



# STATE OF CONNECTICUT

## OFFICE OF POLICY AND MANAGEMENT

February 19, 2021

The Honorable Kevin Lembo  
 State Comptroller  
 165 Capitol Avenue  
 Hartford, Connecticut 06106

Dear Comptroller Lembo:

Section 4-66 of the General Statutes requires that my office provide information on the state's General Fund for Fiscal Year 2021. An analysis of the Special Transportation Fund is also provided here due to the significance of this fund.

	<b>FY 2021 Projection</b>				
	(in millions)				
	Budget (as Revised Dec. 2019)	Jan. Estimate	Feb. Estimate	Change in Estimate - Feb. vs. Jan.	Feb. Est. Variance from Budget
<b><u>General Fund</u></b>					
Revenues	\$ 20,252.5	\$ 19,761.7	\$ 19,761.7	\$ -	\$ (490.8)
Expenditures	20,086.3	19,624.1	19,630.3	6.1	(456.0)
Operating Results - Surplus/(Deficit)	\$ 166.2	\$ 137.6	\$ 131.4	\$ (6.1)	\$ (34.8)
<b><u>Budget Reserve Fund</u></b>					
Deposit / (Withdrawal)	\$ 467.7	\$ 431.0	\$ 424.9 <sup>1</sup>	\$ (6.1)	\$ (42.8)
Proj. Balance 6/30	\$ 3,542.3	\$ 3,505.6	\$ 3,499.5	\$ (6.1)	\$ (42.8)
<b><u>Special Transportation Fund</u></b>					
Revenues	\$ 1,880.8	\$ 1,690.7	\$ 1,690.7	\$ -	\$ (190.1)
Expenditures	1,816.3	1,750.2	1,750.7	0.5	(65.6)
Operating Results - Surplus/(Deficit)	\$ 64.5	\$ (59.5)	\$ (60.0)	\$ (0.5)	\$ (124.5)
Proj. Fund Balance 6/30	\$ 423.4	\$ 108.9	\$ 108.4	\$ (0.5)	\$ (315.0)
<b><u>Tourism Fund</u></b>					
Revenues	\$ 14.2	\$ 6.2	\$ 6.2	\$ -	\$ (8.0)
Expenditures	13.1	13.1	13.1	-	-
Operating Results - Surplus/(Deficit)	\$ 1.1	\$ (6.9)	\$ (6.9)	\$ -	\$ (8.0)
Proj. Fund Balance 6/30	\$ (0.1)	\$ (9.8)	\$ (9.8)	\$ -	\$ (9.7)
Notes:					
1. BRF deposit includes the transfer out of \$61.62 million pursuant to Sec. 4-30a, CGS, as the FY 2020 ending balance exceeds the statutory 15% cap. This sum would be deposited as an additional contribution to the State Employees Retirement Fund.					

## General Fund

The adopted FY 2021 budget anticipates a \$166.2 million balance at year end. We are projecting an operating surplus of \$131.4 million, a change of \$6.2 million from last month due to revised expenditure trends. The projected surplus represents 0.7 percent of the General Fund.

Our estimates include anticipated state costs for the state's current pandemic response. The table attached to this letter outlines specific measures approved to date as part of that response.

Our forecast of the Budget Reserve Fund (BRF) balance at year end is depicted below. The state's reserves at the start of FY 2021 are \$3.07 billion, pending potential audit revisions as prior year results are finalized, or 15.3 percent of FY 2021 net General Fund appropriations. The projected Budget Reserve Fund balance at the end of the fiscal year, after transfers pursuant to the statutory volatility cap and the estimated FY 2021 operating surplus, is expected to reach \$3.5 billion, or 17.4 percent of current net General Fund appropriations.

<b>Budget Reserve Fund</b>	
	(in millions)
Estimated BRF Ending Balance - FY 2020 (OSC Est. 9/17/20)	\$ 3,074.6
Deposit to SERS pursuant to Sec. 4-30a, C.G.S. (OSC Est. 9/17/20)	\$ (61.6)
Projected Operating Surplus - FY 2021 (OPM 02/19/21 Est.)	131.4
Volatility Cap Deposit - FY 2021 (OPM 02/19/21 Est.)	<u>355.1</u>
Estimated BRF Ending Balance - FY 2021	\$ 3,499.5

### Revenues

Estimated revenues remain unchanged from the levels reported last month and continue to reflect the January 15<sup>th</sup> consensus forecast. As noted in our January estimate, we are projecting a \$355.1 million volatility cap deposit to the Budget Reserve Fund.<sup>1</sup> Projected FY 2021 revenues of \$19.76 billion are still \$490.8 million less than the adopted budget.

### Expenditures

We are projecting that FY 2021 net expenditures will be below the amended budget plan by \$456.0 million, as explained further below.

*Deficiencies.* Projected shortfalls totaling \$185.2 million are forecast in the following agencies:

- Department of Economic and Community Development. A \$10.5 million shortfall is anticipated in the Capital Region Development Authority account, after the transfer approved January 7<sup>th</sup> by the Finance Advisory Committee. The remaining shortfall is due to pandemic-related event cancellations that have impacted and are expected to continue to impact attendance and associated revenues at the Pratt and Whitney Stadium at Rentschler Field, the XL Center and the CT Convention Center. In addition, the shortfall reflects the repayment of a temporary operating loan of \$1.5 million, and \$2.2 million owed for pre-pandemic life safety services provided by the City of Hartford for the XL Center.
- Office of the Chief Medical Examiner. A \$500,000 deficiency is estimated in Personal Services. This represents the annualized impact of the shortfall experienced in FY 2020.

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<sup>1</sup> The volatility cap for FY 2021 is \$3,404.9 million. Deposits into the Budget Reserve Fund that exceed the 15% statutory cap will result in additional contributions to either the State Employees Retirement Fund or the Teachers' Retirement Fund.

- Department of Mental Health and Addiction Services. A total shortfall of \$9.0 million is projected due largely to the failure to enact FY 2020 deficiency appropriations and lack of FY 2021 budget adjustments addressing direct care costs. While June 2020 Finance Advisory Committee action addressed a portion of the FY 2020 deficiency, over \$2.1 million in prior year bills were held over for payment in FY 2021. We estimate a \$5.6 million shortfall in Other Expenses due largely to various facility maintenance and repair costs and increased software licensing costs, \$5.9 million in the Professional Services account for contracted medical services including contracted psychiatrists, \$2.3 million in the Workers' Compensation Claims account to reflect claim trends, and \$3.0 million in the Discharge and Diversion account to assist with discharges from Connecticut Valley Hospital to community settings for those no longer needing inpatient care. These shortfalls are partially offset by projected lapses of \$7.0 million in Personal Services due to vacancies, and \$800,000 in the Home and Community Based Services account as a result of fewer referrals and placements due to the pandemic.
- University of Connecticut Health Center. A shortfall of at least \$50.0 million is forecast. The FY 2020 budget included a fringe benefit subsidy of \$33.2 million to assist with stabilizing the Health Center's finances, but no subsidy was included in the FY 2021 budget plan. While deficiencies at higher education institutions do not have a direct impact on the General Fund, the magnitude and recurring nature of the Health Center's deficiencies may put additional pressure on state resources in FY 2021.
- Department of Correction. A net deficiency of \$3.65 million is projected. Shortfalls of \$2.0 million in Personal Services and \$2.0 million in Inmate Medical Services are forecast due to increased staff overtime, influenced in part by the impact of COVID-19 on operations. These lapses are offset by a \$365,000 lapse in the Board of Pardons and Paroles account due to vacancies.
- State Comptroller – Fringe Benefits. A total shortfall of \$66.6 million is anticipated. Of this amount, \$41.0 million is due to revised contribution requirements for the State Employees' Retirement System resulting from the June 30, 2019 valuation of the fund. A \$3.4 million shortfall is anticipated in the Judges Retirement System, also reflective of the June 30, 2019, valuation for that system. In addition, we anticipate shortfalls of \$1.3 million in the Unemployment Compensation account, \$3.0 million in the Employers Social Security Tax account, \$30.0 million in the State Employees Health Service account, and \$2.0 million in the SERS Defined Contribution Match account. Partially offsetting these shortfalls are projected lapses of \$12.0 million in the Higher Education Alternative Retirement System account, \$125,000 in the Pensions and Retirements – Other Statutory account, and \$2.0 million in the Other Post Employment Benefits account.
- State Comptroller – Miscellaneous. We estimate \$20.0 million in expenditures for Adjudicated Claims. No appropriation was made in the enacted budget for payment of these claims.
- Additional COVID Testing Requirements. It is anticipated that \$25.0 million of General Fund resources may be needed to support projected costs of COVID-19 testing. Testing costs are paid through several agencies depending on the population being tested, including the departments of Public Health and Social Services as well as the Office of the State Comptroller.

*Lapses.* Our projections reflect the amounts currently withheld from agencies to achieve budgeted lapse targets and rescissions implemented by the Governor on October 1<sup>st</sup>. Given the outlook for a surplus at year-end, we are not anticipating use of federal CARES Act funding to cover \$100 million of certain General Fund public health and public safety costs, enabling us to repurpose the CARES Act funding to support COVID testing, vaccine costs, and other critical expenses. The use of the \$100 million of Coronavirus Relief Funds is in addition to the stimulus funding passed in December by the federal government in order to continue positive management of the pandemic response.

The following sums totaling \$615.9 million are estimated to remain unspent this fiscal year:

- Office of Legislative Management. A total of \$3.0 million is projected to lapse, with \$2.0 million in Personal Services and \$1.0 million in Other Expenses.
- Commission on Women, Children, Seniors, Equity & Opportunity. \$150,000 is projected to lapse.
- State Treasurer- Debt Service. A total lapse of \$69.3 million is forecast, with \$47.9 million associated with the timing of FY 2021 bond sales and revised estimates of the cost and interest rates for FY 2021 sales, and \$21.4 million adjustment in the UConn debt service account associated with moving the spring FY 2020 bond sale to the fall of FY 2021.
- Office of the State Comptroller. A \$200,000 lapse is projected in Personal Services due to vacancies.
- Workers' Compensation Claims – Department of Administrative Services. A \$600,000 lapse is forecast.
- Department of Consumer Protection. \$125,000 is estimated to lapse in Personal Services due to vacancies.
- Department of Labor. A net total of \$542,540 will lapse in a variety of accounts, including \$395,717 that will lapse in the Workforce Training Authority account as the Authority has not yet been formed.
- Commission on Human Rights and Opportunities. \$100,000 will lapse in Personal Services due to turnover savings.
- Department of Housing. A \$5.5 million lapse is forecast in the Housing/Homeless Services due to fewer transitions than budgeted in the Money Follows the Person program and a delay in the CHESS program.
- Department of Public Health. A net \$1.55 million will lapse in Personal Services primarily as a result of vacancies.
- Department of Developmental Services. \$2.6 million will lapse in Personal Services due to vacancies.
- Department of Social Services. A total of \$455.9 million is projected to lapse. This is primarily the result of an estimated \$420.0 million lapse in the Medicaid account due to the extension of the public health emergency declaration by the federal government, which maintains the enhanced level of federal reimbursement through June 30, 2021, thus reducing the state share of program costs, as well as lower levels of service utilization. Reduced caseloads will result in lapses of \$13.4 million in Temporary Family Assistance, \$7.7 million in Aid to the Disabled, \$5.7 million in the Connecticut Home Care Program, \$3.7 million in Old Age Assistance and \$2.0 million in State Administered General Assistance. Reduced caseloads and service utilization, coupled with the extension of enhanced federal reimbursement through March 31, 2021, are expected to result in a \$3.0 million lapse in the HUSKY B account. Other minor lapses total \$438,100.
- Department of Aging and Disability Services. A total lapse of \$800,000 is projected across a variety of accounts.
- Department of Education. A net total of \$17.1 million is projected to lapse. The Education Cost Sharing grant is underfunded by \$1.55 million. This is offset by a projected lapse of \$4.5 million in the Charter School account due to the closure of two charter schools and budgeted funding exceeding the number of approved charter school slots. A lapse of \$1.26 million is anticipated in the Bilingual Education account pursuant to section 10-17g of the General Statutes, as the budget included funds for several programs that are no longer in operation. In addition, lapses of \$1.7 million in the Open Choice Program account and \$11.0 million in the Magnet Schools account are projected based on current enrollment trends. Both accounts lapsed funding in FY 2020. Lastly, \$200,000 is projected to lapse in Personal Services due to vacancies.
- Office of Early Childhood. A total of \$7.3 million is projected to remain unspent. \$6.2 million will lapse in the Early Care and Education account due to natural turnover in enrollment that impacts funding requirements, as well as the availability of federal funding that will reduce state expenditures. The Birth to Three account will lapse \$1.0 million due to reduced service utilization, and Personal Services will lapse \$100,000 as a result of turnover savings.

