



STATE OF CONNECTICUT

OFFICE OF POLICY AND MANAGEMENT

October 20, 2020

The Honorable Kevin Lembo
 State Comptroller
 165 Capitol Avenue
 Hartford, Connecticut 06106

Dear Comptroller Lembo:

Section 4-66 of the General Statutes requires that my office provide information on the state's General Fund for Fiscal Year 2021. An analysis of the Special Transportation Fund is also provided here due to the significance of this fund.

	FY 2021 Projection				Change in Estimate - Oct. vs. Sep.	Oct. Est. Variance from Budget
				(in millions)		
	Budget (as Revised Dec. 2019)	Sep. Estimate	Oct. Estimate	Oct. Estimate - Sep. Budget		
<u>General Fund</u>						
Revenues	\$ 20,252.5	\$ 18,056.5	\$ 18,510.6	\$ 454.1	\$(1,741.9)	
Expenditures	20,086.3	20,081.4	19,772.2	(309.2)	(314.1)	
Operating Results - Surplus/(Deficit)	\$ 166.2	\$ (2,024.9)	\$ (1,261.6)	\$ 763.3	\$(1,427.8)	
<u>Budget Reserve Fund</u>						
Deposit / (Withdrawal)	\$ 467.7	\$ (2,086.5)	\$ (1,323.2)	\$ 763.3	\$(1,790.9)	1-
Proj. Balance 6/30	\$ 3,542.3	\$ 988.0	\$ 1,751.3	\$ 763.4	\$(1,790.9)	
<u>Special Transportation Fund</u>						
Revenues	\$ 1,880.8	\$ 1,688.3	\$ 1,697.2	\$ 8.9	\$ (183.6)	
Expenditures	1,816.3	1,754.2	1,752.4	(1.8)	(63.9)	
Operating Results - Surplus/(Deficit)	\$ 64.5	\$ (65.9)	\$ (55.2)	\$ 10.7	\$ (119.7)	
Proj. Fund Balance 6/30	\$ 423.4	\$ 102.5	\$ 113.2	\$ 10.7	\$ (310.2)	
<u>Tourism Fund</u>						
Revenues	\$ 14.2	\$ 9.0	\$ 9.2	\$ 0.2	\$ (5.0)	
Expenditures	13.1	13.1	13.1	-	-	
Operating Results - Surplus/(Deficit)	\$ 1.1	\$ (4.1)	\$ (3.9)	\$ 0.2	\$ (5.0)	
Proj. Fund Balance 6/30	\$ (0.1)	\$ (5.9)	\$ (10.8)	\$ (4.9)	\$ (10.7)	

Notes:

1. BRF withdrawal includes the transfer out of \$61.62 million pursuant to Sec. 4-30a, CGS, as the FY 2020 ending balance exceeds the statutory 15% cap. This sum would be deposited as an additional contribution to the State Employees Retirement Fund or the Teachers' Retirement Fund. Estimated draw on BRF based on projected shortfall prior to updated revenue and expenditure estimates and potential mitigation actions.

General Fund

The adopted FY 2021 budget anticipates a \$166.2 million balance at year end. We are projecting an operating shortfall of \$1,261.6 million, an improvement of \$763.3 million from last month, driven by improved revenue collections and expenditure trends. The projected shortfall represents 6.3 percent of the General Fund.

Our estimates include anticipated state costs for the state’s current pandemic response. The table attached to this letter outlines specific measures approved to date as part of that response. It should be noted, however, that this data does not reflect the impact of any potential resurgence of the COVID-19 virus or resource requirements beyond existing federal assistance.

Our forecast of the Budget Reserve Fund (BRF) balance at year end is depicted below. The state’s reserves at the close of FY 2020 are \$3.07 billion, pending potential audit revisions as prior year results are finalized, or 15.3 percent of FY 2021 net General Fund appropriations. Notwithstanding anticipated revisions to estimated revenue as part of the November consensus forecast and any future mitigation efforts, the potential draw on the BRF at the end of FY 2021 would leave a balance of \$1.75 billion, or 8.7% of FY 2021 net General Fund appropriations. Our Budget Reserve Fund projection is depicted below.

Budget Reserve Fund	
	(in millions)
Estimated BRF Ending Balance - FY 2020 (OSC Est. 9/17/20)	\$ 3,074.6
Deposit to SERS or TRS pursuant to Sec. 4-30a, C.G.S. (OSC Est. 9/17/20)	\$ (61.6)
Projected Operating Deficit - FY 2021 (OPM 10/20/20 Est.)	(1,261.6)
Volatility Cap Deposit - FY 2021 (OPM 10/20/20 Est.)	-
Estimated BRF Ending Balance - FY 2021	<u>\$ 1,751.3</u>

Revenues

Projected FY 2021 revenues have been revised upward by \$454.1 million compared to last month. The largest change is in the Estimates and Finals category of the Personal Income Tax, up \$210.0 million and reflective of healthy September estimated payments. The Sales and Use Tax has been revised upward by \$90.7 million as that tax continues to exceed its target. Withholding taxes are also continuing to exceed their target and have been revised upward by \$50.0 million. September estimated payments under the Pass-through Entity Tax were also better than expected and have been revised upward by \$85 million. Real Estate Conveyance Tax collections are being revised upward by \$40.0 million and may reflect pent-up demand from the early Spring when real estate transactions slowed at the height of the COVID pandemic. Federal Grants are being revised upward by \$22.4 million as the state now expects to continue to receive an additional calendar quarter of enhanced Medicaid matching payments through the quarter ending March 31, 2021 under the Families First Coronavirus Response Act. Refunds of Taxes are somewhat offsetting these gains and are being revised upward by \$50.0 million. All other changes net to a positive \$6.0 million.

The positive revisions to Estimates and Finals and the Pass-through Entity Tax reflect the positive variance received to date and a small measure of expected improvement in the fourth estimated payments due January 15th. This is based upon the improvement observed between the first two estimated payments this calendar year and the most recent third estimated payment. In addition, and despite the COVID pandemic, the U.S. stock market is 14.0% higher than a year ago as measured by the S&P 500, which should bode well for capital gains realizations. For all other sources, the positive revisions generally reflect amounts received to date that have exceeded their respective targets. OPM will monitor the continuation and sustainability of these trends, recognizing that an unprecedented amount of fiscal and monetary stimulus was injected into the nation’s economy by the federal government over the spring and summer months and such stimulus is now waning. In addition, pandemic-related closures of many businesses may have caused deferred consumption of goods and services, resulting in pent-up demand that is now being realized. Until a vaccine for the COVID virus is widely available, and absent further federal measures to stimulate economic activity, significant challenges may remain over the coming months. These challenges include reduced demand for air

travel and reduced activity in the leisure and hospitality sectors with the onset of cooler weather and the resultant impact on important segments of the state's economy.

Expenditures

We are projecting that FY 2021 net expenditures will be below the amended budget plan by \$314.1 million, as explained further below.

Deficiencies. Projected shortfalls totaling \$146.0 million are forecast in the following agencies:

- Department of Administrative Services. A \$1.6 million shortfall is anticipated in the Insurance and Risk Management account due to an increase in property insurance rates.
- Department of Economic and Community Development. An \$10.4 million shortfall is anticipated in the Capital Region Development Authority account. Of this sum, approximately \$2.55 million was the result of the failure to enact FY 2020 deficiency appropriations, resulting in bills that carried over to FY 2021. The remainder is the result of event cancellations due to COVID-19 that have impacted and are expected to continue to impact attendance and associated revenues at the Pratt and Whitney Stadium at Rentschler Field, the XL Center and the CT Convention Center.
- Department of Mental Health and Addiction Services. A total shortfall of \$4.1 million is projected due to the failure to enact FY 2020 deficiency appropriations and lack of FY 2021 budget adjustments addressing direct care costs. While June Finance Advisory Committee action addressed a portion of the FY 2020 deficiency, over \$2.1 million in prior year bills were held over for payment in FY 2021. We estimate a \$0.4 million shortfall in Other Expenses due largely to various facility maintenance and repair costs and increased software licensing costs, \$2.0 million in the Professional Services account for contracted medical services including contracted psychiatrists, \$1.7 million in the Workers' Compensation Claims account to reflect claim trends, and \$3.0 million in the Discharge and Diversion account to assist with discharges from Connecticut Valley Hospital to community settings for those no longer needing inpatient care. These shortfalls are partially offset by a projected \$3.0 million lapse in Personal Services due to vacancies.
- University of Connecticut Health Center. A shortfall of at least \$50.0 million is forecast. The FY 2020 budget included a fringe benefit subsidy of \$33.2 million to assist with stabilizing the Health Center's finances, but no subsidy was included in the FY 2021 budget plan.
- State Comptroller – Fringe Benefits. A total shortfall of \$69.4 million is anticipated. Of this amount, \$41.0 million is due to revised contribution requirements for the State Employees' Retirement System resulting from the June 30, 2019 valuation of the fund. A \$3.4 million shortfall is anticipated in the Judges Retirement System, also reflective of the June 30, 2019, valuation for that system. In addition, we anticipate shortfalls of \$4.3 million in the Unemployment Compensation account, \$125,000 in the Insurance – Group Life account, \$5.5 million in the Employers Social Security Tax account, \$25.0 million in the State Employees Health Service account, and \$1.8 million in the SERS Defined Contribution Match account. Partially offsetting these shortfalls is a projected \$12.0 million lapse in the Higher Education Alternative Retirement System account.
- State Comptroller – Miscellaneous. We estimate \$10.0 million in expenditures for Adjudicated Claims. No appropriation was made in the enacted budget for payment of these claims.

As noted last month, our projections do not include a significant potential shortfall in the Connecticut State Colleges and Universities system, primarily due to pandemic-related impacts on enrollment and residential occupancy resulting in declines in tuition and fee revenue. Depending on measures the system may adopt to address the issue as well as potential assistance from federal CARES Act funding, the scale of this deficiency could materially impact our estimates later this year.

Lapses. Our projections reflect the amounts currently withheld from agencies to achieve budgeted lapse targets, rescissions implemented by the Governor on October 1st, and use of federal CARES Act funding to cover \$100 million of certain public health and public safety costs that would otherwise have been borne by the General Fund. Additionally, the following sums totaling \$334.8 million are estimated to remain unspent this fiscal year:

- Office of Legislative Management. A total of \$3.0 million is projected to lapse, with \$2.0 million in Personal Services and \$1.0 million in Other Expenses.
- State Treasurer- Debt Service. A total lapse of \$38.4 million is forecast, with \$23.4 million associated with the timing of FY 2021 bond sales and revised estimates of the cost and interest rates for FY 2021 sales based on FY 2020 results, and \$15 million adjustment in the UConn debt service account associated with moving the spring FY 2020 bond sale to the fall of FY 2021.
- Department of Consumer Protection. \$125,000 is estimated to lapse in Personal Services due to vacancies.
- Department of Labor. Beyond holdbacks and rescissions, \$395,717 will lapse in the Workforce Training Authority account as the implementing legislation was vetoed in 2019.
- Department of Public Health. \$850,000 will lapse in Personal Services primarily as a result of vacancies.
- Department of Social Services. A total of \$256.2 million is projected to lapse, up significantly from last month's \$95.0 million projection. This is primarily the result of an estimated \$230.0 million lapse in the Medicaid account due the recent extension of the public health emergency declaration by the federal government, which extends the enhanced level of federal reimbursement through March 31, 2021, thus reducing the state share of program costs, as well as lower levels of service utilization. Reduced caseloads will result in lapses of \$10.6 million in Temporary Family Assistance, \$6.8 million in Aid to the Disabled, \$4.7 million in the Connecticut Home Care Program, \$1.6 million in Old Age Assistance and \$1.0 million in State Administered General Assistance. Reduced caseloads and service utilization, coupled with the extension of enhanced federal reimbursement through March 31, 2021, are expected to result in a \$1.5 million lapse in the HUSKY B account.
- Department of Aging and Disability Services. A lapse of \$550,000 is projected in the Employment Opportunities account.
- Department of Education. A net total of \$15.65 million is projected to lapse. The Education Cost Sharing grant is underfunded by \$1.55 million. This is offset by a projected lapse of \$4.5 million in the Charter School account due to the closure of two charter schools and budgeted funding exceeding the number of approved charter school slots. In addition, lapses of \$1.7 million in the Open Choice Program account and \$11.0 million in the Magnet Schools account are projected based on current enrollment trends. Both accounts lapsed funding in FY 2020.
- Office of Higher Education. \$100,000 will lapse in Personal Services.
- Teachers' Retirement Board. A net total of \$3.55 million is projected to lapse. The Retirement Contributions account is underfunded by \$1.8 million, reflective of the adjustment to the employer contribution required by revised valuation adopted after passage of biennial budget. This is offset by a \$5.0 million lapse in the Retiree Health Service Cost account due to health premiums that are lower than assumed in the adopted budget, as well as a \$365,000 lapse in the Municipal Retiree Health Insurance Costs account due to a decrease in the number of retired teachers eligible for the municipal subsidy.
- Department of Children and Families. A net lapse of \$10.2 million is anticipated across a variety of accounts due primarily to current caseload trends.
- Judicial Department. A net total of \$5.4 million is projected to lapse, with \$7.9 million in Personal Services, offset by a \$2.5 million shortfall in Workers' Compensation Claims.
- Public Defender Services Commission. A total lapse of \$359,000 is projected across several accounts due to current cost trends.

