



# STATE OF CONNECTICUT

## OFFICE OF POLICY AND MANAGEMENT

August 20, 2020

The Honorable Kevin Lembo  
 State Comptroller  
 55 Elm Street  
 Hartford, Connecticut 06106

Dear Comptroller Lembo:

Section 4-66 of the General Statutes requires that my office provide information on the state's General Fund for Fiscal Year 2021. An analysis of the Special Transportation Fund is also provided here due to the significance of this fund. In addition to the information we typically provide each month for current year estimates, an appendix to this letter provides more detailed information about the recently completed fiscal year.

The table below depicts preliminary FY 2020 operating results as well as our initial estimate for FY 2021.

	FY 2020 Estimate			FY 2021 Projection		
	(in millions)			(in millions)		
	Budget (as Revised Dec. 2019)	Aug. Prelim. Estimate	Aug. Est. Variance from Budget	Budget (as Revised Dec. 2019)	Aug. Estimate	Aug. Est. Variance from Budget
<b>General Fund</b>						
Revenues	\$ 19,564.4	\$ 19,199.9	\$ (364.5)	\$ 20,252.5	\$ 18,056.5	\$ (2,196.0)
Expenditures	<u>19,423.3</u>	<u>19,147.7</u>	<u>(275.6)</u>	<u>20,086.3</u>	<u>20,127.2</u>	<u>40.9</u>
Operating Results - Surplus/(Deficit)	\$ 141.1	\$ 52.3	\$ (88.8)	\$ 166.2	\$ (2,070.7)	\$ (2,236.9)
<b>Budget Reserve Fund</b>						
Deposit / (Withdrawal)	\$ 318.3	\$ 582.9	\$ 264.6	\$ 467.7	\$ (2,146.1) <sup>1</sup>	\$ (2,613.8)
Proj. Balance 6/30	\$ 2,823.8	\$ 3,088.4	\$ 264.6	\$ 3,556.1	\$ 942.2	\$ (2,613.9)
<b>Special Transportation Fund</b>						
Revenues	\$ 1,749.1	\$ 1,516.6	\$ (232.5)	\$ 1,880.8	\$ 1,688.3	\$ (192.5)
Expenditures	<u>1,710.3</u>	<u>1,667.7</u>	<u>(42.6)</u>	<u>1,816.3</u>	<u>1,764.3</u>	<u>(52.0)</u>
Operating Results - Surplus/(Deficit)	\$ 38.8	\$ (151.1)	\$ (189.9)	\$ 64.5	\$ (76.0)	\$ (140.5)
Proj. Fund Balance 6/30	\$ 358.9	\$ 169.0	\$ (189.9)	\$ 423.4	\$ 93.0	\$ (330.4)
<b>Tourism Fund</b>						
Revenues	\$ 13.7	\$ 12.6	\$ (1.1)	\$ 14.2	\$ 9.0	\$ (5.2)
Expenditures	<u>13.1</u>	<u>13.1</u>	<u>(0.0)</u>	<u>13.1</u>	<u>13.1</u>	<u>-</u>
Operating Results - Surplus/(Deficit)	\$ 0.6	\$ (0.5)	\$ (1.1)	\$ 1.1	\$ (4.1)	\$ (5.2)
Proj. Fund Balance 6/30	\$ (1.8)	\$ (2.9)	\$ (1.1)	\$ (0.1)	\$ (6.9)	\$ (6.8)

Notes:

1. BRF withdrawal includes the transfer out of \$75.46 million pursuant to Sec. 4-30a, CGS, as the FY 2020 ending balance exceeds the statutory 15% cap. This sum would be deposited as an additional contribution to the State Employees Retirement Fund or the Teachers' Retirement Fund. Estimated draw on BRF based on projected shortfall prior to updated revenue and expenditure estimates and potential mitigation actions.

## General Fund

For FY 2020, General Fund revenues finished up by \$714.8 million relative to the April 30, 2020 consensus forecast. However, \$476.4 million of that change was due to the timing of federal grant collections, which shifted revenue from FY 2021 to FY 2020. In addition, Refunds of Taxes in FY 2020 were reduced by \$150.2 million to reflect that refunds largely shifted from FY 2020 to FY 2021 due to extensions of tax filing due dates to July 15, 2020. The major revenue sources that experienced better than anticipated performance relative to the April 30 consensus forecast were the Estimates and Finals component of the Personal Income Tax, up \$120.5 million; the Withholding component of the Personal Income Tax, up \$95.0 million; Sales and Use Taxes, up \$131.1 million; and the Pass-through Entity Tax, up \$91.8 million. The improvements in Estimates and Finals and the Pass-through Entity taxes benefited the Budget Reserve Fund via operation of the volatility cap.

The adopted FY 2021 budget anticipates a \$166.2 million balance at year end. We are projecting an operating shortfall of \$2,070.7 million due primarily to pandemic-influenced revenues that are well below budgeted levels, as explained further below. The projected shortfall represents 10.3 percent of the General Fund.

Our estimates include anticipated state costs for the state's current pandemic response. The table attached to this letter outlines specific measures approved to date as part of that response. It should be noted, however, that this data does not reflect any potential resurgence of the COVID-19 virus or resource requirements beyond existing federal assistance.

Our forecast of the Budget Reserve Fund (BRF) balance at year end, after transfers pursuant to the statutory volatility cap and projected operating results from FY 2020 and FY 2021, is depicted below. We estimate the state's reserves at nearly \$3.09 billion at the close of FY 2020, pending final accounting adjustments as part of the year-end closing process, or 15.4 percent of FY 2021 net General Fund appropriations. Notwithstanding anticipated revisions to estimated revenue as part of the November consensus forecast and any potential mitigation efforts, the potential draw on the BRF at the end of FY 2021 would leave a balance of \$942.2 million, or 4.7% of FY 2021 net General Fund appropriations. Our Budget Reserve Fund projection is depicted below.

<b>Budget Reserve Fund</b>	
	(in millions)
Estimated BRF Ending Balance - FY 2020 (OPM Est. 8/20/20)	\$ 3,088.4
Deposit to SERS or TRS pursuant to Sec. 4-30a, C.G.S. (OPM 08/20/20 Est.)	\$ (75.5)
Projected Operating Deficit - FY 2021 (OPM 08/20/20 Est.)	(2,070.7)
Volatility Cap Deposit - FY 2021 (OPM 08/20/20 Est.)	-
Estimated BRF Ending Balance - FY 2021	<u>\$ 942.2</u>

### Revenues

Projected FY 2021 revenues have been revised downward by \$2,196.0 million relative to the adopted budget plan (as modified by Public Act 19-1 of the December Special Session) due to the projected impact of COVID-19 on the state's economy. Although this revenue forecast is down \$31.9 million from the consensus revenue forecast issued on April 30, 2020, OPM's starting point for the FY 2021 estimate incorporates approximately \$400 million in improved FY 2020 year-end revenue performance. The largest changes in projections relative to the adopted budget are Estimates and Finals under the Personal Income Tax, down \$919.6 million; Withholding under the Personal Income Tax, down \$667.9 million; Sales and Use Taxes, down \$472.4 million; and Corporation Taxes, down \$318.7 million. Refunds of Taxes has been revised upward by \$150.2 million, but this is primarily due to the shifting of refund payments from FY 2020 to FY 2021 consistent with extensions

of tax filing dates to July 15, 2020. All other changes net to a positive \$332.8 million relative to the budget, however, \$301.5 million of that positive change is because OPM estimates that Estimates and Finals revenue and Pass-through Entity Tax revenue are insufficient to reach the threshold for the volatility cap transfer to the BRF anticipated in the budget plan. Overall, FY 2021 revenues are projected to decline 6.0%, or approximately \$1.1 billion relative to OPM's estimate for FY 2020 year-end revenues.

### Expenditures

We are projecting that FY 2021 net expenditures will exceed the amended budget plan by \$40.9 million.

*Deficiencies.* Projected shortfalls totaling \$139.1 million are forecast in the following agencies:

- Department of Economic and Community Development. An \$11.5 million shortfall is anticipated in the Capital Region Development Authority account. Of this sum, approximately \$3.5 million was the result of the failure to enact FY 2020 deficiency appropriations, resulting in bills that carried over to FY 2021. The remainder is the result of event cancellations due to COVID-19 that have impacted and are expected to continue to impact attendance and associated revenues at the Pratt and Whitney Stadium at Rentschler Field, the XL Center and the CT Convention Center.
- Department of Mental Health and Addiction Services. A total shortfall of \$6.1 million is projected due to the failure to enact FY 2020 deficiency appropriations and lack of FY 2021 budget adjustments addressing direct care costs. While June Finance Advisory Committee action addressed a portion of the FY 2020 deficiency, over \$2.1 million in prior year bills was held over for payment in FY 2021. We estimate a \$0.4 million shortfall in Other Expenses due largely to various facility maintenance and repair costs and increased software licensing costs, \$1.0 million in the Professional Services account for contracted medical services including contracted psychiatrists, \$1.7 million in the Workers' Compensation Claims account to reflect claim trends, and \$3.0 million in the Discharge and Diversion account to assist with discharges from Connecticut Valley Hospital to community settings for those no longer needing inpatient care.
- University of Connecticut Health Center. A shortfall of at least \$50.0 million is forecast. The FY 2020 budget included a fringe benefit subsidy of \$33.2 million to assist with stabilizing the Health Center's finances, but no subsidy was included in the FY 2021 budget plan.
- State Comptroller – Fringe Benefits. A total shortfall of \$61.5 million is anticipated. Of this amount, \$41.0 million is a result of revised contribution requirements for the State Employees' Retirement System resulting from the June 30, 2019 valuation of the fund. A \$3.4 million shortfall is anticipated in the Judges Retirement System, also reflective of the June 30, 2019 valuation for that system. In addition, we anticipate shortfalls of \$1.7 million in the Unemployment Compensation account, \$25.0 million in the State Employees Health Service account, and \$2.4 million in the SERS Defined Contribution Match account. Partially offsetting these shortfalls is a projected \$12.0 million lapse in the Higher Education Alternative Retirement System account.
- State Comptroller – Miscellaneous. We estimate \$10.0 million in expenditures for Adjudicated Claims. No appropriation was made in the enacted budget for payment of these claims.

*Lapses.* Our projections reflect the amounts currently withheld from agencies to achieve budgeted lapse targets. Additionally, the following sums totaling \$98.2 million are estimated to remain unspent this fiscal year:

- Department of Social Services. Department of Social Services. A total of \$91.7 million is projected to lapse. A \$75.0 million lapse is anticipated in the Medicaid account, reflective of the anticipated extension of the enhanced federal reimbursement through the end of calendar year 2020, and lower utilization in the beginning of the year, offset by other program costs from increased enrollment. Reduced caseloads will result in lapses of \$6.0 million in Aid to the Disabled, \$4.8 million in Temporary Family Assistance, \$3.5 million in the Connecticut Home Care Program and \$1.4 million in Old Age

Assistance. Reduced caseloads and service utilization, coupled with the anticipated extension of enhanced federal reimbursement through the end of calendar year 2020, are expected to result in a \$1.0 million lapse in the HUSKY B account.

- Department of Education. A net total of \$2.95 million is estimated to lapse. The Education Cost Sharing grant amount is underfunded by \$1.55 million, while the Charter School account is expected to lapse \$4.5 million due to the closure of two charter schools and budgeted funding exceeding the number of approved charter school slots.
- Teachers' Retirement Board. A net total of \$3.59 million is projected to lapse. The Retirement Contributions account is underfunded by \$1.8 million, reflective of the adjustment to the employer contribution required by revised valuation adopted after passage of biennial budget. This is offset by a \$5.0 million lapse in the Retiree Health Service Cost account due to health premiums that are lower than assumed in the adopted budget, as well as a \$400,000 lapse in the Municipal Retiree Health Insurance Costs account due to a decrease in the number of retired teachers eligible for the municipal subsidy.

### **Special Transportation Fund**

The adopted budget anticipates a \$64.5 million balance from operations. We estimate that the Special Transportation Fund will end the year with a \$76.0 million operating deficit. Projected revenues have been revised downward by \$192.5 million relative to the adopted budget plan due to the projected impact of COVID-19 on the state's economy. The largest changes in projections are in the Oil Companies Tax, down \$115.7 million; Sales and Use Tax, down \$48.2 million; and Interest Income, down \$31.0 million. All other changes net to a positive \$2.4 million. Expenditures are projected to be \$52.0 million better than budgeted due to debt service savings resulting from reduced interest costs and delayed timing of FY 2020 and anticipated future bond sales. We project that the Transportation Fund balance on June 30, 2021, will be \$93.0 million, and that the fund balance will likely be exhausted during FY 2022.

