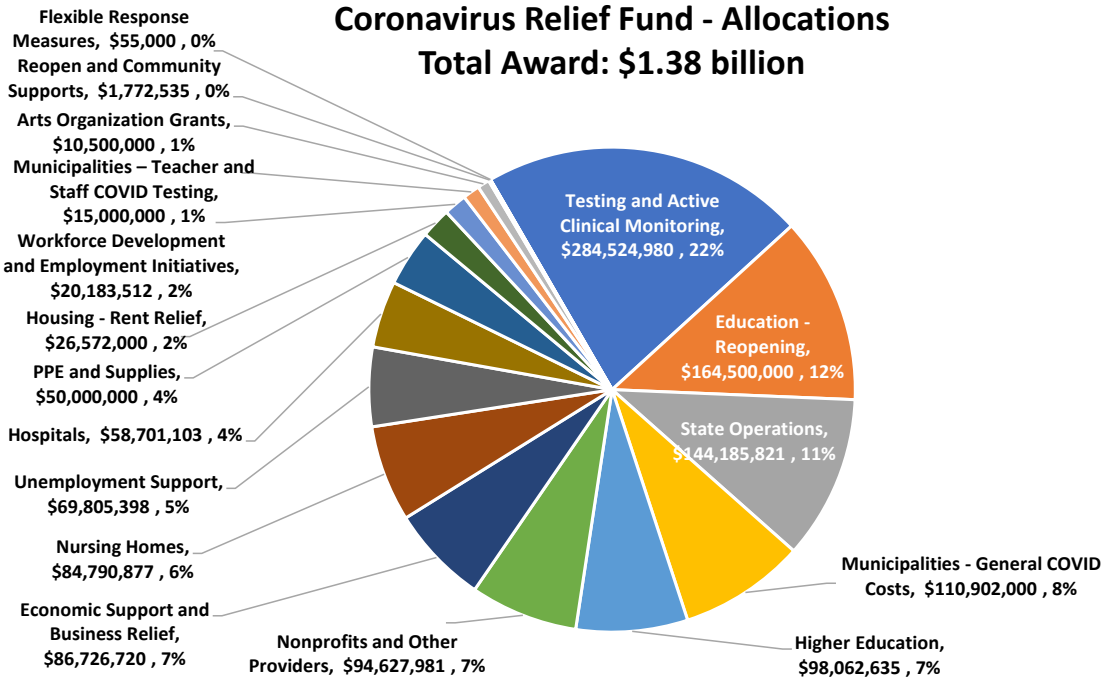
Coronavirus Relief Fund Allocation Status

Total: \$1.38 billion



Coronavirus Relief Fund - Allocations

Total Award: \$1.38 billion



CRF Category	CRF Category Description
Arts Organization Grants	Support to nonprofit arts organizations (performing arts centers, performing groups, and schools of the arts) impacted by COVID closures.
Early Childhood Supports	Support to early childhood providers to ensure provision of childcare to essential workers, safe opening of childcare settings, and economic support for childcare providers.
Economic Support and Business Relief	Support for individuals and businesses impacted by the effects of COVID on the economy.
Education - Reopening	Support for k-12 education institutions to ensure safe reopening of schools, support distance learning, and related efforts.
Flexible Response Measures	Funding to provide decompression to allow individuals to successfully quarantine and isolate as directed due to exposure to COVID-19. Funds may be used to cover hotel rooms, as well as ancillary costs such as transportation.
Higher Education	Support for institutions of higher education due to direct COVID costs, to support distance learning, and related efforts.
Hospitals	Support for direct and economic impacts of COVID on the state's hospitals.
Housing - Rent Relief	Support for landlords to prevent renters whose incomes have been impacted by the economic effects of COVID from being evicted.
Municipalities - General COVID Costs	Support for direct COVID costs incurred by local governments.
Municipalities – Teacher and Staff COVID Testing	Support for testing costs for municipal teachers and staff.
Nonprofits and Other Providers	Support to private providers of human services and social services for direct costs and economic effects of COVID.
Nursing Homes	Support for direct and economic effects of COVID on nursing homes.
PPE and Supplies	Purchase of personal protective equipment and related supplies (gloves, gowns, shields, etc.).
Reopen and Community Supports Reserve	Support for reopening the state including recovery planning and consultation. Funds held pending allocation decisions.
State Operations	Support for direct state costs related to COVID including teleworking, cleaning and disinfecting public buildings, deployment of the state's mobile field hospital, state active duty, and other costs related to the public health emergency.
Testing and Active Clinical Monitoring	Costs for COVID-19 testing and active clinical monitoring of COVID-positive individuals in connection with testing and contact tracing.
Unemployment Support	Support for administrative and benefit costs for the Unemployment Insurance program that are not covered by federal funds.
Workforce Development and Employment Initiatives	Support for workforce training for industry sectors impacted by the economic effects of COVID, and employment activities for workers.

Agency Code	Agency Name	Approved Amount
BOR77700	Connecticut State Colleges and Universities	\$ 46,471,797.25
CME49500	Office of the Chief Medical Examiner	\$ 32,300.00
CRD47200	Capital Region Development Authority	\$ 2,197,597.21
CSL66000	State Library	\$ 2,627,718.00
DAS23000	Department of Administrative Services	\$ 7,217,507.00
DCF91000	Department of Children and Families	\$ 5,862,947.26
DDS50000	Department of Developmental Services	\$ 52,618,642.00
DHE66500	Office of Higher Education	\$ 4,300,000.00
DMV35000	Department of Motor Vehicles	\$ 10,327,931.00
DOC88000	Department of Correction	\$ 65,950,304.00
DOH46900	Department of Housing	\$ 30,716,875.00
DOI37500	Insurance Department	\$ 16,694.00
DOL40000	Labor Department	\$ 74,030,889.54
DOT57000	Department of Transportation	\$ 343,838.00
DPH48500	Department of Public Health	\$ 96,567,453.00
DPS32000	Department of Emergency Services and Public Protection	\$ 3,456,000.00
DRS16000	Department of Revenue Services	\$ 512,896.00
DSS60000	Department of Social Services	\$ 235,326,391.00
DVA21000	Department of Veterans Affairs	\$ 1,342,277.00
ECD46000	Department of Economic and Community Development	\$ 114,444,657.00
GOV12000	Governor's Office	\$ 12,000,000.00
HRO41100	Commission on Human Rights and Opportunities	\$ 49,027.00
JUD95000	Judicial Department	\$ 4,384,353.04
MHA53000	Department of Mental Health and Addiction Services	\$ 17,185,364.00
MIL36000	Military Department	\$ 413,817.00
OAG29000	Attorney General	\$ 97,073.84
OEC64800	Office of Early Childhood	\$ 6,734,419.00
OLM10000	Legislative Management	\$ 201,910.56
OPM20000	Office of Policy and Management	\$ 79,398,080.00
OSC15000	State Comptroller	\$ 78,090,360.00
OSC15200	State Comptroller - Fringe Benefits	\$ 40,000,000.00
OTT14000	State Treasurer	\$ 53,079.00
PCA98000	Probate Court Administration	\$ 55,573.79
PDS98500	Public Defender Services Commission	\$ 182,229.00
TBD		\$ 180,394,743.59
SDE64000	Department of Education	\$ 158,193,536.00
SDR63500	Department of Aging and Disability Services	\$ 95,333.00
SOS12500	Secretary of the State	\$ 1,039,051.00
TRB77500	Teachers' Retirement Board	\$ 24,572.00
UHC72000	University of Connecticut Health Center	\$ 12,218,931.50
UOC67000	University of Connecticut	\$ 39,371,906.25
	Grand Total	\$ 1,384,548,073.83

Note: TBD above indicates that a final decision as to responsible agency is pending.

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Funding Source						Notes		
Agency	Item	Gross Cost SPY 2020	Gross Cost SPY 2021	Gross Total	Status	Unassigned	State SPY 2020	State SPY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes
1.	DS560000 Suspend Medicaid eligibility discontinuances	\$ 6,800,000	\$ 173,700,000	\$ 180,500,000	Approved		\$ 1,900,000	\$ 53,400,000			\$ 125,200,000			State share @ enhanced FMAP (46.2%). Approved through January to meet maintenance of effort requirements for receipt of enhanced FMAP \$4.5m of gross costs are 100% federally funded, balance is 100% state funded. Note: DSS is on hold, pending further federal guidance
2.	DS560000 Provide uninsured persons with coverage for COVID-19 - Citizens	\$ 12,600,000	\$ 6,300,000	\$ 18,900,000	Approved		\$ 9,600,000	\$ 4,800,000			\$ 4,500,000			
3.	DS560000 Provide uninsured persons with coverage for COVID-19 - Non-Citizens	\$ 5,900,000	\$ 3,000,000	\$ 8,900,000	Approved		\$ 2,700,000	\$ 1,400,000			\$ 4,800,000			
4.	DS560000 Waive HUSKY B copays	\$ 340,000	\$ -	\$ 340,000	Approved		\$ 80,000	\$ -			\$ 260,000			Assumes majority of costs will be reimbursable at 56.2%
5.	DS560000 Waive Medicare Part D (Rx) copays for dually eligible population	\$ 100,000	\$ -	\$ 100,000	Approved		\$ 100,000	\$ -						
6.	DS560000 Suspend cash assistance discontinuances (TFA, State Supplement, SAGA)	\$ 1,200,000	\$ -	\$ 1,200,000	Approved		\$ 1,200,000	\$ -						
7.	DS560000 Suspend 21 month time limit for TFA/JFS	\$ 190,000	\$ 770,000	\$ 960,000	Approved		\$ 190,000	\$ 770,000						Approved for 3 months (Note: Suspension of time limit impacts both FY 21 and FY 22, with add'l costs of \$570k in FY 22)
8.	DS560000 Provide additional flexibilities under home and community-based services waivers	\$ 870,000	\$ 430,000	\$ 1,300,000	Approved		\$ 360,000	\$ 250,000			\$ 690,000			
9.	DS560000 Provide temporary relief funding for nursing homes and a COVID-specific grant equivalent to \$60 per bed per day for facilities exclusively serving patients with COVID-19	\$ 47,400,000	\$ 75,612,311	\$ 123,012,311	Approved		\$ 33,500,000	\$ (16,200,000)	\$ 85,412,311					
10.	DS560000 Provide hardship grants to nursing homes facing a substantial deterioration in their finances, which could adversely affect resident care and the continued operation of the facility	\$ -	\$ 929,155	\$ 929,155	Approved		\$ -	\$ 929,155						Advances will be recouped in FY 21
11.	DS560000 Provide interim payments to FQHCs to assist with cash flow	\$ 5,562,813	\$ (5,562,813)	\$ -	Approved		\$ 5,562,813	\$ (5,562,813)						Includes interim payment in April to be recouped in FY 21. Approved for 3 months.
12.	DS560000 Provide pandemic rate increase for residential care homes (RCHs)	\$ 980,000	\$ -	\$ 980,000	Approved		\$ 980,000	\$ -						Includes interim payment in April to be recouped in FY 21. Approved for 3 months.
13.	DS560000 Provide pandemic rate increase for private intermediate care facilities (ICF/IIDs)	\$ 1,640,000	\$ -	\$ 1,640,000	Approved		\$ 1,020,000	\$ (270,000)		\$ 890,000				Includes interim payment in April to be recouped in FY 21. Approved for 3 months.
14.	DS560000 Use DSS non-emergency medical transportation vendor (Veyo) to assist with meals-on-wheels deliveries	TBD	TBD	\$ -	Approved		TBD	TBD						
15.	DS560000 Expand service array under Community First Choice to include agency-based PCAs	\$ 31,500	\$ 15,800	\$ 47,300	Approved		\$ 11,000	\$ 8,000			\$ 28,300			
16.	DS560000 Provide 90-day supply for most prescription drugs and more flexible pharmacy early refill	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						Fiscal impact expected to be minimal
17.	DS560000 Waive SNAP requirement for face-to-face interviews	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No state cost - federally funded program. Federal approval received
18.	DS560000 Waive SNAP requirements - extend certification periods by 90 days, suspend collection of most SNAP overpayments, issue supplemental benefits to all existing SNAP households	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No state cost - federally funded program. Federal approval pending
19.	DS560000 Waive IPA requirement for face-to-face interviews and assessments	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No additional cost anticipated
20.	DS560000 Expand telehealth under Medicaid	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No additional cost anticipated
21.	DS560000 Provide additional flexibilities under section 1135 waiver authority	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No additional cost anticipated
22.	DS560000 Provide additional flexibilities to home care recipients of 1915(i) state plan services	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No additional cost anticipated
23.	DS560000 Provide interim payments to home health agencies to assist with cash flow	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						Payments will be recouped in FY 20
24.	DS560000 Expedite Medicaid payments to hospitals when possible	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						Reflects temporary 20% DRG add-on for COVID-related diagnoses
25.	DS560000 Support acute care hospitals with COVID-related costs	\$ -	\$ 6,200,000	\$ 6,200,000	Approved		\$ -	\$ 2,000,000		\$ 4,200,000				
26.	DS560000 Provide relief funding for Connecticut Children's Medical Center	\$ -	\$ 16,300,000	\$ 16,300,000	Approved		\$ -	\$ 16,300,000						
27.	DS560000 Provide relief funding for chronic disease hospitals	\$ -	\$ 2,654,203	\$ 2,654,203	Approved		\$ -	\$ 110,900	\$ 2,401,103					
28.	DS560000 Provide relief funding for nongovernmental licensed short-term general hospitals.	\$ -	\$ 40,000,000	\$ 40,000,000	Approved		\$ -	\$ 40,000,000						
29.	DS560000 Provide temporary per diem rate of \$1,500 for Hospital for Special Care's COVID recovery unit	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						This item was originally approved for \$380,000 but grant payments were not needed as COVID-related expenditures were covered elsewhere.
30.	DS560000 Provide relief funding for licensed behavioral health outpatient clinics	\$ -	\$ 1,488,712	\$ 1,488,712	Approved		\$ -	\$ 1,488,712						This item was originally approved for \$2,655,741 but grant payments were reduced to \$1,488,712 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
31.	DS560000 Provide relief funding for private psychiatric residential treatment facilities (PRTFs) for children	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						This item was originally approved for \$420,789 but grant payments were not needed because any direct COVID-related expenditures were covered through other sources.
32.	DS560000 Provide relief funding for substance abuse residential detox providers	\$ -	\$ 555,391	\$ 555,391	Approved		\$ -	\$ 555,391						This item was originally approved for \$789,615 but grant payments were reduced to \$555,391 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
33.	DS560000 Provide relief funding for other clinicians providing behavioral health/substance use disorder treatment and autism services	\$ -	\$ 498,463	\$ 498,463	Approved		\$ -	\$ 498,463						This item was originally approved for \$3,474,195 but grant payments were reduced to \$498,463 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
34.	DS560000 Provide relief funding for methadone maintenance providers	\$ -	\$ 908,392	\$ 908,392	Approved		\$ -	\$ 908,392						This item was originally approved for \$1,494,205 but grant payments were reduced to \$908,392 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.