Special Transportation Fund

The adopted budget anticipates a \$64.5 million balance from operations. We estimate that the Special Transportation Fund will end the year with a \$55.2 million operating deficit, an improvement of \$10.7 million from last month, and that the Transportation Fund balance on June 30, 2021, will be \$113.2 million.

Revenues

Projected revenues have been revised upward by \$8.9 million and reflect Transportation Fund's portion of the overall improvement in sales tax collections to date. All other revenues remain unchanged. As noted last month, the overall reduction in revenues in the Special Transportation Fund compared to budgeted levels will require another significant drawdown from reserves, accelerating the timeframe for a long term financial and infrastructure investment solution. The FY 2020 Transportation Fund starting balance on July 1, 2019, was \$320.1 million and—as noted above—is estimated to close at \$113.2 million on June 30, 2021.

Expenditures

Expenditures are projected to be \$63.9 million better than budgeted due primarily to \$74.1 million in debt service savings attributable to reduced interest costs and delayed timing of FY 2020 and anticipated future bond sales. In addition, \$3.0 million is forecast to remain unspent in the Department of Motor Vehicles' Personal Services account due to vacancies. These lapses will more than satisfy the \$12.0 million general lapse anticipated in the enacted budget. Offsetting this is a projected \$1.2 million deficiency in the Department of Administrative Services' Insurance and Risk Management account due to rail-related increases.

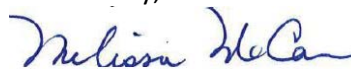
Other Appropriated Funds

While Sec. 4-66, CGS, does not require that we provide analyses of other appropriated funds, we offer the following information about the status of the Regional Market Operation Fund and the Tourism Fund.

- **Regional Market Operation Fund.** Pursuant to Section 10 of Public Act 18-154, the Hartford Regional Market was conveyed to the Capital Region Development Authority and CRDA has assumed operation of that market. As a result, appropriations totaling \$1.1 million will lapse.
- **Tourism Fund.** The fund's revenue source is the Hotel Occupancy Tax, which has underperformed as a result of the pandemic's impact on the hospitality industry. As a result, expenditures from the fund are estimated to exceed available revenues by approximately \$3.9 million. When added to the negative fund balance of \$6.9 million at the end of FY 2020, we anticipate the Tourism Fund will end FY 2021 with an \$10.8 million negative fund balance.

As the year progresses, the estimates offered by my office will continue to be revised to reflect the impact of changes in the economy, expenditure patterns, and/or other factors.

Sincerely,



Melissa McCaw
Secretary

Attachments:

COVID Responses – Budget Impact
Summary Statements, FY 2021 Revenue and Expenditures

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Funding Source										Notes	CRF Allocation Category
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy				
1. DSS60000	Suspend Medicaid eligibility discontinuances	\$ 6,800,000	\$ 173,700,000	\$ 180,500,000	Approved		\$ 1,900,000	\$ 53,400,000			\$ 125,200,000				State share @ enhanced FMAP (+6.2%). Approved through January to meet maintenance of effort requirements for receipt of enhanced FMAP		
2. DSS60000	Provide uninsured persons with coverage for COVID-19 - Citizens	\$ 12,600,000	\$ 6,300,000	\$ 18,900,000	Approved		\$ 9,600,000	\$ 4,800,000			\$ 4,500,000				\$4.5m of gross costs are 100% federally funded; balance is 100% state funded. Note: DSS is on hold, pending further federal guidance		
3. DSS60000	Provide uninsured persons with coverage for COVID-19 - Non-Citizens	\$ 5,900,000	\$ 3,000,000	\$ 8,900,000	Approved		\$ 2,700,000	\$ 1,400,000			\$ 4,800,000				Assumes majority of costs will be reimbursable at 56.2%		
4. DSS60000	Waive HUSKY B copays	\$ 340,000	\$ -	\$ 340,000	Approved		\$ 80,000	\$ -				\$ 260,000					
5. DSS60000	Waive Medicare Part D (Rx) copays for dually eligible population	\$ 100,000	\$ -	\$ 100,000	Approved		\$ 100,000	\$ -									
6. DSS60000	Suspend cash assistance discontinuances (TFA, State Supplement, SAGA)	\$ 1,200,000	\$ -	\$ 1,200,000	Approved		\$ 1,200,000	\$ -									
7. DSS60000	Suspend 21 month time limit for TFA/JFES	\$ 190,000	\$ 770,000	\$ 960,000	Approved		\$ 190,000	\$ 770,000							Approved for 3 months (Note: Suspension of time limit impacts both FY 21 and FY 22, with add'l costs of \$570k in FY 22)		
8. DSS60000	Provide additional flexibilities under home and community-based services waivers	\$ 870,000	\$ 430,000	\$ 1,300,000	Approved		\$ 360,000	\$ 250,000			\$ 690,000						
9. DSS60000	Provide temporary relief funding for nursing homes and a COVID-specific grant equivalent to \$600 per bed per day for facilities exclusively serving patients with COVID-19	\$ 22,800,000	\$ 31,100,000	\$ 53,900,000	Approved		\$ 22,800,000	\$ (29,200,000)	\$ 66,900,000		\$ (6,600,000)				Reflects Medicaid rate increase of 10% for March and April (and Medicare cost shift); CRF funding for balance	Nursing Homes	
10. DSS60000	Provide hardship grants to nursing homes facing a substantial deterioration in their finances, which could adversely affect resident care and the continued operation of the facility	\$ -	\$ 46,800,000	\$ 46,800,000	Approved				\$ 46,800,000						Preliminary estimate - likely to be lower depending on the level of additional stimulus funding, additional Medicare billing and/or other assistance received	Nursing Homes	
11. DSS60000	Provide interim payments to FQHCs to assist with cash flow	\$ 5,562,813	\$ (5,562,813)	\$ -	Approved		\$ 5,562,813	\$ (5,562,813)							Advances will be recouped in FY 21		
12. DSS60000	Provide pandemic rate increase for residential care homes (RCHs)	\$ 980,000	\$ -	\$ 980,000	Approved		\$ 980,000	\$ -							Includes interim payment in April to be recouped in FY 21. Approved for 3 months.		
13. DSS60000	Provide pandemic rate increase for private intermediate care facilities (ICF/IIDs)	\$ 1,640,000	\$ -	\$ 1,640,000	Approved		\$ 1,020,000	\$ (270,000)			\$ 890,000				Includes interim payment in April to be recouped in FY 21. Approved for 3 months.		
14. DSS60000	Use DSS non-emergency medical transportation vendor (Veyo) to assist with meals-on-wheels deliveries	TBD	TBD	\$ -	Approved		TBD	TBD									
15. DSS60000	Expand service array under Community First Choice to include agency-based PCAs	\$ 31,500	\$ 15,800	\$ 47,300	Approved		\$ 11,000	\$ 8,000			\$ 28,300						
16. DSS60000	Provide 90-day supply for most prescription drugs and more flexible pharmacy early refill	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							Fiscal impact expected to be minimal		
17. DSS60000	Waive SNAP requirement for face-to-face interviews	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							No state cost--federally funded program. Federal approval received		
18. DSS60000	Waive SNAP requirements -- extend certification periods by 90 days, suspend collection of most SNAP overpayments, issue supplemental benefits to all existing SNAP households	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							No state cost--federally funded program. Federal approval pending		
19. DSS60000	Waive TFA requirement for face-to-face interviews and assessments	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							No additional cost anticipated		
20. DSS60000	Expand telehealth under Medicaid	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							No additional cost anticipated		
21. DSS60000	Provide additional flexibilities under section 1135 waiver authority	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							No additional cost anticipated		
22. DSS60000	Provide additional flexibilities to home care recipients of 1915(i) state plan services	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							No additional cost anticipated		
23. DSS60000	Provide interim payments to home health agencies to assist with cash flow	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							Payments will be recouped in FY 20		
24. DSS60000	Expedite Medicaid payments to hospitals when possible	\$ -	\$ -	\$ -	Approved		\$ -	\$ -									
25. DSS60000	Support acute care hospitals with COVID-related costs	\$ -	\$ 6,200,000	\$ 6,200,000	Approved		\$ -	\$ 2,000,000			\$ 4,200,000				Reflects temporary 20% DRG add-on for COVID-related diagnoses		
26. DSS60000	Provide relief funding for Connecticut Children's Medical Center	\$ -	\$ 16,300,000	\$ 16,300,000	Approved				\$ 16,300,000							Hospitals	
27. DSS60000	Provide relief funding for chronic disease hospitals	\$ -	\$ 1,700,930	\$ 1,700,930	Approved				\$ 1,700,930						This item was originally approved for \$1,869,636 but grant payments were reduced to \$1,700,930 based on documented COVID-related expenditures.	Hospitals	
28. DSS60000	Provide relief funding for nongovernmental licensed short-term general hospitals.	\$ -	\$ 75,000,000	\$ 75,000,000	Approved				\$ 75,000,000							Hospitals	
29. DSS60000	Provide temporary per diem rate of \$1,500 for Hospital for Special Care's COVID recovery unit	\$ -	\$ -	\$ -	Approved				\$ -						This item was originally approved for \$380,000 but grant payments were not needed as COVID-related expenditures were covered elsewhere.	Hospitals	
30. DSS60000	Provide relief funding for licensed behavioral health outpatient clinics	\$ -	\$ 1,488,712	\$ 1,488,712	Approved				\$ 1,488,712						This item was originally approved for \$2,651,741 but grant payments were reduced to \$1,488,712 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.	Nonprofits and Other Providers	
31. DSS60000	Provide relief funding for private psychiatric residential treatment facilities (PRTFs) for children	\$ -	\$ -	\$ -	Approved				\$ -						This item was originally approved for \$420,789 but grant payments were not needed because any direct COVID-related expenditures were covered through other sources.	Nonprofits and Other Providers	
32. DSS60000	Provide relief funding for substance abuse residential detox providers	\$ -	\$ 555,391	\$ 555,391	Approved				\$ 555,391						This item was originally approved for \$789,615 but grant payments were reduced to \$555,391 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.	Nonprofits and Other Providers	
33. DSS60000	Provide relief funding for other clinicians providing behavioral health/substance use disorder treatment and autism services	\$ -	\$ 498,463	\$ 498,463	Approved				\$ 498,463						This item was originally approved for \$3,474,195 but grant payments were reduced to \$498,463 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.	Nonprofits and Other Providers	
34. DSS60000	Provide relief funding for methadone maintenance providers	\$ -	\$ 908,392	\$ 908,392	Approved				\$ 908,392						This item was originally approved for \$1,494,205 but grant payments were reduced to \$908,392 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.	Nonprofits and Other Providers	
35. DSS60000	Provide relief funding for home health providers	\$ -	\$ 1,679,471	\$ 1,679,471	Approved				\$ 1,679,471						This item was originally approved for \$4,999,212 but grant payments were reduced to \$1,679,471 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.	Nonprofits and Other Providers	
36. DSS60000	Provide relief funding for waiver service providers	\$ -	\$ 5,139,814	\$ 5,139,814	Approved				\$ 5,139,814						This item was originally approved for \$13,409,602 but grant payments were reduced to \$5,139,814 to reflect payments to providers that completed the required attestation form and, when required, documented COVID-related expenditures.	Nonprofits and Other Providers	
37. DSS60000	Distribute PPE for self-directed workers under Community First Choice	\$ 130,000	\$ 130,000	\$ 260,000	Approved				\$ 260,000						Costs could be lower depending on model and length of time; estimate assumes 10 weeks	Nonprofits and Other Providers	
38. DSS60000	Provide relief funding for Community First Choice providers	\$ -	\$ 3,031,050	\$ 3,031,050	Approved				\$ 3,031,050						Reflects grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FFCRA	Nonprofits and Other Providers	
39. DSS60000	Provide clinical monitoring through CHNCT	\$ -	\$ 10,650	\$ 10,650	Approved				\$ 10,650						Active clinical monitoring contract through the remainder of CY 2020	Testing and Active Clinical Monitoring	
40. DSS60000	Provide relief funding for self-directed workers under DDS' programs	\$ -	\$ 1,334,000	\$ 1,334,000	Approved				\$ 1,334,000						Reflects grant funding that generally approximates the value of a temporary rate increase of 7.5% for self-directed workers, as well as costs incurred by the fiscal intermediary to administer the expanded family and medical leave under FFCRA. (increased from previously stated estimate of \$800,000 @ 5%, but reduced from the original estimate of \$1.6 million @ 10%.)	Nonprofits and Other Providers	
41. DPH48500	School-Based Health Centers -- no kids will be getting services while schools are closed, but staff need to be paid	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							No additional cost anticipated		
42. MHA53000	Allowable costs for expenses vs. returning the payments because services were not delivered	\$ -	\$ -	\$ -	Approved		\$ -	\$ -							No additional cost anticipated		
43. OEC64800	Daycare outside of hospitals	\$ 3,550,000	\$ 3,550,000	\$ 7,100,000	Approved		\$ 550,000	\$ -				\$ 3,000,000			Philanthropy covered costs up to \$3M, though May. The costs of \$750,000 for June are funded 75% by FEMA and 25% by CRF funds. UPDATED - No CRF allocated, agency is using \$550,000 from their Care4Kids TANF account to cover costs.	Nonprofits and Other Providers	
44. OEC64800	Suspend collection of family fees - Birth to Three program	\$ 375,000	\$ 375,000	\$ 750,000	Approved		\$ 375,000	\$ -							Assumes 3 months		

