



STATE OF CONNECTICUT

OFFICE OF POLICY AND MANAGEMENT

July 20, 2021

The Honorable Kevin Lembo
 State Comptroller
 165 Capitol Avenue
 Hartford, Connecticut 06106

Dear Comptroller Lembo:

Section 4-66 of the General Statutes requires that my office provide information on the state's General Fund for Fiscal Year 2021. An analysis of the Special Transportation Fund is also provided here due to the significance of this fund.

	FY 2021 Projection			Change in Estimate - July vs. June	July Est. Variance from Budget
	Budget (as Revised Dec. 2019)	June Estimate	July Estimate		
	(in millions)				
<u>General Fund</u>					
Revenues	\$ 20,252.5	\$ 20,268.5	\$ 20,328.1	\$ 59.6	\$ 75.6
Expenditures	<u>20,086.3</u>	<u>20,111.5</u>	<u>20,056.2</u>	(55.3)	(30.1)
Operating Results - Surplus/(Deficit)	\$ 166.2	\$ 157.0	\$ 271.9	\$ 114.9	\$ 105.7
<u>Budget Reserve Fund</u>					
Deposit / (Withdrawal)	\$ 467.7	\$ 1,300.5	\$ 1,430.4	\$ 129.9	\$ 962.7
Proj. Balance 6/30	\$ 3,542.3	\$ 4,375.0	\$ 4,505.0	\$ 129.9	\$ 962.7
<u>Special Transportation Fund</u>					
Revenues	\$ 1,880.8	\$ 1,754.8	\$ 1,753.1	\$ (1.7)	\$ (127.7)
Expenditures	<u>1,816.3</u>	<u>1,713.1</u>	<u>1,700.3</u>	(12.8)	(116.0)
Operating Results - Surplus/(Deficit)	\$ 64.5	\$ 41.7	\$ 52.8	\$ 11.1	\$ (11.7)
Proj. Fund Balance 6/30	\$ 423.4	\$ 210.1	\$ 221.2	\$ 11.1	\$ (202.2)
<u>Tourism Fund</u>					
Revenues	\$ 14.2	\$ 6.2	\$ 16.0	\$ 9.8	\$ 1.8
Expenditures	<u>13.1</u>	<u>13.1</u>	<u>13.1</u>	-	-
Operating Results - Surplus/(Deficit)	\$ 1.1	\$ (6.9)	\$ 2.9	\$ 9.8	\$ 1.8
Proj. Fund Balance 6/30	\$ (0.1)	\$ (9.8)	\$ 0.0	\$ 9.8	\$ 0.1

Notes:
 1. BRF deposit includes the transfer out of \$61.62 million pursuant to Sec. 4-30a, CGS, as the FY 2020 ending balance exceeded the statutory 15% cap. This sum was deposited as an additional contribution to the State Employees Retirement Fund.

General Fund

The adopted FY 2021 budget anticipated a \$166.2 million balance at year end. We project that the year will end with an operating surplus of \$271.9 million, a \$115.0 million increase from last month's estimate due to revisions of both revenues and expenditures. The projected surplus represents 1.4 percent of the General Fund.

Our estimates also include anticipated state costs for the state's current pandemic response. Attachments to this letter outline specific measures approved to date as part of that response.

Our forecast of the Budget Reserve Fund (BRF) balance at year end is depicted below. After transferring \$61.6 million to the State Employees' Retirement System, the state's reserves stood at \$3,012.9 million to start FY 2021. The projected Budget Reserve Fund balance at the end of the fiscal year, after transfers pursuant to the statutory volatility cap and the estimated FY 2021 operating surplus, is expected to reach \$4.5 billion, or 22.4 percent of net General Fund appropriations for the current year and 21.7 percent of FY 2022 appropriations contained in Special Act 21-15. Given that this balance exceeds the statutory 15 percent cap for the Budget Reserve Fund, additional transfers to the State Employees Retirement Fund and/or the Teachers' Retirement Fund will be made as part of the closing process for FY 2021.

Budget Reserve Fund	
	(in millions)
Estimated BRF Ending Balance - FY 2020 (CAFR 2/19/21)	\$ 3,074.6
Deposit to SERS pursuant to Sec. 4-30a, C.G.S. (CAFR 2/19/21)	\$ (61.6)
Projected Operating Surplus - FY 2021 (OPM 7/20/21 Est.)	\$ 271.9
Volatility Cap Deposit - FY 2021 (OPM 7/20/21 Est.)	<u>\$ 1,220.1</u>
Estimated BRF Ending Balance - FY 2021	\$ 4,504.9

Revenues

Projected revenues have been revised upward by \$59.6 million compared to last month's estimate. Corporation Tax revenue has been revised upward by \$50 million and Estimate and Finals revenue under the Personal Income Tax has been revised upward by \$40 million, as payments due June 15th for both taxes exceeded their targets. Miscellaneous revenue has been revised upward by \$20.0 million, primarily as a result of over-recoveries of employee fringe benefit costs from non-General Fund sources. Pass-Through Entity Tax revenue fell slightly short of the estimated June payment and have been revised downward by \$25.0 million. Due to the updated projections for Estimates and Finals and the Pass-Through Entity Tax, transfers from the General Fund to the Budget Reserve Fund by operation of the volatility cap will increase by \$15.0 million. All other changes net to a negative \$10.4 million. It should be noted that our estimates include FY 2021 revenues that will continue to accrue through August 6th, with approximately \$1.0 billion in General Fund revenue still to be collected before the fiscal year closes.

Expenditures

Preliminary year-end expenditures, including outlays for Adjudicated Claims, reflect a \$55.4 million decrease from last month's estimate. Statement 4, attached, lists estimated lapses by agency net of funds projected to be carried forward into the FY 2022 – FY 2023 biennium.

Special Transportation Fund

The adopted budget anticipated a \$64.5 million balance from operations. We project that the Special Transportation Fund will end the year with a \$52.8 million operating surplus, and that the Transportation Fund balance on June 30, 2021, will be \$221.2 million. It is important to note that this fund balance would have been exhausted if not for the considerable federal assistance received over the past year to address the effects of the pandemic.

Revenues

Estimated revenue has been revised downward by \$1.7 million compared to last month's estimate, reflecting minor adjustments across several revenue sources. It should be noted that our estimates include FY 2021 revenues that will continue to accrue through August 6th, with approximately \$210.0 million in Special Transportation Fund revenue still to be collected before the year closes.

Expenditures

Preliminary year-end expenditures have improved by \$12.8 million since last month's forecast. Statement 4T, attached, lists projected net lapses by agency.

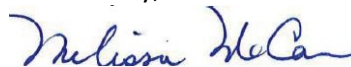
Other Appropriated Funds

While Sec. 4-66, CGS, does not require that we provide analyses of other appropriated funds, we offer the following information about the status of the Regional Market Operation Fund and the Tourism Fund.

- **Regional Market Operation Fund.** Pursuant to Section 10 of Public Act 18-154, the Hartford Regional Market was conveyed to the Capital Region Development Authority and CRDA has assumed operation of that market. As a result, appropriations totaling \$1.1 million were unspent.
- **Tourism Fund.** The fund's revenue source is the Hotel Occupancy Tax, which has underperformed as a result of the pandemic's impact on the hospitality industry. As a result, expenditures from the fund are estimated to exceed available revenues by approximately \$6.9 million. When added to the negative fund balance of \$2.9 million carried over from FY 2020, we anticipate the Tourism Fund would have ended FY 2021 with a \$9.8 million negative fund balance. However, section 451 of Public Act 21-1, June Special Session, transfers sufficient resources from the General Fund to eliminate this negative fund balance before the fiscal year closes.

This is our final estimate for FY 2021. While the foregoing information represents the best estimate that can be made at this time, accounting adjustments made as part of the year-end closing process will affect final results.

Sincerely,

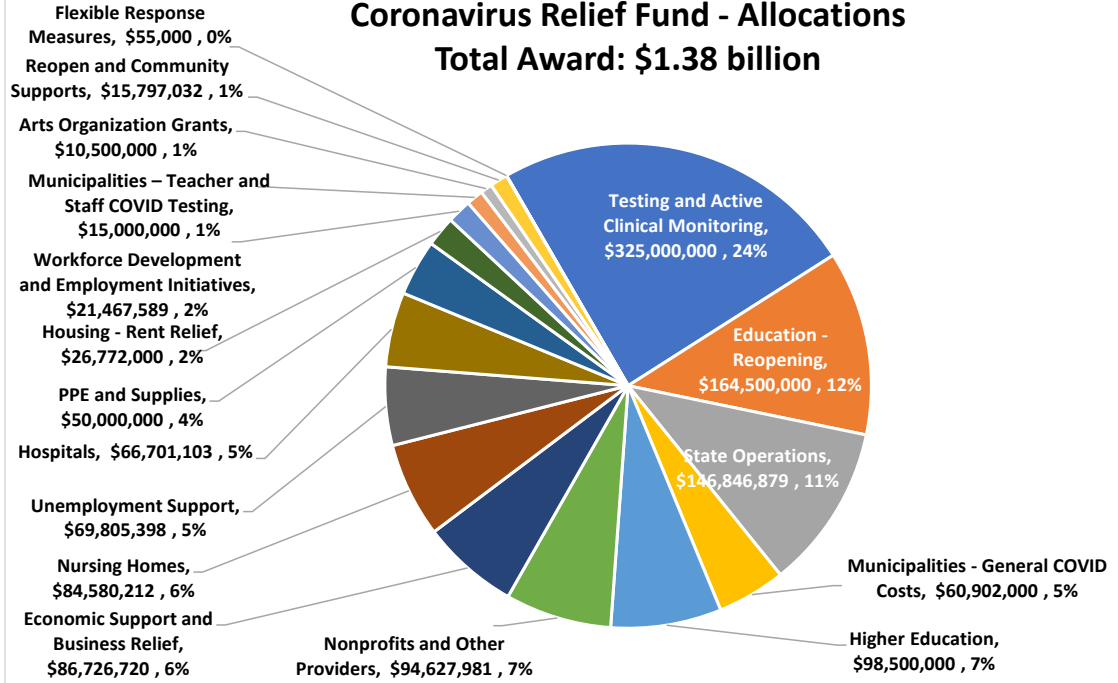


Melissa McCaw
Secretary

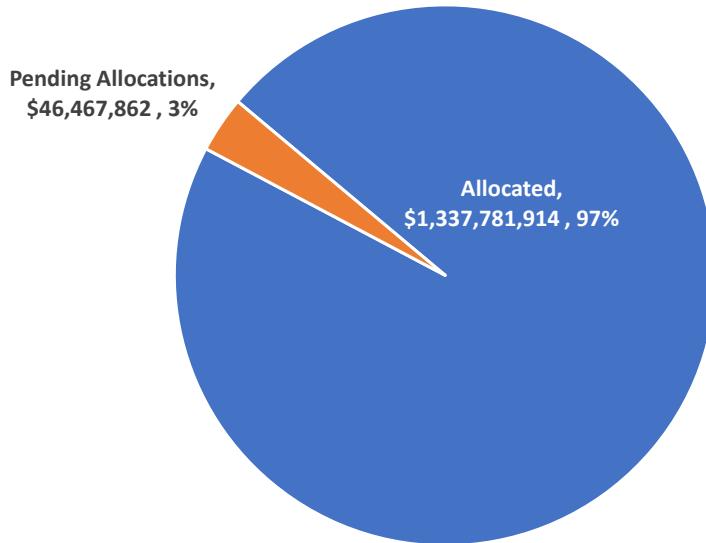
Attachments:

COVID Responses – Budget Impact
Summary Statements, FY 2021 Revenue and Expenditures

Coronavirus Relief Fund - Allocations Total Award: \$1.38 billion



Coronavirus Relief Fund Allocation Status Total: \$1.38 billion



Agency Code	Agency Name	Approved Amount
BOR77700	Connecticut State Colleges and Universities	\$ 46,471,797.25
CME49500	Office of the Chief Medical Examiner	\$ 227,145.00
CRD47200	Capital Region Development Authority	\$ 2,656,097.21
CSL66000	State Library	\$ 2,627,718.00
DAS23000	Department of Administrative Services	\$ 7,547,667.00
DCF91000	Department of Children and Families	\$ 6,712,947.26
DCJ30000	Division of Criminal Justice	\$ 818,015.00
DDS50000	Department of Developmental Services	\$ 52,618,642.00
DHE66500	Office of Higher Education	\$ 5,800,000.00
DMV35000	Department of Motor Vehicles	\$ 10,327,931.00
DOC88000	Department of Correction	\$ 33,450,304.00
DOH46900	Department of Housing	\$ 30,716,875.00
DOI37500	Insurance Department	\$ 16,694.00
DOL40000	Labor Department	\$ 72,557,121.70
DOT57000	Department of Transportation	\$ 343,838.00
DPH48500	Department of Public Health	\$ 154,184,578.00
DPS32000	Department of Emergency Services and Public Protection	\$ 3,456,000.00
DRS16000	Department of Revenue Services	\$ 10,512,896.00
DSS60000	Department of Social Services	\$ 225,572,752.00
DVA21000	Department of Veterans Affairs	\$ 1,342,277.00
ECD46000	Department of Economic and Community Development	\$ 113,801,967.35
GOV12000	Governor's Office	\$ 12,000,000.00
HRO41100	Commission on Human Rights and Opportunities	\$ 49,027.00
JUD95000	Judicial Department	\$ 8,754,894.04
MHA53000	Department of Mental Health and Addiction Services	\$ 17,605,364.00
MIL36000	Military Department	\$ 413,817.00
OAG29000	Attorney General	\$ 97,073.84
OEC64800	Office of Early Childhood	\$ 7,259,357.00
OLM10000	Legislative Management	\$ 201,910.56
OPM20000	Office of Policy and Management	\$ 94,398,080.00
OSC15000	State Comptroller	\$ 78,090,360.00
OSC15200	State Comptroller - Fringe Benefits	\$ 40,000,000.00
OTT14000	State Treasurer	\$ 211,961.82
PCA98000	Probate Court Administration	\$ 55,573.79
PDS98500	Public Defender Services Commission	\$ 635,092.00
TBD		\$ 129,170,672.08
SDE64000	Department of Education	\$ 160,793,536.00
SDR63500	Department of Aging and Disability Services	\$ 95,333.00
SOS12500	Secretary of the State	\$ 1,039,051.00
TRB77500	Teachers' Retirement Board	\$ 24,572.00
UHC72000	University of Connecticut Health Center	\$ 12,218,931.50
UOC67000	University of Connecticut	\$ 39,371,906.25
	Grand Total	\$ 1,384,249,775.65

