



STATE OF CONNECTICUT

OFFICE OF POLICY AND MANAGEMENT

January 20, 2021

The Honorable Kevin Lembo
 State Comptroller
 165 Capitol Avenue
 Hartford, Connecticut 06106

Dear Comptroller Lembo:

Section 4-66 of the General Statutes requires that my office provide information on the state's General Fund for Fiscal Year 2021. An analysis of the Special Transportation Fund is also provided here due to the significance of this fund.

	FY 2021 Projection			Change in Estimate - Jan. vs. Dec.	Jan. Est. Variance from Budget
	Budget (as Revised Dec. 2019)	Dec. Estimate	(in millions) Jan. Estimate		
<u>General Fund</u>					
Revenues	\$ 20,252.5	\$ 19,018.1	\$ 19,761.7	\$ 743.6	\$ (490.8)
Expenditures	20,086.3	19,658.3	19,624.1	(34.2)	(462.1)
Operating Results - Surplus/(Deficit)	\$ 166.2	\$ (640.2)	\$ 137.6	\$ 777.8	\$ (28.7)
<u>Budget Reserve Fund</u>					
Deposit / (Withdrawal)	\$ 467.7	\$ (701.8)	\$ 431.0 ¹	\$ 1,132.9	\$ (36.7)
Proj. Balance 6/30	\$ 3,542.3	\$ 2,372.7	\$ 3,505.6	\$ 1,132.9	\$ (36.7)
<u>Special Transportation Fund</u>					
Revenues	\$ 1,880.8	\$ 1,689.6	\$ 1,690.7	\$ 1.1	\$ (190.1)
Expenditures	1,816.3	1,750.2	1,750.2	-	(66.1)
Operating Results - Surplus/(Deficit)	\$ 64.5	\$ (60.6)	\$ (59.5)	\$ 1.1	\$ (124.0)
Proj. Fund Balance 6/30	\$ 423.4	\$ 107.8	\$ 108.9	\$ 1.1	\$ (314.5)
<u>Tourism Fund</u>					
Revenues	\$ 14.2	\$ 6.2	\$ 6.2	\$ -	\$ (8.0)
Expenditures	13.1	13.1	13.1	-	-
Operating Results - Surplus/(Deficit)	\$ 1.1	\$ (6.9)	\$ (6.9)	\$ -	\$ (8.0)
Proj. Fund Balance 6/30	\$ (0.1)	\$ (13.8)	\$ (13.8)	\$ -	\$ (13.7)
Notes:					
1. BRF deposit includes the transfer out of \$61.62 million pursuant to Sec. 4-30a, CGS, as the FY 2020 ending balance exceeds the statutory 15% cap. This sum would be deposited as an additional contribution to the State Employees Retirement Fund.					

General Fund

The adopted FY 2021 budget anticipates a \$166.2 million balance at year end. We are projecting an operating surplus of \$137.6 million, an improvement of \$777.8 million from last month, driven primarily by improved revenue trends as reflected in the January 15th consensus forecast. The projected surplus represents 0.7 percent of the General Fund.

Our estimates include anticipated state costs for the state’s current pandemic response. The table attached to this letter outlines specific measures approved to date as part of that response.

Our forecast of the Budget Reserve Fund (BRF) balance at year end is depicted below. The state’s reserves at the start of FY 2021 are \$3.07 billion, pending potential audit revisions as prior year results are finalized, or 15.3 percent of FY 2021 net General Fund appropriations. The projected Budget Reserve Fund balance at the end of the fiscal year, after transfers pursuant to the statutory volatility cap and the estimated FY 2021 operating surplus, is expected to reach \$3.5 billion, or 17.5 percent of current net General Fund appropriations.

Budget Reserve Fund	
	(in millions)
Estimated BRF Ending Balance - FY 2020 (OSC Est. 9/17/20)	\$ 3,074.6
Deposit to SERS pursuant to Sec. 4-30a, C.G.S. (OSC Est. 9/17/20)	\$ (61.6)
Projected Operating Surplus - FY 2021 (OPM 01/20/21 Est.)	137.6
Volatility Cap Deposit - FY 2021 (OPM 01/20/21 Est.)	<u>355.1</u>
Estimated BRF Ending Balance - FY 2021	\$ 3,505.6

Revenues

As a result of the January 15th consensus forecast, revenues have been revised upward by \$743.6 million in aggregate compared to our estimate last month. Projected revenues at the start of this fiscal year were primarily based on the April consensus revenue forecast developed during the depths of the COVID pandemic. Since then, our revenue forecast has been gradually increased as major revenue sources continued to outpace their monthly targets, likely due to the unprecedented levels of fiscal and monetary stimulus injected into the economy by the Federal government. Even with this January consensus revision, the projections for the second half of the fiscal year are cautiously estimated to grow at levels less than those experienced in the first half of the fiscal year. The biggest change is in the Estimates and Finals component of the Personal Income Tax, up \$294.4 million. Withholding taxes under the Personal Income Tax have also been revised upward by \$235.0 million. The Sales and Use Tax has been revised upward by \$195.5 million. Consistent with the increases projected in Estimates and Finals, the Pass-through Entity Tax has been revised upward by \$150.6 million. Federal Grants have been revised upward by \$126.8 million due to revised Medicaid estimates and an additional quarter of enhanced federal reimbursement (FMAP) due to COVID. All other changes net to a positive \$96.4 million. Given the improved forecast for both Estimates and Finals and the Pass-through Entity Tax, we are now projecting a \$355.1 million volatility cap deposit to the Budget Reserve Fund.¹ Projected FY 2021 revenues of \$19.76 billion are still \$490.8 million less than the adopted budget.

¹ The volatility cap for FY 2021 is \$3,404.9 million. Deposits into the Budget Reserve Fund that exceed the 15% statutory cap will result in additional contributions to either the State Employees Retirement Fund or the Teachers’ Retirement Fund.

Expenditures

We are projecting that FY 2021 net expenditures will be below the amended budget plan by \$462.1 million, as explained further below.

Deficiencies. Projected shortfalls totaling \$165.9 million are forecast in the following agencies:

- Department of Administrative Services. A net \$1.0 million shortfall is anticipated in the Insurance and Risk Management account due to an increase in property insurance rates.
- Department of Economic and Community Development. A \$5.6 million shortfall is anticipated in the Capital Region Development Authority account, after the transfer approved January 7th by the Finance Advisory Committee. The remaining shortfall is due to pandemic-related event cancellations that have impacted and are expected to continue to impact attendance and associated revenues at the Pratt and Whitney Stadium at Rentschler Field, the XL Center and the CT Convention Center.
- Office of the Chief Medical Examiner. A \$500,000 deficiency is estimated in Personal Services. This represents the annualized impact of the shortfall experienced in FY 2020.
- Department of Mental Health and Addiction Services. A total shortfall of \$9.0 million is projected due largely to the failure to enact FY 2020 deficiency appropriations and lack of FY 2021 budget adjustments addressing direct care costs. While June 2020 Finance Advisory Committee action addressed a portion of the FY 2020 deficiency, over \$2.1 million in prior year bills were held over for payment in FY 2021. We estimate a \$5.6 million shortfall in Other Expenses due largely to various facility maintenance and repair costs and increased software licensing costs, \$5.9 million in the Professional Services account for contracted medical services including contracted psychiatrists, \$2.3 million in the Workers' Compensation Claims account to reflect claim trends, and \$3.0 million in the Discharge and Diversion account to assist with discharges from Connecticut Valley Hospital to community settings for those no longer needing inpatient care. These shortfalls are partially offset by projected lapses of \$7.0 million in Personal Services due to vacancies, and \$800,000 in the Home and Community Based Services account as a result of fewer referrals and placements due to the pandemic.
- University of Connecticut Health Center. A shortfall of at least \$50.0 million is forecast. The FY 2020 budget included a fringe benefit subsidy of \$33.2 million to assist with stabilizing the Health Center's finances, but no subsidy was included in the FY 2021 budget plan. While deficiencies at higher education institutions do not have a direct impact on the General Fund, the magnitude and recurring nature of the Health Center's deficiencies may put additional pressure on state resources in FY 2021.
- Department of Correction. A total deficiency of \$4.0 million is projected. Shortfalls of \$2.0 million in Personal Services and \$2.0 million in Inmate Medical Services are forecast due to increased staff overtime, influenced in part by the impact of COVID-19 on operations.
- State Comptroller – Fringe Benefits. A total shortfall of \$85.8 million is anticipated. Of this amount, \$41.0 million is due to revised contribution requirements for the State Employees' Retirement System resulting from the June 30, 2019 valuation of the fund. A \$3.4 million shortfall is anticipated in the Judges Retirement System, also reflective of the June 30, 2019, valuation for that system. In addition, we anticipate shortfalls of \$2.8 million in the Unemployment Compensation account, \$4.8 million in the Employers Social Security Tax account, \$33.7 million in the State Employees Health Service account, \$11.9 million in the Retired State Employees Health Service Cost account, and \$2.0 million in the SERS Defined Contribution Match account. Partially offsetting these shortfalls are projected lapses of \$11.7 million in the Higher Education Alternative Retirement System account, \$124,000 in the Pensions and Retirements – Other Statutory account, and \$2.0 million in the Other Post Employment Benefits account.
- State Comptroller – Miscellaneous. We estimate \$10.0 million in expenditures for Adjudicated Claims. No appropriation was made in the enacted budget for payment of these claims.

As noted in previous months, our projections do not include a significant potential shortfall in the Connecticut State Colleges and Universities system, primarily due to pandemic-related impacts on enrollment and residential occupancy resulting in declines in tuition and fee revenue. Depending on measures the system may adopt to address the issue as well as potential assistance from federal CARES Act funding, the scale of this deficiency could materially impact our estimates later this year.

Lapses. Our projections reflect the amounts currently withheld from agencies to achieve budgeted lapse targets and rescissions implemented by the Governor on October 1st. Given the improved outlook, we are no longer anticipating use of federal CARES Act funding to cover \$100 million of certain General Fund public health and public safety costs, enabling us to repurpose the CARES Act funding to support COVID testing, vaccine costs, and other critical expenses. The use of the \$100 million of Coronavirus Relief Funds is in addition to the stimulus funding recently passed by the federal government in order to continue positive management of the pandemic response.

The following sums totaling \$602.7 million are estimated to remain unspent this fiscal year:

- Office of Legislative Management. A total of \$3.0 million is projected to lapse, with \$2.0 million in Personal Services and \$1.0 million in Other Expenses.
- Commission on Women, Children, Seniors, Equity & Opportunity. \$100,000 is projected to lapse.
- State Treasurer- Debt Service. A total lapse of \$69.3 million is forecast, with \$47.9 million associated with the timing of FY 2021 bond sales and revised estimates of the cost and interest rates for FY 2021 sales, and \$21.4 million adjustment in the UConn debt service account associated with moving the spring FY 2020 bond sale to the fall of FY 2021.
- Office of the State Comptroller. A \$200,000 lapse is projected in Personal Services due to vacancies.
- Department of Revenue Services. A \$340,000 lapse is projected in Personal Services due to vacancies.
- Department of Consumer Protection. \$125,000 is estimated to lapse in Personal Services due to vacancies.
- Department of Labor. A net total of \$542,540 will lapse in a variety of accounts, including \$395,717 that will lapse in the Workforce Training Authority account as the Authority has not yet been formed.
- Department of Housing. A \$3.0 million lapse is forecast in the Housing/Homeless Services due to fewer transitions than budgeted in the Money Follows the Person program and a delay in the CHESS program.
- Department of Public Health. A net \$1.5 million will lapse in Personal Services primarily as a result of vacancies.
- Department of Developmental Services. \$2.6 million will lapse in Personal Services due to vacancies.
- Department of Social Services. A total of \$455.9 million is projected to lapse. This is primarily the result of an estimated \$420.0 million lapse in the Medicaid account due to the extension of the public health emergency declaration by the federal government, which maintains the enhanced level of federal reimbursement through June 30, 2021, thus reducing the state share of program costs, as well as lower levels of service utilization. Reduced caseloads will result in lapses of \$13.4 million in Temporary Family Assistance, \$7.7 million in Aid to the Disabled, \$5.7 million in the Connecticut Home Care Program, \$3.7 million in Old Age Assistance and \$2.0 million in State Administered General Assistance. Reduced caseloads and service utilization, coupled with the extension of enhanced federal reimbursement through March 31, 2021, are expected to result in a \$3.0 million lapse in the HUSKY B account. Other minor lapses total \$438,100.
- Department of Aging and Disability Services. A total lapse of \$800,000 is projected across a variety of accounts.
- Department of Education. A net total of \$17.1 million is projected to lapse. The Education Cost Sharing grant is underfunded by \$1.55 million. This is offset by a projected lapse of \$4.5 million in the Charter School account due to the closure of two charter schools and budgeted funding exceeding

the number of approved charter school slots. A lapse of \$1.26 million is anticipated in the Bilingual Education account pursuant to section 10-17g of the General Statutes, as the budget included funds for several programs that are no longer in operation. In addition, lapses of \$1.7 million in the Open Choice Program account and \$11.0 million in the Magnet Schools account are projected based on current enrollment trends. Both accounts lapsed funding in FY 2020. Lastly, \$200,000 is projected to lapse in Personal Services due to vacancies.