- Office of Higher Education. A total of \$220,000 will lapse, with \$120,000 in Personal Services and \$100,000 in Other Expenses.
- Teachers' Retirement Board. A net total of \$3.69 million is projected to lapse. The Retirement Contributions account is underfunded by \$1.8 million, reflective of the employer contribution adjustment required due to the revised valuation adopted after passage of the biennial budget. This is offset by a \$5.0 million lapse in the Retiree Health Service Cost account due to health premiums that are lower than assumed in the adopted budget, as well as a \$400,000 lapse in the Municipal Retiree Health Insurance Costs account due to a decrease in the number of retired teachers eligible for the municipal subsidy.
- Department of Children and Families. A net lapse of \$42.1 million is anticipated across a variety of accounts due primarily to current caseload trends and reduced overtime expenses.
- Judicial Department. An overall lapse of \$2.95 million is projected. Personal Services is projected to lapse \$5.0 million, offset by a \$0.8 million shortfall in Other Expenses and a \$1.3 million shortfall in Workers' Compensation Claims.
- Public Defender Services Commission. A total lapse of \$1.46 million is projected across several accounts due to current cost trends.

### **Special Transportation Fund**

The adopted budget anticipates a \$64.5 million balance from operations. We estimate that the Special Transportation Fund will end the year with a \$60.0 million operating deficit, and that the Transportation Fund balance on June 30, 2021, will be \$108.4 million.

#### **Revenues**

Projected revenues remain unchanged from last month and continue to reflect the January 15<sup>th</sup> consensus revenue forecast. As noted in prior forecasts, the overall reduction in revenues in the Special Transportation Fund compared to budgeted levels, coupled with the projected FY 2021 deficit, will require another significant drawdown from fund balance, accelerating the timeframe for a long-term financial and infrastructure investment solution. The FY 2020 Transportation Fund starting balance on July 1, 2019, was \$320.1 million and—as noted above—is estimated to close at \$108.4 million on June 30, 2021.

#### **Expenditures**

Our expenditure estimate is, in aggregate, largely unchanged from last month's forecast. Expenditures are projected to be \$65.6 million better than budgeted due primarily to \$74.1 million in debt service savings attributable to reduced interest costs and delayed timing of FY 2020 and anticipated future bond sales. In addition, \$3.5 million is forecast to remain unspent in the Department of Motor Vehicles' Personal Services account due to vacancies, and \$1.0 million will lapse in the DAS – Workers' Compensation Claims account. These lapses will more than satisfy the \$12.0 million general lapse anticipated in the enacted budget. Offsetting these positive variances is a \$1.0 million shortfall in the State Insurance and Risk Management account under the Department of Administrative Services due to increased premiums.

### **Other Appropriated Funds**

While Sec. 4-66, CGS, does not require that we provide analyses of other appropriated funds, we offer the following information about the status of the Regional Market Operation Fund and the Tourism Fund.

- Regional Market Operation Fund. Pursuant to Section 10 of Public Act 18-154, the Hartford Regional Market was conveyed to the Capital Region Development Authority and CRDA has assumed operation of that market. As a result, appropriations totaling \$1.1 million will lapse.
- Tourism Fund. The fund's revenue source is the Hotel Occupancy Tax, which has underperformed as a result of the pandemic's impact on the hospitality industry. As a result, expenditures from the fund are estimated to exceed available revenues by approximately \$6.9 million. When added to the negative fund balance of \$2.9 million at the end of FY 2020, we anticipate the Tourism Fund will end FY 2021 with a \$9.8 million negative fund balance.

As the year progresses, the estimates offered by my office will continue to be revised to reflect the impact of changes in the economy, expenditure patterns, and/or other factors.

Sincerely,



Melissa McCaw  
Secretary

Attachments:

COVID Responses – Budget Impact  
Summary Statements, FY 2021 Revenue and Expenditures

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Funding Source						Notes		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRR	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes
1.	DSS60000 Suspend Medicaid eligibility discontinuances	\$ 6,800,000	\$ 173,700,000	\$ 180,500,000	Approved		\$ 1,900,000	\$ 53,400,000	\$ 125,200,000					States share @ enhanced FMAP (+6.2%). Approved through January to meet maintenance of effort requirements for receipt of enhanced FMAP. \$4.5m of gross costs are 100% federally funded; balance is 100% state funded. Note: DSS is on hold, pending further federal guidance.
2.	DSS60000 Provide uninsured persons with coverage for COVID-19 - Citizens	\$ 12,600,000	\$ 6,300,000	\$ 18,900,000	Approved		\$ 9,600,000	\$ 4,800,000	\$ 4,500,000					Assumes majority of costs will be reimbursable at 56.2%.
3.	DSS60000 Provide uninsured persons with coverage for COVID-19 - Non-Citizens	\$ 5,900,000	\$ 3,000,000	\$ 8,900,000	Approved		\$ 2,700,000	\$ 1,400,000	\$ 4,800,000					
4.	DSS60000 Waive HUSKY B copays	\$ 340,000	\$ -	\$ 340,000	Approved		\$ 80,000	\$ -	\$ 260,000					
5.	DSS60000 Waive Medicare Part D (R) copays for dually eligible population	\$ 100,000	\$ -	\$ 100,000	Approved		\$ 100,000	\$ -						
6.	DSS60000 Supplement, SA6A	\$ 1,200,000	\$ -	\$ 1,200,000	Approved		\$ 1,200,000	\$ -						
7.	DSS60000 Suspend 21 month time limit for TRF/IFES	\$ 190,000	\$ 770,000	\$ 960,000	Approved		\$ 190,000	\$ 770,000						Approved for 3 months (Note: Suspension of time limit impacts both FY 21 and FY 22, with add'l costs of \$570k in FY 22)
8.	DSS60000 Provide additional flexibilities under home and community-based services waivers	\$ 870,000	\$ 430,000	\$ 1,300,000	Approved		\$ 360,000	\$ 250,000	\$ 690,000					
9.	DSS60000 Provide temporary relief funding for nursing homes and a COVID-specific grant equivalent to \$600 per bed per day for facilities exclusively serving patients with COVID-19	\$ 47,400,000	\$ 75,612,311	\$ 123,012,311	Approved		\$ 33,500,000	\$ (16,200,000)	\$ 83,412,311					
10.	DSS60000 Provide hardship grants to nursing homes facing a substantial deterioration in their finances, which could adversely affect resident care and the continued operation of the facility	\$ -	\$ 929,155	\$ 929,155	Approved		\$ -	\$ 929,155						
11.	DSS60000 Provide interim payments to FQHCs to assist with cash flow	\$ 5,562,813	\$ (5,562,813)	\$ -	Approved		\$ 5,562,813	\$ (5,562,813)						Advances will be recouped in FY 21
12.	DSS60000 Provide pandemic rate increase for residential care homes (RCHs)	\$ 980,000	\$ -	\$ 980,000	Approved		\$ 980,000	\$ -						Includes interim payment in April to be recouped in FY 21. Approved for 3 months.
13.	DSS60000 Provide pandemic rate increase for private intermediate care facilities (ICF/IIDs)	\$ 1,640,000	\$ -	\$ 1,640,000	Approved		\$ 1,020,000	\$ (270,000)	\$ 890,000					Includes interim payment in April to be recouped in FY 21. Approved for 3 months.
14.	DSS60000 Use DSS' non-emergency medical transportation vendor (Veyo) to assist with meals-on-wheels deliveries	TBD	TBD	\$ -	Approved		TBD	TBD						
15.	DSS60000 Expand service array under Community First Choice to include agency-based PCAs	\$ 31,500	\$ 15,800	\$ 47,300	Approved		\$ 11,000	\$ 8,000	\$ 28,300					
16.	DSS60000 Provide 90-day supply for most prescription drugs and more flexible pharmacy early refill	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						Fiscal impact expected to be minimal
17.	DSS60000 Waive SNAP requirement for face-to-face interviews	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No state cost--federally funded program. Federal approval received
18.	DSS60000 Waive SNAP requirements - extend certification periods by 90 days, suspend collection of most SNAP overpayments, issue supplemental benefits to all existing SNAP households	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No state cost--federally funded program. Federal approval pending
19.	DSS60000 Waive YTA requirement for face-to-face interviews and assessments	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No additional cost anticipated
20.	DSS60000 Expand telehealth under Medicaid	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No additional cost anticipated
21.	DSS60000 Provide additional flexibilities under section 1135 waiver authority	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No additional cost anticipated
22.	DSS60000 Provide additional flexibilities to home care recipients of 1915(i) state plan services	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						No additional cost anticipated
23.	DSS60000 Provide interim payments to home health agencies to assist with cash flow	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						Payments will be recouped in FY 20
24.	DSS60000 Expedite Medicaid payments to hospitals when possible	\$ -	\$ -	\$ -	Approved		\$ -	\$ -						Reflects temporary 20% DRG add-on for COVID-related diagnoses
25.	DSS60000 Support acute care hospitals with COVID-related costs	\$ -	\$ 6,200,000	\$ 6,200,000	Approved		\$ -	\$ 2,000,000	\$ 4,200,000					
26.	DSS60000 Provide relief funding for Connecticut Children's Medical Center	\$ -	\$ 16,300,000	\$ 16,300,000	Approved		\$ -	\$ 16,300,000	\$ -					
27.	DSS60000 Provide relief funding for chronic disease hospitals	\$ -	\$ 2,654,203	\$ 2,654,203	Approved		\$ -	\$ 110,900	\$ 2,401,103					
28.	DSS60000 Provide relief funding for nongovernmental licensed short-term general hospitals.	\$ -	\$ 40,000,000	\$ 40,000,000	Approved		\$ -	\$ 40,000,000	\$ -					
29.	DSS60000 Provide temporary per diem rate of \$1,500 for Hospital for Special Care's COVID recovery unit	\$ -	\$ -	\$ -	Approved		\$ -	\$ -	\$ -					This item was originally approved for \$380,000 but grant payments were not needed as COVID-related expenditures were covered elsewhere.
30.	DSS60000 Provide relief funding for licensed behavioral health outpatient clinics	\$ -	\$ 1,488,712	\$ 1,488,712	Approved		\$ -	\$ -	\$ 1,488,712					This item was originally approved for \$2,851,741 but grant payments were reduced to \$1,488,712 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
31.	DSS60000 Provide relief funding for private psychiatric residential treatment facilities (PRTFs) for children	\$ -	\$ -	\$ -	Approved		\$ -	\$ -	\$ -					This item was originally approved for \$420,789 but grant payments were not needed because a direct COVID-related expenditures were covered through other sources.
32.	DSS60000 Provide relief funding for substance abuse residential detox providers	\$ -	\$ 555,391	\$ 555,391	Approved		\$ -	\$ 555,391	\$ -					This item was originally approved for \$789,615 but grant payments were reduced to \$555,391 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
33.	DSS60000 Provide relief funding for other clinicians providing behavioral health/substance use disorder treatment and autism services	\$ -	\$ 498,463	\$ 498,463	Approved		\$ -	\$ 498,463	\$ -					This item was originally approved for \$3,474,195 but grant payments were reduced to \$498,463 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
34.	DSS60000 Provide relief funding for methadone maintenance providers	\$ -	\$ 908,392	\$ 908,392	Approved		\$ -	\$ 908,392	\$ -					This item was originally approved for \$1,694,205 but grant payments were reduced to \$908,392 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)					Funding Source					Notes		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-IX		Federal - Other	Philanthropy
35.	DSS60000	Provide relief funding for home health providers	\$ -	\$ 1,679,471	\$ 1,679,471	Approved			\$ 1,679,471					This item was originally approved for \$4,999,212 but grant payments were reduced to \$1,679,471 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
36.	DSS60000	Provide relief funding for waiver service providers	\$ -	\$ 5,139,814	\$ 5,139,814	Approved			\$ 5,139,814					This item was originally approved for \$3,403,602 but grant payments were reduced to \$5,139,814 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.
37.	DSS60000	Distribute PPE for self-directed workers under Community First Choice	\$ -	\$ 781,179	\$ 781,179	Approved			\$ 781,179					Reflects grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FFERA
38.	DSS60000	Provide relief funding for Community First Choice providers	\$ -	\$ 3,031,050	\$ 3,031,050	Approved			\$ 3,031,050					Reflects grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FFERA
39.	DSS60000	Provide clinical monitoring through CHNCT	\$ -	\$ 10,650	\$ 10,650	Approved			\$ 10,650					Active clinical monitoring contract through the remainder of CY 2020.
40.	DSS60000	Provide relief funding for self-directed workers under DDS programs	\$ -	\$ 1,334,000	\$ 1,334,000	Approved			\$ 1,334,000					Reflects grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FFERA. (Increased from previously stated estimate of \$800,000 @ 5%, but reduced from the original estimate of \$1.6 million @ 10%.)
41.	DPH48500	School-Based Health Centers - no kids will be getting services while schools are closed, but staff need to be paid	\$ -	\$ -	\$ -	Approved			\$ -					No additional cost anticipated
42.	MHAS30000	Allowable costs for expenses vs. returning the payments because services were not delivered	\$ -	\$ -	\$ -	Approved			\$ -					No additional cost anticipated
43.	OEC64800	Daycare outside of hospitals	\$ 3,550,000	\$ -	\$ 3,550,000	Approved		\$ 550,000						Philanthropy covered costs up to \$3M, though May. The costs of \$750,000 for June are funded 75% by FEMA and 25% by CRF funds. UPDATED - No CRF allocated; agency is using \$550,000 from their Care4Kids TANF account to cover costs.
44.	OEC64800	Suspend collection of family fees - Birth to Three program	\$ 375,000	\$ -	\$ 375,000	Approved		\$ 375,000						Assumes 3 months
45.	OEC64800	Childcare for frontline workers	\$ 10,000,000	\$ -	\$ 10,000,000	Approved					\$ 10,000,000			Cap at \$10M, for six weeks with limit at 85% SWL Source: CDRG, PI, 116 136 Division B Title VIII... Take rate much lower than anticipated. Costs like to be \$3M
46.	CRD47200	Sanitizers, dispensers, face masks and carpet protection	\$ 19,822	\$ -	\$ 19,822	Approved			\$ 19,822					FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.
47.	DSS60000	Domestic violence shelter decompression	\$ -	\$ 1,860,000	\$ 1,860,000	Approved			\$ 1,860,000	\$ 1,995,000				CRF funding (HEER) received directly by the agency. Does not include revenue losses.
48.	BOR7700	Student Refunds, Online Conversion Costs and Donated Equipment	\$ 27,291,079	\$ -	\$ 27,291,079	Approved						\$ 27,291,079		COVID-related costs for teleworking transition, equipment/supplies, and other related expenses. Does not include student refunds or revenue losses. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
49.	UOC67000	Equipment, supplies, and other COVID-related expenditures (excl. student refunds)	\$ 847,830	\$ -	\$ 847,830	Approved			\$ 847,830					HEER. Does not include projected FY21 revenue loss at UConn and UConn Health.
50.	UOC67000	Student refunds (housing, dining, parking)	\$ 10,750,423	\$ -	\$ 10,750,423	Approved						\$ 10,750,423		Testing provided by Jackson Labs
51.	CME49500	Testing of descendants remains for COVID	\$ 22,800	\$ 9,500	\$ 32,300	Approved			\$ 32,300					FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match. Latest Federal guidance is that PPE may be 100% reimbursable, retroactive to the start of the pandemic. CRF amount reflects contingency for FEMA disallowances.
52.	DOC88000	Central purchase of Personal Protective Equipment and other supplies	\$ 250,000,000	\$ -	\$ 250,000,000	Approved			\$ 50,000,000	\$ 200,000,000				State and local Covid-19 response activities will be reimbursed from federal emergency supplemental funding. \$2.5m will be utilized to reimburse local health authorities.
53.	MIL36000	State Active Duty to staff the Emergency Operations Center	\$ 171,000	\$ -	\$ 171,000	Approved			\$ 171,000					Supplements pre-existing grant under the Epidemiology and Laboratory Capacity Cooperative Agreement (\$4,075,639). To support DPH Covid19 direct costs in areas of State Public Health Laboratory, Epidemiology, Healthcare Associated Infections
54.	DPH48500	CDC Cooperative Agreement for Emergency Response: Public Health Crisis Response	\$ 9,309,998	\$ -	\$ 9,309,998	Approved			\$ 9,309,998					National Bioterrorism Hospital Preparedness Program, \$361,895 each for federally designated Special Pathogen Treatment Centers @ YNH and HH. Balance for DPH direct costs and other hospital needs.
55.	DPH48500	Epidemiology and Laboratory Capacity	\$ 9,669,691	\$ -	\$ 9,669,691	Approved			\$ 9,669,691					To enhance infectious disease surveillance activities. \$2.3m will go to Yale School of PH, \$0.3m to DPH
56.	DPH48500	Hospital preparedness	\$ 2,324,172	\$ -	\$ 2,324,172	Approved						\$ 2,324,172		Revised to \$45k - will de-allot 12/29/20
57.	DPH48500	Emerging Infections Program	\$ 2,600,000	\$ -	\$ 2,600,000	Approved						\$ 2,600,000		
58.	DA323000	Architectural support for hospital capacity expansion	\$ 45,000	\$ -	\$ 45,000	Approved			\$ 45,000					
59.	DA323000	IT support for teleworking (VPN capacity, etc.)	\$ 320,000	\$ 730,000	\$ 1,050,000	Approved			\$ 1,050,000					
60.	DA323000	Cleaning and other facility costs	\$ 1,100,000	\$ -	\$ 1,100,000	Approved			\$ 1,100,000					GF will pay the full payroll and fringe benefit costs for six pay periods through end of FY 20 (March 26th - June 18th payroll), as well as indirect costs and OE, due to agreement with casinos to defer true-up of costs until the August 2020 assessment.
61.	SOS12500	Cost of publishing various Executive Orders	\$ 305,000	\$ -	\$ 305,000	Approved			\$ 305,000					
62.	DCP395000	Waive casino payment of regulatory costs during closure	\$ 584,126	\$ -	\$ 584,126	Approved			\$ 584,126					



COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source						Notes	
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021		Unassigned	State SFY 2020	State SFY 2021	Federal - CFE	Federal - FEMA	Federal - T-XIX		Federal - Other
63.	DPS32000	\$ 38,000	\$ 13,000	Approved			\$ 51,000					NOTE: The \$13K approved for DESPP to rent trailers (item #118) was not spent. Due to a continued need for interpreters, the \$13K approved for trailers was transferred to this item.
64.	JUD95000	\$ 640,240	\$ -	Approved			\$ 640,240					
65.	DVA21000	\$ 235,000	\$ -	Approved			\$ 235,000					
66.	DPS32000	\$ 25,000	\$ -	Approved			\$ 25,000					Original approved amount was \$1.0m but it has been reduced to \$700K to reflect actual need for housing. Update 12/01/2020 - reduced to \$25K to reflect actual need for housing.
67.	DPS50000	\$ 1,700,000	\$ -	Approved			\$ 1,700,000					
68.	MHA53000	\$ 313,099	\$ -	Approved			\$ 313,099					
69.	DOC8000	\$ 6,000,000	\$ -	Approved			\$ 6,000,000					
70.	DCFS1000	\$ 1,820	\$ 3,700	Approved			\$ 5,520					
71.	OEC4800	\$ 1,316,573	\$ -	Approved			\$ 1,316,573				\$ 1,316,573	Administered through EdAdvance
72.	OEC4800	\$ 180,000	\$ -	Approved	\$ 180,000	\$ -	\$ -					Approved for April, May and June
73.	DSS60000	\$ 180,000	\$ 90,000	Approved	\$ 80,000	\$ 45,000	\$ 45,000		\$ 145,000			Approved for April - June. State share @ enhanced FMAP (+6.2%) for payments made in May and June. (for service months of April and May)
74.	DPH48500	\$ 203,981	\$ -	Approved			\$ 203,981					Source: CARES Act. Must be used for services, activities, and supplies needed to prevent or minimize the impact of COVID-19 on RWJAP clients
75.	Var	\$ -	\$ -	Approved in part			\$ -			\$ 203,981		Approved if within existing agency CEFF allocations and as part of normal equipment refresh.
76.	CRD47200	\$ 902,036	\$ -	Approved			\$ 902,036					Convention Center COVID19 costs, cleaning, facilities maintenance.
77.	DPH48500	\$ 2,800,000	\$ -	Approved			\$ 2,800,000			\$ 2,800,000		OT for existing nurse consultant staff, TWRS and potential contract with a nursing staffing agency, DPH pursuing CMS CARES grant to defray costs.
78.	OSCI5000	\$ 60,000,000	\$ 17,260,316	Approved			\$ 77,260,316					Placeholder of \$15m per month = 10,000 tests/day * \$50 * 30 days. Amount is in addition to \$182 million in other federal funds (4th supplemental bill) awarded to CT for testing. Updated to reflect \$255,444 is paid from OPW for assisted living testing. \$484,240 subtracted and shown separately as a direct allotment to UConn Health.
79.	DPH48500	\$ -	\$ -	Approved			\$ -					No project was identified.
80.	DAS23000	\$ 2,350,000	\$ -	Approved			\$ 2,350,000					Incurred in May and June
81.	CRD47200	\$ 314,849	\$ -	Approved			\$ 314,849					Cleaning supplies, air filters, handrail sanitation, technological needs for telework.
82.	CRD47200	\$ 478,048	\$ -	Approved			\$ 478,048					
83.	CRD47200	\$ 92,718	\$ -	Approved			\$ 92,718					
84.	DOH46900	\$ 7,500,000	\$ 5,000,000	Approved			\$ 12,500,000					Assumes 3 months. FEMA has approved reimbursement @ 75%. White House and Treasury confirm CFE can be used for FEMA match. CFE match for hotel initiative thru 9/30. Increase in FEMA, receivable of \$3.75M for expenses through 3/31 - match from HUD-CDBG funds. (\$1.25M).
85.	HRD41100	\$ 49,027	\$ -	Approved			\$ 49,027		\$ 92,718	\$ 1,250,000		Agency has cleaned facilities day and night, purchased fogger machines, PPE for staff and inmates. Food now brought to inmates cells, prepackaged and no longer chow setting.
86.	DOC8000	\$ 3,118,005	\$ -	Approved			\$ 3,118,005					Medical staffing needed due to staff shortages
87.	DOC8000	\$ 1,334,305	\$ -	Approved			\$ 1,334,305					Overtime related to having to open wings of northern to serve as COVID isolation units. OT related to staff shortages when staff use their 14 days
88.	DOC8000	\$ 2,104,560	\$ -	Approved			\$ 2,104,560					
89.	DAS23000	\$ 238,000	\$ -	Approved			\$ 238,000					
90.	DVA21000	\$ 1,107,277	\$ -	Approved			\$ 1,107,277					Weekly spot checks, repair and maintenance and retrograde of the four mobile field hospital setups at St. Francis Hospital, Middlesex Hospital, Danbury Hospital & Sharon Hospital. Was reduced from \$67,100.
91.	MIL36000	\$ 7,817	\$ -	Approved			\$ 7,817					

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Funding Source						Notes		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CFE	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes
92.	MIL36000 Task Force Medical - State Active Duty	\$ 141,000		\$ 141,000	Approved				\$ 141,000					20 personnel will be assigned duties at Stamford Hospital to assist Federal and state military personnel conducting medical operations for 30 days. Lodging for 20 personnel for 30 days - was approved at \$36,000, only needed \$2,000
93.	MIL36000 Task Force Medical - Hotel Lodging	\$ 2,000		\$ 2,000	Approved				\$ 2,000					
94.	SOS125000 Funding for newspaper posting of additional executive orders	\$ 62,278		\$ 62,278	Approved				\$ 62,278					
95.	DCFS1000 Per Diem Rate Based Residential Programs	\$ 1,997,682	\$ 258,808	\$ 2,256,490	Approved				\$ 2,256,490					
96.	DCFS1000 Group Homes	\$ 534,126	\$ 69,198	\$ 603,324	Approved				\$ 603,324					
97.	DCFS1000 Other Congregate Care	\$ 294,547	\$ 38,160	\$ 332,707	Approved				\$ 332,707					Financial support during school closure to ensure service network is maintained, within current budget.
98.	DCFS1000 School of Origin Transportation	\$ -	\$ -	\$ -	Approved				\$ -					Financial support during school closure to ensure service network is maintained, within current budget.
99.	DCFS1000 After-School Programs	\$ -	\$ -	\$ -	Approved				\$ -					
100.	MHAS3000 CVH Surge Capacity at 60 West	\$ 100,000		\$ 100,000	Approved				\$ 100,000					DMHAS (CVH) will be using 60 West as surge capacity to care for COVID pos patients until they are no longer positive and can go back to their units at CVH. Financial estimate assumes 20 patients for 30 days.
101.	DOH46000 Case management for Danbury shelter clients / hotels	\$ 150,000		\$ 150,000	Approved				\$ 150,000					Estimated costs for providers to deep clean group homes once an individual has tested positive for COVID-19. Revised 8/1/2020 - No additional funds required. Funds in the amount of \$1.2 million will be de-allocated.
102.	DDSS0000 Deep cleaning costs for private provider residential programs	\$ -		\$ -	Approved				\$ -					Cleaning costs for the state operated regional centers, Southbury Training School and group homes after an individual working in such location has been identified with COVID-19.
103.	DDSS0000 Deep cleaning costs for DDS facilities	\$ 312,540	\$ 409,550	\$ 722,090	Approved				\$ 722,090					OT costs and approximately 160 temporary DSW, LPM and RN positions to ensure continued coverage of public facilities. Original estimate of \$3,000,379 has been reduced to \$975,379.
104.	DDSS0000 Overtime and temp hiring to ensure staff coverage	\$ 407,097	\$ 568,282	\$ 975,379	Approved				\$ 975,379					Virtual Desktops (Firewall Security), Call Center PC's, Printers, Equipment, and Call Management Software. (Net adjustment over first request - includes 3rd submitted request.)
105.	JUD95000 JUD - 3 - PPE, cleaning and disinfecting, technology, and other response costs	\$ 200,609		\$ 200,609	Approved				\$ 200,609					Responsibilities including implementing telework, agency-wide, procuring and distributing employee PPE, additional coordination with business partners including transit, rail, contractors, etc., to continue maintaining essential business functions; and deep cleaning costs. Includes funding for Over-the-Cap Overtime Request. Reduced by 23,955 on 12/4/2020.
106.	DOTS7000 DOT - 1 - Staff Overtime	\$ 115,734		\$ 115,734	Approved				\$ 115,734					Sanitizing of 12 maintenance facilities & central office. Reduced by \$82,051 on 12/4/2020.
107.	DOTS7000 DOT - 1 - Materials & Supplies for Sanitizing Agency Facilities	\$ 226,698		\$ 226,698	Approved				\$ 226,698					Recommending funding for headsets to utilize more teleconferencing when staff returns to the office. Not recommending (and not including here) funding for webcams. Reduced by 82,896 on 12/4/2020.
108.	DOTS7000 DOT - 1 - Back-To-Work Office Equipment / Mainframe Training	\$ 1,406		\$ 1,406	Approved				\$ 1,406					24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
109.	MHAS3000 MH Residential (Includes Intensive, Transitional, Group Homes, ABI Community Residence, Respite, IP IMD, Supervised Housing, Shelters)	\$ 3,752,678		\$ 3,752,678	Approved				\$ 3,752,678					24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
110.	MHAS3000 Substance Abuse Medically Monitored Residential Detox	\$ 122,009		\$ 122,009	Approved				\$ 122,009					24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
111.	MHAS3000 Substance Abuse Residential Treatment (Intensive, Intermediate, Long Term, Recovery Housing)	\$ 2,084,167		\$ 2,084,167	Approved				\$ 2,084,167					24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
112.	MHAS3000 Young Adult Services	\$ 1,649,551		\$ 1,649,551	Approved				\$ 1,649,551					Providers have incurred costs including hazardous duty, purchase of telehealth/telework equipment and licenses, PPE, and cleaning services. Additionally providers continue to pay staff not able to work due to quarantine/illness while paying overtime or temporary workers.
113.	MHAS3000 Community Services (Including Outpatient, IOP, MMT, ACT, CSP, Case Management, BHH, Employment, Jail Diversion, Outreach, Crisis, Residential Support, Supervised Housing, Pre-Trial Education, etc.)	\$ 3,775,730		\$ 3,775,730	Approved				\$ 3,775,730					

