



STATE OF CONNECTICUT

OFFICE OF POLICY AND MANAGEMENT

June 19, 2020

The Honorable Kevin Lembo
 State Comptroller
 55 Elm Street
 Hartford, Connecticut 06106

Dear Comptroller Lembo:

Section 4-66 of the General Statutes requires that my office provide information on the state's General Fund for Fiscal Year 2020. An analysis of the Special Transportation Fund is also provided here due to the significance of this fund.

Overview of Changes					
(in millions)					
	Budget (as Revised Dec. 2019)	May Estimate	June Estimate	Change in Estimate - June vs. May	June Est. Variance from Budget
General Fund					
Revenues	\$ 19,564.4	\$ 18,734.7	\$ 18,818.3	\$ 83.6	\$ (746.1)
Expenditures	19,423.3	19,354.6	19,263.0	(91.6)	(160.3)
Operating Results - Surplus/(Deficit)	\$ 141.1	\$ (619.9)	\$ (444.7)	\$ 175.2	\$ (585.8)
Budget Reserve Fund					
Deposit / (Withdrawal)	\$ 318.3	\$ (301.6)	\$ (126.4)	\$ 175.2	\$ (444.7)
Proj. Balance 6/30/20	\$ 2,823.8	\$ 2,203.9	\$ 2,379.1	\$ 175.2	\$ (444.7)
Special Transportation Fund					
Revenues	\$ 1,749.1	\$ 1,565.9	\$ 1,512.3	\$ (53.6)	\$ (236.8)
Expenditures	1,710.3	1,684.2	1,675.1	(9.1)	(35.2)
Operating Results - Surplus/(Deficit)	\$ 38.8	\$ (118.3)	\$ (162.8)	\$ (44.5)	\$ (201.6)
Proj. Fund Balance 6/30/20	\$ 358.9	\$ 201.8	\$ 157.4	\$ (44.4)	\$ (201.5)

General Fund

The adopted budget anticipated a \$141.1 million balance at year end. We are projecting an operating shortfall of \$444.7 million, an improvement of \$175.2 million from last month's forecast. The projected shortfall represents 2.3 percent of the General Fund. In response to the public health emergency declared March 10, 2020, by Governor Lamont, actions were taken to support the Connecticut economy and businesses, as well as to stabilize providers, services and programs at a time where demand for such programs is high. As a result, expenditure reductions and revenue policy changes to address the deficit would generally have been counter-productive to the pandemic response. By operation of existing state law, any year-end deficit will be addressed through a transfer from the Budget Reserve Fund when the Comptroller closes the books for fiscal year 2020.

The estimated rainy day fund balance at the start of FY 2020 is \$2.5 billion, or about 13.0% of net General Fund appropriations. After closing the anticipated FY 2020 deficit, reserves are expected to be about \$2.38 billion by the beginning of FY 2021, or 11.8% of net General Fund appropriations for that year. The projected Budget Reserve Fund balance at year end is depicted below.

Budget Reserve Fund	
	(in millions)
Estimated BRF Ending Balance - FY 2019 (OSC Est. 9/30/19)	\$ 2,505.5
Projected Operating Deficit - FY 2020 (OPM 06/19/20 Est.)	\$ (444.7)
Volatility Cap Deposit - FY 2020 (OPM 06/19/20 Est.)	<u>318.3</u>
Estimated BRF Ending Balance - FY 2020	\$ 2,379.1

Our estimates include anticipated state costs for the state’s pandemic response. The table attached to this letter outlines specific measures approved to date as part of that response.

Revenues

Projected revenues in the General Fund have been revised upward by \$83.6 million compared to last month’s estimate. Fewer tax refunds have been issued given the various extensions to tax due dates and therefore the Refunds of Taxes forecast has been reduced by \$116.0 million. These refunds are now projected to be issued early in FY 2021 as tax filings increase as we approach the extended filing date of July 15, 2020. Federal Grants has been revised upward by \$90.9 million as federal reimbursement for the outpatient component of hospital supplemental payments has been approved and will be received in FY 2020 rather than shifting to FY 2021 as assumed in the April consensus estimate. Inheritance and Estate Tax revenues have been revised downward by \$50.0 million due to the extension of the filing due date to July 15, 2020. This revenue is now projected to be received in FY 2021. Real Estate Conveyance taxes have been revised downward by \$50.0 million given the reduced level of completed transactions in the months of April and May. We do not believe that this reflects underlying weakness in the housing market, but rather temporarily reduced activity induced by the various shut-down orders. Licenses, Permits and Fees have been reduced by \$20.0 million due primarily to reduced court fees and other recording fees, again due to the various shut-down orders. All other revenue changes net to a negative \$3.3 million.

Although most of the tax due date extensions that have been implemented by the Internal Revenue Service and the Department of Revenue Services to assist filers impacted by the pandemic currently fall within the existing statutory revenue accrual period for this fiscal year, previous monthly revenue forecasts had assumed certain statutory tax accrual language would have been legislatively modified prior to the end of the fiscal year to address tax refunds and Inheritance and Estate Taxes. As such action has not been undertaken, the above changes to revenue projections reflects existing law concerning tax accruals.

As noted in previous months, the remittance deferrals announced by the Internal Revenue Service and DRS to assist tax filers impacted by the pandemic hamper our ability to forecast many tax revenue sources, including Personal Income Tax collections and associated refunds. Additionally, our ability to forecast the balance in the Budget Reserve Fund is hampered due to delays in the Estimates and Finals component of the Personal Income Tax and the Pass-Through Entity Tax which are the components of the volatility cap. The adequacy of the Budget Reserve Fund will be critical for any necessary long-term recovery of state finances; the rapid deterioration of the BRF during the last recession necessitated years of budget cuts and tax increases.

Expenditures

We estimate net expenditures will, in aggregate, be below the amended budget plan by \$160.3 million, an improvement of \$91.6 million from last month's estimate. Our estimates reflect the impact of all transfers approved June 4, 2020, by the Finance Advisory Committee, as well as an estimated \$50.0 million in unappropriated expenditures for Adjudicated Claims. Existing law identifies the resources of the General Fund as the source for these claims payments. Statement 4, attached, lists estimated net lapses by agency as well as any funds held back from allotment as part of the budget plan.

The sole deficiency reported this month is in the Department of Correction. Due to the failure to enact deficiency appropriations for 2020, payroll expenditures totaling \$21.1 million are unsupported by appropriations. Given the legal and contractual obligation to honor payroll costs, this Personal Services deficiency will be unresolved at year end and will contribute to the overall General Fund shortfall to be addressed by transfer from the Budget Reserve Fund.

Additionally, the failure to enact deficiency appropriations for FY 2020 has resulted in two agencies delaying payment of bills until FY 2021, effectively shifting a portion of the FY 2020 deficiencies reported last month into the next fiscal year and artificially improving FY 2020 balance. We anticipate the following FY 2020 shortfalls totaling \$11.5 will now affect estimated FY 2021 spending:

- Department of Economic and Community Development. Current year bills totaling \$4,088,074 in the Capital Region Development Authority account will be paid in FY 2021. Event cancellations due to the COVID-19 pandemic have impacted attendance and associated revenues at the Pratt and Whitney Stadium at Rentschler Field, the XL Center and the CT Convention Center.
- Department of Mental Health and Addiction Services. Current year bills totaling \$7.4 million will be paid in July. This includes \$2.1 million in Other Expenses due largely to various facility maintenance and repair costs and increased software licensing costs, \$3.5 million in the Professional Services account for contracted medical services including contracted psychiatrists, and \$1.8 million in medical costs in the Workers' Compensation Claims account.

Special Transportation Fund

The adopted budget anticipated a \$38.8 million balance from operations, while we project a \$162.8 million operating deficit by year end, an increase of \$44.5 million from last month due to revised revenue estimates as discussed further below. We project that the Transportation Fund balance on June 30, 2020, will be \$157.4 million.

Revenues

Projected revenues have been reduced by a \$53.6 million compared to last month's estimate. Motor Vehicle Receipts have been revised downward by \$17.0 million due to extensions granted to renewals of drivers' licenses and motor vehicle registrations. Licenses, Permits, and Fees revenue has been reduced by \$14.0 million due primarily to reduced receipt of court fees. Motor Fuels Tax has been reduced by \$10 million due to the expectation that recovery in gasoline consumption will be somewhat slower than originally anticipated. Sales Tax-DMV has been reduced by \$10.0 million due to reduced private party automobile sales during the height of the shut-down orders. All other changes equate to a negative \$2.6 million.

Expenditures

Estimated expenditures are projected to be \$35.2 million below the budget plan, an improvement of \$9.1 million from last month's estimate. No remaining shortfalls are projected, although as a result of the failure to adopt deficiency appropriations for FY 2020, the Department of Transportation will be forced to hold

approximately \$6.3 million in Rail Operations payments until next year, artificially decreasing the FY 2020 deficit and increasing FY 2021 expenditure requirements. Statement 4T attached, lists estimated net lapses by agency as well as any funds held back from allotment as part of the budget plan.

Other Appropriated Funds

While Sec. 4-66, CGS, does not require that we provide analyses of other appropriated funds, we offer the following information about the status of the Tourism Fund. The fund's revenue source is the Hotel Occupancy Tax, which has underperformed as a result of the pandemic's impact on the hospitality industry. As a result, expenditures from the fund will exceed available revenues by approximately \$245,000. When added to the negative fund balance of \$2.5 million at the end of FY 2019, we anticipate the Tourism Fund will end FY 2020 with a \$2.75 million negative fund balance, and that could double to \$5.4 million by the end of FY 2021.

While the foregoing information represents the best estimate that can be made at this time, accounting adjustments made as part of the upcoming year-end closing process will affect the results reported here. Our forecast next month will be the last estimate of operating results before the books are officially closed for FY 2020.