- Office of Early Childhood. A total of \$6.7 million is projected to remain unspent. \$6.2 million will lapse in the Early Care and Education account due to natural turnover in enrollment that impacts funding requirements, as well as the availability of federal funding that will reduce state expenditures.
- Office of Higher Education. A total of \$220,000 will lapse, with \$120,000 in Personal Services and \$100,000 in Other Expenses.
- Teachers' Retirement Board. A net total of \$3.68 million is projected to lapse. The Retirement Contributions account is underfunded by \$1.8 million, reflective of the employer contribution adjustment required due to the revised valuation adopted after passage of the biennial budget. This is offset by a \$5.0 million lapse in the Retiree Health Service Cost account due to health premiums that are lower than assumed in the adopted budget, as well as a \$400,000 lapse in the Municipal Retiree Health Insurance Costs account due to a decrease in the number of retired teachers eligible for the municipal subsidy.
- Department of Children and Families. A net lapse of \$35.1 million is anticipated across a variety of accounts due primarily to current caseload trends and reduced overtime expenses.
- Judicial Department. An overall lapse of \$1.7 million is projected. Personal Services is projected to lapse \$3.9 million, offset by a \$1.1 million shortfall in Other Expenses and a \$1.1 million shortfall in Workers' Compensation Claims.
- Public Defender Services Commission. A total lapse of \$773,000 is projected across several accounts due to current cost trends.

Special Transportation Fund

The adopted budget anticipates a \$64.5 million balance from operations. We estimate that the Special Transportation Fund will end the year with a \$59.5 million operating deficit, and that the Transportation Fund balance on June 30, 2021, will be \$108.9 million.

Revenues

Projected revenues have been revised upward by \$1.1 million, reflective of the January 15th consensus revenue forecast. Similar to the improvement noted above in General Fund, Sales and Use Tax projections have been revised upward by \$14.4 million, and Sales taxes collected by the Department of Motor Vehicles have been revised upward by \$11.0 million. The impact of the COVID pandemic continues to impact commuting patterns in the state and the Motor Fuels Tax is being revised downward by \$10.9 million. All other changes net to a negative \$13.4 million.

As noted in prior forecasts, the overall reduction in revenues in the Special Transportation Fund compared to budgeted levels, coupled with the projected FY 2021 deficit, will require another significant drawdown from fund balance, accelerating the timeframe for a long-term financial and infrastructure investment solution. The FY 2020 Transportation Fund starting balance on July 1, 2019, was \$320.1 million and—as noted above—is estimated to close at \$108.9 million on June 30, 2021.

Expenditures

Our expenditure estimate is unchanged from last month's forecast. Expenditures are projected to be \$66.1 million better than budgeted due primarily to \$74.1 million in debt service savings attributable to reduced

interest costs and delayed timing of FY 2020 and anticipated future bond sales. In addition, \$3.0 million is forecast to remain unspent in the Department of Motor Vehicles' Personal Services account due to vacancies, and \$1.0 million will lapse in the DAS – Workers' Compensation Claims account. These lapses will more than satisfy the \$12.0 million general lapse anticipated in the enacted budget. There are no deficiencies forecast this month.

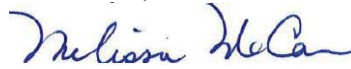
Other Appropriated Funds

While Sec. 4-66, CGS, does not require that we provide analyses of other appropriated funds, we offer the following information about the status of the Regional Market Operation Fund and the Tourism Fund.

- Regional Market Operation Fund. Pursuant to Section 10 of Public Act 18-154, the Hartford Regional Market was conveyed to the Capital Region Development Authority and CRDA has assumed operation of that market. As a result, appropriations totaling \$1.1 million will lapse.
- Tourism Fund. The fund's revenue source is the Hotel Occupancy Tax, which has underperformed as a result of the pandemic's impact on the hospitality industry. As a result, expenditures from the fund are estimated to exceed available revenues by approximately \$6.9 million. When added to the negative fund balance of \$6.9 million at the end of FY 2020, we anticipate the Tourism Fund will end FY 2021 with a \$13.8 million negative fund balance.

As the year progresses, the estimates offered by my office will continue to be revised to reflect the impact of changes in the economy, expenditure patterns, and/or other factors.

Sincerely,



Melissa McCaw
Secretary

Attachments:

- COVID Responses – Budget Impact
- Summary Statements, FY 2021 Revenue and Expenditures

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Funding Source							Notes	
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes
1. DSS60000	Suspend Medicaid eligibility discontinuances	\$ 6,800,000	\$ 173,700,000	\$ 180,500,000	Approved		\$ 1,900,000	\$ 53,400,000		\$ 125,200,000				State share @ enhanced FMAP (+6.2%). Approved through January to meet maintenance of effort requirements for receipt of enhanced FMAP \$4.5m of gross costs are 100% federally funded; balance is 100% state funded. Note: DSS is on hold, pending further federal guidance
2. DSS60000	Provide uninsured persons with coverage for COVID-19 - Citizens	\$ 12,600,000	\$ 6,300,000	\$ 18,900,000	Approved		\$ 9,600,000	\$ 4,800,000		\$ 4,500,000				
3. DSS60000	Provide uninsured persons with coverage for COVID-19 - Non-Citizens	\$ 5,900,000	\$ 3,000,000	\$ 8,900,000	Approved		\$ 2,700,000	\$ 1,400,000		\$ 4,800,000				
4. DSS60000	Waive HUSKY B copays	\$ 340,000	\$ -	\$ 340,000	Approved		\$ 80,000	\$ -		\$ 260,000				Assumes majority of costs will be reimbursable at 56.2%
5. DSS60000	Waive Medicare Part D (Rx) copays for dually eligible population	\$ 100,000	\$ -	\$ 100,000	Approved		\$ 100,000	\$ -						
6. DSS60000	Suspend cash assistance discontinuances (TFA, State Supplement, SAGA)	\$ 1,200,000	\$ -	\$ 1,200,000	Approved		\$ 1,200,000	\$ -						
7. DSS60000	Suspend 21 month time limit for TFA/IES	\$ 190,000	\$ 770,000	\$ 960,000	Approved		\$ 190,000	\$ 770,000						Approved for 3 months (Note: Suspension of time limit impacts both FY 21 and FY 22, with addl costs of \$570k in FY 22)
8. DSS60000	Provide additional flexibilities under home and community-based services waivers	\$ 870,000	\$ 430,000	\$ 1,300,000	Approved		\$ 360,000	\$ 250,000		\$ 690,000				
9. DSS60000	Provide temporary relief funding for nursing homes and a COVID-specific grant equivalent to \$600 per bed per day for facilities exclusively serving patients with COVID-19	\$ 22,800,000	\$ 88,530,801	\$ 111,330,801	Approved		\$ 22,800,000	\$ (11,100,000)	\$ 88,130,801					Advances will be recouped in FY 21
10. DSS60000	Provide hardship grants to nursing homes facing a substantial deterioration in their finances, which could adversely affect resident care and the continued operation of the facility	\$ -	\$ 1,000,000	\$ 1,000,000	Approved				\$ 1,000,000					
11. DSS60000	Provide interim payments to FOHCs to assist with cash flow	\$ 5,562,813	\$ (5,562,813)	\$ -	Approved		\$ 5,562,813	\$ (5,562,813)						Includes interim payment in April to be recouped in FY 21. Approved for 3 months.
12. DSS60000	Provide pandemic rate increase for residential care homes (RCHs)	\$ 980,000	\$ -	\$ 980,000	Approved		\$ 980,000	\$ -						Includes interim payment in April to be recouped in FY 21. Approved for 3 months.
13. DSS60000	Provide pandemic rate increase for private intermediate care facilities (ICF/IIDCs)	\$ 1,640,000	\$ -	\$ 1,640,000	Approved		\$ 1,020,000	\$ (270,000)		\$ 890,000				
14. DSS60000	Use DSS' non-emergency medical transportation vendor (Neyo) to assist with meals-on-wheels deliveries	TBD	TBD	\$ -	Approved		TBD	TBD						
15. DSS60000	Expand service array under Community First Choice to include agency-based PCAs	\$ 31,500	\$ 15,800	\$ 47,300	Approved		\$ 11,000	\$ 8,000		\$ 28,300				
16. DSS60000	Provide 90-day supply for most prescription drugs and more flexible pharmacy early refill	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						Fiscal impact expected to be minimal
17. DSS60000	Waive SNAP requirement for face-to-face interviews	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No state cost - federally funded program. Federal approval received
18. DSS60000	Waive SNAP requirements - extend certification periods by 90 days, suspend collection of most SNAP overpayments, issue supplemental benefits to all existing SNAP households	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No state cost - federally funded program. Federal approval pending
19. DSS60000	Waive TFA requirement for face-to-face interviews and assessments	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No additional cost anticipated
20. DSS60000	Expand telehealth under Medicaid authority	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No additional cost anticipated
21. DSS60000	Provide additional flexibilities to home care recipients of 1915(i) state plan services	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No additional cost anticipated
22. DSS60000	Provide interim payments to home health agencies to assist with cash flow	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						Payments will be recouped in FY 20
23. DSS60000	Expedite Medicaid payments to hospitals when possible	\$ -	\$ 6,200,000	\$ 6,200,000	Approved		\$ -	\$ -		\$ 4,200,000				Reflects temporary 20% DRG add-on for COVID-related diagnoses
24. DSS60000	Support acute care hospitals with COVID-related costs	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						
25. DSS60000	Provider relief funding for Connecticut Children's Medical Center	\$ -	\$ 16,300,000	\$ 16,300,000	Approved		\$ -	\$ 16,300,000						
26. DSS60000	Provider relief funding for chronic disease hospitals	\$ -	\$ 2,654,203	\$ 2,654,203	Approved		\$ -	\$ 110,900	\$ 2,401,103	\$ 142,200				
27. DSS60000	Provider relief funding for non-governmental licensed short-term general hospitals.	\$ -	\$ 40,000,000	\$ 40,000,000	Approved		\$ -	\$ 40,000,000						
28. DSS60000	Provide temporary per diem rate of \$1,500 for Hospital for Special Care's COVID recovery unit	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						This item was originally approved for \$380,000 but grant payments were not needed as COVID-related expenditures were covered elsewhere.
29. DSS60000	Provide relief funding for licensed behavioral health outpatient clinics	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						This item was originally approved for \$2,651,741 but grant payments were reduced to \$1,488,712 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
30. DSS60000	Provide relief funding for psychiatric residential treatment facilities (PRTFs) for children	\$ -	\$ 1,488,712	\$ 1,488,712	Approved		\$ -	\$ 1,488,712						This item was originally approved for \$420,789 but grant payments were not needed because any direct COVID-related expenditures were covered through other sources.
31. DSS60000	Provider relief funding for substance abuse residential detox providers	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						This item was originally approved for \$789,615 but grant payments were reduced to \$555,391 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
32. DSS60000	Provider relief funding for other clinicians providing behavioral health/substance use disorder treatment and autism services	\$ -	\$ 555,391	\$ 555,391	Approved		\$ -	\$ 555,391		\$ 555,391				This item was originally approved for \$3,474,195 but grant payments were reduced to \$498,463 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
33. DSS60000	Provider relief funding for methadone maintenance providers	\$ -	\$ 498,463	\$ 498,463	Approved		\$ -	\$ 498,463		\$ 498,463				This item was originally approved for \$1,494,205 but grant payments were reduced to \$908,392 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
34. DSS60000	Provider relief funding for methadone maintenance providers	\$ -	\$ 908,392	\$ 908,392	Approved		\$ -	\$ 908,392		\$ 908,392				