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Funding Source					Notes			
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	
114.	OSCI5000 Request for funding for COVID-19 related expenses support teleworking	\$ 70,653	\$ -	\$ 70,653	Approved				\$ 70,653					50 laptops; minor telecommuting IT related expenses and cleaning supplies.
115.	DMV350000 Funding for installation of an appointment system to control the traffic flow of customers and to maintain social distancing	\$ 150,000	\$ -	\$ 150,000	Approved				\$ 150,000					This will help prevent the spread of serious illnesses and will assist in maintaining the health of customer facing employees. Update 12/07/2020 - reduced by \$49,903 to reflect actual costs. Funding transferred to other DMV approved items.
116.	DMV350000 Funds to install sneeze guards throughout the branches and testing centers	\$ 75,097	\$ -	\$ 75,097	Approved				\$ 75,097					Due to the virus, the Agency is now completing nightly deep cleaning along with a weekly disinfecting spray of branches that are being utilized by staff and/or public. SFY 21 estimate is through 12/30/2020. Update 12/07/2020 - increased by \$405,000 to reflect actual costs. Funding transferred from other DMV approved items that were reduced.
117.	DMV350000 Funding for the cleaning and disinfecting of branches	\$ 447,000	\$ 1,523,098	\$ 1,970,098	Approved				\$ 1,970,098					Original approved amount was \$700K but it has been reduced by \$55K so the funding could be transferred to approved item #130.
118.	DOI17500 Implement remote call center for Consumer Affairs + Deep Cleaning Costs	\$ 16,694	\$ -	\$ 16,694	Approved				\$ 16,694					This will help prevent the spread of serious illnesses in DESPP's HQ and will assist in maintaining the health of customer facing employees. Additional trailers may be requested for some of the other units once the agency opens to the public. NOTE: The \$13K approved for trailers is unneeded due to a management decision to not utilize trailers. Agency believes this may be FEMA reimbursable Source: Child Care Development Block Grant.
119.	DPF52000 Funds for deep cleaning supplies (sanitizers, disinfectant, etc.) and PPE (gloves, N-95, masks, infrared thermometers, face shields, decontamination systems).	\$ 645,000	\$ -	\$ 645,000	Approved				\$ 645,000					Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
120.	DPF52000 Funds to rent a modular trailer for six months that will be located in the north lot for those customers arriving at HQ to be fingerprinted.	\$ -	\$ -	\$ -	Approved				\$ -					
121.	DOH46900 Provide Hotel Oversight through Seasonal Shelter	\$ 64,875	\$ -	\$ 64,875	Approved				\$ 64,875					
122.	OEC44800 Child Care Provider Incentive Payments	\$ 4,000,000	\$ -	\$ 4,000,000	Approved				\$ 4,000,000					
123.	OCCG7000 Equipment, supplies, and other COVID-related expenditures (excl. student refunds) - Newly reported as of 5/22	\$ 885,512	\$ -	\$ 885,512	Approved				\$ 885,512					
124.	DFG91000 Office Cleaning	\$ 8,750	\$ 54,581	\$ 63,331	Approved				\$ 63,331					
125.	DFG91000 HIPAA Compliant Document Bags for Telework	\$ 2,941	\$ 18,247	\$ 21,288	Approved				\$ 21,288					
126.	DFG91000 PPE/Cleaning Supplies/Infection Control Printed Materials /Scrubs & Uniforms	\$ 102,940	\$ 642,129	\$ 745,069	Approved				\$ 745,069					
127.	DFG91000 Temporary Nursing to Screen Workers Entering State Buildings	\$ 32,353	\$ 201,812	\$ 234,165	Approved				\$ 234,165					
128.	DFG91000 IT Devices and Software to Implement Telework / Consultant Staff Re-deployed from CT KIND Development to Mobility Deployment	\$ 73,529	\$ 438,664	\$ 512,192	Approved				\$ 512,192					
129.	DOH46900 Coordinated Access Network - Statewide Shelter Support	\$ 2,000,000	\$ -	\$ 2,000,000	Approved				\$ 2,000,000					This request includes approximately \$800,000 of hazard pay for CAN/Shelter staff. NOTE: Only \$2M has been allotted so far. IT redirected 100 laptops that were part of the agency's re-fresh program (to employees with desktops). Original approved amount was \$130K but it has been increased by \$55K to purchase other computer peripherals. This additional funding was transferred from PPE/Cleaning (Approved item #119).
130.	DPF52000 Funds for 100 laptops to allow staff to telework	\$ 185,000	\$ -	\$ 185,000	Approved				\$ 185,000					Child Care Development Block Grant
131.	OEC44800 Provide targeted subsidies to private child care providers to ensure financial viability to support the state's efforts to re-open.	\$ 8,000,000	\$ -	\$ 8,000,000	Approved				\$ 8,000,000					Payments will be made to landlords. Revised 11/30 - de-allotted \$10 M in CRF funds to account for \$10M in HUD CD68 funds. \$72,957 was approved on 12/1 for Overtime for TRAP Program Staff out of existing Allocation. CRF portion revised down to \$23,272,000 on 12/3/20 - estimate based on number of contracts to get executed by 12/30 deadline - OPM therefore de-allotted \$6,728,000. Updated 12/9 - additional \$2.5 million allocated from DOH CD66 funds to support the program. REVISED 12/30 - Reapproved \$2.5 million in CRF from Treasury hotel back to TRAP. Includes provision of laptops and other technical resources to support remote work, server enhancements, and other administrative costs. Estimate includes previously requested administrative support for Pandemic EBT at revised amount.
132.	DOH46900 Temporary Housing Assistance including Rent Relief and Associated Overtime for Staff	\$ 36,572,000	\$ -	\$ 36,572,000	Approved				\$ 36,572,000					To pay higher medically complicated foster rate when foster parent/child tests COVID positive.
133.	DS550000 Administrative and technical support for pandemic response and remote working	\$ 54,734	\$ 9,863,282	\$ 9,918,016	Approved				\$ 9,918,016					Supports for approximately 160 individuals with intellectual disability who are eligible for the program due to a temporary loss in wages and require assistance with paying rent in May and June. Revised 8/1/2020 - No additional funds required. Funds in the amount of \$382,126 will be de-allotted.
134.	DFG91000 Special Stipend for Foster Parents/Children who test COVID positive	\$ 29,590	\$ -	\$ 29,590	Approved				\$ 29,590					Additional cost to the agency to support overtime and equipment costs in order to enable staff to telework.
135.	DD550000 Additional Rent Subsidy supports for individuals unable to work.	\$ -	\$ -	\$ -	Approved				\$ -					Additional cost to the agency for cleaning of offices and installation of plex-glass in reception areas.
136.	SDRG35000 IT technology and support to implement telework	\$ 61,843	\$ -	\$ 61,843	Approved				\$ 61,843					
137.	SDRG35000 Cleaning and disinfecting costs of offices	\$ 33,490	\$ -	\$ 33,490	Approved				\$ 33,490					
138.	DOH46900 Flexible Response Measures - Non-Congregate Housing	\$ 220,000	\$ -	\$ 220,000	Approved				\$ 220,000	\$ 165,000				\$55,000 from CRF. \$165,000 FEMA

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Funding Source					Notes		
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139.	OTT14000 Laptops (\$25,588), gloves and cleaning supplies (\$128). COVID related expenses - IT equipment \$355,066 (Laptops, WiFi doggles and Headsets), Zoom conferencing \$531 and PPE and cleaning supplies \$3,876.	\$ 25,716	\$ -	\$ 25,716	Approved				\$ 25,716				
140.	DRS16000 Instruction, Student Support, and Technology exceeding available HEER funding at CSUs and COSC.	\$ 359,473	\$ -	\$ 359,473	Approved				\$ 359,473				
141.	BOR77700 JUD - 2 - PPE, cleaning and disinfecting, technology, and other response costs	\$ 432,454	\$ 12,831,142	\$ 13,263,596	Approved				\$ 13,263,596				
142.	JUD59000 JUD - 2 - PPE, cleaning and disinfecting, technology, and other response costs	\$ 183,142	\$ -	\$ 183,142	Approved				\$ 183,142				
143.	PO598900 PDS - 1 - Communications & Temporary Full-Time Attorneys for Case Backlogs	\$ -	\$ 182,229	\$ 182,229	Approved				\$ 182,229				
144.	DMV95000 Teleworking equipment	\$ 73,750	\$ -	\$ 73,750	Approved				\$ 73,750				
145.	MIL36000 Retrograde Operations - Mobile Field Hospitals State Active Duty	\$ 67,000	\$ -	\$ 67,000	Approved				\$ 67,000				
146.	GOV12000 Crisis communications and ReOpen CT public awareness	\$ 5,000,000	\$ -	\$ 5,000,000	Approved				\$ 5,000,000				
147.	DA523000 424 Chapel Street N95 Mask Decontamination Sterilization Unit	\$ 299,460	\$ -	\$ 299,460	Approved				\$ 299,460				
148.	DD550000 Additional supports for individuals receiving only in-home and/or day supports - Residential account	\$ -	\$ -	\$ -	Approved				\$ -				
149.	DD550000 Additional supports for individuals receiving only in-home and/or day supports - Day account	\$ -	\$ -	\$ -	Approved				\$ -				
150.	DD550000 Residential Provider Supplemental Payments	\$ 35,262,972	\$ 12,599,201	\$ 47,862,173	Approved				\$ 47,862,173				
151.	DD550000 Day Provider Supplemental Payments	\$ 7,428,935	\$ -	\$ 7,428,935	Approved		\$ 7,428,935						
152.	DP532000 Emergency Management Performance Grant (EMPG-S) Program - Supplemental	\$ -	\$ 2,789,396	\$ 2,789,396	Approved					\$ 1,394,698			
153.	DOH46900 To provide a grant Pacific House Inc. to purchase a hotel in Danbury that they will own and operate as a shelter. The shelter will meet a need in the community brought about by the pandemic's impact on homeless shelters throughout the region.	\$ 4,825,000	\$ -	\$ 4,825,000	Approved				\$ -			\$ 4,825,000.00	
154.	DOL40000 Various items, plexiglass, cleaning supplies, laptops, printing and staff costs, cctv monitoring at A/Js	\$ 2,701,802	\$ -	\$ 2,701,802	Approved				\$ 2,701,802				
155.	BOR77700 Student Fee Refunds & Public Safety Costs	\$ 17,677,551	\$ -	\$ 17,677,551	Approved				\$ 17,677,551				

