# AGENDA ITEMS FOR THE STATE BOND COMMISSION OCTOBER 26, 2001 ROOM 1E LEGISLATIVE OFFICE BUILDING

	10	:30	A.M.
--	----	-----	------

SOURCE OF FUNDS	ITEM NO.	GENERAL OBLIGATION ALLOCATIONS	REVENUE BONDS/OTHER TRANSACTIONS
SPECIAL ACT #2, 2001 JUNE SPECIAL SESSION SECTIONS 1 THROUGH 7	Items 1-2	\$1,210,405 =======	=======
SPECIAL ACT #2, 2001 JUNE SPECIAL SESSION SECTIONS 8 THROUGH 15	Item 3	\$6,500,000 ======	=======
PUBLIC ACT #242, 1999 AS AMENDED SECTIONS 1 THROUGH 7	Items 4-6	\$305,547 ======	=======
PUBLIC ACT #242, 1999 AS AMENDED SECTIONS 20 THROUGH 26	Items 7-9	\$864,610 =====	=======
PUBLIC ACT #242, 1999 AS AMENDED SECTIONS 31 THROUGH 38	Items 10-11	\$1,900,000 ======	=======
SPECIAL ACT #9, 1998 AS AMENDED SECTIONS 1 THROUGH 7	Item 12	\$293,239 ======	========
SPECIAL ACT #1, 1997 JUNE SPECIAL SESSION AS AMENDED SECTIONS 1 THROUGH 7	Item 13	\$4,068,466 ======	=======
SPECIAL ACT #20, 1995 AS AMENDED SECTIONS 21 THROUGH 27	Items 14-15	\$1,749,010 ======	=======
SPECIAL ACT #20, 1995	Item 16	\$500,000	=======

OCTOBER 26,2001

#### =======

# AGENDA ITEMS FOR THE STATE BOND COMMISSION OCTOBER 26, 2001 ROOM 1E LEGISLATIVE OFFICE BUILDING 10:30 A.M.

SOURCE OF FUNDS	ITEM NO.	GENERAL OBLIGATION ALLOCATIONS	REVENUE BONDS/OTHER TRANSACTIONS
SPECIAL ACT #3, 1992 MAY SPECIAL SESSION AS AMENDED SECTIONS 1 THROUGH 7	Item 17	\$16,502,734 =======	=======
PUBLIC ACT #270, 1990 AS AMENDED SECTION 33	Item 18	\$2,000,000 ======	=======
GENERAL OBLIGATION BOND SALE	Item 19		\$175,000,000 =======
APPROVAL OF DECLARATION OF OFFICIAL INTENT PURSUANT TO FEDERAL INCOME TAX REGULATIONS	Item 20		

# AGENDA ITEMS FOR THE STATE BOND COMMISSION OCTOBER 26, 2001 ROOM 1E LEGISLATIVE OFFICE BUILDING 10:30 A.M.

UNLESS OTHERWISE NOTED, THE SECRETARY OF THE STATE BOND COMMISSION HAS ON FILE THE FOLLOWING MATTERS WITH RESPECT TO EACH REQUEST MADE TO THE STATE BOND COMMISSION FOR TODAY'S MEETING AS DETAILED THROUGH THE ATTACHED AGENDA ITEMS.

- 1. ANY HUMAN SERVICES FACILITY COLOCATION STATEMENT IF SO REQUESTED BY THE SECRETARY OF THE OFFICE OF POLICY AND MANAGEMENT PURSUANT TO CGS §4B-23.
- 2. ANY PROJECT WHICH INVOLVES THE USE OF 25 ACRES OR MORE OF PRIME FARMLAND FOR NONAGRICULTURAL PURPOSES HAS BEEN REVIEWED IN ACCORDANCE WITH THE PROVISIONS OF PUBLIC ACT NO. 83-102 (AN ACT CONCERNING STATE PROJECTS WHICH TAKE PRIME FARMLAND) AND THAT THE COMMISSIONER OF AGRICULTURE HAS FILED A STATEMENT INDICATING THAT EACH SUCH PROJECT PROMOTES AGRICULTURE OR THE GOAL OF AGRICULTURAL LAND PRESERVATION, OR THERE IS NO REASONABLE ALTERNATIVE SITE FOR THE PROJECT, OR THE PROJECT IS NOT APPLICABLE UNDER THE ACT.
- 3. ANY CAPITAL DEVELOPMENT IMPACT STATEMENT REQUIRED TO BE FILED WITH THE SECRETARY OF THE OFFICE OF POLICY AND MANAGEMENT, IF SO REQUIRED BY THE SECRETARY, PURSUANT TO CGS §4B-23.
- 4. ANY ADVISORY STATEMENT REGARDING THE STATE CONSERVATION AND DEVELOPMENT POLICIES PLAN REQUIRED BY CGS §16A-31 FOR EACH ITEM FOR WHICH AN ADVISORY STATEMENT IS REQUIRED BY CGS §16A-31, AND THE ITEM IS EITHER IN CONFORMITY WITH THE PLAN OR NOT ADDRESSED THEREIN.