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Funding Source						Notes		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX		Federal - Other	Philanthropy
35.	DSS60000	Provider relief funding for home health providers	\$ -	\$ 1,679,471	\$ 1,679,471	Approved			\$ 1,679,471					This item was originally approved for \$4,999,212 but grant payments were reduced to \$1,679,471 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
36.	DSS60000	Provider relief funding for waiver service providers	\$ -	\$ 5,139,814	\$ 5,139,814	Approved			\$ 5,139,814					This item was originally approved for \$13,405,602 but grant payments were reduced to \$5,139,814 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
37.	DSS60000	Distribute PPE for self-directed workers under Community First Choice	\$ -	\$ 506,179	\$ 506,179	Approved			\$ 506,179					Reflects grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FFCLRA
38.	DSS60000	Provider relief funding for Community First Choice providers	\$ -	\$ 3,031,050	\$ 3,031,050	Approved			\$ 3,031,050					Active clinical monitoring through CHNCT
39.	DSS60000	Provider relief funding for Community First Choice providers	\$ -	\$ 10,650	\$ 10,650	Approved			\$ 10,650					Reflects grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FFCLRA
40.	DSS60000	Provider relief funding for self-directed workers under DDS programs	\$ -	\$ 1,334,000	\$ 1,334,000	Approved			\$ 1,334,000					No additional cost anticipated
41.	DPH48500	School-based Health Centers - no kids will be getting services while schools are closed, but staff need to be paid	\$ -	\$ -	\$ -	Approved			\$ -					No additional cost anticipated
42.	MHA53000	Allowable costs for expenses vs. returning the payments because services were not delivered	\$ -	\$ -	\$ -	Approved			\$ -					Philanthropy covered costs up to \$3M, though May. The costs of \$750,000 for June are funded 75% by FEMA and 25% by CRF funds. UPDATED - No CRF allocated, agency is using \$550,000 from their Care4Kids TANF account to cover costs.
43.	OEC64800	Daycare outside of hospitals	\$ 3,550,000	\$ -	\$ 3,550,000	Approved		\$ 550,000	\$ -				\$ 3,000,000	Assumes 3 months
44.	OEC64800	Suspend collection of family fees - Birth to Three program	\$ 375,000	\$ -	\$ 375,000	Approved		\$ 375,000	\$ -				\$ -	Cap at \$10M, for six weeks with limit at 8% SMI. Source: CDCRB, PL 116-136 Division B Title VIII. Take rate much lower than anticipated. Costs like to be \$3M
45.	OEC64800	Childcare for frontline workers	\$ 10,000,000	\$ -	\$ 10,000,000	Approved			\$ -		\$ 10,000,000			FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.
46.	CRD47200	Sanitizers, dispensers, face masks and carpet protection	\$ 19,822	\$ -	\$ 19,822	Approved			\$ 19,822					CRF5 funding (HEER) received directly by the agency. Does not include revenue bases.
47.	DSS60000	Domestic violence shelter decompression	\$ -	\$ 1,860,000	\$ 1,860,000	Approved			\$ 165,000	\$ 1,995,000				COVID-related costs for teleworking transition, equipment/supplies, and other related expenses. Does not include student refunds or revenue losses. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
48.	BOR77000	Student Refunds, Online Conversion Costs and Donated Equipment	\$ 27,291,079	\$ -	\$ 27,291,079	Approved			\$ -				\$ 27,291,079	HEER. Does not include projected FY21 revenue loss at UCom and UCom Health.
49.	UOC67000	Equipment, supplies, and other COVID-related expenditures (excl. student refunds)	\$ 847,830	\$ -	\$ 847,830	Approved			\$ 847,830					Testing provided by Jackson Labs
50.	UOC67000	Student refunds (housing, dining, parking)	\$ 10,750,423	\$ -	\$ 10,750,423	Approved			\$ -					FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.
51.	CMC49500	Testing of decedents remains for COVID	\$ 22,800	\$ 9,500	\$ 32,300	Approved			\$ 32,300					State and local COVID-19 response activities will be reimbursed from federal emergency supplemental funding. \$2.5m will be utilized to reimburse local health authorities.
52.	DOC88000	General purchase of Personal Protective Equipment and other supplies	\$ 250,000,000	\$ -	\$ 250,000,000	Approved			\$ 62,500,000	\$ 187,500,000				Supplements pre-existing grant under the Epidemiology and Laboratory Capacity Cooperative Agreement (\$4,075,639). To support DPH COVID-19 direct costs in areas of State Public Health Laboratory, Epidemiology, Healthcare Associated Infections
53.	MIL36000	State Active Duty to staff the Emergency Operations Center	\$ 171,000	\$ -	\$ 171,000	Approved			\$ 171,000					National Bioterrorism Hospital Preparedness Program, \$361,885 each for federally designated Special Pathogen Treatment Centers @ YMH and PH. Balance for DPH direct costs and other hospital needs.
54.	DPH48500	QDC Cooperative Agreement for Emergency Response: Public Health Crisis Response	\$ 9,309,998	\$ -	\$ 9,309,998	Approved			\$ -			\$ 9,309,998		To enhance infectious disease surveillance activities. \$2.3m will go to Yale School of PH, \$0.3m to DPH
55.	DPH48500	Epidemiology and Laboratory Capacity	\$ 9,669,691	\$ -	\$ 9,669,691	Approved			\$ -			\$ 9,669,691		Revised to \$43k - will de-allot 12/23/20
56.	DPH48500	Hospital preparedness	\$ 2,324,172	\$ -	\$ 2,324,172	Approved			\$ -			\$ 2,324,172		
57.	DPH48500	Emerging Infections Program	\$ 2,600,000	\$ -	\$ 2,600,000	Approved			\$ -			\$ 2,600,000		
58.	DA523000	Architectural support for hospital capacity expansion	\$ 45,000	\$ -	\$ 45,000	Approved			\$ 45,000					GF will pay the full payroll and fringe benefit costs for six pay periods through end of FY 20 (March 26th - June 18th payrolls), as well as indirect costs and OE, due to agreement with casinos to defer true-up of costs until the August 2020 assessment.
59.	DA523000	IT support for teleworking (VPN capacity, etc.)	\$ 320,000	\$ 730,000	\$ 1,050,000	Approved			\$ -					NOTE: The \$13K approved for DESPP to rent trailers (Item # 118) was not spent. Due to a continued need for interpreters, the \$13K approved for trailers was transferred to this item.
60.	DA523000	Cleaning and other facility costs	\$ 1,100,000	\$ -	\$ 1,100,000	Approved			\$ 1,100,000					
61.	SOS12500	Cost of publishing various Executive Orders	\$ 305,000	\$ -	\$ 305,000	Approved			\$ 305,000					
62.	DCP39500	Waive casino payment of regulatory costs during closure	\$ 584,126	\$ -	\$ 584,126	Approved		\$ 584,126	\$ -					
63.	DPS32000	Use of interpreters during the Governor's pandemic response briefings	\$ 38,000	\$ 13,000	\$ 51,000	Approved			\$ -				\$ 51,000	

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)					Funding Source					Notes	
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy
64. JUD95000	JUD - 1 - PPE, cleaning and disinfecting, and other response costs	\$ 640,240	\$ -	\$ 640,240	Approved				\$ 640,240				
	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing, with those with whom they share living arrangements												
65. DVA21000	DVA2 - 1000 - PPE, cleaning and disinfecting, and other response costs	\$ 235,000	\$ -	\$ 235,000	Approved				\$ 235,000				
	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing, with those with whom they share living arrangements												
66. DPS32000	DPS - 32000 - PPE, cleaning and disinfecting, and other response costs	\$ 25,000	\$ -	\$ 25,000	Approved				\$ 25,000				
	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing, with those with whom they share living arrangements												
67. D0550000	D055 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 1,700,000	\$ -	\$ 1,700,000	Approved				\$ 1,700,000				
	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing, with those with whom they share living arrangements												
68. MH453000	MH453 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 313,099	\$ -	\$ 313,099	Approved				\$ 313,099				
	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing, with those with whom they share living arrangements												
69. D0C88000	D0C88 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 6,000,000	\$ -	\$ 6,000,000	Approved				\$ 6,000,000				
	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing, with those with whom they share living arrangements												
70. DCF91000	DCF91 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 1,820	\$ 3,700	\$ 5,520	Approved				\$ 5,520				
	Provide technical support and resources to family day care providers												
71. OEC64800	OEC648 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 1,316,573	\$ -	\$ 1,316,573	Approved							\$ 1,316,573	Administered through EdAdvantage
	Suspend Birth-to-Three Age Out at 36 months and continue services through June						\$ 180,000						
72. OEC64800	OEC648 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 180,000	\$ -	\$ 180,000	Approved								
	Suspend Birth-to-Three Age Out at 36 months and continue services						\$ 80,000	\$ 45,000		\$ 145,000			
73. D5560000	D556 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 180,000	\$ 90,000	\$ 270,000	Approved								
	Ryan White HIV/AIDS Program Part B COVID-19 Response												
74. DPH48500	DPH485 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 203,981	\$ -	\$ 203,981	Approved						\$ 203,981		
	State agency purchase of laptops and other equipment to facilitate telework												
75. Var	Var - PPE, cleaning and disinfecting, and other response costs	\$ -	\$ -	\$ -	Approved in part								
	Cleaning and other facility costs												
76. CRD47200	CRD472 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 902,036	\$ -	\$ 902,036	Approved				\$ 902,036				
	Enhanced monitoring in nursing homes												
77. DPH48500	DPH485 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 2,800,000	\$ -	\$ 2,800,000	Approved						\$ 2,800,000		
	OT for existing nurse consultant staff, TMRs and potential contract with a nursing staffing agency, DPH pursuing CMS CARES grant to defray costs.												
78. OSC15000	OSC15 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 60,000,000	\$ 17,744,556	\$ 77,744,556	Approved				\$ 77,744,556				
	Placeholder of \$1.5m per month = 10,000 tests/day * \$50 * 30 days. Amount is in addition to \$182 million in other federal funds (4th supplemental bill) awarded to CT for testing. Updated to reflect \$255,444 is paid from OPMI for assisted living testing												
79. DPH48500	DPH485 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ -	\$ -	\$ -	Approved				\$ -				
	Contact Tracing Solution (IT)												
80. DAS3000	DAS3 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 2,350,000	\$ -	\$ 2,350,000	Approved				\$ 2,350,000				
	Consulting - Reopen CT strategy, analysis, recommendations, PMT												
81. CRD47200	CRD472 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 314,849	\$ -	\$ 314,849	Approved				\$ 314,849				
	Cleaning and sanitizing XL Center and PW Stadium												
82. CRD47200	CRD472 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 478,048	\$ -	\$ 478,048	Approved				\$ 478,048				
	Convention Center costs and CRDA Operations												
83. CRD47200	CRD472 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 92,718	\$ -	\$ 92,718	Approved				\$ 92,718				
	Dillon Stadium, XL touchless plumbing, CCC hospital surge												
84. DOH46900	DOH469 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 7,500,000	\$ 5,000,000	\$ 12,500,000	Approved				\$ 12,500,000				
	Homeless shelter decompression initiative												
85. HRO41100	HRO411 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 49,027	\$ -	\$ 49,027	Approved				\$ 49,027			\$ 1,250,000	
	Technological needs, PPE												
86. D0C88000	D0C88 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 3,118,005	\$ -	\$ 3,118,005	Approved				\$ 3,118,005				
	PPE for staff and inmates/Cleaning Supplies and Equipment/Food												
87. D0C88000	D0C88 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 1,334,305	\$ -	\$ 1,334,305	Approved				\$ 1,334,305				
	Temporary Staffing Due to Staff Shortages												
88. D0C88000	D0C88 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 2,104,560	\$ -	\$ 2,104,560	Approved				\$ 2,104,560				
	Overtime Incurred to Date (plus fringes)												
89. DAS23000	DAS23 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 238,000	\$ -	\$ 238,000	Approved				\$ 238,000				
	Rental Costs for Refrigerated Trailers to Serve as Morgues												
90. DVA21000	DVA21 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 1,107,277	\$ -	\$ 1,107,277	Approved				\$ 1,107,277				
	Equipment, supplies, and additional staff support												
91. MIL36000	MIL36 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 7,817	\$ -	\$ 7,817	Approved				\$ 7,817				
	Mobile Field Hospital Operations - State Active Duty												
92. MIL36000	MIL36 - 0000 - PPE, cleaning and disinfecting, and other response costs	\$ 141,000	\$ -	\$ 141,000	Approved				\$ 141,000				
	Task Force Medical - State Active Duty												

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Funding Source						Notes			
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CFE	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes
93.	MIL36000 Task Force Medical - Hotel/Lodging	\$ 2,000	\$ 2,000	Approved				\$ 2,000					Lodging for 20 personnel for 30 days - was approved at \$36,000, only needed \$2,000
94.	SOS12500 Funding for newspaper posting of additional executive orders	\$ 62,278	\$ 62,278	Approved				\$ 62,278					
95.	DCF91000 Per Diem Rate Based Residential Programs	\$ 1,997,682	\$ 258,808	Approved				\$ 2,256,490					
96.	DCF91000 Group Homes	\$ 534,126	\$ 69,198	Approved				\$ 603,324					
97.	DCF91000 Other Congregate Care	\$ 294,547	\$ 38,160	Approved				\$ 332,707					Financial support during school closure to ensure service network is maintained. Within current budget.
98.	DCF91000 School of Origin Transportation	\$ -	\$ -	Approved				\$ -					Financial support during school closure to ensure service network is maintained. Within current budget.
99.	DCF91000 After School Programs	\$ -	\$ -	Approved				\$ -					
100.	MHA53000 CVH Surge Capacity at 60 West	\$ 100,000	\$ 100,000	Approved				\$ 100,000					DMHAS (CVH) will be using 60 West as surge capacity to care for COVID pos patients until they are no longer positive and can go back to their units at CVH. Financial estimate assumes 20 patients for 30 days.
101.	DOH46900 Case management for Danbury shelter clients' hotels	\$ 150,000	\$ 150,000	Approved				\$ 150,000					Estimated costs for providers to deep clean group homes once an individual has tested positive for COVID-19. Revised 8/1/2020 - No additional funds required. Funds in the amount of \$1.2 million will be de-allocated.
102.	DDSS0000 Deep cleaning costs for private provider residential programs	\$ -	\$ -	Approved				\$ -					Cleaning costs for the state operated regional centers, Southbury Training School and group homes after an individual working in such location has been identified with COVID-19.
103.	DDSS0000 Deep cleaning costs for DDS facilities	\$ 312,540	\$ 409,550	Approved				\$ 722,090					OT costs and approximately 160 temporary DSW, LPN and RN positions to ensure continued coverage of public facilities. Original estimate of \$3,000,379 has been reduced to \$975,379.
104.	DDSS0000 Overtime and temp hiring to ensure staff coverage	\$ 407,097	\$ 568,282	Approved				\$ 975,379					Virtual Desktops (Firewall Security), Call Center PC's, Printers, Equipment, and Call Management Software. (Net adjustment over first request - includes 3rd submitted request.)
105.	JUD95000 JUD - 3 - PPE, cleaning and disinfecting, technology, and other response costs	\$ 200,609	\$ 200,609	Approved				\$ 200,609					Responsibilities including implementing telework agency-wide, procuring and distributing employee PPE, additional coordination with business partners including transit, rail, contractors, etc., to continue maintaining essential business functions; and deep cleaning costs. Includes funding for Over-the-cap Overtime Request. Reduced by 23,935 on 12/4/2020. Sanitizing of 72 maintenance facilities & central office. Reduced by \$82,051 on 12/4/2020.
106.	DOT57000 DOT - 1 - Staff Overtime	\$ 115,734	\$ -	Approved				\$ 115,734					
107.	DOT57000 DOT - 1 - Materials & Supplies for Sanitizing Agency Facilities	\$ 226,698	\$ -	Approved				\$ 226,698					Recommending funding for headsets to utilize more teleconferencing when staff returns to the office. Not recommending (and not including here) funding for webcams. Reduced by \$2,896 on 12/4/2020.
108.	DOT57000 DOT - 1 - Back-To-Work Office Equipment/Maintainer Training	\$ 1,406	\$ -	Approved				\$ 1,406					24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
109.	MHA53000 MH Residential (Includes Intensive, Transitional, Group Homes, ABI Community Residence, Respite, IP IMD, Supervised Housing, Shelters)	\$ 3,752,678	\$ -	Approved				\$ 3,752,678					24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE, and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
110.	MHA53000 Substance Abuse Medically Monitored Residential Detox	\$ 122,009	\$ -	Approved				\$ 122,009					24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
111.	MHA53000 Substance Abuse Residential Treatment (Intensive, Intermediate, Long Term, Recovery Housing)	\$ 2,084,167	\$ -	Approved				\$ 2,084,167					24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
112.	MHA53000 Young Adult Services	\$ 1,649,551	\$ -	Approved				\$ 1,649,551					Providers have incurred costs including hazardous duty, purchase of telehealth/telework equipment and licenses, PPE, and cleaning services. Additionally providers continue to pay staff not able to work due to quarantine/illness while paying overtime or temporary workers.
113.	MHA53000 Request for funding for COVID-19 related expenses support teleworking	\$ 3,775,730	\$ -	Approved				\$ 3,775,730					50 laptops, minor telecommuting IT related expenses and cleaning supplies.
114.	OSCI5000	\$ 70,653	\$ -	Approved				\$ 70,653					