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Funding Source						Notes		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	
156. DHE65000	State-mandated COVID testing on independent college & university campuses	\$ -	\$ 4,300,000	\$ 4,300,000	Approved				\$ 4,300,000					Distribution by OHE needs to be set up before allocating funds.
157. UHC72000	Capital Purchases, Telework, Lab & Medical Supplies, PPE, Facilities, Overtime for Medical Staff	\$ 3,046,650	\$ -	\$ 3,046,650	Approved				\$ 3,046,650					COVID-related costs for teleworking transition, equipment/supplies, medical supplies, drugs, lab services, and other related expenses. Does not include student refunds or revenue losses. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.
158. DPH48500	Specimen collection for testing nursing home residents and staff	\$ 23,748,850	\$ 72,369,192	\$ 96,118,042	Approved				\$ 96,118,042					To fund Care Partners for collection of specimens and laboratory testing of nursing home residents and staff for COVID-19 through December 2020.
159. MIL36000	Overtime and Other Expenses: Costs Related to Cleaning Facilities	\$ 25,000	\$ -	\$ 25,000	Approved				\$ 25,000					Funding to cover costs of overtime and cleaning supplies for Military facilities.
160. DPH48500	Consultant to review NH and LTC components of CT's response to the pandemic	\$ 449,411	\$ -	\$ 449,411	Approved				\$ 449,411					NEW Vendor selected on 6/29/20.
161. DOL40000	UI Call Center - 6 months	\$ 3,939,242	\$ -	\$ 3,939,242	Approved				\$ 3,939,242					
162. MHAS30000	Equipment for to Facilitate Telework and Telehealth for State-Operated Facilities	\$ 713,535	\$ -	\$ 713,535	Approved				\$ 713,535					Equipment includes Laptops, Software, Servers, Telemed carts, Video Conferencing systems/equipment, cleaning machinery.
163. MHAS30000	IT Supplies, Software, Telecommunications for State-Operated Facilities	\$ 339,765	\$ -	\$ 339,765	Approved				\$ 339,765					OE expenditures include software, iPhones, air cards, conference lines for telework. Also phones for 24/7 sites for clients to communicate with family, friends, etc. due to visitor restrictions.
164. MHAS30000	Emergency Hiring for State-Operated Facilities	\$ -	\$ -	\$ -	Approved				\$ -					PS costs for temporary hires including nurses, custodians, IMHAs, assistant cooks.
165. MHAS30000	Temporary Services for State-Operated Facilities	\$ 2,746,706	\$ -	\$ 2,746,706	Approved				\$ 2,746,706					OE costs for contracted staff including housekeeping and workers to screen staff reporting to work.
166. MHAS30000	PPE, Medical, Cleaning, Personal Hygiene Supplies for State-Operated Facilities	\$ 1,348,454	\$ -	\$ 1,348,454	Approved				\$ 1,348,454					OE costs.
167. MHAS30000	Other Supplies for State-operated Facilities	\$ 239,670	\$ -	\$ 239,670	Approved				\$ 239,670					OE costs for office supplies like secure medical records bags to protect PHI, kitchen/dining/food supplies for individual meal servings and cleaning supplies for the pandemic.
168. DOL40000	COVID Summer Youth Employment Initiative	\$ 1,523,690	\$ -	\$ 1,523,690	Approved				\$ 1,523,690					Funds will be provided through the Department of Labor to the five workforce development boards (WDBs) to support COVID related summer youth employment initiatives. Specifically, the WDBs will seek to provide funding to support health related youth employment through partnerships with IQHCS, AHCS and other community providers. Original Allocation was \$2M - reduced by \$476,310 on 12/9/20
169. SDE64000	CTECS - PPE, equipment, cleaning, public safety overtime	\$ 2,677,646	\$ -	\$ 2,677,646	Approved				\$ 2,677,646					FY20 expenditures at CTECS for purchases including PPE, technology, cleaning supplies, public safety overtime, and other direct response costs.
170. OPM20000	Purchase 75 laptops, docking stations, and headsets, to support telework by agency employees	\$ 83,350	\$ 12,500	\$ 95,850	Approved				\$ 95,850					To purchase 75 laptops, docking stations and knapsacks, and headsets, to provide equipment for telework.
171. DOL40000	Overtime for Call Center through Labor Day	\$ -	\$ 1,796,622	\$ 1,796,622	Approved				\$ 1,796,622					Significant funding for laboratory operations, epidemiologic surveillance and reporting, contact tracing, and testing, among other activities. State application submitted to CDC on 6/18/20
172. DPH48500	CDC Enhancing Detection grant	\$ 182,633,998	\$ -	\$ 182,633,998	Approved				\$ 182,633,998					Supplemental immunization funding. Primary purpose is to enhance influenza vaccination coverage as a critical part of COVID-19 response work
173. DPH48500	Immunization Program	\$ 1,696,075	\$ -	\$ 1,696,075	Approved				\$ 1,696,075					Stabilize funding for school readiness programs in priority school districts
174. OEEG4800	Priority School Readiness	\$ 5,559,359	\$ -	\$ 5,559,359	Approved				\$ 5,559,359					Technology to support a Judicial Call Center by enhancing social distancing. One-time purchase for controllable equipment and phones to expand the call center program. There are no additional operational costs currently or in the future because the program will be administered with existing personnel in an existing facility. Covered in review for CEF funds. Decision made to have CRF cover these costs.
175. JUD95000	Call Center Technology for Social Distancing	\$ 219,570	\$ -	\$ 219,570	Approved				\$ 219,570					

Agency	Item	Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source						Notes			
		Gross Cost SFY 2020	Gross Cost SFY 2021		Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX		Federal - Other	Philanthropy	
176.	DOTS7000 DOT - 2 - Road Equipment - Electronic Signs and Monitors	\$ -	\$ -	Approved					\$ -					10% of request funded through CRF with balance to be funded through PAYGO. Request covers cost of 40 variable messaging signs to place throughout the state to assist in coordination and logistics of resources and messaging for the public. DOT currently has 14 signs. DOT Highway Operations determines where the signs should go. DOT has been asked to explain why the signs can't be rented, as they have rented other signs. Also, getting the signs this late into the pandemic for CT -- would it make that much of a difference? - was \$737,776. Reduced by 73,778 (to \$0) on 12/4/2020.
177.	DMV35000 Purchase of two way radios for branch locations	\$ 22,000	\$ -	Approved					\$ 22,000					The radios will enhance communication for added security to control foot traffic to maintain social distancing and appointment only scheduling, as well as maintain communication with healthcare providers and essential staff. This purchase will enhance communication and provide additional safety and security measures at all DMV locations.
178.	DMV35000 Funding to reconfigure work space to allow for employees to report back to the office	\$ 150,000	\$ -	Approved					\$ 150,000					The contact center is experiencing a unprecedented amount of calls. Due to the need for social distancing, the current contact center location is only working at 35% staff capacity. In order to bring staffing levels to 100%, the DMV needs to reconfigure current office space. In addition, enhancements are also being made in the administrative areas to allow staff who do not have the ability to work from home to come back to a healthy and safe environment.
179.	DMV35000 Funding for Temperature Screening	\$ 36,000	\$ 600,000	Approved					\$ 636,000					Due to the COVID19 crisis, the Department of Motor Vehicle has started temperature screening both employees and customers that enter our branch locations. The DMV is requesting funding for the healthcare providers needed to perform these screening.
180.	DMV35000 Funds for a digital enablement project to support increased online access to the public and allow additional tools to drive simple transactions online, and out of DMV branches.	\$ -	\$ 3,173,124	Approved					\$ 3,173,124					Update 12/07/2020 - reduced by \$426,876 to reflect actual costs. Funding transferred to other DMV approved items. The projects listed below will help drive the public to simpler and easier online transaction options, thereby slowing the foot traffic that will be required to come into DMV public buildings. Projects: Integrated Online Services, Out-of-State Dealer Online, Enable Work from Home for DMV Employees, Scanning Solution
181.	DMV35000 Funds for touchless soap and hand sanitizer dispensers, including floor stands, along with the initial distribution of soap and hand sanitizer to fill our new touchless dispensers.	\$ -	\$ 33,590	Approved					\$ 33,590					These dispensers will be located throughout our branch and office locations. Update 12/07/2020 - reduced by \$722 to reflect actual costs. Funding transferred to other DMV approved items.
182.	DOC8000 Expand Telemedicine and Telemental Health Program	\$ 470,000	\$ -	Approved					\$ 470,000					Funds will be used to procure additional laptops and tablets for staff, webcams, Mobile Medical Devices, and Telemedicine Cans.
183.	DOL40000 Call center enhancements and support	\$ -	\$ 824,778	Approved					\$ 824,778					Reduced by \$46,237 on 12/3/20 based on revised estimate, as to expenses thru 12/30 from DOL.
184.	DSS60000 Emergency feeding program	\$ -	\$ 4,507,654	Approved					\$ 4,507,654					
185.	JUD95000 JUD - 1 - PPE, cleaning and disinfecting, and other response costs	\$ 689,190	\$ -	Approved					\$ 689,190					
186.	DSS60000 Provide funding to support testing of high-risk populations	\$ -	\$ 66,615,520	Approved					\$ 66,615,520					
187.	MIL36000 State active duty post-8/8/20 to support PPE distribution and warehousing, testing and other COVID activities	\$ -	\$ -	Approved					\$ -					\$2,633,293 as a 25% match was approved from CRF (Total cost was \$10,533,170). Funding was unnecessary as orders were extended. Original note - Contingency in the event that a presidential extension of federal coverage of National Guard costs is not approved.
188.	SDE64000 Devices for remote learning	\$ 15,000,000	\$ -	Approved					\$ 15,000,000					Funds to be used in conjunction with Governor's Education Emergency Relief fund to provide devices where either districts or families are unable to do so.
189.	DOL40000 Additional Staff to Support UI claimload, integrity, Call center OT and system enhancements.	\$ -	\$ 11,593,257	Approved					\$ 11,593,257					Additional funding through 12/31/20 to support critical areas of the UI efforts - including UI initial claims (35 FTE) appeals (30 FTE) and tax (25 FTE), all of which are impacted by the increased claim load. Reduced by \$257,060 to reflect updated expenses through 12/30 from DOL. Reduced by \$133,819 on 12/9 based on revised estimates from DOL.
190.	DMS16000 WiFi (cables and headsets), Zoom conferencing \$408 and PPE and cleaning supplies \$10,911.	\$ -	\$ 153,423	Approved					\$ 153,423					
191.	SOS12500 Cost of publishing various Executive Orders	\$ 124,216	\$ -	Approved					\$ 124,216					Costs related to printing EO 766 - TTT in various papers as required by statute
192.	DOL40000 Support for a vendor solution to address the overpaid unemployment insurance claims during the pandemic	\$ -	\$ 2,659,839	Approved					\$ 2,659,839					Funding of \$1,825,622 was initially allotted. Allotment was increased in Nov by \$84,207 to support vendor support of additional 30 staff and OT for overpayment of UI benefits.
193.	PCA98000 PCA - 1 - Remote Desktop Services; Laptop and Accessories	\$ 55,574	\$ -	Approved					\$ 55,574					To allow staff to telework - Remote desktop services; Laptop & accessories; and monitors, webcams, and webex subscriptions to allow court to conduct hearings.
194.	DFPS20000 Funds for DESPP/CSP to moved away from face-to-face contact and replace inefficient electronic communications. See project list in Notes.	\$ -	\$ 350,000	Approved					\$ 350,000					• Project # 2: Mobile Data Terminal (MDT) Internet Project and Project # 3: Law Enforcement Encrypted Mobile-Application total \$350,000
195.	SDE64000 Content and Social Emotional Learning	\$ -	\$ 300,000	Approved					\$ 300,000					Content effort may have some portion allocated to SERC with guidance from SDE. Allocation Reduced on 12/11 to \$300,000 from \$2 million.