Sincerely,



Melissa McCaw
Secretary

Attachments:

- COVID Responses – Budget Impact
- Summary Statements, FY 2020 Revenue and Expenditures

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)				Funding Source							Notes				
Agency	Item	Gross Cost 2020	SFY 2020	Gross Cost 2021	SFY 2021	Gross Total	Status	Unassigned	State 2020	SFY 2020	State 2021	SFY 2021	Federal - CRF		Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy
1. DSS60000	Suspend Medicaid eligibility discontinuances	\$ 6,100,000		\$ 26,700,000		\$ 32,800,000	Approved		\$ 1,700,000		\$ 8,200,000				\$ 22,900,000			State share @ enhanced FMAP (+6.2%). Approved for 4 months (through July)
2. DSS60000	Provide uninsured persons with coverage for COVID-19 - Citizens	\$ 12,600,000		\$ 6,300,000		\$ 18,900,000	Approved		\$ 9,600,000		\$ 4,800,000				\$ 4,500,000			\$4.5m of gross costs are 100% federally funded; balance is 100% state funded. Note: DSS is on hold, pending further federal guidance
3. DSS60000	Provide uninsured persons with coverage for COVID-19 - Non-Citizens	\$ 5,900,000		\$ 3,000,000		\$ 8,900,000	Approved		\$ 2,700,000		\$ 1,400,000				\$ 4,800,000			Assumes majority of costs will be reimbursable at 56.2%
4. DSS60000	Waive HUSKY B copays	\$ 340,000		\$ -		\$ 340,000	Approved		\$ 80,000		\$ -					\$ 260,000		
5. DSS60000	Waive Medicare Part D (Rx) copays for dually eligible population	\$ 100,000		\$ -		\$ 100,000	Approved		\$ 100,000		\$ -							
6. DSS60000	Suspend cash assistance discontinuances (TFA, State Supplement, SAGA)	\$ 1,200,000		\$ -		\$ 1,200,000	Approved		\$ 1,200,000		\$ -							
7. DSS60000	Suspend 21 month time limit for TFA/JFES	\$ 190,000		\$ 770,000		\$ 960,000	Approved		\$ 190,000		\$ 770,000							Approved for 3 months (Note: Suspension of time limit impacts both FY 21 and FY 22, with add'l costs of \$570k in FY 22)
8. DSS60000	Provide additional flexibilities under home and community-based services waivers	\$ 870,000		\$ 430,000		\$ 1,300,000	Approved		\$ 360,000		\$ 250,000				\$ 690,000			
9. DSS60000	Provide temporary relief funding for nursing homes and a COVID-specific grant equivalent to \$600 per bed per day for facilities exclusively serving patients with COVID-19	\$ 61,800,000		\$ (7,900,000)		\$ 53,900,000	Approved		\$ 22,800,000		\$ (29,200,000)		\$ 66,900,000		\$ (6,600,000)			Reflects Medicaid rate increase of 10% for March and April (and Medicare cost shift); CRF funding for balance
10. DSS60000	Provide hardship grants to nursing homes facing a substantial deterioration in their finances, which could adversely affect resident care and the continued operation of the facility	\$ -		\$ 56,800,000		\$ 56,800,000	Approved						\$ 56,800,000					Preliminary estimate - likely to be lower depending on the level of additional stimulus funding, additional Medicare billing and/or other assistance received
11. DSS60000	Provide interim payments to FQHCs to assist with cash flow	\$ 6,000,000		\$ (6,000,000)		\$ -	Approved		\$ 6,000,000		\$ (6,000,000)							Advances will be recouped in FY 21
12. DSS60000	Provide pandemic rate increase for residential care homes (RCHs)	\$ 980,000		\$ -		\$ 980,000	Approved		\$ 980,000		\$ -							Includes interim payment in April to be recouped in FY 21. Approved for 3 months.
13. DSS60000	Provide pandemic rate increase for private intermediate care facilities (ICF/IDs)	\$ 1,640,000		\$ -		\$ 1,640,000	Approved		\$ 1,020,000		\$ (270,000)				\$ 890,000			Includes interim payment in April to be recouped in FY 21. Approved for 3 months.
14. DSS60000	Use DSS' non-emergency medical transportation vendor (Veyo) to assist with meals-on-wheels deliveries		TBD		TBD	\$ -	Approved		TBD		TBD							
15. DSS60000	Expand service array under Community First Choice to include agency-based PCAs	\$ 31,500		\$ 15,800		\$ 47,300	Approved		\$ 11,000		\$ 8,000				\$ 28,300			
16. DSS60000	Provide 90-day supply for most prescription drugs and more flexible pharmacy early refill	\$ -		\$ -		\$ -	Approved		\$ -		\$ -							Fiscal impact expected to be minimal
17. DSS60000	Waive SNAP requirement for face-to-face interviews	\$ -		\$ -		\$ -	Approved		\$ -		\$ -							No state cost--federally funded program. Federal approval received
18. DSS60000	Waive SNAP requirements - extend certification periods by 90 days, suspend collection of most SNAP overpayments, issue supplemental benefits to all existing SNAP households	\$ -		\$ -		\$ -	Approved		\$ -		\$ -							No state cost--federally funded program. Federal approval pending
19. DSS60000	Waive TFA requirement for face-to-face interviews and assessments	\$ -		\$ -		\$ -	Approved		\$ -		\$ -							No additional cost anticipated
20. DSS60000	Expand telehealth under Medicaid	\$ -		\$ -		\$ -	Approved		\$ -		\$ -							No additional cost anticipated
21. DSS60000	Provide additional flexibilities under section 1135 waiver authority	\$ -		\$ -		\$ -	Approved		\$ -		\$ -							No additional cost anticipated
22. DSS60000	Provide additional flexibilities to home care recipients of 1915(i) state plan services	\$ -		\$ -		\$ -	Approved		\$ -		\$ -							No additional cost anticipated
23. DSS60000	Provide interim payments to home health agencies to assist with cash flow	\$ -		\$ -		\$ -	Approved		\$ -		\$ -							Payments will be recouped in FY 20
24. DSS60000	Expedite Medicaid payments to hospitals when possible	\$ -		\$ -		\$ -	Approved		\$ -		\$ -							
25. DSS60000	Support acute care hospitals with COVID-related costs	\$ 5,300,000		\$ 900,000		\$ 6,200,000	Approved		\$ 1,700,000		\$ 300,000				\$ 4,200,000			Reflects temporary 20% DRG add-on for COVID-related diagnoses
26. DSS60000	Provide relief funding for Connecticut Children's Medical Center	\$ 16,300,000		\$ -		\$ 16,300,000	Approved						\$ 16,300,000					
27. DSS60000	Provide relief funding for chronic disease hospitals	\$ -		\$ 1,869,636		\$ 1,869,636	Approved						\$ 1,869,636					Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10%
28. DSS60000	Provide temporary per diem rate of \$1,500 for Hospital for Special Care's COVID recovery unit	\$ -		\$ 380,000		\$ 380,000	Approved						\$ 380,000					Reflects additional per diem costs after factoring in the 10% increase for chronic disease hospitals noted above
29. DSS60000	Provide relief funding for licensed behavioral health outpatient clinics	\$ -		\$ 2,651,741		\$ 2,651,741	Approved						\$ 2,651,741					Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10% on telemedicine and telephone services
30. DSS60000	Provide relief funding for private psychiatric residential treatment facilities (PRTFs) for children	\$ -		\$ 420,789		\$ 420,789	Approved						\$ 420,789					Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 20%
31. DSS60000	Provide relief funding for substance abuse residential detox providers	\$ -		\$ 789,615		\$ 789,615	Approved						\$ 789,615					Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 20%
32. DSS60000	Provide relief funding for other clinicians providing behavioral health/substance use disorder treatment and autism services	\$ -		\$ 3,203,660		\$ 3,203,660	Approved						\$ 3,203,660					Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10% on telemedicine and telephone services
33. DSS60000	Provide relief funding for methadone maintenance providers	\$ -		\$ 1,494,205		\$ 1,494,205	Approved						\$ 1,494,205					Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10%
34. DSS60000	Provide relief funding for home health providers	\$ -		\$ 4,999,212		\$ 4,999,212	Approved						\$ 4,999,212					Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10%
35. DSS60000	Provide relief funding for waiver service providers	\$ -		\$ 13,393,397		\$ 13,393,397	Approved						\$ 13,393,397					Reflects grant funding that generally approximates the value of a temporary Medicaid rate increase of 10%
36. DSS60000	Distribute PPE for self-directed workers under Community First Choice	\$ 130,000		\$ 130,000		\$ 260,000	Approved						\$ 260,000					Costs could be lower depending on model and length of time; estimate assumes 10 weeks
37. DSS60000	Provide relief funding for Community First Choice providers	\$ -		\$ 1,870,000		\$ 1,870,000	Approved						\$ 1,870,000					Reflects grant funding that generally approximates the value of a temporary rate increase of 5% for self-directed workers
38. DDS50000	Provide relief funding for self-directed workers under DDS' programs	\$ -		\$ 800,000		\$ 800,000	Approved						\$ 800,000					Reflects grant funding that generally approximates the value of a temporary rate increase of 5% for self-directed workers