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Funding Source					Notes			
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	
115. DMV35000	Funding for installation of an appointment system to control the traffic flow of customers and to maintain social distancing	\$ 150,000	\$ -	\$ 150,000	Approved				\$ 150,000					This will help prevent the spread of serious illnesses and will assist in maintaining the health of customer facing employees. Update 12/07/2020 - reduced by \$49,903 to reflect actual costs. Funding transferred to other DMV approved items.
116. DMV35000	Funds to install sneeze guards throughout the branches and testing centers	\$ 75,097	\$ -	\$ 75,097	Approved				\$ 75,097					Due to the virus, the Agency is now completing nightly deep cleaning along with a weekly disinfecting spray of branches that are being utilized by staff and/or public. SFY 21 estimate is through 12/30/2020. Update 12/07/2020 - increased by \$405,000 to reflect actual costs. Funding transferred from other DMV approved items that were reduced.
117. DMV35000	Funding for the cleaning and disinfecting of branches	\$ 447,000	\$ 1,523,098	\$ 1,970,098	Approved				\$ 1,970,098					Original approved amount was \$700K but it has been reduced by \$55K so the funding could be transferred to approved item #130.
118. D0193500	Implement remote call center for Consumer Affairs + Deep Cleaning Costs	\$ 16,694	\$ -	\$ 16,694	Approved				\$ 16,694					This will help prevent the spread of serious illnesses in DESPP's HQ and will assist in maintaining the health of customer facing employees. Additional trailers may be requested for some of the other units once the Agency opens to the public. NOTE: The \$13K approved for trailers is unneeded due to a management decision to not utilize trailers.
119. DPS32000	Funds for deep cleaning supplies (sanitizers, disinfectant, etc) and PPE (gloves, N-95s, masks, Infrared thermometers, face shields, decontamination systems).	\$ 645,000	\$ -	\$ 645,000	Approved				\$ 645,000					Agency believes this may be FEMA reimbursable Source: Child Care Development Block Grant
120. DPS32000	Funds to rent a modular trailer for six months that will be located in the north lot for those customers arriving at HQ to be fingerprinted.	\$ -	\$ -	\$ -	Approved				\$ -					Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
121. DOH46800	Provide Hoel Oversight through Seasonal Shelter	\$ 64,874	\$ -	\$ 64,874	Approved				\$ 64,874					
122. OEC64800	Child Care Provider Incentive Payments	\$ 4,000,000	\$ -	\$ 4,000,000	Approved				\$ 4,000,000					
123. UOC67000	Equipment, supplies, and other COVID-related expenditures (excl. student refunds) - Newly reported as of 5/22	\$ 885,512	\$ -	\$ 885,512	Approved				\$ 885,512					
124. DCF91000	Office Cleaning	\$ 8,750	\$ 54,581	\$ 63,331	Approved				\$ 63,331					
125. DCF91000	HIPAA Compliant Document Bags for Telework	\$ 2,941	\$ 18,347	\$ 21,288	Approved				\$ 21,288					
126. DCF91000	PPE /Cleaning Supplies /Infection Control /Printed Materials /Acids & Uniforms	\$ 102,940	\$ 642,129	\$ 745,069	Approved				\$ 745,069					
127. DCF91000	Temporary Nursing to Screen Workers Entering State Buildings	\$ 32,353	\$ 201,812	\$ 234,165	Approved				\$ 234,165					
128. DCF91000	IT Devices and Software to Implement Telework / Consultant Staff Reemployed from CT KIND Development to Mobility Deployment	\$ 73,529	\$ 458,664	\$ 532,192	Approved				\$ 532,192					
129. DOH46800	Coordinated Access Network - Statewide Shelter Support	\$ 2,000,000	\$ -	\$ 2,000,000	Approved				\$ 2,000,000					This request includes approximately \$800,000 of hazard pay for CAN/Shelter staff. NOTE: Only \$2M has been allotted so far. IT redirected 100 laptops that were part of the agency's re-fresh program (to employees with desktops). Original approved amount was \$130K but it has been increased by \$55K to purchase other computer peripherals. This additional funding was transferred from PPE/Cleaning (Approved item #119).
130. DPS32000	Funds for 100 laptops to allow staff to telework.	\$ 185,000	\$ -	\$ 185,000	Approved				\$ 185,000					Child Care Development Block Grant
131. OEC64800	Provide targeted subsidies to private child care providers to ensure financial viability to support the state's efforts to re-open.	\$ 8,000,000	\$ -	\$ 8,000,000	Approved				\$ 8,000,000					Payments will be made to landlords. Revised 11/30 - de-allocated \$10 M in CRF funds to account for \$10M in HUD CDGB funds. \$72,957 was approved on 12/1 for Overtime for TRAP Program Staff out of existing Allocation. CRF portion revised down to \$23,272,000 on 12/3/20 - estimate based on number of contracts to get executed by 12/30 deadline - OPM therefore de-allocated \$6,728,000. Updated 12/9 - additional \$2.5 million allocated from DOH CDGB funds to support the program. REVISED 12/30. Reapproved \$2.5 million in CRF from Embassy Hotel back to TRAP. Includes provision of laptops and other technical resources to support remote work, server enhancements, and other administrative costs. Estimate includes previously requested administrative support for Pandemic EBI at revised amount.
132. DOH46800	Temporary Housing Assistance including Rent Relief and Associated Overtime for Staff	\$ 35,772,000	\$ -	\$ 35,772,000	Approved				\$ 35,772,000					To pay higher medically complicated foster rate when foster parent/child tests COVID positive.
133. D555000	Administrative and technical support for pandemic response and remote working	\$ 54,734	\$ 9,863,282	\$ 9,918,016	Approved				\$ 9,918,016					Supports for approximately 160 individuals with intellectual disability who are eligible for the program due to a temporary loss in wages and require assistance with paying rent in May and June. Revised 8/1/2020 - No additional funds required. Funds in the amount of \$386,126 will be de-allocated.
134. DCF91000	Special Stipend for Foster Parents/Children who test COVID positive	\$ 29,590	\$ -	\$ 29,590	Approved				\$ 29,590					Additional cost to the agency to support overtime and equipment costs in order to enable staff to telework.
135. DDS5000	Additional Rent Subsidy supports for individuals unable to work	\$ -	\$ -	\$ -	Approved				\$ -					Additional cost to the agency for cleaning of offices and installation of plex-glass in reception areas.
136. SDR63500	IT technology and support to implement telework	\$ 61,843	\$ -	\$ 61,843	Approved				\$ 61,843					\$55,000 from CRF - \$165,000 FEMA
137. SDR63500	Cleaning and disinfecting costs of offices	\$ 33,490	\$ -	\$ 33,490	Approved				\$ 33,490					
138. DOH46800	Flexible Response Measures - Non-Congregate Housing	\$ 220,000	\$ -	\$ 220,000	Approved				\$ 220,000					
139. OTT14000	Laptops (\$25,588), gloves and cleaning supplies (\$128).	\$ 25,716	\$ -	\$ 25,716	Approved				\$ 25,716					

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source					Notes			
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021		Gross Total	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF		Federal - FEMA	Federal - T-XIX	Federal - Other
140. DRS16000	COVID related expenses - IT Equipment \$355,066 (Laptops, WiFi doggles and Headsets), Zoom conferencing \$531 and PPE and cleaning supplies \$3,876.	\$ 359,473		\$ 359,473				\$ 359,473					Total actuals and projected COVID-related costs at CSCU institutions projected through 12/30/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
141. BOR7700	Instruction, Student Support, and Technology exceeding available HEER funding at CSUs and COSC.	\$ 432,454	\$ 10,508,693	\$ 10,941,147				\$ 10,941,147					Virtual Desktops (Firewall Security), Call Center PC's, Printers, Equipment and Call Management Software. (Net adjustment over first request - includes 3rd submitted request.)
142. JUD95000	JUD - 2 - PPE, cleaning and disinfecting, technology, and other response costs	\$ 183,142		\$ 183,142				\$ 183,142					Smartphones for scheduling due to closed courthouses. Attorneys (for a 6-month period, 6 FT, fringes included here) to assist with backlog once courthouses are reopened back to full operations. PDS believes it should only impact 2021. Balance of PDS request will be covered by CSF. (Salaries: \$242,349 / O/E: \$21,000 / Fringes: \$93,880) 12/3/20 UPDATE: Original amount of \$457,229 reduced by \$275,000 -- the amount PDS anticipates not utilizing.
143. PDS98500	PDS - 1 - Communications & Temporary Full-Time Attorneys for Case Backlogs	\$ -	\$ 182,229	\$ 182,229				\$ 182,229					50 Lenovo ThinkPad T490 laptops to allow additional employees to work from home
144. DMV35000	Teleworking equipment	\$ 73,750		\$ 73,750				\$ 73,750					Deconstruct the Mobile Field Hospitals and return them to storage at Camp Hartell. Was approved at \$280,000 - reduced to \$67,000.
145. MIL36000	Retrograde Operations - Mobile Field Hospitals State Active Duty	\$ 67,000		\$ 67,000				\$ 67,000					State government must regularly, and clearly communicate with residents the ongoing changes to public health, business, and education guidance throughout this pandemic. The state must have funds set aside for targeted marketing, information, and education campaigns across media platforms to distribute accurate information for all residents. Some of this marketing must be statewide in nature, while others must be targeted for more diverse communities. The information that must be distributed will include but not be limited to: reopening criteria and phases, education guidance, health guidance, business guidance, testing information and locations, and messages from state officials. Will include TV and messaging. Outreach - COVID Municipal toolkits, posters, signage. Materials to every testing location to distribute to every COVID positive and how to conduct contact tracing, and Contact tracing design.
146. GOV12000	Crisis communications and Recipient CT public awareness	\$ 5,000,000		\$ 5,000,000				\$ 5,000,000					80,000 masks can be sterilized per day.
147. DAS23000	424 Chapel Street N95 Mask Decontamination Sterilization Unit	\$ 209,460		\$ 209,460				\$ 209,460					Covers increased support costs and includes increased use of self directed programs for individuals receiving residential in-home supports in order to continue to meet day goals, meet basic needs and/or maintain structure. Estimates include extra staff and overtime costs. Revised 8/17/2020 - No additional funds required and \$2.8 million was de-allocated.
148. DDS50000	Additional supports for individuals receiving only in-home and/or day supports - Residential account	\$ -		\$ -				\$ -					Covers increased support costs and includes increased use of self directed programs for individuals receiving day supports at home in order to continue to meet day goals, meet basic needs and/or maintain structure. Estimates include extra staff and overtime costs. Revised 8/17/2020 - No additional funds required and \$6.2 million was de-allocated.
149. DDS50000	Additional supports for individuals receiving only in-home and/or day supports - Day account	\$ -		\$ -				\$ -					All residential providers are being paid 120% of authorizations to cover the cost of increased staffing and overtime in residential programs if day programs are closed for April - June. This increase also covers additional COVID-19 related expenses, including PPE and cleaning expenses. Rev 10/20/2020 to include FY 21 estimate of \$12.6 million for July payments.
150. DDS50000	Residential Provider Supplemental Payments	\$ 35,262,972	\$ 12,599,201	\$ 47,862,173				\$ 47,862,173					Day providers are being paid based upon 100% of authorizations to support drastically lowered attendance due to social distancing requirements. Payments will ensure staff are still in place when day programs reopen. Costs will be covered with budgeted funds.
151. DDS50000	Day Provider Supplemental Payments	\$ 7,428,935		\$ 7,428,935				\$ 7,428,935					FEMA will award funding to support planning and operational readiness for COVID-19 preparedness and response. The School Security Grant Program (bond funds) will be used to provide the required state match for the federal funding.
152. DPS32000	Emergency Management Performance Grant (EMPG-S) Program - Supplemental	\$ -	\$ 2,789,396	\$ 2,789,396				\$ 2,789,396	\$ 1,394,698				CRF Funding of \$2.5 million was repurposed on 12/30 to support TPAP 1 close out. Hotel grant will be fully supported by CDBG funds.
153. DOH46900	To provide a grant Pacific House Inc. to purchase a hotel in Danbury that they will own and operate as a shelter. The shelter will meet a need in the community brought about by the pandemic's impact on homeless shelters throughout the region. Various items, plexiglass, cleaning supplies, laptops, printing and staff costs, cctv monitoring at A.LCs	\$ 2,701,802		\$ 2,701,802				\$ 2,701,802					To reimburse institutions for refunds issued to students due to campus closures, and for public safety salary & fringe benefit expenses. Distribution by OHE needs to be set up before allotting funds.
154. DOL40000	Student Fee Refunds & Public Safety Costs	\$ -		\$ -				\$ -					
155. BOR77000	State-mandated COVID testing on independent college & university campuses	\$ 20,000,000		\$ 20,000,000				\$ 20,000,000					
156. DHE66500		\$ -	\$ 4,300,000	\$ 4,300,000				\$ 4,300,000					