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Gross Total	Status	Funding Source	Notes
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022					
1. DSS60000	Suspend Medicaid eligibility discontinuances	\$ 6,800,000	\$ 173,700,000		\$ 180,500,000	Approved	Federal - CRF	State share @ enhanced FMAP (+6.2%). Reflects maintenance of effort requirements for receipt of enhanced FMAP	
2. DSS60000	Provide uninsured persons with coverage for COVID-19 - Citizens	\$ 12,600,000	\$ 6,300,000		\$ 18,900,000	Approved		\$4.5m of gross costs are 100% federally funded; balance is 100% state funded. Note: DSS is on hold, pending further federal guidance	
3. DSS60000	Provide uninsured persons with coverage for COVID-19 - Non-Citizens	\$ 5,900,000	\$ 3,000,000		\$ 8,900,000	Approved		Assumes majority of costs will be reimbursable at 56.2%	
4. DSS60000	Waive HUSKY B copays	\$ 340,000	\$ -		\$ 340,000	Approved			
5. DSS60000	Waive Medicare Part D (Rx) copays for dually eligible population	\$ 100,000	\$ -		\$ 100,000	Approved			
6. DSS60000	Suspend cash assistance discontinuances (TFA, State Supplement, SAGA)	\$ 1,200,000	\$ -		\$ 1,200,000	Approved			
7. DSS60000	Suspend 21 month time limit for TFA/JFES	\$ 190,000	\$ 770,000		\$ 960,000	Approved		Approved for 3 months (Note: Suspension of time limit impacts both FY 21 and FY 22, with add'l costs of \$570k in FY 22)	
8. DSS60000	Provide additional flexibilities under home and community-based services waivers	\$ 870,000	\$ 430,000		\$ 1,300,000	Approved			
9. DSS60000	Provide temporary relief funding for nursing homes and a COVID-specific grant equivalent to \$600 per bed per day for facilities exclusively serving patients with COVID-19	\$ 47,400,000	\$ 87,958,672		\$ 135,358,672	Approved	\$ 81,658,672		
10. DSS60000	Provide hardship grants to nursing homes facing a substantial deterioration in their finances, which could adversely affect resident care and the continued operation of the facility	\$ -	\$ 929,155		\$ 929,155	Approved	\$ 929,155		
11. DSS60000	Provide interim payments to FOHCs to assist with cash flow	\$ 5,562,813	\$ (5,562,813)		\$ -	Approved		Advances will be recouped in FY 21	
12. DSS60000	Provide pandemic rate increase for residential care homes (RCHs)	\$ 980,000	\$ -		\$ 980,000	Approved		Includes interim payment in April 2020 to be recouped in FY 22. Approved for 3 months.	
13. DSS60000	Provide pandemic rate increase for private intermediate care facilities (ICF/IIDs)	\$ 1,640,000	\$ -		\$ 1,640,000	Approved		Includes interim payment in April 2020 to be recouped in FY 22. Approved for 3 months.	
14. DSS60000	Use DSS' non-emergency medical transportation vendor (Veyo) to assist with meals-on-wheels deliveries	TBD	TBD		\$ -	Approved			
15. DSS60000	Expand service array under Community First Choice to include agency-based PCAs	\$ 31,500	\$ 15,800		\$ 47,300	Approved			
16. DSS60000	Provide 90-day supply for most prescription drugs and more flexible pharmacy early refill	\$ -	\$ -		\$ -	Approved		Fiscal impact expected to be minimal	
17. DSS60000	Waive SNAP requirement for face-to-face interviews	\$ -	\$ -		\$ -	Approved		No state cost--federally funded program. Federal approval received	
18. DSS60000	Waive SNAP requirements - extend certification periods by 90 days, suspend collection of most SNAP overpayments, issue supplemental benefits to all existing SNAP households	\$ -	\$ -		\$ -	Approved		No state cost--federally funded program. Federal approval pending	
19. DSS60000	Waive TFA requirement for face-to-face interviews and assessments	\$ -	\$ -		\$ -	Approved		No additional cost anticipated	
20. DSS60000	Expand telehealth under Medicaid	\$ -	\$ -		\$ -	Approved		No additional cost anticipated	

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes	
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022			Status
21.	DSS60000				Approved		No additional cost anticipated
		\$ -	\$ -	\$ -			
22.	DSS60000				Approved		No additional cost anticipated
		\$ -	\$ -	\$ -			
23.	DSS60000				Approved		Payments will be recouped in FY 20
		\$ -	\$ -	\$ -			
24.	DSS60000				Approved		Reflects temporary 20% DRG add-on for COVID-related diagnoses
		\$ -	\$ 6,200,000	\$ 6,200,000			
25.	DSS60000				Approved	\$ 24,300,000	
		\$ -	\$ 24,300,000	\$ 24,300,000			
26.	DSS60000				Approved	\$ 2,401,103	
		\$ -	\$ 2,654,203	\$ 2,654,203			
27.	DSS60000				Approved	\$ 40,000,000	
		\$ -	\$ 40,000,000	\$ 40,000,000			
28.	DSS60000				Approved	\$ -	This item was originally approved for \$380,000 but grant payments were not needed as COVID-related expenditures were covered elsewhere.
		\$ -	\$ -	\$ -			
29.	DSS60000				Approved	\$ 1,488,712	This item was originally approved for \$2,651,741 but grant payments were reduced to \$1,488,712 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
		\$ -	\$ 1,488,712	\$ 1,488,712			
30.	DSS60000				Approved	\$ -	This item was originally approved for \$420,789 but grant payments were not needed because any direct COVID-related expenditures were covered through other sources.
		\$ -	\$ -	\$ -			
31.	DSS60000				Approved	\$ 555,391	This item was originally approved for \$789,615 but grant payments were reduced to \$555,391 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
		\$ -	\$ 555,391	\$ 555,391			
32.	DSS60000				Approved	\$ 498,463	This item was originally approved for \$3,474,195 but grant payments were reduced to \$498,463 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
		\$ -	\$ 498,463	\$ 498,463			
33.	DSS60000				Approved	\$ 908,392	This item was originally approved for \$1,494,205 but grant payments were reduced to \$908,392 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
		\$ -	\$ 908,392	\$ 908,392			
34.	DSS60000				Approved	\$ 908,392	This item was originally approved for \$1,494,205 but grant payments were reduced to \$908,392 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
		\$ -	\$ 908,392	\$ 908,392			

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Funding Source	Notes
		Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022	Gross Total		
	Agency					Federal - CRF	
35.	DSS60000	\$ -	\$ 1,679,471	\$ 1,679,471	\$ 1,679,471	Approved	This item was originally approved for \$4,999,212 but grant payments were reduced to \$1,679,471 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
36.	DSS60000	\$ -	\$ 5,139,814	\$ 5,139,814	\$ 5,139,814	Approved	This item was originally approved for \$13,409,602 but grant payments were reduced to \$5,139,814 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures
37.	DSS60000	\$ -	\$ 781,179	\$ 781,179	\$ 781,179	Approved	Reflects grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FFCRA
38.	DSS60000	\$ -	\$ 3,031,050	\$ 3,031,050	\$ 3,031,050	Approved	Active clinical monitoring contract through the remainder of CY 2020.
39.	DSS60000	\$ -	\$ 10,650	\$ 10,650	\$ 10,650	Approved	Reflects grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FFCRA. (increased from previously stated estimate of \$800,000 @ 5%, but reduced from the original estimate of \$1.6 million @ 10%.)
40.	DDSS0000	\$ -	\$ 1,334,000	\$ 1,334,000	\$ 1,334,000	Approved	No additional cost anticipated
41.	DPH48500	\$ -	\$ -	\$ -	\$ -	Approved	No additional cost anticipated
42.	MHAS3000	\$ -	\$ -	\$ -	\$ -	Approved	Philanthropy covered costs up to \$3M, though May. The costs of \$750,000 for June are funded 75% by FEMA and 25% by CRF funds. UPDATED - No CRF allocated, agency is using \$550,000 from their Care4Kids TANF account to cover costs.
43.	OEC64800	\$ 3,550,000	\$ -	\$ -	\$ 3,550,000	Approved	Assumes 3 months
44.	OEC64800	\$ 375,000	\$ -	\$ -	\$ 375,000	Approved	

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes	
		Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022			Gross Total
	Agency	Item			Federal - CRF		
45.	OEC64800	Childcare for frontline workers	\$ 10,000,000		\$ 10,000,000	Approved	Cap at \$10M, for six weeks with limit at 85% SMI. Source: CCDBG, PL 116-136 Division B Title VIII... Take rate much lower than anticipated. Costs like to be \$3M
46.	CRD47200	Sanitizers, dispensers, face masks and carpet protection	\$ 19,822		\$ 19,822	Approved	
47.	DSS60000	Domestic violence shelter decompression	\$ -	\$ 1,860,000	\$ 1,860,000	Approved	FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.
48.	BOR77700	Student Refunds, Online Conversion Costs and Donated Equipment	\$ 27,291,079		\$ 27,291,079	Approved	CARES funding (HEER) received directly by the agency. Does not include revenue losses.
49.	UOC67000	Equipment, supplies, and other COVID-related expenditures (excl. student refunds)	\$ 847,830		\$ 847,830	Approved	COVID-related costs for teleworking transition, equipment/supplies, and other related expenses. Does not include student refunds or revenue losses. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
50.	UOC67000	Student refunds (housing, dining, parking)	\$ 10,750,423		\$ 10,750,423	Approved	HEER. Does not include projected FY21 revenue loss at UConn and UConn Health.
51.	CME49500	Testing of decendants remains for COVID	\$ 22,800	\$ 9,500	\$ 32,300	Approved	Testing provided by Jackson Labs
52.	DOC88000	Central purchase of Personal Protective Equipment and other supplies	\$ 261,146,272		\$ 261,146,272	Approved	FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match. Latest Federal guidance is that PPE may be 100% reimbursable, retroactive to the start of the pandemic. CRF amount reflects \$17.5M for contingency for FEMA disallowances and other charges.
53.	MIL36000	State Active Duty to staff the Emergency Operations Center	\$ 171,000		\$ 171,000	Approved	
54.	DPH48500	CDC Cooperative Agreement for Emergency Response: Public Health Crisis Response	\$ 9,309,998	\$ -	\$ 9,309,998	Approved	State and local Covid 19 response activities will be reimbursed from federal emergency supplemental funding. \$2.5m will be utilized to reimburse local health authorities.
55.	DPH48500	Epidemiology and Laboratory Capacity	\$ 9,669,691	\$ -	\$ 9,669,691	Approved	Supplements pre-existing grant under the Epidemiology and Laboratory Capacity Cooperative Agreement (\$4,075,639). To support DPH Covid19 direct costs in areas of State Public Health Laboratory, Epidemiology, Healthcare Associated Infections

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Funding Source		Notes
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022	Gross Total	Status	Federal - CRF	
56. DPH48500	Hospital preparedness	\$ 2,324,172	\$ -		\$ 2,324,172	Approved		National Bioterrorism Hospital Preparedness Program. \$361,895 each for federally designated Special Pathogen Treatment Centers @ YNHH and HH. Balance for DPH direct costs and other hospital needs.
57. DPH48500	Emerging Infections Program	\$ 2,600,000			\$ 2,600,000	Approved		To enhance infectious disease surveillance activities. \$2.3m will go to Yale School of PH, \$0.3m to DPH
58. DAS23000	Architectural support for hospital capacity expansion	\$ 45,000			\$ 45,000	Approved	\$ 45,000	Revised to \$45k - will de-allot 12/3/20
59. DAS23000	IT support for teleworking (VPN capacity, etc.)	\$ 320,000	\$ 730,000		\$ 1,050,000	Approved	\$ 1,050,000	
60. DAS23000	Cleaning and other facility costs	\$ 1,100,000			\$ 1,100,000	Approved	\$ 1,100,000	
61. SOS12500	Cost of publishing various Executive Orders	\$ 305,000			\$ 305,000	Approved	\$ 305,000	
62. DCP39500	Waive casino payment of regulatory costs during closure	\$ 584,126			\$ 584,126	Approved		GF will pay the full payroll and fringe benefit costs for six pay periods through end of FY 20 (March 26th - June 18th payrolls), as well as indirect costs and OE, due to agreement with casinos to defer true-up of costs until the August 2020 assessment.
63. DPS22000	Use of interpreters during the Governor's pandemic response briefings	\$ 38,000	\$ 13,000		\$ 51,000	Approved	\$ 51,000	NOTE: The \$13K approved for DESPP to rent trailers (item #118) was not spent. Due to a continued need for interpreters, the \$13K approved for trailers was transferred to this item.
64. JUD95000	JUD - 1 - PPE, cleaning and disinfecting, and other response costs	\$ 640,240			\$ 640,240	Approved	\$ 640,240	
65. DVA21000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 235,000	\$ -		\$ 235,000	Approved	\$ 235,000	
66. DPS22000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 25,000			\$ 25,000	Approved	\$ 25,000	Original approved amount was \$1.4m but it has been reduced to \$700K to reflect actual need for housing. Update 12/01/2020 - reduced to \$25K to reflect actual need for housing.
67. DDS50000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 1,700,000			\$ 1,700,000	Approved	\$ 1,700,000	
68. MHAS30000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 313,099			\$ 313,099	Approved	\$ 313,099	
69. DOC88000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 6,000,000			\$ 6,000,000	Approved	\$ 6,000,000	
70. DCF91000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 1,820	\$ 3,700		\$ 5,520	Approved	\$ 5,520	