Agency	Item	Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source						Notes				
		Gross Cost SPY 2020	Gross Cost SPY 2021		Unassigned	State SPY 2020	State SPY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX		Federal - Other	Philanthropy		
35.	DS560000	\$ -	\$ 1,679,471	\$ 1,679,471	Approved				\$ 1,679,471						This item was originally approved for \$4,999,212 but grant payments were reduced to \$1,679,471 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
36.	DS560000	\$ -	\$ 5,139,814	\$ 5,139,814	Approved				\$ 5,139,814						This item was originally approved for \$13,409,602 but grant payments were reduced to \$5,139,814 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
37.	DS560000	\$ -	\$ 781,179	\$ 781,179	Approved				\$ 781,179						Reflects grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FCRA.
38.	DS560000	\$ -	\$ 3,031,050	\$ 3,031,050	Approved				\$ 3,031,050						Active clinical monitoring contract through the remainder of CY 2020.
39.	DS560000	\$ -	\$ 10,650	\$ 10,650	Approved				\$ 10,650						Reflects grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FCRA. (Increased from previously stated estimate of \$800,000 @ 5%, but reduced from the original estimate of \$1.6 million @ 10%).
40.	DD550000	\$ -	\$ 1,334,000	\$ 1,334,000	Approved				\$ 1,334,000						No additional cost anticipated
41.	DPH48500	\$ -	\$ -	\$ -	Approved				\$ -						No additional cost anticipated
42.	MHA53000	\$ -	\$ -	\$ -	Approved				\$ -						Philanthropy covered costs up to \$3M, though May. The costs of \$750,000 for June are funded 75% by FEMA and 25% by CRF funds. UPDATED - No CRF allocated; agency is using \$550,000 from their Care4Kids TANF account to cover costs.
43.	OC64800	\$ 3,550,000	\$ -	\$ 3,550,000	Approved			\$ 550,000	\$ -						Assumes 3 months
44.	OC64800	\$ 375,000	\$ -	\$ 375,000	Approved			\$ 375,000	\$ -						Cap at \$10M, for six weeks with limit at 85% SMI. Source: CDBG, PL 116-136 Division B Title VIII... Take rate much lower than anticipated. Costs like to be \$3M
45.	OC64800	\$ 10,000,000	\$ -	\$ 10,000,000	Approved				\$ -						FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.
46.	CR047200	\$ 19,822	\$ -	\$ 19,822	Approved				\$ 19,822						CRF's funding (HEER) received directly by the agency. Does not include revenue losses.
47.	DS560000	\$ -	\$ 1,860,000	\$ 1,860,000	Approved				\$ 165,000	\$ 1,395,000					COVID-related costs for teleworking transition, equipment/supplies, and other related expenses. Does not include student refunds or revenue losses. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
48.	RO77700	\$ 27,291,079	\$ -	\$ 27,291,079	Approved				\$ 847,830	\$ -					HEER. Does not include projected FY21 revenue loss at UConn and UConn Health.
49.	UOC67000	\$ 847,830	\$ -	\$ 847,830	Approved				\$ -	\$ 847,830					Testing provided by Jackson Labs
50.	UOC67000	\$ 10,750,423	\$ -	\$ 10,750,423	Approved				\$ 32,300	\$ -					FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match. Latest Federal guidance is that PPE may be 100% reimbursable, retroactive to the start of the pandemic. CRF amount reflects contingency for FEMA disallowances.
51.	OME49500	\$ 22,800	\$ 9,500	\$ 32,300	Approved				\$ -	\$ 32,300					State and local COVID 19 response activities will be reimbursed from federal emergency supplemental funding. \$2.5m will be utilized to reimburse local health authorities.
52.	DOC88000	\$ 250,000,000	\$ -	\$ 250,000,000	Approved				\$ 50,000,000	\$ 200,000,000					Supplements pre-existing grant under the Epidemiology and Laboratory Capacity Cooperative Agreement (#4,075,639). To support DPH Covid19 direct costs in areas of State Public Health Laboratory, Epidemiology, Healthcare Associated Infections
53.	MIL36000	\$ 171,000	\$ -	\$ 171,000	Approved				\$ -	\$ 171,000					National Biodefense Hospital Preparedness Program. \$361,895 each for federally designated Special Pathogen Treatment Centers @ WHH and HH. Balance for DPH direct costs and other hospital needs.
54.	DPH48500	\$ 9,309,998	\$ -	\$ 9,309,998	Approved				\$ 9,309,998	\$ -					To enhance infectious disease surveillance activities. \$2.3m will go to Yale School of PH, \$0.3m to DPH
55.	DPH48500	\$ 9,669,691	\$ -	\$ 9,669,691	Approved				\$ -	\$ 9,669,691					Revised to \$45k - will de-alloc 12/31/20
56.	DPH48500	\$ 2,324,172	\$ -	\$ 2,324,172	Approved				\$ -	\$ 2,324,172					
57.	DPH48500	\$ 2,600,000	\$ -	\$ 2,600,000	Approved				\$ -	\$ 2,600,000					
58.	DAS23000	\$ 45,000	\$ -	\$ 45,000	Approved				\$ -	\$ 45,000					
59.	DAS23000	\$ 320,000	\$ 730,000	\$ 1,050,000	Approved				\$ -	\$ 1,050,000					
60.	DAS23000	\$ 1,100,000	\$ -	\$ 1,100,000	Approved				\$ -	\$ 1,100,000					
61.	SO312500	\$ 305,000	\$ -	\$ 305,000	Approved				\$ -	\$ 305,000					GF will pay the full payroll and fringe benefit costs for six pay periods through end of FY 20 (March 26th - June 18th payrolls), as well as indirect costs and OE, due to agreement with casinos to defer true-up of costs until the August 2020 assessment.
62.	DCP39500	\$ 584,126	\$ -	\$ 584,126	Approved				\$ -	\$ 584,126					

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Funding Source							Notes	
Agency	Item	Gross Cost SPY 2020	Gross Cost SPY 2021	Unassigned	State SPY 2020	State SPY 2021	Federal - CRF	Federal - FEMA	Federal - T-XII	Federal - Other		Philanthropy
63.	DF532000	\$ 38,000	\$ 13,000				\$ 51,000					NOTE: The \$13K approved for DESPP to rent trailers (Item #138) was not spent. Due to a continued need for interpreters, the \$13K approved for trailers was transferred to this item.
64.	JU095000	\$ 640,240	\$ -				\$ 640,240					
65.	DVA21000	\$ 235,000	\$ -				\$ 235,000					
66.	DF532000	\$ 25,000	\$ -				\$ 25,000					Original approved amount was \$1.4m but it has been reduced to \$700K to reflect actual need for housing. Update 12/01/2020 - reduced to \$25K to reflect actual need for housing.
67.	DD550000	\$ 1,700,000	\$ -				\$ 1,700,000					
68.	MHA53000	\$ 313,099	\$ -				\$ 313,099					
69.	DOC88000	\$ 6,000,000	\$ -				\$ 6,000,000					
70.	DCF91000	\$ 1,820	\$ 3,700				\$ 5,520					
71.	DEG48000	\$ 1,316,573	\$ -				\$ 1,316,573			\$ 1,316,573		Administered through EdAdvance
72.	DEG48000	\$ 180,000	\$ -		\$ 180,000							Approved for April, May and June
73.	DS560000	\$ 180,000	\$ 90,000		\$ 80,000	\$ 45,000		\$ 145,000				Approved for April - June. State share @ enhanced FMAP (+6.2%) for payments made in May and June (for service months of April and May)
74.	DPH48500	\$ 203,981	\$ -							\$ 203,981		Source: CARES Act. Must be used for services, activities, and supplies needed to prevent or minimize the impact of COVID-19 on RWJAH patients. Approved if within existing agency CEFF allocations and as part of normal equipment refresh.
75.	Various	\$ -	\$ -									
76.	CRD47200	\$ 902,036	\$ -				\$ 902,036					Convention Center COVID19 costs, cleaning, facilities maintenance.
77.	DPH48500	\$ 2,800,000	\$ -				\$ 2,800,000			\$ 2,800,000		OT for existing nurse consultant staff, TWFRs and potential contract with a nursing staffing agency. DPH pursuing CMS CARES grant to defray costs.
78.	OSC15000	\$ 60,000,000	\$ 46,044,556				\$ 106,044,556					Placeholder of \$15m per month = 10,000 tests/day * \$50 * 30 days. Amount is in addition to \$182 million in other federal funds (4th supplemental bill) awarded to CT for testing. Updated to reflect \$255,444 is paid from OPM for assisted living testing. \$484,240 subtracted and shown separately as a direct allotment to UConn Health.
79.	DPH48500	\$ -	\$ -				\$ -					No project was identified.
80.	DAS23000	\$ 2,350,000	\$ -				\$ 2,350,000					Incurred in May and June
81.	CRD47200	\$ 314,849	\$ -				\$ 314,849					Cleaning supplies, air filters, handrail sanitation, technological needs for telework.
82.	CRD47200	\$ 478,048	\$ -				\$ 478,048					
83.	CRD47200	\$ 92,718	\$ -				\$ 92,718					
84.	DOH46000	\$ 7,500,000	\$ 5,000,000				\$ 12,500,000					Assumes 3 months. FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match. CRF match for hotel initiative thru 9/30. Increase in FEMA, resolvable of \$3.75M for expenses through 3/31 - match from HUD-CDBG funds (\$1.25M).
85.	HRC41100	\$ 49,027	\$ -				\$ 49,027			\$ 1,250,000		Agency has cleaned facilities day and night, purchased fogger machines, PPE for staff and inmates. Food now brought to inmates cells, prepackaged and no longer chow setting. Medical staffing needed due to staff shortages
86.	DOC88000	\$ 3,118,005	\$ -				\$ 3,118,005					
87.	DOC88000	\$ 1,394,305	\$ -				\$ 1,394,305					
88.	DOC88000	\$ 2,104,560	\$ -				\$ 2,104,560					Overtime related to having to open wings of northern to serve as COVID isolation units. OT related to staff shortages when staff use their 14 days
89.	DAS23000	\$ 238,000	\$ -				\$ 238,000					
90.	DVA21000	\$ 1,107,277	\$ -				\$ 1,107,277					Weekly spot checks, repair and maintenance and retrograde of the four mobile field hospital setups at St. Francis Hospital, Middlesex Hospital, Danbury Hospital & Sharon Hospital. Was reduced from \$67,100.
91.	MIL36000	\$ 7,817	\$ -				\$ 7,817					