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Funding Source							Notes			
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CFE	Federal - FEMA		Federal - T-XIX	Federal - Other	Philanthropy
157.	UHC72000 Capital Purchases, Telework, Lab & Medical Supplies, PPE, Facilities, Overtime for Medical Staff	\$ 3,046,650	\$ -	\$ 3,046,650	Approved				\$ 3,046,650					COVID-related costs for teleworking transition, equipment/supplies, medical supplies, drugs, lab services, and other related expenses. Does not include student refunds or revenue losses. Current distribution assumes 75% reimbursement from FEMA and 25% match from CFE.
158.	DPH48500 Specimen collection for testing nursing home residents and staff	\$ 23,748,850	\$ 72,818,603	\$ 96,567,453	Approved				\$ 96,567,453					To fund Care Partners for collection of specimens and laboratory testing of nursing home residents and staff for COVID-19 through December 2020.
159.	MIL36000 Overtime and Other Expenses: Costs Related to Cleaning Facilities Consultant to review NH and LTC components of CT's response to the pandemic	\$ 25,000	\$ -	\$ 25,000	Approved				\$ 25,000					Funding to cover costs of overtime and cleaning supplies for Military facilities.
160.	DPH48500		\$ 449,411	\$ 449,411	Approved				\$ 449,411					NEW: Vendor selected on 6/29/20.
161.	DOL40000 UI Call Center - 6 months	\$ 3,939,242	\$ -	\$ 3,939,242	Approved				\$ 3,939,242					
162.	MHAS3000 Equipment for to Facilitate Telework and Telehealth for State-Operated Facilities	\$ 713,535	\$ -	\$ 713,535	Approved				\$ 713,535					Equipment includes Laptops, Software, Servers, Telemed carts, Video Conferencing systems/equipment, cleaning machinery.
163.	MHAS3000 IT Supplies, Software, Telecommunications for State-Operated Facilities	\$ 339,765	\$ -	\$ 339,765	Approved				\$ 339,765					OE expenditures include software, iPhones, air cards, conference lines for telework. Also phones for 24/7 sites for clients to communicate with family, friends, etc. due to visitor restrictions.
164.	MHAS3000 Emergency Hiring for State-Operated Facilities		\$ -	\$ -	Approved				\$ -					PS-costs for temporary hires including nurses, custodians, MHAs, assistant cooks.
165.	MHAS3000 Temporary Services for State-Operated Facilities	\$ 2,746,706	\$ -	\$ 2,746,706	Approved				\$ 2,746,706					OE costs for contracted staff including housekeeping and workers to screen staff reporting to work.
166.	MHAS3000 PPE, Medical, Cleaning, Personal Hygiene Supplies for State-Operated Facilities	\$ 1,348,454	\$ -	\$ 1,348,454	Approved				\$ 1,348,454					OE costs.
167.	MHAS3000 Other Supplies for State-operated Facilities	\$ 239,670	\$ -	\$ 239,670	Approved				\$ 239,670					OE costs for office supplies like secure medical records bags to protect PHI, kitchen/dining/food supplies for individual meal servings and cleaning supplies for the pandemic.
168.	DOL40000 COVID Summer Youth Employment Initiative	\$ 1,523,690	\$ -	\$ 1,523,690	Approved				\$ 1,523,690					Funds will be provided through the Department of Labor to the five workforce development boards (WDBs) to support COVID related summer youth employment initiatives. Specifically, the WDBs will seek to provide funding to support health related youth employment through partnerships with FOPHS, AHECS and other community providers. Original Allocation was \$2M - reduced by \$476,310 on 12/9/20
169.	SDPE4000 CTECS - PPE, equipment, cleaning, public safety overtime	\$ 2,677,646	\$ -	\$ 2,677,646	Approved				\$ 2,677,646					FY20 expenditures at CTECS for purchases including PPE, technology, cleaning supplies, public safety overtime, and other direct response costs.
170.	OPM20000 Purchase 75 laptops, docking stations, and headsets, to support telework by agency employees	\$ 83,350	\$ 12,500	\$ 95,850	Approved				\$ 95,850					To purchase 75 laptops, docking stations and knapsacks, and headsets, to provide equipment for telework
171.	DOL40000 Overtime for Call Center through Labor Day	\$ -	\$ 1,796,622	\$ 1,796,622	Approved				\$ 1,796,622					Significant funding for laboratory operations, epidemiologic surveillance and reporting, contact tracing, and testing, among other activities. State application submitted to CDC on 6/18/20
172.	DPH48500 CDC Enhancing Detection grant	\$ 182,633,998	\$ -	\$ 182,633,998	Approved				\$ 182,633,998					Supplemental immunization funding, primary purpose is to enhance influenza vaccination coverage as a critical part of COVID-19 response work
173.	DPH48500 Immunization Program	\$ 1,696,075	\$ -	\$ 1,696,075	Approved				\$ 1,696,075					Stabilize funding for school readiness programs in priority school districts
174.	OEC64800 Priority School Readiness	\$ 5,559,359	\$ -	\$ 5,559,359	Approved				\$ 5,559,359					Technology to support a Judicial Call Center by enhancing social distancing. One-time purchase for controllable equipment and phones to expand the call center program. There are no additional operational costs currently or in the future because the program will be administered with existing personnel in an existing facility. Covered in review for CEF funds.
175.	JUD95000 Call Center Technology for Social Distancing	\$ 219,570	\$ -	\$ 219,570	Approved				\$ 219,570					Decision made to have CFE cover these costs.

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Funding Source						Notes				
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes
176. DMV35000	DOT - 2 - Road Equipment - Electronic Signs and Monitors	\$ -	\$ -	\$ -	Approved				\$ -					10% of request funded through CRF with balance to be funded through PAYCO. Request covers cost of 40 variable messaging signs to place throughout the state to assist in coordination and logistics of resources and messaging for the public. DOT currently has 14 signs. DOT Highway Operations determines where the signs should go. DOT has been asked to explain why the signs can't be rented, as they have rented other signs. Also, getting the signs this late into the pandemic for CT - would it make that much of a difference? - was \$737,776. Reduced by 73,778 (to \$0) on 12/4/2020.
177. DMV35000	Purchase of two way radios for branch locations	\$ 22,000	\$ -	\$ 22,000	Approved				\$ 22,000					The radios will enhance communication for added security to control foot traffic to maintain social distancing and appointment only scheduling, as well as maintain communication with healthcare providers and essential staff. This purchase will enhance communication and provide additional safety and security measures at all DMV locations.
178. DMV35000	Funding to reconfigure work space to allow for employees to report back to the office	\$ 150,000	\$ -	\$ 150,000	Approved				\$ 150,000					The contact center is experiencing a unprecedented amount of calls. Due to the need for social distancing, the current contact center location is only working at 35% staff capacity. In order to bring staffing levels to 100%, the DMV needs to reconfigure current office space. In addition, enhancements are also being made in the administrative areas to allow staff who do not have the ability to work from home to come back to a healthy and safe environment.
179. DMV35000	Funding for Temperature Screening	\$ 36,000	\$ 600,000	\$ 636,000	Approved				\$ 636,000					Due to the COVID19 crises, the Department of Motor Vehicle has started temperature screening both employees and customers that enter our branch locations. The DMV is requesting funding for the healthcare providers needed to perform these screening.
180. DMV35000	Funds for a digital enablement project to support increased online access to the public and allow additional tools to drive simple transactions online and out of DMV branches.	\$ -	\$ 2,673,124	\$ 2,673,124	Approved				\$ 2,673,124					Update 12/03/2020 - reduced by \$500K as the DMV is unlikely to spend the whole amount, funds de-allotted. Update 12/07/2020 - reduced by \$426,876 to reflect actual costs. Funding transferred to other DMV approved items.
181. DMV35000	Funds for touchless soap and hand sanitizer dispensers, including floor stands, along with the initial distribution of soap and hand sanitizer to fill our new touchless dispensers.	\$ -	\$ 33,590	\$ 33,590	Approved				\$ 33,590					The projects listed below will help drive the public to simpler and easier online transaction options, thereby slowing the foot traffic that will be required to come into DMV public buildings. Projects: Integrated Online Services, Out-of-State Dealer Online, Enable Work from Home for DMV Employees, Scanning Solution.
182. DOC8000	Expand Telemedicine and Telemental Health Program	\$ 470,000	\$ -	\$ 470,000	Approved				\$ 470,000					These dispensers will be located throughout our branch and office locations. Update 12/07/2020 - reduced by \$722 to reflect actual costs. Funding transferred to other DMV approved items.
183. DOL40000	Call center enhancements and support	\$ -	\$ 824,778	\$ 824,778	Approved				\$ 824,778					Funds will be used to procure additional laptops and tablets for staff, webcams, Mobile Medical Devices, and telemedicine carts.
184. DSS60000	Emergency feeding program	\$ 689,190	\$ -	\$ 689,190	Approved				\$ 1,492,164	\$ 3,015,490				Reduced by \$462,372 on 12/31/20 based on revised est. as to expenses thru 12/30 from DOL.
185. JUD95000	JUD - 1 - PPE, cleaning and disinfecting, and other response costs	\$ -	\$ -	\$ -	Approved				\$ -					
186. DSS60000	Provide funding to support testing of high-risk populations	\$ -	\$ 66,615,520	\$ 66,615,520	Approved				\$ 66,615,520					Contingency in the event that a presidential extension of federal coverage of National Guard costs is not approved.
187. MIL36000	State active duty post-9/11 support PPE distribution and warehousing, testing and other COVID activities	\$ -	\$ 10,533,170	\$ 10,533,170	Approved				\$ 2,633,293	\$ 7,899,877				Funds to be used in conjunction with Governor's Education Emergency Relief fund to provide devices where either districts or families are unable to do so.
188. SDE64000	Devices for remote learning	\$ -	\$ 15,000,000	\$ 15,000,000	Approved				\$ 15,000,000					Additional funding through 12/31/20 to support critical areas of the UI efforts - including UI initial claims (35 FTE) appeals (30 FTE) and tax (25 FTE), all of which are impacted by the increased claim load. Reduced by \$257,060 to reflect updated expenses through 12/30 from DOL. Reduced by \$133,819 on 12/9 based on revised estimates from DOL.
189. DOL40000	Additional Staff to Support UI claimload, integrity, Call center OT and system enhancements.	\$ -	\$ 11,599,257	\$ 11,599,257	Approved				\$ 11,599,257					Costs related to printing EO 766 - 77T in various papers as required by statute.
190. DMS16000	COVID related expenses - IT equipment \$142,104 (1001 laptops, WiFi dongles and headsets), Zoom conferencing \$408 and PPE and cleaning supplies \$10,911.	\$ -	\$ 153,423	\$ 153,423	Approved				\$ 153,423					Funding of \$1,825,622 was initially allotted. Allotment was increased in Nov by \$824,207 to support vendor support of additional 30 staff and OT for overpayment of UI benefits.
191. SOS12000	Cost of publishing various Executive Orders	\$ 124,216	\$ -	\$ 124,216	Approved				\$ 124,216					To allow staff to telework - Remote desktop services; Laptop & accessories; and monitors, webcams, and webbox subscriptions to allow court to conduct hearings.
192. DOL40000	Support for a vendor solution to address the overpaid unemployment insurance claims during the pandemic	\$ -	\$ 2,659,839	\$ 2,659,839	Approved				\$ 2,659,839					• Project # 2: Mobile Data Terminal (MDT) Internet Project and Project # 3: Law Enforcement Encrypted Mobile Application total \$350,000
193. PCA98000	PCA - 1 - Remote Desktop Services; Laptop and Accessories	\$ 55,574	\$ -	\$ 55,574	Approved				\$ 55,574					Content effort may have some portion allocated to SERC with guidance from SDE. Allocation Reduced on 12/11 to \$300,000 from \$2 million.
194. DPS32000	Funds for DESPP/CSP to be moved away from face-to-face contact and replace inefficient electronic communications. See project list in Notes.	\$ -	\$ 350,000	\$ 350,000	Approved				\$ 350,000					
195. SDE64000	Content and Social Emotional Learning	\$ -	\$ 300,000	\$ 300,000	Approved				\$ 300,000					