### **Other Appropriated Funds**

While Sec. 4-66, CGS, does not require that we provide analyses of other appropriated funds, we offer the following information about the status of the Regional Market Operation Fund and the Tourism Fund.

- Regional Market Operation Fund. Pursuant to Section 10 of Public Act 18-154, the Hartford Regional Market was conveyed to the Capital Region Development Authority and CRDA has assumed operation of that market. As a result, appropriations totaling \$1.1 million will lapse.
- Tourism Fund. The fund's revenue source is the Hotel Occupancy Tax, which has underperformed as a result of the pandemic's impact on the hospitality industry. As a result, expenditures from the fund are estimated to exceed available revenues by approximately \$4.1 million. When added to the negative fund balance of \$2.9 million at the end of FY 2020, we anticipate the Tourism Fund will end FY 2021 with a \$2.6 million operating deficit and a \$6.9 million negative fund balance.

It is important to note that this represents the first projection of the state's budget outlook for FY 2021. As the year progresses, these estimates will undoubtedly be revised to reflect the impact of changes in the economy, expenditure patterns, and/or other factors.

Sincerely,

A handwritten signature in blue ink, appearing to read "Melissa McCaw".

Melissa McCaw  
Secretary

Attachments:

COVID Responses – Budget Impact  
Summary Statements, FY 2021 Revenue and Expenditures  
Appendix – FY 2020 Preliminary Operating Results

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)				Funding Source										Notes	CRF Allocation Category
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XX	Federal - Other	Philanthropy				
1. DSS60000	Suspend Medicaid eligibility discontinuances	\$ 6,100,000	\$ 88,200,000	\$ 94,300,000	Approved		\$ 1,700,000	\$ 27,200,000			\$ 65,400,000				State share @ enhanced FMAP (+6.2%). Approved through October to meet maintenance of effort requirements for receipt of enhanced FMAP \$4.5m of gross costs are 100% federally funded; balance is 100% state funded. Note: DSS is on hold, pending further federal guidance		
2. DSS60000	Provide uninsured persons with coverage for COVID-19 - Citizens	\$ 12,600,000	\$ 6,300,000	\$ 18,900,000	Approved		\$ 9,600,000	\$ 4,800,000			\$ 4,500,000						
3. DSS60000	Provide uninsured persons with coverage for COVID-19 - Non-Citizens	\$ 5,900,000	\$ 3,000,000	\$ 8,900,000	Approved		\$ 2,700,000	\$ 1,400,000			\$ 4,800,000				Assumes majority of costs will be reimbursable at 56.2%		
4. DSS60000	Waive HUSKY B copays	\$ 340,000	\$ -	\$ 340,000	Approved		\$ 80,000	\$ -			\$ 260,000						
5. DSS60000	Waive Medicare Part D (Rx) copays for dually eligible population	\$ 100,000	\$ -	\$ 100,000	Approved		\$ 100,000	\$ -									
6. DSS60000	Suspend cash assistance discontinuances (TFA, State Supplement, SAGA)	\$ 1,200,000	\$ -	\$ 1,200,000	Approved		\$ 1,200,000	\$ -							Approved for 3 months (Note: Suspension of time limit impacts both FY 21 and FY 22, with add'l costs of \$570k in FY 22)		
7. DSS60000	Suspend 21 month time limit for TFA/JFES	\$ 190,000	\$ 770,000	\$ 960,000	Approved		\$ 190,000	\$ 770,000									
8. DSS60000	Provide additional flexibilities under home and community-based services waivers	\$ 870,000	\$ 430,000	\$ 1,300,000	Approved		\$ 360,000	\$ 250,000			\$ 690,000						
9. DSS60000	Provide temporary relief funding for nursing homes and a COVID-specific grant equivalent to \$600 per bed per day for facilities exclusively serving patients with COVID-19	\$ 22,800,000	\$ 31,100,000	\$ 53,900,000	Approved		\$ 22,800,000	\$ (29,200,000)	\$ 66,900,000		\$ (6,600,000)				Reflects Medicaid rate increase of 10% for March and April (and Medicare cost shift); CRF funding for balance		
10. DSS60000	Provide hardship grants to nursing homes facing a substantial deterioration in their finances, which could adversely affect resident care and the continued operation of the facility	\$ -	\$ 56,800,000	\$ 56,800,000	Approved				\$ 56,800,000						Preliminary estimate - likely to be lower depending on the level of additional stimulus funding, additional Medicare billing and/or other assistance received		
11. DSS60000	Provide interim payments to FQHCs to assist with cash flow	\$ 5,562,813	\$ (5,562,813)	\$ -	Approved		\$ 5,562,813	\$ (5,562,813)							Advances will be recouped in FY 21		
12. DSS60000	Provide pandemic rate increase for residential care homes (RCHs)	\$ 980,000	\$ -	\$ 980,000	Approved		\$ 980,000	\$ -							Includes interim payment in April to be recouped in FY 21. Approved for 3 months.		
13. DSS60000	Provide pandemic rate increase for private intermediate care facilities (ICF/IIDs)	\$ 1,640,000	\$ -	\$ 1,640,000	Approved		\$ 1,020,000	\$ (270,000)			\$ 890,000				Includes interim payment in April to be recouped in FY 21. Approved for 3 months.		
14. DSS60000	Use DSS' non-emergency medical transportation vendor (Veyo) to assist with meals-on-wheels deliveries	TBD	TBD	\$ -	Approved		TBD	TBD									
15. DSS60000	Expand service array under Community First Choice to include agency-based PCAs	\$ 31,500	\$ 15,800	\$ 47,300	Approved		\$ 11,000	\$ 8,000			\$ 28,300						
16. DSS60000	Provide 90-day supply for most prescription drugs and more flexible pharmacy early refill	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							Fiscal impact expected to be minimal		
17. DSS60000	Waive SNAP requirement for face-to-face interviews	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							No state cost--federally funded program. Federal approval received		
18. DSS60000	Waive SNAP requirements - extend certification periods by 90 days, suspend collection of most SNAP overpayments, issue supplemental benefits to all existing SNAP households	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							No state cost--federally funded program. Federal approval pending		
19. DSS60000	Waive TFA requirement for face-to-face interviews and assessments	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							No additional cost anticipated		
20. DSS60000	Expand telehealth under Medicaid	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							No additional cost anticipated		
21. DSS60000	Provide additional flexibilities under section 1135 waiver authority	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							No additional cost anticipated		
22. DSS60000	Provide additional flexibilities to home care recipients of 1915(j) state plan services	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							No additional cost anticipated		
23. DSS60000	Provide interim payments to home health agencies to assist with cash flow	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							Payments will be recouped in FY 20		
24. DSS60000	Expedite Medicaid payments to hospitals when possible	\$ -	\$ -	\$ -	Approved		\$ -	\$ -									
25. DSS60000	Support acute care hospitals with COVID-related costs	\$ -	\$ 6,200,000	\$ 6,200,000	Approved		\$ -	\$ 2,000,000			\$ 4,200,000				Reflects temporary 20% DRG add-on for COVID-related diagnoses		
26. DSS60000	Provide relief funding for Connecticut Children's Medical Center	\$ -	\$ 16,300,000	\$ 16,300,000	Approved				\$ 16,300,000						Hospitals		
27. DSS60000	Provide relief funding for chronic disease hospitals	\$ -	\$ 1,869,636	\$ 1,869,636	Approved				\$ 1,869,636						Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10%		
28. DSS60000	Provide temporary per diem rate of \$1,500 for Hospital for Special Care's COVID recovery unit	\$ -	\$ 380,000	\$ 380,000	Approved				\$ 380,000						Reflects additional per diem costs after factoring in the 10% increase for chronic disease hospitals noted above		
29. DSS60000	Provide relief funding for licensed behavioral health outpatient clinics	\$ -	\$ 2,651,741	\$ 2,651,741	Approved				\$ 2,651,741						Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10%		
30. DSS60000	Provide relief funding for private psychiatric residential treatment facilities (PRTFs) for children	\$ -	\$ 420,789	\$ 420,789	Approved				\$ 420,789						Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 20%		
31. DSS60000	Provide relief funding for substance abuse residential detox providers	\$ -	\$ 789,615	\$ 789,615	Approved				\$ 789,615						Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 20%		
32. DSS60000	Provide relief funding for other clinicians providing behavioral health/substance use disorder treatment and autism services	\$ -	\$ 3,203,660	\$ 3,203,660	Approved				\$ 3,203,660						Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10%		
33. DSS60000	Provide relief funding for methadone maintenance providers	\$ -	\$ 1,494,205	\$ 1,494,205	Approved				\$ 1,494,205						Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10%		
34. DSS60000	Provide relief funding for home health providers	\$ -	\$ 4,999,212	\$ 4,999,212	Approved				\$ 4,999,212						Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10%		
35. DSS60000	Provide relief funding for waiver service providers	\$ -	\$ 13,393,397	\$ 13,393,397	Approved				\$ 13,393,397						Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10%		
36. DSS60000	Distribute PPE for self-directed workers under Community First Choice	\$ 130,000	\$ 130,000	\$ 260,000	Approved				\$ 260,000						Costs could be lower depending on model and length of time; estimate assumes 10 weeks		
37. DSS60000	Provide relief funding for Community First Choice providers	\$ -	\$ 3,031,050	\$ 3,031,050	Approved				\$ 3,031,050						Reflects grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FFCRA		