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)				Funding Source										Notes	
Agency	Item	Gross Cost 2020	SFY 2021	Gross Cost 2021	SFY	Gross Total	Status	Unassigned	State		State		Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes
									2020	SFY	2021	SFY						
39. DPH48500	School-Based Health Centers – no kids will be getting services while schools are closed, but staff need to be paid	\$ -		\$ -		\$ -	Approved			\$ -								No additional cost anticipated
40. MHAS3000	Allowable costs for expenses vs. returning the payments because services were not delivered	\$ -		\$ -		\$ -	Approved			\$ -								No additional cost anticipated
41. DCF91000	Allowable costs for expenses vs. returning the payments because services were not delivered	\$ -		\$ -		\$ -	Approved			\$ -								No additional cost anticipated
42. DCF91000	Foster care - expanded day care opportunities if both foster parents work			\$ -		\$ -	Approved											Some cost expected, not anticipated to be significant based on experience to date
43. DCF91000	Staff residential programs for children in Group Homes during the day due to school closures	\$ 75,000		\$ 75,000		\$ 75,000	Approved			\$ 75,000								\$5,000 per week, assumes 15 weeks
44. OEC64800	Daycare outside of hospitals	\$ 3,550,000		\$ 3,550,000		\$ 3,550,000	Approved			\$ 550,000							\$ 3,000,000	Philanthropy covered costs up to \$3M, though May. The costs of \$750,000 for June are funded 75% by FEMA and 25% by CRF funds. UPDATED - No CRF allocated, agency is using \$550,000 from their Care4Kids TANF account to cover costs.
45. OEC64800	Suspend collection of family fees - Birth to Three program	\$ 375,000		\$ 375,000		\$ 375,000	Approved			\$ 375,000								Assumes 3 months
46. OEC64800	Childcare for frontline workers	\$ 10,000,000		\$ 10,000,000		\$ 10,000,000	Approved									\$ 10,000,000		Cap at \$10M, for six weeks with limit at 85% SMI. Source: CDCBG, PL 116-136 Division B Title VIII... Take rate much lower than anticipated. Costs like to be \$3M
47. DOH46900	Homeless shelter decompression initiative	\$ 7,500,000		\$ 7,500,000		\$ 7,500,000	Approved					\$ 1,875,000	\$ 5,625,000					Assumes 3 months. FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.
48. DSS60000	Domestic violence shelter decompression	\$ 660,000		\$ 660,000		\$ 660,000	Approved					\$ 165,000	\$ 495,000					Assumes 3 months. FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.
49. BOR77700	Student Refunds, Online Conversion Costs and Donated Equipment	\$ 27,291,079		\$ 27,291,079		\$ 27,291,079	Approved									\$ 27,291,079		CARES funding (HEER) received directly by the agency. Does not include revenue losses.
50. BOR77700	Online Conversion Costs, PPE/Supplies, Facility Costs, and Donated Equipment	\$ 2,151,055		\$ 2,151,055		\$ 2,151,055	Approved					\$ 2,151,055						Does not include revenue losses. Cost updated to reflect most recent data from BOR.
51. UOC67000	Student refunds (housing, dining, parking)	\$ 10,750,423		\$ 10,750,423		\$ 10,750,423	Approved									\$ 10,750,423		HEER. Does not include projected FY21 revenue loss at UConn and UConn Health.
52. CME49500	Refrigerated space for decedent remains	\$ 16,000		\$ 16,000		\$ 16,000	Approved					\$ 16,000						
53. DOC88000	Central purchase of Personal Protective Equipment and other supplies	\$ 125,000,000		\$ 125,000,000		\$ 125,000,000	Approved					\$ 31,250,000	\$ 93,750,000					FEMA has approved reimbursement @ 75%. White House and Treasury confirm CRF can be used for FEMA match.
54. MIL36000	State Active Duty to staff the Emergency Operations Center	\$ 171,000		\$ 171,000		\$ 171,000	Approved					\$ 171,000						
55. DPH48500	CDC Cooperative Agreement for Emergency Response: Public Health Crisis Response	\$ 9,309,998	\$ -	\$ 9,309,998		\$ 9,309,998	Approved									\$ 9,309,998		State and local Covid 19 response activities will be reimbursed from federal emergency supplemental funding; \$2.5m will be utilized to reimburse local health authorities.
56. DPH48500	Epidemiology and Laboratory Capacity	\$ 8,342,523	\$ -	\$ 8,342,523		\$ 8,342,523	Approved									\$ 8,342,523		Supplements pre-existing grant under the Epidemiology and Laboratory Capacity Cooperative Agreement (\$4,075,639). To support DPH Covid19 direct costs in areas of State Public Health Laboratory, Epidemiology, Healthcare Associated Infections
57. DPH48500	Hospital preparedness	\$ 734,403	\$ -	\$ 734,403		\$ 734,403	Approved									\$ 734,403		National Bioterrorism Hospital Preparedness Program. \$186,440 each for federally designated Special Pathogen Treatment Centers @ YHHH and HH. Balance for DPH direct costs and other hospital needs.
58. DPH48500	Emerging Infections Program	\$ 2,600,000		\$ 2,600,000		\$ 2,600,000	Approved									\$ 2,600,000		To enhance infectious disease surveillance activities. \$2.3m will go to Yale School of PH, \$0.3m to DPH
59. DAS23000	Architectural support for hospital capacity expansion	\$ 90,000		\$ 90,000		\$ 90,000	Approved					\$ 90,000						
60. DAS23000	IT support for teleworking (VPN capacity, etc.)	\$ 154,000		\$ 154,000		\$ 154,000	Approved					\$ 154,000						
61. DAS23000	Cleaning and other facility costs	\$ 1,100,000		\$ 1,100,000		\$ 1,100,000	Approved					\$ 1,100,000						
62. SOS12500	Cost of publishing various Executive Orders	\$ 305,000		\$ 305,000		\$ 305,000	Approved					\$ 305,000						
63. DCP39500	Waive casino payment of regulatory costs during closure	\$ 584,126		\$ 584,126		\$ 584,126	Approved			\$ 584,126								GF will pay the full payroll and fringe benefit costs for six pay periods through end of FY 20 (March 26th - June 18th payrolls), as well as indirect costs and OE, due to agreement with casinos to defer true-up of costs until the August 2020 assessment.
64. DPS32000	Use of interpreters during the Governor's pandemic response briefings	\$ 38,000	\$ -	\$ 38,000		\$ 38,000	Approved					\$ 38,000						
65. JUD95000	JUD - 1 - PPE, cleaning and disinfecting, and other response costs	\$ 1,540,000		\$ 1,540,000		\$ 1,540,000	Approved					\$ 1,540,000						
66. DVA21000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 235,000	\$ -	\$ 235,000		\$ 235,000	Approved					\$ 235,000						
67. DPS32000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 1,400,000		\$ 1,400,000		\$ 1,400,000	Approved					\$ 1,400,000						
68. DDS50000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 1,700,000		\$ 1,700,000		\$ 1,700,000	Approved					\$ 1,700,000						
69. MHAS3000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 2,000,000		\$ 2,000,000		\$ 2,000,000	Approved					\$ 2,000,000						
70. DOC88000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 6,000,000		\$ 6,000,000		\$ 6,000,000	Approved					\$ 6,000,000						