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source		Notes	
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022	Gross Total	Status		Federal - CRF
71. OEC64800	Provide technical support and resources to family day care providers	\$ 1,316,573			\$ 1,316,573	Approved		Administered through EdAdvance
72. OEC64800	Suspend Birth-to-Three Age Outs at 36 months and continue services through June	\$ 180,000			\$ 180,000	Approved		Approved for April, May and June
73. DSS600000	Suspend Birth-to-Three Age Outs at 36 months and continue services	\$ 180,000	\$ 90,000		\$ 270,000	Approved		Approved for April - June. State share @ enhanced FMAP (+6.2%) for payments made in May and June (for service months of April and May)
74. DPH48500	Ryan White HIV/AIDS Program Part B COVID19 Response	\$ 203,981			\$ 203,981	Approved		Source: CARES Act. Must be used for services, activities, and supplies needed to prevent or minimize the impact of COVID-19 on RWHAP clients.
75. Various	State agency purchase of laptops and other equipment to facilitate telework				\$ -	Approved in part		Approved if within existing agency CEPP allocations and as part of normal equipment refresh.
76. CRD47200	Cleaning and other facility costs	\$ 902,036			\$ 902,036	Approved	\$ 902,036	Convention Center COVID19 costs, cleaning, facilities maintenance.
77. DPH48500	Enhanced monitoring in nursing homes	\$ 2,800,000			\$ 2,800,000	Approved		OT for existing nurse consultant staff, TWRS and potential contract with a nursing staffing agency. DPH pursuing CMS CARES grant to defray costs.
78. OSC15000	COVID-19 Testing	\$ 60,000,000	\$ 46,044,556		\$ 106,044,556	Approved	\$ 77,744,556	Placeholder of \$15m per month = 10,000 tests/day * \$50 * 30 days. Amount is in addition to \$182 million in other federal funds (4th supplemental bill) awarded to CT for testing. Updated to reflect \$255,444 is paid from OPM for assisted living testing. \$484,240 subtracted and shown separately as a direct allotment to UConn Health.
79. DPH48500	Contact Tracing Solution (IT)	\$ -			\$ -	Approved	\$ -	No project was identified.
80. DAS23000	Consulting - Reopen CT strategy, analysis, recommendations, PMO	\$ 2,350,000			\$ 2,350,000	Approved	\$ 2,350,000	Incurred in May and June
81. CRD47200	Cleaning and sanitizing XL Center and PW Stadium	\$ 314,849			\$ 314,849	Approved	\$ 314,849	
82. CRD47200	Convention Center costs and CRDA Operations	\$ 478,048			\$ 478,048	Approved	\$ 478,048	Cleaning supplies, air filters, handrail sanitation, technological needs for telework.
83. CRD47200	Dillon Stadium, XL touchless plumbing, CCC hospital surge	\$ 92,718			\$ 92,718	Approved	\$ 92,718	
84. DOH46900	Homeless shelter decompression initiative	\$ 7,500,000	\$ 5,000,000		\$ 12,500,000	Approved	\$ 1,875,000	Assumes 3 months. FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match. CRF match for hotel initiative thru 9/30. Increase in FEMA receivable of \$3.75M for expenses through 3/31 - match from HUD-CDBG funds (\$1.25M).
85. HRO41100	Technological needs, PPE	\$ 49,027			\$ 49,027	Approved	\$ 49,027	

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source		Notes
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022	Gross Total	Status	
86. DOC88000	PPE for staff and inmates/Cleaning Supplies and Equipment/Food	\$ 3,118,005			\$ 3,118,005	Approved	Agency has cleaned facilities day and night, purchased fogger machines. PPE for staff and inmates. Food now brought to inmates cells, prepackaged and no longer chow setting.
87. DOC88000	Temporary Staffing Due to Staff Shortages	\$ 1,334,305			\$ 1,334,305	Approved	Medical staffing needed due to staff shortages
88. DOC88000	Overtime Incurred to Date (plus fringes)	\$ 2,104,560			\$ 2,104,560	Approved	Overtime related to having to open wings of northern to serve as COVID isolation units. OT related to staff shortages when staff use their 14 days
89. DAS23000	Rental Costs for Refrigerated Trailers to Serve as Morgues	\$ 238,000			\$ 238,000	Approved	
90. DVA21000	Equipment, supplies, and additional staff support	\$ 1,107,277			\$ 1,107,277	Approved	
91. MIL36000	Mobile Field Hospital Operations - State Active Duty	\$ 7,817			\$ 7,817	Approved	Weekly spot checks, repair and maintenance and retrograde of the four mobile field hospital setups at St. Francis Hospital, Middlesex Hospital, Danbury Hospital & Sharon Hospital. Was reduced from \$67,100.
92. MIL36000	Task Force Medical - State Active Duty	\$ 141,000			\$ 141,000	Approved	20 personnel will be assigned duties at Stamford Hospital to assist federal and state military personnel conducting medical operations for 30 days.
93. MIL36000	Task Force Medical - Hotel Lodging	\$ 2,000			\$ 2,000	Approved	Lodging for 20 personnel for 30 days - was approved at \$36,000, only needed \$2,000
94. SOS12500	Funding for newspaper posting of additional executive orders	\$ 62,278			\$ 62,278	Approved	
95. DCF91000	Per Diem Rate Based Residential Programs	\$ 1,997,682	\$ 258,808		\$ 2,256,490	Approved	
96. DCF91000	Group Homes	\$ 534,126	\$ 69,198		\$ 603,324	Approved	
97. DCF91000	Other Congregate Care	\$ 294,547	\$ 38,160		\$ 332,707	Approved	Financial support during school closure to ensure service network is maintained. Within current budget.
98. DCF91000	School of Origin Transportation	\$ -			\$ -	Approved	Financial support during school closure to ensure service network is maintained. Within current budget.
99. DCF91000	After School Programs	\$ -			\$ -	Approved	Financial support during school closure to ensure service network is maintained. Within current budget.
100. MHA53000	CVH Surge Capacity at 60 West	\$ 100,000			\$ 100,000	Approved	DMHAS (CVH) will be using 60 West as surge capacity to care for COVID pos patients until they are no longer positive and can go back to their units at CVH. Financial estimate assumes 20 patients for 30 days.
101. DOH46900	Case management for Danbury shelter clients I hotels	\$ 150,000			\$ 150,000	Approved	

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022		
102.	DD550000 Deep cleaning costs for private provider residential programs	\$ -		\$ -	Approved	Estimated costs for providers to deep clean group homes once an individual has tested positive for COVID-19. Revised 8/1/2020 - No additional funds required. Funds in the amount of \$1.2 million will be de-allotted.
103.	DD550000 Deep cleaning costs for DDS facilities	\$ 312,540	\$ 409,550	\$ 722,090	Approved	Cleaning costs for the state operated regional centers, Southbury Training School and group homes after an individual working in such location has been identified with COVID-19.
104.	DD550000 Overtime and temp hiring to ensure staff coverage	\$ 407,097	\$ 568,282	\$ 975,379	Approved	OT costs and approximately 160 temporary DSW, LPN and RN positions to ensure continued coverage of public facilities. Original estimate of \$3,000,379 has been reduced to \$975,379.
105.	JUD950000 JUD - 3 - PPE, cleaning and disinfecting, technology, and other response costs	\$ 200,609		\$ 200,609	Approved	Virtual Desktops (Firewall Security), Call Center PCs, Printers, Equipment, and Call Management Software. (Net adjustment over first request - includes 3rd submitted request.)
106.	DOT570000 DOT - 1 - Staff Overtime	\$ 115,734	-	\$ 115,734	Approved	Responsibilities including implementing telework agency-wide, procuring and distributing employee PPE, additional coordination with business partners including transit, rail, contractors, etc., to continue maintaining essential business functions; and deep cleaning costs. Includes funding for Over-The-Cap Overtime Request. Reduced by 23,955 on 12/4/2020.
107.	DOT570000 DOT - 1 - Materials & Supplies for Sanitizing Agency Facilities	\$ 226,698	-	\$ 226,698	Approved	Sanitizing of 72 maintenance facilities & central office. Reduced by 582,051 on 12/4/2020.
108.	DOT570000 DOT - 1 - Back-To-Work Office Equipment / Maintainer Training	\$ 1,406	-	\$ 1,406	Approved	Recommending funding for headsets to utilize more teleconferencing when staff returns to the office. Reduced by 82,896 on 12/4/2020.
109.	MHA530000 MH Residential (includes Intensive, Transitional, Group Homes, ABI Community Residence, Respite, IP IMD, Supervised Housing, Shelters)	\$ 3,752,678		\$ 3,752,678	Approved	24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes	
		Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022			Gross Total
Agency	Item						
110. MHAS3000	Substance Abuse Medically Monitored Residential Detox	\$ 122,009			\$ 122,009	Approved	24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE, and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
111. MHAS3000	Substance Abuse Residential Treatment (Intensive, Intermediate, Long Term, Recovery Housing)	\$ 2,084,167			\$ 2,084,167	Approved	24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
112. MHAS3000	Young Adult Services	\$ 1,649,551			\$ 1,649,551	Approved	24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
113. MHAS3000	Community Services (including Outpatient, IOP, MMT, ACT, CSP, Case Management, BHH, Employment, Jail Diversion, Outreach, Crisis, Residential Support, Supervised Housing, Pre-Trial Education, etc.)	\$ 3,775,730			\$ 3,775,730	Approved	Providers have incurred costs including hazardous duty, purchase of telehealth/telework equipment and licenses, PPE, and cleaning services. Additionally providers continue to pay staff not able to work due to quarantine/illness while paying overtime or temporary workers.
114. OSC15000	Request for funding for COVID-19 related expenses support teleworking	\$ 70,653			\$ 70,653	Approved	50 laptops, minor telecommuting IT related expenses and cleaning supplies.
115. DMV35000	Funding for installation of an appointment system to control the traffic flow of customers and to maintain social distancing	\$ 150,000	\$ -		\$ 150,000	Approved	

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022		
116. DMV35000	Funds to install sneeze guards throughout the branches and testing centers	\$ 75,097	\$ -	\$ 75,097	Approved	This will help prevent the spread of serious illnesses and will assist in maintaining the health of customer facing employees. Update 12/07/2020 - reduced by \$49,903 to reflect actual costs. Funding transferred to other DMV approved items.
117. DMV35000	Funding for the cleaning and disinfecting of branches	\$ 447,000	\$ 1,523,098	\$ 1,970,098	Approved	Due to the virus, the Agency is now completing nightly deep cleaning along with a weekly disinfecting spray of branches that are being utilized by staff and/or public. SFY 21 estimate is through 12/30/2020. Update 12/07/2020 - increased by \$405,000 to reflect actual costs. Funding transferred from other DMV approved items that were reduced.
118. DOI87500	Implement remote call center for Consumer Affairs + Deep Cleaning Costs	\$ 16,694		\$ 16,694	Approved	
119. DPS32000	Funds for deep cleaning supplies (sanitizers, disinfectant, etc.) and PPE (gloves, N-95s, masks, infrared thermometers, face shields, decontamination systems).	\$ 645,000	\$ -	\$ 645,000	Approved	Original approved amount was \$700K but it has been reduced by \$55K so the funding could be transferred to approved item #130.
120. DPS32000	Funds to rent a modular trailer for six months that will be located in the north lot for those customers arriving at HQ to be fingerprinted.	\$ -	\$ -	\$ -	Approved	This will help prevent the spread of serious illnesses in DESPP's HQ and will assist in maintaining the health of customer facing employees. Additional trailers may be requested for some of the other units once the agency opens to the public. NOTE: The \$1.9K approved for trailers is unneeded due to a management decision to not utilize trailers.
121. DOH46900	Provide Hotel Oversight through Seasonal Shelter	\$ 64,875		\$ 64,875	Approved	Agency believes this may be FEMA reimbursable
122. OEC64800	Child Care Provider Incentive Payments	\$ 4,000,000	\$ -	\$ 4,000,000	Approved	Source: Child Care Development Block Grant
123. UOC67000	Equipment, supplies, and other COVID-related expenditures (excl. student refunds) - Newly reported as of 5/22	\$ 885,512	\$ -	\$ 885,512	Approved	Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
124. DCF91000	Office Cleaning	\$ 8,750	\$ 904,581	\$ 913,331	Approved	
125. DCF91000	HIPAA Compliant Document Bags for Telework	\$ 2,941	\$ 18,347	\$ 21,288	Approved	

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes	
		Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022			Gross Total
126.	DCF91000	\$ 102,940	\$ 642,129		\$ 745,069	Approved	
127.	DCF91000	\$ 32,353	\$ 201,812		\$ 234,165	Approved	
128.	DCF91000	\$ 73,529	\$ 458,664		\$ 532,192	Approved	
129.	DOH46900	\$ 2,000,000	-		\$ 2,000,000	Approved	This request includes approximately \$800,000 of hazard pay for CAN/Shelter staff. NOTE: Only \$2M has been allotted so far.
130.	DPS32000	\$ 185,000	-		\$ 185,000	Approved	IT redirected 100 laptops that were part of the agency's re-fresh program (to employees with desktops). Original approved amount was \$130K but it has been increased by \$55K to purchase other computer peripherals. This additional funding was transferred from PPE/Cleaning (Approved item #119).
131.	OEC64800	\$ 8,000,000			\$ 8,000,000	Approved	Child Care Development Block Grant
132.	DOH46900	\$ 26,572,000			\$ 26,572,000	Approved	Payments will be made to landlords. Revised 11/30 - de-allotted \$10 M in CRF funds to account for \$10M in HUD CDGB funds. \$72,957 was approved on 12/1 for Overtime for TRAP Program Staff out of existing Allocation. CRF portion revised down to \$23,272,000 on 12/3/20 - estimate based on number of contracts to get executed by 12/30 deadline - OPM therefore de-allotted \$6,728,000. Updated 12/9- additional \$2.5 million allocated from DOH CDBG funds to support the program. REVISED 12/30- Repurposed \$2.5 million in CRF from Danbury Hotel back to TRAP. 2/16 -Revised to reflect close out of program (\$800,000).
133.	DSS60000	\$ 54,734	\$ 9,863,282		\$ 9,918,016	Approved	Includes provision of laptops and other technical resources to support remote work, server enhancements, and other administrative costs. Estimate includes previously requested administrative support for Pandemic EBT at revised amount.
134.	DCF91000	\$ 29,590			\$ 29,590	Approved	To pay higher medically complicated foster rate when foster parent/child tests COVID positive.