## SPECIAL ACT #2, 2001 JUNE SPECIAL SESSION SEC. 1-7

ITEM NO. 1

DEPARTMENT OF EDUCATION

ALTERATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS, INCLUDING NEW AND REPLACEMENT EQUIPMENT, TOOLS AND SUPPLIES NECESSARY TO IMPLEMENT UPDATED CURRICULA, VEHICLES AND TECHNOLOGY UPGRADES AT ALL REGIONAL VOCATIONAL-TECHNICAL SCHOOLS, PROVIDED NOT MORE THAN \$3,200,000 OF SAID AMOUNT SHALL BE USED FOR THE RENOVATIONS AND IMPROVEMENTS TO THE A.I.PRINCE/CTC BUILDING

REQUESTED: An Allocation and Bond Authorization......\$1,000,000

FROM: Sec. 2(h)(1) Acct. No. 3011-7001-010

Project No. BI-RT-1000

Total Earmarking \$18,200,000
Previous Allocations
Balance Unallocated \$13,672,775

REASON FOR REQUEST:

These funds are requested to finance renovations and improvements at various Regional Vocational-Technical Schools.

Funds are requested as follows:

Renovations (Est.) This Request \$1,000,000

# SPECIAL ACT #2, 2001 JUNE SPECIAL SESSION SEC.1-7

ITEM NO. 2

CONNECTICUT STATE UNIVERSITY SYSTEM

EASTERN CONNECTICUT STATE UNIVERSITY

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS TO FACILITES, INCLUDING FIRE, SAFETY ENERGY CONSERVTION AND CODE COMPLIANCE IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization.....\$210,405

FROM: Sec. 2(j)(5)(A) Acct. No. 3011-7805-040 Project No. BI-RW-241

Total Earmarking \$1,364,000
Previous Allocations
Balance Unallocated \$1,364,000

#### REASON FOR REQUEST:

These funds are requested, along with those under Item  $\underline{7}$ , to award a construction contract based on bids received on September 27, 2001 for ADA compliance improvements to Knight House, 176 and 182 High Street, at Eastern Connecticut State University in Willimantic.

This project consists of site improvements, including driveway and parking reconfiguration, construction of new sidewalks, handrails and ramps.

Funds are requested as follows:

		Previous Funding	<u>This</u> Request
Construction (Bid) Contingency	\$255,013 25,502	<u>1 4114 1113</u>	\$255,013
Architect's Fee DPW Fee	25,000 23,500	\$25,000 23,500	20,002
Total, This Request	\$329,015	\$48,500	\$280,515
Financing:			
S.A. 01-2, Sec. 2(j)(5)(A), This P.A. 99-242, Sec. 21(k)(3)(D), I	tem No. <u>7</u>		\$210,405 70,110
S.A. 95-20, Sec. 22(o)(4)(A), Pr Total	evious Funding		48,500 \$329,015

# SPECIAL ACT #2, 2001 JUNE SPECIAL SESSION SEC. 8-15

ITEM NO. 3

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

GRANT-IN-AID TO THE CITY OF NORWALK FOR VARIOUS ECONOMIC AND COMMUNITY DEVELOPMENT PROJECTS, INCLUDING IMPROVEMENTS TO THE DOWNTOWN AREA

REQUESTED: An Allocation and Bond Authorization

(to Agency)

FROM: Sec. 9(d)(2) Acct. No. 1873 3500 075

Project No. 1999 103 005

\$6,500,000

Total Earmarking \$10,000,000
Previous Allocations -0Balance Unallocated \$10,000,000

#### REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to the City of Norwalk for the costs associated with the design and construction of infrastructure improvements in the Reed Putnam Urban Renewal area.