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Funding Source						Notes				
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes
196.	DOL40000 Shared Work Surge Support and Automation	\$	1,726,720	\$ 1,726,720	Approved				\$ 1,726,720					To support expenditures up to approved amount for 3 month SOW with Accrual to provide surge support in Shared Work program and implement automation of same program. Support for post 12/30 from SBR.
197.	DAS23000 Durational staff to perform project management, analysis and support through December	\$	321,750	\$ 321,750	Approved				\$ 321,750					BCG contract expiration requires ongoing staff support for COVID response. Estimate based on 6 DPMAs @ \$5,500/month/each plus fringes.
198.	OPM20000 Municipalities - non-education assistance for COVID-related costs	\$	75,000,000	\$ 75,000,000	Approved				\$ 75,000,000					The public demand for DMV services is high and with the new appointment only system, the DMV is anticipating the need for control of both foot and vehicle traffic to keep both the citizens and staff safe.
199.	SDE64000 additional contingency for devices for remote learning	\$	7,000,000	\$ 7,000,000	Approved				\$ 7,000,000					Update 12/07/2020 - increased by \$75,000 to reflect actual costs. Funding transferred from other DMV approved items that were reduced.
200.	DMV35000 Funds to cover additional unarmed guards needed through 12/31/20.	\$	1,297,863	\$ 1,297,863	Approved				\$ 1,297,863					Update 12/07/2020 - reduced by \$5,667 to reflect actual costs. Funding transferred to other DMV approved items.
201.	DMV35000 Requesting an additional \$20,744.80 to the approved Appointment Project (Item #115) to purchase the licenses and setup to enable SMS integration (Text Messaging) for appointments and cancellations.	\$	15,078	\$ 15,078	Approved				\$ 15,078					DOC was asked to change labs for COVID testing as the existing lab, Quest, was facing national delays in processing samples. DOC is moving to SEMA4 and Jackson labs to process their testing samples, but there will be a cost of \$39,000 each to integrate the lab with DOC's EMR system.
202.	DOC88000 Integration to DOC's EMR System for SEMA4 and Jackson Labs Testing	\$	78,000	\$ 78,000	Approved				\$ 78,000					DESP is requesting \$1.5M in CRF for overtime plus use of \$700K of the \$1.4M in funds allotted for Housing (approved item #64) be redirected to this Personal Services overtime request, totaling \$2.2M for projected overtime expenses in SFY 21. CSP continues Warehouse detail and DEMHS continues Emergency Management for COVID. Additionally, DESPP has a backlog in SPJ and Fingerprinting that will require additional hours to process; all are related to reduced/shutdown of services during the height of the pandemic. We have been told to expect an increase from OEC, DPH, school security guards, as well as bus drivers in the coming weeks. We also anticipate a need in the late Fall for CSP services at the testing centers and vaccine centers. In the first 3 PP (through 7/16/20) DESPP has expended nearly \$190K in overtime coded to TASK Code P6881 (Covid 19 Response).
203.	OPM20000 Funds for staff overtime.	\$	2,200,000	\$ 2,200,000	Approved				\$ 2,200,000					Funding through the CT Association of Councils of Governments for assistance from the Global Resilience Institute (GRI).
204.	OPM20000 Recovery planning through COGs	\$	1,250,000	\$ 1,250,000	Approved				\$ 1,250,000					Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
205.	UHC72000 Capital Purchases, Telework, Lab & Medical Supplies, PPE, Facilities, Overtime for Medical Staff	\$	3,188,263	\$ 1,559,984	Approved				\$ 4,748,247					Fog microbial disinfectant, steam, and HVAC duct cleaning at 2 facilities operated by the State Library.
206.	CSL66000 Facility cleaning - CT Records Center and Library for the Blind and Physically Handicapped	\$	11,728	\$ 11,728	Approved				\$ 11,728					To safely operate the State Library's statewide delivery service, 75 sheds were installed at libraries around the state that could not provide keys or access codes to facilitate contactless delivery of library materials.
207.	CSL66000 Installation of outdoor sheds for contactless delivery of library materials	\$	15,990	\$ 15,990	Approved				\$ 15,990					Funds will be utilized for vendor solution by 12/30 - 2 wk. implementation timeline.
208.	OPM20000 COVID testing at assisted living facilities	\$	2,255,444	\$ 2,255,444	Approved				\$ 2,255,444					Allocation reduced by \$2.9 million on 12/15 from \$41 to \$37.1 million
209.	DOL40000 Purchase Virtual Hold Technology for the DOI Contact Center which will allow callers to get called back as opposed to waiting on the line or to schedule an appointment.	\$	493,000	\$ 493,000	Approved				\$ 493,000					
210.	SDE64000 Academic Re-Opening Costs	\$	37,100,000	\$ 37,100,000	Approved				\$ 37,100,000					
211.	SDE64000 Student Supports Re-Opening Costs	\$	9,000,000	\$ 9,000,000	Approved				\$ 9,000,000					
212.	SDE64000 Cleaning/PPE Re-Opening Costs	\$	60,200,000	\$ 60,200,000	Approved				\$ 60,200,000					
213.	SDE64000 Transportation Re-Opening Costs	\$	20,600,000	\$ 20,600,000	Approved				\$ 20,600,000					
214.	ECM46000 reOpenCT - surveys to determine when to open CT	\$	60,000	\$ 60,000	Approved				\$ 60,000					Agency believes this may be FEMA reimbursable
215.	ECM46000 Over-time costs and other COVID19 related expenditures	\$	104,411	\$ 104,411	Approved				\$ 104,411					Revised 12/3/20 (reduced by \$500)
216.	ECM46000 DECD Phase 2 graphics and translation	\$	47,535	\$ 47,535	Approved				\$ 47,535					PPE, signage and other supplies needed for libraries to open up indoor and outdoor spaces for people to safely use expanded public WiFi. Does not include staffing costs.
217.	CSL66000 Safety measures for public WiFi in libraries	\$	2,600,000	\$ 2,600,000	Approved				\$ 2,600,000					\$1.7M for the Connecticut Education Network to install wifi infrastructure and \$300,000 to DAS for wifi marketing contract. NOTE - Revised to \$1M total, \$700K for wifi, \$300K for marketing. \$1M will come from GEER funds 12/3/20
218.	DAS23000 GEN Wi-fi infrastructure and wi-fi marketing	\$	1,000,000	\$ 1,000,000	Approved				\$ 1,000,000					Welcome center COVID19 supplies, OT for arts grants - Revised 1/11
219.	ECM46000 Welcome centers, OT for arts grants	\$	29,382	\$ 29,382	Approved				\$ 29,382					

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source					Notes		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021		Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA		Federal - T-XIX	Federal - Other
220.	UHC72000 Capital Purchases, Telework, Lab & Medical Supplies, PPE, Facilities, Overtime for Medical Staff	\$ -	\$ 3,939,795	Approved			\$ 3,939,795					Total actuals and projected COVID-related costs at UConn Health projected through 12/30/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
221.	UOC67000 Academic preparation, PPE, medical supplies/drugs, Research, health & safety services, shipping, telework, etc.	\$ -	\$ 1,066,584	Approved			\$ 1,066,584					Ongoing COVID response costs at UConn Storrs/Regional campuses reported as of 8/21/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
222.	BOR77700 Online Conversion Costs, PPE/Supplies, Facility Costs, and Donated Equipment	\$ 2,151,055	\$ -	Approved			\$ -					Does not include revenue losses. Cost updated to reflect most recent data from BOR. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.
223.	SOS12500 Cost of publishing various Executive Orders	\$ -	\$ 150,557	Approved			\$ 150,557					
224.	OTT14000 Laptops (\$25,257), gloves and cleaning supplies (\$2,106)	\$ -	\$ 27,363	Approved			\$ 27,363					Component of October 1 deficit mitigation plan. \$100 million no longer needed given latest GF projections. CRF can be re-deployed to testing.
225.	Various Public health and public safety staff costs	\$ -	\$ -	Approved			\$ -					
226.	OPM20000 Funding for Councils of Government to support direct expenses and legal costs for executive order support	\$ -	\$ 415,000	Approved			\$ 415,000					
227.	ED46000 Pandemic outreach text translation and creation of indoor/outdoor event guidance	\$ -	\$ 14,387	Approved			\$ 14,387					
228.	ED46000 Workforce Development and Employment Initiatives	\$ -	\$ 13,659,822	Approved			\$ 13,659,822					Revised downward by \$1M 11/25 from \$15M to reflect actual expenditures by 12/30 of contracted vendors. 2nd revision 12/3 downward by \$240,000. Adjusted 12/21 by +\$46,000 due to revised estimates from WDB. Final expenditures figure updated 1/11
229.	SDE64000 CTECs Re Opening	\$ -	\$ 4,088,890	Approved			\$ 4,088,890					
230.	DSS50000 Dental Clinic Ventilation	\$ -	\$ 25,000	Approved			\$ 25,000					To support an upgrade to the ventilation in the Norwich dental clinic, which provides services to individuals with intellectual disability, to ensure proper ventilation. Dental procedures may generate aerosolized droplets which increase the risk of transmitting coronavirus.
231.	OIM10000 Web Conferencing, Constituent Outreach, Premises Cleaning, PPE & Supplies	\$ -	\$ 72,523	Approved			\$ 72,523					Web Conferencing (Software to conduct virtual meetings), Constituent Outreach (Town hall calls, where legislator outreach to their constituents during this period where they can't meet with them in person and hiring translators for the hearing impaired during that outreach), Premises Cleaning, PPE & Supplies
232.	OSCL5000 Plexiglass installation for OSC Office Reopening	\$ -	\$ 265,896	Approved			\$ 265,896					For the health and safety of the OSC workforce and to assist with continuity of state operations during the pandemic. Updated 12/8/2020- vendor missed for workstations in the original quote. Added an additional \$2,272.20 beyond the original price quoted.
233.	DF91000 Stipend for Foster Families	\$ -	\$ 1,039,271	Approved			\$ 1,039,271					To pay \$100 stipend per month per child in foster care for 3 months.
234.	BOR77700 Instruction, Student Support, and Technology exceeding available HEER funding at CSUs and COSC	\$ -	\$ 3,379,595	Approved			\$ 3,379,595					Costs reported as of 5/20/20 include institutional costs at State Universities and Charter Oak State College to off-campus courses in Spring 2020 semester, including supplies and technology needed for instruction and remote learning. Does not include student refunds or lost revenue. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
235.	OPM20000 Temporary assistance with Treasury, OIG reporting requirements	\$ -	\$ 11,500	Approved			\$ 11,500					Funding of public safety costs through CRF will complement CEF&F funds intended to support municipal policing efforts associated with addressing violent crime.
236.	OPM20000 Support for Hartford, New Haven and Bridgeport police overtime costs for specialized units.	\$ -	\$ 375,000	Approved			\$ 375,000					Total actuals and projected COVID-related costs at Storrs and Regional campuses projected through 12/30/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
237.	UOC67000 Academic preparation, PPE, medical supplies/drugs, Research, health & safety services, shipping, telework, etc.	\$ -	\$ 6,571,980	Approved			\$ 6,571,980					Medical staffing needed due to staff shortages (covers July through December)
238.	DOC80000 Temporary Staffing Due to Staff Shortages	\$ -	\$ 1,500,000	Approved			\$ 1,500,000					The cost of equipment (video conferencing, webcam, laptops, software and consulting services) to provide courtrooms with remote access capability totals \$1,607,000. The PPE for staff working across the branch is \$29K. The services for additional cleaning of courthouse buildings totals \$29K. The installation of office barriers costing \$22.8K will assist with social distancing. Cell phones for remote workers totaled \$62K and in the Detention Centers to limit exposure \$133K is being spent to perform temperature screening. Lastly community based services programs received COVID related expenditures of \$175K. November 19 - Judicial revised request from \$2,319,046 to \$1,206,056.31.
239.	JUD95000 Equipment for videoconferencing; PPE; additional cleaning services; office barriers	\$ -	\$ 1,206,056	Approved			\$ 1,206,056					Plexiglass is needed for the health and safety of the TRB workforce which currently has the low partitions in the SOB. They provided the cost quote from the vendor.
240.	TRB77500 Plexiglass installation for TRB Office	\$ -	\$ 24,572	Approved			\$ 24,572					