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)				Funding Source										Notes	
Agency	Item	Gross Cost 2020	SFY 2021	Gross Cost 2021	SFY	Gross Total	Status	Unassigned	State		State		Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes
									2020	SFY	2021	SFY						
71. DCF91000	Procure housing for certain Level 1 Constant employees of 24/7 agencies to enable physical distancing with those with whom they share living arrangements	\$ 500,000		\$ 500,000		\$ 500,000	Approved						\$ 500,000					
72. OEC64800	Provide technical support and resources to family day care providers	\$ 1,316,573		\$ 1,316,573		\$ 1,316,573	Approved										\$ 1,316,573	Administered through EdAdvance
73. OEC64800	Suspend Birth-to-Three Age Outs at 36 months and continue services through June	\$ 180,000		\$ 180,000		\$ 180,000	Approved		\$ 180,000									Approved for April, May and June
74. DSS60000	Suspend Birth-to-Three Age Outs at 36 months and continue services	\$ 180,000	\$ 90,000	\$ 270,000		\$ 270,000	Approved		\$ 80,000	\$ 45,000				\$ 145,000				Approved for April - June. State share @ enhanced FMAP (+6.2%) for payments made in May and June (for service months of April and May) Source: CARES Act. Must be used for services, activities, and supplies needed to prevent or minimize the impact of COVID-19 on RWHAP clients.
75. DPH48500	Ryan White HIV/AIDS Program Part B COVID19 Response	\$ 203,981		\$ 203,981		\$ 203,981	Approved									\$ 203,981		Approved if within existing agency CEFP allocations and as part of normal equipment refresh.
76. Var	State agency purchase of laptops and other equipment to facilitate telework			\$ -		\$ -	Approved in part											
77. DOH46900	Case management for Danbury shelter clients I hotels	\$ 150,000		\$ 150,000		\$ 150,000	Approved						\$ 150,000					
78. DPH48500	Enhanced monitoring in nursing homes	\$ 2,800,000		\$ 2,800,000		\$ 2,800,000	Approved									\$ 2,800,000		OT for existing nurse consultant staff, TWRs and potential contract with a nursing staffing agency. DPH pursuing CMS CARES grant to defray costs.
79. OSC15000	COVID-19 Testing	\$ 60,000,000	\$ 90,000,000	\$ 150,000,000		\$ 150,000,000	Approved						\$ 150,000,000					Placeholder of \$15m per month = 10,000 tests/day * \$50 * 30 days. Amount is in addition to \$182 million in other federal funds (4th supplemental bill) awarded to CT for testing.
80. DPH48500	Contact Tracing Solution (IT)	\$ 1,000,000		\$ 1,000,000		\$ 1,000,000	Approved						\$ 1,000,000					Assumed most of \$1 m full year cost will be incurred in May. IT solution to support widespread contact tracing of COVID infected individuals and contacts.
81. DAS23000	Consulting - Reopen CT strategy, analysis, recommendations, PM	\$ 2,000,000		\$ 2,000,000		\$ 2,000,000	Approved						\$ 2,000,000					Incurred in May and June
82. CRD47200	Sanitizers, dispensers, face masks and carpet protection	\$ 19,822		\$ 19,822		\$ 19,822	Approved						\$ 19,822					
83. ECD46000	ReOpenCT - Surveys to determine when to open CT	\$ 60,000		\$ 60,000		\$ 60,000	Approved						\$ 60,000					Agency believes this may be FEMA reimbursable
84. DOH46900	Provide Hotel Oversight through Seasonal Shelter	\$ 64,874		\$ 64,874		\$ 64,874	Approved						\$ 64,874					Agency believes this may be FEMA reimbursable
85. DOL40000	Various items, plexiglass, cleaning supplies, laptops, printing and staff costs	\$ 2,701,802		\$ 2,701,802		\$ 2,701,802	Approved						\$ 2,701,802					
86. HRO41100	Technological needs, PPE	\$ 49,027		\$ 49,027		\$ 49,027	Approved						\$ 49,027					
87. DOC88000	PPE for staff and inmates/Cleaning Supplies and Equipment/Food	\$ 3,118,005		\$ 3,118,005		\$ 3,118,005	Approved						\$ 3,118,005					Agency has cleaned facilities day and night, purchased fogger machines. PPE for staff and inmates. Food now brought to inmates cells, prepackaged and no longer chow setting.
88. DOC88000	Temporary Staffing Due to Staff Shortages	\$ 1,334,305		\$ 1,334,305		\$ 1,334,305	Approved						\$ 1,334,305					Medical staffing needed due to staff shortages
89. DOC88000	Overtime Incurred to Date	\$ 1,094,947		\$ 1,094,947		\$ 1,094,947	Approved						\$ 1,094,947					Overtime related to having to open wings of northern to serve as COVID isolation units. OT related to staff shortages when staff use their 14 days
90. DAS23000	Rental Costs for Refrigerated Trailers to Serve as Morgues	\$ 238,000		\$ 238,000		\$ 238,000	Approved						\$ 238,000					
91. DVA21000	Equipment, supplies, and additional staff support	\$ 461,399	\$ 645,878	\$ 1,107,277		\$ 1,107,277	Approved						\$ 1,107,277					
92. MIL36000	Mobile Field Hospital Operations - State Active Duty	\$ 7,817		\$ 7,817		\$ 7,817	Approved						\$ 7,817					Weekly spot checks, repair and maintenance and retrograde of the four mobile field hospital setups at St. Francis Hospital, Middlesex Hospital, Danbury Hospital & Sharon Hospital. Was reduced from \$67,100.
93. MIL36000	Task Force Medical - State Active Duty	\$ 141,000		\$ 141,000		\$ 141,000	Approved						\$ 141,000					20 personnel will be assigned duties at Stamford Hospital to assist federal and state military personnel conducting medical operations for 30 days.
94. MIL36000	Task Force Medical - Hotel Lodging	\$ 2,000		\$ 2,000		\$ 2,000	Approved						\$ 2,000					Lodging for 20 personnel for 30 days - was approved at \$36,000, only needed \$2,000
95. SOS12500	Funding for newspaper posting of additional executive orders	\$ 62,278		\$ 62,278		\$ 62,278	Approved						\$ 62,278					
96. DCF91000	Per Diem Rate Based Residential Programs	\$ 2,887,500		\$ 2,887,500		\$ 2,887,500	Approved						\$ 2,887,500					
97. DCF91000	Group Homes	\$ 772,039		\$ 772,039		\$ 772,039	Approved						\$ 772,039					
98. DCF91000	Other Congregate Care	\$ 425,746		\$ 425,746		\$ 425,746	Approved						\$ 425,746					
99. DCF91000	School of Origin Transportation	\$ -		\$ -		\$ -	Approved		\$ -									Financial support during school closure to ensure service network is maintained. Within current budget.
100. DCF91000	After School Programs	\$ -		\$ -		\$ -	Approved		\$ -									Financial support during school closure to ensure service network is maintained. Within current budget.
101. MHAS3000	CVH Surge Capacity at 60 West	\$ 287,778		\$ 287,778		\$ 287,778	Approved						\$ 287,778					DMHAS (CVH) will be using 60 West as surge capacity to care for COVID pos patients until they are no longer positive and can go back to their units at CVH. Financial estimate assumes 20 patients for 30 days.
102. DOH46900	Coordinated Access Network - Statewide Shelter Support	\$ 2,918,535	\$ -	\$ 2,918,535		\$ 2,918,535	Approved						\$ 2,918,535					This request includes approximately \$800,000 of hazard pay for CAN/Shelter staff.

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)				Funding Source							Notes				
Agency	Item	Gross Cost 2020	SFY 2020	Gross Cost 2021	SFY 2021	Gross Total	Status	Unassigned	State 2020	SFY 2020	State 2021	SFY 2021	Federal - CRF		Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy
103.	DDS50000	Deep cleaning costs for private provider residential programs	\$ 1,280,000			\$ 1,280,000	Approved						\$ 1,280,000					Estimated costs for providers to deep clean group homes once an individual has tested positive for COVID-19.
104.	DDS50000	Deep cleaning costs for DDS facilities	\$ 722,090			\$ 722,090	Approved						\$ 722,090					Cleaning costs for the state operated regional centers, Southbury Training School and group homes after an individual working in such location has been indentified with COVID-19.
105.	DDS50000	Overtime and temp hiring to ensure staff coverage	\$ 3,000,379			\$ 3,000,379	Approved						\$ 3,000,379					OT costs and approximately 160 temporary DSW, LPN and RN positions to ensure continued coverage of public facilities.
106.	JUD95000	JUD - 3 - PPE, cleaning and disinfecting, technology, and other response costs	\$ 200,609			\$ 200,609	Approved						\$ 200,609					Virtual Desktops (Firewall Security), Call Center PC's, Printers, Equipment, and Call Management Software. (Net adjustment over first request - includes 3rd submitted request.)
107.	DOT57000	DOT - 1 - Staff Overtime	\$ 139,689	\$ -		\$ 139,689	Approved						\$ 139,689					Responsibilities including implementing telework agency-wide, procuring and distributing employee PPE, additional coordination with business partners including transit, rail, contractors, etc., to continue maintaining essential business functions; and deep cleaning costs. Includes funding for Over-The-Cap Overtime Request
108.	DOT57000	DOT - 1 - Materials & Supplies for Sanitizing Agency Facilities	\$ 808,749	\$ -		\$ 808,749	Approved						\$ 808,749					Sanitizing of 72 maintenance facilities & central office.
109.	DOT57000	DOT - 1 - Back-To-Work Office Equipment / Maintainer Training	\$ 84,302	\$ -		\$ 84,302	Approved						\$ 84,302					Recommending funding for headsets to utilize more teleconferencing when staff returns to the office. Not recommending (and not including here) funding for webcams.
110.	MHAS3000	MH Residential (includes Intensive, Transitional, Group Homes, ABI Community Residence, Respite, IP IMD, Supervised Housing, Shelters)	\$ 3,778,800			\$ 3,778,800	Approved						\$ 3,778,800					24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
111.	MHAS3000	Substance Abuse Medically Monitored Residential Detox	\$ 123,250			\$ 123,250	Approved						\$ 123,250					24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE, and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
112.	MHAS3000	Substance Abuse Residential Treatment (Intensive, Intermediate, Long Term, Recovery Housing)	\$ 2,114,250			\$ 2,114,250	Approved						\$ 2,114,250					24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
113.	MHAS3000	Young Adult Services	\$ 1,740,000			\$ 1,740,000	Approved						\$ 1,740,000					24/7 services. Providers continue to pay staff not able to work due to quarantine/illness while paying overtime, hazardous duty rates, and/or hiring temporary workers for coverage and safety. In addition, providers have incurred non-personnel costs for setup of isolation beds, PPE and additional cleaning supplies and services. Financial losses put services at risk and could result in more expensive hospitalizations.
114.	MHAS3000	Community Services (including Outpatient, IOP, MMT, ACT, CSP, Case Management, BHJ, Employment, Jail Diversion, Outreach, Crisis, Residential Support, Supervised Housing, Pre-Trial Education, etc.)	\$ 3,852,225			\$ 3,852,225	Approved						\$ 3,852,225					Providers have incurred costs including hazardous duty, purchase of telehealth/telework equipment and licenses, PPE, and cleaning services. Additionally providers continue to pay staff not able to work due to quarantine/illness while paying overtime or temporary workers.
115.	OSC15000	Request for funding for COVID-19 related expenses support teleworking	\$ 70,653			\$ 70,653	Approved						\$ 70,653					50 laptops, minor telecommuting IT related expenses and cleaning supplies.
116.	DMV35000	Funding for installation of an appointment system to control the traffic flow of customers and to maintain social distancing	\$ 150,000	\$ -		\$ 150,000	Approved						\$ 150,000					
117.	DMV35000	Funds to install sneeze guards throughout the branches and testing centers	\$ 125,000	\$ -		\$ 125,000	Approved						\$ 125,000					This will help prevent the spread of serious illnesses and will assist in maintaining the health of customer facing employees.
118.	DMV35000	Funding for the cleaning and disinfecting of branches	\$ 447,000	\$ 1,118,098		\$ 1,565,098	Approved						\$ 1,565,098					Due to the virus, the Agency is now completing nightly deep cleaning along with a weekly disinfecting spray of branches that are being utilized by staff and/or public. SFY 21 estimate is through 12/30/2020.
119.	DOI37500	Implement remote call center for Consumer Affairs + Deep Cleaning Costs	\$ 16,694			\$ 16,694	Approved						\$ 16,694					
120.	DPS32000	Funds for deep cleaning supplies (sanitizers, disinfectant, etc.) and PPE (gloves, N-95s, masks, infrared thermometers, face shields, decontamination systems).	\$ 700,000	\$ -		\$ 700,000	Approved						\$ 700,000					
121.	DPS32000	Funds to rent a modular trailer for six months that will be located in the north lot for those customers arriving at HQ to be fingerprinted.	\$ 13,000	\$ -		\$ 13,000	Approved						\$ 13,000					This will help prevent the spread of serious illnesses in DESPP's HQ and will assist in maintaining the health of customer facing employees. Additional trailers may be requested for some of the other units once the agency opens to the public.
122.	CRD47200	Cleaning and other facility costs	\$ 902,036			\$ 902,036	Approved						\$ 902,036					Convention Center COVID19 costs, cleaning, facilities maintenance.
123.	OEC64800	Child Care Provider Incentive Payments	\$ 4,000,000	\$ -		\$ 4,000,000	Approved									\$ 4,000,000		Source: Child Care Development Block Grant