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source		Notes	
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022	Gross Total	Status		Federal - CRF
135.	DDSS0000	Additional Rent Subsidy supports for individuals unable to work	\$ -			Approved	\$ -	Supports for approximately 160 individuals with intellectual disability who are eligible for the program due to a temporary loss in wages and require assistance with paying rent in May and June. Revised 8/1/2020 - No additional funds required. Funds in the amount of \$382,126 will be de-allotted.
136.	SDR63500	IT technology and support to implement telework	\$ 61,843			Approved	\$ 61,843	Additional cost to the agency to support overtime and equipment costs in order to enable staff to telework.
137.	SDR63500	Cleaning and disinfecting costs of offices	\$ 33,490			Approved	\$ 33,490	Additional cost to the agency for cleaning of offices and installation of plexi-glass in reception areas.
138.	DOH46900	Flexible Response Measures - Non-Congregate Housing	\$ 220,000			Approved	\$ 220,000	\$55,000 from CRF, \$165,000 FEMA
139.	OTT14000	Laptops (\$25,588), gloves and cleaning supplies (\$128). COVID related expenses - IT Equipment \$355,066 (Laptops, WIFI doggles and Headsets), Zoom conferencing \$531 and PPE and cleaning supplies \$3,876.	\$ 25,716			Approved	\$ 25,716	
140.	DRS16000		\$ 359,473			Approved	\$ 359,473	
141.	BOR77700	Instruction, Student Support, and Technology exceeding available HEER funding at CSUs and COSC	\$ 432,454	\$ 12,831,142		Approved	\$ 13,263,596	Total actuals and projected COVID-related costs at CSCU institutions projected through 12/30/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
142.	JUD95000	JUD - 2 - PPE, cleaning and disinfecting, technology, and other response costs	\$ 183,142			Approved	\$ 183,142	Virtual Desktops (Firewall Security), Call Center PC's, Printers, Equipment, and Call Management Software. (Net adjustment over first request - includes 3rd submitted request.)
143.	PDS98500	PDS - 1 - Communications & Temporary Full-Time Attorneys for Case Backlogs	\$ -	\$ 182,229		Approved	\$ 182,229	Smartphones for scheduling due to closed courthouses. Attorneys (for a 6-month period, 6 FT, fringes included here) to assist with backlog once courthouses are reopened back to full operations. PDS believes it should only impact 2021. Balance of PDS request will be covered by CESF. (Salaries: \$242,349 / OE: \$21,000 / Fringes: \$193,880) 12/3/20 UPDATE: Original amount of \$457,229 reduced by \$275,000 -- the amount PDS anticipates not utilizing.
144.	DMV35000	Teleworking equipment	\$ 73,750	\$ -		Approved	\$ 73,750	50 Lenovo ThinkPad T490 laptops to allow additional employees to work from home

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes	
		Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022			Gross Total
Agency	Item				Federal - CRF		
145. MIL36000	Retrograde Operations - Mobile Field Hospitals State Active Duty	\$ 67,000	\$ -	\$ 67,000	\$ 67,000	Approved	Deconstruct the Mobile Field Hospitals and return them to storage at Camp Hartell. Was approved at \$280,000 - reduced to \$67,000.
146. GOV12000	Crisis communications and ReOpen CT public awareness	\$ 5,000,000			\$ 5,000,000	Approved	State government must regularly, and clearly communicate with residents the ongoing changes to public health, business, and education guidance throughout this pandemic. The state must have funds set aside for targeted marketing, information, and education campaigns across media platforms to distribute accurate information for all residents. Some of this marketing must be statewide in nature, while others must be targeted for more diverse communities. The information that must be distributed will include but not be limited to: reopening criteria and phases, education guidance, health guidance, business guidance, testing information and locations, and messages from state officials. Will include TV and messaging; Outreach - COVID Municipal toolkits, posters, signage; Materials to every testing location to distribute to every COVID positive and how to conduct contract tracing; and Contact tracing design.
147. DAS23000	424 Chapel Street N95 Mask Decontamination Sterilization Unit	\$ 299,460			\$ 299,460	Approved	80,000 masks can be sterilized per day.
148. DDS50000	Additional supports for individuals receiving only in-home and/or day supports - Residential account	\$ -			\$ -	Approved	Covers increased support costs and includes increased use of self directed programs for individuals receiving residential in-home supports in order to continue to meet day goals, meet basic needs and/or maintain structure. Estimates include extra staff and overtime costs. Revised 8/1/2020 - No additional funds required and \$2.8 million was de-allotted.
149. DDS50000	Additional supports for individuals receiving only in-home and/or day supports - Day account	\$ -			\$ -	Approved	Covers increased support costs and includes increased use of self directed programs for individuals receiving day supports at home in order to continue to meet day goals, meet basic needs and/or maintain structure. Estimates include extra staff and overtime costs. Revised 8/1/2020 - No additional funds required and \$6.2 million was de-allotted.

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022			Gross Total	Status
150.	DDS50000	Residential Provider Supplemental Payments	\$ 35,262,972	\$ 12,599,201	\$ 47,862,173	Approved	\$ 47,862,173	All residential providers are being paid 120% of authorizations to cover the cost of increased staffing and overtime in residential programs if day programs are closed for April - June. This increase also covers additional COVID-19 related expenses including, PPE and cleaning expenses. Rev 10/2020 to include FY 21 estimate of \$12.6 million for July payments.
151.	DDS50000	Day Provider Supplemental Payments	\$ 7,428,935			Approved	\$ 7,428,935	Day providers are being paid based upon 100% of authorizations to support drastically lowered attendance due to social distancing requirements. Payments will ensure staff are still in place when day programs reopen. Costs will be covered with budgeted funds.
152.	DPS32000	Emergency Management Performance Grant (EMPG-S) Program - Supplemental	\$ -	\$ 2,789,396		Approved	\$ 2,789,396	FEMA will award funding to support planning and operational readiness for COVID-19 preparedness and response. The School Security Grant Program (bond funds) will be used to provide the required state match for the federal funding.
153.	DOH46900	To provide a grant Pacific House Inc. to purchase a hotel in Danbury that they will own and operate as a shelter. The shelter will meet a need in the community brought about by the pandemic's impact on homeless shelters throughout the region. Various items, plexiglass, cleaning supplies, laptops, printing and staff costs, cctv monitoring at AUCs	\$ 2,701,802	\$ 4,825,000	\$ 4,825,000	Approved	\$ -	CRF Funding of \$2.5 million was repurposed on 12/30 to support TRAP 1 close out. Hotel grant will be fully supported by CDBG funds
154.	DOL40000	Student Fee Refunds & Public Safety Costs	\$ -	\$ 4,300,000	\$ 4,300,000	Approved	\$ 2,701,802	To reimburse institutions for refunds issued to students due to campus closures, and for public safety salary & fringe benefit expenses
155.	BOR77700	State-mandated COVID testing on independent college & university campuses	\$ 3,046,650	\$ -	\$ -	Approved	\$ 17,677,551	Distribution by OHE needs to be set up before allotting funds.
156.	DHE66500	Capital Purchases, Telework, Lab & Medical Supplies, PPE, Facilities, Overtime for Medical Staff	\$ 23,748,850	\$ 159,324,980	\$ 183,073,830	Approved	\$ 4,300,000	COVID-related costs for teleworking transition, equipment/supplies, medical supplies, drugs, lab services, and other related expenses. Does not include student refunds or revenue losses. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.
157.	UHC72000	Specimen collection for testing nursing home residents and staff	\$ 25,000	\$ -	\$ -	Approved	\$ 3,046,650	specimens and laboratory testing of nursing home residents and staff for COVID-19 through December 2020.
158.	DPH48500	Overtime and Other Expenses Costs Related to Cleaning Facilities	\$ -	\$ -	\$ -	Approved	\$ 25,000	Funding to cover costs of overtime and cleaning supplies for Military facilities.
159.	MIL36000		\$ -	\$ -	\$ -	Approved	\$ 25,000	

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source		Notes
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022	Gross Total	Status	
160.	DPH48500 Consultant to review NH and LTC components of CT's response to the pandemic		\$ 449,411		\$ 449,411	Approved	NEW: Vendor selected on 6/29/20.
161.	DOL40000 COVID related UI program Call Center - 6 months	\$ 3,939,242			\$ 3,939,242	Approved	
162.	MHAS3000 Equipment for to Facilitate Telework and Telehealth for State-Operated Facilities	\$ 713,535			\$ 713,535	Approved	Equipment includes laptops, Software, Servers, Telemed carts, Video Conferencing systems/equipment, cleaning machinery.
163.	MHAS3000 IT Supplies, Software, Telecommunications for State-Operated Facilities	\$ 339,765			\$ 339,765	Approved	OE expenditures include software, iPhones, air cards, conference lines for telework. Also phones for 24/7 sites for clients to communicate with family, friends, etc. due to visitor restrictions.
164.	MHAS3000 Emergency Hiring for State-Operated Facilities				\$ -	Approved	PS costs for temporary hires including nurses, custodians, MHAs, assistant cooks.
165.	MHAS3000 Temporary Services for State-Operated Facilities	\$ 2,746,706			\$ 2,746,706	Approved	OE costs for contracted staff including housekeeping and workers to screen staff reporting to work.
166.	MHAS3000 PPE, Medical, Cleaning, Personal Hygiene Supplies for State-Operated Facilities	\$ 1,348,454			\$ 1,348,454	Approved	OE costs.
167.	MHAS3000 Other Supplies for State-operated Facilities	\$ 239,670			\$ 239,670	Approved	OE costs for office supplies like secure medical records bags to protect PHI, kitchen/dining/food supplies for individual meal servings and cleaning supplies for the pandemic.

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Status	Funding Source	Notes	
		Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022				Gross Total
168.	DOL40000	COVID Summer Youth Employment Initiative	\$ 1,299,922	\$ -	\$ 1,299,922	Approved	Federal - CRF	Funds will be provided through the Department of Labor to the five workforce development boards (WDBs) to support COVID related summer youth employment initiatives. Specifically, the WDBs will seek to provide funding to support health related youth employment through partnerships with FQHCs, AHECs and other community providers. Original Allocation was \$2M - reduced by \$476,310 on 12/9/20; reduced by \$915,923 on 4/8/21. Re-allotted funding of \$692,155.16 on 5/5 due to agency over de-allotting this account prior.
169.	SDE64000	CTECS - PPE, equipment, cleaning, public safety overtime	\$ 2,677,646	\$ -	\$ 2,677,646	Approved	\$ 2,677,646	FY20 expenditures at CTECS for purchases including PPE, technology, cleaning supplies, public safety overtime, and other direct response costs.
170.	OPM20000	Purchase 75 laptops, docking stations, and headsets, to support telework by agency employees	\$ 83,350	\$ 12,500	\$ 95,850	Approved	\$ 95,850	To purchase 75 laptops, docking stations and knapsacks, and headsets, to provide equipment for telework
171.	DOL40000	Overtime for COVID Related UI Call Center through Labor Day	\$ 1,796,622	\$ 1,796,622	\$ 1,796,622	Approved	\$ 1,796,622	Significant funding for laboratory operations, epidemiologic surveillance and reporting, contact tracing, and testing, among other activities. State application submitted to CDC on 6/18/20
172.	DPH48500	CDC Enhancing Detection grant	\$ 182,633,998		\$ 182,633,998	Approved		Supplemental immunization funding, primary purpose is to enhance influenza vaccination coverage as a critical part of COVID-19 response work
173.	DPH48500	Immunization Program	\$ 1,696,075		\$ 1,696,075	Approved		Stabilize funding for school readiness programs in priority school districts
174.	OEC64800	Priority School Readiness	\$ 5,559,359		\$ 5,559,359	Approved	\$ 5,559,359	Technology to support a Judicial Call Center by enhancing social distancing. One-time purchase for controllable equipment and phones to expand the call center program. There are no additional operational costs currently or in the future because the program will be administered with existing personnel in an existing facility. Covered in review for CEF funds. Decision made to have CRF cover these costs.
175.	JUD95000	Call Center Technology for Social Distancing	\$ 219,570		\$ 219,570	Approved	\$ 219,570	

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Status	Funding Source	Notes
		Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022	Gross Total			
176.	DOT57000	\$ -	\$ -	\$ -	\$ -	Approved	-	10% of request funded through CRF with balance to be funded through PAYGO. Request covers cost of 40 variable messaging signs to place throughout the state to assist in coordination and logistics of resources and messaging for the public. DOT currently has 14 signs. DOT Highway Operations determines where the signs should go. DOT has been asked to explain why the signs can't be rented, as they have rented other signs. Also, getting the signs this late into the pandemic for CT - would it make that much of a difference? - was \$737,776. Reduced by 73,778 (to \$0) on 12/4/2020.
177.	DMV35000	\$ 22,000	\$ -	\$ -	\$ 22,000	Approved	\$ 22,000	The radios will enhance communication for added security to control foot traffic to maintain social distancing and appointment only scheduling, as well as maintain communication with healthcare providers and essential staff. This purchase will enhance communication and provide additional safety and security measures at all DMV locations.
178.	DMV35000	\$ 150,000	\$ -	\$ -	\$ 150,000	Approved	\$ 150,000	The contact center is experiencing a unprecedented amount of calls. Due to the need for social distancing, the current contact center location is only working at 35% staff capacity. In order to bring staffing levels to 100%, the DMV needs to reconfigure current office space. In addition, enhancements are also being made in the administrative areas to allow staff who do not have the ability to work from home to come back to a healthy and safe environment.
179.	DMV35000	\$ 36,000	\$ 600,000	\$ -	\$ 636,000	Approved	\$ 636,000	Due to the COVID19 crises, the Department of Motor Vehicle has started temperature screening both employees and customers that enter our branch locations. The DMV is requesting funding for the healthcare providers needed to perform these screening.