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Status	Funding Source									Notes	CRF Allocation Category
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total		Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XX	Federal - Other	Philanthropy			
38.	DDS50000	Provide relief funding for self-directed workers under DDS' programs	\$ -	\$ 1,334,000	\$ 1,334,000	Approved			\$ 1,334,000						Reflects grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FFCRA. (Increased from previously stated estimate of \$800,000 @ 5%, but reduced from the original estimate of \$1.6 million @ 10%.)	Nonprofits and Other Providers
39.	DPH48500	School-Based Health Centers – no kids will be getting services while schools are closed, but staff need to be paid	\$ -	\$ -	\$ -	Approved		\$ -							No additional cost anticipated	
40.	MHA53000	Allowable costs for expenses vs. returning the payments because services were not delivered	\$ -	\$ -	\$ -	Approved		\$ -							No additional cost anticipated	
41.	OEC64800	Daycare outside of hospitals	\$ 3,550,000	\$ -	\$ 3,550,000	Approved		\$ 550,000				\$ 3,000,000		Philanthropy covered costs up to \$3M, though May. The costs of \$750,000 for June are funded 75% by FEMA and 25% by CRF funds. UPDATED - No CRF allocated, agency is using \$550,000 from their Care4Kids TANF account to cover costs.	Nonprofits and Other Providers	
42.	OEC64800	Suspend collection of family fees - Birth to Three program	\$ 375,000	\$ -	\$ 375,000	Approved		\$ 375,000						Assumes 3 months		
43.	OEC64800	Childcare for frontline workers	\$ 10,000,000	\$ -	\$ 10,000,000	Approved						\$ 10,000,000		Cap at \$10M, for six weeks with limit at 85% SMI. Source: CCEBG, PL 116-136 Division B Title VIII... Take rate much lower than anticipated. Costs like to be \$3M		
44.	DOH46900	Homeless shelter decompression initiative	\$ 7,500,000	\$ -	\$ 7,500,000	Approved			\$ 1,875,000	\$ 5,625,000				Assumes 3 months. FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.	Nonprofits and Other Providers	
45.	DSS60000	Domestic violence shelter decompression	\$ -	\$ 660,000	\$ 660,000	Approved			\$ 165,000	\$ 495,000				Assumes 3 months. FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.	Nonprofits and Other Providers	
46.	BOR77700	Student Refunds, Online Conversion Costs and Donated Equipment	\$ 27,291,079	\$ -	\$ 27,291,079	Approved						\$ 27,291,079		CARES funding (HEER) received directly by the agency. Does not include revenue losses.		
47.	BOR77700	Online Conversion Costs, PPE/Supplies, Facility Costs, and Donated Equipment	\$ 2,151,055	\$ -	\$ 2,151,055	Approved			\$ 537,764	\$ 1,613,291				Does not include revenue losses. Cost updated to reflect most recent data from BOR. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	Higher Education	
48.	UOC67000	Student refunds (housing, dining, parking)	\$ 10,750,423	\$ -	\$ 10,750,423	Approved						\$ 10,750,423		HEER. Does not include projected FY21 revenue loss at UConn and UConn Health.		
49.	CME49500	Refrigerated space for decedent remains	\$ 16,000	\$ -	\$ 16,000	Approved			\$ 16,000							State Operations
50.	DOC88000	Central purchase of Personal Protective Equipment and other supplies	\$ 125,000,000	\$ -	\$ 125,000,000	Approved			\$ 31,250,000	\$ 93,750,000				FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.	PPE and Supplies	
51.	MIL36000	State Active Duty to staff the Emergency Operations Center	\$ 171,000	\$ -	\$ 171,000	Approved			\$ 171,000							State Operations
52.	DPH48500	CDC Cooperative Agreement for Emergency Response: Public Health Crisis Response	\$ 9,309,998	\$ -	\$ 9,309,998	Approved						\$ 9,309,998		State and local Covid 19 response activities will be reimbursed from federal emergency supplemental funding; \$2.5m will be utilized to reimburse local health authorities.		
53.	DPH48500	Epidemiology and Laboratory Capacity	\$ 9,669,691	\$ -	\$ 9,669,691	Approved						\$ 9,669,691		Supplements pre-existing grant under the Epidemiology and Laboratory Capacity Cooperative Agreement (\$4,075,639). To support DPH Covid19 direct costs in areas of State Public Health Laboratory, Epidemiology, Healthcare Associated Infections		
54.	DPH48500	Hospital preparedness	\$ 2,324,172	\$ -	\$ 2,324,172	Approved						\$ 2,324,172		National Bioterrorism Hospital Preparedness Program. \$361,895 each for federally designated Special Pathogen Treatment Centers @ YNH and HH. Balance for DPH direct costs and other hospital needs.		
55.	DPH48500	Emerging Infections Program	\$ 2,600,000	\$ -	\$ 2,600,000	Approved						\$ 2,600,000		To enhance infectious disease surveillance activities. \$2.3m will go to Yale School of PH, \$0.3m to DPH		
56.	DAS23000	Architectural support for hospital capacity expansion	\$ 90,000	\$ -	\$ 90,000	Approved			\$ 90,000							State Operations
57.	DAS23000	IT support for teleworking (VPN capacity, etc.)	\$ 320,000	\$ 730,000	\$ 1,050,000	Approved			\$ 1,050,000							State Operations
58.	DAS23000	Cleaning and other facility costs	\$ 1,100,000	\$ -	\$ 1,100,000	Approved			\$ 1,100,000							State Operations
59.	SOS12500	Cost of publishing various Executive Orders	\$ 305,000	\$ -	\$ 305,000	Approved			\$ 305,000							State Operations
60.	DCP39500	Waive casino payment of regulatory costs during closure	\$ 584,126	\$ -	\$ 584,126	Approved		\$ 584,126							GF will pay the full payroll and fringe benefit costs for six pay periods through end of FY 20 (March 26th - June 18th payrolls), as well as indirect costs and OE, due to agreement with casinos to defer true-up of costs until the August 2020 assessment.	
61.	DPS32000	Use of interpreters during the Governor's pandemic response briefings	\$ 38,000	\$ -	\$ 38,000	Approved			\$ 38,000							State Operations
62.	JUD95000	JUD - 1 - PPE, cleaning and disinfecting, and other response costs	\$ 640,240	\$ -	\$ 640,240	Approved			\$ 640,240							State Operations
63.	DVA21000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 235,000	\$ -	\$ 235,000	Approved			\$ 235,000							State Operations
64.	DPS32000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 700,000	\$ -	\$ 700,000	Approved			\$ 700,000						Original approved amount was \$1.4m but it has been reduced to reflect actual need for housing.	State Operations
65.	DDS50000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 1,700,000	\$ -	\$ 1,700,000	Approved			\$ 1,700,000							State Operations
66.	MHA53000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 2,000,000	\$ -	\$ 2,000,000	Approved			\$ 2,000,000							State Operations
67.	DOC88000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 6,000,000	\$ -	\$ 6,000,000	Approved			\$ 6,000,000							State Operations
68.	DCF91000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 500,000	\$ -	\$ 500,000	Approved			\$ 500,000							State Operations

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Status	Funding Source						Notes	CRF Allocation Category	
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total		Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XX			Federal - Other
69. OEC64800	Provide technical support and resources to family day care providers	\$ 1,316,573		\$ 1,316,573	Approved							\$ 1,316,573	Administered through EdAdvance	
70. OEC64800	Suspend Birth-to-Three Age Outs at 36 months and continue services through June	\$ 180,000		\$ 180,000	Approved		\$ 180,000						Approved for April, May and June	
71. DSS60000	Suspend Birth-to-Three Age Outs at 36 months and continue services	\$ 180,000	\$ 90,000	\$ 270,000	Approved		\$ 80,000	\$ 45,000		\$ 145,000			Approved for April - June. State share @ enhanced FMAP (+6.2%) for payments made in May and June (for service months of April and May) Source: CARES Act. Must be used for services, activities, and supplies needed to prevent or minimize the impact of COVID-19 on RWHAP clients.	
72. DPH48500	Ryan White HIV/AIDS Program Part B COVID19 Response	\$ 203,981		\$ 203,981	Approved						\$ 203,981		Approved if within existing agency CEPP allocations and as part of normal equipment refresh.	
73. Var	State agency purchase of laptops and other equipment to facilitate telework			\$ -	Approved in part									
74. DOH46900	Case management for Danbury shelter clients I hotels	\$ 150,000		\$ 150,000	Approved			\$ 150,000						Nonprofits and Other Providers
75. DPH48500	Enhanced monitoring in nursing homes	\$ 2,800,000		\$ 2,800,000	Approved						\$ 2,800,000		OT for existing nurse consultant staff, TWRs and potential contract with a nursing staffing agency. DPH pursuing CMS CARES grant to defray costs.	
76. OSC15000	COVID-19 Testing	\$ 60,000,000	\$ 90,000,000	\$ 150,000,000	Approved			\$ 150,000,000					Placeholder of \$15m per month = 10,000 tests/day * \$50 * 30 days. Amount is in addition to \$182 million in other federal funds (4th supplemental bill) awarded to CT for testing.	Testing
77. DPH48500	Contact Tracing Solution (IT)	\$ 1,000,000		\$ 1,000,000	Approved			\$ 1,000,000					No Identified Project/No funds yet allotted (6/30/20)	Testing
78. DAS23000	Consulting - Reopen CT strategy, analysis, recommendations, PMC	\$ 2,350,000		\$ 2,350,000	Approved			\$ 2,350,000					Incurred in May and June	State Operations
79. CRD47200	Sanitizers, dispensers, face masks and carpet protection	\$ 19,822		\$ 19,822	Approved			\$ 19,822						State Operations
80. ECD46000	ReOpenCT - Surveys to determine when to open CT	\$ 60,000		\$ 60,000	Approved			\$ 60,000					Agency believes this may be FEMA reimbursable	Reopen and Community Supports
81. DOH46900	Provide Hotel Oversight through Seasonal Shelter	\$ 64,874		\$ 64,874	Approved			\$ 64,874					Agency believes this may be FEMA reimbursable	Nonprofits and Other Providers
82. DOL40000	Various items, plexiglass, cleaning supplies, laptops, printing and staff costs, cctv monitoring at AJCS	\$ 2,701,802		\$ 2,701,802	Approved			\$ 2,701,802						State Operations
83. HRO41100	Technological needs, PPE	\$ 49,027		\$ 49,027	Approved			\$ 49,027						State Operations
84. DOC88000	PPE for staff and inmates/Cleaning Supplies and Equipment/Food	\$ 3,118,005		\$ 3,118,005	Approved			\$ 3,118,005					Agency has cleaned facilities day and night, purchased fogger machines, PPE for staff and inmates. Food now brought to inmates cells, prepackaged and no longer chow setting.	State Operations
85. DOC88000	Temporary Staffing Due to Staff Shortages	\$ 1,334,305		\$ 1,334,305	Approved			\$ 1,334,305					Medical staffing needed due to staff shortages	State Operations
86. DOC88000	Overtime Incurred to Date	\$ 1,094,947		\$ 1,094,947	Approved			\$ 1,094,947					Overtime related to having to open wings of northern to serve as COVID isolation units. OT related to staff shortages when staff use their 14 days	State Operations
87. DAS23000	Rental Costs for Refrigerated Trailers to Serve as Morgues	\$ 238,000		\$ 238,000	Approved			\$ 238,000						State Operations
88. DVA21000	Equipment, supplies, and additional staff support	\$ 461,399	\$ 645,878	\$ 1,107,277	Approved			\$ 1,107,277						State Operations
89. MIL36000	Mobile Field Hospital Operations - State Active Duty	\$ 7,817		\$ 7,817	Approved			\$ 7,817					Weekly spot checks, repair and maintenance and retrograde of the four mobile field hospital setups at St. Francis Hospital, Middlesex Hospital, Danbury Hospital & Sharon Hospital. Was reduced from \$67,100.	State Operations
90. MIL36000	Task Force Medical - State Active Duty	\$ 141,000		\$ 141,000	Approved			\$ 141,000					20 personnel will be assigned duties at Stamford Hospital to assist federal and state military personnel conducting medical operations for 30 days.	State Operations
91. MIL36000	Task Force Medical - Hotel Lodging	\$ 2,000		\$ 2,000	Approved			\$ 2,000					Lodging for 20 personnel for 30 days - was approved at \$36,000, only needed \$2,000	State Operations
92. SOS12500	Funding for newspaper posting of additional executive orders	\$ 62,278		\$ 62,278	Approved			\$ 62,278						State Operations
93. DCF91000	Per Diem Rate Based Residential Programs	\$ 2,887,500		\$ 2,887,500	Approved			\$ 2,887,500						Nonprofits and Other Providers
94. DCF91000	Group Homes	\$ 772,039		\$ 772,039	Approved			\$ 772,039						Nonprofits and Other Providers
95. DCF91000	Other Congregate Care	\$ 425,746		\$ 425,746	Approved			\$ 425,746						Nonprofits and Other Providers
96. DCF91000	School of Origin Transportation	\$ -		\$ -	Approved		\$ -						Financial support during school closure to ensure service network is maintained. Within current budget.	
97. DCF91000	After School Programs	\$ -		\$ -	Approved		\$ -						Financial support during school closure to ensure service network is maintained. Within current budget.	
98. MHAS3000	CVH Surge Capacity at 60 West	\$ 287,778		\$ 287,778	Approved			\$ 287,778					DMHAS (CVH) will be using 60 West as surge capacity to care for COVID pos patients until they are no longer positive and can go back to their units at CVH. Financial estimate assumes 20 patients for 30 days.	State Operations
99. DOH46900	Coordinated Access Network - Statewide Shelter Support	\$ 2,000,000	\$ -	\$ 2,000,000	Approved			\$ 2,000,000					This request includes approximately \$800,000 of hazard pay for CAN/Shelter staff. <b>NOTE: Only \$2M has been allotted so far.</b>	Nonprofits and Other Providers
100. DDS50000	Deep cleaning costs for private provider residential programs	\$ -		\$ -	Approved			\$ -					Estimated costs for providers to deep clean group homes once an individual has tested positive for COVID-19. Revised 8/1/2020 - No additional funds required. Funds in the amount of \$1.2 million will be de-allotted.	Nonprofits and Other Providers
101. DDS50000	Deep cleaning costs for DDS facilities	\$ 722,090		\$ 722,090	Approved			\$ 722,090					Cleaning costs for the state operated regional centers, Southbury Training School and group homes after an individual working in such location has been identified with COVID-19.	State Operations
102. DDS50000	Overtime and temp hiring to ensure staff coverage	\$ 1,000,379		\$ 1,000,379	Approved			\$ 1,000,379					OT costs and approximately 160 temporary DSW, LPN and RN positions to ensure continued coverage of public facilities. Original estimate of \$3,000,379 has been reduced to \$1,000,379.	State Operations



COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Status	Unassigned	Funding Source					Notes	CRF Allocation Category		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total			State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XX			Federal - Other	Philanthropy
103.	JUD95000	JUD - 3 - PPE, cleaning and disinfecting, technology, and other response costs	\$ 200,609		\$ 200,609	Approved								Virtual Desktops (Firewall Security), Call Center PC's, Printers, Equipment, and Call Management Software. (Net adjustment over first request - includes 3rd submitted request.)	State Operations
104.	DOT57000	DOT - 1 - Staff Overtime	\$ 139,689	\$ -	\$ 139,689	Approved				\$ 139,689				Responsibilities including implementing telework agency-wide, procuring and distributing employee PPE, additional coordination with business partners including transit, rail, contractors, etc., to continue maintaining essential business functions; and deep cleaning costs. Includes funding for Over-The-Cap Overtime Request	State Operations
105.	DOT57000	DOT - 1 - Materials & Supplies for Sanitizing Agency Facilities	\$ 808,749	\$ -	\$ 808,749	Approved				\$ 808,749				Sanitizing of 72 maintenance facilities & central office.	State Operations
106.	DOT57000	DOT - 1 - Back-To-Work Office Equipment / Maintainer Training	\$ 84,302	\$ -	\$ 84,302	Approved				\$ 84,302				Recommending funding for headsets to utilize more teleconferencing when staff returns to the office. Not recommending (and not including here) funding for webcams.	State Operations
107.	MHA53000	MH Residential (Includes Intensive, Transitional, Group Homes, ABI Community Residence, Respite, IP IMD, Supervised Housing, Shelters)	\$ 3,778,800		\$ 3,778,800	Approved				\$ 3,778,800				24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.	Nonprofits and Other Providers
108.	MHA53000	Substance Abuse Medically Monitored Residential Detox	\$ 123,250		\$ 123,250	Approved				\$ 123,250				24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE, and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.	Nonprofits and Other Providers
109.	MHA53000	Substance Abuse Residential Treatment (Intensive, Intermediate, Long Term, Recovery Housing)	\$ 2,114,250		\$ 2,114,250	Approved				\$ 2,114,250				24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.	Nonprofits and Other Providers
110.	MHA53000	Young Adult Services	\$ 1,740,000		\$ 1,740,000	Approved				\$ 1,740,000				24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.	Nonprofits and Other Providers
111.	MHA53000	Community Services (including Outpatient, IOP, MMT, ACT, CSP, Case Management, BHH, Employment, Jail Diversion, Outreach, Crisis, Residential Support, Supervised Housing, Pre-Trial Education, etc.)	\$ 3,852,225		\$ 3,852,225	Approved				\$ 3,852,225				Providers have incurred costs including hazardous duty, purchase of telehealth/telework equipment and licenses, PPE, and cleaning services. Additionally providers continue to pay staff not able to work due to quarantine/illness while paying overtime or temporary workers.	Nonprofits and Other Providers
112.	OSC15000	Request for funding for COVID-19 related expenses support teleworking	\$ 70,653		\$ 70,653	Approved				\$ 70,653				50 laptops, minor telecommuting IT related expenses and cleaning supplies.	State Operations
113.	DMV35000	Funding for installation of an appointment system to control the traffic flow of customers and to maintain social distancing	\$ 150,000	\$ -	\$ 150,000	Approved				\$ 150,000					State Operations
114.	DMV35000	Funds to install sneeze guards throughout the branches and testing centers	\$ 125,000	\$ -	\$ 125,000	Approved				\$ 125,000				This will help prevent the spread of serious illnesses and will assist in maintaining the health of customer facing employees.	State Operations
115.	DMV35000	Funding for the cleaning and disinfecting of branches	\$ 447,000	\$ 1,118,098	\$ 1,565,098	Approved				\$ 1,565,098				Due to the virus, the Agency is now completing nightly deep cleaning along with a weekly disinfecting spray of branches that are being utilized by staff and/or public. SFY 21 estimate is through 12/30/2020.	State Operations
116.	DOI37500	Implement remote call center for Consumer Affairs + Deep Cleaning Costs	\$ 16,694		\$ 16,694	Approved				\$ 16,694					State Operations
117.	DPS32000	Funds for deep cleaning supplies (sanitizers, disinfectant, etc.) and PPE (gloves, N-95s, masks, infrared thermometers, face shields, decontamination systems).	\$ 700,000	\$ -	\$ 700,000	Approved				\$ 700,000					State Operations
118.	DPS32000	Funds to rent a modular trailer for six months that will be located in the north lot for those customers arriving at HQ to be fingerprinted.	\$ 13,000	\$ -	\$ 13,000	Approved				\$ 13,000				This will help prevent the spread of serious illnesses in DESPP's HQ and will assist in maintaining the health of customer facing employees. Additional trailers may be requested for some of the other units once the agency opens to the public.	State Operations
119.	CRD47200	Cleaning and other facility costs	\$ 902,036		\$ 902,036	Approved				\$ 902,036				Convention Center COVID19 costs, cleaning, facilities maintenance.	State Operations
120.	OEC64800	Child Care Provider Incentive Payments	\$ 4,000,000	\$ -	\$ 4,000,000	Approved						\$ 4,000,000		Source: Child Care Development Block Grant	
121.	UHC72000	Capital Purchases, Telework, Lab & Medical Supplies, PPE, Facilities, Overtime for Medical Staff	\$ 3,046,650	\$ -	\$ 3,046,650	Approved				\$ 761,663	\$ 2,284,988			COVID-related costs for teleworking transition, equipment/supplies, medical supplies, drugs, lab services, and other related expenses. Does not include student refunds or revenue losses. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	Higher Education
122.	DCF91000	Office Cleaning	\$ 59,500		\$ 59,500	Approved				\$ 59,500					State Operations
123.	DCF91000	HIPAA Compliant Document Bags for Telework	\$ 20,000		\$ 20,000	Approved				\$ 20,000					State Operations
124.	DCF91000	PPE /Cleaning Supplies /Infection Control Printed Materials /Scrubs & Uniforms	\$ 700,000		\$ 700,000	Approved				\$ 700,000					State Operations
125.	DCF91000	Temporary Nursing to Screen Workers Entering State Buildings	\$ 220,000		\$ 220,000	Approved				\$ 220,000					State Operations
126.	DCF91000	IT Devices and Software to Implement Telework / Consultant Staff Redeveloped from CT KIND Development to Mobility Deployment	\$ 500,000		\$ 500,000	Approved				\$ 500,000					State Operations

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Status	Funding Source						Notes	CRF Allocation Category				
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total		Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XX			Federal - Other	Philanthropy		
127.	ECD46000	Overtime costs and other COVID19 related expenditures	\$ 104,911		\$ 104,911	Approved			\$ 104,911							IT redirected 100 laptops that were part of the agency's re-fresh program (to employees with desktops). It will cost ~\$130,000 to replace those laptops.	State Operations
128.	DPF32000	Funds for 100 laptops to allow staff to telework	\$ 130,000	\$ -	\$ 130,000	Approved			\$ 130,000								State Operations
129.	OEC64800	Provide targeted subsidies to private child care providers to ensure financial viability to support the state's efforts to re-open.	\$ 8,000,000		\$ 8,000,000	Approved						\$ 8,000,000				Child Care Development Block Grant	
130.	CRD47200	Cleaning and sanitizing XL Center and PW Stadium	\$ 314,849		\$ 314,849	Approved			\$ 314,849								State Operations
131.	DSS60000	Administrative and technical support for pandemic response and remote working	\$ 54,734	\$ 12,837,085	\$ 12,891,819	Approved			\$ 12,891,819							Includes provision of laptops and other technical resources to support remote work, server enhancements, and other administrative costs. Estimate includes previously requested administrative support for Pandemic EBT at revised amount.	State Operations
132.	DCF91000	Special Stipend for Foster Parents/Children who test COVID positive	\$ 41,106		\$ 41,106	Approved			\$ 41,106							To pay higher medically complicated foster rate when foster parent/child tests COVID positive.	Nonprofits and Other Providers
133.	DDS50000	Additional Rent Subsidy supports for individuals unable to work	\$ -		\$ -	Approved			\$ -							Supports for approximately 160 individuals with intellectual disability who are eligible for the program due to a temporary loss in wages and require assistance with paying rent in May and June. Revised 8/1/2020 - No additional funds required. Funds in the amount of \$382,126 will be de-allotted.	Nonprofits and Other Providers
134.	SDR63500	IT technology and support to implement telework	\$ 61,843		\$ 61,843	Approved			\$ 61,843							Additional cost to the agency to support overtime and equipment costs in order to enable staff to telework.	State Operations
135.	SDR63500	Cleaning and disinfecting costs of offices	\$ 33,490		\$ 33,490	Approved			\$ 33,490							Additional cost to the agency for cleaning of offices and installation of plexi-glass in reception areas.	State Operations
136.	DOL40000	UI Call Center - 6 months	\$ 3,939,242		\$ 3,939,242	Approved			\$ 3,939,242								State Operations
137.	OTT14000	Laptops (\$25,588), gloves and cleaning supplies (\$128).	\$ 25,716		\$ 25,716	Approved			\$ 25,716								State Operations
138.	DRS16000	COVID related expenses - IT Equipment \$355,066 (Laptops, WIFI doggles and Headsets), Zoom conferencing \$531 and PPE and cleaning supplies \$3,876.	\$ 359,473		\$ 359,473	Approved			\$ 359,473								State Operations
139.	UOC67000	Equipment, supplies, and other COVID-related expenditures (excl. student refunds)	\$ 847,830		\$ 847,830	Approved			\$ 211,958	\$ 635,873						COVID-related costs for teleworking transition, equipment/supplies, and other related expenses. Does not include student refunds or revenue losses. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	Higher Education
140.	JUD95000	JUD - 2 - PPE, cleaning and disinfecting, technology, and other response costs	\$ 183,142		\$ 183,142	Approved			\$ 183,142							Virtual Desktops (Firewall Security), Call Center PC's, Printers, Equipment, and Call Management Software. (Net adjustment over first request - includes 3rd submitted request.)	State Operations
141.	PDS98500	PDS - 1 - Communications & Temporary Full-Time Attorneys for Case Backlogs	\$ -	\$ 457,229	\$ 457,229	Approved			\$ 457,229							Smartphones for scheduling due to closed courthouses. Attorneys for a 6-month period, 6 FT, fringes included here) to assist with backlog once courthouses are reopened back to full operations. PDS believes it should only impact 2021. Balance of PDS request will be covered by CESF. (Salaries: \$242,349 / OE: \$21,000 / Fringes: \$193,880)	State Operations
142.	DMV35000	Teleworking equipment	\$ 73,750	\$ -	\$ 73,750	Approved			\$ 73,750							50 Lenovo ThinkPad T490 laptops to allow additional employees to work from home	State Operations
143.	MIL36000	Retrograde Operations - Mobile Field Hospitals State Active Duty	\$ 67,000	\$ -	\$ 67,000	Approved			\$ 67,000							Deconstruct the Mobile Field Hospitals and return them to storage at Camp Hartell. Was approved at \$280,000 - reduced to \$67,000.	State Operations
144.	GOV12000	Crisis communications and ReOpen CT public awareness	\$ 4,000,000		\$ 4,000,000	Approved			\$ 4,000,000							Max Reiss request. State government must regularly, and clearly communicate with residents the ongoing changes to public health, business, and education guidance throughout this pandemic. The state must have funds set aside for targeted marketing, information, and education campaigns across media platforms to distribute accurate information for all residents. Some of this marketing must be statewide in nature, while others must be targeted for more diverse communities. The information that must be distributed will include but not be limited to: reopening criteria and phases, education guidance, health guidance, business guidance, testing information and locations, and messages from state officials. Will include TV and messaging; Outreach - COVID Municipal toolkits, posters, signage; Materials to every testing location to distribute to every COVID positive and how to conduct contract tracing; and Contact tracing design.	State Operations
145.	DAS23000	424 Chapel Street N95 Mask Decontamination Sterilization Unit	\$ 209,460		\$ 209,460	Approved			\$ 209,460							80,000 masks can be sterilized per day.	State Operations
146.	DDS50000	Additional supports for individuals receiving only in-home and/or day supports - Residential account	\$ -		\$ -	Approved			\$ -							Covers increased support costs and includes increased use of self directed programs for individuals receiving residential in-home supports in order to continue to meet day goals, meet basic needs and/or maintain structure. Estimates include extra staff and overtime costs. Revised 8/1/2020 - No additional funds required. Funds in the amount of \$2.8 million will be de-allotted.	Nonprofits and Other Providers
147.	DDS50000	Additional supports for individuals receiving only in-home and/or day supports - Day account	\$ -		\$ -	Approved			\$ -							Covers increased support costs and includes increased use of self directed programs for individuals receiving day supports at home in order to continue to meet day goals, meet basic needs and/or maintain structure. Estimates include extra staff and overtime costs. Revised 8/1/2020 - No additional funds required. Funds in the amount of \$6.2 million will be de-allotted.	Nonprofits and Other Providers