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Status	Unassigned	State SFY 2020	State SFY 2021	Funding Source				Notes
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021					Gross Total	Federal - CRF	Federal - FEMA	Federal - T-XIX	
92.	MIL36000 Task Force Medical - State Active Duty	\$ 141,000	\$	\$ 141,000	Approved			\$ 141,000				20 personnel will be assigned duties at Stamford Hospital to assist federal and state military personnel conducting medical operations for 30 days. Lodging for 20 personnel for 30 days - was approved at \$36,000, only needed \$2,000
93.	MIL36000 Task Force Medical - Hotel Lodging	\$ 2,000	\$	\$ 2,000	Approved			\$ 2,000				
94.	S0512500 Funding for newspaper posting of additional executive orders	\$ 62,278	\$	\$ 62,278	Approved			\$ 62,278				
95.	DCF91000 Per Diem Rate Based Residential Programs	\$ 1,997,682	\$ 258,808	\$ 2,256,490	Approved			\$ 2,256,490				
96.	DCF91000 Group Homes	\$ 534,126	\$ 69,198	\$ 603,324	Approved			\$ 603,324				
97.	DCF91000 Other Congregate Care	\$ 294,547	\$ 38,160	\$ 332,707	Approved			\$ 332,707				
98.	DCF91000 School of Origin Transportation	\$	\$	\$	Approved			\$				Financial support during school closure to ensure service networks maintained. Within current budget.
99.	DCF91000 After School Programs	\$	\$	\$	Approved			\$				Financial support during school closure to ensure service networks maintained. Within current budget.
100.	MHA53000 CVH Surge Capacity at 60 West	\$ 100,000	\$	\$ 100,000	Approved			\$ 100,000				DMHAS (CVH) will be using 60 West as surge capacity to care for COVID pos patients until they are no longer positive and can go back to their units at CVH. Financial estimate assumes 20 patients for 30 days.
101.	DOH46000 Case management for Danbury shelter clients hotels	\$ 150,000	\$	\$ 150,000	Approved			\$ 150,000				Estimated costs for providers to deep clean group homes once an individual has tested positive for COVID-19. Revised 8/1/2020 - No additional funds required. Funds in the amount of \$1.2 million will be de-allotted.
102.	DDSS0000 Deep cleaning costs for private provider residential programs	\$	\$	\$	Approved			\$				Cleaning costs for the state operated regional centers, Southbury Training School and group homes after an individual working in such location has been identified with COVID-19.
103.	DDSS0000 Deep cleaning costs for DDS facilities	\$ 312,540	\$ 409,550	\$ 722,090	Approved			\$ 722,090				OT costs and approximately 160 temporary DSW, LPN and RN positions to ensure continued coverage of public facilities. Original estimate of \$3,000,379 has been reduced to \$975,379.
104.	DDSS0000 Overtime and temp hiring to ensure staff coverage	\$ 407,097	\$ 568,282	\$ 975,379	Approved			\$ 975,379				Virtual Desktops (Firewall Security), Call Center PCs, Printers, Equipment, and Call Management Software. (Net adjustment over first request - includes 3rd submitted request)
105.	JUD95000 IUD - 3 - PPE, cleaning and disinfecting, technology, and other response costs	\$ 200,609	\$	\$ 200,609	Approved			\$ 200,609				Response/abilities including implementing telework/agency-wide, procuring and distributing employee PPE, additional coordination with business partners including transit, rail, contractors, etc., to continue maintaining essential business functions; and deep cleaning costs. Includes funding for Over-the-Cap Overtime Request. Reduced by 23,295 on 12/4/2020.
106.	DOT57000 DOT - 1 - Staff Overtime	\$ 115,734	\$	\$ 115,734	Approved			\$ 115,734				Sanitizing of 72 maintenance facilities & central office. Reduced by \$82,091 on 12/4/2020.
107.	DOT57000 DOT - 1 - Materials & Supplies for Sanitizing Agency Facilities	\$ 226,698	\$	\$ 226,698	Approved			\$ 226,698				Recommending funding for headsets to utilize more teleconferencing when staff returns to the office. Not recommending (and not including here) funding for webcams. Reduced by 82,896 on 12/4/2020.
108.	DOT57000 DOT - 1 - Back-to-Work Office Equipment / Maintainer Training	\$ 1,406	\$	\$ 1,406	Approved			\$ 1,406				24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
109.	MHA53000 MH Residential (includes Intensive, Transitional, Group Homes, ABI Community Residence, Respite, IPI IMD, Supervised Housing, Shelters)	\$ 3,752,678	\$	\$ 3,752,678	Approved			\$ 3,752,678				24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE, and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
110.	MHA53000 Substance Abuse Medically Monitored Residential Detox	\$ 122,009	\$	\$ 122,009	Approved			\$ 122,009				24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
111.	MHA53000 Substance Abuse Residential Treatment (Intensive, Intermediate, Long Term, Recovery Housing)	\$ 2,084,167	\$	\$ 2,084,167	Approved			\$ 2,084,167				24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
112.	MHA53000 Young Adult Services	\$ 1,649,551	\$	\$ 1,649,551	Approved			\$ 1,649,551				Providers have incurred costs including hazardous duty, purchase of telehealth/telework equipment and licenses, PPE, and cleaning services. Additionally providers continue to pay staff not able to work due to quarantine/illness while paying overtime or temporary workers.
113.	MHA53000 Community Services (including Outpatient, IOP, MMT, ACT, CSP, Case Management, BHH, Employment, Jail Diversion, Outreach, Crisis, Residential Support, Supervised Housing, Pre-Trial Education, etc.)	\$ 3,775,730	\$	\$ 3,775,730	Approved			\$ 3,775,730				

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source						Notes	
Agency	Item	Gross Cost SPY 2020	Gross Cost SPY 2021		Unassigned	State SPY 2020	State SPY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX		Federal - Other
114.	OSCI15000											50 laptops, minor telecommuting IT related expenses and cleaning supplies.
	Request for funding for COVID-19 related expenses support teleworking	\$ 70,653	\$ -	Approved			\$ 70,653					
115.	DMW35000											This will help prevent the spread of serious illnesses and will assist in maintaining the health of customer facing employees. Update 12/07/2020 - reduced by \$49,903 to reflect actual costs. Funding transferred to other DMW approved items.
	Funding for installation of an appointment system to control the traffic flow of customers and to maintain social distancing	\$ 150,000	\$ -	Approved			\$ 150,000					
116.	DMW35000											Due to the virus, the Agency is now completing nightly deep cleaning along with a weekly disinfecting spray of branches that are being utilized by staff and/or public. SPY 21 estimate is through 12/30/2020. Update 12/07/2020 - increased by \$465,000 to reflect actual costs. Funding transferred from other DMW approved items that were reduced.
	Funds to install sneeze guards throughout the branches and testing centers	\$ 75,097	\$ -	Approved			\$ 75,097					
117.	DMW35000											Original approved amount was \$700K but it has been reduced by \$55K so the funding could be transferred to approved item #130.
	Funding for the cleaning and disinfecting of branches	\$ 447,000	\$ 1,523,098	Approved			\$ 1,970,098					
118.	DO37500											This will help prevent the spread of serious illnesses in DESPP's HQ and will assist in maintaining the health of customer facing employees. Additional trailers may be requested for some of the other units once the agency opens to the public. NOTE: The \$13K approved for trailers is unnecessary due to a management decision to not utilize trailers. Agency believes this may be FEMA reimbursable Source: Child Care Development Block Grant
	Implement remote call center for Consumer Affairs + Deep Cleaning Costs	\$ 16,694	\$ -	Approved			\$ 16,694					
119.	DFS32000											Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved. Distribution currently assumes no reimbursement from FEMA by 12/30, if FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
	Funds for deep cleaning supplies (sanitizers, disinfectant, etc.) and PPE (gloves, N-95s, masks, infrared thermometers, face shields, decontamination systems).	\$ 645,000	\$ -	Approved			\$ 645,000					
120.	DPS32000											
	Funds to rent a modular trailer for six months that will be located in the north lot for those customers arriving at HQ to be fingerprinted.	\$ -	\$ -	Approved			\$ -					
121.	DOH46900											
	Provide Hotel Oversight through Seasonal Shelter	\$ 64,875	\$ -	Approved			\$ 64,875					
122.	DEC64800											
	Child Care Provider Incentive Payments	\$ 4,000,000	\$ -	Approved			\$ 4,000,000			\$ 4,000,000		
123.	LOC67000											
	Equipment, supplies, and other COVID-related expenditures (excl. student refunds) - Newly reported as of 5/22	\$ 885,512	\$ -	Approved			\$ 885,512					
124.	DCF91000											
	Office Cleaning	\$ 8,750	\$ 54,581	Approved			\$ 63,331					
125.	DCF91000											
	HIPAA Compliant Document Bags for Telework	\$ 2,941	\$ 18,347	Approved			\$ 21,288					
126.	DCF91000											
	PPE/Cleaning Supplies/Infection Control/Printed Materials/Supplies & Uniforms	\$ 102,940	\$ 642,129	Approved			\$ 745,069					
127.	DCF91000											
	Temporary Nursing to Screen Workers Entering State Buildings	\$ 32,353	\$ 201,812	Approved			\$ 234,165					
128.	DCF91000											
	IT Devices and Software to Implement Telework/7 Consultant Staff Reemployed from CT NIND Development to Mobility Deployment	\$ 73,529	\$ 458,664	Approved			\$ 532,192					
129.	DOH46900											
	Coordinated Access Network - Statewide Shelter Support	\$ 2,000,000	\$ -	Approved			\$ 2,000,000					This request includes approximately \$800,000 of hazard pay for CANS/Shelter staff. NOTE: Only \$2M has been allotted so far. IT redirected 100 laptops that were part of the agency's re-fresh program (to employees with desktops). Original approved amount was \$1.30K but it has been increased by \$55K to purchase other computer peripherals. This additional funding was transferred from PPE/Cleaning (Approved item #119).
130.	DPS32000											
	Funds for 100 laptops to allow staff to telework	\$ 185,000	\$ -	Approved			\$ 185,000					Child Care Development Block Grant
131.	DEC64800											
	Provide targeted subsidies to private child care providers to ensure financial viability to support the state's efforts to re-open.	\$ 8,000,000	\$ -	Approved			\$ 8,000,000					Payments will be made to landlords. Revised 11/30 - de-allotted \$10 M in CRF funds to account for \$10M in HUD CDBG funds. \$72,957 was approved on 12/1 for Overtime for TRAP Program Staff out of existing Allocation. CRF portion revised down to \$3,272,000 on 12/3/20 - estimate based on number of contracts to get executed by 12/30 deadline - OPM therefore de-allotted \$6,728,000. Updated 12/9 - additional \$2.5 million allocated from DOH CDBG funds to support the program. REVISED 12/9 - Re-purposed \$3.5 million in CRF from Danbury Hotel back to TRAP. 2/16 - Revised to reflect close out of program (\$800,000).
132.	DOH46900											
	Temporary Housing Assistance including Rent Relief and Associated Overtime for Staff	\$ 26,572,000	\$ -	Approved			\$ 26,572,000					Includes provision of laptops and other technical resources to support remote work, server enhancements, and other administrative costs. Estimate includes previously requested administrative support for Pandemic EBT at revised amount.
133.	DS60000											
	Administrative and technical support for pandemic response and remote working	\$ 54,734	\$ 9,863,282	Approved			\$ 9,918,016					To pay higher medically complicated foster rate when foster parent/child tests COVID positive.
134.	DCF91000											
	Special Stipend for Foster Parents/Children who test COVID positive	\$ 29,590	\$ -	Approved			\$ 29,590					Supports for approximately 160 individuals with intellectual disability who are eligible for the program due to a temporary loss in wages and require assistance with paying rent in May and June. Revised 8/1/2020 - No additional funds required. Funds in the amount of \$382,126 will be de-allotted.
135.	DDSS0000											
	Additional Rent Subsidy supports for individuals unable to work	\$ -	\$ -	Approved			\$ -					Additional cost to the agency to support overtime and equipment costs in order to enable staff to telework.
136.	SDR63500											
	IT technology and support to implement telework	\$ 61,843	\$ -	Approved			\$ 61,843					Additional cost to the agency for cleaning of offices and installation of plex-glass in reception areas.
137.	SDR63500											
	Cleaning and disinfecting costs of offices	\$ 33,490	\$ -	Approved			\$ 33,490					\$55,000 from CRF, \$165,000 FEMA
138.	DOH46900											
	Flexible Response Measures - Non-Contingent Housing	\$ 220,000	\$ -	Approved			\$ 220,000					