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes	
		Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022			Gross Total
Agency	Item				Federal - CRF		
180. DMV35000	Funds for a digital enablement project to support increased online access to the public and allow additional tools to drive simple transactions online and out of DMV branches.	\$ -	\$ 3,173,124		\$ 3,173,124	Approved	Update 12/07/2020 - reduced by \$426,876 to reflect actual costs. Funding transferred to other DMV approved items. The projects listed below will help drive the public to simpler and easier online transaction options, thereby slowing the foot traffic that will be required to come into DMV public buildings. Projects: Integrated Online Services, Out-of-State Dealer Online, Enable Work from Home for DMV Employees, Scanning Solution
181. DMV35000	Funds for touchless soap and hand sanitizer dispensers, including floor stands, along with the initial distribution of soap and hand sanitizer to fill our new touchless dispensers.	\$ -	\$ 33,590		\$ 33,590	Approved	These dispensers will be located throughout our branch and office locations. Update 12/07/2020 - reduced by \$722 to reflect actual costs. Funding transferred to other DMV approved items.
182. DOC88000	Expand Telemedicine and Telemental Health Program	\$ 470,000			\$ 470,000	Approved	Funds will be used to procure additional laptops and tablets for staff, webcams, Mobile Medical Devices, and Telemedicine Carts.
183. DOL40000	COVID Related UI Call center enhancements and support		\$ 824,778		\$ 824,778	Approved	Reduced by \$462,372 on 12/3/20 based on revised est. as to expenses thru 12/30 from DOL.
184. DSS60000	Emergency feeding program		\$ 4,507,654		\$ 4,507,654	Approved	
185. JUD95000	JUD - 1 - PPE, cleaning and disinfecting, and other response costs	\$ 689,190			\$ 689,190	Approved	
186. DSS60000	Provide funding to support testing of high-risk populations	\$ -	\$ 35,615,520		\$ 35,615,520	Approved	\$2,633,293 as a 25% match was approved from CRF (Total cost was \$10,533,170). Funding was unnecessary as orders were extended. Original note - Contingency in the event that a presidential extension of federal coverage of National Guard costs is not approved.
187. MIL36000	State active duty post-8/20 to support PPE distribution and warehousing, testing and other COVID activities		\$ -		\$ -	Approved	Funds to be used in conjunction with Governor's Education Emergency Relief fund to provide devices where either districts or families are unable to do so.
188. SDE64000	Devices for remote learning		\$ 15,000,000		\$ 15,000,000	Approved	

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes	
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022			Status
189.	DOL40000 Additional Staff to Support UI claim load, integrity, Call center OT and system enhancements for which there is not federal UI funding available. COVID related expenses - IT Equipment \$142,104 (100 Laptops, WIFI doggles and Headsets), Zoom conferencing \$408 and PPE and cleaning supplies \$10,911.		\$ 11,593,257		Approved	\$ 11,593,257	Additional funding through 12/31/20 to support critical areas of the UI efforts – including UI initial claims (35 FTE) appeals (30 FTE) and tax (25 FTE), all of which are impacted by the increased claim load. Reduced by \$257,060 to relect updated expenses through 12/30 from DOL. Reduced by \$132,819 on 12/9 based on revised estimates from DOL.
190.	DRS16000 Cost of publishing various Executive Orders	\$ 124,216	\$ 153,423		Approved	\$ 153,423	Costs related to printing EO 7GG - 7TT in various papers as required by statute
191.	SOS12500 Support for a vendor solution to address the overpaid COVID unemployment insurance claims during the pandemic.		\$ 2,659,839		Approved	\$ 2,659,839	Funding of \$1,825,622 was initially allotted. Allotment was increased in Nov by \$824,207 to support vendor support of additional 30 staff and OT for overpayment of UI benefits.
193.	PCA98000 PCA - 1 - Remote Desktop Services; Laptop and Accessories	\$ 55,574			Approved	\$ 55,574	To allow staff to telework - Remote desktop services; Laptop & accessories; and monitors, webcams, and webex subscriptions to allow court to conduct hearings.
194.	DPS32000 Funds for DESPP/CSP to moved away from face-to-face contact and replace inefficient electronic communications. See project list in Notes.		\$ 350,000		Approved	\$ 350,000	• Project # 2: Mobile Data Terminal (MDT) Internet Project and Project # 3: Law Enforcement Encrypted Mobile Application total \$350,000
195.	SDE64000 Content and Social Emotional Learning		\$ 300,000		Approved	\$ 300,000	Content effort may have some portion allocated to SERC with guidance from SDE. Allocation Reduced on 12/11 to \$300,000 from \$2 million.
196.	DOL40000 Shared Work Surge Support and Automation		\$ 1,726,720		Approved	\$ 1,726,720	To support expenditures up to approved amount for 3 month SOW with Accenture to provide surge support in Shared Work program and implement automation of same program. Support for post 12/30 from SBR.
197.	DAS23000 Durational staff to perform project management, analysis and support through December		\$ 321,750		Approved	\$ 321,750	BCG contract expiration requires ongoing staff support for COVID response. Estimate based on 6 DPMs @\$5,500/month/each plus fringes.
198.	OPM20000 Municipalities - non-education assistance for COVID-related costs		\$ 60,000,000		Approved	\$ 60,000,000	
199.	SDE64000 additional contingency for devices for remote learning		\$ 7,000,000		Approved	\$ 7,000,000	

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Funding Source	Notes
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022	Gross Total		
200. DMV35000	Funds to cover additional unarmed guards needed through 12/31/20.	\$ -	\$ 1,297,863		\$ 1,297,863	Approved	The public demand for DMV services is high and with the new appointment only system, the DMV is anticipating the need for control of both foot and vehicle traffic to keep both the citizens and staff safe. Update 12/07/2020 - increased by \$75,000 to reflect actual costs. Funding transferred from other DMV approved items that were reduced.
201. DMV35000	Additional \$20,744.80 to the approved Appointment Project (Item #115) to purchase the licenses and setup to enable SMS integration (Text Messaging) for appointments and cancellations.	\$ -	\$ 15,078		\$ 15,078	Approved	Update 12/07/2020 - reduced by \$5,667 to reflect actual costs. Funding transferred to other DMV approved items.
202. DOC88000	Integration to DOC's EMR System for SEMA4 and Jackson Labs Testing	\$ -	\$ 78,000		\$ 78,000	Approved	DOC was asked to change labs for COVID testing as the existing lab, Quest, was facing national delays in processing samples. DOC is moving to SEMA4 and Jackson labs to process their testing samples, but there will be a cost of \$39,000 each to integrate the lab with DOC's EMR system.
203. DPS32000	Funds for staff overtime.	\$ -	\$ 2,200,000		\$ 2,200,000	Approved	DESPP is requesting \$1.5M in CRF for overtime plus use of \$700K of the \$1.4M in funds allotted for Housing (approved item #64) be redirected to this Personal Services overtime request, totaling \$2.2M for projected overtime expenses in SFY 21. CSP continues Warehouse detail and DEMHS continues Emergency Management for COVID. Additionally, DESPP has a backlog in SPBI and Fingerprinting that will require additional hours to process; all are related to reduced/shutdown of services during the height of the pandemic. We have been told to expect an increase from OEC, DPH, school security guards as well as bus drivers in the coming weeks. We also anticipate a need in the late Fall for CSP services at the testing centers and vaccine centers. In the first 3 PP (through 7/16/20) DESPP has expended nearly \$190K in overtime coded to TASK Code PG881 (Covid-19 Response).
204. OPM20000	Recovery planning through COGs	\$ -	\$ 1,250,000		\$ 1,250,000	Approved	Funding through the CT Association of Councils of Governments for assistance from the Global Resilience Institute (GRI).

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source			
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022	Gross Total	Status	Federal - CRF	Notes
205. UHC72000	Capital Purchases, Telework, Lab & Medical Supplies, PPE, Facilities, Overtime for Medical Staff	\$ 3,188,263	\$ 1,559,984		\$ 4,748,247	Approved	\$ 4,748,247	Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
206. CSL66000	Facility cleaning - CT Records Center and Library for the Blind and Physically Handicapped		\$ 11,728		\$ 11,728	Approved	\$ 11,728	Fog microbial disinfectant, steam, and HVAC duct cleaning at 2 facilities operated by the State Library.
207. CSL66000	Installation of outdoor sheds for contactless delivery of library materials		\$ 15,990		\$ 15,990	Approved	\$ 15,990	To safely operate the State Library's statewide delivery service, 75 sheds were installed at libraries around the state that could not provide keys or access codes to facilitate contactless delivery of library materials.
208. OPM20000	COVID testing at assisted living facilities		\$ 32,255,444		\$ 32,255,444	Approved	\$ 32,255,444	
209. DOL40000	Purchase Virtual Hold Technology for the COVID Related UI Contact Center which will allow callers to get called back as opposed to waiting on the line or to schedule an appointment.		\$ 493,000		\$ 493,000	Approved	\$ 493,000	Funds will be utilized for vendor solution by 12/30 - 2 wk. implementation timeline.
210. SDE64000	Academic Re-Opening Costs		\$ 37,100,000		\$ 37,100,000	Approved	\$ 37,100,000	
211. SDE64000	Student Supports Re-Opening Costs		\$ 9,000,000		\$ 9,000,000	Approved	\$ 9,000,000	Allocation reduced by \$3.9 million on 12/15 from \$41 to \$37.1 million
212. SDE64000	Cleaning/PPE Re-Opening Costs		\$ 60,200,000		\$ 60,200,000	Approved	\$ 60,200,000	
213. SDE64000	Transportation Re-Opening Costs		\$ 20,600,000		\$ 20,600,000	Approved	\$ 20,600,000	
214. SDE64000	Wifi and Hotspots for Education Re-Opening		\$ 2,600,000		\$ 2,600,000	Approved	\$ 2,600,000	
215. ECD46000	ReOpenCT - Surveys to determine when to open CT	\$ 60,000			\$ 60,000	Approved	\$ 60,000	Agency believes this may be FEMA reimbursable
216. ECD46000	Overtime costs and other COVID19 related expenditures	\$ 104,411			\$ 104,411	Approved	\$ 104,411	Revised 12/3/20 (reduced by \$500)
217. ECD46000	DECD Phase 2 graphics and translation	\$ 80,535			\$ 80,535	Approved	\$ 80,535	Only allotted \$47,535 thus far.
218. CSL66000	Safety measures for public WiFi in libraries		\$ 2,600,000		\$ 2,600,000	Approved	\$ 2,600,000	PPE, signage and other supplies needed for libraries to open up indoor and outdoor spaces for people to safely use expanded public WiFi. Does not include staffing costs.

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source		Notes	
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022	Gross Total	Status		Federal - CRF
219. DAS23000	CEN Wi-fi infrastructure and wi-fi marketing		\$ 1,000,000		\$ 1,000,000	Approved	\$ 1,000,000	\$1.7M for the Connecticut Education Network to install wifi infrastructure and \$300,000 to DAS for wifi marketing contract. NOTE - Revised to \$1M total, \$700k for wifi, \$300k for marketing. \$1M will come from GEER funds 12/3/20
220. ECD46000	Welcome centers, OT for arts grants	\$ 34,979			\$ 34,979	Approved	\$ 34,979	Welcome center COVID19 supplies, OT for arts grants - Revised 1/11- deallotted by \$3,241
221. UHC72000	Capital Purchases, Telework, Lab & Medical Supplies, PPE, Facilities, Overtime for Medical Staff	\$ -	\$ 3,939,795		\$ 3,939,795	Approved	\$ 3,939,795	Total actuals and projected COVID-related costs at UConn Health projected through 12/30/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
222. UOC67000	Academic preparation, PPE, medical supplies/drugs, Research, health & safety services, shipping, telework, etc.		\$ 1,066,584		\$ 1,066,584	Approved	\$ 1,066,584	Ongoing COVID response costs at UConn Storrs/Regional campuses reported as of 8/21/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
223. BOR77700	Online Conversion Costs, PPE/Supplies, Facility Costs, and Donated Equipment	\$ 2,151,055			\$ 2,151,055	Approved	\$ 2,151,055	Does not include revenue losses. Cost updated to reflect most recent data from BOR. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.
224. SOS12500	Cost of publishing various Executive Orders		\$ 150,557		\$ 150,557	Approved	\$ 150,557	
225. OTT14000	Laptops (\$25,257), gloves and cleaning supplies (\$2,106)		\$ 27,363		\$ 27,363	Approved	\$ 27,363	Component of October 1 deficit mitigation plan. \$100 million no longer needed given latest GF projections. CRF can be re-deployed to testing, vaccines and other direct COVID costs.
226. Various	Public health and public safety staff costs		\$ -		\$ -	Approved	\$ -	
227. OPM20000	Funding for Councils of Government to support direct expenses and legal costs for executive order support		\$ 415,000		\$ 415,000	Approved	\$ 415,000	
228. ECD46000	Pandemic outreach text translation and creation of indoor/outdoor event guidance		\$ 48,484		\$ 48,484	Approved	\$ 48,484	Re-allocation of CRF funds from Workforce Development and Employment Initiative of \$43,017.08. On 7/2 funding of \$8,920.00 was de-allotted due to lower than anticipated expenditures.