Agency	Item	Total Cost - Expenditure or (Revenue Loss)		Status	Funding Source						Notes						
		Gross Cost SFY 2020	Gross Cost SFY 2021		Gross Total	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA		Federal - T-XIX	Federal - Other	Philanthropy			
196.	DOL40000																To support expenditures up to approved amount for 3 month SOW with Accenture to provide surge support in Shared Work program and implement automation of same program. Support for post 12/30 from SBR.
197.	DAS23000																BCG contract expiration requires ongoing staff support for COVID response. Estimate based on 6 DPWts @ \$5,500/month/each plus fringes.
198.	OPM20000																The public demand for DMV services is high and with the new appointment only system, the DMV is anticipating the need for control of both foot and vehicle traffic to keep both the citizens and staff safe.
199.	SP6E4000																Update 12/07/2020 - increased by \$75,000 to reflect actual costs. Funding transferred from other DMV approved items that were reduced.
200.	DMV95000																Update 12/07/2020 - reduced by \$5,667 to reflect actual costs. Funding transferred to other DMV approved items.
201.	DMV95000																DOC was asked to change labs for COVID testing as the existing lab, Quest, was facing national delays in processing samples. DOC is moving to SEMA4 and Jackson labs to process their testing samples, but there will be a cost of \$39,000 each to integrate the lab with DOC's EMR system.
202.	DOC88000																DESPP is requesting \$1.5M in CRF for overtime plus use of \$700K of the \$1.4M in funds allotted for Housing (approved item #64) be redirected to this Personal Services overtime request, totaling \$2.2M for projected overtime expenses in SFY 21. CSP continues Warehouse detail and DEMHS continues Emergency Management for COVID. Additionally, DESPP has a backlog in SBEJ and Engineering that will require additional hours to process all are related to reduced/shutdown of services during the height of the pandemic. We have been told to expect an increase from OEC, DPH, school security guards as well as bus drivers in the coming weeks. We also anticipate a need in the late Fall for CSP services at the testing centers and vaccine centers. In the first 3 PP (through 7/16/20) DESPP has expended nearly \$190K in overtime coded to TASK Code P6881 (Covid 19 Responses)
203.	DPS22000																Funding through the CT Association of Councils of Governments for assistance from the Global Resilience Institute (GRI).
204.	OPM20000																Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
205.	UHC72000																Fog microbial disinfectant, steam, and HVAC duct cleaning at 2 facilities operated by the State Library.
206.	CSL66000																To safely operate the State Library's statewide delivery service, 75 sheds were installed at libraries around the state that could not provide keys or access codes to facilitate contactless delivery of library materials.
207.	CSL66000																Funds will be utilized for vendor solution by 12/30 - 2 wk. implementation timeline.
208.	OPM20000																Allocation reduced by \$3.2 million on 12/15 from \$41 to \$37.1 million.
209.	DOL40000																Agency believes this may be FEMA reimbursable
210.	SP6E4000																Revised 12/3/20 (reduced by \$500)
211.	SP6E4000																PPE, signage and other supplies needed for libraries to open up indoor and outdoor spaces for people to safely use expanded public WiFi. Does not include staffing costs.
212.	SP6E4000																\$1.7M for the Connecticut Education Network to install wifi infrastructure and \$300,000 to DAS for wifi marketing contract. NOTE - Revised to \$1M total, \$700K for wifi, \$300K for marketing. \$1M will come from GEER funds 12/3/20
213.	SP6E4000																
214.	ECD46000																
215.	ECD46000																
216.	ECD46000																
217.	CSL66000																
218.	DAS23000																
219.	ECD46000																

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		Gross Cost SFY 2020	Gross Cost SFY 2021		Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-10X		Federal - Other	Philanthropy	
220. UHC72000	Capital Purchases, Telework, Lab & Medical Supplies, PPE, Facilities, Overtime for Medical Staff	\$ -	\$ 3,939,795	Approved					\$ 3,939,795					Total actuals and projected COVID-related costs at UConn Health projected through 12/30/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
221. UOC067000	Academic preparation, PPE, medical supplies/drugs, Research, health & safety services, shipping, telework, etc.	\$ -	\$ 1,066,584	Approved					\$ 1,066,584					Ongoing COVID response costs at UConn Storrs/Regional campuses reported as of 8/21/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
222. BOR77700	Online Conversion Costs, PPE/Supplies, Facility Costs, and Donated Equipment	\$ 2,151,055	\$ -	Approved					\$ 2,151,055					Does not include revenue losses. Cost updated to reflect most recent data from BOR. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.
223. SO51500	Cost of Publishing various Executive Orders	\$ -	\$ 150,557	Approved					\$ 150,557					
224. OTT14000	Laptops (\$25-257), gloves and cleaning supplies (\$2,106)	\$ -	\$ 27,363	Approved					\$ 27,363					
225. Various	Public health and public safety staff costs	\$ -	\$ -	Approved					\$ -					Component of October 1 deficit mitigation plan, \$100 million no longer needed given latest GF projections. CRF can be re-deployed to testing, vaccines, and other direct COVID costs.
226. OPM20000	Funding for Councils of Government to support direct expenses and legal costs for executive order support	\$ -	\$ 415,000	Approved					\$ 415,000					
227. ECD46000	Pandemic outreach text translation and creation of indoor/outdoor event guidance	\$ -	\$ 14,387	Approved					\$ 14,387					
228. ECD46000	Workforce Development and Employment Initiatives	\$ -	\$ 13,659,822	Approved					\$ 13,659,822					Revised downward by \$1M 11/25 from \$15M to reflect actual expenditures by 12/30 of contracted vendors. Final expenditures figure updated 1/11
229. SDE4000	CTECs Re Opening	\$ -	\$ 4,088,890	Approved					\$ 4,088,890					To support an upgrade to the ventilation in the Norwich dental clinic, which provides services to individuals with intellectual disability, to ensure proper ventilation. Dental procedures may generate aerosolized droplets which increase the risk of transmitting coronavirus.
230. DDS50000	Dental Clinic Ventilation	\$ -	\$ 25,000	Approved					\$ 25,000					Web Conferencing (software to conduct virtual meetings), Constituent Outreach (Town hall calls, where legislator outreach to their constituents during this period where they can't meet with them in person and hiring translators for the hearing impaired during that outreach), Premises Cleaning, PPE & Supplies
231. OUM10000	Web Conferencing, Constituent Outreach, Premises Cleaning, PPE & Supplies	\$ -	\$ 72,523	Approved					\$ 72,523					For the health and safety of the DSC workforce and to assist with continuity of state operations during the pandemic. Updated 12/8/2020 - vendor missed four workstations in the original quote. Added an additional \$2,472.20 beyond the original price quoted.
232. OSC15000	Plexiglass installation for OSC Office Reopening	\$ -	\$ 265,896	Approved					\$ 265,896					To pay \$100 stipend per month per child in foster care for 3 months.
233. DCF61000	Stipend for Foster Families	\$ -	\$ 1,039,271	Approved					\$ 1,039,271					Costs reported as of 5/20/20 include institutional costs at State Universities and Charter Oak State College to off-campus courses in Spring 2020 semester, including supplies and technology needed for instruction and remote learning. Does not include student refunds or lost revenue. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
234. BOR77700	Instruction, Student Support, and Technology exceeding available HEER funding at CSUs and COSC	\$ 3,379,595	\$ -	Approved					\$ 3,379,595					Funding of public safety costs through CRF will complement CEF funds intended to support municipal policing efforts associated with addressing violent crime.
235. OPM20000	Temporary assistance with Treasury OIG reporting requirements	\$ -	\$ 6,786	Approved					\$ 6,786					Total actuals and projected COVID-related costs at Storrs and Regional campuses projected through 12/30/20. Distribution currently assumes no reimbursement from FEMA by 12/30. If FEMA reimburses any amount before 12/30, the amount of the reimbursement will shift from CRF to FEMA.
236. OPM20000	Support for Hartford, New Haven and Bridgeport police overtime costs for specialized units.	\$ -	\$ 375,000	Approved					\$ 375,000					Medical staffing needed due to staff shortages (covers July through December)
237. UOC067000	Academic preparation, PPE, medical supplies/drugs, Research, health & safety services, shipping, telework, etc.	\$ -	\$ 6,571,980	Approved					\$ 6,571,980					The cost of equipment (video conferencing, webcam, laptops, software and consulting services) to provide classrooms with remote access capability totals \$1,607.0M. The PPE for staff working across the branch is \$97K. The installation of office barriers costing \$22.3K will assist with social distancing. Cell phones for remote workers totaled \$62K and in the Detention Centers to limit exposure \$133K is being spent to perform temperature screening. Lastly community based services programs received COVID related expenditures of \$175K. November 19 - Judicial revised request from \$4,319,046 to \$1,206,056.31.
238. DDC88000	Temporary Staffing Due to Staff Shortages	\$ -	\$ 1,500,000	Approved					\$ 1,500,000					Plexiglass is needed for the health and safety of the TRB workforce which currently has low partitions in the SOB.
239. JUD95000	Equipment for videoconferencing, PPE, additional cleaning services, office barriers	\$ -	\$ 1,206,056	Approved					\$ 1,206,056					
240. TRB77500	Plexiglass installation for TRB Office	\$ -	\$ 24,572	Approved					\$ 24,572					



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241.	DMV35000 Additional Funding for Temperature Screening	\$ -	\$ 315,000	Approved					\$ 315,000						Due to being open on Mondays plus staying opening additional hours in response to the backlog caused by COVID-19, DMV anticipates needing an additional \$300,000 to cover temperature screening by medical professionals. Update 12/07/2020 - increased by \$15,000 to reflect actual costs. Funding transferred from other approved items that were reduced.
242.	DMV35000 Reconfigure Knowledge Test Areas	\$ -	\$ 143,168	Approved					\$ 143,168						Funding for the redesign and configuration of the Knowledge Test areas throughout the branches. This will allow the agency to increase the number of knowledge tests given while staying within the COVID19 social distancing restrictions. Update 12/07/2020 - reduced by \$11,832 to reflect actual costs. Funding transferred to other approved items.
243.	SOS12500 Printing of Executive Orders	\$ -	\$ 147,000	Approved					\$ 147,000						To fund costs related to printing COVID-related executive orders in various papers as required by statute. Approved by MOJ 12/17/20
244.	ECDA6000 Support for nonprofit arts organizations impacted by COVID	\$ -	\$ 9,000,000	Approved					\$ 9,000,000						Grants to nonprofit arts organizations performing arts centers, performing groups, and schools of the arts)
245.	OEC64800 Additional Funds to Support Remote EEE Slots	\$ -	\$ 1,175,060	Approved					\$ 1,175,060						Funds to support remote learning slots for ECE in an effort to reach children who did not enroll in pre-k and kindergarten due to the pandemic
246.	OCSL3200 Testing and treatment of active and retired state employees and their dependents through the state health insurance plan	\$ -	\$ 40,000,000	Approved					\$ 40,000,000						
247.	ECDA6000 Support for businesses	\$ -	\$ 50,000,000	Approved					\$ 50,000,000						Grants to small businesses impacted by COVID, with \$25 million for businesses located in distressed municipalities and \$25 million for businesses in non-distressed municipalities
248.	ECDA6000 Overtime for Employees Supporting COVID Business Programs	\$ -	\$ 29,120	Approved					\$ 29,120						Overtime to for staff working on CRF supported business grant programs.
249.	ECDA6000 Provide funding to the Office of the Arts in DECD to support Connecticut Humanities	\$ -	\$ 1,500,000	Approved					\$ 1,500,000						To reimburse institutions for refunds issued to students due to campus closures.
250.	UOC67000 Student Fee Refunds	\$ -	\$ 20,000,000	Approved					\$ 20,000,000						
251.	DOCS8000 COVID Related Temporary Services Coets for Inmate Medical Services	\$ -	\$ 1,345,434	Approved					\$ 1,345,434						Specialized mask decontamination units were installed at this location, costs incurred to manage the site, labor, materials, equipment and a floor repair.
252.	DAS23000 Costs at 424 Chapel St Decontamination Units location	\$ -	\$ 207,025	Approved					\$ 207,025						Costs due to door removals, gym floor protection, water damage to mats and gym equipment when this space was used as a COVID Recovery Center.
253.	DAS23000 Costs Related to Converting SCU Moore Field House to Mobile Field Hospital	\$ -	\$ 47,832	Approved					\$ 47,832						
254.	DAS23000 IT And Consulting Costs	\$ -	\$ 279,846	Approved					\$ 279,846						Consulting costs for daily press conferences due to COVID, Delete consulting costs for Reopen CT and HVAC for additional VPN licenses.
255.	DAS23000 Medical Supplies/PPE/Safety Equipment and Security Coets	\$ -	\$ 129,793	Approved					\$ 129,793						Plexiglas, barriers, sanitizer units, HVAC work, PPE, and other medical supplies as well as Security Guard costs at testing sites.
256.	CRDA7200 Surge Hospital - Convention Center	\$ -	\$ 262,325	Approved					\$ 262,325						Funding to support CRDA's expenses related to supporting a surge hospital at the CT Convention Center. Costs include personnel expenses (security, etc), utilities, etc. Assumes National Guard supports actual build out of hospital space and Hartford Health supports staff required consumables including cleaning. Does not include any costs associated with rented structures, etc. (e.g. trailers). Costs for Dec. - February
257.	OLML0000 Web Conferencing, Constituent Outreach, Premises Cleaning, PPE & Supplies	\$ -	\$ 129,388	Approved					\$ 129,388						Encumbered: Web Conferencing (software to conduct virtual meetings), Constituent Outreach (Town hall calls, legislator outreach to their constituents during this period where they can't meet with them in person and hiring translators for the hearing impaired during that outreach), Premises Cleaning, PPE & Supplies.
258.	ECDA6000 Supplemental Small Business Support Grants	\$ -	\$ 35,000,000	Approved					\$ 35,000,000						
257.	DMV35000 Media Response Plan for COVID-19 Preparedness	\$ -	\$ 23,163	Approved					\$ 23,163						
258.	OAG30000 Plexiglass installation for OAG Office Responing	\$ -	\$ 76,451	Approved					\$ 76,451						
259.	SBEG4000 Devices for adult education	\$ -	\$ 1,700,000	Approved					\$ 1,700,000						
258.	OAG30000 Plexiglass installation for OAG Office Responing	\$ -	\$ 20,623	Approved					\$ 20,623						
259.	DOL40000 Call Center Support time 6/30 for 80 temp staff	\$ -	\$ 5,040,000	Approved					\$ 5,040,000						For the health and safety of the OAG workforce and to assist with continuity of state operations during the pandemic. Call Center support for the period 1/1/21 to 6/30/21
260.	GOV12000 Post-December communications related to testing and vaccines	\$ -	\$ 3,000,000	Approved					\$ 3,000,000						
261.	DOL40000 DOL Contact Center/Call Center Support	\$ -	\$ 4,500,000	Approved					\$ 4,500,000						Vendor to augment DOL contact center/call center staff 1/25/21 to 6/30/21 - at least 90 additional contracted staff
262.	DOL40000 UI Contact Center Support for the period 7/1/21 to 12/31/21	\$ -	\$ 18,000,000	Approved					\$ 18,000,000						DOL UI Support : Contact Center Support for the period 7/1/21 to 12/31/21 (\$9 million), additional support for appeals, tax/audit support.
263.	DAS23000 Rental Equipment COVID Recovery Unit at Convention Center	\$ -	\$ 97,652	Approved					\$ 97,652						COVID Recovery Center sinks, shower trailers, restroom trailers at the Convention Center through February
264.	DAS23000 Governor's Crisis Communications Daily Briefings through March	\$ -	\$ 41,550	Approved					\$ 41,550						Governor's crisis communications daily briefings through March.
265.	DAS23000 School Construction Laptops	\$ -	\$ 9,599	Approved					\$ 9,599						Laptops for the school construction unit.
266.	DOL40000 Vendor Support for integrity and Overpayment for the period 4/1/2021 - 12/31/2021	\$ -	\$ 6,231,940	Approved					\$ 6,231,940						