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Status	Funding Source						Notes	CRF Allocation Category		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Unassigned		State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XX	Federal - Other			Philanthropy	
148.	DDS50000	Residential Provider Supplemental Payments	\$ 35,262,972		\$ 35,262,972	Approved			\$ 35,262,972						All residential providers are being paid 120% of authorizations to cover the cost of increased staffing and overtime in residential programs if day programs are closed. This increase also covers additional COVID-19 related expenses including PPE and cleaning expenses. Rev 8/1/20 - Est is reduced by \$1.2 million and funds will be de-allotted.	Nonprofits and Other Providers
149.	DDS50000	Day Provider Supplemental Payments	\$ 7,428,935		\$ 7,428,935	Approved		\$ 7,428,935							Day providers are being paid based upon 100% of authorizations to support drastically lowered attendance due to social distancing requirements. Payments will ensure staff are still in place when day programs reopen. Costs will be covered with budgeted funds.	
150.	DPS32000	Emergency Management Performance Grant (EMPG-S) Program - Supplemental	\$ -	\$ 2,789,396	\$ 2,789,396	Approved			\$ 1,394,698		\$ 1,394,698				FEMA will award funding to support planning and operational readiness for COVID-19 preparedness and response. The School Security Grant Program (bond funds) will be used to provide the required state match for the federal funding.	
151.	DOH46900	Temporary Housing Assistance including Rent Relief		\$ 20,000,000	\$ 20,000,000	Approved			\$ 20,000,000						Payments will be made to landlords.	Housing - Rent Relief
152.	ECD46000	DECD Phase 2 graphics and translation	\$ 47,535		\$ 47,535	Approved			\$ 47,535							Reopen and Community Supports
153.	BOR77700	Instruction, Student Support, and Technology exceeding available HEER funding at CSUs and COSC	\$ 3,379,595		\$ 3,379,595	Approved			\$ 844,899		\$ 2,534,696				Costs reported as of 5/20/20 include institutional costs at State Universities and Charter Oak State College to off-campus courses in Spring 2020 semester, including supplies and technology needed for instruction and remote learning. Does not include student refunds or lost revenue. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	Higher Education
154.	UOC67000	Equipment, supplies, and other COVID-related expenditures (excl. student refunds) - Newly reported as of 5/22	\$ 1,004,000	\$ -	\$ 1,004,000	Approved			\$ 251,000		\$ 753,000				Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	Higher Education
155.	UHC72000	Capital Purchases, Telework, Lab & Medical Supplies, PPE, Facilities, Overtime for Medical Staff	\$ 1,418,692	\$ 2,052,483	\$ 3,471,175	Approved			\$ 867,794		\$ 2,603,381				Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	Higher Education
156.	DPH48500	Specimen collection for testing nursing home residents and staff	\$ 23,748,850		\$ 23,748,850	Approved			\$ 23,748,850						To support grants to Yale NHH (\$4,162,790) and Griffin Hospital (\$19,586,060).	Testing
157.	MIL36000	Overtime and Other Expenses Costs Related to Cleaning Facilities Consultant to review NH and LTC components of CT's response to the pandemic	\$ 25,000		\$ 25,000	Approved			\$ 25,000						Funding to cover costs of overtime and cleaning supplies for Military facilities.	State Operations
158.	DPH48500			\$ 449,411	\$ 449,411	Approved			\$ 449,411						NEW: Vendor selected on 6/29/20.	Nursing Homes
159.	CRD47200	Convention Center costs and CRDA Operations	\$ 478,048		\$ 478,048	Approved			\$ 478,048						Cleaning supplies, air filters, handrail sanitation, technological needs for telework.	State Operations
160.	MHA53000	Equipment for to Facilitate Telework and Telehealth for State-Operated Facilities	\$ 905,148		\$ 905,148	Approved			\$ 905,148						Equipment includes Laptops, Software, Servers, Telem carts, Video Conferencing systems/equipment, cleaning machinery.	State Operations
161.	MHA53000	IT Supplies, Software, Telecommunications for State-Operated Facilities	\$ 385,732		\$ 385,732	Approved			\$ 385,732						OE expenditures include software, iPhones, air cards, conference lines for telework. Also phones for 24/7 sites for clients to communicate with family, friends, etc. due to visitor restrictions.	State Operations
162.	MHA53000	Emergency Hiring for State-Operated Facilities	\$ 234,620		\$ 234,620	Approved			\$ 234,620						PS costs for temporary hires including nurses, custodians, MHA1s, assistant cooks.	State Operations
163.	MHA53000	Temporary Services for State-Operated Facilities	\$ 1,544,651		\$ 1,544,651	Approved			\$ 1,544,651						OE costs for contracted staff including housekeeping and workers to screen staff reporting to work.	State Operations
164.	MHA53000	PPE, Medical, Cleaning, Personal Hygiene Supplies for State-Operated Facilities	\$ 402,317		\$ 402,317	Approved			\$ 402,317						OE costs.	State Operations
165.	MHA53000	Other Supplies for State-operated Facilities	\$ 252,140		\$ 252,140	Approved			\$ 252,140						OE costs for office supplies like secure medical records bags to protect PHI, kitchen/dining/food supplies for individual meal servings and cleaning supplies for the pandemic.	State Operations
166.	ECD46000	Welcome centers, OT for arts grants	\$ 38,220		\$ 38,220	Approved			\$ 38,220						Welcome center COVID19 supplies, OT for arts grants	State Operations
167.	SDE64000	CTECS - PPE, equipment, cleaning, public safety overtime	\$ 2,677,646	\$ -	\$ 2,677,646	Approved			\$ 2,677,646						FY20 expenditures at CTECS for purchases including PPE, technology, cleaning supplies, public safety overtime, and other direct response costs.	State Operations

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Status	Funding Source					Notes	CRF Allocation Category			
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total		Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA			Federal - T-XX	Federal - Other	Philanthropy
168.	OPM20000	Purchase 75 laptops, docking stations, and headsets, to support telework by agency employees	\$ 83,350	\$ 12,500	\$ 95,850	Approved			\$ 95,850					To purchase 75 laptops, docking stations and knapsacks, and headsets, to provide equipment for telework.	State Operations
169.	CRD47200	Dillon Stadium, XL touchless plumbing, CCC hospital surge	\$ 92,718		\$ 92,718	Approved			\$ 92,718						State Operations
170.	DPH48500	CDC Enhancing Detection grant	\$ 182,633,998		\$ 182,633,998	Approved						\$ 182,633,998		Significant funding for laboratory operations, epidemiologic surveillance and reporting, contact tracing, and testing, among other activities. State application submitted to CDC on 6/18/20	
171.	DPH48500	Immunization Program	\$ 1,696,075		\$ 1,696,075	Approved						\$ 1,696,075		Supplemental immunization funding, primary purpose is to enhance influenza vaccination coverage as a critical part of COVID-19 response work	
172.	OEC64800	Priority School Readiness	\$ 5,559,359		\$ 5,559,359	Approved			\$ 5,559,359					Stabilize funding for school readiness programs in priority school districts	Nonprofits and Other Providers
173.	JUD95000	Call Center Technology for Social Distancing	\$ 219,570		\$ 219,570	Approved			\$ 219,570					Technology to support a Judicial Call Center by enhancing social distancing. One-time purchase for controllable equipment and phones to expand the call center program. There are no additional operational costs currently or in the future because the program will be administered with existing personnel in an existing facility. Covered in review for CESF funds. Decision made to have CRF cover these costs.	State Operations
174.	DOT57000	DOT - 2 - Road Equipment - Electronic Signs and Monitors	\$ 73,778	\$ -	\$ 73,778	Approved			\$ 73,778					Per Secretary, 10% of request funded through CRF with balance to be funded through PAYGO. Request covers cost of 40 variable messaging signs to place throughout the state to assist in coordination and logistics of resources and messaging for the public. DOT currently has 14 signs. DOT Highway Operations determines where the signs should go. DOT has been asked to explain why the signs can't be rented, as they have rented other signs. Also, getting the signs this late into the pandemic for CT - would it make that much of a difference? - was \$737,776	State Operations
175.	DMV35000	Purchase of two way radios for branch locations	\$ 22,000	\$ -	\$ 22,000	Approved			\$ 22,000					The radios will enhance communication for added security to control foot traffic to maintain social distancing and appointment only scheduling, as well as maintain communication with healthcare providers and essential staff. This purchase will enhance communication and provide additional safety and security measures at all DMV locations.	State Operations
176.	DMV35000	Funding to reconfigure work space to allow for employees to report back to the office	\$ 150,000	\$ -	\$ 150,000	Approved			\$ 150,000					The contact center is experiencing an unprecedented amount of calls. Due to the need for social distancing, their current contact center location is only working at 35% staff capacity. In order to bring staffing levels to 100%, the DMV needs to reconfigure current office space. In addition, enhancements are also being made in the administrative areas to allow staff who do not have the ability to work from home to come back to a healthy and safe environment.	State Operations
177.	DMV35000	Funding for Temperature Screening	\$ 36,000	\$ 600,000	\$ 636,000	Approved			\$ 636,000					Due to the COVID19 crises, the Department of Motor Vehicle has started temperature screening both employees and customers that enter our branch locations. The DMV is requesting funding for the healthcare providers needed to perform these screening.	State Operations
178.	DMV35000	Funds for a digital enablement project to support increased online access to the public and allow additional tools to drive simple transactions online and out of DMV branches.	\$ -	\$ 3,600,000	\$ 3,600,000	Approved			\$ 3,600,000					The projects listed below will help drive the public to simpler and easier online transaction options, thereby slowing the foot traffic that will be required to come into DMV public buildings. Projects: Integrated Online Services, Out-of-State Dealer Online, Enable Work from Home for DMV Employees, Scanning Solution	State Operations
179.	DMV35000	Funds for touchless soap and hand sanitizer dispensers, including floor stands, along with the initial distribution of soap and hand sanitizer to fill our new touchless dispensers.	\$ -	\$ 34,312	\$ 34,312	Approved			\$ 34,312					These dispensers will be located throughout our branch and office locations.	State Operations
180.	DOC88000	Expand Telemedicine and Telemental Health Program	\$ 470,000		\$ 470,000	Approved			\$ 470,000					Funds will be used to procure additional laptops and tablets for staff, webcams, Mobile Medical Devices, and Telemedicine Carts.	State Operations
181.	DOL40000	COVID Summer Youth Employment Initiative	\$ 2,000,000	\$ -	\$ 2,000,000	Approved			\$ 2,000,000					Funds will be provided through the Department of Labor to the five workforce development boards (WDBs) to support COVID related summer youth employment initiatives. Specifically, the WDBs will seek to provide funding to support health related youth employment through partnerships with FQHCs, AHECs and other community providers.	Workforce Development and Employment Initiatives
182.	DSS60000	Emergency feeding program		\$ 717,000	\$ 717,000	Approved			\$ 454,500	\$ 262,500					Nonprofits and Other Providers
183.	JUD95000	JUD - 1 - PPE, cleaning and disinfecting, and other response costs	\$ 689,190		\$ 689,190	Approved			\$ 689,190						State Operations
184.	DSS60000	Provide funding to support testing of high-risk populations	\$ -	\$ 32,400,000	\$ 32,400,000	Approved			\$ 32,400,000						Testing
185.	MIL36000	State active duty post-8/8/20 to support PPE distribution and warehousing, testing and other COVID activities		\$ 10,533,170	\$ 10,533,170	Approved			\$ 2,633,293	\$ 7,899,877				Contingency in the event that a presidential extension of federal coverage of National Guard costs is not approved.	State Operations
186.	DPH48500	Nursing Home Testing - 6 Additional Vendors		\$ 11,528,020	\$ 11,528,020	Approved			\$ 11,528,020					Physician One, Stamford Hospital, Fairhaven Health Center, Prospect-Waterbury, Prospect ECHN, Hartford HealthCare	Testing
187.	SDE64000	Devices for remote learning		\$ 10,000,000	\$ 10,000,000	Approved			\$ 10,000,000					Funds to be used in conjunction with Governor's Education Emergency Relief fund to provide devices where either districts or families are unable to do so.	Education - Reopening
188.	DOL40000	Overtime for Call Center through Labor Day		\$ 1,796,622	\$ 1,796,622	Approved			\$ 1,796,622						State Operations