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)				Funding Source							Notes					
Agency	Item	Gross Cost 2020	SFY	Gross Cost 2021	SFY	Gross Total	Status	Unassigned	State 2020	SFY	State 2021	SFY	Federal - CRF		Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	
124.	OPM20000	Cleaning/sanitizing houses of worship	\$	3,000,000		\$	3,000,000	Approved					\$	3,000,000					One time cleaning service for limited common areas; first come, first served, capped program.
125.	UHC72000	Equipment, supplies, and other COVID-related expenditures (excl. student refunds)	\$	3,046,650	\$	-	\$	3,046,650	Approved				\$	3,046,650					COVID-related costs for teleworking transition, equipment/supplies, medical supplies, drugs, lab services, and other related expenses. Does not include student refunds or revenue losses.
126.	DCF91000	Office Cleaning	\$	59,500		\$	59,500	Approved					\$	59,500					
127.	DCF91000	HIPAA Compliant Document Bags for Telework	\$	20,000		\$	20,000	Approved					\$	20,000					
128.	DCF91000	PPE /Cleaning Supplies /Infection Control Printed Materials /Scrubs & Uniforms	\$	700,000		\$	700,000	Approved					\$	700,000					
129.	DCF91000	Temporary Nursing to Screen Workers Entering State Buildings	\$	220,000		\$	220,000	Approved					\$	220,000					
130.	DCF91000	IT Devices and Software to Implement Telework / Consultant Staff Redeployed from CT KIND Development to Mobility Deployment	\$	500,000		\$	500,000	Approved					\$	500,000					
131.	ECD46000	Overtime costs and other COVID19 related expenditures	\$	104,911		\$	104,911	Approved					\$	104,911					
132.	DFP32000	Funds for 100 laptops to allow staff to telework	\$	130,000	\$	-	\$	130,000	Approved				\$	130,000					IT redirected 100 laptops that were part of the agency's re-refresh program (to employees with desktops). It will cost ~ \$130,000 to replace those laptops.
133.	OEC64800	Provide targeted subsidies to private child care providers to ensure financial viability to support the state's efforts to re-open.	\$	8,000,000		\$	8,000,000	Approved								\$	8,000,000		Child Care Development Block Grant
134.	CRD47200	Cleaning and sanitizing XL Center and PW Stadium	\$	314,849		\$	314,849	Approved					\$	314,849					
135.	DSS60000	Administrative and technical support for pandemic response and remote working	\$	12,891,819		\$	12,891,819	Approved					\$	12,891,819					Includes provision of laptops and other technical resources to support remote work, server enhancements, and other administrative costs. Estimate includes previously requested administrative support for Pandemic EBT at revised amount.
136.	DCF91000	Special Stipend for Foster Parents/Children who test COVID positive	\$	41,106		\$	41,106	Approved					\$	41,106					To pay higher medically complicated foster rate when foster parent/child tests COVID positive.
137.	DD550000	Additional Rent Subsidy supports for individuals unable to work	\$	382,126		\$	382,126	Approved					\$	382,126					Provides supports for approximately 160 individuals with intellectual disability who are eligible for the program due to a temporary loss in wages and require assistance with paying rent in May and June.
138.	SDR63500	IT technology and support to implement telework	\$	61,843		\$	61,843	Approved					\$	61,843					Additional cost to the agency to support overtime and equipment costs in order to enable staff to telework.
139.	SDR63500	Cleaning and disinfecting costs of offices	\$	33,490		\$	33,490	Approved					\$	33,490					Additional cost to the agency for cleaning of offices and installation of plexi-glass in reception areas.
140.	DOL40000	UI Call Center - 6 months	\$	3,939,242		\$	3,939,242	Approved					\$	3,939,242					
141.	OTT14000	Laptops (\$25,588), gloves and cleaning supplies (\$128).	\$	25,716		\$	25,716	Approved					\$	25,716					
142.	DRS16000	COVID related expenses - IT Equipment \$355,066 (Laptops, WIFI doggles and Headsets), Zoom conferencing \$531 and PPE and cleaning supplies \$3,876.	\$	359,473		\$	359,473	Approved					\$	359,473					
143.	UOC67000	Equipment, supplies, and other COVID-related expenditures (excl. student refunds)	\$	847,830		\$	847,830	Approved					\$	847,830					COVID-related costs for teleworking transition, equipment/supplies, and other related expenses. Does not include student refunds or revenue losses.
144.	JUD95000	JUD - 2 - PPE, cleaning and disinfecting, technology, and other response costs	\$	183,142		\$	183,142	Approved					\$	183,142					Virtual Desktops (Firewall Security), Call Center PCs, Printers, Equipment, and Call Management Software. (Net adjustment over first request - includes 3rd submitted request.)
145.	PDS98500	PDS - 1 - Communications & Temporary Full-Time Attorneys for Case Backlogs	\$	-	\$	457,229	\$	457,229	Approved				\$	457,229					Smartphones for scheduling due to closed courthouses. Attorneys (for a 6-month period, 6 FT, fringes included here) to assist with backlog once courthouses are reopened back to full operations. PDS believes it should only impact 2021. Balance of PDS request will be covered by CEF.
146.	DMV35000	Teleworking equipment	\$	73,750	\$	-	\$	73,750	Approved				\$	73,750					50 Lenovo ThinkPad T490 laptops to allow additional employees to work from home
147.	MIL36000	Retrograde Operations - Mobile Field Hospitals State Active Duty	\$	67,000	\$	-	\$	67,000	Approved				\$	67,000					Deconstruct the Mobile Field Hospitals and return them to storage at Camp Hartell. Was approved at \$280,000 - reduced to \$67,000.
148.	GOV12000	Crisis communications and ReOpen CT public awareness	\$	4,000,000		\$	4,000,000	Approved					\$	4,000,000					Max Reiss request. State government must regularly, and clearly communicate with residents the ongoing changes to public health, business, and education guidance throughout this pandemic. The state must have funds set aside for targeted marketing, information, and education campaigns across media platforms to distribute accurate information for all residents. Some of this marketing must be statewide in nature, while others must be targeted for more diverse communities. The information that must be distributed will include but not be limited to: reopening criteria and phases, education guidance, health guidance, business guidance, testing information and locations, and messages from state officials. Will include TV and messaging; Outreach - COVID Municipal toolkits, posters, signage; Materials to every testing location to distribute to every COVID positive and how to conduct contact tracing; and Contact tracing design.