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source					Notes		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021		Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA		Federal - T-XIX	Federal - Other
139.	OTT14000 Laptops (\$25,588), gloves and cleaning supplies (\$128). COVID related expenses - IT Equipment \$355,066 (Laptops, WiFi doggles and Headsets), Zoom conferencing \$531 and PPE and cleaning supplies \$3,876.	\$ 25,716	\$ 25,716	Approved			\$ 25,716					
140.	DRS16000 Instruction, Student Support, and Technology exceeding available HEER funding at CSUs and COSC.	\$ 359,473	\$ 359,473	Approved			\$ 359,473					
141.	BOR7700 IUD - 2 - PPE, cleaning and disinfecting, technology, and other response costs	\$ 432,454	\$ 12,831,142	Approved			\$ 13,263,596					
142.	JUD95000 PDS - 1 - Communications & Temporary Full-Time Attorneys for Case Backlogs	\$ 183,142	\$ 183,142	Approved			\$ 183,142					
143.	PD598500 Teleworking equipment	\$ -	\$ 182,229	Approved			\$ 182,229					
144.	DMV35000 Retrograde Operations - Mobile Field Hospitals State Active Duty	\$ 73,750	\$ -	Approved			\$ 73,750					
145.	MIL36000 Crisis communications and ReOpen CT public awareness	\$ 67,000	\$ -	Approved			\$ 67,000					
146.	GOV12000 424 Chapel Street N95 Mask Decontamination Sterilization Unit	\$ 5,000,000	\$ -	Approved			\$ 5,000,000					
147.	DM523000 Additional supports for individuals receiving only in-home and/or day supports - Residential account	\$ 299,460	\$ -	Approved			\$ 299,460					
148.	DDSS0000 Additional supports for individuals receiving only in-home and/or day supports - Day account	\$ -	\$ -	Approved			\$ -					
149.	DDSS0000 Residential Provider Supplemental Payments	\$ -	\$ 47,862,173	Approved			\$ 47,862,173					
150.	DDSS0000 Day Provider Supplemental Payments	\$ 7,428,935	\$ -	Approved			\$ 7,428,935					
151.	DDSS0000 Emergency Management Performance Grant (EMPG-S) Program - Supplemental	\$ -	\$ 2,789,396	Approved			\$ 2,789,396					
152.	DFS32000 To provide a grant Pacific House Inc. to purchase a hotel in Danbury that they will own and operate as a shelter. The shelter will meet a need in the community brought about by the pandemic's impact on homeless shelters throughout the region.	\$ -	\$ 4,825,000	Approved			\$ 4,825,000					
153.	DOI46900 Various items, plexiglass, cleaning supplies, laptops, printing and staff costs, cctv monitoring at AUCs	\$ 2,701,802	\$ 2,701,802	Approved			\$ 2,701,802					
154.	DOI40000 Student Fee Refunds & Public Safety Costs	\$ -	\$ 17,677,551	Approved			\$ 17,677,551					
155.	BOR7700 To reimburse institutions for refunds issued to students due to campus closures, and for public safety salary & fringe benefit expenses	\$ -	\$ -	Approved			\$ -	\$ 1,394,698	\$ 1,394,698	\$ 4,825,000.00		

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CTR	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021										
156.	DHE66500	\$ -	\$ 4,300,000	Approved				\$ 4,300,000					Distribution by OHE needs to be set up before allotting funds.
157.	UHC72000	\$ 3,046,650	\$ -	Approved				\$ 3,046,650					COVID-related costs for teleworking transition, equipment/supplies, medical supplies, drugs, lab services, and other related expenses. Does not include student refunds or revenue losses. Current distribution assumes 75% reimbursement from FEMA and 25% match from CTR.
158.	DPH48500	\$ 23,748,850	\$ 105,369,192	Approved				\$ 96,118,042	\$ 33,000,000				To fund Care Partners for collection of specimens and laboratory testing of nursing home residents and staff for COVID-19 through December 2020.
159.	MIL36000	\$ 25,000	\$ -	Approved				\$ 25,000					Funding to cover costs of overtime and cleaning supplies for Military facilities.
160.	DPH48500	\$ 449,411	\$ -	Approved				\$ 449,411					NEW: Vendor selected on 6/29/20.
161.	DOJ40000	\$ 3,939,242	\$ -	Approved				\$ 3,939,242					
162.	MHA53000	\$ 713,535	\$ -	Approved				\$ 713,535					Equipment includes Laptops, Software, Servers, Telemed carts, Video Conferencing systems/equipment, cleaning machinery.
163.	MHA53000	\$ 339,765	\$ -	Approved				\$ 339,765					OE expenditures include software, iPhones, air cards, conference lines for telework. Also phones for 24/7 sites for clients to communicate with family, friends, etc. due to visitor restrictions.
164.	MHA53000	\$ -	\$ -	Approved				\$ -					PS costs for temporary hires including nurses, custodians, MHAI1s, assistant cooks.
165.	MHA53000	\$ 2,746,706	\$ -	Approved				\$ 2,746,706					OE costs for contracted staff including housekeeping and workers to screen staff reporting to work.
166.	MHA53000	\$ 1,348,454	\$ -	Approved				\$ 1,348,454					OE costs.
167.	MHA53000	\$ 239,670	\$ -	Approved				\$ 239,670					OE costs for office supplies like secure medical records bags to protect PHI, kitchen/dining/food supplies for individual meal servings and cleaning supplies for the pandemic.
168.	DOJ40000	\$ 1,523,690	\$ -	Approved				\$ 1,523,690					Funds will be provided through the Department of Labor to the five workforce development boards (WDBs) to support COVID-related summer youth employment initiatives. Specifically, the WDBs will seek to provide funding to support health related youth employment through partnerships with QHCs, AHCCs and other community providers. Original Allocation was \$2M - reduced by \$476,310 on 1/29/20
169.	SPE64000	\$ 2,677,646	\$ -	Approved				\$ 2,677,646					Expenditures at CTECS for purchases including PPE, technology, cleaning supplies, public safety overtime, and other direct response costs.
170.	OPM20000	\$ 83,350	\$ 12,500	Approved				\$ 95,850					To purchase 75 laptops, docking stations and headsets, to provide equipment for telework
171.	DOJ40000	\$ 1,796,622	\$ -	Approved				\$ 1,796,622					Significant funding for laboratory operations, epidemiologic surveillance and reporting, contact tracing, and testing, among other activities. State application submitted to CDC on 6/18/20
172.	DPH48500	\$ 182,633,998	\$ -	Approved				\$ 182,633,998					Supplemental immunization funding, primary purpose is to enhance influenza vaccination coverage as a critical part of COVID-19 response work
173.	DPH48500	\$ 1,696,075	\$ -	Approved				\$ 1,696,075					Stabilize funding for school readiness programs in priority school districts
174.	DEC64800	\$ 5,559,359	\$ -	Approved				\$ 5,559,359					Technology to support a Judicial Call Center by enhancing social distancing. One-time purchase for controllable equipment and phones to expand the call center program. There are no additional operational costs currently or in the future because the program will be administered with existing personnel in an existing facility. Covered in review for CEF funds. Decision made to have CTR cover these costs.
175.	JUD95000	\$ 219,570	\$ -	Approved				\$ 219,570					Call Center Technology for Social Distancing

Agency	Item	Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source						Notes		
		Gross Cost SPY 2020	Gross Cost SPY 2021		Unassigned	State SPY 2020	State SPY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX		Federal - Other	Philanthropy
176. DMV35000	DOT - 2 - Road Equipment - Electronic Signs and Monitors	\$ -	\$ -	Approved			\$ -						100% of request funded through CRF with balance to be funded through PAYGO. Request covers cost of 40 variable messaging signs to place throughout the state to assist in coordination and logistics of resources and messaging for the public. DOT currently has 14 signs. DOT Highway Operations determines where the signs should go. DOT has been asked to explain why the signs can't be rented, as they have rented other signs. Also, getting the signs this late into the pandemic for CT -- would it make that much of a difference? - was \$737,776. Reduced by 73,778 (to \$0) on 12/4/2020.
177. DMV35000	Purchase of two way radios for branch locations	\$ 22,000	\$ -	Approved			\$ 22,000						The radios will enhance communication for added security to control foot traffic to maintain social distancing and appointment only scheduling, as well as maintain communication with healthcare providers and essential staff. This purchase will enhance communication and provide additional safety and security measures at all DMV locations.
178. DMV35000	Funding to reconfigure work space to allow for employees to report back to the office	\$ 150,000	\$ -	Approved			\$ 150,000						The contact center is experiencing a unprecedented amount of calls. Due to the need for social distancing, the current contact center location is only working at 35% staff capacity. In order to bring staffing levels to 100%, the DMV needs to reconfigure current office space. In addition, enhancements are also being made in the administrative areas to allow staff who do not have the ability to work from home to come back to a healthy and safe environment.
179. DMV35000	Funding for Temperature Screening	\$ 36,000	\$ 600,000	Approved			\$ 636,000						Due to the COVID19 crisis, the Department of Motor Vehicle has started temperature screening both employees and customers that enter our branch locations. The DMV is requesting funding for the healthcare providers needed to perform these screening.
180. DMV35000	Funds for a digital enablement project to support increased online access to the public and allow additional tools to drive simple transactions online and out of DMV branches.	\$ -	\$ 3,173,124	Approved			\$ 3,173,124						Updates 12/07/2020 - reduced by \$426,876 to reflect actual costs. Funding transferred to other DMV approved items. The projects listed below will help drive the public to simpler and easier online transaction options, thereby slowing the foot traffic that will be required to come into DMV public buildings. Projects: Integrated Online Services, Out-of-State Dealer Online, Enable Work from Home for DMV Employees, Scanning Solution
181. DMV35000	Funds for touchless soap and hand sanitizer dispensers, including floor stands, along with the initial distribution of soap and hand sanitizer to fill our new touchless dispensers.	\$ -	\$ 33,590	Approved			\$ 33,590						These dispensers will be located throughout our branch and office locations. Update 12/07/2020 - reduced by \$722 to reflect actual costs.
182. DOC88000	Expand Telemedicine and Telemental Health Program	\$ 470,000	\$ -	Approved			\$ 470,000						Funding transferred to other DMV approved items. Funds will be used to procure additional laptops and tablets for staff, webcams, mobile medical devices, and telemedicine carts.
183. DO440000	Call center enhancements and support	\$ 824,778	\$ 824,778	Approved			\$ 824,778						Reduced by \$462,372 on 12/31/20 based on revised est. as to expenses thru 12/31/20 from DOL.
184. DSS60000	Emergency feeding program	\$ 4,507,654	\$ 4,507,654	Approved			\$ 1,492,164	\$ 3,015,490					
185. JUD95000	JUD - 1 - PPE, cleaning and disinfecting, and other response costs	\$ 689,190	\$ -	Approved			\$ 689,190						
186. DSS60000	Provide funding to support testing of high-risk populations	\$ -	\$ 66,615,520	Approved			\$ 66,615,520						\$2,633,293 as a 25% match was approved from CRF (Total cost was \$10,533,170). Funding was unnecessary as orders were extended. Original note - Contingency in the event that a presidential extension of federal coverage of National Guard costs is not approved.
187. MIL36000	State active duty post-8/8/20 to support PPE distribution and warehousing, testing and other COVID activities	\$ -	\$ -	Approved			\$ -	\$ -					Funds to be used in conjunction with Governor's Education Emergency Relief fund to provide devices where either districts or families are unable to do so.
188. SOE64000	Devices for remote learning	\$ 15,000,000	\$ 15,000,000	Approved			\$ 15,000,000						Additional funding through 12/31/20 to support critical areas of the UI efforts - including UI initial claims (30 FTE) appeals, (30 FTE) and tax (25 FTE), all of which are impacted by the increased claim load. Reduced by \$257,060 to reflect updated expenses through 12/30 from DOL. Reduced by \$132,819 on 12/9 based on revised estimates from DOL.
189. DO440000	Additional Staff to Support UI claimhead, integrity, Call center OT and system enhancements.	\$ 11,593,257	\$ 11,593,257	Approved			\$ 11,593,257						
190. DRS16000	COVID related expenses - IT Equipment \$142,104, 1100 Laptops, WiFi loggers and Readers, Zoom conferencing \$408 and PPE and cleaning supplies \$103911.	\$ 153,423	\$ 153,423	Approved			\$ 153,423						
191. SO512500	Cost of publishing various Executive Orders	\$ 124,216	\$ -	Approved			\$ 124,216						Costs related to printing EO 766 - 777 in various papers as required by Statute
192. DO440000	Support for a vendor solution to address the overpaid unemployment insurance claims during the pandemic	\$ 2,659,839	\$ 2,659,839	Approved			\$ 2,659,839						Funding of \$1,805,622 was initially allotted. Allotment was increased in Nov by \$824,207 to support vendor support of additional 30 staff and OT for overpayment of UI benefits.
193. PCA98000	PCA - 1 - Remote Desktop Services, Laptop and Accessories	\$ 55,574	\$ -	Approved			\$ 55,574						To allow staff to telework - Remote desktop services; Laptop & accessories; and monitors, webcams, and webex subscriptions to allow court to conduct hearings.
194. DPS32000	Funds for DESPP/CSP to moved away from face-to-face contact and replace inefficient electronic communications. See project list in Notes.	\$ 350,000	\$ 350,000	Approved			\$ 350,000						• Project # 2: Mobile Data Terminal (MDT) Internet Project and Project # 3: Law Enforcement Encrypted Mobile Application total \$350,000
195. SOE64000	Content and Social Emotional Learning	\$ 300,000	\$ 300,000	Approved			\$ 300,000						Content effort may have some portion allocated to SERC with guidance from SDE. Allocation Reduced on 12/11 to \$300,000 from \$2 million.