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Funding Source										Notes	CRF Allocation Category
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy				
45. OEC64800	Childcare for frontline workers	\$ 10,000,000		\$ 10,000,000	Approved							\$ 10,000,000		Cap at \$10M, for six weeks with limit at 85% SMI. Source: CCOBAG, PL 116-136 Division B Title VIII... Take rate much lower than anticipated. Costs like to be \$3M			
46. DOH46900	Homeless shelter decompression initiative	\$ 7,500,000		\$ 7,500,000	Approved				\$ 1,875,000	\$ 5,625,000				Assumes 3 months. FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.	Nonprofits and Other Providers		
47. DSS60000	Domestic violence shelter decompression	\$ -	\$ 660,000	\$ 660,000	Approved			\$ 165,000	\$ 495,000					Assumes 3 months. FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.	Nonprofits and Other Providers		
48. BOR77700	Student Refunds, Online Conversion Costs and Donated Equipment	\$ 27,291,079		\$ 27,291,079	Approved							\$ 27,291,079		CARES funding (HEER) received directly by the agency. Does not include revenue losses.			
49. BOR77700	Online Conversion Costs, PPE/Supplies, Facility Costs, and Donated Equipment	\$ 2,151,055		\$ 2,151,055	Approved			\$ 537,764	\$ 1,613,291					Does not include revenue losses. Cost updated to reflect most recent data from BOR. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	Higher Education		
50. UOC67000	Student refunds (housing, dining, parking)	\$ 10,750,423		\$ 10,750,423	Approved							\$ 10,750,423		HEER. Does not include projected FY21 revenue loss at UConn and UConn Health.			
51. CME49500	Refrigerated space for decedent remains	\$ 16,000		\$ 16,000	Approved			\$ 16,000							State Operations		
52. DOC88000	Central purchase of Personal Protective Equipment and other supplies	\$ 125,000,000		\$ 125,000,000	Approved			\$ 31,250,000	\$ 93,750,000					FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.	PPE and Supplies		
53. MIL36000	State Active Duty to staff the Emergency Operations Center	\$ 171,000		\$ 171,000	Approved			\$ 171,000							State Operations		
54. DPH48500	CDC Cooperative Agreement for Emergency Response: Public Health Crisis Response	\$ 9,309,998	\$ -	\$ 9,309,998	Approved							\$ 9,309,998		State and local Covid 19 response activities will be reimbursed from federal emergency supplemental funding; \$2.5m will be utilized to reimburse local health authorities.	State Operations		
55. DPH48500	Epidemiology and Laboratory Capacity	\$ 9,669,691	\$ -	\$ 9,669,691	Approved							\$ 9,669,691		Supplements pre-existing grant under the Epidemiology and Laboratory Capacity Cooperative Agreement (\$4,075,639). To support DPH Covid19 direct costs in areas of State Public Health Laboratory, Epidemiology, Healthcare Associated Infections			
56. DPH48500	Hospital preparedness	\$ 2,324,172	\$ -	\$ 2,324,172	Approved							\$ 2,324,172		National Bioterrorism Hospital Preparedness Program. \$361,895 each for federally designated Special Pathogen Treatment Centers @ YNH and HH. Balance for DPH direct costs and other hospital needs.			
57. DPH48500	Emerging Infections Program	\$ 2,600,000		\$ 2,600,000	Approved							\$ 2,600,000		To enhance infectious disease surveillance activities. \$2.3m will go to Yale School of PH. \$0.3m to DPH			
58. DAS23000	Architectural support for hospital capacity expansion	\$ 90,000		\$ 90,000	Approved			\$ 90,000							State Operations		
59. DAS23000	IT support for teleworking (VPN capacity, etc.)	\$ 320,000	\$ 730,000	\$ 1,050,000	Approved			\$ 1,050,000							State Operations		
60. DAS23000	Cleaning and other facility costs	\$ 1,100,000		\$ 1,100,000	Approved			\$ 1,100,000							State Operations		
61. SOS12500	Cost of publishing various Executive Orders	\$ 305,000		\$ 305,000	Approved			\$ 305,000							State Operations		
62. DCP99500	Waive casino payment of regulatory costs during closure	\$ 584,126		\$ 584,126	Approved		\$ 584,126							GF will pay the full payroll and fringe benefit costs for six pay periods through end of FY 20 (March 26th - June 18th payrolls), as well as indirect costs and OE, due to agreement with casinos to defer true-up of costs until the August 2020 assessment.			
63. DPS32000	Use of interpreters during the Governor's pandemic response briefings	\$ 38,000	\$ 13,000	\$ 51,000	Approved			\$ 51,000						NOTE: The \$13K approved for DESPP to rent trailers (item #118) was not spent. Due to a continued need for interpreters, the \$13K approved for trailers was transferred to this item.	State Operations		
64. JUD95000	JUD - 1 - PPE, cleaning and disinfecting, and other response costs	\$ 640,240		\$ 640,240	Approved			\$ 640,240							State Operations		
65. DVA21000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 235,000	\$ -	\$ 235,000	Approved			\$ 235,000							State Operations		
66. DPS32000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 700,000		\$ 700,000	Approved			\$ 700,000						Original approved amount was \$1.4m but it has been reduced to reflect actual need for housing.	State Operations		
67. DDS50000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 1,700,000		\$ 1,700,000	Approved			\$ 1,700,000							State Operations		
68. MHA53000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 2,000,000		\$ 2,000,000	Approved			\$ 2,000,000							State Operations		
69. DOC88000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 6,000,000		\$ 6,000,000	Approved			\$ 6,000,000							State Operations		
70. DCF91000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 500,000		\$ 500,000	Approved			\$ 500,000							State Operations		
71. OEC64800	Provide technical support and resources to family day care providers	\$ 1,316,573		\$ 1,316,573	Approved							\$ 1,316,573		Administered through EdAdvance			
72. OEC64800	Suspend Birth-to-Three Age Outs at 36 months and continue services through June	\$ 180,000		\$ 180,000	Approved		\$ 180,000							Approved for April, May and June			
73. DSS60000	Suspend Birth-to-Three Age Outs at 36 months and continue services	\$ 180,000	\$ 90,000	\$ 270,000	Approved		\$ 80,000	\$ 45,000				\$ 145,000		Approved for April - June. State share @ enhanced FMAP (+6.2%) for payments made in May and June (for service months of April and May)			
74. DPH48500	Ryan White HIV/AIDS Program Part B COVID19 Response	\$ 203,981		\$ 203,981	Approved							\$ 203,981		Source: CARES Act. Must be used for services, activities, and supplies needed to prevent or minimize the impact of COVID-19 on RWHP clients.			
75. Var	State agency purchase of laptops and other equipment to facilitate telework				Approved									Approved if within existing agency CEPF allocations and as part of normal equipment refresh.			
76. DOH46900	Case management for Danbury shelter clients I hotels	\$ 150,000		\$ 150,000	Approved			\$ 150,000							Nonprofits and Other Providers		
77. DPH48500	Enhanced monitoring in nursing homes	\$ 2,800,000		\$ 2,800,000	Approved							\$ 2,800,000		OT for existing nurse consultant staff, TWRs and potential contract with a nursing staffing agency. DPH pursuing CMS CARES grant to defray costs.			
78. OSC15000	COVID-19 Testing	\$ 60,000,000	\$ 87,744,556	\$ 147,744,556	Approved			\$ 147,744,556						Placeholder of \$15m per month = 10,000 tests/day * \$50 * 30 days. Amount is in addition to \$182 million in other federal funds (4th supplemental bill) awarded to CT for testing. Updated to reflect \$255,444 is paid from OPM for assisted living testing	Testing and Active Clinical Monitoring		
79. DPH48500	Contact Tracing Solution (IT)	\$ 1,000,000		\$ 1,000,000	Approved			\$ 1,000,000						No identified Project/No funds yet allotted (6/30/20)	Testing and Active Clinical Monitoring		
80. DAS23000	Consulting - Reopen CT strategy, analysis, recommendations, PMO	\$ 2,350,000		\$ 2,350,000	Approved			\$ 2,350,000						Incurred in May and June	State Operations		
81. CRD47200	Sanitizers, dispensers, face masks and carpet protection	\$ 19,822		\$ 19,822	Approved			\$ 19,822							State Operations		
82. ECD46000	ReOpenCT - Surveys to determine when to open CT	\$ 60,000		\$ 60,000	Approved			\$ 60,000						Agency believes this may be FEMA reimbursable	Reopen and Community Supports		
83. DOH46900	Provide Hotel Oversight through Seasonal Shelter	\$ 64,874		\$ 64,874	Approved			\$ 64,874						Agency believes this may be FEMA reimbursable	Nonprofits and Other Providers		
84. DOI40000	Various items, plexiglass, cleaning supplies, laptops, printing and staff costs, cctv monitoring at AICs	\$ 2,701,802		\$ 2,701,802	Approved			\$ 2,701,802							State Operations		
85. HRD41100	Technological needs, PPE	\$ 49,027		\$ 49,027	Approved			\$ 49,027							State Operations		
86. DOC88000	PPE for staff and inmates/Cleaning Supplies and Equipment/Food	\$ 3,118,005		\$ 3,118,005	Approved			\$ 3,118,005						Agency has cleaned facilities day and night, purchased fogger machines. PPE for staff and inmates. Food now brought to inmates cells, prepackaged and no longer chow setting.	State Operations		
87. DOC88000	Temporary Staffing Due to Staff Shortages	\$ 1,334,305		\$ 1,334,305	Approved			\$ 1,334,305						Medical staffing needed due to staff shortages	State Operations		