COVID-19 Response Items - Funding Sources and Budget Impact		Total Cost - Expenditure or (Revenue Loss)			Status	Funding Source							Notes	CRF Allocation Category		
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total		Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other			Philanthropy	
189.	DRS16000	COVID related expenses - IT Equipment \$142,104 (100 Laptops, WiFi doggles and Headsets), Zoom conferencing \$408 and PPE and cleaning supplies \$10,911.		\$ 153,423	\$ 153,423	Approved			\$ 153,423							State Operations
190.	SOS12500	Cost of publishing various Executive Orders	\$ 124,216		\$ 124,216	Approved			\$ 124,216						Costs related to printing EO 766 - 7TT in various papers as required by statute	State Operations
191.	DOL40000	Call center enhancements and support		\$ 1,287,150	\$ 1,287,150	Approved			\$ 1,287,150							State Operations
192.	SDE64000	Cleaning and sanitizing the CTEC schools	\$ 5,000,000		\$ 5,000,000	Approved			\$ 5,000,000							State Operations
193.	PCA98000	PCA - 1 - Remote Desktop Services; Laptop and Accessories	\$ 55,574		\$ 55,574	Approved			\$ 55,574						To allow staff to telework - Remote desktop services; Laptop & accessories; and monitors, webcams, and webex subscriptions to allow court to conduct hearings.	State Operations
194.	DPS32000	Funds for DESPP/CSP to moved away from face-to-face contact and replace inefficient electronic communications. See project list in Notes.	\$ 1,206,810		\$ 1,206,810	Approved			\$ 350,000						• Project # 2: Mobile Data Terminal (MDT) Internet Project and Project # 3: Law Enforcement Encrypted Mobile Application total \$350,000	State Operations
195.	SDE64000	Content and Social Emotional Learning		\$ 2,000,000	\$ 2,000,000	Approved			\$ 2,000,000						Content effort may have some portion allocated to SERC with guidance from SDE.	Education - Reopening
196.	DOL40000	Additional Staff to Support UI claimload, integrity, Call center OT and system enhancements.		\$ 11,983,136	\$ 11,983,136	Approved			\$ 11,983,136						Additional funding through 12/31/20 to support critical areas of the UI efforts - including UI initial claims (35 FTE) appeals (30 FTE) and tax (25 FTE), all of which are impacted by the increased claim load.	State Operations
197.	DAS23000	Durational staff to perform project management, analysis and support through December		\$ 321,750	\$ 321,750	Approved			\$ 321,750						BCG contract expiration requires ongoing staff support for COVID response. Estimate based on 6 DPMs @\$5,500/month/each plus fringes.	State Operations
198.	OPM20000	Municipalities - non-education assistance for COVID-related costs		\$ 75,000,000	\$ 75,000,000	Approved			\$ 75,000,000							Municipalities
199.	SDE64000	additional contingency for devices for remote learning		\$ 7,000,000	\$ 7,000,000	Approved			\$ 7,000,000							Education - Reopening
200.	SDE64000	hotspots to ensure connectivity for remote learning		\$ 4,500,000	\$ 4,500,000	Approved			\$ 4,500,000							Education - Reopening
201.	SDE64000	connectivity to ensure remote learning		\$ 5,000,000	\$ 5,000,000	Approved			\$ 5,000,000							Education - Reopening
202.	DMV35000	Funds to cover additional unarmed guards needed through 12/31/20.	\$ -	\$ 1,222,863	\$ 1,222,863	Approved			\$ 1,222,863						The public demand for DMV services is high and with the new appointment only system, the DMV is anticipating the need for control of both foot and vehicle traffic to keep both the citizens and staff safe.	State Operations
203.	DMV35000	Requesting an additional \$20,744.80 to the approved Appointment Project (Item #113) to purchase the licenses and setup to enable SMS integration (Text Messaging) for appointments and cancellations.	\$ -	\$ 20,745	\$ 20,745	Approved			\$ 20,745							State Operations
204.	DOC88000	Integration to DOC's EMR System for SEMA4 and Jackson Labs Testing		\$ 78,000	\$ 78,000	Approved			\$ 78,000						DOC was asked to change labs for COVID testing as the existing lab, Quest, was facing national delays in processing samples. DOC is moving to SEMA4 and Jackson labs to process their testing samples, but there will be a cost of \$39,000 each to integrate the lab with DOC's EMR system.	State Operations
205.	DPS32000	Funds for staff overtime.	\$ -	\$ 2,200,000	\$ 2,200,000	Approved			\$ 2,200,000						DESPP is requesting \$1.5M in CRF for overtime plus use of \$700K of the \$1.4M in funds allotted for Housing (approved item #64) be redirected to this Personal Services overtime request, totaling \$2.2M for projected overtime expenses in SFY 21. CSP continues Warehouse detail and DEMHS continues Emergency Management for COVID. Additionally, DESPP has a backlog in SPBI and Fingerprinting that will require additional hours to process; all are related to reduced/shutdown of services during the height of the pandemic. We have been told to expect an increase from OEC, DPH, school security guards as well as bus drivers in the coming weeks. We also anticipate a need in the late Fall for CSP services at the testing centers and vaccine centers. In the first 3 PP (through 7/16/20) DESPP has expended nearly \$190K in overtime coded to TASK Code PG881 (Covid-19 Response).	State Operations
206.	OPM20000	Recovery planning through COGs		\$ 1,250,000	\$ 1,250,000	Approved			\$ 1,250,000						Funding through the CT Association of Councils of Governments for assistance from the Global Resilience Institute (GRI).	Reopen and Community Supports
207.	TBD	Active Clinical Monitoring		\$ 20,000,000	\$ 20,000,000	Approved			\$ 20,000,000							Active Clinical Monitoring
208.	TBD	Education - Reopening		\$ 131,500,000	\$ 131,500,000	Approved			\$ 131,500,000							Education - Reopening
209.	TBD	Housing - Rent Relief		\$ 10,000,000	\$ 10,000,000	Approved			\$ 10,000,000							Housing - Rent Relief
210.	TBD	Municipalities		\$ -	\$ -	Approved			\$ -							Municipalities
211.	TBD	PPE and Supplies		\$ 68,750,000	\$ 68,750,000	Approved			\$ 68,750,000							PPE and Supplies
212.	TBD	Testing		\$ 31,323,130	\$ 31,323,130	Approved			\$ 31,323,130							Testing
213.	TBD	Workforce Development and Employment Initiatives		\$ 15,000,000	\$ 15,000,000	Approved			\$ 15,000,000							Workforce Development and Employment Initiatives
		<b>Subtotal - Additional Expenditures</b>	<b>\$ 690,602,150</b>	<b>\$ 552,318,563</b>	<b>\$ 1,519,493,842</b>		<b>\$ -</b>	<b>\$ 55,501,874</b>	<b>\$ 2,834,885</b>	<b>\$ 990,538,679</b>	<b>\$ 119,852,304</b>	<b>\$ 74,053,300</b>	<b>\$ 271,539,417</b>	<b>\$ 4,316,573</b>		
<b>Revenue Items</b>																
1.	Rev	Pass-through Entity Tax - Delay March 15, 2020 payment date to July 15, 2020	\$ (333,333)		\$ (333,333)	Approved		\$ (333,333)	\$ -							Cash flow impact, loss of interest
2.	Rev	Corporation Tax - Delay May 15, 2020 payment date to July 15, 2020	\$ (166,667)		\$ (166,667)	Approved		\$ (166,667)	\$ -							Cash flow impact, loss of interest
3.	Rev	Unrelated Business Income - Delay March 15, 2020 payment date to July 15, 2020	\$ (1,667)		\$ (1,667)	Approved		\$ (1,667)	\$ -							Cash flow impact, loss of interest
4.	Rev	Indian Gaming Payments - Defer March 15 & April 15, 2020 Payment to Sep. through Dec.	\$ (28,600,000)	\$ 28,600,000	\$ -	Approved		\$ (28,600,000)	\$ 28,600,000							Cash flow impact, shift of revenue from FY20 to FY21
5.	Rev	Personal Income Tax - Delay April 15 final payment date to July 15	\$ (1,119,556)		\$ (1,119,556)	Approved		\$ (1,119,556)	\$ -							Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
6.	Rev	Personal Income Tax - Delay April 15 1st estimate payment to July 15	\$ (166,813)		\$ (166,813)	Approved		\$ (166,813)	\$ -							Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
7.	Rev	Personal Income Tax - Delay June 15 2nd estimate payment to July 15	\$ (99,521)		\$ (99,521)	Approved		\$ (99,521)	\$ -							Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
8.	Rev	LPF & MVR - Delay Various Licenses and Registrations by 180 Days	\$ (37,000,000)	\$ 37,000,000	\$ -	Approved		\$ (37,000,000)	\$ 37,000,000							STF. Shift of revenue from FY20 to FY21

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Status	Funding Source						Notes	CRF Allocation Category		
Agency	Item		Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total		Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX			Federal - Other	Philanthropy
9.	Rev	Sales and Use Tax - Waive 10 cent plastic bag fee through June 30	\$ (1,800,000)		\$ (1,800,000)	Approved		\$ (1,800,000)	\$ -						Revenue loss for 1.5 months	
		Sales and Use Tax - Delay 3/31 & 4/30 payment date to May 31, ann. liab. <\$150k	\$ (71,681)		\$ (71,681)	Approved		\$ (71,681)	\$ -						Cash flow impact, loss of interest	
10.	Rev	LPF - DPH Delay Various Licenses	\$ (10,000,000)	\$ 10,000,000	\$ -	Approved		\$ (10,000,000)	\$ 10,000,000						Per order of DPH Commissioner	
11.	Rev	Gift Tax - Delay April 15 final payment date to July 15	\$ (10,000,000)	\$ 10,000,000	\$ -	Approved		\$ (10,000,000)	\$ 10,000,000						Cash flow impact, loss of interest	
12.	Rev	LPF - Extend Term for On-Premise Liquor License by 4 months	\$ (1,800,000)		\$ (1,800,000)	Approved		\$ (1,800,000)	\$ -						One-time revenue loss due to extension	
13.	Rev	Corporation Tax - Delay June 15 2nd estimated payment to July 15	\$ (98,333)		\$ (98,333)	Approved		\$ (98,333)	\$ -						Cash flow impact, loss of interest	
14.	Rev	Unrelated Business Income - Delay June 15 2nd estimated payment to July 15	\$ -		\$ -	Approved		\$ -	\$ -						Cash flow impact, loss of interest - included in Corp. Tax figure above	
15.	Rev	Pass-through Entity Tax - Delay June 15 2nd estimated payment to July 15	\$ (62,083)		\$ (62,083)	Approved		\$ (62,083)	\$ -						Cash flow impact, loss of interest	
16.	Rev	Estate Tax - Delay payments due from 4/1-7/15 to July 15	\$ (40,000,000)	\$ 40,000,000	\$ -	Approved		\$ (40,000,000)	\$ 40,000,000						Cash flow impact, loss of interest	
17.	Rev	LPF - DEEP 90 Day extension for Environmental Quality Fee	\$ (2,500,000)	\$ 2,500,000	\$ -	Approved		\$ (2,500,000)	\$ 2,500,000						Shift of revenue from FY20 to FY21	
18.	Rev	Refunds of Taxes - delay in refund payments due to extensions of tax filing dates	\$ 150,200,000	\$ (150,200,000)	\$ -	Approved		\$ 150,200,000	\$ (150,200,000)						Shift of refund payments from FY20 to FY21	
19.	Rev	<b>Subtotal - Revenue Loss</b>	<b>\$ 16,380,346</b>	<b>\$ (22,100,000)</b>	<b>\$ (5,719,654)</b>		<b>\$ -</b>	<b>\$ 16,380,346</b>	<b>\$ (22,100,000)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>		
		<b>Grand Total Impact</b>	<b>\$ 674,221,804</b>	<b>\$ 574,418,563</b>	<b>\$ 1,525,213,496</b>		<b>\$ -</b>	<b>\$ 39,121,528</b>	<b>\$ 24,934,885</b>	<b>\$ 990,538,679</b>	<b>\$ 119,852,304</b>	<b>\$ 74,053,300</b>	<b>\$ 271,539,417</b>	<b>\$ 4,316,573</b>		

State of Connecticut  
Summary of Changes - FY 2021  
General Fund and Special Transportation Fund  
Projected to June 30, 2021  
As of July 31, 2020  
(In Millions)

**General Fund**

Balance from Operations - Adopted Budget \$ 166.2

Revenues

Personal Income Tax - Estimates and Finals	(919.6)	
Personal Income Tax - Withholding	(667.9)	
Sales and Use Tax	(472.4)	
Corporation Tax	(318.7)	
Refunds of Taxes	(150.2)	
Transfers to BRF - Volatility Adjustment	301.5	
All Other Changes (Net)	31.3	(2,196.0)

Expenditures

Additional Requirements	(139.1)	
Estimated Lapses	98.2	
Miscellaneous Adjustments/Rounding	0.0	(40.9)

Operating Deficit - FY 2021 (2,070.7)

**Budget Reserve Fund**

Fund Balance as of June 30, 2020		\$ 3,090.0
Deposit to SERS/TRS Pursuant to Sec. 4-30a, CGS	(77.1)	
Volatility Cap Deposit	0.0	
FY 2021 Est. Balance from Operations	(2,070.7)	(2,147.8)

Estimated Fund Balance - June 30, 2021 \$ 942.2  
Fund Balance as Percentage of FY 2021 General Fund 4.7%

**Special Transportation Fund**

Fund Balance as of June 30, 2020 \$ 169.0

Balance from Operations - Adopted Budget 64.5

Revenues

Oil Companies Tax	(115.7)	
Sales and Use Tax	(48.2)	
Interest Income	(31.0)	
All Other Changes (Net)	2.4	(192.5)

Expenditures

Additional Requirements	0.0	
Estimated Lapses	52.0	
Miscellaneous Adjustments/Rounding	0.0	52.0

Estimated Fund Balance - June 30, 2021 \$ 93.0

State of Connecticut  
General Fund  
Statement of FY 2021 Revenues, Expenditures, and Results of Operations  
Projected to June 30, 2021  
As of July 31, 2020  
(In Millions)