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)				Funding Source										Notes								
Agency	Item	Gross Cost 2020	SFY	Gross Cost 2021	SFY	Gross Total	Status	Unassigned	State 2020	SFY	State 2021	SFY	Federal - CRF	Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy	Notes							
149.	DAS23000	424 Chapel Street N95 Mask Decontamination Sterilization Unit	\$	209,460		\$	209,460	Approved					\$	209,460					80,000 masks can be sterilized per day.						
150.	DDS50000	Additional supports for individuals receiving only in-home and/or day supports - Residential account	\$	2,810,897		\$	2,810,897	Approved					\$	2,810,897					Covers increased support costs and includes increased use of self directed programs for individuals receiving residential in-home supports in order to continue to meet day goals, meet basic needs and/or maintain structure. Estimates include extra staff and overtime costs.						
151.	DDS50000	Additional supports for individuals receiving only in-home and/or day supports - Day account	\$	6,237,279		\$	6,237,279	Approved					\$	6,237,279					Covers increased support costs and includes increased use of self directed programs for individuals receiving day supports at home in order to continue to meet day goals, meet basic needs and/or maintain structure. Estimates include extra staff and overtime costs.						
152.	DDS50000	Residential Provider Supplemental Payments	\$	36,540,935		\$	36,540,935	Approved					\$	36,540,935					All residential providers are being paid 120% of authorizations to cover the cost of increased staffing and overtime in residential programs if day programs are closed. This increase also covers additional COVID-19 related expenses including, PPE and cleaning expenses.						
153.	DDS50000	Day Provider Supplemental Payments	\$	7,428,935		\$	7,428,935	Approved	\$	7,428,935									Day providers are being paid based upon 100% of authorizations to support drastically lowered attendance due to social distancing requirements. Payments will ensure staff are still in place when day programs reopen. Costs will be covered with budgeted funds.						
154.	DPS32000	Emergency Management Performance Grant (EMPG-S) Program - Supplemental	\$	-	\$	2,789,396	\$	2,789,396	Approved	\$	1,394,698			\$	1,394,698				FEMA will award funding to support planning and operational readiness for COVID-19 preparedness and response. The School Security Grant Program (bond funds) will be used to provide the required state match for the federal funding.						
155.	DOH46900	Temporary Housing Assistance including Rent Relief	\$	10,000,000		\$	10,000,000	Approved					\$	10,000,000					Payments will be made to landlords.						
156.	ECD46000	DECD Phase 2 graphics and translation	\$	47,535		\$	47,535	Approved					\$	47,535											
157.	BOR77700	Instruction, Student Support, and Technology exceeding available HEER funding at CSUs and COSC	\$	3,379,595		\$	3,379,595	Approved					\$	3,379,595					Costs reported as of 5/20/20 include institutional costs at State Universities and Charter Oak State College to off-campus courses in Spring 2020 semester, including supplies and technology needed for instruction and remote learning. Does not include student refunds or lost revenue.						
158.	UOC67000	Equipment, supplies, and other COVID-related expenditures (excl. student refunds) - Newly reported as of 5/22	\$	564,824	\$	-	\$	564,824	Approved					\$	564,824					Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved.					
159.	UHC72000	Equipment, supplies, and other COVID-related expenditures - Newly reported as of 5/22	\$	3,471,175	\$	-	\$	3,471,175	Approved					\$	3,471,175					Expenditures up to 4/30/20 were previously approved - new request represents updated expenditures beyond what has been approved.					
160.	DPH48500	Specimen collection for testing nursing home residents and staff	\$	8,920,060		\$	8,920,060	Approved					\$	8,920,060					To support grants to Yale NHH (\$1,120,000) and Griffin Hospital (\$7,800,060).						
161.	MIL36000	Overtime and Other Expenses Costs Related to Cleaning Facilities	\$	25,000		\$	25,000	Approved					\$	25,000					Funding to cover costs of overtime and cleaning supplies for Military facilities.						
162.	DPH48500	Consultant to review NH and LTC components of CT's response to the pandemic			\$	1,000,000	\$	1,000,000	Approved					\$	1,000,000										
163.	CRDA47200	Convention Center costs and CRDA Operations	\$	478,048		\$	478,048	Approved					\$	478,048					Cleaning supplies, air filters, handrail sanitation, technological needs for telework.						
164.	MHAS3000	Equipment for to Facilitate Telework and Telehealth for State-Operated Facilities	\$	905,148		\$	905,148	Approved					\$	905,148					Equipment includes Laptops, Software, Servers, Telemed carts, Video Conferencing systems/equipment, cleaning machinery.						
165.	MHAS3000	IT Supplies, Software, Telecommunications for State-Operated Facilities	\$	385,732		\$	385,732	Approved					\$	385,732					OE expenditures include software, iPhones, air cards, conference lines for telework. Also phones for 24/7 sites for clients to communicate with family, friends, etc. due to visitor restrictions.						
166.	MHAS3000	Emergency Hiring for State-Operated Facilities	\$	234,620		\$	234,620	Approved					\$	234,620					PS costs for temporary hires including nurses, custodians, MHA1s, assistant cooks.						
167.	MHAS3000	Temporary Services for State-Operated Facilities	\$	1,544,651		\$	1,544,651	Approved					\$	1,544,651					OE costs for contracted staff including housekeeping and workers to screen staff reporting to work.						
168.	MHAS3000	PPE, Medical, Cleaning, Personal Hygiene Supplies for State-Operated Facilities	\$	402,317		\$	402,317	Approved					\$	402,317					OE costs.						
169.	MHAS3000	Other Supplies for State-operated Facilities	\$	252,140		\$	252,140	Approved					\$	252,140					OE costs for office supplies like secure medical records bags to protect PHI, kitchen/dining/food supplies for individual meal servings and cleaning supplies for the pandemic.						
170.	ECD49000	Welcome centers, OT for arts grants	\$	38,220		\$	38,220	Approved					\$	38,220					Welcome center COVID19 supplies, OT for arts grants						
171.	SDE64000	CTECS - PPE, equipment, cleaning, public safety overtime	\$	2,677,646	\$	-	\$	2,677,646	Approved					\$	2,677,646				FY20 expenditures at CTECS for purchases including PPE, technology, cleaning supplies, public safety overtime, and other direct response costs.						
172.	var	Municipalities	\$	75,000,000	\$	75,000,000	\$	75,000,000	Approved					\$	75,000,000										
173.	var	PPE and Supplies	\$	68,750,000	\$	68,750,000	\$	68,750,000	Approved					\$	68,750,000										
174.	var	Testing	\$	90,079,940	\$	90,079,940	\$	90,079,940	Approved					\$	90,079,940										
Subtotal - Additional Expenditures			\$	577,956,924	\$	442,948,596	\$	1,020,905,520		\$	-	\$	59,108,759	\$	(19,697,000)	\$	760,066,783	\$	101,264,698	\$	31,553,300	\$	84,292,407	\$	4,316,573
Revenue Items																									
1.	DRS	Pass-through Entity Tax - Delay March 15, 2020 payment date to July 15, 2020	\$	(333,333)		\$	(333,333)	Approved					\$	(333,333)	\$	-				Cash flow impact, loss of interest					
2.	DRS	Corporation Tax - Delay May 15, 2020 payment date to July 15, 2020	\$	(166,667)		\$	(166,667)	Approved					\$	(166,667)	\$	-				Cash flow impact, loss of interest					

COVID-19 Response Items - Funding Sources and Budget Impact			Total Cost - Expenditure or (Revenue Loss)				Funding Source							Notes											
Agency	Item	Gross Cost 2020	SFY 2021	Gross Cost 2021	SFY 2021	Gross Total	Status	Unassigned	State 2020	SFY 2021	State 2021	SFY 2021	Federal - CRF		Federal - FEMA	Federal - T-XIX	Federal - Other	Philanthropy							
3.	DRS	Unrelated Business Income - Delay May 15, 2020 payment date to July 15, 2020	\$	(1,667)		\$	(1,667)	Approved		\$	(1,667)	\$	-						Cash flow impact, loss of interest						
4.	DRS	Indian Gaming Payments - Defer March 15 & April 15, 2020 Payment to Sep. through Dec.	\$	(28,600,000)	\$	28,600,000	\$	-	Approved	\$	(28,600,000)	\$	28,600,000						Cash flow impact, loss of interest						
5.	DRS	Personal Income Tax - Delay April 15 final payment date to July 15	\$	(1,119,556)		\$	(1,119,556)	Approved		\$	(1,119,556)	\$	-						Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20						
6.	DRS	Personal Income Tax - Delay April 15 1st estimate payment to July 15	\$	(166,813)		\$	(166,813)	Approved		\$	(166,813)	\$	-						Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20						
7.	DRS	Personal Income Tax - Delay June 15 2nd estimate payment to July 15	\$	(99,521)		\$	(99,521)	Approved		\$	(99,521)	\$	-						Cash flow impact, loss of interest. Assumes shifted revenue will accrue to FY20						
8.	DMV	LPF & MVR - Delay Various Licenses and Registrations by 180 Days	\$	(37,000,000)	\$	37,000,000	\$	-	Approved	\$	(37,000,000)	\$	37,000,000						STF. Shift of revenue from FY20 to FY21						
9.	DRS	Sales and Use Tax - Waive 10 cent plastic bag fee through June 30	\$	(1,800,000)		\$	(1,800,000)	Approved		\$	(1,800,000)	\$	-						Revenue loss for 1.5 months						
10.	DRS	Sales and Use Tax - Delay 3/31 & 4/30 payment date to May 31, ann. liab <\$150k	\$	(71,681)		\$	(71,681)	Approved		\$	(71,681)	\$	-						Cash flow impact, loss of interest						
11.	DPH	LPF - Delay Various Licenses	\$	(10,000,000)	\$	10,000,000	\$	-	Approved	\$	(10,000,000)	\$	10,000,000						Per order of DPH Commissioner						
12.	DRS	Gift Tax - Delay April 15 final payment date to July 15	\$	(10,000,000)	\$	10,000,000	\$	-	Approved	\$	(10,000,000)	\$	10,000,000						Cash flow impact, loss of interest						
13.	DRS	LPF - Extend Term for On-Premise Liquor License by 4 months	\$	(1,800,000)		\$	(1,800,000)	Approved		\$	(1,800,000)	\$	-						One-time revenue loss due to extension						
14.	DRS	Corporation Tax - Delay June 15 2nd estimated payment to July 15	\$	(98,333)		\$	(98,333)	Approved		\$	(98,333)	\$	-						Cash flow impact, loss of interest						
15.	DRS	Unrelated Business Income - Delay June 15 2nd estimated payment to July 15	\$	-		\$	-	Approved		\$	-	\$	-						Cash flow impact, loss of interest - included in Corp. Tax figure above						
16.	DRS	Pass-through Entity Tax - Delay June 15 2nd estimated payment to July 15	\$	(62,083)		\$	(62,083)	Approved		\$	(62,083)	\$	-						Cash flow impact, loss of interest						
17.	DRS	Estate Tax - Delay payments due from 4/1-7/15 to July 15	\$	(40,000,000)	\$	40,000,000	\$	-	Approved	\$	(40,000,000)	\$	40,000,000						Cash flow impact, loss of interest						
18.	DEEP	LPF - DEEP 90 Day extension for Environmental Quality Fee	\$	(2,500,000)	\$	2,500,000	\$	-	Approved	\$	(2,500,000)	\$	2,500,000						Shift of revenue from FY20 to FY21						
19.																									
		Subtotal - Revenue Loss	\$	(133,819,654)	\$	128,100,000	\$	(5,719,654)		\$	-	\$	(133,819,654)	\$	128,100,000	\$	-	\$	-	\$	-	\$	-		
		Grand Total Impact	\$	711,776,578	\$	314,848,596	\$	1,026,625,174		\$	-	\$	192,928,413	\$	(147,797,000)	\$	760,066,783	\$	101,264,698	\$	31,553,300	\$	84,292,407	\$	4,316,573

State of Connecticut
Summary of Changes
General Fund and Special Transportation Fund
Projected to June 30, 2020
As of May 31, 2020
(In Millions)