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Funding Source										Notes	CRF Allocation Category
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes		CRF Allocation Category	
88. DOC86000	Overtime Incurred to Date (plus fringes)	\$ 2,104,560		\$ 2,104,560	Approved				\$ 2,104,560						Overtime related to having to open wings of northern to serve as COVID isolation units. OT related to staff shortages when staff use their 14 days	State Operations	
89. DAS23000	Rental Costs for Refrigerated Trailers to Serve as Morgues	\$ 238,000		\$ 238,000	Approved				\$ 238,000							State Operations	
90. DVA21000	Equipment, supplies, and additional staff support	\$ 461,399	\$ 645,878	\$ 1,107,277	Approved				\$ 1,107,277							State Operations	
91. MIL36000	Mobile Field Hospital Operations - State Active Duty	\$ 7,817		\$ 7,817	Approved				\$ 7,817						Weekly spot checks, repair and maintenance and retrograde of the four mobile field hospital setups at St. Francis Hospital, Middlesex Hospital, Danbury Hospital & Sharon Hospital. Was reduced from \$67,100.	State Operations	
92. MIL36000	Task Force Medical - State Active Duty	\$ 141,000		\$ 141,000	Approved				\$ 141,000						20 personnel will be assigned duties at Stamford Hospital to assist federal and state military personnel conducting medical operations for 30 days.	State Operations	
93. MIL36000	Task Force Medical - Hotel Lodging	\$ 2,000		\$ 2,000	Approved				\$ 2,000						Lodging for 20 personnel for 30 days - was approved at \$36,000, only needed \$2,000	State Operations	
94. SOS12500	Funding for newspaper posting of additional executive orders	\$ 62,278		\$ 62,278	Approved				\$ 62,278							State Operations	
95. DCF91000	Per Diem Rate Based Residential Programs	\$ 2,887,500		\$ 2,887,500	Approved				\$ 2,887,500							Nonprofits and Other Providers	
96. DCF91000	Group Homes	\$ 176,309		\$ 176,309	Approved				\$ 176,309							Nonprofits and Other Providers	
97. DCF91000	Other Congregate Care	\$ 97,226		\$ 97,226	Approved				\$ 97,226							Nonprofits and Other Providers	
98. DCF91000	School of Origin Transportation	\$ -		\$ -	Approved		\$ -								Financial support during school closure to ensure service network is maintained. Within current budget.		
99. DCF91000	After School Programs	\$ -		\$ -	Approved		\$ -								Financial support during school closure to ensure service network is maintained. Within current budget.		
100. MHAS3000	CVH Surge Capacity at 60 West	\$ 287,778		\$ 287,778	Approved				\$ 287,778						DMHAS (CVH) will be using 60 West as surge capacity to care for COVID pos patients until they are no longer positive and can go back to their units at CVH. Financial estimate assumes 20 patients for 30 days.	State Operations	
101. DOH46900	Coordinated Access Network - Statewide Shelter Support	\$ 2,000,000	\$ -	\$ 2,000,000	Approved				\$ 2,000,000						This request includes approximately \$800,000 of hazard pay for CAN/Shelter staff. NOTE: Only \$2M has been allotted so far.	Nonprofits and Other Providers	
102. DDS50000	Deep cleaning costs for private provider residential programs	\$ -		\$ -	Approved				\$ -						Estimated costs for providers to deep clean group homes once an individual has tested positive for COVID-19. Revised 8/1/2020 - No additional funds required. Funds in the amount of \$1.2 million will be de-allotted.	Nonprofits and Other Providers	
103. DDS50000	Deep cleaning costs for DDS facilities	\$ 722,090		\$ 722,090	Approved				\$ 722,090						Cleaning costs for the state operated regional centers, Southbury Training School and group homes after an individual working in such location has been identified with COVID-19.	State Operations	
104. DDS50000	Overtime and temp hiring to ensure staff coverage	\$ 407,097	\$ 568,282	\$ 975,379	Approved				\$ 975,379						OT costs and approximately 160 temporary DSW, LPN and RN positions to ensure continued coverage of public facilities. Original estimate of \$3,000,379 has been reduced to \$975,379.	State Operations	
105. JUD95000	JUD - 3 - PPE, cleaning and disinfecting, technology, and other response costs	\$ 200,609		\$ 200,609	Approved				\$ 200,609						Virtual Desktops (Firewall Security), Call Center PC's, Printers, Equipment, and Call Management Software. (Net adjustment over first request - includes 3rd submitted request.)	State Operations	
106. DOT57000	DOT - 1 - Staff Overtime	\$ 139,689	\$ -	\$ 139,689	Approved				\$ 139,689						Responsibilities including implementing telework agency-wide, procuring and distributing employee PPE, additional coordination with business partners including transit, rail, contractors, etc., to continue maintaining essential business functions; and deep cleaning costs. Includes funding for Over-The-Cap Overtime Request	State Operations	
107. DOT57000	DOT - 1 - Materials & Supplies for Sanitizing Agency Facilities	\$ 808,749	\$ -	\$ 808,749	Approved				\$ 808,749						Sanitizing of 72 maintenance facilities & central office.	State Operations	
108. DOT57000	DOT - 1 - Back-To-Work Office Equipment / Maintainer Training	\$ 84,302	\$ -	\$ 84,302	Approved				\$ 84,302						Recommending funding for headsets to utilize more teleconferencing when staff returns to the office. Not recommending (and not including here) funding for webcams.	State Operations	
109. MHAS3000	MH Residential (includes Intensive, Transitional, Group Homes, ABI Community Residence, Respite, IP IMD, Supervised Housing, Shelters)	\$ 3,778,800		\$ 3,778,800	Approved				\$ 3,778,800						24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.	Nonprofits and Other Providers	
110. MHAS3000	Substance Abuse Medically Monitored Residential Detox	\$ 123,250		\$ 123,250	Approved				\$ 123,250						24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE, and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.	Nonprofits and Other Providers	
111. MHAS3000	Substance Abuse Residential Treatment (Intensive, Intermediate, Long Term, Recovery Housing)	\$ 2,114,250		\$ 2,114,250	Approved				\$ 2,114,250						24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.	Nonprofits and Other Providers	
112. MHAS3000	Young Adult Services	\$ 1,740,000		\$ 1,740,000	Approved				\$ 1,740,000						24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.	Nonprofits and Other Providers	
113. MHAS3000	Community Services (including Outpatient, IOP, MMT, ACT, CSP, Case Management, BHH, Employment, Jail Diversion, Outreach, Crisis, Residential Support, Supervised Housing, Pre-Trial Education, etc.)	\$ 3,852,225		\$ 3,852,225	Approved				\$ 3,852,225						Providers have incurred costs including hazardous duty, purchase of telehealth/telework equipment and licenses, PPE, and cleaning services. Additionally providers continue to pay staff not able to work due to quarantine/illness while paying overtime or temporary workers.	Nonprofits and Other Providers	
114. OSC15000	Request for funding for COVID-19 related expenses support teleworking	\$ 70,653		\$ 70,653	Approved				\$ 70,653						50 laptops, minor telecommuting IT related expenses and cleaning supplies.	State Operations	
115. DMV35000	Funding for installation of an appointment system to control the traffic flow of customers and to maintain social distancing	\$ 150,000	\$ -	\$ 150,000	Approved				\$ 150,000							State Operations	
116. DMV35000	Funds to install sneeze guards throughout the branches and testing centers	\$ 125,000	\$ -	\$ 125,000	Approved				\$ 125,000						This will help prevent the spread of serious illnesses and will assist in maintaining the health of customer facing employees.	State Operations	
117. DMV35000	Funding for the cleaning and disinfecting of branches	\$ 447,000	\$ 1,118,098	\$ 1,565,098	Approved				\$ 1,565,098						Due to the virus, the Agency is now completing nightly deep cleaning along with a weekly disinfecting spray of branches that are being utilized by staff and/or public. SFY 21 estimate is through 12/30/2020.	State Operations	
118. DOI37500	Implement remote call center for Consumer Affairs + Deep Cleaning Costs	\$ 16,694		\$ 16,694	Approved				\$ 16,694							State Operations	
119. DPS32000	Funds for deep cleaning supplies (sanitizers, disinfectant, etc.) and PPE (gloves, N-95s, masks, infrared thermometers, face shields, decontamination systems).	\$ 695,000	\$ -	\$ 695,000	Approved				\$ 695,000						Original approved amount was \$700k but it has been reduced by \$5k so the funding could be transferred to approved item #130.	State Operations	
120. DPS32000	Funds to rent a modular trailer for six months that will be located in the north lot for those customers arriving at HQ to be fingerprinted.	\$ -	\$ -	\$ -	Approved				\$ -						This will help prevent the spread of serious illnesses in DESPP's HQ and will assist in maintaining the health of customer facing employees. Additional trailers may be requested for some of the other units once the agency opens to the public. NOTE: The \$13K approved for trailers is unneeded due to a management decision to not utilize trailers.	State Operations	
121. CRD47200	Cleaning and other facility costs	\$ 902,036		\$ 902,036	Approved				\$ 902,036						Convention Center COVID19 costs, cleaning, facilities maintenance.	State Operations	
122. OEC64800	Child Care Provider Incentive Payments	\$ 4,000,000	\$ -	\$ 4,000,000	Approved								\$ 4,000,000		Source: Child Care Development Block Grant		