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267.	DOL40000 DOL Contact Center/Call Center and UI Program Support		\$ 10,000,000	Approved		\$ 10,000,000														Vendor to augment DOL contact center/call center staff 7/1/21 to 12/31/21 - at least 90 additional contracted staff (\$4.5 million). Balance of funding is for UI program support.	
268.	ECDA60000 Support for Short-Term Certificate Programs through the Office of Workforce Strategy		\$ 5,000,000	Approved		\$ 5,000,000															
269.	SDE640000 Support for districts due to COVID related enrollment hold harmless provision		\$ 527,000	Approved		\$ 527,000															Part of Governor's FY 2022 - FY 2023 budget proposal.
270.	JUD95000 Equipment for Remote Work		\$ 1,245,546	Approved		\$ 1,245,546															Judicial requested these resources as part of their budget request for FY 2022 - FY 2023. CRF is provided in lieu of appropriated funding.
271.	UHC72000 Testing for UCHC Employees		\$ 484,240	Approved		\$ 484,240															Total testing costs at UConn were about \$5.0 million across all campuses. Approximately \$2.0 million of these costs were necessary to meet minimum balance issued by DPH.
272.	UOCE7000 Testing to meet minimum guidelines issued by DPH		\$ 5,000,000	Approved		\$ 5,000,000															
273.	TBD Economic support and Business Relief		\$ 1,226,720	Approved		\$ 1,226,720															
274.	TBD Education - re-opening		\$ 5,911,110	Approved		\$ 5,911,110															
275.	TBD Higher Education		\$ 5,437,365	Approved		\$ 5,437,365															
276.	TBD Municipalities - general COVID Costs		\$ 50,000,000	Approved		\$ 50,000,000															
277.	TBD Municipalities - Teacher and Staff COVID Testing		\$ 15,000,000	Approved		\$ 15,000,000															
278.	TBD State Operations		\$ 22,001,967	Approved		\$ 22,001,967															
279.	TBD Testing and Active Clinical Monitoring		\$ 3,965,008	Approved		\$ 3,965,008															
280.	TBD Workforce Development and Employment Initiatives		\$ 106,892	Approved		\$ 106,892															
	<b>Subtotal - Additional Expenditures</b>	\$ 831,883,946	\$ 1,120,167,426		\$ 2,056,200,434		\$ 66,401,874	\$ 42,145,785	\$ 4,214,785	\$ 215,345,188	\$ 162,895,500	\$ 287,614,417	\$ 4,616,573								
<b>Revenue Items</b>																					
1.	Rev Pass-through Entity Tax - Delay March 15, 2020 payment date to July 15, 2020	\$ (333,333)		Approved																	Cash flow impact, loss of interest
2.	Rev Corporation Tax - Delay May 15, 2020 payment date to July 15, 2020	\$ (166,667)		Approved																	Cash flow impact, loss of interest
3.	Rev Unrelated Business Income - Delay May 15, 2020 payment date to July 15, 2020	\$ (1,667)		Approved																	Cash flow impact, loss of interest
4.	Rev Indian Gaming Payments - Defer March 15 & April 15, 2020 Payment to Sep. through Dec.	\$ (28,600,000)	\$ 28,600,000	Approved																	Cash flow impact, shift of revenue from FY20 to FY21
5.	Rev Personal Income Tax - Delay April 15 final payment date to July 15	\$ (1,119,556)		Approved																	Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
6.	Rev Personal Income Tax - Delay April 15 1st estimate payment to July 15	\$ (166,813)		Approved																	Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
7.	Rev Personal Income Tax - Delay June 15 2nd estimate payment to July 15	\$ (99,521)		Approved																	Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
8.	Rev LPF & MWV - Delay Various Licenses and Registrations by 180 Days	\$ (37,000,000)	\$ 37,000,000	Approved																	STF. Shift of revenue from FY20 to FY21
9.	Rev Sales and Use Tax - Waive 10 cent plastic bag fee through June 30	\$ (1,800,000)		Approved																	Revenue loss for 1.5 months
10.	Rev Sales and Use Tax - Delay 3/31 & 4/30 payment date to May 31.	\$ (71,681)		Approved																	Cash flow impact, loss of interest
11.	Rev LPF - DPH Delay Various Licenses	\$ (10,000,000)	\$ 10,000,000	Approved																	Per order of DPH Commissioner
12.	Rev Gift Tax - Delay April 15 final payment date to July 15	\$ (10,000,000)	\$ 10,000,000	Approved																	Cash flow impact, loss of interest
13.	Rev LPF - Extend Term for On-Premise Liquor License by 4 months	\$ (1,800,000)		Approved																	One-time revenue loss due to extension
14.	Rev Corporation Tax - Delay June 15 2nd estimated payment to July 15	\$ (98,333)		Approved																	Cash flow impact, loss of interest
15.	Rev Unrelated Business Income - Delay June 15 2nd estimated payment to July 15	\$ -		Approved																	Cash flow impact, loss of interest - included in Corp. Tax figure above
16.	Rev Pass-through Entity Tax - Delay June 15 2nd estimated payment to July 15	\$ (62,083)		Approved																	Cash flow impact, loss of interest
17.	Rev Estate Tax - Delay payments due from 4/17/15 to July 15	\$ (40,000,000)	\$ 40,000,000	Approved																	Cash flow impact, loss of interest
18.	Rev LPF - DEEP 90 Day extension for Environmental Quality Fee	\$ (2,500,000)	\$ 2,500,000	Approved																	Shift of revenue from FY20 to FY21
19.	Rev Refunds of Taxes - delay in refund payments due to extensions of tax filing dates	\$ (150,200,000)	\$ (150,200,000)	Approved																	Shift of refund payments from FY20 to FY21
	<b>Subtotal - Revenue Loss</b>	\$ 163,380,346	\$ (221,000,000)		\$ (5,719,654)		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
<b>Grand Total Impact</b>		\$ 815,503,600	\$ 1,142,267,426		\$ 2,061,920,888		\$ 50,021,928	\$ 64,245,785	\$ 4,214,785	\$ 215,345,188	\$ 162,895,500	\$ 287,614,417	\$ 4,616,573								

State of Connecticut  
Summary of Changes - FY 2021  
General Fund and Special Transportation Fund  
Projected to June 30, 2021  
As of January 31, 2021  
(In Millions)

**General Fund**

Balance from Operations - Prior Month		\$	137.6
Revenues			
No Changes	0.0		0.0
Expenditures			
Additional Requirements	(19.4)		
Estimated Lapses	13.2		
Miscellaneous Adjustments/Rounding	0.0		(6.2)
Operating Surplus - FY 2021		\$	131.4

**Budget Reserve Fund**

Fund Balance as of June 30, 2020		\$	3,074.6
Deposit to SERS/TRS Pursuant to Sec. 4-30a, CGS	(61.6)		
Volatility Cap Deposit	355.1		
FY 2021 Est. Balance from Operations	131.4		424.9
Estimated Fund Balance - June 30, 2021		\$	3,499.5
Fund Balance as Percentage of FY 2021 General Fund			17.4%

**Special Transportation Fund**

Fund Balance as of June 30, 2020		\$	168.4
Balance from Operations - Prior Month			(59.5)
Revenues			
No Changes	0.0		0.0
Expenditures			
Additional Requirements	(1.0)		
Estimated Lapses	0.5		
Miscellaneous Adjustments/Rounding	0.0		(0.5)
Estimated Fund Balance - June 30, 2021		\$	108.4

State of Connecticut  
General Fund  
Statement of FY 2021 Revenues, Expenditures, and Results of Operations  
Projected to June 30, 2021  
As of January 31, 2021  
(In Millions)

	General Assembly Budget Plan <sup>1</sup>	Revised Estimates OPM	Over/ (Under)
<b>REVENUE</b>			
Taxes	\$ 18,873.4	\$ 18,717.4	\$ (156.0)
Less: Refunds	(1,484.7)	(1,773.3)	(288.6)
Taxes - Net	\$ 17,388.7	\$ 16,944.1	\$ (444.6)
Other Revenue	1,345.1	1,292.4	(52.7)
Other Sources	1,518.7	1,525.2	6.5
<b>TOTAL Revenue</b>	<b>\$ 20,252.5</b>	<b>\$ 19,761.7</b>	<b>\$ (490.8)</b>
<b>EXPENDITURES</b>			
Initial Current Year Appropriations	\$ 20,395.7	\$ 20,395.7	\$ -
Prior Year Appropriations Continued to FY 2021 <sup>2</sup>		139.0	139.0
<b>TOTAL Initial and Continued Appropriations</b>	<b>\$ 20,395.7</b>	<b>\$ 20,534.7</b>	<b>\$ 139.0</b>
Appropriation Adjustments	-	-	-
<b>TOTAL Adjusted Appropriations</b>	<b>\$ 20,395.7</b>	<b>\$ 20,534.7</b>	<b>\$ 139.0</b>
Net Additional Expenditure Requirements		185.2	185.2
Estimated Appropriations Lapsed	(309.4)	(950.6)	(641.2)
Estimated Appropriations to be Continued to FY 2022 <sup>2</sup>		-	-
<b>TOTAL Estimated Expenditures</b>	<b>\$ 20,086.3</b>	<b>\$ 19,769.3</b>	<b>\$ (317.0)</b>
Net Change in Fund Balance - Continuing Appropriations		(139.0)	(139.0)
Miscellaneous Adjustments/Rounding		-	-
<b>Net Change in Unassigned Fund Balance - 6/30/2021</b>	<b>\$ 166.2</b>	<b>\$ 131.4</b>	<b>\$ (34.8)</b>

1. . P.A. 19-117 as amended by P.A. 19-1, December Special Session. Note that CGS Sec. 2-33c limits appropriations in FY 2021 to 99.25% of General Fund revenue. As a result, the \$166.2 million budgeted surplus is comprised of \$151.1 million due to this 99.25% limitation, plus a \$15.1 million operating surplus.