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022		
						Revised downward by \$1M 11/25 from \$15M to reflect actual expenditures by 12/30 of contracted vendors. Final expenditures figure updated 1/11. Revised final figures updated 4/27/21. Funding of \$43,017.08 was transferred to the pandemic outreach item. On 7/2 funding of \$850,520.81 was de-allotted due to lower than anticipated expenditures and the return of unspent funds.
229. ECD46000	Workforce Development and Employment Initiatives		\$ 12,955,479	\$ 12,955,479	Approved	
230. SDE64000	CTECs Re Opening		\$ 4,088,890	\$ 4,088,890	Approved	
231. DDS50000	Dental Clinic Ventilation		\$ 25,000	\$ 25,000	Approved	To support an upgrade to the ventilation in the Norwich dental clinic, which provides services to individuals with intellectual disability, to ensure proper ventilation. Dental procedures may generate aerosolized
232. OLM10000	Web Conferencing, Constituent Outreach, Premises Cleaning, PPE & Supplies		\$ 72,523	\$ 72,523	Approved	Web Conferencing (Software to conduct virtual meetings), Constituent Outreach (Town hall calls, where legislator outreach to their constituents during this period where they can't meet with them in person and hiring translators for the hearing impaired during that outreach), Premises Cleaning, PPE & Supplies
233. OSC15000	Plexiglass Installation for OSC Office Reopening	\$ -	\$ 265,896	\$ 265,896	Approved	For the health and safety of the OSC workforce and to assist with continuity of state operations during the pandemic. Updated 12/8/2020 - vendor missed four workstations in the original quote. Added an additional \$2,472.20 beyond the original price quoted.
234. DCF91000	Stipend for Foster Families		\$ 1,039,271	\$ 1,039,271	Approved	To pay \$100 stipend per month per child in foster care for 3 months.
235. BOR77700	Instruction, Student Support, and Technology exceeding available HEER funding at CSUs and COSC	\$ 3,379,595			Approved	Costs reported as of 5/20/20 include institutional costs at State Universities and Charter Oak State College to off-campus courses in Spring 2020 semester, including supplies and technology needed for instruction and remote learning. Does not include student refunds or lost revenue. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
236. OPM20000	Temporary assistance with Treasury OIG reporting requirements		\$ 6,786	\$ 6,786	Approved	

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Funding Source	Notes
		Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022	Gross Total		
237.	OPM20000		\$ 375,000	\$ 375,000	Approved	Federal - CRF	Funding of public safety costs through CRF will complement CESF funds intended to support municipal policing efforts associated with addressing violent crime.
238.	UOC67000	\$ -	\$ 6,571,980	\$ 6,571,980	Approved		Total actuals and projected COVID-related costs at Storrs and Regional campuses projected through 12/30/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
239.	DOC88000		\$ 1,500,000	\$ 1,500,000	Approved		Medical staffing needed due to staff shortages (covers July through December)
240.	JUD95000		\$ 1,206,056	\$ 1,206,056	Approved		The cost of equipment (video conferencing, webcam, laptops, software and consulting services) to provide courtrooms with remote access capability totals \$1.607M. The PPE for staff working across the branch is \$97K. The services for additional cleaning of courthouse buildings totals \$29K. The installation of office barriers costing \$223K will assist with social distancing. Cell phones for remote workers totaled \$62K and in the Detention Centers to limit exposure \$133K is being spent to perform temperature screening. Lastly community based services programs received COVID related expenditures of \$175K. November 19 - Judicial revised request from \$2,319,046 to \$1,206,056.31.
241.	TRB77500		\$ 24,572	\$ 24,572	Approved		Plexiglass is needed for the health and safety of the TRB workforce which currently has the low partitions in the SOB.
242.	DMV35000	\$ -	\$ 315,000	\$ 315,000	Approved		Due to being open on Mondays plus staying opening additional hours in response to the backlog caused by COVID-19, DMV anticipates needing an additional \$300,000 to cover temperature screening by medical professionals. Update 12/07/2020 - increased by \$15,000 to reflect actual costs. Funding transferred from other approved items that were reduced.

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes
		Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022		
243.	DMV35000		\$ 143,168	\$ 143,168	Federal - CRF	Funding for the redesign and configuration of the Knowledge Test areas throughout the branches. This with allow the agency to increase the number of knowledge test given while staying within the COVID19 social distancing restrictions. Update 12/07/2020 - reduced by \$11,832 to reflect actual costs.
		\$ -	\$ 143,168	\$ 143,168		Funding transferred to other approved items. To fund costs related to printing COVID-related executive orders in various papers as required by statute.
244.	SOS12500		\$ 147,000	\$ 147,000		Grants to nonprofit arts organizations (performing arts centers, performing groups, and schools of the arts)
245.	ECD46000		\$ 9,000,000	\$ 9,000,000		Funds to support remote learning slots for ECE in an effort to reach children who did not enroll in pre-k and kindergarten due to the pandemic
246.	OEC64800		\$ 1,175,060	\$ 1,175,060		
247.	OSC15200		\$ 40,000,000	\$ 40,000,000		Grants to small businesses impacted by COVID, with \$25 million for business located in distressed municipalities and \$25 million for businesses in non-distressed municipalities
248.	ECD46000		\$ 50,000,000	\$ 50,000,000		Overtime to for staff working on CRF supported business grant programs. De-allot funding of \$11,040.92 on 7/2 due to lower than anticipated expenditures.
249.	ECD46000		\$ 18,079	\$ 18,079		To reimburse institutions for refunds issued to students due to campus closures.
250.	ECD46000		\$ 1,500,000	\$ 1,500,000		Specialized mask decontamination units were installed at this location, costs incurred to manage the site, labor, materials, equipment and a floor repair.
251.	UOC67000		\$ 20,000,000	\$ 20,000,000		Costs due to door removals, gym floor protection, water damage to mats and gym equipment when this space was used as a COVID Recovery Center.
252.	DOC88000	\$ -	\$ 1,345,434	\$ 1,345,434		Consulting costs for daily press conferences due to COVID, Deloitte consulting costs for Reopen CT and funds for additional VPN licenses.
253.	DAS23000	\$ -	\$ 207,025	\$ 207,025		
254.	DAS23000	\$ -	\$ 47,832	\$ 47,832		
255.	DAS23000	\$ -	\$ 279,846	\$ 279,846		

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes	
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022			Gross Total
256.	DAS23000 Security Guard Expenses COVID Testing Sites	\$ -	\$ 85,081		\$ 85,081	Approved	Security and Parking staff at 60 Sargent Dr in New Haven and 401 W Thames Campbell Building - COVID Testing Sites
257.	DAS23000 Medical Supplies/PPE/Safety Equipment and Security Costs	\$ -	\$ 44,712		\$ 44,712	Approved	Plexiglass, barriers, sanitizer units, HVAC work, PPE, and other medical supplies.
258.	CRD47200 Surge Hospital - Convention Center		\$ 718,125		\$ 718,125	Approved	Funding to support CRDA's expenses related to supporting a surge hospital at the CT Convention Center. Costs include personnel expenses (security, etc), utilities, etc. Assumes National Guard supports actual build out of hospital space and Hartford Health supports staff required consumables including cleaning. Does not include any costs associated with rented structures, etc. (e.g. trailers). Costs for Dec - May. Additional costs in May associated with the surge hospital being taken down.
259.	OLM10000 Web Conferencing, Constituent Outreach, Premises Cleaning, PPE & Supplies	\$ -	\$ 129,388		\$ 129,388	Approved	Encumbered: Web Conferencing (software to conduct virtual meetings), Constituent Outreach (Town hall calls, legislator outreach to their constituents during this period where they can't meet with them in person and hiring translators for the hearing impaired during that outreach), Premises Cleaning, PPE & Supplies.
260.	ECD46000 Supplemental Small Business Support Grants		\$ 35,000,000		\$ 35,000,000	Approved	
261.	DMV35000 Media Response Plan for COVID-19 Preparedness	\$ -	\$ 23,163		\$ 23,163	Approved	
262.	OAG29000 Plexiglass Installation for OAG Office Reopening	\$ -	\$ 76,451		\$ 76,451	Approved	For the health and safety of the OAG workforce and to assist with continuity of state operations during the pandemic.
263.	SDE64000 Devices for adult education		\$ 1,700,000		\$ 1,700,000	Approved	
264.	OAG29000 Plexiglass Installation for OAG Office Reopening	\$ -	\$ 20,623		\$ 20,623	Approved	For the health and safety of the OAG workforce and to assist with continuity of state operations during the pandemic.
265.	DOL40000 Call Center Support thru 6/30 for 80 Temp Staff		\$ 5,040,000		\$ 5,040,000	Approved	Call center support for the period 1/1/21 to 6/30/21
266.	GOV12000 Post-December communications related to testing and vaccines		\$ 3,000,000		\$ 3,000,000	Approved	
267.	DOL40000 DOL Contact Center/Call Center Support		\$ 4,500,000		\$ 4,500,000	Approved	Vendor to augment DOL contact center/call center staff 1/25/21 to 6/30/21 - at least 90 additional contracted staff

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Funding Source	Notes
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022	Gross Total		
268.	DOL40000		\$ 18,000,000		\$ 18,000,000	Approved	DOL UI Support : Contact Center Support for the period 7/1/21 to 12/31/21 (\$9 million), additional support for appeals, tax/audit support.
269.	DAS23000		\$ 97,652		\$ 97,652	Approved	COVID Recovery Center sinks, shower trailers, restroom trailers at the Convention Center through February
270.	DAS23000		\$ 41,550		\$ 41,550	Approved	Governor's crisis communications daily briefings through March.
271.	DAS23000		\$ 9,599		\$ 9,599	Approved	Laptops for the school construction unit.
272.	DOL40000		\$ 6,231,940		\$ 6,231,940	Approved	
273.	DOL40000		\$ 10,000,000		\$ 10,000,000	Approved	Vendor to augment DOL contact center/call center staff 7/1/21 to 12/31/21 - at least 90 additional contracted staff (\$4.5 million). Additional \$24,000 is for the agency to support the back to work initiative. Balance of funding is for UI program support including vendor support, contact center staff and related supports for which there is not federal funding available. In addition, funding is supporting touchless faucets, security at AICs.
274.	ECD46000		\$ 5,000,000		\$ 5,000,000	Approved	
275.	SDE64000		\$ 527,000		\$ 527,000	Approved	Part of Governor's FY 2022 - FY 2023 budget proposal.
276.	JUD95000		\$ 1,245,546		\$ 1,245,546	Approved	Judicial requested these resources as part of their budget request for FY 2022 - FY 2023.
277.	UHC72000	\$ -	\$ 484,240		\$ 484,240	Approved	CRF is provided in lieu of appropriated funding.
278.	UOC67000	\$ -	\$ 5,000,000		\$ 5,000,000	Approved	Total testing costs at UConn were about \$5.0 million across all campuses. Approximately \$2.0 million of those costs were necessary to meet minimum guidance issued by DPH.
279.	DPH48500	\$ -	\$ 567,219		\$ 567,219	Approved	To reimburse Comm. Health Ctr, Inc. for one-time costs to set up East Hartford mass vaccination site.
280.	UOC67000	\$ -	\$ 5,000,000		\$ 5,000,000	Approved	Support for COVID relief in FY 2022. Working with agency to identify eligible expenditures for reimbursement.
281.	BOR7700	\$ -	\$ 10,000,000		\$ 10,000,000	Approved	Support for COVID relief in FY 2021/2022. Working with agency to identify eligible expenditures for reimbursement.

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes
		Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022		
	Agency				Federal - CRF	
282.	OSC15000 Additional Funds for Plexiglass Installation for OSC Office Reopening	\$ -	\$ 9,255	\$ 9,255	Approved	Approved item 232 provided funds for plexiglass installation. This request is from a add on quote based on the changes OSC requested from the walk through with the vendor. This will fund extra guard services until 6/30/2021. Approved item 200 provided funds to cover additional unarmed guards through 12/31/20.
283.	DMV35000 Additional Funds for unarmed guards until 06/30/2021	\$ -	\$ 1,300,000	\$ 1,300,000	Approved	Approved item 179 and 241 provided the DMV with funding for temperature screenings through 12/31/2020. The Department is currently reviewing an alternative, which would reduce cost substantially and they anticipate that it will be in place across all branches by March 30th.
284.	DMV35000 Additional funds for temperature screen by medical professionals until 3/30/21	\$ -	\$ 500,000	\$ 500,000	Approved	Approved item 117 provided funding for the cleaning and disinfecting of branches through 12/31/2020. Due to the pandemic, the Agency is completing nightly deep cleaning along with a weekly disinfecting spray of branches that are being utilized by staff and/or public.
285.	DMV35000 Additional funds to continue extra cleaning of the branches until 6/30/2021	\$ -	\$ 450,000	\$ 450,000	Approved	State government must regularly, and clearly communicate with residents the ongoing changes to public health, business, and education guidance throughout this pandemic. The state must have funds set aside for targeted marketing, information, and education campaigns across media platforms to distribute accurate information for all residents.
286.	GOV12000 Communications related to testing and vaccines April - December 2021	\$ -	\$ 4,000,000	\$ 4,000,000	Approved	American Rescue Plan Act, House Bill 1319, Section 9021 extends interest free borrowing on Unemployment Trust Fund Advances from the USDOL through August 29, 2021. Funding provided for the payment of interest for the period August 30 -September 30, 2021, which is the end of the Federal Fiscal Year which would provide a one month reprieve to CTDOJ and additional time to prepare the UI system for roll out to implement the billing/assessment, conduct follow up and complete all the other tasks. To fund costs related to printing COVID-related executive orders in various papers as required by statute.
287.	DOL40000 Interest Payment on Federal UI Borrowed Funds	\$ -	\$ 1,750,000	\$ 1,750,000	Approved	
288.	SOS12500 Printing of Executive Orders	\$ -	\$ 250,000	\$ 250,000	Approved	