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source					Notes			
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021		Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA		Federal - T-XIX	Federal - Other	Philanthropy
241.	DMV35000 Additional Funding for Temperature Screening	\$ -	\$ 315,000	\$ 315,000	Approved			\$ 315,000					Due to the DMV being open on Mondays plus staying opening additional hours in response to the backlog caused by COVID19, the DMV anticipate that they will need an additional \$300,000 in Federal COVID Cares funding to cover temperature screening by medical professionals. Update 12/07/2020 - increased by \$15,000 to reflect actual costs. Funding transferred from other DMV approved items that were reduced.
242.	DMV35000 Reconfigure Knowledge Test Areas	\$ -	\$ 143,168	\$ 143,168	Approved			\$ 143,168					Funding for the redesign and configuration of the Knowledge Test areas throughout the branches. This will allow the Agency to increase the number of knowledge test given while staying within the COVID19 social distancing restrictions. Update 12/07/2020 - reduced by \$11,832 to reflect actual costs. Funding transferred to other DMV approved items. To fund costs related to printing COVID-related executive orders in various papers as required by statute.
243.	SOS12300 Printing of Executive Orders	\$ -	\$ 147,000	\$ 147,000	Approved			\$ 147,000					Grants to nonprofit arts organizations (performing arts centers, performing groups, and schools of the arts).
244.	EC04600 Support for nonprofit arts organizations impacted by COVID	\$ -	\$ 9,000,000	\$ 9,000,000	Approved			\$ 9,000,000					Funds to support remote learning slots for ECE in an effort to reach children who did not enroll in pre-k and kindergarten due to the pandemic
245.	OEC64800 Additional Funds to Support Remote ECE Slots	\$ -	\$ 1,175,060	\$ 1,175,060	Approved			\$ 1,175,060					
246.	OSCL5200 Testing and treatment of active and retired state employees and their dependents through the state health insurance plan	\$ -	\$ 40,000,000	\$ 40,000,000	Approved			\$ 40,000,000					
247.	EC04600 Support for businesses	\$ -	\$ 50,000,000	\$ 50,000,000	Approved			\$ 50,000,000					Grants to small businesses impacted by COVID, with \$25 million for business located in distressed municipalities and \$25 million for businesses in non-distressed municipalities
248.	EC04600 Overtime for Employees Supporting COVID Business Programs	\$ -	\$ 29,120	\$ 29,120	Approved			\$ 29,120					Overtime to for staff working on CRF supported business grant programs.
249.	EC04600 Provide funding to the Office of the Arts in DECD to support Connecticut Humanities	\$ -	\$ 1,500,000	\$ 1,500,000	Approved			\$ 1,500,000.00					To reimburse institutions for refunds issued to students due to campus closures.
250.	UOC67000 Student Fee Refunds	\$ -	\$ 20,000,000	\$ 20,000,000	Approved			\$ 20,000,000					Not all of DOC's previous Temp services expenses were recoded off of IMS.
251.	DOC8000 Record COVID Related Temporary Services Costs for Inmate Medical Services	\$ -	\$ 1,345,434	\$ 1,345,434	Approved			\$ 1,345,434					Specialized mask decontamination units were installed at this location. Costs incurred to manage the site, labor, materials, equipment and a floor repair.
252.	DAS33000 Costs #t 424 Chapel St Decontamination Units Location	\$ -	\$ 207,025	\$ 207,025	Approved			\$ 207,025					Costs due to door removals, gym floor protection, water damage to mats and gym equipment when this space was used as a COVID Recovery Center.
253.	DAS33000 Costs Related to Converting SCU Moore Field House to Mobile Field Hospital	\$ -	\$ 47,832	\$ 47,832	Approved			\$ 47,832					Consulting costs for daily press conferences due to COVID, Deloitte consulting costs for Reopen CT and funds for additional VPN licenses. Plexiglass, barriers, sanitizer units, HVAC work, PPE, and other medical supplies.
254.	DAS33000 IT And Consulting Costs	\$ -	\$ 279,846	\$ 279,846	Approved			\$ 279,846					Funding to support CRDA's expenses related to supporting a surge hospital at the CT Convention Center. Costs include personnel expenses (security, etc), utilities, etc. Assumes National Guard supports actual build out of hospital space and Hartford Health supports staff required consumables including cleaning. Does not include any costs associated with rented structures, etc. (e.g. trailers).
255.	DAS33000 Medical Supply/PPE/Safety Equipment	\$ -	\$ 44,712	\$ 44,712	Approved			\$ 44,712					For the health and safety of the OAG workforce and to assist with continuity of state operations during the pandemic.
256.	CRD47200 Surge Hospital - Convention Center	\$ -	\$ 144,129	\$ 144,129	Approved			\$ 100,000.00	\$ 44,129.00				For the health and safety of the OAG workforce and to assist with continuity of state operations during the pandemic.
257.	EC04600 Supplemental Small Business Support Grants	\$ -	\$ 35,000,000	\$ 35,000,000	Approved			\$ 35,000,000.00					For the health and safety of the OAG workforce and to assist with continuity of state operations during the pandemic.
258.	DMV35000 Media Response Plan for COVID-19 Preparedness	\$ -	\$ 20,163	\$ 20,163	Approved			\$ 20,163					Call center support for the period 1/1/21 to 6/30/21
259.	OAG29000 Plexiglass installation for OAG Office Reopening	\$ -	\$ 76,451	\$ 76,451	Approved			\$ 76,451					
260.	SDE64000 Devices for adult education	\$ -	\$ 1,700,000	\$ 1,700,000	Approved			\$ 1,700,000					
261.	OAG29000 Plexiglass installation for OAG Office Reopening	\$ -	\$ 20,623	\$ 20,623	Approved			\$ 20,623					
262.	DOL40000 Call Center Support thru 6/30 for 80 Temp Staff	\$ -	\$ 5,040,000	\$ 5,040,000	Approved			\$ 5,040,000					
263.	GOV12000 Post-December communications related to testing and vaccines	\$ -	\$ 3,000,000	\$ 3,000,000	Approved			\$ 3,000,000					
264.	DOL40000 Vendor Solution (Maximus) to Align DOL Contact Center/Call Center Staff for the period 1/25/21 to 6/30/21 - at least 90 additional contracted staff	\$ -	\$ 4,500,000	\$ 4,500,000	Approved			\$ 4,500,000					
265.	DOL40000 DOL Contact Center Support for the period 7/1/21 to 12/31/21	\$ -	\$ 22,500,000	\$ 22,500,000	Approved			\$ 22,500,000					Up to amount - estimate may be modified downward. DOL will utilize federal funds or reserve funds for balance of FY 22.
266.	DAS23000 Rental Equipment COVID Recovery Unit at Convention Center	\$ -	\$ 97,652	\$ 97,652	Approved			\$ 97,652					COVID Recovery Center sinks, shower trailers, restroom trailers at the Convention Center through February
267.	DAS23000 Governor's Crisis Communications Daily Briefings through March	\$ -	\$ 41,550	\$ 41,550	Approved			\$ 41,550					Governor's Crisis Communications Daily Briefings through March
268.	DAS23000 School Construction Laptops	\$ -	\$ 8,726	\$ 8,726	Approved			\$ 8,726					Laptops for the school construction unit.
269.	TBD Education - Reopening	\$ -	\$ 5,911,110	\$ 5,911,110	Approved			\$ 5,911,110					
270.	TBD Higher Education	\$ -	\$ 10,921,605	\$ 10,921,605	Approved			\$ 10,921,605					
271.	TBD Reserve	\$ -	\$ 112,000,000	\$ 112,000,000	Approved			\$ 112,000,000					
272.	TBD State Operations	\$ -	\$ 44,692,655	\$ 44,692,655	Approved			\$ 44,692,655					
273.	TBD Workforce Development and Employment Initiatives	\$ -	\$ 106,892	\$ 106,892	Approved			\$ 106,892					
Subtotal - Additional Expenditures		\$ 807,193,945	\$ 1,124,728,033	\$ 1,124,728,033	Approved			\$ 1,342,435,085	\$ 210,745,065	\$ 157,095,500	\$ 287,614,417	\$ 4,616,573	
Revenue Items													

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Funding Source						Notes			
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes
1.	Pass-through Entity Tax - Delay March 15, 2020 payment date to July 15, 2020	\$ (333,333)	\$ -	Approved		\$ (333,333)	\$ -						Cash flow impact, loss of interest
2.	Corporation Tax - Delay May 15, 2020 payment date to July 15, 2020	\$ (166,667)	\$ -	Approved		\$ (166,667)	\$ -						Cash flow impact, loss of interest
3.	Unrelated Business Income - Delay May 15, 2020 payment date to July 15, 2020	\$ (1,667)	\$ -	Approved		\$ (1,667)	\$ -						Cash flow impact, loss of interest
4.	Indian Gaming Payments - Defer March 15 & April 15, 2020 Payment to Sep. through Dec.	\$ (28,600,000)	\$ 28,600,000	Approved		\$ -	\$ 28,600,000						Cash flow impact, shift of revenue from FY20 to FY21
5.	Personal Income Tax - Delay April 15 1st estimate payment to July 15	\$ (1,119,556)	\$ -	Approved		\$ (1,119,556)	\$ -						Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
6.	Personal Income Tax - Delay April 15 1st estimate payment to July 15	\$ (166,813)	\$ -	Approved		\$ (166,813)	\$ -						Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
7.	Personal Income Tax - Delay June 15 2nd estimate payment to July 15	\$ (99,521)	\$ -	Approved		\$ (99,521)	\$ -						Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
8.	LPI & MWR - Delay Various Licenses and Registrations by 180 Days	\$ (37,000,000)	\$ 37,000,000	Approved		\$ -	\$ 37,000,000						STF. Shift of revenue from FY20 to FY21
9.	Sales and Use Tax - Waive 10 cent plastic bag fee through June 30 and July 31	\$ (1,800,000)	\$ -	Approved		\$ (1,800,000)	\$ -						Revenue loss for 1.5 months
10.	LPF - DPH Delay Various Licenses	\$ (71,681)	\$ -	Approved		\$ (71,681)	\$ -						Cash flow impact, loss of interest
11.	LPF - DPH Delay Various Licenses	\$ (10,000,000)	\$ 10,000,000	Approved		\$ -	\$ 10,000,000						Per order of DPH Commissioner
12.	Gift Tax - Delay April 15 final payment date to July 15	\$ (10,000,000)	\$ 10,000,000	Approved		\$ -	\$ 10,000,000						Cash flow impact, loss of interest
13.	LPF - Extend Term for On-Premise Liquor License by 4 months	\$ (1,800,000)	\$ -	Approved		\$ (1,800,000)	\$ -						One-time revenue loss due to extension
14.	Corporation Tax - Delay June 15 2nd estimate payment to July 15	\$ (98,333)	\$ -	Approved		\$ (98,333)	\$ -						Cash flow impact, loss of interest
15.	Unrelated Business Income - Delay June 15 2nd estimated payment to July 15	\$ -	\$ -	Approved		\$ -	\$ -						Cash flow impact, loss of interest - included in Corp. Tax figure above
16.	Pass-through Entity Tax - Delay June 15 2nd estimated payment to July 15	\$ (62,083)	\$ -	Approved		\$ (62,083)	\$ -						Cash flow impact, loss of interest
17.	Estate Tax - Delay payments due from 4/1-7/15 to July 15	\$ (40,000,000)	\$ 40,000,000	Approved		\$ -	\$ 40,000,000						Cash flow impact, loss of interest
18.	LPF - DIEEP 90 Day extension for Environmental Quality Fee	\$ (2,500,000)	\$ 2,500,000	Approved		\$ -	\$ 2,500,000						Shift of revenue from FY20 to FY21
19.	Refunds of Taxes - delay in refund payments due to extensions of tax filing dates	\$ (150,200,000)	\$ (150,200,000)	Approved		\$ -	\$ (150,200,000)						Shift of refund payments from FY20 to FY21
Subtotal - Revenue Loss		\$ 16,380,346	\$ (22,100,000)			\$ (5,719,654)	\$ (22,100,000)						
Grand Total Impact		\$ 790,813,599	\$ 1,146,828,033			\$ 2,111,279,893	\$ 69,445,785	\$ 1,342,435,085	\$ 210,745,065	\$ 157,095,500	\$ 287,634,417	\$ 4,616,573	

State of Connecticut
Summary of Changes - FY 2021
General Fund and Special Transportation Fund
Projected to June 30, 2021
As of December 31, 2020
(In Millions)

General Fund

Balance from Operations - Prior Month		\$	(640.2)
Revenues			
January 15th Forecast (net)	743.6		743.6
Expenditures			
Additional Requirements	17.6		
Estimated Lapses	16.5		
Miscellaneous Adjustments/Rounding	0.0		34.2
Operating Surplus - FY 2021		\$	137.6

Budget Reserve Fund

Fund Balance as of June 30, 2020		\$	3,074.6
Deposit to SERS/TRS Pursuant to Sec. 4-30a, CGS	(61.6)		
Volatility Cap Deposit	355.1		
FY 2021 Est. Balance from Operations	137.6		431.0
Estimated Fund Balance - June 30, 2021		\$	3,505.6
Fund Balance as Percentage of FY 2021 General Fund			17.5%

Special Transportation Fund

Fund Balance as of June 30, 2020		\$	168.4
Balance from Operations - Prior Month			(60.6)
Revenues			
January 15th Forecast (net)	1.1		1.1
Expenditures			
Additional Requirements	0.0		
Estimated Lapses	0.0		
Miscellaneous Adjustments/Rounding	0.0		0.0
Estimated Fund Balance - June 30, 2021		\$	108.9

State of Connecticut
General Fund
Statement of FY 2021 Revenues, Expenditures, and Results of Operations
Projected to June 30, 2021
As of December 31, 2020
(In Millions)

	General Assembly Budget Plan ¹	Revised Estimates OPM	Over/ (Under)
REVENUE			
Taxes	\$ 18,873.4	\$ 18,717.4	\$ (156.0)
Less: Refunds	(1,484.7)	(1,773.3)	(288.6)
Taxes - Net	\$ 17,388.7	\$ 16,944.1	\$ (444.6)
Other Revenue	1,345.1	1,292.4	(52.7)
Other Sources	1,518.7	1,525.2	6.5
TOTAL Revenue	\$ 20,252.5	\$ 19,761.7	\$ (490.8)
EXPENDITURES			
Initial Current Year Appropriations	\$ 20,395.7	\$ 20,395.7	\$ -
Prior Year Appropriations Continued to FY 2021 ²		139.0	139.0
TOTAL Initial and Continued Appropriations	\$ 20,395.7	\$ 20,534.7	\$ 139.0
Appropriation Adjustments	-	-	-
TOTAL Adjusted Appropriations	\$ 20,395.7	\$ 20,534.7	\$ 139.0
Net Additional Expenditure Requirements		165.9	165.9
Estimated Appropriations Lapsed	(309.4)	(937.4)	(628.0)
Estimated Appropriations to be Continued to FY 2022 ²		-	-
TOTAL Estimated Expenditures	\$ 20,086.3	\$ 19,763.1	\$ (323.1)
Net Change in Fund Balance - Continuing Appropriations		(139.0)	(139.0)
Miscellaneous Adjustments/Rounding		-	-
Net Change in Unassigned Fund Balance - 6/30/2021	\$ 166.2	\$ 137.6	\$ (28.7)

1. . P.A. 19-117 as amended by P.A. 19-1, December Special Session. Note that CGS Sec. 2-33c limits appropriations in FY 2021 to 99.25% of General Fund revenue. As a result, the \$166.2 million budgeted surplus is comprised of \$151.1 million due to this 99.25% limitation, plus a \$15.1 million operating surplus.