2. CGS Sec. 4-89 and other statutory provisions.

State of Connecticut  
General Fund  
FY 2021 Revenue Estimates  
Projected to June 30, 2021  
As of January 31, 2021  
(In Millions)

	General Assembly Budget Plan <sup>1.</sup>	Revised Estimates OPM	Over/ (Under)
<b>TAXES</b>			
Personal Income - Withholding	\$ 7,168.5	\$ 6,915.6	\$ (252.9)
Personal Income - Estimates and Finals	2,836.9	2,538.7	(298.2)
Sales and Use	4,588.4	4,532.7	(55.7)
Corporation	1,082.5	960.0	(122.5)
Pass-through Entity Tax	850.0	1,221.3	371.3
Public Service Corporations	244.7	261.6	16.9
Inheritance and Estate	146.3	222.6	76.3
Insurance Companies	205.8	214.6	8.8
Cigarettes	326.9	343.9	17.0
Real Estate Conveyance	230.6	305.6	75.0
Alcoholic Beverages	69.7	73.2	3.5
Admissions and Dues	41.5	29.3	(12.2)
Health Provider Tax	1,033.6	1,079.5	45.9
Miscellaneous	48.0	18.8	(29.2)
<b>TOTAL - TAXES</b>	<b>\$ 18,873.4</b>	<b>\$ 18,717.4</b>	<b>\$ (156.0)</b>
Less: Refunds of Taxes	(1,378.9)	(1,666.5)	(287.6)
Earned Income Tax Credit	(100.6)	(100.6)	-
R & D Credit Exchange	(5.2)	(6.2)	(1.0)
<b>TOTAL - TAXES - NET</b>	<b>\$ 17,388.7</b>	<b>\$ 16,944.1</b>	<b>\$ (444.6)</b>
<b>OTHER REVENUE</b>			
Transfers - Special Revenue	\$ 376.6	\$ 376.6	\$ -
Indian Gaming Payments	225.4	232.3	6.9
Licenses, Permits, Fees	384.3	338.8	(45.5)
Sales of Commodities and Services	31.0	25.3	(5.7)
Rents, Fines, Escheats	160.9	155.5	(5.4)
Investment Income	52.9	4.7	(48.2)
Miscellaneous	181.7	216.9	35.2
Refunds of Payments	(67.7)	(57.7)	10.0
<b>TOTAL - OTHER REVENUE</b>	<b>\$ 1,345.1</b>	<b>\$ 1,292.4</b>	<b>\$ (52.7)</b>
<b>OTHER SOURCES</b>			
Federal Grants	\$ 1,571.5	\$ 1,657.2	\$ 85.7
Transfer from Tobacco Settlement Fund	114.5	114.5	-
Transfers From/(To) Other Funds	134.2	108.6	(25.6)
Transfers to BRF - Volatility Adjustment <sup>2.</sup>	(301.5)	(355.1)	(53.6)
<b>TOTAL - OTHER SOURCES</b>	<b>\$ 1,518.7</b>	<b>\$ 1,525.2</b>	<b>\$ 6.5</b>
<b>TOTAL - GENERAL FUND REVENUE</b>	<b>\$ 20,252.5</b>	<b>\$ 19,761.7</b>	<b>\$ (490.8)</b>

1. Sec. 386 of P.A. 19-117 as amended by Sec. 8 of P.A. 19-1, December Special Session.

2. The volatility cap for FY 2021 is \$3,404.9 million. Total revenue from the Estimates and Finals portion of the Personal Income Tax and the Pass-through Entity Tax that exceeds the volatility cap will be deposited into the Budget Reserve Fund.

State of Connecticut - General Fund  
FY 2021 Appropriation Adjustments - Net Additional Requirements  
Projected to June 30, 2021  
As of January 31, 2021

Department of Economic and Community Development	\$	10,487,000
Office of the Chief Medical Examiner		500,000
Department of Mental Health and Addiction Services		9,000,000
University of Connecticut Health Center		50,000,000
Department of Correction		3,650,000
OSC - Miscellaneous (Adjudicated Claims)		20,000,000
OSC - Fringe Benefits		66,578,038
Additional COVID Testing Requirements		25,000,000
Total	\$	<u>185,215,038</u>

State of Connecticut  
General Fund  
Estimated FY 2021 Lapses  
Projected to June 30, 2021  
As of January 31, 2021

Unallocated Lapse	\$ 26,215,570
Unallocated Lapse - Judicial	5,000,000
Statewide Hiring Reduction - Executive	7,000,000
Contracting Savings Initiatives	15,000,000
Pension and Healthcare Savings (pension portion)	121,200,000
Pension and Healthcare Savings (healthcare portion)	135,000,000
Rescissions - October 1, 2020	25,290,909
Transfer Certain Public Health and Safety Expenses to CRF	-
Office of Legislative Management	3,000,000
Commission on Women, Children, Seniors, Equity & Opportunity	150,000
Elections Enforcement Commission	100,000
State Comptroller	200,000
Department of Administrative Services	600,000
Department of Consumer Protection	125,000
Department of Labor	542,540
Commission on Human Rights and Opportunities	100,000
Department of Housing	5,500,000
Department of Public Health	1,550,000
Department of Developmental Services	2,600,000
Department of Social Services	455,938,102
Department of Aging and Disability Services	800,000
Department of Education	17,107,824
Office of Early Childhood	7,300,000
Office of Higher Education	220,000
Teachers' Retirement Board	3,694,000
Department of Children and Families	42,077,724
Judicial Department	2,950,101
Public Defender Services Commission	1,462,000
OTT - Debt Service	69,300,000
DAS - Workers' Compensation Claims	600,000
Total	<u><u>\$ 950,623,770</u></u>

State of Connecticut  
FY 2021 General Fund  
Monthly Summary of Operations  
(In Millions)

	Budget Plan <sup>1</sup>	July 2020	August 2020	September 2020	October 2020	November 2020	December 2020	January 2021	February 2021	March 2021	April 2021	May 2021	June 2021
REVENUE	\$20,252.5	\$ 18,056.5	\$ 18,056.5	\$ 18,510.6	\$ 18,837.2	\$ 19,018.1	\$ 19,761.7	\$ 19,761.7					
Appropriations	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7	20,395.7					
Additional Requirements	0.0	139.1	144.2	146.0	175.7	183.5	165.9	185.2					
Less: Estimated Lapses	(309.4)	(407.7)	(458.6)	(769.5)	(854.8)	(920.9)	(937.4)	(950.6)					
TOTAL - Estimated Expenditures	20,086.3	20,127.2	20,081.4	19,772.2	19,716.6	19,658.3	19,624.1	19,630.3	0.0	0.0	0.0	0.0	0.0
Operating Balance	166.2	(2,070.7)	(2,024.9)	(1,261.6)	(879.4)	(640.2)	137.6	131.4	0.0	0.0	0.0	0.0	0.0
Misc. Adjustments/Rounding	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0					
Est. Operating Balance - 6/30/21	\$166.2	(\$2,070.7)	(\$2,024.9)	(\$1,261.6)	(\$879.4)	(\$640.2)	\$137.6	\$131.4	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0

1. P.A. 19-117 as amended by P.A. 19-1 of the December Special Session.



State of Connecticut  
Special Transportation Fund  
Analysis of FY 2021 Budget Plan  
Projected to June 30, 2021  
As of January 31, 2021  
(In Millions)

	General Assembly <u>Budget Plan</u> <sup>1.</sup>	Revised Estimates <u>OPM</u>	Over/ <u>(Under)</u>
Fund Balance as of June 30, 2020	\$ 363.5	\$ 168.4	\$ (195.1)
<b>REVENUE</b>			
Taxes	\$ 1,375.5	\$ 1,207.2	\$ (168.3)
Less: Refunds of Taxes	<u>(15.0)</u>	<u>(15.0)</u>	<u>-</u>
Taxes - Net	1,360.5	1,192.2	(168.3)
Other Revenue	<u>520.3</u>	<u>498.5</u>	<u>(21.8)</u>
<b>TOTAL - Revenue</b>	<b>\$ 1,880.8</b>	<b>\$ 1,690.7</b>	<b>\$ (190.1)</b>
<b>EXPENDITURES</b>			
Appropriations	\$ 1,848.0	\$ 1,848.0	\$ -
Prior Year Appropriations Continued to FY 2021 <sup>2.</sup>		<u>31.8</u>	<u>31.8</u>
<b>TOTAL Initial and Continued Appropriations</b>	<b>\$ 1,848.0</b>	<b>\$ 1,879.8</b>	<b>\$ 31.8</b>
Appropriation Adjustments	<u>-</u>	<u>-</u>	<u>-</u>
<b>TOTAL Adjusted Appropriations</b>	<b>\$ 1,848.0</b>	<b>\$ 1,879.8</b>	<b>\$ 31.8</b>
Net Additional Expenditure Requirements		1.0	1.0
Estimated Appropriations Lapsed	(31.7)	(98.3)	(66.6)
Estimated Appropriations to be Continued to FY 2022 <sup>2.</sup>		<u>-</u>	<u>-</u>
<b>TOTAL Estimated Expenditures</b>	<b>\$ 1,816.3</b>	<b>\$ 1,782.5</b>	<b>\$ (33.8)</b>
Net Change in Fund Balance - Continuing Appropriations		(31.8)	(31.8)
Miscellaneous Adjustments/Rounding		-	-
<b>Net Change in Unassigned Fund Balance - FY 2021</b>	<b>\$ 64.5</b>	<b>\$ (60.0)</b>	<b>\$ (124.5)</b>
Estimated Fund Balance - June 30, 2021	<u>\$ 428.0</u>	<u>\$ 108.4</u>	<u>\$ (319.6)</u>

1. P.A. 19-117. Note that CGS Sec. 2-33c limits appropriations in FY 2021 to 99.25% of Special Transportation Fund revenue. As a result, the \$64.5 million budgeted surplus is comprised of \$14.1 million due to this 99.25% limitation, plus a \$50.4 million operating surplus.

2. CGS Sec. 4-89 and other statutory provisions.

State of Connecticut  
Special Transportation Fund  
FY 2021 Revenue Estimates  
Projected to June 30, 2021  
As of January 31, 2021  
(In Millions)

	General Assembly Budget Plan <sup>1</sup>	Revised Estimates OPM	Over/ (Under)
<b>TAXES</b>			
Motor Fuels	\$ 505.1	\$ 467.4	\$ (37.7)
Oil Companies	330.2	203.5	(126.7)
Sales & Use Tax	454.1	442.3	(11.8)
Sales Tax DMV	86.1	94.0	7.9
<b>TOTAL - TAXES</b>	<u>1,375.5</u>	<u>1,207.2</u>	<u>(168.3)</u>
Less: Refunds of Taxes	(15.0)	(15.0)	-
<b>TOTAL - TAXES - NET</b>	<u>\$ 1,360.5</u>	<u>\$ 1,192.2</u>	<u>\$ (168.3)</u>
<b>OTHER REVENUE</b>			
Motor Vehicle Receipts	\$ 305.9	\$ 332.9	\$ 27.0
Licenses, Permits, Fees	146.6	129.6	(17.0)
Interest Income	36.7	4.9	(31.8)
Federal Grants	11.8	11.8	-
Transfers (To)/From Other Funds	24.5	24.5	-
Refunds of Payments	(5.2)	(5.2)	-
<b>TOTAL - OTHER REVENUE</b>	<u>\$ 520.3</u>	<u>\$ 498.5</u>	<u>\$ (21.8)</u>
<b>TOTAL - SPECIAL TRANSPORTATION FUND REVENUE</b>	<u>\$ 1,880.8</u>	<u>\$ 1,690.7</u>	<u>\$ (190.1)</u>

1. Sec. 387 of P.A. 19-117, as adjusted by provisions of P.A. 19-165.

Statement 3T  
February 19, 2021

State of Connecticut  
Special Transportation Fund  
FY 2021 Appropriation Adjustments - Net Additional Requirements  
Projected to June 30, 2021  
As of January 31, 2021

Department of Administrative Services	\$ 1,000,000
Total	<u>\$ 1,000,000</u>

Statement 4T  
February 19, 2021

State of Connecticut  
Special Transportation Fund  
FY 2021 Estimated Lapses  
Projected to June 30, 2021  
As of January 31, 2021

Unallocated Lapse	\$	-
Pension and Healthcare Savings		19,700,000
Department of Motor Vehicles		3,500,000
OTT - Debt Service		74,100,000
DAS - Workers' Compensation Claims		1,000,000
Total	\$	<u>98,300,000</u>

State of Connecticut  
FY 2021 Special Transportation Fund  
Monthly Summary of Operations  
(In Millions)

	Budget Plan <sup>1</sup> .	July 2020	August 2020	September 2020	October 2020	November 2020	December 2020	January 2021	February 2021	March 2021	April 2021	May 2021	June 2021
Beginning Balance <sup>2</sup> .	\$ 363.5	\$ 169.0	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4	\$ 168.4					
Revenue	1,880.8	1,688.3	1,688.3	1,697.2	1,690.6	1,689.6	1,690.7	1,690.7					
Total Available	2,244.3	1,857.3	1,856.7	1,865.6	1,859.0	1,858.0	1,859.1	1,859.1	0.0	0.0	0.0	0.0	0.0
Appropriations	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0	1,848.0					
Additional Requirements	0.0	0.0	0.0	1.2	1.2	0.0	0.0	1.0					
Less: Estimated Lapses	(31.7)	(83.7)	(93.8)	(96.8)	(96.8)	(97.8)	(97.8)	(98.3)					
TOTAL - Estimated Expenditures	1,816.3	1,764.3	1,754.2	1,752.4	1,752.4	1,750.2	1,750.2	1,750.7	0.0	0.0	0.0	0.0	0.0
Operating Balance	64.5	(76.0)	(65.9)	(55.2)	(61.8)	(60.6)	(59.5)	(60.0)	0.0	0.0	0.0	0.0	0.0
Misc. Adjustments/Rounding	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0					
Estimated Operating Balance 6/30/20	\$428.0	\$93.0	\$102.5	\$113.2	\$106.6	\$107.8	\$108.9	\$108.4	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0

1. P.A. 19-117.

2. Budget plan and July as estimated by the Office of Policy and Management. August and thereafter based on OSC preliminary closing balance for FY 2020 from letter dated September 17, 2020.