General Fund

Operating Balance - Prior Month		\$	(619.9)
Revenues			
Refunds of Taxes	116.0		
Inheritance and Estate Tax	(50.0)		
Real Estate Conveyance	(50.0)		
Licenses, Permits and Fees	(20.0)		
Federal Grants	90.9		
All Changes (Net)	<u>(3.3)</u>		83.6
Expenditures			
Additional Requirements	8.6		
Estimated Lapses	83.1		
Miscellaneous Adjustments/Rounding	<u>0.0</u>		<u>91.6</u>
Operating Deficit - FY 2020		\$	(444.7)

Budget Reserve Fund

Fund Balance as of June 30, 2019		\$	2,505.5
Volatility Cap Deposit	318.3		
FY 2020 Est. Balance from Operations	<u>(444.7)</u>		<u>(126.4)</u>
Estimated Fund Balance - June 30, 2020		\$	2,379.1
Fund Balance as Percentage of FY 2021 General Fund			11.8%

Special Transportation Fund

Fund Balance as of June 30, 2019		\$	320.1
Operating Balance - Prior Month			(118.3)
Revenues			
Motor Fuels Tax	(10.0)		
Sales Tax - DMV	(10.0)		
Motor Vehicle Receipts	(17.0)		
Licenses, Permits and Fees	(14.0)		
All Changes (Net)	<u>(2.6)</u>		<u>(53.6)</u>
Expenditures			
Additional Requirements	5.8		
Estimated Lapses	3.3		
Miscellaneous Adjustments/Rounding	<u>0.0</u>		<u>9.1</u>
Estimated Fund Balance - June 30, 2020		\$	157.4

State of Connecticut
General Fund
Statement of Revenues, Expenditures, and Results of Operations
Projected to June 30, 2020
As of May 31, 2020
(In Millions)

	General Assembly Budget Plan ¹	Revised Estimates OPM	Over/ (Under)
REVENUE			
Taxes	\$ 18,445.1	\$ 17,798.3	\$ (646.8)
Less: Refunds	(1,411.7)	(1,534.2)	(122.5)
Taxes - Net	\$ 17,033.4	\$ 16,264.1	\$ (769.3)
Other Revenue	1,288.2	1,170.7	(117.5)
Other Sources	1,138.6	1,383.5	244.9
TOTAL Revenue	\$ 19,460.2	\$ 18,818.3	\$ (641.9)
EXPENDITURES			
Initial Current Year Appropriations	\$ 19,528.3	\$ 19,528.3	\$ -
Prior Year Appropriations Continued to FY 2020 ²		164.5	164.5
TOTAL Initial and Continued Appropriations	\$ 19,528.3	\$ 19,692.8	\$ 164.5
Appropriation Adjustments ³	-	104.2	104.2
TOTAL Adjusted Appropriations	\$ 19,528.3	\$ 19,797.0	\$ 268.7
Net Additional Expenditure Requirements		21.1	21.1
Estimated Appropriations Lapsed	(209.2)	(440.6)	(231.4)
Estimated Appropriations to be Continued to FY 2021 ²		-	-
TOTAL Estimated Expenditures	\$ 19,319.1	\$ 19,377.5	\$ 58.5
Net Change in Fund Balance - Continuing Appropriations		(164.5)	(164.5)
Miscellaneous Adjustments/Rounding		(50.0)	(50.0)
Net Change in Unassigned Fund Balance - 6/30/2020	\$ 141.1	\$ (444.7)	\$ (585.8)

1. P.A. 19-117. Note that CGS Sec. 2-33c limits appropriations in FY 2020 to 99.5% of General Fund revenue. As a result, the \$141.1 million budgeted surplus is comprised of \$97.3 million due to this 99.5% limitation, plus a \$43.8 million operating surplus. Pursuant to Sec. 50 of P.A. 19-117, \$30.0 million of the operating surplus is available for use toward a potential settlement of hospital litigation. Section 50 also makes available \$160.0 million of FY 2019 surplus for a total of \$190.0 million to effectuate a settlement, and further specifies that the General Assembly Budget Plan be modified to reflect any adjustments to revenue or expenditures that might be necessary as a result of such settlement.

2. CGS Sec. 4-89 and other statutory provisions.

3. PA 19-1 of the December Special Session increased appropriations by \$104.2 million to support costs associated with the hospital settlement.

State of Connecticut
General Fund
Revenue Estimates
Projected to June 30, 2020
As of May 31, 2020
(In Millions)

	General Assembly Budget Plan ^{1.}	Revised Estimates OPM	Over/ (Under)
TAXES			
Personal Income - Withholding	\$ 6,910.5	\$ 6,720.2	\$ (190.3)
Personal Income - Estimates and Finals	2,762.5	2,462.5	(300.0)
Sales and Use	4,444.1	4,187.0	(257.1)
Corporation	1,099.8	973.8	(126.0)
Pass-through Entity Tax	850.0	1,150.0	300.0
Public Service Corporations	237.7	237.7	-
Inheritance and Estate	165.8	160.8	(5.0)
Insurance Companies	203.3	227.3	24.0
Cigarettes	344.7	344.7	-
Real Estate Conveyance	217.4	167.4	(50.0)
Alcoholic Beverages	68.9	68.9	-
Admissions and Dues	41.9	39.9	(2.0)
Health Provider Tax	1,050.1	1,040.1	(10.0)
Miscellaneous	48.4	18.0	(30.4)
TOTAL - TAXES	\$ 18,445.1	\$ 17,798.3	\$ (646.8)
Less: Refunds of Taxes	(1,309.3)	(1,428.3)	(119.0)
Earned Income Tax Credit	(97.3)	(97.3)	-
R & D Credit Exchange	(5.1)	(8.6)	(3.5)
TOTAL - TAXES - NET	\$ 17,033.4	\$ 16,264.1	\$ (769.3)
OTHER REVENUE			
Transfers - Special Revenue	\$ 368.0	\$ 342.2	\$ (25.8)
Indian Gaming Payments	226.0	147.1	(78.9)
Licenses, Permits, Fees	341.2	309.4	(31.8)
Sales of Commodities and Services	30.2	25.2	(5.0)
Rents, Fines, Escheats	158.5	154.0	(4.5)
Investment Income	52.6	52.6	-
Miscellaneous	178.1	211.6	33.5
Refunds of Payments	(66.4)	(71.4)	(5.0)
TOTAL - OTHER REVENUE	\$ 1,288.2	\$ 1,170.7	\$ (117.5)
OTHER SOURCES			
Federal Grants	\$ 1,526.0	\$ 1,698.7	\$ 172.7
Transfer from Tobacco Settlement Fund	136.0	136.0	-
Transfers From/(To) Other Funds	(205.1)	(132.9)	72.2
Transfers to BRF - Volatility Adjustment ^{2.}	(318.3)	(318.3)	-
TOTAL - OTHER SOURCES	\$ 1,138.6	\$ 1,383.5	\$ 244.9
TOTAL - GENERAL FUND REVENUE	\$ 19,460.2	\$ 18,818.3	\$ (641.9)

1. Sec. 386 of P.A. 19-117.

2. The volatility cap for FY 2020 is \$3,294.2 million. Total revenue from the Estimates and Finals portion of the Personal Income Tax and the Pass-through Entity Tax that exceeds the volatility cap will be deposited into the Budget Reserve Fund.

State of Connecticut - General Fund
Appropriation Adjustments - Net Additional Requirements
Projected to June 30, 2020
As of May 31, 2020

Department of Correction	\$ 21,122,000
Total	<u>\$ 21,122,000</u>

State of Connecticut
General Fund
Estimated Lapses
Projected to June 30, 2020
As of May 31, 2020

Unallocated Lapse	\$ 10,113,143
Unallocated Lapse - Judicial	5,000,000
Statewide Hiring Reduction - Executive	7,000,000
Contracting Savings Initiatives	4,510,350
Pension and Healthcare Savings (pension portion)	115,780,000
Pension and Healthcare Savings (healthcare portion)	-
Office of Legislative Management	7,750,000
Auditors of Public Accounts	361,000
Commission on Women, Children, Seniors, Equity & Opportunity	200,000
Governor's Office	110,000
Secretary of the State	319,000
Lieutenant Governor's Office	35,000
Elections Enforcement Commission	175,165
Office of State Ethics	119,000
Freedom of Information Commission	149,000
Office of the State Treasurer	95,000
Office of the State Comptroller	900,000
Department of Revenue Services	4,510,000
Office of Policy and Management	3,726,863
Department of Veterans Affairs	400,000
Department of Administrative Services	3,800,000
Office of the Attorney General	1,350,000
Division of Criminal Justice	849,700
Military Department	104,337
Department of Consumer Protection	724,000
Department of Labor	1,896,700
Commission on Human Rights and Opportunities	82,900
Department of Agriculture	491,951
Department of Energy and Environmental Protection	564,000
Department of Economic and Community Development	380,000
Department of Public Health	859,739
Office of Health Strategy	780,000
Department of Developmental Services	14,350,000
Department of Social Services	144,661,102
Department of Aging and Disability Services	1,450,000
State Department of Education	25,722,376
Office of Early Childhood	716,541
Connecticut State Library	343,534
Office of Higher Education	167,332
Teachers' Retirement Board	1,950,000
Department of Children and Families	11,143,476

State of Connecticut
General Fund
Estimated Lapses
Projected to June 30, 2020
As of May 31, 2020

Judicial Department	10,483,057
Public Defender Services Commission	389,400
OTT - Debt Service	19,000,000
OSC- Fringe Benefits	37,084,000
Total	<u>\$ 440,597,666</u>

State of Connecticut
FY 2020 General Fund
Monthly Summary of Operations
(In Millions)