Agency	Item	Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source						Notes					
		Gross Cost SPY 2020	Gross Cost SPY 2021		Unassigned	State SPY 2020	State SPY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX		Federal - Other	Philanthropy			
196.	DOL40000 Shared Work Surge Support and Automation	\$	1,726,720	\$	1,726,720	Approved					\$	1,726,720				To support expenditures up to approved amount for 3 month SOW with Accenture to provide surge support in Shared Work program and implement automation of same program. Support for post 12/30 from SBR.
197.	DAS23000 Durational staff to perform project management, analysis and support through december	\$	321,750	\$	321,750	Approved					\$	321,750				BCC contract expiration requires ongoing staff support for COVID response. Estimate based on 6 DPMs @ \$55,500/month/each plus fringes.
198.	OPM20000 Municipalities - non-education assistance for COVID-related costs	\$	60,000,000	\$	60,000,000	Approved					\$	60,000,000				The public demand for DMV services is high and with the new appointment only system, the DMV is anticipating the need for control of both feet and vehicle traffic to keep both the citizens and staff safe.
199.	SOE64000 additional contingency for devices for remote learning	\$	7,000,000	\$	7,000,000	Approved					\$	7,000,000				Update 12/07/2020 - increased by \$75,000 to reflect actual costs. Funding transferred from other DMV approved items that were reduced.
200.	DMV35000 Funds to cover additional unarmed guards needed through 12/31/2020.	\$	1,297,863	\$	1,297,863	Approved					\$	1,297,863				Update 12/07/2020 - reduced by \$5,867 to reflect actual costs. Funding transferred to other DMV approved items.
201.	DMV35000 Additional \$20,744.80 to the approved Appointment Project (Item #115) to purchase the licenses and setup to enable SMS integration (Text Messaging) for appointments and cancellations.	\$	15,078	\$	15,078	Approved					\$	15,078				DOC was asked to change labs for COVID testing at the existing lab. Quest, was facing national delays in processing samples. DOC is moving to SEMMA and Jackson labs to process their testing samples, but there will be a cost of \$39,000 each to integrate the lab with DOC's EMR system.
202.	DOC88000 Integration to DOC's EMR System for SEMMA and Jackson Labs Testing	\$	78,000	\$	78,000	Approved					\$	78,000				DESPP is requesting \$1.5M in CRF for overtime plus use of \$700K of the \$1.4M in funds allotted for Housing (approved item #64) be redirected to this Personal Services overtime request, totaling \$2.2M for projected overtime expenses in SFY 21. CSP continues Warehouse detail and DEMHS continues Emergency Management for COVID. Additionally, DESPP has a backlog in SPB and Fingerprinting that will require additional hours to process; all are related to reduced/shutdown of services during the height of the pandemic. We have been told to expect an increase from OEC, DPH, school security guards as well as bus drivers in the coming weeks. We also anticipate a need in the late fall for CSP services at the testing centers and vaccine centers. In the first \$ PP (through 7/16/20) DESPP has expended nearly \$190K in overtime coded to TASK Code P6881 (Covid 19 Response).
203.	DPH32000 Funds for staff overtime.	\$	2,200,000	\$	2,200,000	Approved					\$	2,200,000				Funding through the CT Association of Councils of Governments for assistance from the Global Resilience Institute (GRI).
204.	OPM20000 Recovery planning through COGS	\$	1,250,000	\$	1,250,000	Approved					\$	1,250,000				Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
205.	UHC72000 Capital Purchases, Telework, Lab & Medical Supplies, PPE, Facilities, Overtime for Medical Staff	\$	3,188,263	\$	1,559,984	Approved					\$	4,748,247				Fog microban disinfectant, steam, and HVAC duct cleaning at 2 facilities operated by the State Library.
206.	CSL66000 Facility cleaning - CT Records Center and Library for the Blind and Physically Handicapped	\$	11,728	\$	11,728	Approved					\$	11,728				To safely operate the State Library's statewide delivery service, 75 sheds were installed at libraries around the state that could not provide keys or access codes to facilitate contactless delivery of library materials.
207.	CSL66000 Installation of outdoor sheds for contactless delivery of library materials	\$	15,990	\$	15,990	Approved					\$	15,990				
208.	OPM20000 COVID testing at assisted living facilities	\$	17,255,444	\$	17,255,444	Approved					\$	17,255,444				
209.	DOL40000 Purchase Virtual Hold Technology for the DOL Contact Center which will allow callers to get called back as opposed to waiting on the line or to schedule an appointment.	\$	493,000	\$	493,000	Approved					\$	493,000				Funds will be utilized for vendor solution by 12/30 - 2 wk. implementation timeline.
210.	SOE64000 Academic Re-Opening Costs	\$	37,100,000	\$	37,100,000	Approved					\$	37,100,000				
211.	SOE64000 Student Supports Re-Opening Costs	\$	9,000,000	\$	9,000,000	Approved					\$	9,000,000				Allocation reduced by \$3.9 million on 12/15 from \$4.1 to \$37.1 million
212.	SOE68000 Cleaning/PPE Re-Opening Costs	\$	60,200,000	\$	60,200,000	Approved					\$	60,200,000				
213.	SOE68000 Transportation Re-Opening Costs	\$	20,600,000	\$	20,600,000	Approved					\$	20,600,000				
214.	ECD46000 ReOpenCT - Surveys to determine when to open CT	\$	60,000	\$	60,000	Approved					\$	60,000				Agency believes this may be FEMA reimbursable
215.	ECD46000 Overtime costs and other COVID19 related expenditures	\$	104,411	\$	104,411	Approved					\$	104,411				Revised 12/3/20 (reduced by \$500)
216.	ECD46000 DFCD Phase 2 graphics and translation	\$	47,535	\$	47,535	Approved					\$	47,535				PPE, signage and other supplies needed for libraries to open up indoor and outdoor spaces for people to safely use expanded public WiFi. Does not include staffing costs.
217.	CSL66000 Safety measures for public WiFi in libraries	\$	2,600,000	\$	2,600,000	Approved					\$	2,600,000				\$1.7M for the Connecticut Education Network to install wifi infrastructure and \$300,000 to DAS for wifi marketing contract. NOTE - Revised to \$1M total, \$700K for wifi, \$300K for marketing. \$1M will come from GEER funds 12/3/20
218.	DAS23000 CEN Wi-Fi infrastructure and wi-Fi marketing	\$	1,000,000	\$	1,000,000	Approved					\$	1,000,000				Welcome center COVID19 supplies, OT for arts grants - Revised 1/11
219.	ECD46000 Welcome centers, OT for arts grants	\$	29,382	\$	29,382	Approved					\$	29,382				

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source					Notes			
Agency	Item	Gross Cost SPY 2020	Gross Cost SPY 2021		Gross Total	Unassigned	State SPY 2020	State SPY 2021	Federal - CRF		Federal - FEMA	Federal - T-XIX	Federal - Other
220.	UHC72000	\$ -	\$ 3,939,795	\$ 3,939,795	Approved			\$ 3,939,795					Total actuals and projected COVID-related costs at UConn Health projected through 12/30/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
221.	UOC67000	\$ -	\$ 1,066,584	\$ 1,066,584	Approved			\$ 1,066,584					Ongoing COVID response costs at UConn Storrs/Regional campuses reported as of 8/21/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
222.	BOB77700	\$ 2,151,055	\$ -	\$ 2,151,055	Approved			\$ 2,151,055					Does not include revenue losses. Cost updated to reflect most recent data from BOR. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.
223.	SO312500	\$ -	\$ 150,537	\$ 150,537	Approved			\$ 150,537					Component of October 1 deficit mitigation plan. \$100 million no longer needed given latest GR projections. CRF can be re-deployed to testing, vaccines and other direct COVID costs.
224.	OTT14000	\$ -	\$ 27,363	\$ 27,363	Approved			\$ 27,363					Revised downward by \$1M 11/25 from \$15M to reflect actual expenditures by 12/30 of contracted vendors. Final expenditures figure updated 1/11
225.	Various	\$ -	\$ -	\$ -	Approved			\$ -					To support an upgrade to the ventilation in the Norwich dental clinic, which provides services to individuals with intellectual disability, to ensure proper ventilation. Dental procedures may generate aerosolized droplets which increase the risk of transmitting coronavirus.
226.	OPM20000	\$ -	\$ 415,000	\$ 415,000	Approved			\$ 415,000					Web Conferencing (Software to conduct virtual meetings), Constituent Outreach (Town hall calls, where legislator outreach to their constituents during this period where they can't meet with them in person and hiring translators for the hearing impaired during that outreach), Premises Cleaning, PPE & Supplies
227.	EC046000	\$ -	\$ 14,387	\$ 14,387	Approved			\$ 14,387					For the health and safety of the OSC workforce and to assist with continuity of state operations during the pandemic. Updated 12/8/2020 - vendor missed four workstations in the original quote. Added an additional \$2,72.20 beyond the original price quoted.
228.	EC046000	\$ -	\$ 13,659,822	\$ 13,659,822	Approved			\$ 13,659,822					To pay \$100 stipend per month per child in foster care for 3 months.
229.	SDE64000	\$ -	\$ 4,088,890	\$ 4,088,890	Approved			\$ 4,088,890					Costs reported as of 5/20/20 include institutional costs at State Universities and Charter Oak State College to off-campus courses in Spring 2020 semester, including supplies and technology needed for instruction and remote learning. Does not include student refunds or lost revenue. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
230.	DD550000	\$ -	\$ 25,000	\$ 25,000	Approved			\$ 25,000					Funding of public safety costs through CRF will complement CEF funds intended to support municipal policing efforts associated with addressing violent crime.
231.	OUM10000	\$ -	\$ 72,523	\$ 72,523	Approved			\$ 72,523					Total actuals and projected COVID-related costs at Storrs and Regional campuses projected through 12/30/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
232.	OSC15000	\$ -	\$ 265,896	\$ 265,896	Approved			\$ 265,896					Medical staffing needed due to staff shortages (covers July through December)
233.	DC91000	\$ -	\$ 1,039,271	\$ 1,039,271	Approved			\$ 1,039,271					The cost of equipment (video conferencing, webcam, laptops, software and consulting services) to provide courtrooms with remote access capability totals \$1,607K. The PPE for staff working across the branch is \$29K. The services for additional cleaning of courthouse buildings totals \$23K. The installation of office barriers costing \$223K will assist with social distancing. Cell phones for remote workers totaled \$62K and in the Detention Centers to limit exposure \$133K is being spent to perform temperature screening. Lastly community based services programs received COVID related expenditures of \$175K. November 19 - Judicial revised request from \$2,19,046 to \$1,206,056.31.
234.	BOR77700	\$ 3,379,595	\$ -	\$ 3,379,595	Approved			\$ 3,379,595					Plexiglass is needed for the health and safety of the TRB workforce which currently has the low partitions in the SOB.
235.	OPM20000	\$ -	\$ 6,786	\$ 6,786	Approved			\$ 6,786					
236.	OPM20000	\$ -	\$ 375,000	\$ 375,000	Approved			\$ 375,000					
237.	UOC67000	\$ -	\$ 6,571,980	\$ 6,571,980	Approved			\$ 6,571,980					
238.	DOC88000	\$ -	\$ 1,500,000	\$ 1,500,000	Approved			\$ 1,500,000					
239.	JUD95000	\$ -	\$ 1,206,056	\$ 1,206,056	Approved			\$ 1,206,056					
240.	TRB77500	\$ -	\$ 24,572	\$ 24,572	Approved			\$ 24,572					