2. CGS Sec. 4-89 and other statutory provisions.

State of Connecticut
General Fund
FY 2021 Revenue Estimates
Projected to June 30, 2021
As of December 31, 2020
(In Millions)

	General Assembly Budget Plan ^{1.}	Revised Estimates OPM	Over/ (Under)
TAXES			
Personal Income - Withholding	\$ 7,168.5	\$ 6,915.6	\$ (252.9)
Personal Income - Estimates and Finals	2,836.9	2,538.7	(298.2)
Sales and Use	4,588.4	4,532.7	(55.7)
Corporation	1,082.5	960.0	(122.5)
Pass-through Entity Tax	850.0	1,221.3	371.3
Public Service Corporations	244.7	261.6	16.9
Inheritance and Estate	146.3	222.6	76.3
Insurance Companies	205.8	214.6	8.8
Cigarettes	326.9	343.9	17.0
Real Estate Conveyance	230.6	305.6	75.0
Alcoholic Beverages	69.7	73.2	3.5
Admissions and Dues	41.5	29.3	(12.2)
Health Provider Tax	1,033.6	1,079.5	45.9
Miscellaneous	48.0	18.8	(29.2)
TOTAL - TAXES	\$ 18,873.4	\$ 18,717.4	\$ (156.0)
Less: Refunds of Taxes	(1,378.9)	(1,666.5)	(287.6)
Earned Income Tax Credit	(100.6)	(100.6)	-
R & D Credit Exchange	(5.2)	(6.2)	(1.0)
TOTAL - TAXES - NET	\$ 17,388.7	\$ 16,944.1	\$ (444.6)
OTHER REVENUE			
Transfers - Special Revenue	\$ 376.6	\$ 376.6	\$ -
Indian Gaming Payments	225.4	232.3	6.9
Licenses, Permits, Fees	384.3	338.8	(45.5)
Sales of Commodities and Services	31.0	25.3	(5.7)
Rents, Fines, Escheats	160.9	155.5	(5.4)
Investment Income	52.9	4.7	(48.2)
Miscellaneous	181.7	216.9	35.2
Refunds of Payments	(67.7)	(57.7)	10.0
TOTAL - OTHER REVENUE	\$ 1,345.1	\$ 1,292.4	\$ (52.7)
OTHER SOURCES			
Federal Grants	\$ 1,571.5	\$ 1,657.2	\$ 85.7
Transfer from Tobacco Settlement Fund	114.5	114.5	-
Transfers From/(To) Other Funds	134.2	108.6	(25.6)
Transfers to BRF - Volatility Adjustment ^{2.}	(301.5)	(355.1)	(53.6)
TOTAL - OTHER SOURCES	\$ 1,518.7	\$ 1,525.2	\$ 6.5
TOTAL - GENERAL FUND REVENUE	\$ 20,252.5	\$ 19,761.7	\$ (490.8)

1. Sec. 386 of P.A. 19-117 as amended by Sec. 8 of P.A. 19-1, December Special Session.

2. The volatility cap for FY 2021 is \$3,404.9 million. Total revenue from the Estimates and Finals portion of the Personal Income Tax and the Pass-through Entity Tax that exceeds the volatility cap will be deposited into the Budget Reserve Fund.

State of Connecticut - General Fund
FY 2021 Appropriation Adjustments - Net Additional Requirements
Projected to June 30, 2021
As of December 31, 2020

Department of Administrative Services	\$ 1,000,000
Department of Economic and Community Development	5,591,054
Office of the Chief Medical Examiner	500,000
Department of Mental Health and Addiction Services	9,000,000
University of Connecticut Health Center	50,000,000
Department of Correction	4,000,000
OSC - Miscellaneous (Adjudicated Claims)	10,000,000
OSC - Fringe Benefits	85,779,038
Total	<u><u>\$ 165,870,092</u></u>

State of Connecticut
General Fund
Estimated FY 2021 Lapses
Projected to June 30, 2021
As of December 31, 2020

Unallocated Lapse	\$ 26,215,570
Unallocated Lapse - Judicial	5,000,000
Statewide Hiring Reduction - Executive	7,000,000
Contracting Savings Initiatives	15,000,000
Pension and Healthcare Savings (pension portion)	121,200,000
Pension and Healthcare Savings (healthcare portion)	135,000,000
Rescissions - October 1, 2020	25,290,909
Transfer Certain Public Health and Safety Expenses to CRF	-
Office of Legislative Management	3,000,000
Commission on Women, Children, Seniors, Equity & Opportunity	100,000
State Comptroller	200,000
Department of Revenue Services	340,000
Department of Consumer Protection	125,000
Department of Labor	542,540
Department of Housing	3,000,000
Department of Public Health	1,500,000
Department of Developmental Services	2,600,000
Department of Social Services	455,938,102
Department of Aging and Disability Services	800,000
Department of Education	17,107,824
Office of Early Childhood	6,663,688
Office of Higher Education	220,000
Teachers' Retirement Board	3,684,000
Department of Children and Families	35,100,000
Judicial Department	1,730,900
Public Defender Services Commission	773,200
OTT - Debt Service	69,300,000
Total	<u><u>\$ 937,431,733</u></u>

State of Connecticut
FY 2021 General Fund
Monthly Summary of Operations
(In Millions)

	Budget Plan ¹	July 2020	August 2020	September 2020	October 2020	November 2020	December 2020	January 2021	February 2021	March 2021	April 2021	May 2021	June 2021
REVENUE	\$20,252.5	\$ 18,056.5	\$ 18,056.5	\$ 18,510.6	\$ 18,837.2	\$ 19,018.1	\$ 19,761.7						
Appropriations	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7						
Additional Requirements	0.0	139.1	144.2	146.0	175.7	183.5	165.9						
Less: Estimated Lapses	(309.4)	(407.7)	(458.6)	(769.5)	(854.8)	(920.9)	(937.4)						
TOTAL - Estimated Expenditures	20,086.3	20,127.2	20,081.4	19,772.2	19,716.6	19,658.3	19,624.1	0.0	0.0	0.0	0.0	0.0	0.0
Operating Balance	166.2	(2,070.7)	(2,024.9)	(1,261.6)	(879.4)	(640.2)	137.6	0.0	0.0	0.0	0.0	0.0	0.0
Misc. Adjustments/Rounding	0.0	0.0	0.0	0.0	0.0	0.0	0.0						
Est. Operating Balance - 6/30/21	\$166.2	(\$2,070.7)	(\$2,024.9)	(\$1,261.6)	(\$879.4)	(\$640.2)	\$137.6	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0

1. P.A. 19-117 as amended by P.A. 19-1 of the December Special Session.

State of Connecticut
Special Transportation Fund
Analysis of FY 2021 Budget Plan
Projected to June 30, 2021
As of December 31, 2020
(In Millions)

	General Assembly <u>Budget Plan</u> ^{1.}	Revised Estimates <u>OPM</u>	Over/ <u>(Under)</u>
Fund Balance as of June 30, 2020	\$ 363.5	\$ 168.4	\$ (195.1)
REVENUE			
Taxes	\$ 1,375.5	\$ 1,207.2	\$ (168.3)
Less: Refunds of Taxes	<u>(15.0)</u>	<u>(15.0)</u>	<u>-</u>
Taxes - Net	1,360.5	1,192.2	(168.3)
Other Revenue	<u>520.3</u>	<u>498.5</u>	<u>(21.8)</u>
TOTAL - Revenue	\$ 1,880.8	\$ 1,690.7	\$ (190.1)
EXPENDITURES			
Appropriations	\$ 1,848.0	\$ 1,848.0	\$ -
Prior Year Appropriations Continued to FY 2021 ^{2.}		<u>31.8</u>	<u>31.8</u>
TOTAL Initial and Continued Appropriations	\$ 1,848.0	\$ 1,879.8	\$ 31.8
Appropriation Adjustments	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL Adjusted Appropriations	\$ 1,848.0	\$ 1,879.8	\$ 31.8
Net Additional Expenditure Requirements		-	-
Estimated Appropriations Lapsed	(31.7)	(97.8)	(66.1)
Estimated Appropriations to be Continued to FY 2022 ^{2.}		<u>-</u>	<u>-</u>
TOTAL Estimated Expenditures	\$ 1,816.3	\$ 1,782.0	\$ (34.3)
Net Change in Fund Balance - Continuing Appropriations		(31.8)	(31.8)
Miscellaneous Adjustments/Rounding		-	-
Net Change in Unassigned Fund Balance - FY 2021	\$ 64.5	\$ (59.5)	\$ (124.0)
Estimated Fund Balance - June 30, 2021	<u>\$ 428.0</u>	<u>\$ 108.9</u>	<u>\$ (319.1)</u>

1. P.A. 19-117. Note that CGS Sec. 2-33c limits appropriations in FY 2021 to 99.25% of Special Transportation Fund revenue. As a result, the \$64.5 million budgeted surplus is comprised of \$14.1 million due to this 99.25% limitation, plus a \$50.4 million operating surplus.

2. CGS Sec. 4-89 and other statutory provisions.

State of Connecticut
Special Transportation Fund
FY 2021 Revenue Estimates
Projected to June 30, 2021
As of December 31, 2020
(In Millions)

	General Assembly Budget Plan ¹	Revised Estimates OPM	Over/ (Under)
TAXES			
Motor Fuels	\$ 505.1	\$ 467.4	\$ (37.7)
Oil Companies	330.2	203.5	(126.7)
Sales & Use Tax	454.1	442.3	(11.8)
Sales Tax DMV	86.1	94.0	7.9
TOTAL - TAXES	<u>1,375.5</u>	<u>1,207.2</u>	<u>(168.3)</u>
Less: Refunds of Taxes	(15.0)	(15.0)	-
TOTAL - TAXES - NET	<u>\$ 1,360.5</u>	<u>\$ 1,192.2</u>	<u>\$ (168.3)</u>
OTHER REVENUE			
Motor Vehicle Receipts	\$ 305.9	\$ 332.9	\$ 27.0
Licenses, Permits, Fees	146.6	129.6	(17.0)
Interest Income	36.7	4.9	(31.8)
Federal Grants	11.8	11.8	-
Transfers (To)/From Other Funds	24.5	24.5	-
Refunds of Payments	(5.2)	(5.2)	-
TOTAL - OTHER REVENUE	<u>\$ 520.3</u>	<u>\$ 498.5</u>	<u>\$ (21.8)</u>
TOTAL - SPECIAL TRANSPORTATION FUND REVENUE	<u>\$ 1,880.8</u>	<u>\$ 1,690.7</u>	<u>\$ (190.1)</u>

1. Sec. 387 of P.A. 19-117, as adjusted by provisions of P.A. 19-165.

State of Connecticut
Special Transportation Fund
FY 2021 Appropriation Adjustments - Net Additional Requirements
Projected to June 30, 2021
As of December 31, 2020

No Additional Requirements	\$	-
Total	<u>\$</u>	<u>-</u>

Statement 4T
January 20, 2021

State of Connecticut
Special Transportation Fund
FY 2021 Estimated Lapses
Projected to June 30, 2021
As of December 31, 2020

Unallocated Lapse	\$	-
Pension and Healthcare Savings		19,700,000
Department of Motor Vehicles		3,000,000
OTT - Debt Service		74,100,000
DAS - Workers' Compensation Claims		1,000,000
Total	\$	<u>97,800,000</u>

State of Connecticut
FY 2021 Special Transportation Fund
Monthly Summary of Operations
(In Millions)

	Budget Plan ¹ .	July 2020	August 2020	September 2020	October 2020	November 2020	December 2020	January 2021	February 2021	March 2021	April 2021	May 2021	June 2021
Beginning Balance ² .	\$ 363.5	\$ 169.0	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4						
Revenue	1,880.8	1,688.3	1,688.3	1,697.2	1,690.6	1,689.6	1,690.7						
Total Available	2,244.3	1,857.3	1,856.7	1,865.6	1,859.0	1,858.0	1,859.1	0.0	0.0	0.0	0.0	0.0	0.0
Appropriations	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0						
Additional Requirements	0.0	0.0	0.0	1.2	1.2	0.0	0.0						
Less: Estimated Lapses	(31.7)	(83.7)	(93.8)	(96.8)	(96.8)	(97.8)	(97.8)						
TOTAL - Estimated Expenditures	1,816.3	1,764.3	1,754.2	1,752.4	1,752.4	1,750.2	1,750.2	0.0	0.0	0.0	0.0	0.0	0.0
Operating Balance	64.5	(76.0)	(65.9)	(55.2)	(61.8)	(60.6)	(59.5)	0.0	0.0	0.0	0.0	0.0	0.0
Misc. Adjustments/Rounding	0.0	0.0	0.0	0.0	0.0	0.0	0.0						
Estimated Operating Balance 6/30/20	\$428.0	\$93.0	\$102.5	\$113.2	\$106.6	\$107.8	\$108.9	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0

1. P.A. 19-117.

2. Budget plan and July as estimated by the Office of Policy and Management. August and thereafter based on OSC preliminary closing balance for FY 2020 from letter dated September 17, 2020.