These improvements include road and ramp upgrades, construction of a 775 space parking garage and decking over the siphon chamber. This project is related to the development of 1,100,00 sq. ft. of office space, a 250 room conference style hotel, new housing and access to the Norwalk River. It is expected that private investment will exceed \$300 million.

Funds are requested as follows:

Total State Project Cost \$320,105,000

Less: Private Funds \$300,000,000

PA 79-607, Sec. 21(b)(6)(B)

Previous Funding 105,000 Future State Funds 13,500,000

State Grant, This Request \$6,500,000

The release of these funds will be controlled through the allotment process pending approval of the required environmental study.

AS AMENDED SEC. 1-7

ITEM NO. 4

DEPARTMENT OF ENVIRONMENTAL PROTECTION

DAM REPAIRS INCLUDING STATE-OWNED DAMS

REQUESTED: An Allocation and Bond

Authorization (to Agency)......\$60,000

FROM: Sec. 2(f)(4) Acct. No. 3991-3100-040

Total Earmarking \$3,500,000Previous Allocations 2,273,720Balance Unallocated \$1,226,280

#### REASON FOR REQUEST:

These funds are requested to finance an Urgent/Emergency Dam Repair Fund. The funds will be used to provide engineering contracts to perform emergency inspections, studies, provide court testimony and furnish expert testimony at public hearings on an as-needed basis. The Department needs to have three consultants and one underwater investigation firm ready to act when emergencies occur.

Funds are requested as follows:

Consulting Services, This Request

\$60,000

#### AS AMENDED

SEC.1-7

ITEM NO. 5

CONNECTICUT STATE UNIVERSITY SYSTEM

ALL UNIVERSITIES

LAND ACQUISITION AND RELATED DEVELOPMENT COSTS

REQUESTED: An Allocation and Bond Authorization......\$117,500

FROM: Sec. 2(1)(1)(B) Acct. No. 3991-7801-020

Project No. BI-RW-

Total Earmarking \$1,000,000
Previous Allocations 325,429
Balance Unallocated \$674,571

#### REASON FOR REQUEST:

These funds are requested to finance the acquisition of property located at 310 High Street in Willimantic, in accordance with the master plan for Eastern Connecticut State University.

Owner: Dennis P. Killeen

Location: 310 High Street, Willimantic

Description: A 1.5 story, 1,310 sq.ft. Cape Style single family

dwelling on .39+ acres of land

Appraisal: Charter Appraisal (July 9, 2001) - \$100,000

Funds are requested as follows:

	This Request
Purchase Price	\$110,000
Property Taxes	3,800
Surveys	2,500
Acquisition Costs	1,200
Total, This Request	\$117,500

This acquisition was approved by the State Properties Review Board on October 9, 2001.

#### AS AMENDED

SEC. 1-7

ITEM NO. 6

DEPARTMENT OF CHILDREN AND FAMILIES

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS

REQUESTED: An Allocation and Bond Authorization......\$128,047

FROM: Sec. 2(n) Acct. No. 3991-8102-010 Project No. BI-YS-1000

Total Earmarking \$2,000,000
Previous Allocations -0Balance Unallocated \$2,000,000

#### REASON FOR REQUEST:

These funds are requested, along with those under Item  $\underline{12}$ , to finance renovations and improvements at various Department of Children and Families facilities as detailed below.

Funds are requested as follows:

	Estimated Costs
High Meadows	\$212,288
Security Screens - Boys Dormitory	
HVAC Improvements - Senn Building	
Window Replacement - Administration Bldg.	
Riverview Hospital	65,300
Upgrade Electrical Panels	
Energy Management System	
Wilderness School	105,400
Expansion/Improvement of Parking Areas	
Emergency/Minor Capital Improvements - As Needed	38,298
Total	<u>\$421,286</u>
Financing:	
P.A. 99-242, Sec. 2(n), This Request	\$128,047
S.A. 98-9, Sec. 2(f), Item No. $12$	293,239
Total	<u>\$421,286</u>

#### AS AMENDED

SEC. 20-26

ITEM NO. 7

CONNECTICUT STATE UNIVERSITY SYSTEM

EASTERN CONNECTICUT STATE UNIVERSITY

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS TO FACILITES, INCLUDING FIRE, SAFETY, ENERGY CONSERVTION AND CODE COMPLIANCE IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization......\$70,110

FROM: Sec. 21(k)(3)(D) Acct. No. 3001-7805-060 Project No. BI-RW-241

Total Earmarking \$615,000 Previous Allocations 544,890 Balance Unallocated \$70,110

#### REASON FOR REQUEST:

These funds are requested, along with those under Item  $\underline{2}$ , to award a construction contract based on bids received on September 27, 2001 for ADA compliance improvements to Knight House, 176 and 182 High Street, at Eastern Connecticut State University in Willimantic.