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source						Notes	
Agency	Item	Gross Cost SPY 2020	Gross Cost SPY 2021		Unassigned	State SPY 2020	State SPY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX		Federal - Other
241.	DMV35000 Additional Funding for Temperature Screening	\$ -	\$ 315,000	\$ 315,000	Approved			\$ 315,000				Due to being open on Mondays plus staying opening additional hours in response to the backlog caused by COVID-19, DMV anticipates needing an additional \$300,000 to cover temperature screening by medical professionals. Update 12/07/2020 - increased by \$15,000 to reflect actual costs. Funding transferred from other approved items that were reduced.
242.	DMV35000 Reconfigure Knowledge Test Areas	\$ -	\$ 143,168	\$ 143,168	Approved			\$ 143,168				Funding for the redesign and configuration of the Knowledge Test areas throughout the branches. This will allow the agency to increase the number of knowledge test given while staying within the COVID19 social distancing restrictions. Update 12/07/2020 - reduced by \$11,832 to reflect actual costs. Funding transferred to other approved items.
243.	50S12500 Printing of Executive Orders	\$ -	\$ 147,000	\$ 147,000	Approved			\$ 147,000				To fund costs related to printing COVID-related executive orders in various papers as required by statute. Approved by MW 12/17/20
244.	EC046000 Support for nonprofit arts organizations impacted by COVID	\$ -	\$ 9,000,000	\$ 9,000,000	Approved			\$ 9,000,000				Grants to nonprofit arts organizations (performing arts centers, performing groups, and schools of the arts)
245.	0ECG4800 Additional Funds to Support Remote ECE Slots	\$ -	\$ 1,175,060	\$ 1,175,060	Approved			\$ 1,175,060				Funds to support remote learning slots for ECE in an effort to reach children who did not enroll in pre-k and kindergarten due to the pandemic
246.	05C15200 Testing and treatment of active and retired state employees and their dependents through the state health insurance plan	\$ -	\$ 40,000,000	\$ 40,000,000	Approved			\$ 40,000,000				
247.	EC046000 Support for businesses	\$ -	\$ 50,000,000	\$ 50,000,000	Approved			\$ 50,000,000				Grants to small businesses impacted by COVID, with \$25 million for business located in distressed municipalities and \$25 million for businesses in non-distressed municipalities
248.	EC046000 Overtime for Employees Supporting COVID Business Programs	\$ -	\$ 29,120	\$ 29,120	Approved			\$ 29,120				Overtime for staff working on CRF supported business grant programs.
249.	EC046000 Provide funding to the Office of the Arts in DECD to support Connecticut-Humanities	\$ -	\$ 1,500,000	\$ 1,500,000	Approved			\$ 1,500,000				To reimburse institutions for refunds issued to students due to campus closures.
250.	U0C67000 Student Fee Refunds	\$ -	\$ 20,000,000	\$ 20,000,000	Approved			\$ 20,000,000				
251.	DOC88000 COVID Related Temporary Services Costs for Inmate Medical Services	\$ -	\$ 1,345,434	\$ 1,345,434	Approved			\$ 1,345,434				Specialized mask decontamination units were installed at this location, costs incurred to manage the site, labor, materials, equipment and a floor repair.
252.	DAS23000 Costs at 424 Chapel St Decontamination Units Location	\$ -	\$ 207,025	\$ 207,025	Approved			\$ 207,025				Costs due to door removals, gym floor protection, water damage to mats and gym equipment when this space was used as a COVID Recovery Center.
253.	DAS23000 Costs Related to Converting SCU Moore Field House to Mobile Field Hospital	\$ -	\$ 47,832	\$ 47,832	Approved			\$ 47,832				
254.	DAS23000 IT And Consulting Costs	\$ -	\$ 279,846	\$ 279,846	Approved			\$ 279,846				Consulting costs for daily press conferences due to COVID. Deloitte consulting costs for Reopen CT and funds for additional VPN licenses.
255.	DAS23000 Security Guard Expenses: COVID Testing Sites	\$ -	\$ 85,081	\$ 85,081	Approved			\$ 85,081				Security and Parking staff at 60 Sargent Dr in New Haven and 401 W Thames, Campbell Building - COVID Testing Sites
256.	DAS23000 Medical Supplies/PPE/Safety Equipment and Security Costs	\$ -	\$ 44,712	\$ 44,712	Approved			\$ 44,712				Plexiglass, barriers, sanitizer units, HVAC work, PPE, and other medical supplies.
257.	CR047200 Surge Hospital - Convention Center	\$ -	\$ 390,125	\$ 390,125	Approved			\$ 390,125				Funding to support CRDA's expenses related to supporting a surge hospital at the CT Convention Center. Costs include personnel expenses (security, etc), utilities, etc. Assumes National Guard supports actual build out of hospital space and Hartford Health supports staff required consumables including cleaning. Does not include any costs associated with rented structures, etc. (e.g. trailers). Costs for Dec. - March
258.	01M10000 Web Conferencing, Constituent Outreach, Premises Cleaning, PPE & Supplies	\$ -	\$ 129,388	\$ 129,388	Approved			\$ 129,388				Encumbered: Web Conferencing (software to conduct virtual meetings), Constituent Outreach (Town hall calls, legislator outreach to their constituents during this period where they can't meet with them in person and hiring translators for the hearing impaired during that outreach), Premises Cleaning, PPE & Supplies.
259.	EC046000 Supplemental Small Business Support Grants	\$ -	\$ 35,000,000	\$ 35,000,000	Approved			\$ 35,000,000				
260.	DMV35000 Media Response Plan for COVID-19 Preparedness	\$ -	\$ 23,163	\$ 23,163	Approved			\$ 23,163				
261.	0AG23000 Plexiglass Installation for OAG Office Reopening	\$ -	\$ 76,451	\$ 76,451	Approved			\$ 76,451				For the health and safety of the OAG workforce and to assist with continuity of state operations during the pandemic.
262.	30F6000 Devices for adult education	\$ -	\$ 1,700,000	\$ 1,700,000	Approved			\$ 1,700,000				
263.	0AG23000 Plexiglass Installation for OAG Office Reopening	\$ -	\$ 20,623	\$ 20,623	Approved			\$ 20,623				For the health and safety of the OAG workforce and to assist with continuity of state operations during the pandemic.
264.	DOJ40000 Call Center Support thru 6/30 for 80 Temp Staff	\$ -	\$ 5,040,000	\$ 5,040,000	Approved			\$ 5,040,000				Call center support for the period 1/1/21 to 6/30/21
265.	GOV12000 Post-December communications related to testing and vaccines	\$ -	\$ 3,000,000	\$ 3,000,000	Approved			\$ 3,000,000				Vendor to augment DOJ contact center/call center staff 1/25/21 to 6/30/21 - at least 90 additional contracted staff
266.	DOJ40000 DOJ Contact Center/Call Center Support	\$ -	\$ 4,500,000	\$ 4,500,000	Approved			\$ 4,500,000				
267.	DOJ40000 UI Contact Center Support for the period 7/1/21 to 12/31/21	\$ -	\$ 18,000,000	\$ 18,000,000	Approved			\$ 18,000,000				DOJ UI Support : Contact Center Support for the period 7/1/21 to 12/31/21 (\$9 million), additional support for appeals, tax/audit support.
268.	DAS23000 Rental Equipment COVID Recovery Unit at Convention Center	\$ -	\$ 97,652	\$ 97,652	Approved			\$ 97,652				COVID Recovery Center sinks, shower trailers, restroom trailers at the Convention Center through February
269.	DAS23000 Governor's Crisis Communications Daily Briefings through March	\$ -	\$ 41,550	\$ 41,550	Approved			\$ 41,550				Governor's crisis communications daily briefings through March.
270.	DAS23000 School Construction Laptops	\$ -	\$ 9,599	\$ 9,599	Approved			\$ 9,599				Laptops for the school construction unit.