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Funding Source										Notes	CRF Allocation Category
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy				
123. UHC72000	Capital Purchases, Telework, Lab & Medical Supplies, PPE, Facilities, Overtime for Medical Staff	\$ 3,046,650	\$ -	\$ 3,046,650	Approved				\$ 761,663	\$ 2,284,988					COVID-related costs for teleworking transition, equipment/supplies, medical supplies, drugs, lab services, and other related expenses. Does not include student refunds or revenue losses. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	Higher Education	
124. DCF91000	Office Cleaning	\$ 59,500	\$ -	\$ 59,500	Approved				\$ 59,500							State Operations	
125. DCF91000	HIPAA Compliant Document Bags for Telework	\$ 20,000	\$ -	\$ 20,000	Approved				\$ 20,000							State Operations	
126. DCF91000	PPE /Cleaning Supplies /Infection Control Printed Materials /Scrubs & Uniforms	\$ 700,000	\$ -	\$ 700,000	Approved				\$ 700,000							State Operations	
127. DCF91000	Temporary Nursing to Screen Workers Entering State Buildings	\$ 220,000	\$ -	\$ 220,000	Approved				\$ 220,000							State Operations	
128. DCF91000	IT Devices and Software to Implement Telework / Consultant Staff Redeployed from CT KIND Development to Mobility Deployment	\$ 500,000	\$ -	\$ 500,000	Approved				\$ 500,000							State Operations	
129. ECD46000	Overtime costs and other COVID19 related expenditures	\$ 104,911	\$ -	\$ 104,911	Approved				\$ 104,911							State Operations	
130. DPS32000	Funds for 100 laptops to allow staff to telework	\$ 135,000	\$ -	\$ 135,000	Approved				\$ 135,000						IT redirected 100 laptops that were part of the agency's re-fresh program (to employees with desktops). It will cost ~ \$130,000 to replace those laptops. An additional \$5K was requested to purchase headsets and other computer peripherals. This additional funding was transferred from PPE/Cleaning (Approved item #119).	State Operations	
131. OEC64800	Provide targeted subsidies to private child care providers to ensure financial viability to support the state's efforts to re-open.	\$ 8,000,000	\$ -	\$ 8,000,000	Approved							\$ 8,000,000			Child Care Development Block Grant		
132. CRD47200	Cleaning and sanitizing XL Center and PW Stadium	\$ 314,849	\$ -	\$ 314,849	Approved				\$ 314,849							State Operations	
133. DSS60000	Administrative and technical support for pandemic response and remote working	\$ 54,734	\$ 9,302,608	\$ 9,357,342	Approved				\$ 9,357,342						Includes provision of laptops and other technical resources to support remote work, server enhancements, and other administrative costs. Estimate includes previously requested administrative support for Pandemic EBT at revised amount.	State Operations	
134. DCF91000	Special Stipend for Foster Parents/Children who test COVID positive	\$ 41,106	\$ -	\$ 41,106	Approved				\$ 41,106						To pay higher medically complicated foster rate when foster parent/child tests COVID positive.	Nonprofits and Other Providers	
135. DDS50000	Additional Rent Subsidy supports for individuals unable to work	\$ -	\$ -	\$ -	Approved				\$ -						Supports for approximately 160 individuals with intellectual disability who are eligible for the program due to a temporary loss in wages and require assistance with paying rent in May and June. Revised 8/1/2020 - No additional funds required. Funds in the amount of \$382,126 will be de-allotted.	Nonprofits and Other Providers	
136. SDR63500	IT technology and support to implement telework	\$ 61,843	\$ -	\$ 61,843	Approved				\$ 61,843						Additional cost to the agency to support overtime and equipment costs in order to enable staff to telework.	State Operations	
137. SDR63500	Cleaning and disinfecting costs of offices	\$ 33,490	\$ -	\$ 33,490	Approved				\$ 33,490						Additional cost to the agency for cleaning of offices and installation of plexi-glass in reception areas.	State Operations	
138. DOL40000	UI Call Center - 6 months	\$ 3,939,242	\$ -	\$ 3,939,242	Approved				\$ 3,939,242							State Operations	
139. OTT14000	Laptops (\$25,588), gloves and cleaning supplies (\$128).	\$ 25,716	\$ -	\$ 25,716	Approved				\$ 25,716							State Operations	
140. DRS16000	COVID related expenses - IT Equipment \$355,066 (Laptops, WiFi doggles and Headsets), Zoom conferencing \$531 and PPE and cleaning supplies \$3,876.	\$ 359,473	\$ -	\$ 359,473	Approved				\$ 359,473							State Operations	
141. UOC67000	Equipment, supplies, and other COVID-related expenditures (excl. student refunds)	\$ 847,830	\$ -	\$ 847,830	Approved				\$ 211,958	\$ 635,873					COVID-related costs for teleworking transition, equipment/supplies, and other related expenses. Does not include student refunds or revenue losses. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	Higher Education	
142. JUD95000	JUD - 2 - PPE, cleaning and disinfecting, technology, and other response costs	\$ 183,142	\$ -	\$ 183,142	Approved				\$ 183,142						Virtual Desktops (Firewall Security), Call Center PCs, Printers, Equipment, and Call Management Software. (Net adjustment over first request - includes 3rd submitted request.)	State Operations	
143. PDS98500	PDS - 1 - Communications & Temporary Full-Time Attorneys for Case Backlogs	\$ -	\$ 457,229	\$ 457,229	Approved				\$ 457,229						Smartphones for scheduling due to closed courthouses. Attorneys (for a 6-month period, 6 FT, fringes included here) to assist with backlog once courthouses are reopened back to full operations. PDS believes it should only impact 2021. Balance of PDS request will be covered by CESF. (Salaries: \$242,349 / OE: \$21,000 / Fringes: \$193,880)	State Operations	
144. DMV35000	Teleworking equipment	\$ 73,750	\$ -	\$ 73,750	Approved				\$ 73,750						50 Lenovo ThinkPad T490 laptops to allow additional employees to work from home	State Operations	
145. MIL36000	Retrograde Operations - Mobile Field Hospitals State Active Duty	\$ 67,000	\$ -	\$ 67,000	Approved				\$ 67,000						Deconstruct the Mobile Field Hospitals and return them to storage at Camp Hartell. Was approved at \$280,000-reduced to \$67,000.	State Operations	
146. GOV12000	Crisis communications and ReOpen CT public awareness	\$ 4,000,000	\$ -	\$ 4,000,000	Approved				\$ 4,000,000						State government must regularly, and clearly communicate with residents the ongoing changes to public health, business, and education guidance throughout this pandemic. The state must have funds set aside for targeted marketing, information, and education campaigns across media platforms to distribute accurate information for all residents. Some of this marketing must be statewide in nature, while others must be targeted for more diverse communities. The information that must be distributed will include but not be limited to: reopening criteria and phases, education guidance, health guidance, business guidance, testing information and locations, and messages from state officials. Will include TV and messaging; Outreach - COVID Municipal toolkits, posters, signage; Materials to every testing location to distribute to every COVID positive and how to conduct contract tracing; and Contact tracing design.	State Operations	
147. DAS23000	424 Chapel Street N95 Mask Decontamination Sterilization Unit	\$ 209,460	\$ -	\$ 209,460	Approved				\$ 209,460						80,000 masks can be sterilized per day.	State Operations	
148. DDS50000	Additional supports for individuals receiving only in-home and/or day supports - Residential account	\$ -	\$ -	\$ -	Approved				\$ -						Covers increased support costs and includes increased use of self directed programs for individuals receiving residential in-home supports in order to continue to meet day goals, meet basic needs and/or maintain structure. Estimates include extra staff and overtime costs. Revised 8/1/2020 - No additional funds required and \$2.8 million was de-allotted.	Nonprofits and Other Providers	
149. DDS50000	Additional supports for individuals receiving only in-home and/or day supports - Day account	\$ -	\$ -	\$ -	Approved				\$ -						Covers increased support costs and includes increased use of self directed programs for individuals receiving day supports at home in order to continue to meet day goals, meet basic needs and/or maintain structure. Estimates include extra staff and overtime costs. Revised 8/1/2020 - No additional funds required and \$6.2 million was de-allotted.	Nonprofits and Other Providers	
150. DSS50000	Residential Provider Supplemental Payments	\$ 35,262,972	\$ 12,599,201	\$ 47,862,173	Approved				\$ 47,862,173						All residential providers are being paid 120% of authorizations to cover the cost of increased staffing and overtime in residential programs if day programs are closed for April - June. This increase also covers additional COVID-19 related expenses including, PPE and cleaning expenses. Rev 10/2020 to include FY 21 estimate of \$12.6 million for July payments.	Nonprofits and Other Providers	
151. DDS50000	Day Provider Supplemental Payments	\$ 7,428,935	\$ -	\$ 7,428,935	Approved		\$ 7,428,935								Day providers are being paid based upon 100% of authorizations to support drastically lowered attendance due to social distancing requirements. Payments will ensure staff are still in place when day programs reopen. Costs will be covered with budgeted funds.		
152. DPS32000	Emergency Management Performance Grant (EMPG-S) Program - Supplemental	\$ -	\$ 2,789,396	\$ 2,789,396	Approved				\$ 1,394,698	\$ 1,394,698					FEMA will award funding to support planning and operational readiness for COVID-19 preparedness and response. The School Security Grant Program (bond funds) will be used to provide the required state match for the federal funding.		
153. DOH46900	Temporary Housing Assistance Including Rent Relief	\$ -	\$ 40,000,000	\$ 40,000,000	Approved				\$ 40,000,000						Payments will be made to landlords.	Housing - Rent Relief	
154. ECD46000	DECD Phase 2 graphics and translation	\$ 47,535	\$ -	\$ 47,535	Approved				\$ 47,535							Reopen and Community Supports	
155. BOR77200	Instruction, Student Support, and Technology exceeding available HEER funding at CSUs and COSC	\$ 3,379,595	\$ -	\$ 3,379,595	Approved				\$ 844,899	\$ 2,534,696					Costs reported as of 5/20/20 include institutional costs at State Universities and Charter Oak State College to off-campus courses in Spring 2020 semester, including supplies and technology needed for instruction and remote learning. Does not include student refunds or lost revenue. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	Higher Education	

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Funding Source										Notes	CRF Allocation Category
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes	CRF Allocation Category		
156. UOC67000	Equipment, supplies, and other COVID-related expenditures (excl. student refunds) - Newly reported as of 5/22	\$ 885,512	\$ -	\$ 885,512	Approved				\$ 221,378	\$ 664,134				Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	Higher Education		
157. UHC72000	Capital Purchases, Telework, Lab & Medical Supplies, PPE, Facilities, Overtime for Medical Staff	\$ 3,188,263	\$ 1,559,984	\$ 4,748,247	Approved				\$ 1,187,062	\$ 3,561,185				Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	Higher Education		
158. DPH48500	Specimen collection for testing nursing home residents and staff	\$ 23,748,850		\$ 23,748,850	Approved				\$ 23,748,850					To support grants to Yale NHH (\$4,162,790) and Griffin Hospital (\$19,586,060).	Testing and Active Clinical Monitoring		
159. MIL36000	Overtime and Other Expenses Costs Related to Cleaning Facilities Consultant to review NH and LTC components of CT's response to the pandemic	\$ 25,000		\$ 25,000	Approved				\$ 25,000					Funding to cover costs of overtime and cleaning supplies for Military facilities.	State Operations		
160. DPH48500	Convention Center costs and CRDA Operations	\$ 478,048	\$ 449,411	\$ 449,411	Approved				\$ 449,411					NEW: Vendor selected on 6/29/20.	Nursing Homes		
161. CRD47200	Convention Center costs and CRDA Operations	\$ 478,048		\$ 478,048	Approved				\$ 478,048					Cleaning supplies, air filters, handrail sanitation, technological needs for telework.	State Operations		
162. MHA53000	Equipment for to Facilitate Telework and Telehealth for State-Operated Facilities	\$ 905,148		\$ 905,148	Approved				\$ 905,148					Equipment includes Laptops, Software, Servers, Telem carts, Video Conferencing systems/equipment, cleaning machinery.	State Operations		
163. MHA53000	IT Supplies, Software, Telecommunications for State-Operated Facilities	\$ 385,732		\$ 385,732	Approved				\$ 385,732					OE expenditures include software, iPhones, air cards, conference lines for telework. Also phones for 24/7 sites for clients to communicate with family, friends, etc. due to visitor restrictions.	State Operations		
164. MHA53000	Emergency Hiring for State-Operated Facilities	\$ 234,620		\$ 234,620	Approved				\$ 234,620					PS costs for temporary hires including nurses, custodians, MHA1s, assistant cooks.	State Operations		
165. MHA53000	Temporary Services for State-Operated Facilities	\$ 1,544,651		\$ 1,544,651	Approved				\$ 1,544,651					OE costs for contracted staff including housekeeping and workers to screen staff reporting to work.	State Operations		
166. MHA53000	PPE, Medical, Cleaning, Personal Hygiene Supplies for State-Operated Facilities	\$ 402,317		\$ 402,317	Approved				\$ 402,317					OE costs.	State Operations		
167. MHA53000	Other Supplies for State-operated Facilities	\$ 252,140		\$ 252,140	Approved				\$ 252,140					OE costs for office supplies like secure medical records bags to protect PHI, kitchen/dining/food supplies for individual meal servings and cleaning supplies for the pandemic.	State Operations		
168. ECD46000	Welcome centers, OT for arts grants	\$ 38,220		\$ 38,220	Approved				\$ 38,220					Welcome center COVID19 supplies, OT for arts grants	State Operations		
169. SDE64000	CTECS - PPE, equipment, cleaning, public safety overtime	\$ 2,677,646	\$ -	\$ 2,677,646	Approved				\$ 2,677,646					FY20 expenditures at CTECS for purchases including PPE, technology, cleaning supplies, public safety overtime, and other direct response costs.	State Operations		
170. OPM20000	Purchase 75 laptops, docking stations, and headsets, to support telework by agency employees	\$ 83,350	\$ 12,500	\$ 95,850	Approved				\$ 95,850					To purchase 75 laptops, docking stations and knapsacks, and headsets, to provide equipment for telework	State Operations		
171. CRD47200	Dillon Stadium, XL touchless plumbing, CCC hospital surge	\$ 92,718		\$ 92,718	Approved				\$ 92,718						State Operations		
172. DPH48500	CDC Enhancing Detection grant	\$ 182,633,998		\$ 182,633,998	Approved									Significant funding for laboratory operations, epidemiologic surveillance and reporting, contact tracing, and testing, among other activities. State application submitted to CDC on 6/18/20			
173. DPH48500	Immunization Program	\$ 1,696,075		\$ 1,696,075	Approved									Supplemental immunization funding, primary purpose is to enhance influenza vaccination coverage as a critical part of COVID-19 response work			
174. OEC64800	Priority School Readiness	\$ 5,559,359		\$ 5,559,359	Approved				\$ 5,559,359					Stabilize funding for school readiness programs in priority school districts	Nonprofits and Other Providers		
175. JUD95000	Call Center Technology for Social Distancing	\$ 219,570		\$ 219,570	Approved				\$ 219,570					Technology to support a Judicial Call Center by enhancing social distancing. One-time purchase for controllable equipment and phones to expand the call center program. There are no additional operational costs currently or in the future because the program will be administered with existing personnel in an existing facility. Covered in review for CESF funds. Decision made to have CRF cover these costs.	State Operations		
176. DOT57000	DOT - 2 - Road Equipment - Electronic Signs and Monitors	\$ 73,778	\$ -	\$ 73,778	Approved				\$ 73,778					10% of request funded through CRF with balance to be funded through PAYGO. Request covers cost of 40 variable messaging signs to place throughout the state to assist in coordination and logistics of resources and messaging for the public. DOT currently has 14 signs. DOT Highway Operations determines where the signs should go. DOT has been asked to explain why the signs can't be rented, as they have rented other signs. Also, getting the signs this late into the pandemic for CT -- would it make that much of a difference? - was \$737,776	State Operations		
177. DMV35000	Purchase of two way radios for branch locations	\$ 22,000	\$ -	\$ 22,000	Approved				\$ 22,000					The radios will enhance communication for added security to control foot traffic to maintain social distancing and appointment only scheduling, as well as maintain communication with healthcare providers and essential staff. This purchase will enhance communication and provide additional safety and security measures at all DMV locations.	State Operations		
178. DMV35000	Funding to reconfigure work space to allow for employees to report back to the office	\$ 150,000	\$ -	\$ 150,000	Approved				\$ 150,000					The contact center is experiencing a unprecedented amount of calls. Due to the need for social distancing, the current contact center location is only working at 35% staff capacity. In order to bring staffing levels to 100%, the DMV needs to reconfigure current office space. In addition, enhancements are also being made in the administrative areas to allow staff who do not have the ability to work from home to come back to a healthy and safe environment.	State Operations		
179. DMV35000	Funding for Temperature Screening	\$ 36,000	\$ 600,000	\$ 636,000	Approved				\$ 636,000					Due to the COVID-19 crisis, the Department of Motor Vehicle has started temperature screening both employees and customers that enter our branch locations. The DMV is requesting funding for the healthcare providers needed to perform these screening.	State Operations		
180. DMV35000	Funds for a digital enablement project to support increased online access to the public and allow additional tools to drive simple transactions online and out of DMV branches.	\$ -	\$ 3,600,000	\$ 3,600,000	Approved				\$ 3,600,000					The projects listed below will help drive the public to simpler and easier online transaction options, thereby slowing the foot traffic that will be required to come into DMV public buildings. Projects: Integrated Online Services, Out-of-State Dealer Online, Enable Work from Home for DMV Employees, Scanning Solution	State Operations		
181. DMV35000	Funds for touchless soap and hand sanitizer dispensers, including floor stands, along with the initial distribution of soap and hand sanitizer to fill our new touchless dispensers.	\$ -	\$ 34,312	\$ 34,312	Approved				\$ 34,312					These dispensers will be located throughout our branch and office locations.	State Operations		
182. DOC88000	Expand Telemedicine and Telemental Health Program	\$ 470,000		\$ 470,000	Approved				\$ 470,000					Funds will be used to procure additional laptops and tablets for staff, webcams, Mobile Medical Devices, and Telemedicine Carts.	State Operations		