This project consists of site improvements, including driveway and parking reconfiguration, construction of new sidewalks, handrails and ramps.

#### Financing:

S.A. 01-2, Sec. $2(j)(5)(A)$ , Item No. $\underline{2}$	\$210,405
P.A. 99-242, Sec. 21(k)(3)(D), This Request	70,110
S.A. 95-20, Sec. 22(o)(4)(A), Previous Funding	48,500
Total	\$329,015

AS AMENDED

SEC. 20-26

ITEM NO. 8

CONNECTICUT STATE UNIVERSITY SYSTEM

WESTERN CONNECTICUT STATE UNIVERSITY

DEVELOPMENT OF RECREATIONAL FIELDS

REQUESTED: An Allocation and Bond Authorization......\$544,500

FROM: Sec. 21(k)(5)(B) Acct. No. 3001-7803-040

Project No. BI-RD-146

Total Earmarking \$1,595,000
Previous Allocations 381,148
Balance Unallocated \$1,213,852

#### REASON FOR REQUEST:

These funds are requested to award a construction contract based on bids received on October 12, 2001 for phase 2 construction of a new athletic field at the Westside Campus of Western Connecticut State University in Danbury.

A new football field, including turf, bleachers, press box and lighting is being constructed by the University adjacent to the O'Neill Center. The construction is being done in four phases as part of the relocation of athletic fields from the midtown campus to provide space for a new science building.

Funds are requested as follows:

		Previous	
	Total Project	Funding	Phase 2
Construction (Bid)	\$4,426,500	\$327,148	\$495,000
Contingency	317,600	15,100	49,500
Architect's Fee	366,900	366,900	
Survey/Borings	25,000	25,000	
DPW Fee	10,000	10,000	
Total, This Request	\$5,146,000	\$744,148	<u>\$544,500</u>
Financing:			
P.A. 99-242, Sec. 21(k)(5)(B)	, This Request	\$544,500	
Previous Funding		381,148	\$ 925,648
P.A. 99-242, Sec. 2(1)(5)(B),	, Previous Funding		300,000
P.A. 99-242, Sec. 2(1)(5)(C),	, Previous Funding		63,000
Total			\$1,288,648

### THIS ITEM HAS BEEN WITHDRAWN

# PUBLIC ACT #242, 1999

AS AMENDED SEC. 20-26

ITEM NO. 9

DEPARTMENT OF CORRECTION

RENOVATIONS AND IMPROVEMENTS TO EXISTING STATE-OWNED BUILDINGS FOR INMATE HOUSING, PROGRAMMING AND STAFF TRAINING SPACE, AND ADDITIONAL INMATE CAPACITY, INCLUDING SUPPORT FACILITIES AND OFF-SITE IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization.....\$250,000

FROM: Sec. 21(1) Acct. No. 3001-8000-010

Total Earmarking \$10,000,000 Previous Allocations 2,801,295 Balance Unallocated \$7,198,705

#### REASON FOR REQUEST:

These funds are requested to finance the preliminary development costs including, but not limited to, design and planning for a 600-bed addition at the correctional complex in Somers. This project will consist of a new building linking the Osborn and Northern correctional facilities.

Funds are requested as follows:

This Request

Preliminary Development Costs (Est) \$250,000

 $\frac{\text{AS AMENDED}}{\text{SEC. } 31-38}$ 

ITEM NO. 10

DEPARTMENT OF ENVIRONMENTAL PROTECTION

GRANTS-IN-AID OR LOANS TO MUNICIPALITIES FOR ACQUISITION OF LAND, FOR PUBLIC PARKS, RECREATIONAL AND WATER QUALITY IMPROVEMENTS, WATER MAINS AND WATER POLLUTION CONTROL FACILITIES, INCLUDING SEWER PROJECTS, AND CULVERT UPGRADING AND DRAINAGE PROJECTS AND NOT LESS THAN FOUR MILLION DOLLARS SHALL BE USED TO MAKE GRANTS FOR A PROGRAM FOR THE CLEAN-UP OF CONTAMINATED SOIL AND/OR THE REMOVAL AND REPLACEMENT OF LEAKING UNDERGROUND STORAGE TANKS

VARIOUS

REQUESTED: An Allocation and Bond

Authorization (to Agency).....\$900,000

FROM: Sec. 32(b)(1) Acct. No. 1873-3100-164

Total Earmarking \$12,000,000
Previous Allocations 6,875,000
Balance Unallocated \$5,125,000

REASON FOR REQUEST:

These funds are requested to provide grants-in-aid as detailed below.