Agency	Item	Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source					Notes						
		Gross Cost SFY 2020	Gross Cost SFY 2021		Unassigned	State SFY 2020	State SFY 2021	Federal - CDF	Federal - FEMA		Federal - T-XIX	Federal - Other	Philanthropy			
271.	DOL40000 Vendor Support for Integrity and Overpayment for the period 4/1/2021 - 12/31/2021		\$ 6,231,940	Approved		\$ 6,231,940									Vendor to augment DOL contact center/call center staff 7/1/21 to 12/31/21 - at least 90 additional contracted staff (\$4.5 million). Balance of funding is for UI program support.	
272.	DOL40000 DOL Contact Center/Call Center and UI Program Support		\$ 10,000,000	Approved			\$ 10,000,000									
273.	ECD46000 Support for Short Term Certificate Programs through the Office of Workforce Strategy		\$ 5,000,000	Approved		\$ 5,000,000										
274.	SOE64000 Support for districts due to COVID related enrollment hold harmless provision		\$ 527,000	Approved		\$ 527,000									Part of Governor's FY 2022 - FY 2023 budget proposal.	
275.	UIJ95000 Equipment for Remote Work		\$ 1,245,546	Approved		\$ 1,245,546									Judicial requested these resources as part of their budget request for FY 2022 - FY 2023. CDF is provided in lieu of appropriated funding.	
276.	UHC72000 Testing for UCHC Employees		\$ 484,240	Approved		\$ 484,240									Total testing costs at UCAM were about \$5.0 million across all campuses. Approximately \$2.0 million of those costs were necessary to meet minimum guidance issued by DPH.	
277.	UOGE7000 Testing to meet minimum Evidence issued by DPH		\$ 5,000,000	Approved		\$ 5,000,000				\$ 567,219					to reimburse Comm. Health Ctr. Inc. for one-time costs to set up East Hartford mass vaccination site.	
278.	DPH48500 Mass vaccination site infrastructure set-up		\$ 567,219	Approved		\$ 567,219				\$ 5,000,000					Support for COVID relief in FY 2022. Working with agency to identify eligible expenditures for reimbursement.	
279.	UOGE7000 Support for COVID-19 related expenses and/or Public Safety personnel costs in FY 2022		\$ 5,000,000	Approved		\$ 5,000,000				\$ 10,000,000					Support for COVID relief in FY 2022. Working with agency to identify eligible expenditures for reimbursement.	
280.	BOIR77700 Support for COVID-19 related expenses and/or Public Safety personnel costs in FY 2022		\$ 10,000,000	Approved		\$ 10,000,000									Approved item 233 provided funds for Plexiglass installation. This request is from a add on quote based on the changes OSC requested from the walk through with the vendor.	
281.	OSCI5000 Additional Funds for Plexiglass installation for OSC Office Reopening		\$ 9,255	Approved		\$ 9,255									This will fund extra guard services until 6/30/2021. Approved item 200 provided funds to cover additional unnamed guards through 12/31/20.	
282.	DMW35000 Additional Funds for unarmed guards until 06/30/2021		\$ 1,300,000	Approved		\$ 1,300,000									Approved item 179 and 241 provided the DMV with funding for temperature screenings through 12/31/2020. The Department is currently reviewing an alternative, which would reduce cost substantially and they anticipate that it will be in place across all branches by March 30th.	
283.	DMW35000 Additional funds for temperature screen by medical professionals until 3/30/21		\$ 500,000	Approved		\$ 500,000									Approved item 117 provided funding for the cleaning and disinfecting of branches through 12/31/2020. Due to the pandemic, the Agency is completing nightly deep cleaning along with a weekly disinfecting spray of branches that are being utilized by staff and/or public.	
284.	DMW35000 Additional funds to continue extra cleaning of the branches until 6/29/2021		\$ 450,000	Approved		\$ 450,000									State government must regularly, and clearly communicate with residents the ongoing changes to public health, business, and education guidance throughout this pandemic. The state must have funds set aside for targeted marketing, information, and education campaigns across media platforms to distribute accurate information for all residents.	
285.	GOV12000 Communications related to testing and vaccines April - December 2021		\$ 4,000,000	Approved		\$ 4,000,000									American Rescue Plan Act, House Bill 1319, Section 9021 extends interest free borrowing on Unemployment Trust Fund Advances from the USDOOL through August 29, 2021. Funding provided for the payment of interest for the period August 30 - September 30, 2021, which is the end of the Federal Fiscal Year which would provide a one month reprieve to CT DOL and additional time to prepare the UI system for roll out to implement the billing/assessment, conduct follow up and complete all the other tasks.	
286.	DOL40000 Interest Payment on Federal UI Borrowed Funds		\$ 3,000,000	Approved		\$ 3,000,000									To fund costs related to printing COVID-related executive orders in various papers as required by statute. Approved by MM 3/17/21	
287.	SO512500 Printing of Executive Orders		\$ 250,000	Approved		\$ 250,000									DOL is working with the State Police, the Inspector General, USDOOL, and the FBI to combat organized attacks on the UI system. Because many of these groups are stretched thin, the state cannot adequately manage the influx of new fraudulent claims, let alone pursue the old ones at a pace to get ahead of the curve. DOL needs to assistance of DCI to handle the anti-fraud matters. This entry is a preliminary figure pending additional detail from Chief State's Attorney Colangelo.	
288.	DCI90000 Combat Fraud Related to the Federal Unemployment Insurance (UI) Program During COVID-19		\$ 385,972	Approved		\$ 385,972										
289.	TBD Economic Support and Business Relief		\$ 1,726,720	Approved		\$ 1,726,720										
290.	TBD Education - Reopening		\$ 5,911,110	Approved		\$ 5,911,110										
291.	TBD Municipalities - General COVID Costs		\$ 50,000,000	Approved		\$ 50,000,000										
292.	TBD Municipalities - Teacher and Staff COVID Testing		\$ 15,000,000	Approved		\$ 15,000,000										
293.	TBD State Operations		\$ 15,000,000	Approved		\$ 15,000,000										
294.	TBD Testing and Active Clinical Monitoring		\$ 22,480,768	Approved		\$ 22,480,768										
	Subtotal - Additional Expenditures	\$ 831,883,946	\$ 1,203,541,932		\$ 2,148,594,758	\$ 66,401,874	\$ 421,45,785	\$ 277,212,407	\$ 162,895,500	\$ 277,614,417	\$ 4,616,573					
Revenue Items																
1.	Rev Pass-through Entity Tax - Delay March 15, 2020 payment date to July 15, 2020	\$ (333,333)		Approved		\$ (333,333)										Cash flow impact, loss of interest
2.	Rev Corporation Tax - Delay May 15, 2020 payment date to July 15, 2020	\$ (166,667)		Approved		\$ (166,667)										Cash flow impact, loss of interest
3.	Rev Unrelated Business Income - Delay May 15, 2020 payment date to July 15, 2020	\$ (1,667)		Approved		\$ (1,667)										Cash flow impact, loss of interest
4.	Rev Indian Gaming Payments - Defier March 15 & April 15, 2020 Payment to Sep. through Dec.	\$ (28,600,000)		Approved		\$ (28,600,000)			\$ (28,600,000)							Cash flow impact, shift of revenue from FY20 to FY21
5.	Rev Personal Income Tax - Delay April 15 final payment date to July 15	\$ (1,119,556)		Approved		\$ (1,119,556)			\$ (1,119,556)							Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Funding Source							Notes			
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XI	Federal - Other	Philanthropy	Notes
6.	Personal Income Tax - Delay April 15 1st estimate payment to July 15	\$ (166,813)	\$	\$ (166,813)	Approved		\$ (166,813)	\$						Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
7.	Personal Income Tax - Delay June 15 2nd estimate payment to July 15	\$ (99,521)	\$	\$ (99,521)	Approved		\$ (99,521)	\$						Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
8.	LPF & MVR - Delay Various Licenses and Registrations by 180 Days	\$ (37,000,000)	\$ 37,000,000	\$ -	Approved		\$ (37,000,000)	\$ 37,000,000						SFYE - Shift of revenue from FY20 to FY21
9.	Sales and Use Tax - Waive 10 cent plastic bag fee through June 30	\$ (1,800,000)	\$	\$ (1,800,000)	Approved		\$ (1,800,000)	\$						Revenue loss for 1.5 months
10.	Sales and Use Tax - Delay 3/31 & 4/30 payment date to May 31, ann. lib. <\$150k	\$ (71,681)	\$	\$ (71,681)	Approved		\$ (71,681)	\$						Cash flow impact, loss of interest
11.	LPF - DPH Delay Various Licenses	\$ (10,000,000)	\$ 10,000,000	\$	Approved		\$ (10,000,000)	\$ 10,000,000						Per order of DPH Commissioner
12.	Gift Tax - Delay April 15 final payment date to July 15	\$ (10,000,000)	\$	\$ (10,000,000)	Approved		\$ (10,000,000)	\$						Cash flow impact, loss of interest
13.	LPF - Extend Term for On-Premise Liquor Licenses by 4 months	\$ (1,800,000)	\$	\$ (1,800,000)	Approved		\$ (1,800,000)	\$						One-time revenue loss due to extension
14.	Corporation Tax - Delay June 15 2nd estimated payment to July 15	\$ (98,333)	\$	\$ (98,333)	Approved		\$ (98,333)	\$						Cash flow impact, loss of interest
15.	Unrelated Business Income - Delay June 15 2nd estimated payment to July 15	\$	\$	\$	Approved		\$	\$						Cash flow impact, loss of interest - included in Corp. Tax figure above
16.	Pass-through Entity Tax - Delay June 15 2nd estimated payment to July 15	\$ (62,083)	\$	\$ (62,083)	Approved		\$ (62,083)	\$						Cash flow impact, loss of interest
17.	Estate Tax - Delay payments due from 4/1-7/15 to July 15	\$ (40,000,000)	\$ 40,000,000	\$	Approved		\$ (40,000,000)	\$ 40,000,000						Cash flow impact, loss of interest
18.	LPF - DEEP 90 Day extension for Environmental Quality Fee	\$ (2,500,000)	\$ 2,500,000	\$	Approved		\$ (2,500,000)	\$ 2,500,000						Shift of revenue from FY20 to FY21
19.	Refunds of Taxes - delay in refund payments due to extensions of tax filing dates	\$ (150,200,000)	\$ (150,200,000)	\$ (300,400,000)	Approved		\$ (150,200,000)	\$ (150,200,000)						Shift of refund payments from FY20 to FY21
	Subtotal - Revenue Loss	\$ 16,380,346	\$ (22,100,000)	\$ (5,719,654)			\$ 16,380,346	\$ (22,100,000)	\$ -	\$ -	\$ -	\$ -	\$ -	
	Grand Total Impact	\$ 815,503,600	\$ 1,225,641,912	\$ 2,154,294,412			\$ 50,021,528	\$ 64,245,785	\$ 1,317,688,202	\$ 277,212,407	\$ 162,895,500	\$ 277,614,417	\$ 4,616,573	

State of Connecticut
Summary of Changes - FY 2021
General Fund and Special Transportation Fund
Projected to June 30, 2021
As of February 28, 2021
(In Millions)

General Fund

Balance from Operations - Prior Month		\$	131.4
Revenues			
Pass-Through Entity Tax	200.0		
Real Estate Conveyance Tax	40.0		
Corporation Tax	30.0		
Transfers to BRF - Volatility Adjustment	(200.0)		
Health Provider Tax	(30.0)		
All Other Changes (Net)	20.0		60.0
Expenditures			
Additional Requirements	(2.6)		
Estimated Lapses	(8.2)		
Miscellaneous Adjustments/Rounding	0.0		(10.8)
Operating Surplus - FY 2021		\$	180.6

Budget Reserve Fund

Fund Balance as of June 30, 2020		\$	3,074.6
Deposit to SERS/TRS Pursuant to Sec. 4-30a, CGS	(61.6)		
Volatility Cap Deposit	555.1		
FY 2021 Est. Balance from Operations	180.6		674.1
Estimated Fund Balance - June 30, 2021		\$	3,748.7
Fund Balance as Percentage of FY 2021 General Fund			18.7%

Special Transportation Fund

Fund Balance as of June 30, 2020		\$	168.4
Balance from Operations - Prior Month			(60.0)
Revenues			
No Changes	0.0		0.0
Expenditures			
Additional Requirements	0.0		
Estimated Lapses	9.7		
Miscellaneous Adjustments/Rounding	0.0		9.7
Estimated Fund Balance - June 30, 2021		\$	118.1

State of Connecticut
General Fund
Statement of FY 2021 Revenues, Expenditures, and Results of Operations
Projected to June 30, 2021
As of February 28, 2021
(In Millions)

	General Assembly Budget Plan ¹	Revised Estimates OPM	Over/ (Under)
REVENUE			
Taxes	\$ 18,873.4	\$ 18,967.4	\$ 94.0
Less: Refunds	(1,484.7)	(1,773.3)	(288.6)
Taxes - Net	\$ 17,388.7	\$ 17,194.1	\$ (194.6)
Other Revenue	1,345.1	1,302.4	(42.7)
Other Sources	1,518.7	1,325.2	(193.5)
TOTAL Revenue	\$ 20,252.5	\$ 19,821.7	\$ (430.8)
EXPENDITURES			
Initial Current Year Appropriations	\$ 20,395.7	\$ 20,395.7	\$ -
Prior Year Appropriations Continued to FY 2021 ²		139.0	139.0
TOTAL Initial and Continued Appropriations	\$ 20,395.7	\$ 20,534.7	\$ 139.0
Appropriation Adjustments	-	-	-
TOTAL Adjusted Appropriations	\$ 20,395.7	\$ 20,534.7	\$ 139.0
Net Additional Expenditure Requirements		187.8	187.8
Estimated Appropriations Lapsed	(309.4)	(942.4)	(633.0)
Estimated Appropriations to be Continued to FY 2022 ²		-	-
TOTAL Estimated Expenditures	\$ 20,086.3	\$ 19,780.1	\$ (306.2)
Net Change in Fund Balance - Continuing Appropriations		(139.0)	(139.0)
Miscellaneous Adjustments/Rounding		-	-
Net Change in Unassigned Fund Balance - 6/30/2021	\$ 166.2	\$ 180.6	\$ 14.4

1. . P.A. 19-117 as amended by P.A. 19-1, December Special Session. Note that CGS Sec. 2-33c limits appropriations in FY 2021 to 99.25% of General Fund revenue. As a result, the \$166.2 million budgeted surplus is comprised of \$151.1 million due to this 99.25% limitation, plus a \$15.1 million operating surplus.