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Funding Source										Notes	CRF Allocation Category
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy				
183. DOL40000	COVID Summer Youth Employment Initiative	\$ 2,000,000	\$ -	\$ 2,000,000	Approved				\$ 2,000,000						Funds will be provided through the Department of Labor to the five workforce development boards (WDBs) to support COVID related summer youth employment initiatives. Specifically, the WDBs will seek to provide funding to support health related youth employment through partnerships with FOHCs, AHECs and other community providers.	Workforce Development and Employment Initiatives	
184. DSS60000	Emergency feeding program		\$ 717,000	\$ 717,000	Approved				\$ 454,500	\$ 262,500						Nonprofits and Other Providers	
185. JUD95000	JUD - 1 - PPE, cleaning and disinfecting, and other response costs	\$ 689,190		\$ 689,190	Approved				\$ 689,190							State Operations	
186. DSS60000	Provide funding to support testing of high-risk populations	\$ -	\$ 32,400,000	\$ 32,400,000	Approved				\$ 32,400,000							Testing and Active Clinical Monitoring	
187. MIL36000	State active duty post-8/8/20 to support PPE distribution and warehousing, testing and other COVID activities		\$ 10,533,170	\$ 10,533,170	Approved				\$ 2,633,293	\$ 7,899,877					Contingency in the event that a presidential extension of federal coverage of National Guard costs is not approved.	State Operations	
188. DPH48500	Nursing Home Testing - 6 Additional Vendors		\$ 11,528,020	\$ 11,528,020	Approved				\$ 11,528,020						Physician One, Stamford Hospital, Fairhaven Health Center, Prospect - Waterbury, Prospect ECHN, Hartford Healthcare	Testing and Active Clinical Monitoring	
189. SDE64000	Devices for remote learning		\$ 15,000,000	\$ 15,000,000	Approved				\$ 15,000,000						Funds to be used in conjunction with Governor's Education Emergency Relief fund to provide devices where either districts or families are unable to do so.	Education - Reopening	
190. DOL40000	Overtime for Call Center through Labor Day		\$ 1,796,622	\$ 1,796,622	Approved				\$ 1,796,622							State Operations	
191. DRS16000	COVID related expenses - IT Equipment \$142,104 (100 Laptops, WIFI doggles and Headsets), Zoom conferencing \$408 and PPE and cleaning supplies \$10,911.		\$ 153,423	\$ 153,423	Approved				\$ 153,423							State Operations	
192. SOS12500	Cost of publishing various Executive Orders	\$ 124,216		\$ 124,216	Approved				\$ 124,216							Costs related to printing EO 7GG - ZTT in various papers as required by statute	State Operations
193. DOL40000	Call center enhancements and support		\$ 1,287,150	\$ 1,287,150	Approved				\$ 1,287,150							State Operations	
194. SDE64000	Cleaning and sanitizing the CTEC schools	\$ 5,000,000		\$ 5,000,000	Approved				\$ 5,000,000							State Operations	
195. PCA98000	PCA - 1 - Remote Desktop Services; Laptop and Accessories	\$ 55,574		\$ 55,574	Approved				\$ 55,574						To allow staff to telework - Remote desktop services; Laptop & accessories; and monitors, webcams, and webex subscriptions to allow court to conduct hearings.	State Operations	
196. DPS32000	Funds for DESPP/CSP to moved away from face-to-face contact and replace inefficient electronic communications. See project list in Notes.		\$ 350,000	\$ 350,000	Approved				\$ 350,000						* Project # 2: Mobile Data Terminal (MDT) internet Project and Project # 3: Law Enforcement Encrypted Mobile Application total \$350,000	State Operations	
197. SDE64000	Content and Social Emotional Learning		\$ 2,000,000	\$ 2,000,000	Approved				\$ 2,000,000						Content effort may have some portion allocated to SERC with guidance from SDE.	Education - Reopening	
198. DOL40000	Additional Staff to Support UI claimload, integrity, Call center OT and system enhancements.		\$ 11,983,136	\$ 11,983,136	Approved				\$ 11,983,136						Additional funding through 12/31/20 to support critical areas of the UI efforts - including UI initial claims (35 FTE) appeals (30 FTE) and tax (25 FTE), all of which are impacted by the increased claim load.	State Operations	
199. DAS23000	Durational staff to perform project management, analysis and support through December		\$ 321,750	\$ 321,750	Approved				\$ 321,750						BCG contract expiration requires ongoing staff support for COVID response. Estimate based on 6 DPMs @ \$5,500/month/each plus fringes.	State Operations	
200. OPM20000	Municipalities - non-education assistance for COVID-related costs		\$ 75,000,000	\$ 75,000,000	Approved				\$ 75,000,000							Municipalities	
201. SDE64000	additional contingency for devices for remote learning		\$ 7,000,000	\$ 7,000,000	Approved				\$ 7,000,000							Education - Reopening	
202. DMV35000	Funds to cover additional unarmed guards needed through 12/31/20.	\$ -	\$ 1,222,863	\$ 1,222,863	Approved				\$ 1,222,863						The public demand for DMV services is high and with the new appointment only system, the DMV is anticipating the need for control of both foot and vehicle traffic to keep both the citizens and staff safe.	State Operations	
203. DMV35000	Requesting an additional \$20,744.80 to the approved Appointment Project (Item #113) to purchase the licenses and setup to enable SMS integration (Text Messaging) for appointments and cancellations.	\$ -	\$ 20,745	\$ 20,745	Approved				\$ 20,745							State Operations	
204. DOC88000	Integration to DOC's EMR System for SEMA4 and Jackson Labs Testing		\$ 78,000	\$ 78,000	Approved				\$ 78,000						DOC was asked to change labs for COVID testing as the existing lab, Quest, was facing national delays in processing samples. DOC is moving to SEMA4 and Jackson labs to process their testing samples, but there will be a cost of \$39,000 each to integrate the lab with DOC's EMR system.	State Operations	
205. DPS32000	Funds for staff overtime.	\$ -	\$ 2,200,000	\$ 2,200,000	Approved				\$ 2,200,000						DESPP is requesting \$1.5M in CRF for overtime plus use of \$700K of the \$1.4M in funds allotted for Housing (approved item #64) be redirected to this Personal Services overtime request, totaling \$2.2M for projected overtime expenses in SFY 21. CSP continues Warehouse detail and DEMHS continues Emergency Management for COVID. Additionally, DESPP has a backlog in SPBI and Fingerprinting that will require additional hours to process, all are related to reduced/shutdown of services during the height of the pandemic. We have been told to expect an increase from DEC, DPH, school security guards as well as bus drivers in the coming weeks. We also anticipate a need in the late Fall for CSP services at the testing centers and vaccine centers. In the first 3 PP (through 7/16/20) DESPP has expended nearly \$190K in overtime coded to TASK Code PG881 (Covid-19 Response).	State Operations	
206. OPM20000	Recovery planning through COGs		\$ 1,250,000	\$ 1,250,000	Approved				\$ 1,250,000						Funding through the CT Association of Councils of Governments for assistance from the Global Resilience Institute (GRI).	Reopen and Community Supports	
207. UOC67000	Academic preparation, PPE, medical supplies/drugs, Research, health & safety services, shipping, telework, etc.		\$ 1,066,584	\$ 1,066,584	Approved				\$ 266,646	\$ 799,938					Ongoing COVID response costs at UConn Storrs/Regional campuses reported as of 8/21/20.	Higher Education	
208. CSL66000	Facility cleaning - CT Records Center and Library for the Blind and Physically Handicapped		\$ 11,728	\$ 11,728	Approved				\$ 11,728						Fog microbial disinfectant, steam, and HVAC duct cleaning at 2 facilities operated by the State Library.	State Operations	
209. CSL66000	Installation of outdoor sheds for contactless delivery of library materials		\$ 15,990	\$ 15,990	Approved				\$ 15,990						To safely operate the State Library's statewide delivery service, 75 sheds were installed at libraries around the state that could not provide keys or access codes to facilitate contactless delivery of library materials.	State Operations	
210. OPM20000	COVID testing at assisted living facilities		\$ 2,255,444	\$ 2,255,444	Approved				\$ 2,255,444							Testing and Active Clinical Monitoring	
211. DOL40000	Support for a vendor solution to address the overpaid unemployment insurance claims during the pandemic		\$ 1,835,632	\$ 1,835,632	Approved				\$ 1,835,632							State Operations	
212. SDE64000	Academic Re-Opening Costs		\$ 41,000,000	\$ 41,000,000	Approved				\$ 41,000,000							Education - Reopening	
213. SDE64000	Student Supports Re-Opening Costs		\$ 9,000,000	\$ 9,000,000	Approved				\$ 9,000,000							Education - Reopening	
214. SDE64000	Cleaning/PPE Re-Opening Costs		\$ 60,200,000	\$ 60,200,000	Approved				\$ 60,200,000							Education - Reopening	
215. SDE64000	Transportation Re-Opening Costs		\$ 20,600,000	\$ 20,600,000	Approved				\$ 20,600,000							Education - Reopening	
216. OEC64800	CDC School Aged fall day care expansion		\$ 321,000	\$ 321,000	Approved				\$ 321,000							Early Childhood Supports	
217. ECD46000	Pandemic outreach text translation and creation of indoor/outdoor event guidance		\$ 14,387	\$ 14,387	Approved				\$ 14,387							State Operations	
218. DON46900	Flexible Response Measures - Non-Congregate Housing		\$ 220,000	\$ 220,000	Approved				\$ 55,000	\$ 165,000					\$55,000 from CRF, \$165,000 FEMA	Flexible Response Measures	
219. ECD46000	Workforce Development and Employment Initiatives		\$ 15,000,000	\$ 15,000,000	Approved				\$ 15,000,000							Workforce Development and Employment Initiatives	
220. CSL66000	Safety measures for public WiFi in libraries		\$ 2,600,000	\$ 2,600,000	Approved				\$ 2,600,000						PPE, signage and other supplies needed for libraries to open up indoor and outdoor spaces for people to safely use expanded public WiFi. Does not include staffing costs.	Education - Reopening	
221. DAS23000	CEN Wi-fi infrastructure and wi-fi marketing		\$ 2,000,000	\$ 2,000,000	Approved				\$ 2,000,000						\$1.7M for the Connecticut Education Network to install wifi infrastructure and \$300,000 to DAS for wifi marketing contract.	Education - Reopening	
222. DOL40000	Shared Work Surge Support and Automation		\$ 1,726,720	\$ 1,726,720	Approved				\$ 1,726,720						To support expenditures up to approved amount for 3 month SOW with Accenture to provide surge support in Shared Work program and implement automation of same program. Support for post 12/30 from SBR.	Economic Support and Business Relief	
223. UOC67000	Academic preparation, PPE, medical supplies/drugs, Research, health & safety services, shipping, telework, etc.	\$ -	\$ 6,515,891	\$ 6,515,891	Approved				\$ 1,628,973	\$ 4,886,918					Total actuals and projected COVID-related costs at Storrs and Regional campuses projected through 12/30/20. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	Higher Education	
224. UHC72000	Facilities, Overtime for Medical Staff	\$ -	\$ 4,957,841	\$ 4,957,841	Approved				\$ 1,239,460	\$ 3,718,381					Total actuals and projected COVID-related costs at UConn Health projected through 12/30/20. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	Higher Education	
225. BOR77700	Instruction, Student Support, and Technology exceeding available HEER funding at CSUs and COSC	\$ 432,454	\$ 10,508,693	\$ 10,941,147	Approved				\$ 2,735,287	\$ 8,205,860					Total actuals and projected COVID-related costs at CSU institutions projected through 12/30/20. Current distribution assumes 75% reimbursement from FEMA and 25% match from CRF.	Higher Education	
226. SOS12500	Cost of publishing various Executive Orders		\$ 150,557	\$ 150,557	Approved				\$ 150,557							State Operations	