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes	
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022			Gross Total
289.	DCJ30000		\$ 385,972		\$ 385,972	Approved	Federal - CRF DOL is working with the State Police, the Inspector General, USDOL, and the FBI to combat organized attacks on the UI system. Because many of these groups are stretched thin, the state cannot adequately manage the influx of new fraudulent claims, let alone pursue the old ones at a pace to get ahead of the curve. DOL needs to assistance of DCJ to handle the anti-fraud matters. This entry is a placeholder until Chief State's Attorney Colangelo provides more detail. \$120,000 with expense university options for those needing mental health treatment. The social stressors of the COVID-19 pandemic have exacerbated the mental and behavioral health conditions of many Connecticut residents. These funds will allow judges to recommend needed mental health services to individuals affected with COVID-19.
290.	MHA53000		\$ 420,000		\$ 420,000	Approved	420,000 Funding of \$75,000 (\$25,000 for each facility) for deep cleaning of the CT Convention Center, XL Center and Rentschler prior to the Sept 1 events. Funding of \$55,500 for ticket scanners for the XL center to facilitate social distancing once the facilities are reopened for guests.
291.	CRD47200		\$ 130,500		\$ 130,500	Approved	130,500 Deep cleaning of venues prior to opening and ticket scanners to facilitate social distancing.
292.	DSS60000		\$ 15,000,000		\$ 15,000,000	Approved	15,000,000 Provide additional funding to support testing of high-risk populations
293.	DAS23000		\$ 330,160		\$ 330,160	Approved	330,160 Fellows to assist with efforts to address COVID impacts in the areas of housing, safety net, and impacts to businesses
294.	DHE66500		\$ 1,500,000		\$ 1,500,000	Approved	1,500,000 College Corps for Summer Enrichment programs Funding committed to OHE to support Fairfield University in administering a College Corps of students to staff the various Summer Enrichment programs the state is seeking to support and expand access to. An equivalent amount of funding is anticipated from ARPA funds pending negotiations.
295.	OTT14000		\$ 158,883		\$ 158,883	Approved	158,883 Laptops (\$26,882.25) and pexiglass (\$132,000.57) Laptops for additional staff not covered in previous order and pexiglass installation for protection from COVID for reopening.
296.	DRS16000		\$ 10,000,000		\$ 10,000,000	Approved	10,000,000 Economic Assistance Payments to Impacted Individuals Provide Economic Assistance Payments to Individuals
297.	DPH48500		\$ 2,600,000		\$ 2,600,000	Approved	2,600,000 Vaccine Incentives Concert ticket promotion, vaccine incentive fund, incentive packages for vaccine equity partnership communities

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022	Gross Total	Status	Federal - CRF	
298. DOC88000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements		\$ 6,935,000		\$ 6,935,000	Approved	6,935,000	NOTE - Funds already allotted, approval would just repurpose from PPE to this line. This represents amount already spent (Jan, Feb, March, April) beyond the \$6M approved. To hold over the agency and avoid use of GF, DOC has used PPE CRF to cover until approved. Seeking full FEMA reimbursement. With lack of visitation, DOC has provided pre-paid stamped envelopes to inmates to write home to families
299. DOC88000	Prepaid Envelopes for Inmates to Send Letters to Families		\$ 525,000		\$ 525,000	Approved	525,000	Inmates in quarantine would need to avoid congregate settings, such as going to Commissary to purchase hygiene products, these kits were purchased for the inmates.
300. DOC88000	Hygiene Kits		\$ 52,140		\$ 52,140	Approved	52,140	NOTE - Funds already allotted, approval would just repurpose from PPE to this line. Medical staffing needed due to staff shortages (covers January through June) \$2.4M already incurred. Includes temp staff needed to administer COVID tests
301. DOC88000	Temporary Staffing		\$ 3,750,000		\$ 3,750,000	Approved	3,750,000	Ambulance Services from MWCI (Covid Unit) to Ucon.n Health
302. DOC88000	Ambulatory Services		\$ 47,500		\$ 47,500	Approved	47,500	Imunization registry to be integrated with DOC's EHR system.
303. DOC88000	Immunization Registry Interface		\$ 44,088		\$ 44,088	Approved	44,088	
304. OEC64800	Reimbursement to Smart Start Classrooms to Cover Covid Related Expenses		\$ 24,938		\$ 24,938	Approved	24,938	
305. CME49500	Medical Records Clerks to support backlog related to increase in caseload resulting from COVID suspected deaths. Funds will support medical record scanning into medical records system, OA, and preparation for microfilming.		\$ 87,000		\$ 87,000	Approved	87,000	
306. CME49500	To support various medical examiner expenses including - refrigerated trailer, COVID testing, and related expenses.		\$ 107,845		\$ 107,845	Approved	107,845	
307. OEC64800	Supports for Supplemental Home Visiting Programs in areas disproportionately impacted by COVID.			\$ 500,000	\$ 500,000	Approved	500,000	
308. JUD95000	Improved Technology Infrastructure for Courthouse Operations & Remote Access Booths for Various Procedures and Locations				\$ 3,087,910	Approved	3,087,910	Two Components - (1) \$1,955,000 - Courthouse Technology - WAN Bandwidth/Cybersecurity/Mobile Workforce/TEAMS Conversion/Centralized Monitoring; (2) \$1,132,410 - Remote Access Booths each with touchless screen and microphone to transmit video image and audio of parties participating in virtual court events through the Microsoft TEAMS platform at (a) courthouses, (b) police stations, and (c) cellblocks.

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Funding Source	Notes	
		Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Cost SFY 2022			Gross Total
Agency	Item				Federal - CRF		
309. DCJ30000	To bring on temporary prosecutors to help cut the criminal cases that have accumulated during the pandemic.			\$ 432,043	\$ 432,043	Approved	Provides 5 months of funding for 15 Special Deputy Assistant State's Attorneys
310. PDS98500	To bring on temporary public defenders to help cut the criminal cases that have accumulated during the pandemic.			\$ 452,863	\$ 452,863	Approved	Provides 5 months of funding for 15 Deputy Assistant Public Defenders
311. JUD95000	Allocation to support Foreclosure Mediation and the Housing and Eviction Mediation programs.			\$ 1,282,631	\$ 1,282,631	Approved	Per letter to Judge Carroll CRF will support through the end of calendar year 2021. The other portion needed -- \$1.6M -- will come from DOH Federal Funds. Funding will support 15 mediation staff, clerks for housing and mediation, and clerks for backlog. Related equipment and operating expenses also included.
312. DPH48500	Quinnipiac University student ambassadors - vaccination			\$ 1,061,337	\$ 1,061,337	Approved	
313. Placeholder	Economic Support and Business Relief			\$ 1,726,720	\$ 1,726,720	Approved	
314. Placeholder	Education - Reopening			\$ 3,311,110	\$ 3,311,110	Approved	
315. Placeholder	Higher Education			\$ 437,365	\$ 437,365	Approved	
316. Placeholder	Housing - Rent Relief			\$ 200,000	\$ 200,000	Approved	
317. Placeholder	Nonprofits and Other Providers			\$ 2,277,422	\$ 2,277,422	Approved	
318. Placeholder	Nursing Homes			\$ 1,542,974	\$ 1,542,974	Approved	
319. Placeholder	PPE and Supplies			\$ 43,853,728	\$ 43,853,728	Approved	
320. Placeholder	Reserve			\$ 46,467,862	\$ 46,467,862	Approved	
321. Placeholder	State Operations			\$ 2,391,304	\$ 2,391,304	Approved	
322. Placeholder	Testing and Active Clinical Monitoring			\$ 25,000,000	\$ 25,000,000	Approved	
323. Placeholder	Unemployment Support			\$ 1,250,000	\$ 1,250,000	Approved	
324. Placeholder	Workforce Development and Employment Initiatives			\$ 712,188	\$ 712,188	Approved	
	Subtotal -Additional Expenditures	\$ 842,845,047	\$ 1,305,403,828	\$ 2,284,236,331	\$ 1,384,249,776		
Revenue Items							
1. Rev	Pass-through Entity Tax - Delay March 15, 2020 payment date to July 15, 2020	\$ (333,333)			\$ (333,333)	Approved	Cash flow impact, loss of interest
2. Rev	Corporation Tax - Delay May 15, 2020 payment date to July 15, 2020	\$ (166,667)			\$ (166,667)	Approved	Cash flow impact, loss of interest
3. Rev	Unrelated Business Income - Delay May 15, 2020 payment date to July 15, 2020	\$ (1,667)			\$ (1,667)	Approved	Cash flow impact, loss of interest
4. Rev	Indian Gaming Payments - Defer March 15 & April 15, 2020 Payment to Sep. through Dec.	\$ (28,600,000)	\$ 28,600,000		\$ -	Approved	Cash flow impact, shift of revenue from FY20 to FY21
5. Rev	Personal Income Tax - Delay April 15 final payment date to July 15	\$ (1,119,556)			\$ (1,119,556)	Approved	Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
6. Rev	Personal Income Tax - Delay April 15 1st estimate payment to July 15	\$ (166,813)			\$ (166,813)	Approved	Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20

State of Connecticut
Summary of Changes - FY 2021
General Fund and Special Transportation Fund
Projected to June 30, 2021
As of June 30, 2021
(In Millions)

General Fund

Balance from Operations - Prior Month \$ 157.0

Revenues

Personal Income Tax - Estimates and Finals	40.0	
Pass-Through Entity Tax	(25.0)	
Corporation Tax	50.0	
Refunds of Taxes	12.0	
Transfer to BRF - Volatility	(15.0)	
All Other Changes (Net)	(2.4)	59.6

Expenditures

Additional Requirements	1.6	
Estimated Lapses	61.4	
Miscellaneous Adjustments/Rounding	(7.6)	55.4

Operating Surplus - FY 2021 \$ 271.9

Budget Reserve Fund

Fund Balance as of June 30, 2020		\$ 3,074.6
Deposit to SERS/TRS Pursuant to Sec. 4-30a, CGS	(61.6)	
Volatility Cap Deposit	1,220.1	
FY 2021 Est. Balance from Operations	271.9	1,430.4

Estimated Fund Balance - June 30, 2021 \$ 4,505.0

Fund Balance as Percentage of FY 2021 General Fund 22.4%

Special Transportation Fund

Fund Balance as of June 30, 2020 \$ 168.4

Balance from Operations - Prior Month 41.7

Revenues

Sales Tax - DMV	2.1	
Motor Vehicle Receipts	(4.0)	
All Other Changes (Net)	0.2	(1.7)

Expenditures

Additional Requirements	0.0	
Estimated Lapses	12.8	
Miscellaneous Adjustments/Rounding	0.0	12.8

Estimated Fund Balance - June 30, 2021 \$ 221.2

State of Connecticut
General Fund
Statement of FY 2021 Revenues, Expenditures, and Results of Operations
Projected to June 30, 2021
As of June 30, 2021
(In Millions)

	General Assembly Budget Plan ¹	Revised Estimates OPM	Over/ (Under)
REVENUE			
Taxes	\$ 18,873.4	\$ 20,305.5	\$ 1,432.1
Less: Refunds	(1,484.7)	(1,868.2)	(383.5)
Taxes - Net	\$ 17,388.7	\$ 18,437.3	\$ 1,048.6
Other Revenue	1,345.1	1,401.0	55.9
Other Sources	1,518.7	489.8	(1,028.9)
TOTAL Revenue	\$ 20,252.5	\$ 20,328.1	\$ 75.6
EXPENDITURES			
Initial Current Year Appropriations	\$ 20,395.7	\$ 20,395.7	\$ -
Prior Year Appropriations Continued to FY 2021 ²		139.0	139.0
TOTAL Initial and Continued Appropriations	\$ 20,395.7	\$ 20,534.7	\$ 139.0
Appropriation Adjustments ³	-	6.5	6.5
TOTAL Adjusted Appropriations	\$ 20,395.7	\$ 20,541.2	\$ 145.5
Net Additional Expenditure Requirements		28.4	28.4
Estimated Appropriations Lapsed	(309.4)	(374.4)	(65.0)
Estimated Appropriations to be Continued to FY 2022 ²		(765.3)	(765.3)
TOTAL Estimated Expenditures	\$ 20,086.3	\$ 19,429.9	\$ (656.4)
Net Change in Fund Balance - Continuing Appropriations		626.3	626.3
Miscellaneous Adjustments/Rounding		-	-
Net Change in Unassigned Fund Balance - 6/30/2021	\$ 166.2	\$ 271.9	\$ 105.7

1. . P.A. 19-117 as amended by P.A. 19-1, December Special Session. Note that CGS Sec. 2-33c limits appropriations in FY 2021 to 99.25% of General Fund revenue. As a result, the \$166.2 million budgeted surplus is comprised of \$151.1 million due to this 99.25% limitation, plus a \$15.1 million operating surplus.