2. CGS Sec. 4-89 and other statutory provisions.

State of Connecticut
General Fund
FY 2021 Revenue Estimates
Projected to June 30, 2021
As of February 28, 2021
(In Millions)

	General Assembly Budget Plan ^{1.}	Revised Estimates OPM	Over/ (Under)
TAXES			
Personal Income - Withholding	\$ 7,168.5	\$ 6,915.6	\$ (252.9)
Personal Income - Estimates and Finals	2,836.9	2,538.7	(298.2)
Sales and Use	4,588.4	4,532.7	(55.7)
Corporation	1,082.5	990.0	(92.5)
Pass-through Entity Tax	850.0	1,421.3	571.3
Public Service Corporations	244.7	261.6	16.9
Inheritance and Estate	146.3	232.6	86.3
Insurance Companies	205.8	214.6	8.8
Cigarettes	326.9	343.9	17.0
Real Estate Conveyance	230.6	345.6	115.0
Alcoholic Beverages	69.7	73.2	3.5
Admissions and Dues	41.5	29.3	(12.2)
Health Provider Tax	1,033.6	1,049.5	15.9
Miscellaneous	48.0	18.8	(29.2)
TOTAL - TAXES	\$ 18,873.4	\$ 18,967.4	\$ 94.0
Less: Refunds of Taxes	(1,378.9)	(1,666.5)	(287.6)
Earned Income Tax Credit	(100.6)	(100.6)	-
R & D Credit Exchange	(5.2)	(6.2)	(1.0)
TOTAL - TAXES - NET	\$ 17,388.7	\$ 17,194.1	\$ (194.6)
OTHER REVENUE			
Transfers - Special Revenue	\$ 376.6	\$ 386.6	\$ 10.0
Indian Gaming Payments	225.4	232.3	6.9
Licenses, Permits, Fees	384.3	328.8	(55.5)
Sales of Commodities and Services	31.0	25.3	(5.7)
Rents, Fines, Escheats	160.9	155.5	(5.4)
Investment Income	52.9	4.7	(48.2)
Miscellaneous	181.7	216.9	35.2
Refunds of Payments	(67.7)	(47.7)	20.0
TOTAL - OTHER REVENUE	\$ 1,345.1	\$ 1,302.4	\$ (42.7)
OTHER SOURCES			
Federal Grants	\$ 1,571.5	\$ 1,657.2	\$ 85.7
Transfer from Tobacco Settlement Fund	114.5	114.5	-
Transfers From/(To) Other Funds	134.2	108.6	(25.6)
Transfers to BRF - Volatility Adjustment ^{2.}	(301.5)	(555.1)	(253.6)
TOTAL - OTHER SOURCES	\$ 1,518.7	\$ 1,325.2	\$ (193.5)
TOTAL - GENERAL FUND REVENUE	\$ 20,252.5	\$ 19,821.7	\$ (430.8)

1. Sec. 386 of P.A. 19-117 as amended by Sec. 8 of P.A. 19-1, December Special Session.

2. The volatility cap for FY 2021 is \$3,404.9 million. Total revenue from the Estimates and Finals portion of the Personal Income Tax and the Pass-through Entity Tax that exceeds the volatility cap will be deposited into the Budget Reserve Fund.

State of Connecticut - General Fund
FY 2021 Appropriation Adjustments - Net Additional Requirements
Projected to June 30, 2021
As of February 28, 2021

Department of Economic and Community Development	\$	10,487,000
Office of the Chief Medical Examiner		485,000
Department of Mental Health and Addiction Services		6,300,000
University of Connecticut Health Center		50,000,000
Department of Correction		3,650,000
OSC - Miscellaneous (Adjudicated Claims)		30,000,000
OSC - Fringe Benefits		61,873,038
Additional COVID Testing Requirements		25,000,000
Total	\$	<u>187,795,038</u>

State of Connecticut
 General Fund
 Estimated FY 2021 Lapses
 Projected to June 30, 2021
 As of February 28, 2021

Unallocated Lapse	\$ 26,215,570
Unallocated Lapse - Judicial	5,000,000
Statewide Hiring Reduction - Executive	7,000,000
Contracting Savings Initiatives	15,000,000
Pension and Healthcare Savings (pension portion)	121,200,000
Pension and Healthcare Savings (healthcare portion)	135,000,000
Rescissions - October 1, 2020	25,290,909
Transfer Certain Public Health and Safety Expenses to CRF	-
Office of Legislative Management	3,000,000
Commission on Women, Children, Seniors, Equity & Opportunity	200,000
Elections Enforcement Commission	150,000
State Comptroller	200,000
Department of Revenue Services	900,000
Department of Administrative Services	600,000
Department of Consumer Protection	400,000
Department of Labor	586,823
Commission on Human Rights and Opportunities	100,000
Department of Housing	3,500,000
Department of Public Health	1,572,327
Department of Developmental Services	4,600,000
Department of Social Services	438,865,102
Department of Aging and Disability Services	850,000
Department of Education	17,607,824
Office of Early Childhood	7,300,000
Office of Higher Education	220,000
Teachers' Retirement Board	3,794,000
Department of Children and Families	44,526,887
Judicial Department	6,612,600
Public Defender Services Commission	2,244,025
OTT - Debt Service	69,300,000
DAS - Workers' Compensation Claims	600,000

State of Connecticut
FY 2021 General Fund
Monthly Summary of Operations
(In Millions)

	Budget Plan ¹	July 2020	August 2020	September 2020	October 2020	November 2020	December 2020	January 2021	February 2021	March 2021	April 2021	May 2021	June 2021
REVENUE	\$20,252.5	\$ 18,056.5	\$ 18,056.5	\$ 18,510.6	\$ 18,837.2	\$ 19,018.1	\$ 19,761.7	\$ 19,761.7	\$ 19,821.7				
Appropriations	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7				
Additional Requirements	0.0	139.1	144.2	146.0	175.7	183.5	165.9	185.2	187.8				
Less: Estimated Lapses	(309.4)	(407.7)	(458.6)	(769.5)	(854.8)	(920.9)	(937.4)	(950.6)	(942.4)				
TOTAL - Estimated Expenditures	20,086.3	20,127.2	20,081.4	19,772.2	19,716.6	19,658.3	19,624.1	19,630.3	19,641.1	0.0	0.0	0.0	0.0
Operating Balance	166.2	(2,070.7)	(2,024.9)	(1,261.6)	(879.4)	(640.2)	137.6	131.4	180.6	0.0	0.0	0.0	0.0
Misc. Adjustments/Rounding	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0				
Est. Operating Balance - 6/30/21	\$166.2	(\$2,070.7)	(\$2,024.9)	(\$1,261.6)	(\$879.4)	(\$640.2)	\$137.6	\$131.4	\$180.6	\$0.0	\$0.0	\$0.0	\$0.0

1. P.A. 19-117 as amended by P.A. 19-1 of the December Special Session.

State of Connecticut
Special Transportation Fund
Analysis of FY 2021 Budget Plan
Projected to June 30, 2021
As of February 28, 2021
(In Millions)

	General Assembly <u>Budget Plan</u> ^{1.}	Revised Estimates <u>OPM</u>	Over/ <u>(Under)</u>
Fund Balance as of June 30, 2020	\$ 363.5	\$ 168.4	\$ (195.1)
REVENUE			
Taxes	\$ 1,375.5	\$ 1,207.2	\$ (168.3)
Less: Refunds of Taxes	<u>(15.0)</u>	<u>(15.0)</u>	<u>-</u>
Taxes - Net	1,360.5	1,192.2	(168.3)
Other Revenue	<u>520.3</u>	<u>498.5</u>	<u>(21.8)</u>
TOTAL - Revenue	\$ 1,880.8	\$ 1,690.7	\$ (190.1)
EXPENDITURES			
Appropriations	\$ 1,848.0	\$ 1,848.0	\$ -
Prior Year Appropriations Continued to FY 2021 ^{2.}		31.8	31.8
TOTAL Initial and Continued Appropriations	\$ 1,848.0	\$ 1,879.8	\$ 31.8
Appropriation Adjustments	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL Adjusted Appropriations	\$ 1,848.0	\$ 1,879.8	\$ 31.8
Net Additional Expenditure Requirements		1.0	1.0
Estimated Appropriations Lapsed	(31.7)	(108.0)	(76.3)
Estimated Appropriations to be Continued to FY 2022 ^{2.}		<u>-</u>	<u>-</u>
TOTAL Estimated Expenditures	\$ 1,816.3	\$ 1,772.8	\$ (43.5)
Net Change in Fund Balance - Continuing Appropriations		(31.8)	(31.8)
Miscellaneous Adjustments/Rounding		-	-
Net Change in Unassigned Fund Balance - FY 2021	\$ 64.5	\$ (50.3)	\$ (114.8)
Estimated Fund Balance - June 30, 2021	<u>\$ 428.0</u>	<u>\$ 118.1</u>	<u>\$ (309.9)</u>

1. P.A. 19-117. Note that CGS Sec. 2-33c limits appropriations in FY 2021 to 99.25% of Special Transportation Fund revenue. As a result, the \$64.5 million budgeted surplus is comprised of \$14.1 million due to this 99.25% limitation, plus a \$50.4 million operating surplus.

2. CGS Sec. 4-89 and other statutory provisions.

State of Connecticut
 Special Transportation Fund
 FY 2021 Revenue Estimates
 Projected to June 30, 2021
 As of February 28, 2021
 (In Millions)

	General Assembly Budget Plan ¹	Revised Estimates OPM	Over/ (Under)
TAXES			
Motor Fuels	\$ 505.1	\$ 467.4	\$ (37.7)
Oil Companies	330.2	203.5	(126.7)
Sales & Use Tax	454.1	442.3	(11.8)
Sales Tax DMV	86.1	94.0	7.9
TOTAL - TAXES	<u>1,375.5</u>	<u>1,207.2</u>	<u>(168.3)</u>
Less: Refunds of Taxes	(15.0)	(15.0)	-
TOTAL - TAXES - NET	<u>\$ 1,360.5</u>	<u>\$ 1,192.2</u>	<u>\$ (168.3)</u>
OTHER REVENUE			
Motor Vehicle Receipts	\$ 305.9	\$ 332.9	\$ 27.0
Licenses, Permits, Fees	146.6	129.6	(17.0)
Interest Income	36.7	4.9	(31.8)
Federal Grants	11.8	11.8	-
Transfers (To)/From Other Funds	24.5	24.5	-
Refunds of Payments	(5.2)	(5.2)	-
TOTAL - OTHER REVENUE	<u>\$ 520.3</u>	<u>\$ 498.5</u>	<u>\$ (21.8)</u>
TOTAL - SPECIAL TRANSPORTATION FUND REVENUE	<u>\$ 1,880.8</u>	<u>\$ 1,690.7</u>	<u>\$ (190.1)</u>

1. Sec. 387 of P.A. 19-117, as adjusted by provisions of P.A. 19-165.

Statement 3T
March 19, 2021

State of Connecticut
Special Transportation Fund
FY 2021 Appropriation Adjustments - Net Additional Requirements
Projected to June 30, 2021
As of February 28, 2021

Department of Administrative Services	\$ 1,000,000
Total	<u>\$ 1,000,000</u>

Statement 4T
March 19, 2021

State of Connecticut
Special Transportation Fund
FY 2021 Estimated Lapses
Projected to June 30, 2021
As of February 28, 2021

Unallocated Lapse	\$	-
Pension and Healthcare Savings		19,700,000
Department of Motor Vehicles		3,500,000
Department of Transportation		9,000,000
OTT - Debt Service		74,100,000
OSC - Fringe Benefits		700,000
DAS - Workers' Compensation Claims		1,000,000
Total		<u>\$ 108,000,000</u>

State of Connecticut
FY 2021 Special Transportation Fund
Monthly Summary of Operations
(In Millions)

	Budget Plan ¹ .	July 2020	August 2020	September 2020	October 2020	November 2020	December 2020	January 2021	February 2021	March 2021	April 2021	May 2021	June 2021
Beginning Balance ² .	\$ 363.5	\$ 169.0	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4				
Revenue	1,880.8	1,688.3	1,688.3	1,697.2	1,690.6	1,689.6	1,690.7	1,690.7	1,690.7				
Total Available	2,244.3	1,857.3	1,856.7	1,865.6	1,859.0	1,858.0	1,859.1	1,859.1	1,859.1	0.0	0.0	0.0	0.0
Appropriations	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0				
Additional Requirements	0.0	0.0	0.0	1.2	1.2	0.0	0.0	1.0	1.0				
Less: Estimated Lapses	(31.7)	(83.7)	(93.8)	(96.8)	(96.8)	(97.8)	(97.8)	(98.3)	(108.0)				
TOTAL - Estimated Expenditures	1,816.3	1,764.3	1,754.2	1,752.4	1,752.4	1,750.2	1,750.2	1,750.7	1,741.0	0.0	0.0	0.0	0.0
Operating Balance	64.5	(76.0)	(65.9)	(55.2)	(61.8)	(60.6)	(59.5)	(60.0)	(50.3)	0.0	0.0	0.0	0.0
Misc. Adjustments/Rounding	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0				
Estimated Operating Balance 6/30/20	\$428.0	\$93.0	\$102.5	\$113.2	\$106.6	\$107.8	\$108.9	\$108.4	\$118.1	\$0.0	\$0.0	\$0.0	\$0.0

1. P. A. 19-117.

2. Budget plan and July as estimated by the Office of Policy and Management. August and thereafter based on OSC preliminary closing balance for FY 2020 from letter dated September 17, 2020.