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)			Funding Source										Notes	CRF Allocation Category
Agency	Item	Gross Cost SFY 2020	Gross Cost SFY 2021	Gross Total	Status	Unassigned	State SFY 2020	State SFY 2021	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy				
227.	OTT14000	Laptops (\$25,257), gloves and cleaning supplies (\$2,106)	\$ 27,363	\$ 27,363	Approved				\$ 27,363								State Operations
228.	Various	Public health and public safety staff costs	\$ 100,000,000	\$ 100,000,000	Approved				\$ 100,000,000								State Operations
		Funding for Councils of Government to support direct expenses and legal costs for executive order support	\$ 415,000	\$ 415,000	Approved				\$ 415,000								Component of October 1 deficit mitigation plan
229.	OPM20000	Support for nonprofit arts organizations impacted by COVID	\$ 9,000,000	\$ 9,000,000	Approved				\$ 9,000,000								Reopen and Community Supports
230.	ECD46000	Support for nonprofits	\$ 50,000,000	\$ 50,000,000	Approved				\$ 50,000,000								Grants to nonprofit arts organizations (performing arts centers, performing groups, and schools of the arts)
231.	ECD46000	Support for businesses	\$ 50,000,000	\$ 50,000,000	Approved				\$ 50,000,000								Grants to small businesses impacted by COVID, with \$25 million for business located in distressed municipalities and \$25 million for businesses in non-distressed municipalities
232.	SDE64000	CTECs Re Opening	\$ 4,088,890	\$ 4,088,890	Approved				\$ 4,088,890								Economic Support and Business Relief
233.	DD550000	Dental Clinic Ventilation	\$ 25,000	\$ 25,000	Approved				\$ 25,000								To support an upgrade to the ventilation in the Norwich dental clinic, which provides services to individuals with intellectual disability, to ensure proper ventilation. Dental procedures may generate aerosolized droplets which increase the risk of transmitting coronavirus.
234.	OLM10000	Web Conferencing, Constituent Outreach, Premises Cleaning, PPE & Supplies	\$ 72,523	\$ 72,523	Approved				\$ 72,523								State Operations
235.	OSC15000	Plexiglass Installation for OSC Office Reopening	\$ -	\$ 263,423	Approved				\$ 263,423								For the health and safety of the OSC workforce and to assist with continuity of state operations during the pandemic.
236.	DCF91000	Stipend for Foster Families	\$ 924,250	\$ 924,250	Approved				\$ 924,250								To pay \$100 stipend per month, per child in foster care for 2.5 months.
237.	TBD	Education - Reopening	\$ 1,011,110	\$ 1,011,110	Approved				\$ 1,011,110								Nonprofits and Other Providers
238.	TBD	Flexible Response Measures	\$ 945,000	\$ 945,000	Approved				\$ 945,000								Education - Reopening
239.	TBD	PPE and Supplies	\$ 68,750,000	\$ 68,750,000	Approved				\$ 68,750,000								Flexible Response Measures
240.	TBD	Testing and Active Clinical Monitoring	\$ 11,312,480	\$ 11,312,480	Approved				\$ 11,312,480								PPE and Supplies
		Subtotal-Additional Expenditures	\$ 691,657,958	\$ 1,053,163,109	\$ 1,826,839,657		\$ -	\$ 55,701,874	\$ 29,034,885	\$ 1,193,896,269	\$ 138,497,339	\$ 133,853,300	\$ 271,539,417	\$ 4,316,573			Testing and Active Clinical Monitoring
Revenue Items																	
1.	Rev	Pass-through Entity Tax - Delay March 15, 2020 payment date to July 15, 2020	\$ (333,333)	\$ (333,333)	Approved				\$ (333,333)	\$ -							Cash flow impact, loss of interest
2.	Rev	Corporation Tax - Delay May 15, 2020 payment date to July 15, 2020	\$ (166,667)	\$ (166,667)	Approved				\$ (166,667)	\$ -							Cash flow impact, loss of interest
3.	Rev	Unrelated Business Income - Delay May 15, 2020 payment date to July 15, 2020	\$ (1,667)	\$ (1,667)	Approved				\$ (1,667)	\$ -							Cash flow impact, loss of interest
4.	Rev	Indian Gaming Payments - Defer March 15 & April 15, 2020 Payment to Sep. through Dec.	\$ (28,600,000)	\$ 28,600,000	Approved				\$ (28,600,000)	\$ 28,600,000							Cash flow impact, shift of revenue from FY20 to FY21
5.	Rev	Personal Income Tax - Delay April 15 final payment date to July 15	\$ (1,119,556)	\$ (1,119,556)	Approved				\$ (1,119,556)	\$ -							Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
6.	Rev	Personal Income Tax - Delay April 15 1st estimate payment to July 15	\$ (166,813)	\$ (166,813)	Approved				\$ (166,813)	\$ -							Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
7.	Rev	Personal Income Tax - Delay June 15 2nd estimate payment to July 15	\$ (99,521)	\$ (99,521)	Approved				\$ (99,521)	\$ -							Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20
8.	Rev	LPF & MVR - Delay Various Licenses and Registrations by 180 Days	\$ (37,000,000)	\$ 37,000,000	Approved				\$ (37,000,000)	\$ 37,000,000							STF. Shift of revenue from FY20 to FY21
9.	Rev	Sales and Use Tax - Waive 10 cent plastic bag fee through June 30	\$ (1,800,000)	\$ (1,800,000)	Approved				\$ (1,800,000)	\$ -							Revenue loss for 1.5 months
10.	Rev	Sales and Use Tax - Delay 3/31 & 4/30 payment date to May 31, ann. liab -\$150k	\$ (71,681)	\$ (71,681)	Approved				\$ (71,681)	\$ -							Cash flow impact, loss of interest
11.	Rev	LPF - DPH Delay Various Licenses	\$ (10,000,000)	\$ 10,000,000	Approved				\$ (10,000,000)	\$ 10,000,000							Per order of DPH Commissioner
12.	Rev	Gift Tax - Delay April 15 final payment date to July 15	\$ (10,000,000)	\$ 10,000,000	Approved				\$ (10,000,000)	\$ 10,000,000							Cash flow impact, loss of interest
13.	Rev	LPF - Extend Term for On-Premise Liquor License by 4 months	\$ (1,800,000)	\$ (1,800,000)	Approved				\$ (1,800,000)	\$ -							One-time revenue loss due to extension
14.	Rev	Corporation Tax - Delay June 15 2nd estimated payment to July 15	\$ (98,333)	\$ (98,333)	Approved				\$ (98,333)	\$ -							Cash flow impact, loss of interest
15.	Rev	Unrelated Business Income - Delay June 15 2nd estimated payment to July 15	\$ -	\$ -	Approved				\$ -	\$ -							Cash flow impact, loss of interest - included in Corp. Tax figure above
16.	Rev	Pass-through Entity Tax - Delay June 15 2nd estimated payment to July 15	\$ (62,083)	\$ (62,083)	Approved				\$ (62,083)	\$ -							Cash flow impact, loss of interest
17.	Rev	Estate Tax - Delay payments due from 4/1-7/15 to July 15	\$ (40,000,000)	\$ 40,000,000	Approved				\$ (40,000,000)	\$ 40,000,000							Cash flow impact, loss of interest
18.	Rev	LPF - DEEP 90 Day extension for Environmental Quality Fee	\$ (2,500,000)	\$ 2,500,000	Approved				\$ (2,500,000)	\$ 2,500,000							Shift of revenue from FY20 to FY21
19.	Rev	Refunds of Taxes - delay in refund payments due to extensions of tax filing dates	\$ 150,200,000	\$ (150,200,000)	Approved				\$ 150,200,000	\$ (150,200,000)							Shift of refund payments from FY20 to FY21
		Subtotal - Revenue Loss	\$ 16,380,346	\$ (22,100,000)	\$ (5,719,654)		\$ -	\$ 16,380,346	\$ (22,100,000)	\$ -	\$ -	\$ -	\$ -	\$ -			
		Grand Total Impact	\$ 675,277,612	\$ 1,075,263,109	\$ 1,832,559,311		\$ -	\$ 39,321,528	\$ 51,134,885	\$ 1,193,896,269	\$ 138,497,339	\$ 133,853,300	\$ 271,539,417	\$ 4,316,573			

State of Connecticut
Summary of Changes - FY 2021
General Fund and Special Transportation Fund
Projected to June 30, 2021
As of September 30, 2020
(In Millions)

General Fund

Balance from Operations - Prior Month		\$ (2,024.9)
Revenues		
Personal Income Tax - Withholding	50.0	
Personal Income Tax - Estimates and Finals	210.0	
Sales and Use Tax	90.7	
Pass-Through Entity Tax	85.0	
Real Estate Conveyance	40.0	
Federal Grants	22.4	
Refunds of Taxes	(50.0)	
All Other Changes (Net)	6.0	454.1
Expenditures		
Additional Requirements	(1.8)	
Estimated Lapses	311.0	
Miscellaneous Adjustments/Rounding	0.0	309.2
Operating Deficit - FY 2021		\$ (1,261.6)

Budget Reserve Fund

Fund Balance as of June 30, 2020		\$ 3,074.6
Deposit to SERS/TRS Pursuant to Sec. 4-30a, CGS	(61.6)	
Volatility Cap Deposit	0.0	
FY 2021 Est. Balance from Operations	(1,261.6)	(1,323.2)
Estimated Fund Balance - June 30, 2021		\$ 1,751.3
Fund Balance as Percentage of FY 2021 General Fund		8.7%

Special Transportation Fund

Fund Balance as of June 30, 2020		\$ 168.4
Balance from Operations - Prior Month		(65.9)
Revenues		
Sales and Use Tax	8.9	8.9
Expenditures		
Additional Requirements	(1.2)	
Estimated Lapses	3.0	
Miscellaneous Adjustments/Rounding	0.0	1.8
Estimated Fund Balance - June 30, 2021		\$ 113.2

State of Connecticut
General Fund
Statement of FY 2021 Revenues, Expenditures, and Results of Operations
Projected to June 30, 2021
As of September 30, 2020
(In Millions)

	General Assembly Budget Plan ¹	Revised Estimates OPM	Over/ (Under)
REVENUE			
Taxes	\$ 18,873.4	\$ 17,144.8	\$ (1,728.6)
Less: Refunds	(1,484.7)	(1,686.9)	(202.2)
Taxes - Net	\$ 17,388.7	\$ 15,457.9	\$ (1,930.8)
Other Revenue	1,345.1	1,299.7	(45.4)
Other Sources	1,518.7	1,753.0	234.3
TOTAL Revenue	\$ 20,252.5	\$ 18,510.6	\$ (1,741.9)
EXPENDITURES			
Initial Current Year Appropriations	\$ 20,395.7	\$ 20,395.7	\$ -
Prior Year Appropriations Continued to FY 2021 ²		139.0	139.0
TOTAL Initial and Continued Appropriations	\$ 20,395.7	\$ 20,534.7	\$ 139.0
Appropriation Adjustments	-	-	-
TOTAL Adjusted Appropriations	\$ 20,395.7	\$ 20,534.7	\$ 139.0
Net Additional Expenditure Requirements		146.0	146.0
Estimated Appropriations Lapsed	(309.4)	(769.5)	(460.1)
Estimated Appropriations to be Continued to FY 2022 ²		-	-
TOTAL Estimated Expenditures	\$ 20,086.3	\$ 19,911.2	\$ (175.1)
Net Change in Fund Balance - Continuing Appropriations		(139.0)	(139.0)
Miscellaneous Adjustments/Rounding		-	-
Net Change in Unassigned Fund Balance - 6/30/2021	\$ 166.2	\$ (1,261.6)	\$ (1,427.8)

1. . P.A. 19-117 as amended by P.A. 19-1, December Special Session. Note that CGS Sec. 2-33c limits appropriations in FY 2021 to 99.25% of General Fund revenue. As a result, the \$166.2 million budgeted surplus is comprised of \$151.1 million due to this 99.25% limitation, plus a \$15.1 million operating surplus.