2. CGS Sec. 4-89 and other statutory provisions.

3. Sections 37-38 of SA 21-15 increase GF appropriations by \$6.5 million.

State of Connecticut
General Fund
FY 2021 Revenue Estimates
Projected to June 30, 2021
As of June 30, 2021
(In Millions)

	General Assembly Budget Plan ^{1.}	Revised Estimates OPM	Over/ (Under)
TAXES			
Personal Income - Withholding	\$ 7,168.5	\$ 7,115.6	\$ (52.9)
Personal Income - Estimates and Finals	2,836.9	3,078.7	241.8
Sales and Use	4,588.4	4,785.4	197.0
Corporation	1,082.5	1,155.0	72.5
Pass-through Entity Tax	850.0	1,546.3	696.3
Public Service Corporations	244.7	240.1	(4.6)
Inheritance and Estate	146.3	303.3	157.0
Insurance Companies	205.8	229.8	24.0
Cigarettes	326.9	348.9	22.0
Real Estate Conveyance	230.6	365.6	135.0
Alcoholic Beverages	69.7	76.2	6.5
Admissions and Dues	41.5	36.6	(4.9)
Health Provider Tax	1,033.6	1,009.5	(24.1)
Miscellaneous	48.0	14.5	(33.5)
TOTAL - TAXES	\$ 18,873.4	\$ 20,305.5	\$ 1,432.1
Less: Refunds of Taxes	(1,378.9)	(1,759.5)	(380.6)
Earned Income Tax Credit	(100.6)	(101.6)	(1.0)
R & D Credit Exchange	(5.2)	(7.1)	(1.9)
TOTAL - TAXES - NET	\$ 17,388.7	\$ 18,437.3	\$ 1,048.6
OTHER REVENUE			
Transfers - Special Revenue	\$ 376.6	\$ 410.3	\$ 33.7
Indian Gaming Payments	225.4	228.8	3.4
Licenses, Permits, Fees	384.3	328.8	(55.5)
Sales of Commodities and Services	31.0	22.9	(8.1)
Rents, Fines, Escheats	160.9	187.5	26.6
Investment Income	52.9	3.4	(49.5)
Miscellaneous	181.7	257.0	75.3
Refunds of Payments	(67.7)	(37.7)	30.0
TOTAL - OTHER REVENUE	\$ 1,345.1	\$ 1,401.0	\$ 55.9
OTHER SOURCES			
Federal Grants	\$ 1,571.5	\$ 1,496.3	\$ (75.2)
Transfer from Tobacco Settlement Fund	114.5	114.5	-
Transfers From/(To) Other Funds	134.2	99.1	(35.1)
Transfers to BRF - Volatility Adjustment ^{2.}	(301.5)	(1,220.1)	(918.6)
TOTAL - OTHER SOURCES	\$ 1,518.7	\$ 489.8	\$(1,028.9)
TOTAL - GENERAL FUND REVENUE	\$ 20,252.5	\$ 20,328.1	\$ 75.6

1. Sec. 386 of P.A. 19-117 as amended by Sec. 8 of P.A. 19-1, December Special Session.

2. The volatility cap for FY 2021 is \$3,404.9 million. Total revenue from the Estimates and Finals portion of the Personal Income Tax and the Pass-through Entity Tax that exceeds the volatility cap will be deposited into the Budget Reserve Fund.

State of Connecticut - General Fund
FY 2021 Appropriation Adjustments - Net Additional Requirements
Projected to June 30, 2021
As of June 30, 2021

OSC - Miscellaneous (Adjudicated Claims)	\$ 28,426,291
Total	<u>\$ 28,426,291</u>

State of Connecticut
General Fund
Estimated FY 2021 Lapses
Projected to June 30, 2021
As of June 30, 2021

Legislative Management	\$ 3,097,619
Auditors of Public Accounts	1,181,394
Commission on Women, Children, Seniors, Equity and Opportunity	265,208
Governor's Office	235,795
Secretary of the State	477,536
Lieutenant Governor's Office	57,171
Elections Enforcement Commission	381,721
Office of State Ethics	123,136
Freedom of Information Commission	141,513
State Treasurer	211,233
State Comptroller	1,644,293
Department of Revenue Services	2,120,347
Office of Governmental Accountability	170,959
Office of Policy and Management	9,869,317
Department of Veterans Affairs	1,363,422
Department of Administrative Services	7,870,398
Attorney General	1,693,060
Division of Criminal Justice	1,460,883
Department of Emergency Services and Public Protection	4,114,709
Military Department	40,354
Department of Consumer Protection	254,501
Labor Department	3,959,338
Commission on Human Rights and Opportunities	265,177
Department of Agriculture	496,382
Department of Energy and Environmental Protection	591,161
Department of Economic and Community Development	195,867
Department of Housing	5,998,246
Agricultural Experiment Station	145,964
Department of Public Health	251,298
Office of Health Strategy	349,669
Office of the Chief Medical Examiner	207,832
Department of Developmental Services	7,137,910
Department of Mental Health and Addiction Services	12,777,069
Psychiatric Security Review Board	1,564
Department of Social Services	23,724,866
Department of Aging and Disability Services	2,175,085
Department of Education	17,139,421
Office of Early Childhood	7,994,016
State Library	232,418
Office of Higher Education	340,902
University of Connecticut	2,428,648

State of Connecticut
General Fund
Estimated FY 2021 Lapses
Projected to June 30, 2021
As of June 30, 2021

University of Connecticut Health Center	77,000
Teachers' Retirement Board	762,018
Connecticut State Colleges and Universities	1,991,271
Department of Correction	966,045
Department of Children and Families	14,199,306
Judicial Department	6,670,064
Public Defender Services Commission	5,584,914
Debt Service - State Treasurer	93,023,370
State Comptroller - Fringe Benefits	127,334,717
DAS - Workers' Compensation Claims	649,286
Total	<u>\$ 374,445,390</u>

State of Connecticut
FY 2021 General Fund
Monthly Summary of Operations
(In Millions)

	Budget Plan ¹	July 2020	August 2020	September 2020	October 2020	November 2020	December 2020	January 2021	February 2021	Revised March 2021	April 2021	May 2021	June 2021
REVENUE	\$20,252.5	\$ 18,056.5	\$ 18,056.5	\$ 18,510.6	\$ 18,837.2	\$ 19,018.1	\$ 19,761.7	\$ 19,761.7	\$ 19,821.7	\$ 19,877.5	\$ 20,053.7	\$ 20,268.5	\$ 20,328.1
Appropriations	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,394.6	20,402.2
Additional Requirements	0.0	139.1	144.2	146.0	175.7	183.5	165.9	185.2	187.8	185.6	169.1	30.0	28.4
Less: Estimated Lapses	(309.4)	(407.7)	(458.6)	(769.5)	(854.8)	(920.9)	(937.4)	(950.6)	(942.4)	(953.6)	(981.6)	(313.1)	(374.5)
TOTAL - Estimated Expenditures	20,086.3	20,127.2	20,081.4	19,772.2	19,716.6	19,658.3	19,624.1	19,630.3	19,641.1	19,627.7	19,583.2	20,111.5	20,056.2
Operating Balance	166.2	(2,070.7)	(2,024.9)	(1,261.6)	(879.4)	(640.2)	137.6	131.4	180.6	249.8	470.5	157.0	271.9
Misc. Adjustments/Rounding	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Est. Operating Balance - 6/30/21	\$166.2	(\$2,070.7)	(\$2,024.9)	(\$1,261.6)	(\$879.4)	(\$640.2)	\$137.6	\$131.4	\$180.6	\$249.8	\$470.5	\$157.0	\$271.9

1. P.A. 19-117 as amended by P.A. 19-1 of the December Special Session.

State of Connecticut
Special Transportation Fund
Analysis of FY 2021 Budget Plan
Projected to June 30, 2021
As of June 30, 2021
(In Millions)

	General Assembly <u>Budget Plan</u> ^{1.}	Revised Estimates <u>OPM</u>	Over/ <u>(Under)</u>
Fund Balance as of June 30, 2020	\$ 363.5	\$ 168.4	\$ (195.1)
REVENUE			
Taxes	\$ 1,375.5	\$ 1,280.9	\$ (94.6)
Less: Refunds of Taxes	<u>(15.0)</u>	<u>(11.8)</u>	<u>3.2</u>
Taxes - Net	1,360.5	1,269.1	(91.4)
Other Revenue	<u>520.3</u>	<u>484.0</u>	<u>(36.3)</u>
TOTAL - Revenue	\$ 1,880.8	\$ 1,753.1	\$ (127.7)
EXPENDITURES			
Appropriations	\$ 1,848.0	\$ 1,848.0	\$ -
Prior Year Appropriations Continued to FY 2021 ^{2.}		<u>31.8</u>	<u>31.8</u>
TOTAL Initial and Continued Appropriations	\$ 1,848.0	\$ 1,879.8	\$ 31.8
Appropriation Adjustments ^{3.}	<u>-</u>	<u>1.1</u>	<u>1.1</u>
TOTAL Adjusted Appropriations	\$ 1,848.0	\$ 1,880.9	\$ 32.9
Net Additional Expenditure Requirements		-	-
Estimated Appropriations Lapsed	(31.7)	(148.8)	(117.1)
Estimated Appropriations to be Continued to FY 2022 ^{2.}		<u>(38.3)</u>	<u>(38.3)</u>
TOTAL Estimated Expenditures	\$ 1,816.3	\$ 1,693.8	\$ (122.5)
Net Change in Fund Balance - Continuing Appropriations		6.5	6.5
Miscellaneous Adjustments/Rounding		-	-
Net Change in Unassigned Fund Balance - FY 2021	\$ 64.5	\$ 52.8	\$ (11.7)
Estimated Fund Balance - June 30, 2021	<u>\$ 428.0</u>	<u>\$ 221.2</u>	<u>\$ (206.8)</u>

1. P.A. 19-117. Note that CGS Sec. 2-33c limits appropriations in FY 2021 to 99.25% of Special Transportation Fund revenue. As a result, the \$64.5 million budgeted surplus is comprised of \$14.1 million due to this 99.25% limitation, plus a \$50.4 million operating surplus.

2. CGS Sec. 4-89 and other statutory provisions.

3. Section 39 of SA 21-15 increases STF Appropriations by \$1.1 million

State of Connecticut
Special Transportation Fund
FY 2021 Revenue Estimates
Projected to June 30, 2021
As of June 30, 2021
(In Millions)

	General Assembly Budget Plan ¹	Revised Estimates OPM	Over/ (Under)
TAXES			
Motor Fuels	\$ 505.1	\$ 471.4	\$ (33.7)
Oil Companies	330.2	226.8	(103.4)
Sales & Use Tax	454.1	465.5	11.4
Sales Tax DMV	86.1	117.2	31.1
TOTAL - TAXES	<u>1,375.5</u>	<u>1,280.9</u>	<u>(94.6)</u>
Less: Refunds of Taxes	(15.0)	(11.8)	3.2
TOTAL - TAXES - NET	<u>\$ 1,360.5</u>	<u>\$ 1,269.1</u>	<u>\$ (91.4)</u>
OTHER REVENUE			
Motor Vehicle Receipts	\$ 305.9	\$ 321.4	\$ 15.5
Licenses, Permits, Fees	146.6	129.6	(17.0)
Interest Income	36.7	1.9	(34.8)
Federal Grants	11.8	12.0	0.2
Transfers (To)/From Other Funds	24.5	24.5	-
Refunds of Payments	(5.2)	(5.4)	(0.2)
TOTAL - OTHER REVENUE	<u>\$ 520.3</u>	<u>\$ 484.0</u>	<u>\$ (36.3)</u>
TOTAL - SPECIAL TRANSPORTATION FUND REVENUE	<u>\$ 1,880.8</u>	<u>\$ 1,753.1</u>	<u>\$ (127.7)</u>

1. Sec. 387 of P.A. 19-117, as adjusted by provisions of P.A. 19-165.

Statement 3T
July 20, 2021

State of Connecticut
Special Transportation Fund
FY 2021 Appropriation Adjustments - Net Additional Requirements
Projected to June 30, 2021
As of June 30, 2021

No additional requirements

Total

\$ -

Statement 4T
July 20, 2021

State of Connecticut
Special Transportation Fund
FY 2021 Estimated Lapses
Projected to June 30, 2021
As of June 30, 2021

Department of Administrative Services	\$ 128,969
Department of Motor Vehicles	681,622
Department of Transportation	21,719,061
Debt Service - State Treasurer	103,273,361
State Comptroller - Fringe Benefits	22,365,567
DAS - Workers' Compensation Claims	644,697
Total	<u>\$ 148,813,277</u>

State of Connecticut
FY 2021 Special Transportation Fund
Monthly Summary of Operations
(In Millions)

	Budget Plan ¹ .	July 2020	August 2020	September 2020	October 2020	November 2020	December 2020	January 2021	February 2021	Revised March 2021	April 2021	May 2021	June 2021
Beginning Balance ² .	\$ 363.5	\$ 169.0	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4
Revenue	1,880.8	1,688.3	1,688.3	1,697.2	1,690.6	1,689.6	1,690.7	1,690.7	1,690.7	1,711.5	1,715.4	1,754.8	1,753.1
Total Available	2,244.3	1,857.3	1,856.7	1,865.6	1,859.0	1,858.0	1,859.1	1,859.1	1,859.1	1,879.9	1,883.8	1,923.2	1,921.5
Appropriations	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,849.1	1,849.1
Additional Requirements	0.0	0.0	0.0	1.2	1.2	0.0	0.0	1.0	1.0	1.0	1.1	0.0	0.0
Less: Estimated Lapses	(31.7)	(83.7)	(93.8)	(96.8)	(96.8)	(97.8)	(97.8)	(98.3)	(108.0)	(113.5)	(139.2)	(136.0)	(148.8)
TOTAL - Estimated Expenditures	1,816.3	1,764.3	1,754.2	1,752.4	1,752.4	1,750.2	1,750.2	1,750.7	1,741.0	1,735.5	1,709.9	1,713.1	1,700.3
Operating Balance	64.5	(76.0)	(65.9)	(55.2)	(61.8)	(60.6)	(59.5)	(60.0)	(50.3)	(24.0)	5.5	41.7	52.8
Misc. Adjustments/Rounding	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Estimated Operating Balance 6/30/21	\$428.0	\$93.0	\$102.5	\$113.2	\$106.6	\$107.8	\$108.9	\$108.4	\$118.1	\$144.4	\$173.9	\$210.1	\$221.2

1. P.A. 19-117.

2. Budget plan and July as estimated by the Office of Policy and Management. August and thereafter based on OSC preliminary closing balance for FY 2020 from letter dated September 17, 2020.