This Request

I. Town of Lisbon: These funds are requested to provide a grant-in-aid to the Town of Lisbon to complete improvements to Lisbon Meadows Park, a public, municipally owned recreation area.

The funds will be used for site preparation, site drainage and site development, including but not limited to, construction of an amphitheater, parking area and landscaping.

Funds are requested as follows:

State Grant, This Request

\$100,000

This	Request

II. Town of Farmington: These funds are requested to provide a grant-in-aid to the Town of Farmington to complete work on the Farmington Canal Heritage Trail, that portion located within the Town of Farmington.

The 6,000 ft. section of trail extends from Route 4 to Red Oak Hill Road. This project will include grading, placement of gravel, drainage work, paving, fencing and signage.

Funds are requested as follows:

Total Project Cost \$1,000,000
Less: Local Funds 700,000
State Grant, This Request

300,000

III. City of Waterbury: These funds are requested to provide a grant-in-aid to the City of Waterbury for remediation and improvements to Bunker Hill Park.

Funds are requested as follows:

State Grant, This Request

500,000

Total Grants, This Request

\$900,000

AS AMENDED SEC. 31-38

ITEM NO. 11

DEPARTMENT OF SOCIAL SERVICES

GRANTS-IN-AID FOR NEIGHBORHOOD FACILITIES, CHILD CARE PROJECTS, ELDERLY CENTERS, SHELTER FACILITIES FOR VICTIMS OF DOMESTIC VIOLENCE, EMERGENCY SHELTERS AND RELATED FACILITIES FOR THE HOMELESS, MULTI-PURPOSE HUMAN RESOURCE CENTERS AND FOOD DITRIBUTION FACILITIES

NEW BRITAIN

REQUESTED: An Allocation and Bond Authorization

in the Amount of (to Agency)

\$1,000,000

FROM: Sec. 32(g)(1) Acct. No. 1873 6100 152

Project No. 6100 03 0245

Total Earmarking \$4,000,000
Previous Allocations 350,000
Balance Unallocated \$3,650,000

#### REASON FOR REQUEST:

These funds are requested to provide a grant-in-aid to the Central Connecticut Association of Retarded Citizens to assist in the costs associated with the construction of a new facility in New Britain.

This project consists of the construction of a 20,000 sq. ft. building to house administrative offices and will provide services to 130 clients including therapeutic recreation, vocational and life skill training.

Funds are requested as follows:

Total Project Cost \$3,500,000

Less: Contributions \$1,500,000

The release of these funds will be controlled through the allotment process subject to the availability of the other funds indicated above.

In accordance with Section 38 of P.A. 99-242, "...any grant-in-aid ...which is made to any entity which is not a political subdivision of the state, the contract entered into pursuant to section 32 of this act shall provide that if the premises for which such grant-in-aid was made ceases, within ten years of the date of such grant, to be used as a facility for which such grant was made, an amount equal to the amount of such grant, minus ten per cent for each full year which has elapsed since the date of such grant, shall be repaid to the state and that a lien shall be placed on such land in favor of the state to ensure that such amount will be repaid in the event of such change in use, except that if the premises for which such grant-in-aid was made are owned by the state, a municipality or a housing authority, no lien shall be placed."

# SPECIAL ACT #9, 1998 AS AMENDED SEC. 1-7

ITEM NO. 12

DEPARTMENT OF CHILDREN AND FAMILIES

ALTERATIONS, RENOVATIONS AND IMPROVEMENTS TO BUILDINGS AND GROUNDS, INCLUDING THE COMPLETION OF THE DEVELOPMENT OF THE CHILDREN'S PLACE, EAST WINDSOR

REQUESTED: An Allocation and Bond Authorization.....\$293,239

FROM: Sec. 2(f) Acct. No. 3981-8102-020

Project No. BI-YS-1000

Total Earmarking \$2,000,000
Previous Allocations
Balance Unallocated \$293,239

### REASON FOR REQUEST:

These funds are requested, along with those under Item  $\underline{6}$ , to finance renovations and improvements at various Department of Children and Families facilities.