2. CGS Sec. 4-89 and other statutory provisions.

State of Connecticut
General Fund
FY 2021 Revenue Estimates
Projected to June 30, 2021
As of September 30, 2020
(In Millions)

	General Assembly Budget Plan ^{1.}	Revised Estimates OPM	Over/ (Under)
TAXES			
Personal Income - Withholding	\$ 7,168.5	\$ 6,550.6	\$ (617.9)
Personal Income - Estimates and Finals	2,836.9	2,127.3	(709.6)
Sales and Use	4,588.4	4,206.7	(381.7)
Corporation	1,082.5	763.8	(318.7)
Pass-through Entity Tax	850.0	1,006.4	156.4
Public Service Corporations	244.7	267.6	22.9
Inheritance and Estate	146.3	211.7	65.4
Insurance Companies	205.8	213.9	8.1
Cigarettes	326.9	334.9	8.0
Real Estate Conveyance	230.6	256.1	25.5
Alcoholic Beverages	69.7	73.2	3.5
Admissions and Dues	41.5	34.3	(7.2)
Health Provider Tax	1,033.6	1,079.5	45.9
Miscellaneous	48.0	18.8	(29.2)
TOTAL - TAXES	\$ 18,873.4	\$ 17,144.8	\$(1,728.6)
Less: Refunds of Taxes	(1,378.9)	(1,579.1)	(200.2)
Earned Income Tax Credit	(100.6)	(100.6)	-
R & D Credit Exchange	(5.2)	(7.2)	(2.0)
TOTAL - TAXES - NET	\$ 17,388.7	\$ 15,457.9	\$(1,930.8)
OTHER REVENUE			
Transfers - Special Revenue	\$ 376.6	\$ 356.5	\$ (20.1)
Indian Gaming Payments	225.4	246.6	21.2
Licenses, Permits, Fees	384.3	338.8	(45.5)
Sales of Commodities and Services	31.0	26.8	(4.2)
Rents, Fines, Escheats	160.9	155.5	(5.4)
Investment Income	52.9	12.3	(40.6)
Miscellaneous	181.7	230.9	49.2
Refunds of Payments	(67.7)	(67.7)	-
TOTAL - OTHER REVENUE	\$ 1,345.1	\$ 1,299.7	\$ (45.4)
OTHER SOURCES			
Federal Grants	\$ 1,571.5	\$ 1,529.9	\$ (41.6)
Transfer from Tobacco Settlement Fund	114.5	114.5	-
Transfers From/(To) Other Funds	134.2	108.6	(25.6)
Transfers to BRF - Volatility Adjustment ^{2.}	(301.5)	-	301.5
TOTAL - OTHER SOURCES	\$ 1,518.7	\$ 1,753.0	\$ 234.3
TOTAL - GENERAL FUND REVENUE	\$ 20,252.5	\$ 18,510.6	\$(1,741.9)

1. Sec. 386 of P.A. 19-117 as amended by Sec. 8 of P.A. 19-1, December Special Session.

2. The volatility cap for FY 2021 is \$3,385.4 million. Total revenue from the Estimates and Finals portion of the Personal Income Tax and the Pass-through Entity Tax that exceeds the volatility cap will be deposited into the Budget Reserve Fund.

State of Connecticut - General Fund
FY 2021 Appropriation Adjustments - Net Additional Requirements
Projected to June 30, 2021
As of September 30, 2020

Department of Administrative Services	\$	1,600,000
Department of Economic and Community Development		10,417,791
Office of the Chief Medical Examiner		500,000
Department of Mental Health and Addiction Services		4,100,000
University of Connecticut Health Center		50,000,000
OSC - Miscellaneous (Adjudicated Claims)		10,000,000
OSC - Fringe Benefits		69,428,038
Total	\$	<u>146,045,829</u>

State of Connecticut
General Fund
Estimated FY 2021 Lapses
Projected to June 30, 2021
As of September 30, 2020

Unallocated Lapse	\$ 26,215,570
Unallocated Lapse - Judicial	5,000,000
Statewide Hiring Reduction - Executive	7,000,000
Contracting Savings Initiatives	15,000,000
Pension and Healthcare Savings (pension portion)	121,200,000
Pension and Healthcare Savings (healthcare portion)	135,000,000
Rescissions - October 1, 2020	25,290,909
Transfer Certain Public Health and Safety Expenses to CRF	100,000,000
Office of Legislative Management	3,000,000
Department of Consumer Protection	125,000
Department of Labor	395,717
Department of Public Health	850,000
Department of Social Services	256,231,102
Department of Aging and Disability Services	550,000
Department of Education	15,646,842
Office of Higher Education	100,000
Teachers' Retirement Board	3,549,000
Department of Children and Families	10,225,000
Judicial Department	5,401,000
Public Defender Services Commission	359,000
OTT - Debt Service	38,400,000
Total	<u>\$ 769,539,140</u>

State of Connecticut
FY 2021 General Fund
Monthly Summary of Operations
(In Millions)

	Budget Plan ¹	July 2020	August 2020	September 2020	October 2020	November 2020	December 2020	January 2021	February 2021	March 2021	April 2021	May 2021	June 2021
REVENUE	\$20,252.5	\$ 18,056.5	\$18,056.5	\$ 18,510.6									
Appropriations	20,395.7	20,395.7	20,395.7	20,395.7									
Additional Requirements	0.0	139.1	144.2	146.0									
Less: Estimated Lapses	(309.4)	(407.7)	(458.6)	(769.5)									
TOTAL - Estimated Expenditures	20,086.3	20,127.2	20,081.4	19,772.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Operating Balance	166.2	(2,070.7)	(2,024.9)	(1,261.6)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Misc. Adjustments/Rounding	0.0	0.0	0.0	0.0									
Est. Operating Balance - 6/30/21	\$166.2	(\$2,070.7)	(\$2,024.9)	(\$1,261.6)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0

1. P.A. 19-117 as amended by P.A. 19-1 of the December Special Session.

State of Connecticut
Special Transportation Fund
Analysis of FY 2021 Budget Plan
Projected to June 30, 2021
As of September 30, 2020
(In Millions)

	General Assembly <u>Budget Plan</u> ^{1.}	Revised Estimates <u>OPM</u>	Over/ <u>(Under)</u>
Fund Balance as of June 30, 2020	\$ 363.5	\$ 168.4	\$ (195.1)
REVENUE			
Taxes	\$ 1,375.5	\$ 1,197.4	\$ (178.1)
Less: Refunds of Taxes	<u>(15.0)</u>	<u>(15.0)</u>	<u>-</u>
Taxes - Net	1,360.5	1,182.4	(178.1)
Other Revenue	<u>520.3</u>	<u>514.8</u>	<u>(5.5)</u>
TOTAL - Revenue	\$ 1,880.8	\$ 1,697.2	\$ (183.6)
EXPENDITURES			
Appropriations	\$ 1,848.0	\$ 1,848.0	\$ -
Prior Year Appropriations Continued to FY 2021 ^{2.}		<u>31.8</u>	<u>31.8</u>
TOTAL Initial and Continued Appropriations	\$ 1,848.0	\$ 1,879.8	\$ 31.8
Appropriation Adjustments	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL Adjusted Appropriations	\$ 1,848.0	\$ 1,879.8	\$ 31.8
Net Additional Expenditure Requirements		1.2	1.2
Estimated Appropriations Lapsed	(31.7)	(96.8)	(65.1)
Estimated Appropriations to be Continued to FY 2022 ^{2.}		<u>-</u>	<u>-</u>
TOTAL Estimated Expenditures	\$ 1,816.3	\$ 1,784.2	\$ (32.1)
Net Change in Fund Balance - Continuing Appropriations		(31.8)	(31.8)
Miscellaneous Adjustments/Rounding		-	-
Net Change in Unassigned Fund Balance - FY 2021	\$ 64.5	\$ (55.2)	\$ (119.7)
Estimated Fund Balance - June 30, 2021	<u>\$ 428.0</u>	<u>\$ 113.2</u>	<u>\$ (314.8)</u>

1. P.A. 19-117. Note that CGS Sec. 2-33c limits appropriations in FY 2021 to 99.25% of Special Transportation Fund revenue. As a result, the \$64.5 million budgeted surplus is comprised of \$14.1 million due to this 99.25% limitation, plus a \$50.4 million operating surplus.

2. CGS Sec. 4-89 and other statutory provisions.

State of Connecticut
Special Transportation Fund
FY 2021 Revenue Estimates
Projected to June 30, 2021
As of September 30, 2020
(In Millions)

	General Assembly Budget Plan ¹	Revised Estimates OPM	Over/ (Under)
TAXES			
Motor Fuels	\$ 505.1	\$ 488.3	\$ (16.8)
Oil Companies	330.2	214.5	(115.7)
Sales & Use Tax	454.1	414.8	(39.3)
Sales Tax DMV	86.1	79.8	(6.3)
TOTAL - TAXES	<u>1,375.5</u>	<u>1,197.4</u>	<u>(178.1)</u>
Less: Refunds of Taxes	(15.0)	(15.0)	-
TOTAL - TAXES - NET	<u>\$ 1,360.5</u>	<u>\$ 1,182.4</u>	<u>\$ (178.1)</u>
OTHER REVENUE			
Motor Vehicle Receipts	\$ 305.9	\$ 342.9	\$ 37.0
Licenses, Permits, Fees	146.6	135.1	(11.5)
Interest Income	36.7	5.7	(31.0)
Federal Grants	11.8	11.8	-
Transfers (To)/From Other Funds	24.5	24.5	-
Refunds of Payments	(5.2)	(5.2)	-
TOTAL - OTHER REVENUE	<u>\$ 520.3</u>	<u>\$ 514.8</u>	<u>\$ (5.5)</u>
TOTAL - SPECIAL TRANSPORTATION FUND REVENUE	<u>\$ 1,880.8</u>	<u>\$ 1,697.2</u>	<u>\$ (183.6)</u>

1. Sec. 387 of P.A. 19-117, as adjusted by provisions of P.A. 19-165.

Statement 3T
October 20, 2020

State of Connecticut
Special Transportation Fund
FY 2021 Appropriation Adjustments - Net Additional Requirements
Projected to June 30, 2021
As of September 30, 2020

Department of Administrative Services	\$ 1,200,000
Total	<u>\$ 1,200,000</u>

Statement 4T
October 20, 2020

State of Connecticut
Special Transportation Fund
FY 2021 Estimated Lapses
Projected to June 30, 2021
As of September 30, 2020

Unallocated Lapse	\$	-
Pension and Healthcare Savings		19,700,000
Department of Motor Vehicles		3,000,000
OTT - Debt Service		74,100,000
Total	\$	<u>96,800,000</u>

State of Connecticut
FY 2021 Special Transportation Fund
Monthly Summary of Operations
(In Millions)

	Budget Plan ¹	July 2020	August 2020	September 2020	October 2020	November 2020	December 2020	January 2021	February 2021	March 2021	April 2021	May 2021	June 2021
Beginning Balance ²	\$ 363.5	\$ 169.0	\$ 168.4	\$ 168.4									
Revenue	<u>1,880.8</u>	<u>1,688.3</u>	<u>1,688.3</u>	<u>1,697.2</u>									
Total Available	2,244.3	1,857.3	1,856.7	1,865.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Appropriations	1,848.0	1,848.0	1,848.0	1,848.0									
Additional Requirements	0.0	0.0	0.0	1.2									
Less: Estimated Lapses	<u>(31.7)</u>	<u>(83.7)</u>	<u>(93.8)</u>	<u>(96.8)</u>									
TOTAL - Estimated Expenditures	1,816.3	1,764.3	1,754.2	1,752.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Operating Balance	64.5	(76.0)	(65.9)	(55.2)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Misc. Adjustments/Rounding	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>									
Estimated Operating Balance 6/30/20	\$428.0	\$93.0	\$102.5	\$113.2	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0

1. P.A. 19-117.

2. Budget plan and July as estimated by the Office of Policy and Management. August and thereafter based on OSC preliminary closing balance for FY 2020 from letter dated September 17, 2020.