	General Assembly Budget Plan <sup>1</sup>	Revised Estimates OPM	Over/ (Under)
<b>REVENUE</b>			
Taxes	\$ 18,873.4	\$ 16,663.1	\$ (2,210.3)
Less: Refunds	(1,484.7)	(1,636.9)	(152.2)
Taxes - Net	\$ 17,388.7	\$ 15,026.2	\$ (2,362.5)
Other Revenue	1,345.1	1,299.7	(45.4)
Other Sources	1,518.7	1,730.6	211.9
<b>TOTAL Revenue</b>	<b>\$ 20,252.5</b>	<b>\$ 18,056.5</b>	<b>\$ (2,196.0)</b>
<b>EXPENDITURES</b>			
Initial Current Year Appropriations	\$ 20,395.7	\$ 20,395.7	\$ -
Prior Year Appropriations Continued to FY 2021 <sup>2</sup>		139.0	139.0
<b>TOTAL Initial and Continued Appropriations</b>	<b>\$ 20,395.7</b>	<b>\$ 20,534.7</b>	<b>\$ 139.0</b>
Appropriation Adjustments	-	-	-
<b>TOTAL Adjusted Appropriations</b>	<b>\$ 20,395.7</b>	<b>\$ 20,534.7</b>	<b>\$ 139.0</b>
Net Additional Expenditure Requirements		139.1	139.1
Estimated Appropriations Lapsed	(309.4)	(407.7)	(98.2)
Estimated Appropriations to be Continued to FY 2022 <sup>2</sup>		-	-
<b>TOTAL Estimated Expenditures</b>	<b>\$ 20,086.3</b>	<b>\$ 20,266.2</b>	<b>\$ 179.9</b>
Net Change in Fund Balance - Continuing Appropriations		(139.0)	(139.0)
Miscellaneous Adjustments/Rounding		-	-
<b>Net Change in Unassigned Fund Balance - 6/30/2021</b>	<b>\$ 166.2</b>	<b>\$ (2,070.7)</b>	<b>\$ (2,236.9)</b>

1. P.A. 19-117. Note that CGS Sec. 2-33c limits appropriations in FY 2020 to 99.5% of General Fund revenue. As a result, the \$141.1 million budgeted surplus is comprised of \$97.3 million due to this 99.5% limitation, plus a \$43.8 million operating surplus. Pursuant to Sec. 50 of P.A. 19-117, \$30.0 million of the operating surplus is available for use toward a potential settlement of hospital litigation. Section 50 also makes available \$160.0 million of FY 2019 surplus for a total of \$190.0 million to effectuate a settlement, and further specifies that the General Assembly Budget Plan be modified to reflect any adjustments to revenue or expenditures that might be necessary as a result of such settlement.

2. CGS Sec. 4-89 and other statutory provisions.



State of Connecticut  
General Fund  
FY 2021 Revenue Estimates  
Projected to June 30, 2021  
As of July 31, 2020  
(In Millions)

	General Assembly Budget Plan <sup>1.</sup>	Revised Estimates OPM	Over/ (Under)
<b>TAXES</b>			
Personal Income - Withholding	\$ 7,168.5	\$ 6,500.6	\$ (667.9)
Personal Income - Estimates and Finals	2,836.9	1,917.3	(919.6)
Sales and Use	4,588.4	4,116.0	(472.4)
Corporation	1,082.5	763.8	(318.7)
Pass-through Entity Tax	850.0	921.4	71.4
Public Service Corporations	244.7	267.6	22.9
Inheritance and Estate	146.3	211.7	65.4
Insurance Companies	205.8	213.9	8.1
Cigarettes	326.9	324.9	(2.0)
Real Estate Conveyance	230.6	216.1	(14.5)
Alcoholic Beverages	69.7	73.2	3.5
Admissions and Dues	41.5	38.3	(3.2)
Health Provider Tax	1,033.6	1,079.5	45.9
Miscellaneous	48.0	18.8	(29.2)
<b>TOTAL - TAXES</b>	<b>\$ 18,873.4</b>	<b>\$ 16,663.1</b>	<b>\$(2,210.3)</b>
Less: Refunds of Taxes	(1,378.9)	(1,529.1)	(150.2)
Earned Income Tax Credit	(100.6)	(100.6)	-
R & D Credit Exchange	(5.2)	(7.2)	(2.0)
<b>TOTAL - TAXES - NET</b>	<b>\$ 17,388.7</b>	<b>\$ 15,026.2</b>	<b>\$(2,362.5)</b>
<b>OTHER REVENUE</b>			
Transfers - Special Revenue	\$ 376.6	\$ 356.5	\$ (20.1)
Indian Gaming Payments	225.4	246.6	21.2
Licenses, Permits, Fees	384.3	338.8	(45.5)
Sales of Commodities and Services	31.0	26.8	(4.2)
Rents, Fines, Escheats	160.9	155.5	(5.4)
Investment Income	52.9	12.3	(40.6)
Miscellaneous	181.7	230.9	49.2
Refunds of Payments	(67.7)	(67.7)	-
<b>TOTAL - OTHER REVENUE</b>	<b>\$ 1,345.1</b>	<b>\$ 1,299.7</b>	<b>\$ (45.4)</b>
<b>OTHER SOURCES</b>			
Federal Grants	\$ 1,571.5	\$ 1,507.5	\$ (64.0)
Transfer from Tobacco Settlement Fund	114.5	114.5	-
Transfers From/(To) Other Funds	134.2	108.6	(25.6)
Transfers to BRF - Volatility Adjustment <sup>2.</sup>	(301.5)	-	301.5
<b>TOTAL - OTHER SOURCES</b>	<b>\$ 1,518.7</b>	<b>\$ 1,730.6</b>	<b>\$ 211.9</b>
<b>TOTAL - GENERAL FUND REVENUE</b>	<b>\$ 20,252.5</b>	<b>\$ 18,056.5</b>	<b>\$(2,196.0)</b>

1. Sec. 386 of P.A. 19-117 as amended by Sec. 8 of P.A. 19-1, December Special Session.

2. The volatility cap for FY 2021 is \$3,385.4 million. Total revenue from the Estimates and Finals portion of the Personal Income Tax and the Pass-through Entity Tax that exceeds the volatility cap will be deposited into the Budget Reserve Fund.

State of Connecticut - General Fund  
FY 2021 Appropriation Adjustments - Net Additional Requirements  
Projected to June 30, 2021  
As of July 31, 2020

Department of Economic and Community Development	\$	11,538,257
Department of Mental Health and Addiction Services		6,100,000
University of Connecticut Health Center		50,000,000
OSC - Miscellaneous (Adjudicated Claims)		10,000,000
OSC - Fringe Benefits		61,503,038
Total	\$	<u>139,141,295</u>

State of Connecticut  
General Fund  
Estimated FY 2021 Lapses  
Projected to June 30, 2021  
As of July 31, 2020

Unallocated Lapse	\$ 26,215,570
Unallocated Lapse - Judicial	5,000,000
Statewide Hiring Reduction - Executive	7,000,000
Contracting Savings Initiatives	15,000,000
Pension and Healthcare Savings (pension portion)	121,200,000
Pension and Healthcare Savings (healthcare portion)	135,000,000
Department of Social Services	91,700,000
Department of Education	2,946,846
Teachers' Retirement Board	3,594,000
Total	<u>\$ 407,656,416</u>

State of Connecticut  
FY 2021 General Fund  
Monthly Summary of Operations  
(In Millions)

	Budget Plan <sup>1</sup>	July 2020	August 2020	September 2020	October 2020	November 2020	December 2020	January 2021	February 2021	March 2021	April 2021	May 2021	June 2021
REVENUE	\$20,252.5	\$ 18,056.5											
Appropriations	20,395.7	20,395.7											
Additional Requirements	0.0	139.1											
Less: Estimated Lapses	(309.4)	(407.7)											
TOTAL - Estimated Expenditures	20,086.3	20,127.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Operating Balance	166.2	(2,070.7)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Misc. Adjustments/Rounding	0.0	0.0											
Est. Operating Balance - 6/30/21	\$166.2	(\$2,070.7)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0

1. P.A. 19-117 as amended by P.A. 19-1 of the December Special Session.

State of Connecticut  
Special Transportation Fund  
Analysis of FY 2021 Budget Plan  
Projected to June 30, 2021  
As of July 31, 2020  
(In Millions)

	General Assembly <u>Budget Plan</u> <sup>1.</sup>	Revised Estimates <u>OPM</u>	Over/ <u>(Under)</u>
Fund Balance as of June 30, 2020	\$ 363.5	\$ 363.5	\$ -
<b>REVENUE</b>			
Taxes	\$ 1,375.5	\$ 1,188.5	\$ (187.0)
Less: Refunds of Taxes	<u>(15.0)</u>	<u>(15.0)</u>	<u>-</u>
Taxes - Net	1,360.5	1,173.5	(187.0)
Other Revenue	<u>520.3</u>	<u>514.8</u>	<u>(5.5)</u>
<b>TOTAL - Revenue</b>	<b>\$ 1,880.8</b>	<b>\$ 1,688.3</b>	<b>\$ (192.5)</b>
<b>EXPENDITURES</b>			
Appropriations	\$ 1,848.0	\$ 1,848.0	\$ -
Prior Year Appropriations Continued to FY 2021 <sup>2.</sup>		31.8	31.8
<b>TOTAL Initial and Continued Appropriations</b>	<b>\$ 1,848.0</b>	<b>\$ 1,879.8</b>	<b>\$ 31.8</b>
Appropriation Adjustments	<u>-</u>	<u>-</u>	<u>-</u>
<b>TOTAL Adjusted Appropriations</b>	<b>\$ 1,848.0</b>	<b>\$ 1,879.8</b>	<b>\$ 31.8</b>
Net Additional Expenditure Requirements		-	-
Estimated Appropriations Lapsed	(31.7)	(83.7)	(52.0)
Estimated Appropriations to be Continued to FY 2022 <sup>2.</sup>		<u>-</u>	<u>-</u>
<b>TOTAL Estimated Expenditures</b>	<b>\$ 1,816.3</b>	<b>\$ 1,796.1</b>	<b>\$ (20.2)</b>
Net Change in Fund Balance - Continuing Appropriations		(31.8)	(31.8)
Miscellaneous Adjustments/Rounding		-	-
<b>Net Change in Unassigned Fund Balance - FY 2021</b>	<b>\$ 64.5</b>	<b>\$ (76.0)</b>	<b>\$ (140.5)</b>
Estimated Fund Balance - June 30, 2021	<u>\$ 428.0</u>	<u>\$ 287.5</u>	<u>\$ (140.5)</u>

1. P.A. 19-117. Note that CGS Sec. 2-33c limits appropriations in FY 2020 to 99.5% of Special Transportation Fund revenue. As a result, the \$38.8 million budgeted surplus is comprised of \$8.7 million due to this 99.5% limitation, plus a \$30.1 million operating surplus.

2. CGS Sec. 4-89 and other statutory provisions.

State of Connecticut  
Special Transportation Fund  
FY 2021 Revenue Estimates  
Projected to June 30, 2021  
As of July 31, 2020  
(In Millions)

	General Assembly Budget Plan <sup>1</sup>	Revised Estimates OPM	Over/ (Under)
<b>TAXES</b>			
Motor Fuels	\$ 505.1	\$ 488.3	\$ (16.8)
Oil Companies	330.2	214.5	(115.7)
Sales & Use Tax	454.1	405.9	(48.2)
Sales Tax DMV	86.1	79.8	(6.3)
<b>TOTAL - TAXES</b>	<u>1,375.5</u>	<u>1,188.5</u>	<u>(187.0)</u>
Less: Refunds of Taxes	(15.0)	(15.0)	-
<b>TOTAL - TAXES - NET</b>	<u>\$ 1,360.5</u>	<u>\$ 1,173.5</u>	<u>\$ (187.0)</u>
<b>OTHER REVENUE</b>			
Motor Vehicle Receipts	\$ 305.9	\$ 342.9	\$ 37.0
Licenses, Permits, Fees	146.6	135.1	(11.5)
Interest Income	36.7	5.7	(31.0)
Federal Grants	11.8	11.8	-
Transfers (To)/From Other Funds	24.5	24.5	-
Refunds of Payments	(5.2)	(5.2)	-
<b>TOTAL - OTHER REVENUE</b>	<u>\$ 520.3</u>	<u>\$ 514.8</u>	<u>\$ (5.5)</u>
<b>TOTAL - SPECIAL TRANSPORTATION FUND REVENUE</b>	<u>\$ 1,880.8</u>	<u>\$ 1,688.3</u>	<u>\$ (192.5)</u>

1. Sec. 387 of P.A. 19-117, as adjusted by provisions of P.A. 19-165.

State of Connecticut  
Special Transportation Fund  
FY 2021 Appropriation Adjustments - Net Additional Requirements  
Projected to June 30, 2021  
As of July 31, 2020

No additional Requirements	\$ -
Total	<u><u>\$ -</u></u>

Statement 4T  
August 20, 2020

State of Connecticut  
Special Transportation Fund  
FY 2021 Estimated Lapses  
Projected to June 30, 2021  
As of July 31, 2020

Unallocated Lapse	\$ 12,000,000
Pension and Healthcare Savings	19,700,000
OTT - Debt Service	52,000,000
Total	<u>\$ 83,700,000</u>



State of Connecticut  
FY 2021 Special Transportation Fund  
Monthly Summary of Operations  
(In Millions)

	Budget Plan <sup>1</sup>	July 2020	August 2020	September 2020	October 2020	November 2020	December 2020	January 2021	February 2021	March 2021	April 2021	May 2021	June 2021
Beginning Balance <sup>2</sup>	\$ 363.5	\$ 169.0											
Revenue	<u>1,880.8</u>	<u>1,688.3</u>											
Total Available	2,244.3	1,857.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Appropriations	1,848.0	1,848.0											
Additional Requirements	0.0	0.0											
Less: Estimated Lapses	<u>(31.7)</u>	<u>(83.7)</u>											
TOTAL - Estimated Expenditures	1,816.3	1,764.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Operating Balance	64.5	(76.0)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Misc. Adjustments/Rounding	<u>0.0</u>	<u>0.0</u>											
Estimated Operating Balance 6/30/20	\$428.0	\$93.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0

1. P.A. 19-117.

2. Budget plan and July as estimated by the Office of Policy and Management.

# APPENDIX

## **FY 2020 Preliminary Operating Results**

Note: Information based on data from Core-CT; does not include any audit or GAAP adjustments that the Comptroller may apply before reporting final results.