#### Financing:

P.A. 99-242, Sec. 2(n), Item No. <u>6</u>	\$128,047
S.A. 98-9, Sec. 2(f), This Request	_293,239
Total	\$421,286

# SPECIAL ACT #1, 1997 JUNE SPECIAL SESSION AS AMENDED SEC. 1-7

ITEM NO. 13

DEPARTMENT OF CORRECTION

RENOVATIONS AND IMPROVEMENTS TO EXISTING STATE-OWNED BUILDINGS FOR INMATE HOUSING, PROGRAMMING AND STAFF TRAINING SPACE AND ADDITIONAL INMATE CAPACITY, INCLUDING SUPPORT FACILITIES AND OFF-SITE IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization.....\$4,068,466

FROM: Sec. 2(n) Acct. No. 3971-8000-010 Project No. BI-JA-321

Total Earmarking \$5,000,000
Previous Allocations 931,534
Balance Unallocated \$4,068,466

#### REASON FOR REQUEST:

These funds are requested, along with those under Item  $\underline{17}$ , to award a construction contract based on bids received on February 21, 2001 for renovations and improvements to the Hartford Community Correctional Center.

This project consists of code required improvements, security improvements, renovation of the segregation, admitting and processing units, additional non-contact visiting space, relocation of the gymnasium, expansion of the kitchen and a new parking structure.

Funds are requested as follows:

Construction (Bid)	\$17,734,000
Contingency	1,773,400
Architect's Fee	139,000
DPW Fee	398,000
Equipment	300,000
Temporary Parking	226,800
Total	\$20,571,200

#### Financing:

S.A.	97-1,	Sec.	2(n),	This	Request	\$ 4,068,466
S.A.	92-3,	Sec.	2(q),	Item	No. <u>17</u>	16,502,734
Tota	1				<del></del>	\$20,571,200

#### SPECIAL ACT #20, 1995

AS AMENDED SEC.21-27

ITEM NO. 14

DEPARTMENT OF MENTAL RETARDATION

FIRE, SAFETY, AND ENVIRONMENTAL IMPROVEMENTS TO STATE-WIDE REGIONAL FACILITIES FOR CLIENT AND STAFF NEEDS, INCLUDING IMPROVEMENTS IN COMPLIANCE WITH CURRENTS CODES, INCLUDING INTERMEDIATE CARE FACILITY STANDARDS, SITE IMPROVEMENTS, HANDICAPPED ACCESS IMPROVEMENTS, UTILITIES, REPAIR OR REPLACEMENT OF ROOFS, AIR CONDITIONING AND OTHER INTERIOR AND EXTERIOR BUILDING RENOVATIONS AND ADDITIONS AT ALL STATE-OWNED FACILITIES

REQUESTED: An Allocation and Bond Authorization.....\$1,290,310

FROM: Sec. 22(i)(2) Acct. No. 3961-4114-020

Project No. BI-NN-1000

Total Earmarking \$4,182,000
Previous Allocations 2,532,381
Balance Unallocated \$1,649,619

#### REASON FOR REQUEST:

These funds are requested to finance renovations and improvements at various Department of Mental Retardation facilities as detailed below.

Funds are requested as follows:

	<u>ıın</u>	is Request
Fire Sprinkler System - Ella Grasso Center Fire Sprinkler System - Lower Fairfield Center	\$	448,400 652,400
Exterior/HVAC Improvements - Franklin House Group Home		89,136
HVAC Upgrade - North Road Group Home, Groton		46,745
Fire Safety/Interior Improvements Olympus Parkway &		
Hidden Pond Group Homes		53,629
Total, This Request	\$1	,290,310

### SPECIAL ACT #20, 1995

AS AMENDED

SEC. 21-27

ITEM NO. 15

REGIONAL COMMUNITY-TECHNICAL COLLEGE SYSTEM

NAUGATUCK VALLEY COMMUNITY-TECHNICAL COLLEGE

ALTERATIONS AND IMPROVEMENTS IN COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT

REQUESTED: An Allocation and Bond Authorization......\$458,700

FROM: Sec. 22(n)(2) Acct. No. 3961-7708-060

Project No. BI-CTC-354

Total Earmarking \$750,000
Previous Allocations 191,771
Balance Unallocated \$558,229

#### REASON FOR REQUEST:

These funds are requested to award a construction contract based on bids received on October 12, 2001 for ADA and fire safety code compliance improvements at Naugatuck Valley Community-Technical College in Waterbury.