	Budget Plan ¹	July 2019	August 2019	September 2019	October 2019	November 2019	December 2019	January 2020	February 2020	Revised March 2020	April 2020	May 2020	June 2020
REVENUE	\$19,460.2	\$ 19,460.2	\$19,460.2	\$ 19,460.2	\$ 19,375.7	\$ 19,459.2	\$ 19,427.2	\$ 19,427.2	\$19,390.6	\$18,485.1	\$ 18,734.7	\$ 18,818.3	
Appropriations ²	19,528.3	19,528.3	19,528.3	19,528.3	19,528.3	19,632.5	19,632.5	19,632.5	19,632.5	19,632.5	19,632.5	19,632.5	
Additional Requirements	0.0	0.0	37.8	37.8	51.5	53.5	56.4	60.8	44.7	55.5	29.7	21.1	
Less: Estimated Lapses	<u>(209.2)</u>	<u>(209.2)</u>	<u>(210.2)</u>	<u>(210.0)</u>	<u>(214.5)</u>	<u>(233.8)</u>	<u>(242.9)</u>	<u>(251.2)</u>	<u>(278.0)</u>	<u>(318.8)</u>	<u>(357.6)</u>	<u>(440.6)</u>	
TOTAL - Estimated Expenditures	19,319.1	19,319.1	19,355.9	19,356.1	19,365.3	19,452.1	19,446.0	19,442.0	19,399.2	19,369.1	19,304.6	19,213.0	0.0
Operating Balance	141.1	141.1	104.3	104.1	10.4	7.1	(18.8)	(14.8)	(8.6)	(884.0)	(569.9)	(394.7)	0.0
Misc. Adjustments/Rounding	<u>0.0</u>	<u>(15.0)</u>	<u>(20.0)</u>	<u>(25.0)</u>	<u>(30.0)</u>	<u>(30.0)</u>	<u>(40.0)</u>	<u>(40.0)</u>	<u>(50.0)</u>	<u>(50.0)</u>	<u>(50.0)</u>	<u>(50.0)</u>	
Est. Operating Balance - 6/30/20	\$141.1	\$126.1	\$84.3	\$79.1	(\$19.6)	(\$22.9)	(\$58.8)	(\$54.8)	(\$58.6)	(\$934.0)	(\$619.9)	(\$444.7)	\$0.0

1. P.A. 19-117.

2. Reflects gross General Fund appropriations based on PA 19-117 through October. November based on PA 19-1 of the December Special Session.

State of Connecticut
Special Transportation Fund
Analysis of Budget Plan
Projected to June 30, 2020
As of May 31, 2020
(In Millions)

	General Assembly <u>Budget Plan</u> ^{1.}	Revised Estimates <u>OPM</u>	Over/ <u>(Under)</u>
Fund Balance as of June 30, 2019	\$ 315.1	\$ 320.1	\$ 5.0
REVENUE			
Taxes	\$ 1,330.1	\$ 1,179.2	\$ (150.9)
Less: Refunds of Taxes	<u>(14.3)</u>	<u>(30.3)</u>	<u>(16.0)</u>
Taxes - Net	1,315.8	1,148.9	(166.9)
Other Revenue	<u>433.3</u>	<u>363.4</u>	<u>(69.9)</u>
TOTAL - Revenue	\$ 1,749.1	\$ 1,512.3	\$ (236.8)
EXPENDITURES			
Appropriations	\$ 1,740.6	\$ 1,740.6	\$ -
Prior Year Appropriations Continued to FY 2020 ^{2.}		33.3	33.3
TOTAL Initial and Continued Appropriations	\$ 1,740.6	\$ 1,773.9	\$ 33.3
Appropriation Adjustments	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL Adjusted Appropriations	\$ 1,740.6	\$ 1,773.9	\$ 33.3
Net Additional Expenditure Requirements		-	-
Estimated Appropriations Lapsed	(30.3)	(65.5)	(35.2)
Estimated Appropriations to be Continued to FY 2021 ^{2.}		<u>-</u>	<u>-</u>
TOTAL Estimated Expenditures	\$ 1,710.3	\$ 1,708.3	\$ (1.9)
Net Change in Fund Balance - Continuing Appropriations		(33.3)	(33.3)
Miscellaneous Adjustments/Rounding		-	-
Net Change in Unassigned Fund Balance - FY 2020	\$ 38.8	\$ (162.7)	\$ (201.6)
Estimated Fund Balance - June 30, 2020	<u>\$ 353.9</u>	<u>\$ 157.4</u>	<u>\$ (196.5)</u>

1. P.A. 19-117. Note that CGS Sec. 2-33c limits appropriations in FY 2020 to 99.5% of Special Transportation Fund revenue. As a result, the \$38.8 million budgeted surplus is comprised of \$8.7 million due to this 99.5% limitation, plus a \$30.1 million operating surplus.

2. CGS Sec. 4-89 and other statutory provisions.

State of Connecticut
Special Transportation Fund
Revenue Estimates
Projected to June 30, 2020
As of May 31, 2020
(In Millions)

	General Assembly Budget Plan ¹ .	Revised Estimates OPM	Over/ (Under)
TAXES			
Motor Fuels	\$ 507.2	\$ 472.5	\$ (34.7)
Oil Companies	322.9	245.5	(77.4)
Sales & Use Tax	414.3	387.2	(27.1)
Sales Tax DMV	85.7	74.0	(11.7)
TOTAL - TAXES	<u>1,330.1</u>	<u>1,179.2</u>	<u>(150.9)</u>
Less: Refunds of Taxes	(14.3)	(30.3)	(16.0)
TOTAL - TAXES - NET	<u>\$ 1,315.8</u>	<u>\$ 1,148.9</u>	<u>\$ (166.9)</u>
OTHER REVENUE			
Motor Vehicle Receipts	\$ 280.1	\$ 243.1	\$ (37.0)
Licenses, Permits, Fees	145.5	129.0	(16.5)
Interest Income	36.1	22.3	(13.8)
Federal Grants	12.1	9.5	(2.6)
Transfers (To)/From Other Funds	(35.5)	(35.5)	-
Refunds of Payments	(5.0)	(5.0)	-
TOTAL - OTHER REVENUE	<u>\$ 433.3</u>	<u>\$ 363.4</u>	<u>\$ (69.9)</u>
TOTAL - SPECIAL TRANSPORTATION FUND REVENUE	<u>\$ 1,749.1</u>	<u>\$ 1,512.3</u>	<u>\$ (236.8)</u>

1. Sec. 387 of P.A. 19-117, as adjusted by provisions of P.A. 19-165.

Statement 3T
June 19, 2020

State of Connecticut
Special Transportation Fund
Appropriation Adjustments - Net Additional Requirements
Projected to June 30, 2020
As of May 31, 2020

No additional Requirements	\$	-
Total	<u>\$</u>	<u>-</u>

State of Connecticut
Special Transportation Fund
Estimated Lapses
Projected to June 30, 2020
As of May 31, 2020

Unallocated Lapse	\$	-
Pension and Healthcare Savings (pension portion)		15,720,000
Pension and Healthcare Savings (healthcare portion)		-
Department of Motor Vehicles		5,500,000
Department of Energy and Environmental Services		50,000
Department of Transportation		1,786,200
OTT - Debt Service		40,000,000
OSC - Fringe Benefits		1,082,500
DAS - Workers' Compensation Claims		1,400,000
Total		<u>\$ 65,538,700</u>

State of Connecticut
FY 2020 Special Transportation Fund
Monthly Summary of Operations
(In Millions)

	Budget Plan ¹	July 2019	August 2019	September 2019	October 2019	November 2019	December 2019	January 2020	February 2020	Revised March 2020	April 2020	May 2020	June 2020
Beginning Balance ²	\$ 315.1	\$ 315.1	\$ 320.1	\$ 320.1	\$ 320.1	\$ 320.1	\$ 320.1	\$ 320.1	\$ 320.1	\$ 320.1	\$ 320.1	\$ 320.1	
Revenue	<u>1,749.1</u>	<u>1,749.1</u>	<u>1,749.1</u>	<u>1,749.1</u>	<u>1,732.7</u>	<u>1,732.7</u>	<u>1,730.3</u>	<u>1,730.3</u>	<u>1,683.9</u>	<u>1,565.9</u>	<u>1,565.9</u>	<u>1,512.3</u>	
Total Available	2,064.2	2,064.2	2,069.2	2,069.2	2,052.8	2,052.8	2,050.4	2,050.4	2,004.0	1,886.0	1,886.0	1,832.4	0.0
Appropriations	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6	1,740.6	
Additional Requirements	0.0	0.0	0.0	0.0	7.0	18.3	20.2	20.8	15.4	10.3	5.8	0.0	
Less: Estimated Lapses	<u>(30.3)</u>	<u>(30.3)</u>	<u>(30.3)</u>	<u>(30.3)</u>	<u>(41.7)</u>	<u>(30.2)</u>	<u>(31.5)</u>	<u>(32.3)</u>	<u>(32.8)</u>	<u>(33.2)</u>	<u>(62.2)</u>	<u>(65.5)</u>	
TOTAL - Estimated Expenditures	1,710.3	1,710.3	1,710.3	1,710.3	1,705.9	1,728.7	1,729.3	1,729.1	1,723.2	1,717.6	1,684.2	1,675.1	0.0
Operating Balance	38.8	38.8	38.8	38.8	26.8	4.0	1.0	1.2	(39.3)	(151.7)	(118.3)	(162.8)	0.0
Misc. Adjustments/Rounding	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	
Estimated Operating Balance 6/30/20	\$353.9	\$353.9	\$358.9	\$359.0	\$347.0	\$324.2	\$321.2	\$321.4	\$280.9	\$168.4	\$201.8	\$157.4	\$0.0

1. P.A. 19-117.

2. Budget plan and July as estimated by the Office of Policy and Management based on the Comptroller's August 1, 2019 letter. August based on OSC preliminary closing balance for FY 2019. September and thereafter per the Comptroller's September 30, 2019 letter.