State of Connecticut  
General Fund  
Statement of FY 2020 Revenues, Expenditures, and Results of Operations  
Projected to June 30, 2020  
As of July 31, 2020  
(In Millions)

	General Assembly Budget Plan <sup>1</sup>	Revised Estimates OPM	Over/ (Under)
<b>REVENUE</b>			
Taxes	\$ 18,445.1	\$ 18,195.5	\$ (249.6)
Less: Refunds	(1,411.7)	(1,500.0)	(88.3)
Taxes - Net	\$ 17,033.4	\$ 16,695.5	\$ (337.9)
Other Revenue	1,288.2	1,229.9	(58.3)
Other Sources	1,138.6	1,274.5	135.9
<b>TOTAL Revenue</b>	<b>\$ 19,460.2</b>	<b>\$ 19,199.9</b>	<b>\$ (260.3)</b>
<b>EXPENDITURES</b>			
Initial Current Year Appropriations	\$ 19,528.3	\$ 19,528.3	\$ -
Prior Year Appropriations Continued to FY 2020 <sup>2</sup>		164.5	164.5
<b>TOTAL Initial and Continued Appropriations</b>	<b>\$ 19,528.3</b>	<b>\$ 19,692.8</b>	<b>\$ 164.5</b>
Appropriation Adjustments <sup>3</sup>	-	104.2	104.2
<b>TOTAL Adjusted Appropriations</b>	<b>\$ 19,528.3</b>	<b>\$ 19,797.0</b>	<b>\$ 268.7</b>
Net Additional Expenditure Requirements			-
Estimated Appropriations Lapsed	(209.2)	(532.9)	(323.7)
Estimated Appropriations to be Continued to FY 2021 <sup>2</sup>		(139.1)	(139.1)
<b>TOTAL Estimated Expenditures</b>	<b>\$ 19,319.1</b>	<b>\$ 19,125.0</b>	<b>\$ (194.1)</b>
Net Change in Fund Balance - Continuing Appropriations		(25.4)	(25.4)
Miscellaneous Adjustments/Rounding		(48.1)	(48.1)
<b>Net Change in Unassigned Fund Balance - 6/30/2020</b>	<b>\$ 141.1</b>	<b>\$ 52.3</b>	<b>\$ (88.9)</b>

1. P.A. 19-117. Note that CGS Sec. 2-33c limits appropriations in FY 2020 to 99.5% of General Fund revenue. As a result, the \$141.1 million budgeted surplus is comprised of \$97.3 million due to this 99.5% limitation, plus a \$43.8 million operating surplus. Pursuant to Sec. 50 of P.A. 19-117, \$30.0 million of the operating surplus is available for use toward a potential settlement of hospital litigation. Section 50 also makes available \$160.0 million of FY 2019 surplus for a total of \$190.0 million to effectuate a settlement, and further specifies that the General Assembly Budget Plan be modified to reflect any adjustments to revenue or expenditures that might be necessary as a result of such settlement.

2. CGS Sec. 4-89 and other statutory provisions.

3. PA 19-1 of the December Special Session increased appropriations by \$104.2 million to support costs associated with the hospital settlement.

State of Connecticut  
General Fund  
FY 2020 Revenue Estimates  
Projected to June 30, 2020  
As of July 31, 2020  
(In Millions)

	General Assembly Budget Plan <sup>1.</sup>	Revised Estimates OPM	Over/ (Under)
<b>TAXES</b>			
Personal Income - Withholding	\$ 6,910.5	\$ 6,815.2	\$ (95.3)
Personal Income - Estimates and Finals	2,762.5	2,583.0	(179.5)
Sales and Use	4,444.1	4,318.1	(126.0)
Corporation	1,099.8	934.5	(165.3)
Pass-through Entity Tax	850.0	1,241.8	391.8
Public Service Corporations	237.7	255.9	18.2
Inheritance and Estate	165.8	159.5	(6.3)
Insurance Companies	203.3	228.4	25.1
Cigarettes	344.7	346.3	1.6
Real Estate Conveyance	217.4	177.1	(40.3)
Alcoholic Beverages	68.9	73.1	4.2
Admissions and Dues	41.9	39.9	(2.0)
Health Provider Tax	1,050.1	1,004.4	(45.7)
Miscellaneous	48.4	18.3	(30.1)
<b>TOTAL - TAXES</b>	<b>\$ 18,445.1</b>	<b>\$ 18,195.5</b>	<b>\$ (249.6)</b>
Less: Refunds of Taxes	(1,309.3)	(1,400.4)	(91.1)
Earned Income Tax Credit	(97.3)	(91.0)	6.3
R & D Credit Exchange	(5.1)	(8.6)	(3.5)
<b>TOTAL - TAXES - NET</b>	<b>\$ 17,033.4</b>	<b>\$ 16,695.5</b>	<b>\$ (337.9)</b>
<b>OTHER REVENUE</b>			
Transfers - Special Revenue	\$ 368.0	\$ 340.1	\$ (27.9)
Indian Gaming Payments	226.0	164.1	(61.9)
Licenses, Permits, Fees	341.2	308.3	(32.9)
Sales of Commodities and Services	30.2	26.1	(4.1)
Rents, Fines, Escheats	158.5	154.3	(4.2)
Investment Income	52.6	48.7	(3.9)
Miscellaneous	178.1	257.6	79.5
Refunds of Payments	(66.4)	(69.3)	(2.9)
<b>TOTAL - OTHER REVENUE</b>	<b>\$ 1,288.2</b>	<b>\$ 1,229.9</b>	<b>\$ (58.3)</b>
<b>OTHER SOURCES</b>			
Federal Grants	\$ 1,526.0	\$ 1,798.7	\$ 272.7
Transfer from Tobacco Settlement Fund	136.0	136.0	-
Transfers From/(To) Other Funds	(205.1)	(129.6)	75.5
Transfers to BRF - Volatility Adjustment <sup>2.</sup>	(318.3)	(530.6)	(212.3)
<b>TOTAL - OTHER SOURCES</b>	<b>\$ 1,138.6</b>	<b>\$ 1,274.5</b>	<b>\$ 135.9</b>
<b>TOTAL - GENERAL FUND REVENUE</b>	<b>\$ 19,460.2</b>	<b>\$ 19,199.9</b>	<b>\$ (260.3)</b>

1. Sec. 386 of P.A. 19-117.

2. The volatility cap for FY 2020 is \$3,294.2 million. Total revenue from the Estimates and Finals portion of the Personal Income Tax and the Pass-through Entity Tax that exceeds the volatility cap will be deposited into the Budget Reserve Fund.

State of Connecticut  
Special Transportation Fund  
Analysis of FY 2020 Budget Plan  
Projected to June 30, 2020  
As of July 31, 2020  
(In Millions)

	General Assembly <u>Budget Plan</u> <sup>1.</sup>	Revised Estimates <u>OPM</u>	Over/ <u>(Under)</u>
Fund Balance as of June 30, 2019	\$ 315.1	\$ 320.1	\$ 5.0
<b>REVENUE</b>			
Taxes	\$ 1,330.1	\$ 1,182.7	\$ (147.4)
Less: Refunds of Taxes	<u>(14.3)</u>	<u>(30.4)</u>	<u>(16.1)</u>
Taxes - Net	1,315.8	1,152.3	(163.5)
Other Revenue	<u>433.3</u>	<u>364.3</u>	<u>(69.0)</u>
<b>TOTAL - Revenue</b>	<b>\$ 1,749.1</b>	<b>\$ 1,516.6</b>	<b>\$ (232.5)</b>
<b>EXPENDITURES</b>			
Appropriations	\$ 1,740.6	\$ 1,740.6	\$ -
Prior Year Appropriations Continued to FY 2020 <sup>2.</sup>		33.3	33.3
<b>TOTAL Initial and Continued Appropriations</b>	<b>\$ 1,740.6</b>	<b>\$ 1,773.9</b>	<b>\$ 33.3</b>
Appropriation Adjustments	<u>-</u>	<u>-</u>	<u>-</u>
<b>TOTAL Adjusted Appropriations</b>	<b>\$ 1,740.6</b>	<b>\$ 1,773.9</b>	<b>\$ 33.3</b>
Net Additional Expenditure Requirements		-	-
Estimated Appropriations Lapsed	(30.3)	(72.8)	(42.5)
Estimated Appropriations to be Continued to FY 2021 <sup>2.</sup>		<u>(31.8)</u>	<u>(31.8)</u>
<b>TOTAL Estimated Expenditures</b>	<b>\$ 1,710.3</b>	<b>\$ 1,669.2</b>	<b>\$ (41.0)</b>
Net Change in Fund Balance - Continuing Appropriations		(1.5)	(1.5)
Miscellaneous Adjustments/Rounding		-	-
<b>Net Change in Unassigned Fund Balance - FY 2020</b>	<b>\$ 38.8</b>	<b>\$ (151.1)</b>	<b>\$ (190.0)</b>
Estimated Fund Balance - June 30, 2020	<u>\$ 353.9</u>	<u>\$ 169.0</u>	<u>\$ (185.0)</u>

1. P.A. 19-117. Note that CGS Sec. 2-33c limits appropriations in FY 2020 to 99.5% of Special Transportation Fund revenue. As a result, the \$38.8 million budgeted surplus is comprised of \$8.7 million due to this 99.5% limitation, plus a \$30.1 million operating surplus.

2. CGS Sec. 4-89 and other statutory provisions.

State of Connecticut  
Special Transportation Fund  
FY 2020 Revenue Estimates  
Projected to June 30, 2020  
As of July 31, 2020  
(In Millions)

	General Assembly Budget Plan <sup>1</sup> .	Revised Estimates OPM	Over/ (Under)
TAXES			
Motor Fuels	\$ 507.2	\$ 478.2	\$ (29.0)
Oil Companies	322.9	230.5	(92.4)
Sales & Use Tax	414.3	400.9	(13.4)
Sales Tax DMV	85.7	73.1	(12.6)
TOTAL - TAXES	<u>1,330.1</u>	<u>1,182.7</u>	<u>(147.4)</u>
Less: Refunds of Taxes	(14.3)	(30.4)	(16.1)
TOTAL - TAXES - NET	<u>\$ 1,315.8</u>	<u>\$ 1,152.3</u>	<u>\$ (163.5)</u>
OTHER REVENUE			
Motor Vehicle Receipts	\$ 280.1	\$ 241.6	\$ (38.5)
Licenses, Permits, Fees	145.5	128.7	(16.8)
Interest Income	36.1	21.7	(14.4)
Federal Grants	12.1	12.3	0.2
Transfers (To)/From Other Funds	(35.5)	(35.5)	-
Refunds of Payments	(5.0)	(4.5)	0.5
TOTAL - OTHER REVENUE	<u>\$ 433.3</u>	<u>\$ 364.3</u>	<u>\$ (69.0)</u>
TOTAL - SPECIAL TRANSPORTATION FUND REVENUE	<u>\$ 1,749.1</u>	<u>\$ 1,516.6</u>	<u>\$ (232.5)</u>

1. Sec. 387 of P.A. 19-117, as adjusted by provisions of P.A. 19-165.