Funds are requested as follows:

 $\begin{array}{ll} \text{Construction (Bid)} & \$417,000 \\ \text{Contingency} & \underline{41,700} \\ \text{Total} & \$458,700 \\ \end{array}$ 

#### SPECIAL ACT #20, 1995

AS AMENDED SEC. 32-37

ITEM NO. 16

DEPARTMENT OF MENTAL RETARDATION

ADDITIONS TO THE COMMUNITY RESIDENTIAL LOAN FUND CREATED UNDER SECTION 17-221 OF THE GENERAL STATUTES FOR PRIVATE NON-PROFIT PROVIDERS FOR RENOVATIONS AND IMPROVEMENTS TO COMMUNITY-BASED RESIDENCES, INCLUDING SAFETY, HEALTH AND ENVIRONMENTAL IMPROVEMENTS

REQUESTED: An Allocation and Bond Authorization

(to Agency) \$500,000

FROM: Sec. 33(d) Acct. No. 1873-4114-020

Total Earmarking \$1,000,000
Previous Allocations 500,000
Balance Unallocated \$500,000

#### REASON FOR REQUEST:

These funds are requested to provide financing for the Department of Mental Retardation's "Community Residential Facility Revolving Loan Fund" in accordance with Section 17a-221 C.G.S.

In accordance with this section of the general statutes, the Department may make community residential facility loans to organizations for rehabilitation of community-based residential facilities in principal amounts of up to one hundred percent of the total property development cost of the project, provided in no case may the total amount of the loan exceed \$60,000.

In accordance with this act, loans shall bear an interest rate of 6%, and the capital loan agreement shall require the borrower to make periodic payments of principal and interest to the Department, which payment shall be deposited in the Community Residential Facility Revolving Loan Fund.

Funds are requested as follows:

This Request \$500,000

# SPECIAL ACT #3, 1992 MAY SPECIAL SESSION AS AMENDED SEC. 1-7

ITEM NO. 17

DEPARTMENT OF CORRECTION

RENOVATIONS AND IMPROVEMENTS TO EXISTING STATE-OWNED BUILDINGS FOR INMATE HOUSING, PROGRAMMING AND STAFF TRAINING SPACE, AND ADDITIONAL INMATE CAPACITY, INCLUDING SUPPORT FACILITIES AND DEMOLITION

REQUESTED: An Allocation and Bond Authorization......\$16,502,734

FROM: Sec. 2(q) Acct. No. 3921-8001-010 Project No. BI-JA-321

Total Earmarking \$27,229,444

Previous Allocations 10,726,710

Balance Unallocated \$16,502,734

#### REASON FOR REQUEST:

These funds are requested, along with those under Item  $\underline{13}$ , to award a construction contract based on bids received on February 21, 2001 for renovations and improvements to the Hartford Community Correctional Center.

This project consists of code required improvements, security improvements, renovation of the segregation, admitting and processing units, additional non-contact visiting space, relocation of the gymnasium, expansion of the kitchen and a new parking structure.

#### Financing:

S.A. 97-1, Sec	. 2(n), Ite	m No. <u>13</u>	\$ 4,068,466
S.A. 92-3, Sec	. 2(q), Thi	s Request	16,502,734
Total			\$20,571,200

### PUBLIC ACT #270, 1990

## AS AMENDED

SEC. 33

ITEM NO. 18

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

MANUFACTURING ASSISTANCE ACT

REQUESTED: An Allocation and Bond Authorization

(to Agency) \$2,000,000

FROM: Sec. 33 Acct. No. 1502-3500-010

Total Authorized \$495,300,000
Previous Allocations
Balance Unallocated \$97,092,306

#### REASON FOR REQUEST:

These funds are requested to provide a loan to Pepperidge Farm, Inc. to assist in the costs associated with the Company's relocation of it's manufacturing facility from Norwalk to Bloomfield. The company will retain its corporate headquarters in Norwalk.

This project consists of the construction of a 265,000 sq. ft. building to be located on 43 acres. These State funds will be used for the purchase of equipment, machinery and employee training.

The loan will be provided for a term of 10 years at rate of 2%.

Project No. 2002 011 002

Funds are requested as follows:

Total	Project Cost	\$107,000,000
Less:	Company Funds	95,500,000
	Ct. Workforce Development	
	Training Grant	1,500,000
	CDA Sales Tax Exemption	2,000,000
	Local Property Tax Abatement	6,000,000

State Loan, This Request \$2,000,000

ITEM NO. 19

STATE TREASURER

BOND SALE

GENERAL OBLIGATION BONDS

REQUESTED: Authorization to issue and sell general obligation bonds of the State in an amount not to exceed \$175,000,000

#### SUGGESTED RESOLUTION:

The State Treasurer is authorized to issue and sell general obligation bonds of the State, which were previously authorized to be issued by this Commission, or which may be authorized to be issued by this Commission prior to their sale, in an aggregate principal amount not to exceed \$175,000,000.

The Treasurer is authorized to consolidate for sale bonds authorized to be issued under two or more bond acts and issue the same as a single bond issue provided that a separate maturity schedule for the bonds authorized under each bond act shall be established and filed with the Secretary of the State Bond Commission prior to the delivery of the bonds.

The Treasurer is authorized to sell such bonds at public sale on sealed proposals or by negotiation, in such manner, at such price or prices, at such time or times, and on such terms and conditions as the Treasurer shall determine to be in the best interests of the State.

The Treasurer is authorized to enter into agreements on behalf of the State with respect to the issuance and sale of said bonds, including financial advisory agreements, bond purchase agreements, secondary market disclosure agreements, tax regulatory agreements containing the provisions set forth in Section 3-20(r) of the Connecticut General Statutes and agreements with respect to the issuance, sale and securing of such bonds.

The Treasurer is authorized to determine the form, date or dates, maturities of serial or term bonds, date and manner of sale, interest rate or rates and due dates thereof (including the issuance of such bonds at fixed rates or variable rates, and as capital appreciation or current interest bonds), the denominations and designation of such bonds, registration, conversion and transfer privileges, the terms of redemption with or without premium, and all other terms and conditions of such bonds and of the issuance and sale thereof, as she shall determine to be in the best interests of the State, provided that she shall file a certificate of determination with the Secretary of the State Bond Commission on or before the date of delivery of such bonds

#### ITEM NO. 19 (Cont'd)

setting forth the details and particulars of such bonds determined by her in accordance with this delegation.

The Treasurer is authorized to enter into on behalf of the State such reimbursement agreements, remarketing agreements, standby bond purchase agreements and any other necessary or appropriate agreements in connection with obtaining any liquidity facility or credit facility with respect to such bonds, and to determine the terms and conditions of any such agreement. The Treasurer may pledge the full faith and credit of the State to the State's payment obligations under any such agreement.

The Treasurer is authorized to enter into on behalf of the State such swap or other contracts with respect to such bonds and any other necessary or appropriate agreements in connection with obtaining any such contract, with such terms and conditions and parties as the Treasurer may determine, as provided in and subject to the requirements of Section 3-20a(c) of the Connecticut General Statutes. The Treasurer is authorized to pledge the full faith and credit of the State to the State's payment obligations under any such contract.

#### STATE TREASURER/OFFICE OF POLICY AND MANAGEMENT

REQUESTED: Approval of Requests and Certificates and Resolutions and the Filing of the State Treasurer's Certificate as to State Indebtedness and the Declaration of Official Intent Pursuant to Federal Income Tax Regulations

#### SUGGESTED MOTION:

RESOLVED, (1) that the requests and certificates and the appropriate resolutions to effect the bond authorizations and bond sale approved today are hereby approved and adopted; (2) that the resolutions and the State Treasurer's certificate as to state indebtedness made in accordance with section 3-21 of the general statutes, as amended, be made a part of the record of today's meeting; (3) that the State hereby declares the official intent of the State required pursuant to Section 1.150-2 of the Federal Income Tax Regulations, Title 26, with respect to each of the agenda items approved today, to the effect that the State reasonably expects to reimburse from the proceeds of borrowings any and all expenditures paid from the bond fund accounts designated and functionally described in each such agenda item or bond authorization resolution, in an amount anticipated not to exceed the amount of the authorization contained in such item or bond authorization resolution, and that the Secretary of the Office of Policy and Management and the Treasurer are authorized to amend this declaration of official intent on behalf of the State for any such item; and (4) that the State Treasurer is authorized to make representations and enter into agreements to provide secondary market disclosure information with respect to borrowings by State authorities or other entities, or by the State itself, in connection with which the State may be an obligated party under Rule 15c2-12 of the Securities and Exchange Commission.